

# Semantic Segmentation with Incomplete Annotations

## Medical Computer Vision and Health Informatics Workshop



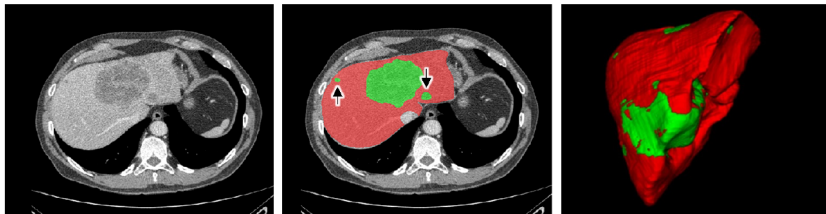
**Nicolas Thome** - Joint work with O. Petit, L. Soler  
**Cnam Paris** - [nicolas.thome@cnam.fr](mailto:nicolas.thome@cnam.fr)  
**CEDRIC Lab - Machine Learning Team (MSDMA)**  
June 18, 2018

# Outline

- 1 Context
- 2 Semantic Segmentation with Incomplete Annotations
- 3 Experiments

# Context: Semantic Segmentation of Medical Images

- ▶ Semantic Segmentation: class label for each image pixel / voxel
- ▶ Deep ConvNets: tremendous success for visual recognition
- ▶ Semantic Segmentation of natural images: Fully Convolutional Networks (FCN), e.g. DeepLab [Chen et al., 2018]
  - ▶ Adapted FCN architectures for medical images, e.g. U-Net [Ronneberger et al., 2015]
  - ▶ FCN: base architecture for leading approaches in recent medical segmentation challenges, e.g. LITS'17 [Han, 2017, Li et al., 2017]

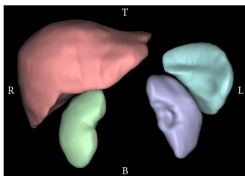


# Datasets for Medical Image Semantic Segmentation

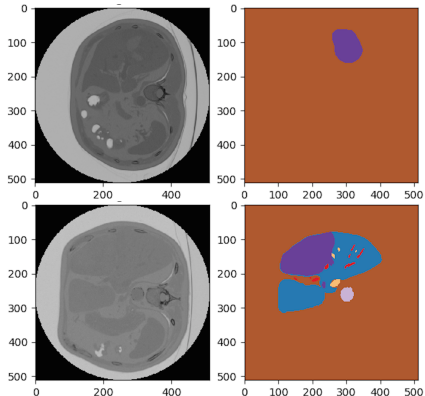
- ▶ ConvNets: large amount of data with clean annotations
- ▶ Annotation very costly for semantic segmentation: pixel-level labeling
  - ▶ Exacerbated in medical images: 3D data, highly qualified professionals needed, e.g. tumors (extreme appearance variations)



(a)



(b)

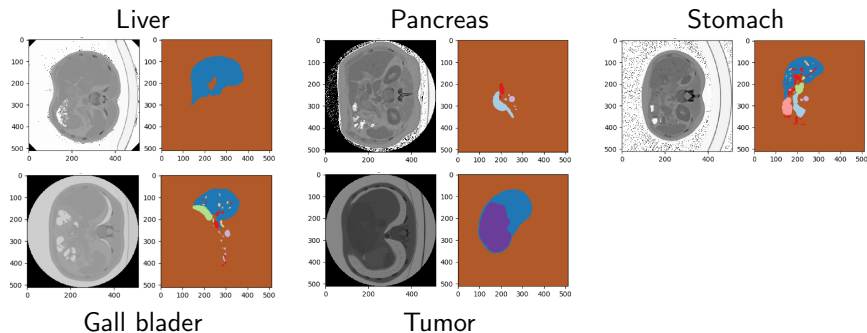


# Semantic Segmentation of 3D CT-scans

- Internal dataset<sup>1</sup>: ~ 1000 patients of  $100 \times 512 \times 512$  images

