

Theory of Cosmological Perturbations

V. Mukhanov
Section Physik, LMU, München

- Characterizing perturbations
- Why do we need inflation?
- Generation of primordial fluctuations
- How robust are predictions from inflation?

Characterizing perturbations

Homogeneous Universe:

Metric:

$$ds^2 = a^2(\eta)(d\eta^2 - \gamma_{ik} dx^i dx^k) = {}^0g_{\alpha\beta} dx^\alpha dx^\beta$$

Matter:

$$\varepsilon(x, \eta) = \varepsilon_0(\eta) \quad - \quad \text{energy density}$$

$$p(\varepsilon) \quad - \quad \text{pressure}$$

Inhomogeneities:

Metric:

$$g_{\alpha\beta} = {}^0g_{\alpha\beta} + \delta g_{\alpha\beta}(x, \eta),$$

$$\delta g_{\alpha\beta} dx^\alpha dx^\beta = 2a^2[\phi d\eta^2 - B_{|i} dx^i d\eta + (\psi \gamma_{ik} - E_{|ik}) dx^i dx^k]$$

Matter:

$$\varepsilon = \varepsilon_0(\eta) + \delta\varepsilon(x, \eta),$$

or in the case of scalar field

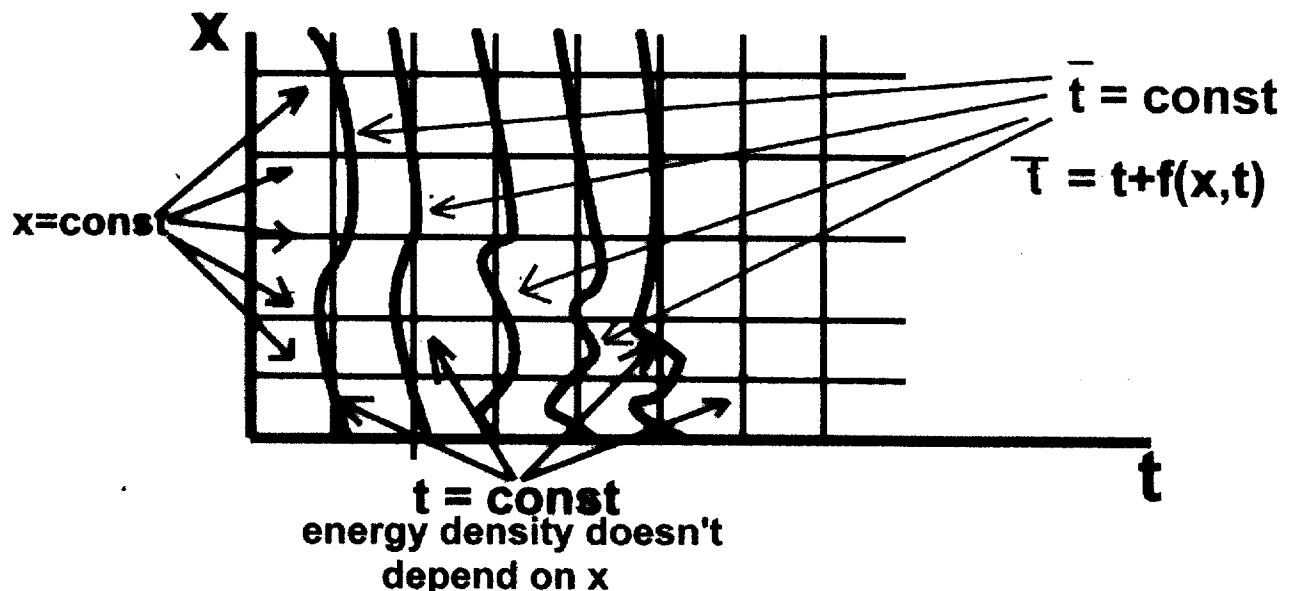
$$\varphi = \varphi_0(\eta) + \delta\varphi(x, \eta)$$

Gauge transformations and fictitious perturbations

Example:

