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# 11 **KEYWORDS**

12 Oil shale, Microwave heating, Zeolite BEA, Kerogen

### **ABSTRACT**

 The transformation of an oil shale sample from the Autun Basin in the Massif Central, France, was studied using two different heating strategies: microwave irradiation and conventional heating. Microwave heating was performed using a single mode cavity operating at a frequency of 2.45 GHz, under inert atmosphere. Heating of the sample generated liquid products of similar composition, either using microwave or conventional heating. The liquid products yield was similar in both cases, while the overall energy requirements were much lower using microwave irradiation. The influence of water vapour in the oil shale decomposition was also studied under microwave energy. In order to simulate the oil shale organic fraction conversion in the presence of an acidic zeolite catalyst, the oil shale sample was mixed with 5 wt.% BEA zeolite and heated under microwave irradiation. It was found that the liquid products yield decreased, along with an increase in the coke produced. Gaseous and liquid products recovered showed a tendency for the production of lighter components when in the presence of zeolite. The aromatic character of the oils was more important when using microwaves, especially in the presence of zeolite.

## **INTRODUCTION**

 Global demand for crude oil has been increasing for several decades and it is expected to 3 continue to grow  $\frac{1}{1}$ . The depletion of light crude oil reserves has meant that the development of alternative energy sources has become vital. Hence, the use of heavy oils and other heavy feedstocks for liquid fuel production has been increasing. In fact, recent efforts in the petroleum industry have been focused on improving the existent technology so that a larger fraction of the 7 oil recovered (the barrel "bottom" fraction) can be converted to higher valuable products  $2, 3$ . Unconventional hydrocarbon resources, including heavy oil, oil sands and oil shale, also have potential to provide a valuable source of liquid hydrocarbons, since they exist in significant quantities. Conventional oil reserves worldwide are estimated at 1.0 trillion barrels with an 11 additional 1.7 trillion barrels of possible/undiscovered reserves . Canadian oil sands reserves are estimated at 1.7 trillion barrels, while oil shale known resources are estimated at 2.9 trillion 13 barrels, with 2.0 trillion barrels located in the USA  $4.5$ .

 Oil shale is a fine-grained sedimentary rock containing relatively large amounts of organic matter, *kerogen*. This is a carbonaceous material from which oil and combustible gas can be 16 extracted by destructive distillation <sup>6</sup>. Several studies have been carried out concerning the characterization of different oil shale types and their potential for liquid hydrocarbon generation <sup>7-9</sup>. The most important techniques used for characterization of potential source rocks are Rock-19 Eval pyrolysis  $^{10}$ , vitrinite reflectance measurements, X-Ray diffraction, FTIR and thermal analysis (DTA-TGA).

 Regarding oil shale processing, there are two main approaches: mining with subsequent surface 22 retorting and the *in situ* retorting processes <sup>6</sup>. In order to overcome the limitations imposed by mining and retorting of oil shale, namely the disposal of spent shale, water resource needs and

 land surface alteration during the mining process, energy companies and petroleum researchers have been trying to develop new technologies for *in situ* oil shale transformation, differing mainly in the heating strategies that have been applied. The methodology proposed by Shell, 4 known as *In situ* Conversion Process (ICP), appears in this context <sup>11-13</sup>, with several patents  $\delta$  filled over the years and successful field pilots conducted in Colorado  $^{11}$ . This process consists of a series of underground heaters installed in holes drilled into the oil shale deposit; the electrical resistance heaters heat the surrounding shale deposit to temperatures in the range of 250 – 400ºC . It takes several years to convert the kerogen contained in the oil shale into lighter hydrocarbons, which are then pumped to the surface using conventional methods. Drawbacks inherent to this approach are high energy requirements, long time needed to obtain significant 11 amounts of oil, as well as environmental issues, mostly related to groundwater contaminations due to global heating of the soil.

 Microwaves and radio frequency energy have also been proposed as alternative heating 14 methods for recovery of oil and gas from oil shale and oil sands  $14-19$ . The application of microwave energy for oil shale transformation has been documented since at least 1981, in the 16 patent by Dumbaugh *et al.* <sup>20</sup>, which refers to the retorting process of oil shale and tar sand using microwave irradiation at 2450 MHz to heat the samples to temperatures about 370 – 650ºC. 18 Balint *et al.* <sup>21</sup> developed a method using microwave irradiation of the natural mineral in a pressure vessel, using an expelling medium to extract the kerogen, such as gaseous or liquefied carbon dioxide or other gaseous or vapour hydrocarbon solvents. Both processes refer to experiments performed at the laboratory scale. Several methods and apparatuses have been 22 proposed for the *in situ* recovery of liquid hydrocarbons from oil shale  $22-25$ , involving volumetric, 23 selective, rapid and controlled heating , sometimes in combination with critical fluids or carbon  sequestration. This latter case refers to the technology developed by Raytheon and Critical Fluids 2 Technologies  $2^7$  in 2007 and is based on earlier radio-frequency based technologies from Raytheon <sup>28</sup>. The process involves injecting carbon dioxide as a super critical fluid and a catalyst into the well; *in situ* radio-frequency energy is applied to heat the rock formation. The resulting 5 product stream obtained, requiring further processing, consists of  $CO<sub>2</sub>$ , CH<sub>4</sub>, oil, steam/water and organic vapours.