0	Pancreas
1	T_Liver
2	Gall_Bladder
3	Stomach
4	Portal_Vein
5	Superior_Vena_Cava
6	Artery
7	Tumor
8	background

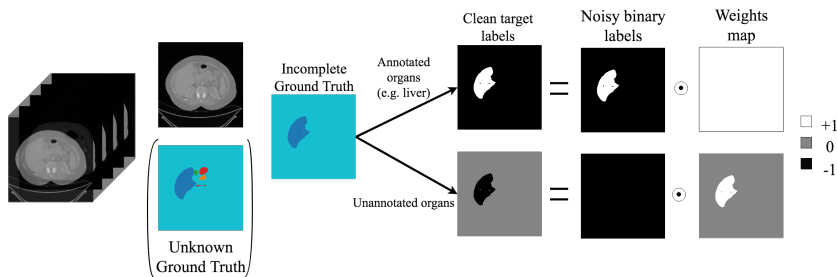
- 3D segmentation: focusing on 2D slices  
⇒ independent training in each image



<sup>1</sup>IRCAD: <https://www.ircad.fr/fr/>

# Semantic Segmentation with Incomplete Annotations

- ▶ Large scale dataset, BUT:
  - ▶ Clinical experts: focus on a subset of organs  
⇒ **Incomplete annotations** wrt full Ground Truth



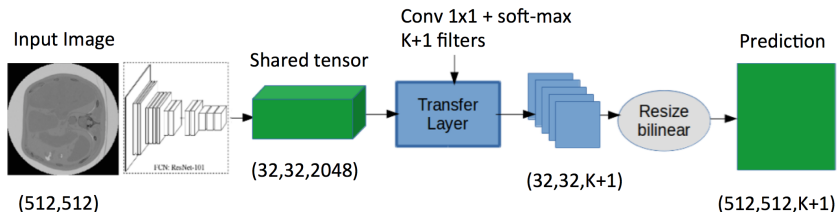
- ▶ **How to train deep ConvNets in this context ?**
  - ▶ Organ(s) missing the whole volumes, but: organ segmented in volume ⇒ complete annotation for that class
  - ▶ **Core idea:** generating clean target labels from noisy input labels
    - ▶ Binary mask  $w_k$  for each class ⇒ ambiguous vs non-ambiguous pixels

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# Semantic Segmentation with Incomplete Annotations

- ▶ Standard FCN not adapted to this context, e.g. DeepLab [Chen et al., 2018]

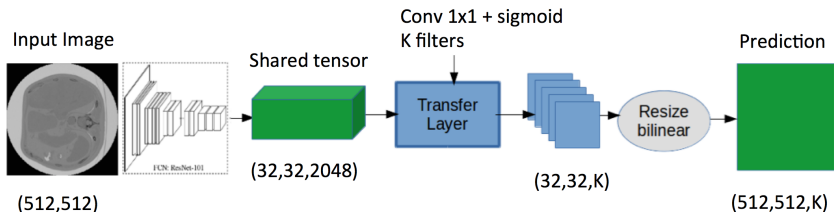


- ▶ Shared Fully Convolutional Layers, ResNet [He et al., 2016]
- ▶ Last tensor:  $1 \times 1$  conv + soft-max  $\Rightarrow$  single class prediction
- ▶ **Incomplete annotation: "background"  $\Leftrightarrow$  missing organ**  
 $\Rightarrow$  conflict with pixels with proper organ annotations during training