Let us consider the coordinate transformation: $x \rightarrow x$ and

$t = \int a d\eta \rightarrow \bar{t} = t + f(x, t)$ in unperturbed homogeneous Universe:



$$\text{Then } \varepsilon(t) = \varepsilon(\bar{t} - f(x, t)) \approx \varepsilon(\bar{t}) - \frac{\partial \varepsilon}{\partial t} f.$$

The Universe is homogeneous, but in the new coordinate system it looks like

$$\text{inhomogeneous: } \delta\varepsilon(x, t) = -\frac{\partial \varepsilon}{\partial t} f.$$

These inhomogeneities are *fictitious*.

Gauge transformations in general:

Under coordinate transformation:

$$x^\alpha \Rightarrow \bar{x}^\alpha = x^\alpha + \xi^\alpha$$

one has

$$\delta g \Rightarrow \bar{\delta} g = \delta g - L_\xi g$$

Resolution of the problem of fictitious modes:

1. use gauge invariant variables or
2. completely fix coordinate system and do not allow any coordinate transformations

Gauge invariant variables:

Build out of the metric perturbations: ϕ, ψ, B, E and/or matter variables

(e.g. $\delta\phi$) formal "4 vector" X^α which transforms as $X^\alpha \Rightarrow \bar{X}^\alpha = X^\alpha + \xi^\alpha$

Then, for the perturbations of any tensor: $q = {}^0 q + \delta q$, the variables

$$\delta Q = \delta q + L_X {}^0 q$$

are gauge invariant

Example: $X^\alpha = [(B - E'), E_{|i}], (') = \frac{\partial}{\partial \eta}$

Basic gauge invariant variables:

- Gravitational potential

$$\Phi = \phi + (1/a)[(B - E')a]'$$

Potential Φ is directly related with the temperature fluctuations of CMB in big scales as $\frac{\delta T}{T} = \frac{1}{3}\Phi$ and enters the metric in the newtonian gauge as

$$ds^2 = a^2[(1 + 2\Phi)d\eta^2 - (1 - 2\Phi)\gamma_{ik}dx^i dx^k]$$

- Canonical quantization variable

$$v = a\delta\varphi + z\psi$$

where $\delta\varphi$ are the fluctuations of scalar field or velocity potential and

$$z = a\left(1 + \frac{p}{\varepsilon}\right)^{1/2}$$

Equations:

Considering plane wave perturbation $\Phi, v \propto \exp(ikx)$ and introducing new "rescaled" variables $u = \frac{ak}{\sqrt{\varepsilon}}\Phi$ and $\zeta = \frac{v}{z}$, we can derive from Einstein equations the following equations for ζ and u :

$$\zeta' = -(k/z^2)u,$$

$$u' = kz^2\zeta$$

Duality: $\zeta \Rightarrow u, u \Rightarrow -\zeta, z \Rightarrow 1/z$.

Matching conditions: When the equation of state $p(\varepsilon)$ changes sharply ζ and u are continuous

Solutions:

In the scales bigger than the horizon scale the "conserved quantity" ζ is about gravitational potential Φ : $\Phi \approx O(1)\zeta$ if $\varepsilon + p \sim \varepsilon$.

- For the perturbations with the scale smaller than curvature scale ($\lambda_{ph} = a/k < H^{-1}, H = \frac{\dot{a}}{a}$ is the Hubble constant):

$$\zeta \propto e^{\pm ik\eta/z} = e^{\pm ik\eta/a} \left(1 + \frac{p}{\varepsilon}\right)^{1/2}$$

- When the perturbation scale is bigger than the curvature scale ($\lambda_{ph} > H^{-1}$)

$$\zeta \propto const$$

These statements are true for an arbitrary equation of state $p = p(\varepsilon)$

Why do we need inflation?

