# Reinforcing Adversarial Robustness using Model Confidence Induced by Adversarial Training

### Xi Wu

#### xiwu@cs.wisc.edu

#### Joint work with Uyeong Jang, Jiefeng Chen, Lingjiao Chen, and Somesh Jha

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## Entirely wrong behavior of confidence

- Small perturbations can cause highly confident but wrong predictions.
- An example from (Goodfellows, Shlens, and Szegedy, ICLR 2015), on a naturally trained neural network:





"panda" 57.7% confidence



 $\begin{array}{l} \mathrm{sign}(\nabla_{\boldsymbol{x}}J(\boldsymbol{\theta},\boldsymbol{x},y))\\ \text{``nematode''}\\ 8.2\% \ \mathrm{confidence}\end{array}$ 



=

 $\begin{array}{c} \boldsymbol{x} + \\ \epsilon \mathrm{sign}(\nabla_{\boldsymbol{x}} J(\boldsymbol{\theta}, \boldsymbol{x}, y)) \\ \text{``gibbon''} \\ 99.3 \ \% \ \mathrm{confidence} \end{array}$ 

## A better behavior

- Low confidence if the model "does not learn/know it."
- An intuitively good model for classifying pandas and gibbons (disks give natural data manifolds)



- In a precise formal sense, adversarial training by (Madry et al., ICLR 2017) gives better behavior of model confidence for points near the data distribution.
- The better behavior of model confidence induced by adversarial training can be used to improve adversarial robustness.

# Defining good behaviors of confidence (1/2)

**Intuition**: Confident predictions of different classes should be well separated. A bad  $(\mathbf{x}, y) \sim \mathcal{D}$  with poor confidence separation:



# Defining good behaviors of confidence (2/2)

- $\mathcal{D}$ : Data generating distribution;  $d(\cdot, \cdot)$ : A distance metric;  $p, q \in [0, 1], \delta \ge 0$ .
- Bad event (Neighborhood has *p*-confident wrong predictions):

$$\mathcal{B} = \{ \exists y' \neq y, \mathbf{x}' \in N(\mathbf{x}, \delta), F_{\theta}(\mathbf{x}')_{y'} \ge p \}$$

- F is said to have  $(p,q,\delta)$ -separation if

$$\Pr_{(\mathbf{x},y)\sim\mathcal{D}}\left[\,\mathcal{B}\,\right]\leq q.$$

Adversarial training formulation of Madry et al.:

$$\begin{split} \text{minimize} \quad \rho(\theta), \\ \text{where } \rho(\theta) &= \mathop{\mathbb{E}}_{(\mathbf{x},y)\sim\mathcal{D}} \left[ \max_{\Delta\in\mathcal{S}} L(\theta,\mathbf{x}+\Delta,y) \right], \end{split}$$

Theorem (Informal, this work)

For a large family of loss functions L, models trained as above achieve good  $(p,q,\delta)$ -separation, where as  $p \to 1, q \to 0$ .

- We generate high-confidence attacks in order to bypass confidence-based defenses (as well as gradient-masking effect).
- Finding 1: Confidence of models trained using Madry et al.'s objective behave much better than their natural counterparts.
- Finding 2: A simple "nearest neighbor search" based on confidence corrects  $20\% \sim 25\%$  targeted adversarial examples that fool the baseline model of Madry et al.
- Finding 3: For > 98% of test instances, correct label can be found in two neighbors with highest confidences.

### Please come to our poster session if you want to know more details!