# Semantic Segmentation with Incomplete Annotations

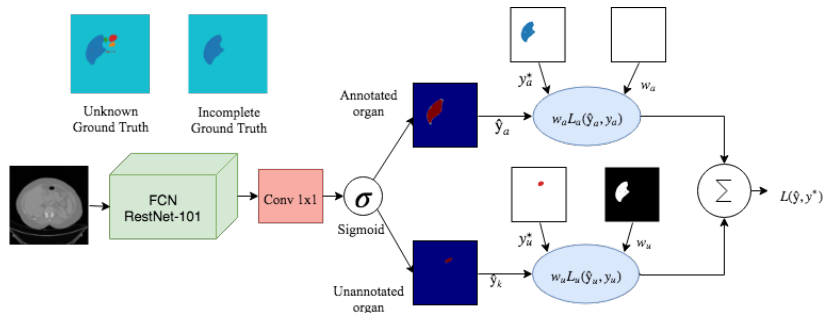
- ▶ Our approach for **S**emantic segmentation with **M**issing **L**abels and convn**E**ts (SMILE)
- ▶ Depart from the  $(K + 1)$  multi-class classification formulation, classify each organ independently using  $K$  binary classifiers



# SMILE Training

- ▶ Binary CE loss at each pixel:  $L_k(\hat{y}_k, y_k^*) = -(y_k^* \log(\hat{y}_k) + (1 - y_k^*) \log(1 - \hat{y}_k))$
- ▶ Final loss: weighted sum of binary losses:

$$L(\hat{y}, y^*) = \sum_{k=1}^K w_k L_k(\hat{y}_k, y_k^*)$$

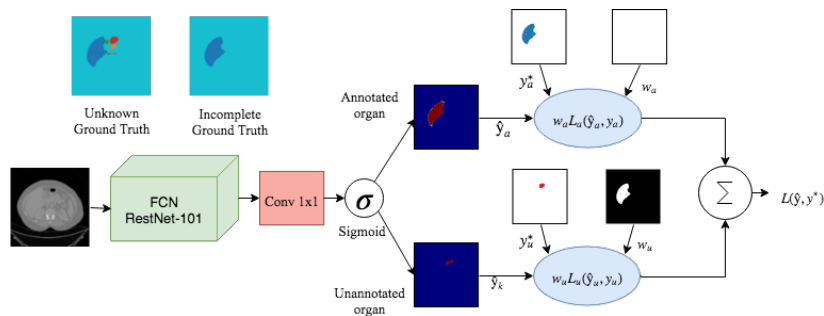


# SMILE Training

## Core SMILE component: binary weight maps $w_k \in \{0; 1\}$

- Selecting or ignoring each pixel for class  $k$ 
  - Class  $k$  present in volume:  $w_k = 1 \forall$  pixel in volume
  - Class  $k$  absent:

$$w_k = \begin{cases} 1 & \text{if } \exists k' \neq k \text{ s.t. } w_{k'} = 1 \ (\Rightarrow y_k^* = -1), \\ 0 & \text{otherwise (pixel ignored)} \end{cases}$$



# SMILE Training

- ▶ **Analysis of labels used by FCN baseline and SMILE vs Ground Truth (GT)**
- ▶ For class  $k$ :
  - ▶  $\beta_k$  ratio of voxels in a volume
  - ▶  $\alpha$  the ratio of missing labels for this organ in the dataset.

Baseline FCN

GT \ Used	Pos	Neg
Pos	$(1 - \alpha) \cdot \beta_k$	$\alpha \cdot \beta_k$
Neg	0	$1 - \beta_k$

SMILE

GT \ Used	Pos	Neg
Pos	$(1 - \alpha) \cdot \beta_k$	0
Neg	0	$(1 - \alpha) \cdot (1 - \beta_k) + \epsilon$

$$\epsilon = \sum_{k' \neq k} \beta_{k'}$$

- ▶ **Both baseline and SMILE: only true positive**
  - ▶ **BUT only use  $(1 - \alpha) \cdot \beta_k$  vs  $\beta_k$**

# SMILE Training

Baseline FCN

GT \ Used	Pos	Neg
Pos	$(1 - \alpha) \cdot \beta_k$	$\alpha \cdot \beta_k$
Neg	0	$1 - \beta_k$