 When using electromagnetic heating, the energy is directly absorbed by the material subjected to radiation, avoiding energy losses to the system environment, which improves heating rates and reduces thermal gradients. Dielectric heating is caused by an increase of the kinetic energy of dipolar components of molecules under the effect of an electromagnetic field. Polar molecules or ions tend to couple and align themselves to be in phase with the field (dipole relaxation); since the microwave field is alternating in time, the dipoles are constantly oscillating at the microwave frequency, (300 MHz to 300 GHz); this oscillation increases the kinetic energy and collisions 14 between molecules, producing random motion and, consequently heat  $29, 30$ . The extent to which a material can be heated under microwave irradiation is dependent on its dielectric properties. The complex relative permittivity, *εr*, describes the ability of a material to store electric energy and it is characterized by the dielectric constant, *εr'*, and the dielectric loss factor, *εr''*:

$$
\varepsilon_r = \varepsilon_r' + i\varepsilon_r'' \tag{1}
$$

 The dielectric constant reflects the capability of a material to be polarized by an electric field, while the dielectric loss factor is related to the amount of energy actually absorbed and subsequently dissipated as heat. The ratio of the dielectric loss by the dielectric constant defines the dielectric loss tangent, which measures the ability of a material to convert electromagnetic energy into heat at a given frequency and temperature.

$$
\tan \delta = \frac{\varepsilon^{\prime\prime}}{\varepsilon^{\prime}} \tag{2}
$$

 Absorption of microwave radiation by a material depends not only on the frequency of the electromagnetic waves and the material temperature, but also moisture content, physical state, 3 composition and dimensions of the irradiated material  $30, 31$ . When applying microwaves to heat oil shale, it is expected that oil and moisture content will have an important influence on its dielectric properties. Moreover, heating of the oil shale will depend on the kerogen polarizability. Given the polar nature of kerogen, dipole relaxation effects are improved and the material is effectively heated. In fact, it was found that the loss factor would increase with increasing oil 8 shale richness at a frequency of 500 MHz  $^{29}$ . The presence of heteroatoms, namely sulfur, nitrogen and oxygen, also contribute to the increase of the polarization of this substance when 10 under the effect of electromagnetic radiation . Finally, metals in the form of powders are good 11 microwave radiation absorbers  $15, 16$ .

 Water is known to absorb microwave energy very strongly, given its polar characteristics. In many industrial microwave processes, the presence of water in the workload is essential for their 14 adequate operation <sup>30</sup>. Water present in the oil shale can therefore affect the electromagnetic 15 energy absorption . Some studies report the variation of the dielectric properties of some oil shale samples (Green River oil shale) with the variation in their water content. It was reported that with increasing percentage of water saturation in the oil shale samples, both *ε'* and *ε''* increased (frequency range of 1 MHz to 1.8 GHz), therefore improving energy propagation and 19 absorption by the material . A study to the dielectric properties of Jordanian oil shales  $34$  also showed that samples with higher moisture content presented higher loss factor values.

 The oil obtained from oil shale retorting often requires additional upgrading processes, when 2 compared to conventional crude oil . Having this in mind, the present study tested the influence of a zeolite, with acidic character, on oil shale transformation and resulting products recovered. The goal was to try to obtain lighter products via direct contact of the oil obtained from kerogen transformation with a catalyst under microwave irradiation which would possibly require less processing for subsequent liquid fuels production.

 The use of zeolite-based catalysts for cracking of high molecular weight compounds to lighter 8 and more valuable products is well known . This type of catalysts has also been applied to shale 9 oil upgrading  $37, 38$ . Williams *et al.*  $38$  studied a two stage oil shale pyrolysis, where the reaction 10 products from kerogen decomposition flowed through a ZSM-5 catalyst bed at  $400 - 550^{\circ}$ C prior to recovery. Pore dimensions of ZSM-5 zeolite prevent the formation of voluminous intermediary 12 compounds and consequently minimizes the formation of coke . However, when the cracking of large molecules is intended, a large pore structure is usually advantageous. For that reason, a commercial BEA zeolite was used in this study.