Initial conditions at $t_i \sim 10^{43}$ sec :

- Homogeneity problem:

$$\left(\frac{\text{size of the Universe}}{\text{size of causal region}} \right)_{t_i} \geq \frac{\dot{a}_i}{\dot{a}_0}$$

where $\dot{a}_i = \left(\frac{da}{dt} \right)_i$ and \dot{a}_0 are the initial and current "speed" of expansion

- Initial velocities (flatness) problem:

For the given matter distribution the "allowed mistake" in the initial velocities:

$$\frac{\Delta \dot{a}_i}{\dot{a}_i} \leq \left(\frac{\dot{a}_0}{\dot{a}_i} \right)^2$$

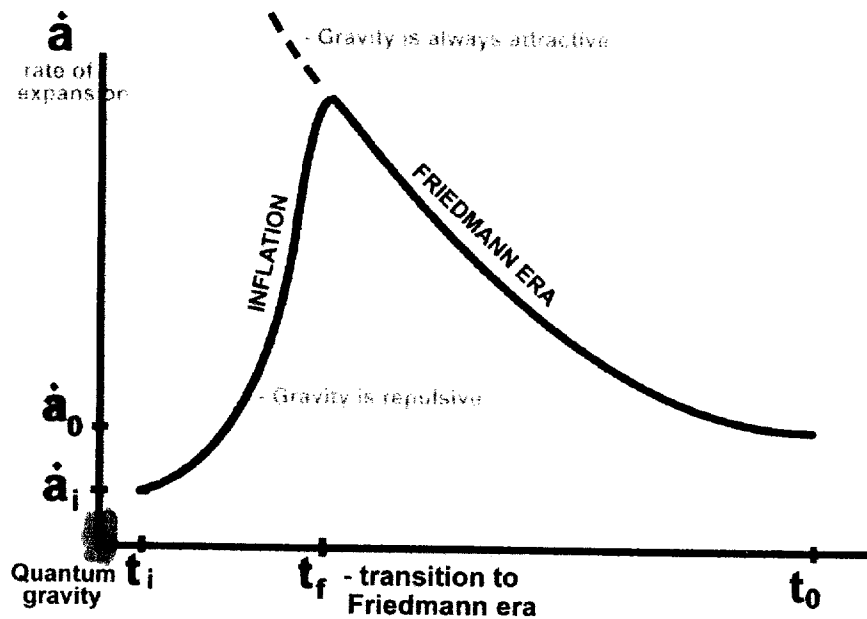
If $p = \epsilon/3$, then $a \propto \sqrt{t}$ and $\dot{a}_i/\dot{a}_0 > 10^{30}$.

Root of the problem:

Gravity is *attractive* force $\Rightarrow \dot{a}_i \gg \dot{a}_0$

Idea of inflation:

Gravity acts as *repulsive* force during some period of the universe evolution when we have accelerated expansion and $\dot{a}(t)$ is increasing.



$\dot{a}_i < \dot{a}_0 \Rightarrow$ no problems anymore with causality and "fine tuning" of initial velocities.

- On inflation $\frac{\ddot{a}}{a} = \dot{H} + H^2 > 0 \Rightarrow |\dot{H}| < H^2$

The duration of inflation estimated as $t_f \sim (H^2/\dot{H})_i H^{-1} \sim \frac{2}{3} \left(\frac{\epsilon}{\epsilon+p} \right)_i H^{-1}$ should be longer than $70H^{-1} \Rightarrow (\epsilon + p)_i < \frac{2}{3} \frac{1}{70} \epsilon_i$

- Inhomogeneities problem:

Generic initial conditions: $\delta\epsilon/\epsilon \sim O(1)$

Inflation should:

- eliminates initial inhomogeneities \Rightarrow

$\dot{a}_i \ll \dot{a}_0$. Since $(\Omega_0 - 1) = (\Omega_i - 1) \left(\frac{\dot{a}_i}{\dot{a}_0} \right)$,

one gets **prediction** $\Omega_0 = 1!$

- generate new inhomogeneities (10^{-5})

Generation of primordial fluctuations

- Uncertainty principle \Rightarrow *inevitable* quantum fluctuations of the fields in the vacuum