SMILE

GT \ Used	Pos	Neg
Pos	$(1 - \alpha) \cdot \beta_k$	0
Neg	0	$(1 - \alpha) \cdot (1 - \beta_k) + \epsilon$

$$\epsilon = \sum_{k' \neq k} \beta_{k'}$$

## ▶ Baseline:

- ▶ **False Negatives (FN):**  $\alpha \cdot \beta_k$ , i.e. unannotated pixels indeed belonging to the organ

$$\frac{TP}{FN} = \frac{1-\alpha}{\alpha}: \alpha > 0.5 \Rightarrow \frac{TP}{FN} < 1$$

## ▶ SMILE:

- ▶ **Only true positives and true negatives**
- ▶ **Less true negatives than baseline:**  $(1 - \alpha) \cdot (1 - \beta_k) + \epsilon$  vs  $(1 - \beta_k)$ 
  - ▶  $\approx \alpha$  less negatives, but as  $\beta \ll 1$ , e.g.  $\beta = 0.05^2$   
⇒ **in practice, largely enough negative to train**

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<sup>2</sup>organs  $\Leftrightarrow$  small volume portion

## Incremental self-supervision and relabeling

- ▶ SMILE True Positives (TP) labels  $\propto (1 - \alpha)$
- ▶ **Motivation: automatically increasing number of TP labels**
  - ▶ Compensate for incomplete annotations
- ▶ Auto-supervision: create target positive labels  
⇒ **SMILEr** (re-labeling)
- ▶ Using a curriculum strategy [Bengio et al., 2009]
  1. Train ConvNet with SMILE: certain labels only, *i.e.* true positives and negatives ⇒ **"easy samples"**
  2. Seek for new true positives with current model
    - ▶ **"Harder samples"**, automatic labeling
    - ▶ Use this new labels as target to train a new model with more positives
    - ▶ Iterate
- ▶  $\frac{TP}{FP}$ : key indicator of SMILEr success

# SMILEr Training

- ▶ SMILEr algorithm: applied for each binary organ classifier independently<sup>a</sup>

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**Algorithm 1** Algorithm for training SMILEr for class  $k$

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**Require:** Training set  $\{(\mathbf{x}_i, \mathbf{y}_i^*)\}$ ,  $\gamma_{max}$ ,  $T$ , SMILE model  $m_0$  for class  $k$ .

- 1: Initialize  $\mathbf{y}_{i,0}^* = \mathbf{y}_i^*$ ,  $N_u \leftarrow$  number of unannotated images for class  $k$
- 2: **for**  $t=1$  **to**  $T$  **do**
- 3:    $\gamma_t = \frac{t}{T} \gamma_{max}$
- 4:   **for**  $i=1$  **to**  $N_u$  **do**
- 5:      $\hat{y}_i^+ \leftarrow (m_t, \mathbf{x}_i)$  // Find predicted positive pixels by  $m_t$  in image  $\mathbf{x}_i$
- 6:      $\mathbf{y}_{i,t}^{*,+} \leftarrow (m_t, \mathbf{x}_i, \gamma_t, \hat{y}_i^+)$  // Assign new  $\oplus$  target labels
- 7:      $\mathbf{y}_{i,t}^* = \mathbf{y}_{i,t-1}^* \cup \mathbf{y}_{i,t}^{*,+}$  // Augment training set
- 8:   **end for**
- 9:    $m_t = \text{train}(\{(\mathbf{x}_i, \mathbf{y}_{i,t}^*)\},)$  // Re-train model with augmented training set
- 10: **end for**

**Ensure:** SMILEr Model  $m_T$

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<sup>a</sup>Ignoring the dependence on class  $k$  for the sake of clarity.