 The application of zeolite catalysts under microwave radiation has also been studied for various 16 reactions  $31, 40$ . Dielectric heating of zeolites is possible and this phenomenon has been associated 17 to the migration of cations in the zeolite framework .

 In the present work, the transformation of an oil shale sample under microwave irradiation was performed and the results obtained were compared to the transformation under conventional heating. The influence of the presence of water vapour in the oil shale transformation under microwave irradiation was evaluated. Moreover, the presence of a BEA zeolite together with the oil shale was studied using microwave energy. Oil fractions obtained from decomposition of the  oil shale in such conditions were characterized and compared with the results obtained under conventional heating.

 The purpose of this work resides on the feasibility study of the transformation of oil shale in the presence of zeolite, under microwave radiation, and no *scale-up* was yet intended in this context.

### **MATERIAL AND METHODS**

 **Experimental apparatus and operating conditions.** Experimental studies using microwave irradiation were conducted in a microwave system as represented in [Figure 1.](#page-43-0) The microwave system comprises a generator operating at a frequency of 2.45 GHz with a maximum power output of 1000 W. From the generator, the microwaves pass through a water-cooled circulator, which is used to prevent any reflected power from damaging the magnetron. This device only allows microwaves to flow in one direction, towards the waveguide, while the reflected power is diverted into a water-cooled load. The reflected power is measured using a probe at the inlet of the water load. From the circulator, the microwaves then go through a standard rectangular brass 15 9A waveguide ( $86 \times 43$  mm), which can incorporate a variable coupling aperture, which consists of a thin aluminium sheet with a rectangular orifice of variable dimension. The dimensions of the coupling aperture affect the Q-factor of the cavity, which has the result of varying the E-field density. For the experiments performed in the present study, the dimensions of the rectangular 19 orifice used were  $31 \times 21$  mm.

 The sample section of the waveguide has two vertical ports (47 mm diameter) where the reactor is introduced and two smaller horizontal ports (17 mm diameter) to allow access to an IR thermometer (OMEGA ®). To prevent microwave leakage, the ports length is over than twice

 their diameter. At the end of the waveguide a short circuit, or plunge tuner, is installed. The plunge tuner is used to alter the internal length of the waveguide so that a standing wave is generated. In this situation, it is possible to locate the position of maximum E-field in the center of the sample section, where the reactor is placed. Maximum energy absorption means minimum reflected power. Accordingly, tuning of the microwave cavity was performed by minimizing the reflected power measured at the level of the water-cooled circulator.

 The sample temperature, as measured by an infrared pyrometer, was used to control the power supplied to the generator, by means of a PID control algorithm. The PID algorithm takes as input the difference between the actual sample temperature (the IR thermometer measurement) and the temperature set point and then acts on the forward power from the generator. Since the IR thermometer measures the external surface temperature of the reactor wall, this temperature reading had to be corrected to the actual sample temperature inside the reactor. This correction was performed by introducing a thermocouple in the reactor after microwave heating. As the system cooled down, both temperature readings (IR thermometer versus reading from the thermocouple) were registered.

 A quartz reactor was used to place the oil shale sample inside the microwave cavity, using 6 g of the sample (bed height of about 2 cm). Helium was used as carrier gas with a flow rate of 20 mL/min.

 The liquid products, obtained from oil shale decomposition, were recovered at the bottom of 20 the reactor using a cold trap  $(-80 \degree C)$ . As the liquid products formed were quite viscous, they were recovered from the reactor and from the collecting vessel via dilution in the minimal 22 amount possible of acetone. Pure samples were also recovered for elemental analysis of the oil. A

 gas collecting tube was placed after the cold trap. At the setup's outlet, the gas would flow through a soap bubble flow meter for monitoring of the gas flow rate during reaction.

 In order to study the oil shale transformation under microwave irradiation, the sample was 4 heated in a first step to  $300^{\circ}$ C, where it was held for 10min, and then to  $500^{\circ}$ C. The forward 5 power from the generator was altered to maintain a constant heating rate of  $10^{\circ}C/\text{min}$ . programmed temperature methodology was chosen so that the time of exposure at a given 7 temperature could be comparable with the conventional heating experiments.

 To study the effect of water in the system under microwave irradiation, the carrier gas was passed through a saturator filled with water at room temperature placed at the reactor entry, ca. 2.5% H2O.

 The presence of the zeolite was investigated using the mechanical mixture of 5 wt.% of zeolite with the oil shale sample.