Example: quantum fluctuations of the metric in Minkowski space

$$h_\lambda \approx \frac{10^{-33} \text{ cm}}{\lambda}$$

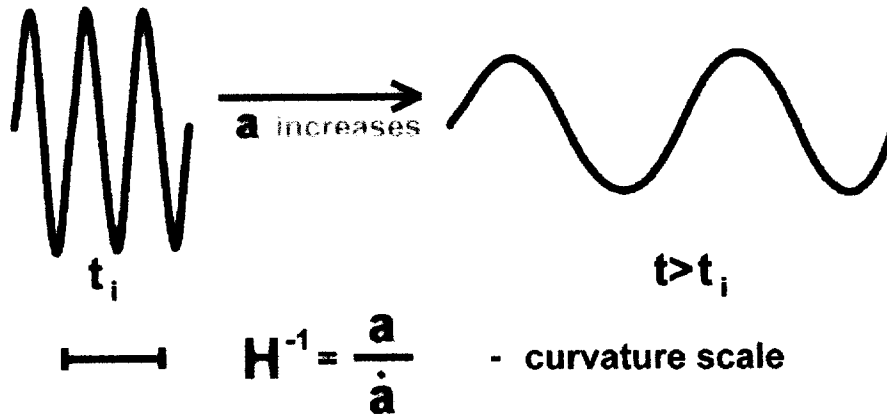
These fluctuations are smaller than 10^{-58} in the appropriate galactic scales today

- Quantum metric fluctuations are big enough (10^{-5}) only in the scales close to the Planck scale (10^{-33} cm). They should be transferred to the galactic scales (10^{25} cm) as a result of the expansion of the Universe without loosing in amplitude.

-To characterize the fluctuations we use canonical variable

$v = a(\delta\varphi + z\psi)$, $z = a(1 + \frac{p}{\varepsilon})^{\frac{1}{2}}$ and the "conserved quantity" $\zeta = v/z$ which at hydrodynamical stage when $p + \varepsilon \sim \varepsilon$ is about gravitational potential: $\Phi = O(1)\zeta$ (reminder: $\frac{\delta T}{T} = \frac{1}{3}\Phi$ in COBE scales)

For the plane wave perturbation with comoving wavenumber k , the physical wave length $\lambda_{ph} = a/k$ increases $\propto a$



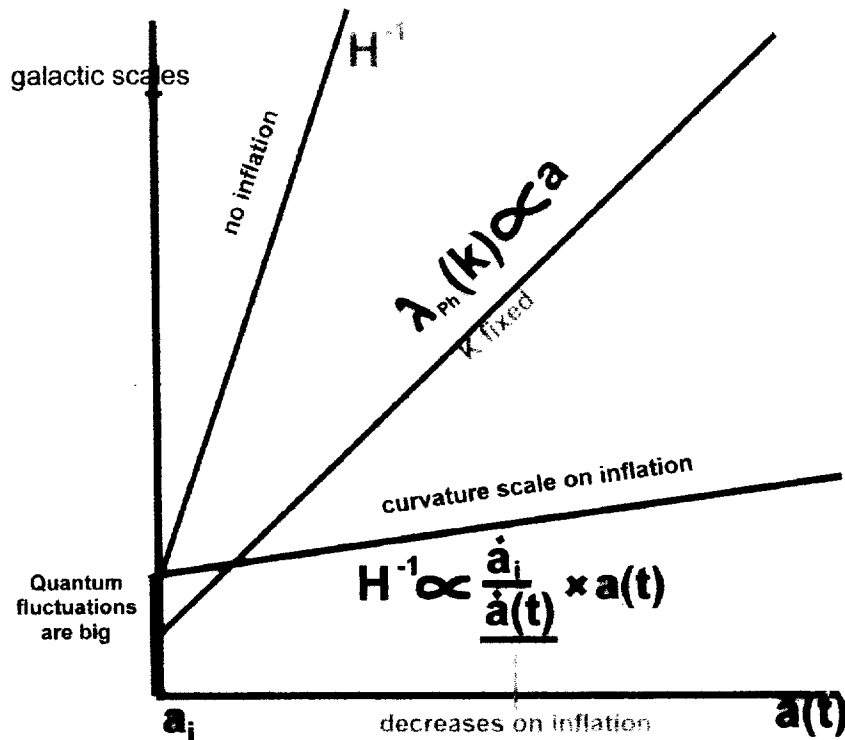
The evolution of the amplitude of the perturbation with given k crucially depends on how big is the physical scale of perturbation λ_{ph} compared to the curvature scale H^{-1} :