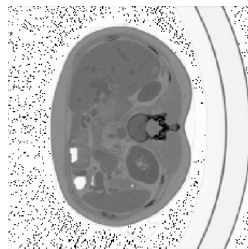
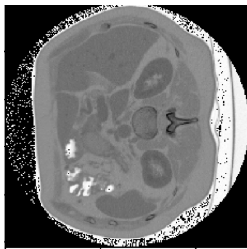
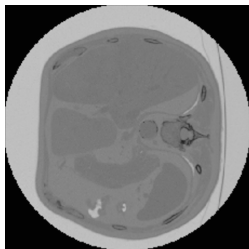
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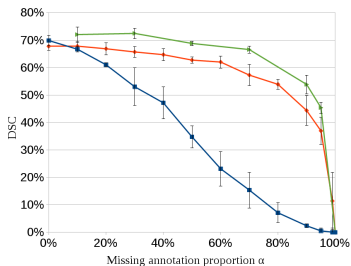


# Dataset and setup

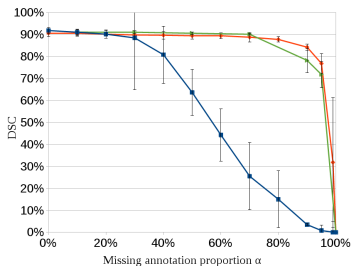
- ▶ Experiments on sub-set of our dataset with complete ground truth annotations
- ▶ 72 3D CT-scan volumes ( $\sim 100\ 512 \times 512$  images) for three organs: liver, pancreas and stomach
- ▶ Partially annotated dataset generated: randomly removing  $\alpha\%$  of organs in the volumes independently
- ▶ Comparison of our methods (SMILE, SMILeR) wrt DeepLab baseline
  - ▶ Train 80% / Test (20%),  $K = 5$  datasplits



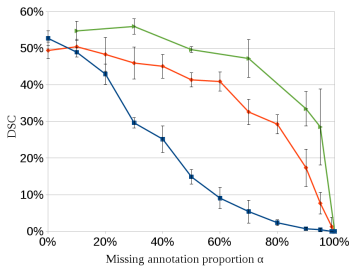
# Quantitative results



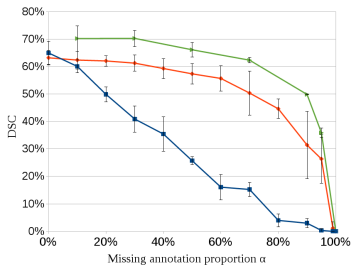
Mean



Liver



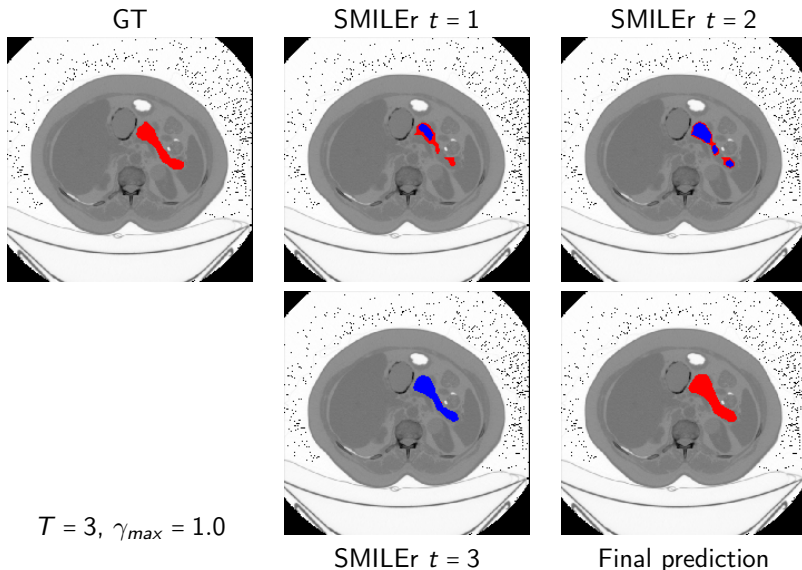
Pancreas



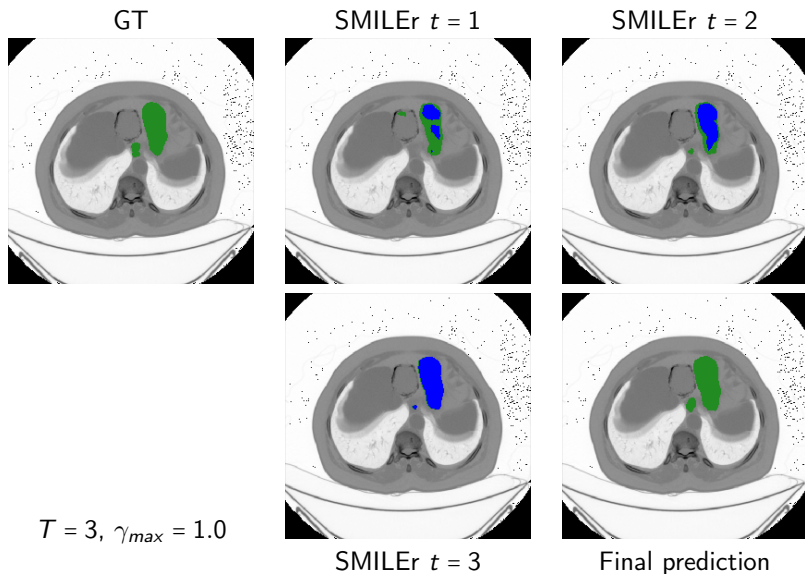
Stomach



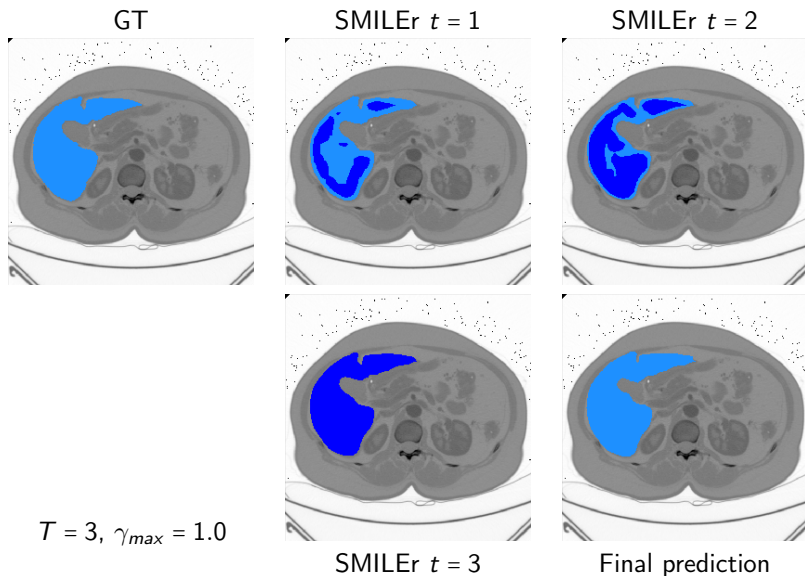
# SMILer re-labeling, $\alpha = 50\%$



# SMILer re-labeling, $\alpha = 70\%$

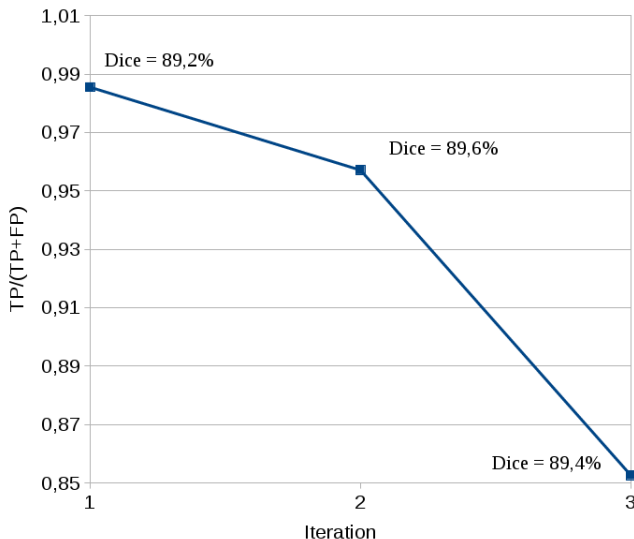


# SMILER re-labeling, $\alpha = 70\%$



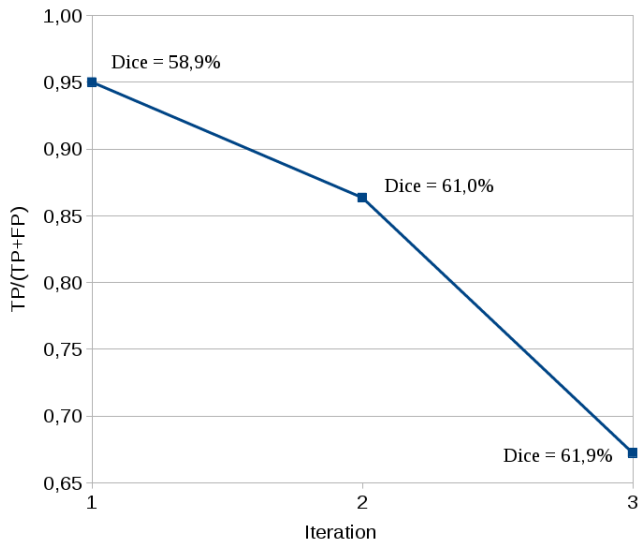