- When $\lambda_{ph} < H^{-1}$, the amplitude of ζ decays as $1/z$.

v is canonical variable \Rightarrow quantum fluctuations have the amplitude $v_k \sim \frac{1}{\sqrt{k}}$ in the scales $< H^{-1}$. Therefore,

$$\zeta_k = \frac{v_k}{z} \approx \frac{1}{\sqrt{k}} \frac{1}{a(1 + \frac{p}{\epsilon})^{1/2}}$$

- When $\lambda_{ph} > H^{-1}$, the amplitude of ζ stays constant irrespectively on the equation of state.

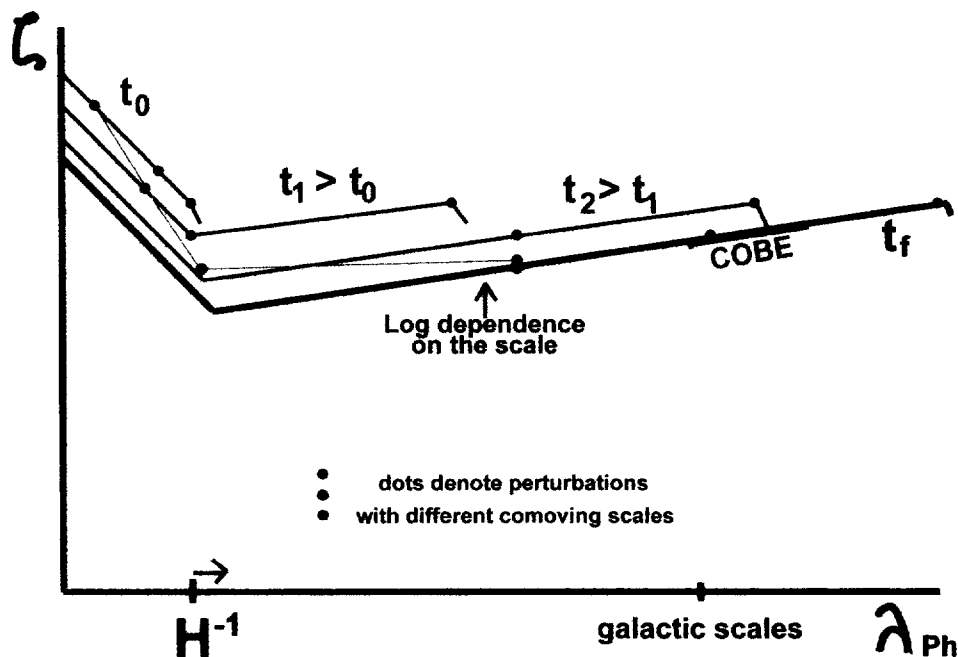


No Inflation- no chance to get big fluctuations in galactic scales! Curvature scale increases faster than a and the amplitude of perturbations which were originally big always decays ($\propto 1/a$)

Inflation- Curvature scale on inflation increases not so fast as $\lambda_{ph} = a/k$. Quantum fluctuations in comoving scale k become frozen when $\lambda_{ph} = a/k \sim H^{-1}$ with amplitude

$$\zeta_\lambda \equiv \sqrt{\zeta_k^2 k^3} \approx \left(\frac{\epsilon}{1 + \frac{p}{\epsilon}} \right)^{\frac{1}{2}}_{k \sim Ha}$$

Spectrum:



-Spectral index:

$$n_s - 1 = \frac{d \ln \zeta}{d \ln k} = -3 \left(1 + \frac{p}{\epsilon}\right)_{k \sim H a} + 3 \frac{d}{d \ln \epsilon} \left(1 + \frac{p}{\epsilon}\right)_{k \sim H a}$$

Generically $\left(1 + \frac{p}{\epsilon}\right)_{gal} \sim \frac{2}{3} \frac{1}{50}$ and second term is negative $\Rightarrow n_s \leq 0.96$

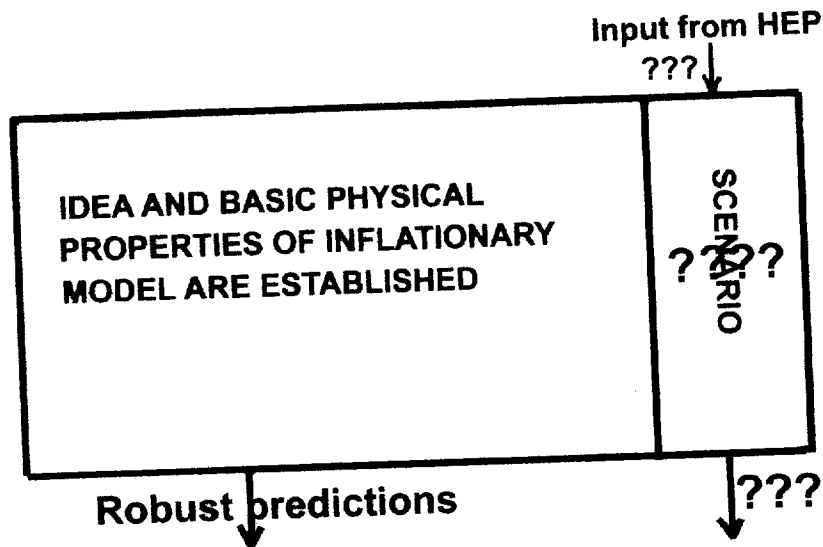
Example: Scalar field with potential $\frac{1}{2} m^2 \phi^2$

$$\Phi \sim \zeta \sim \frac{m}{m_{pl}} \ln \left(\frac{\lambda}{\lambda_\gamma} \right)$$

Required $m \sim 10^{13} \text{ Gev}$

Gravity waves have an amplitude $h \sim \epsilon_{k \sim H a}^{1/2}$
that is they are smaller by factor $\left(1 + \frac{p}{\epsilon}\right)_{k \sim H a}^{-1/2}$.

How robust are predictions of inflation?



- *Spatially flat Universe*
 $\Omega_{total} = 1 \pm 10^{-5}$
 - *Nearly scale-invariant spectrum ($n_s \leq 0.96$).
*Perturbations are Gaussian**
- Energy scale of inflation \rightarrow prediction of the amplitude of perturbations, concrete n_s
 - Transition from inflation to Friedmann, reheating mechanism
 - The origin of small number 10^{-5} , characterizing perturbations

- Is it possible to get $\Omega < 1$? Yes.

Cost: Two inflationary stages. Second one which takes place "inside the bubble" kills the prediction $\Omega = 1$ should have *fine tuned* duration. Ω exponentially depends on the duration.

- Is it possible to get non-flat spectrum, nonadiabatic, nongaussian perturbations? Yes.

Cost: -In "one fluid" model with *specially designed* peculiar behavior of the equation of state (potential) one can get peculiarities in the spectrum of (gaussian, adiabatic) perturbations. To put them in the interesting scale one should *fine tune* time when it happened. *Exponential* dependence on parameters!

- Two (or more) "fluids" models: $\varepsilon = \varepsilon_1 + \varepsilon_2$, $p = p_1 + p_2$. *Fine tuned parameters* to have both of the component of the matter to be relevant simultaneously. Possible to have few inflationary stages and then one can get:

1. peculiarities in the spectrum (picks, valley)
2. spectrum with $n_s \geq 1$
3. even nongaussian isocurvature perturbations.