# Re-labeling method

- ▶  $\frac{TP}{TP+FP}$  vs Curriculum iterations for Liver ( $\alpha = 70\%$ )



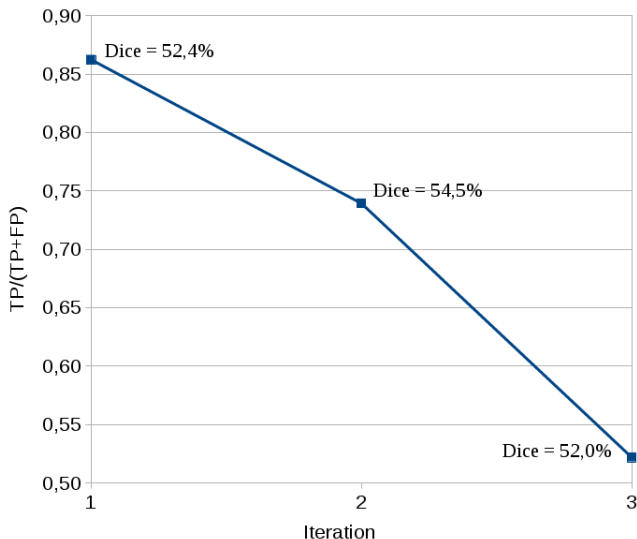
# Re-labeling method

- ▶  $\frac{TP}{TP+FP}$  vs Curriculum iterations for Stomach ( $\alpha = 70\%$ )



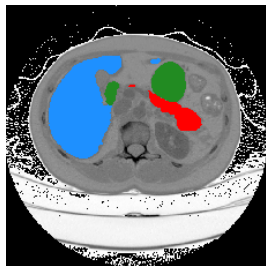
# Re-labeling method

- ▶  $\frac{TP}{TP+FP}$  vs Curriculum iterations for Pancreas ( $\alpha = 70\%$ )

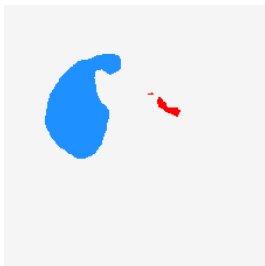




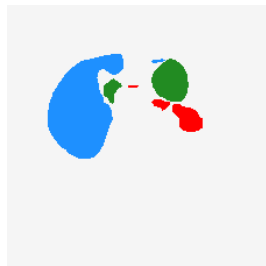
# Segmentation results, $\alpha = 70\%$



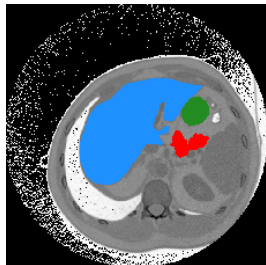
GT



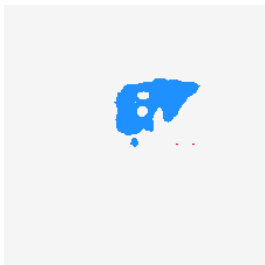
baseline



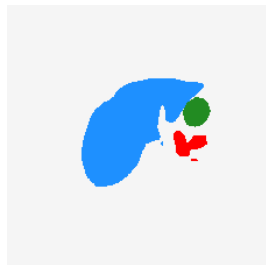
SMILeR



GT



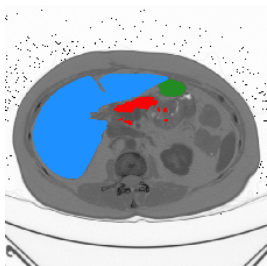
baseline



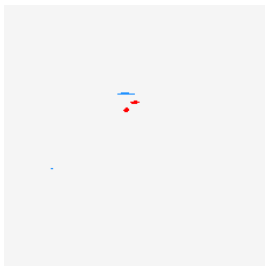
SMILeR



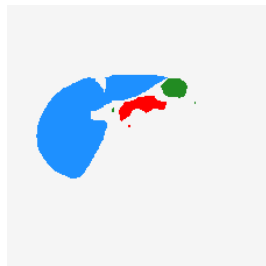
# Segmentation results, $\alpha = 70\%$



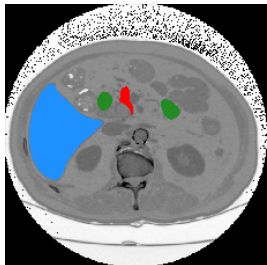
GT



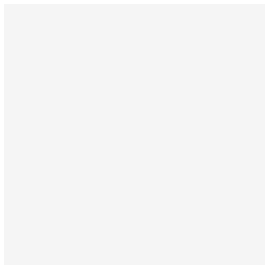
baseline



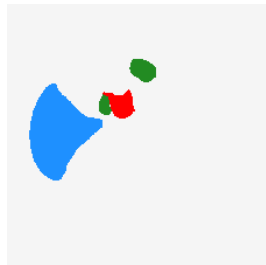
SMILeR



GT



baseline

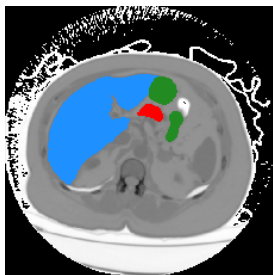


SMILeR

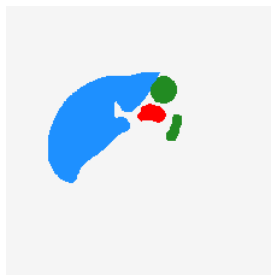


# Conclusion

- ▶ Method for learning with incomplete ground truth annotations
- ▶ First stage: train only with correct label
- ▶ Second stage: re-label positives
- ▶ Future works:
  - ▶ Evaluation in larger datasets with more classes
  - ▶ Using 3D conv backbone models
  - ▶ Using uncertainty estimate [Kendall and Gal, 2017] for selecting target auto-supervision labels



GT



SMILeR

# Thank you for your attention!

## Joint work with:

- ▶ Olivier Petit, PhD Student
- ▶ Luc Soler, Prof. at IRCAD, Visible Patient CEO

Questions?

# References I

- [Bengio et al., 2009] Bengio, Y., Louradour, J., Collobert, R., and Weston, J. (2009). Curriculum learning. In *Proceedings of the 26th Annual International Conference on Machine Learning, ICML '09*, pages 41–48.
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