 The same temperature program was used when testing the oil shale transformation in a conventional oven. An electrical oven from Adamel – Lhomargy with automatic control, Termolab, was used. Helium flow of 3 mL/min/g was also used to improve liquid flow through the reactor into the oil recovery system. Products recovery was performed in the same way as for microwave heating.

 **Materials Characterization.** The oil shale sample used in this study was provided by Total and originated from the "Assise de Millery" of the Autun Basin in the Massif Central, France. 20 The sample was crushed and sieved to a 40 µm powder. The zeolite sample was a BEA zeolite provided by Zeolyst (CP811 E-75) with global Si/Al ratio of 31.7.

 Rock-Eval analysis was performed over the oil shale sample using 100 mg of powder on a Rock-Eval 6 apparatus. The sample was first maintained at 300ºC where free hydrocarbons were

 volatilized and detected by FID (*S1* peak is then obtained). Afterwards, the temperature was progressively increased to release heavy hydrocarbons, along with the products from thermal cracking of non-volatile organic matter in the rock (*S2* peak). *S2* indicates the rock's existing potential to produce hydrocarbons. Finally, the residual carbon fraction, for Total Organic Carbon (TOC) calculation, was determined by an oxidation step of the remaining sample at 850ºC.

 The oils obtained were injected in a GC Shimadzu-9A, with a capillary column CP- Sil 5 CB and equipped with a FID detector. The gas samples recovered in the gas collecting tube were analyzed using GC-MS, for the experiments performed under microwave irradiation, and using the GC Shimadzu-9A for the conventional heating experiments. The GC-MS used was a Trace GC Ultra/DSQ II, with a Restek capillary column, RXi®-5 Sil MS. The GC-MS was also used for characterization of the liquids obtained.

12 Simulated distillation was performed with the oil samples (after roto-evaporation of the 13 solvent) according to the ASTM method D7500. FTIR – ATR was used for analysis of the liquid samples. The spectrometer (Nicolet Magna 750, provided with a MCT detector) was equipped with an ATR accessory from Specac, with a single reflection diamond crystal. TGA analysis was used to evaluate the amount of organic matter left in the spent shale recovered from the reactor after each experiment. The thermo-gravimetric experiments were recorded on a Setaram TG- DTA 92 instrument operating under nitrogen with a flow rate of 30 mL/min through the furnace in the following conditions: sample weight about 30 mg, heating from room temperature up to 900ºC at 5ºC/min. Afterwards, the sample was calcined at 1000ºC, under air flow at 30 mL/min. Carbon, hydrogen, nitrogen and sulphur contents were determined for both the spent shale samples and the oil sample obtained from oil shale decomposition under microwave irradiation.

### **RESULTS AND DISCUSSION.**

The oil shale sample was first characterized using Rock-Eval pyrolysis to evaluate its potential for hydrocarbons generation. The total oil potential of the sample, defined as the sum of 4 peaks *S1* and *S2*<sup>41</sup> was found to be 488.6 mg of hydrocarbon per gram of rock ( $\sim$ 48.9 wt.%). The amount of residual carbon in the sample after pyrolysis is not significant, given that the Total 6 Organic Carbon (TOC) determined was of 49.8%.  $T_{\text{max}}$ , where maximum release of hydrocarbons 7 takes place, also given by Rock-Eval analysis , was 444 °C, indicating an early mature stage of 8 the organic matter in the source rock . T<sub>max</sub> is indicative of the source rock maturation degree, 9 but it is also affected by the organic matter type, soil contaminations and the mineral matrix . 10 For this reason, the  $T_{\text{max}}$  value found should be interpreted with caution. The application of this parameter to oil shale samples is useful when comparing a series of rocks of different origins, 12 since higher  $T_{\text{max}}$  values indicate higher maturity of the organic matter  $^{44}$ .

 The Production Index, *PI*, defined in equation [\(3\)](#page-12-0), can also be used for the evaluation of the organic matter maturity. The *PI* resulted in a value of 0.002, since the value of the *S1* peak (0.93 mg hydrocarbons/g of rock) was much lower than the *S2* peak (487.71 mg hydrocarbons/g of 16 rock), indicating the presence of immature organic matter .

<span id="page-12-0"></span>
$$
PI = \frac{S_1}{S_1 + S_2} \tag{3}
$$

 The quality or type of the organic matter can be described by the Hydrogen Index, *HI*, 18 commonly used for kerogen classification, as described by Peters and Cassa<sup>42</sup>. Hydrogen index is defined as the amount of hydrocarbons generated by kerogen cracking (*S2* peak) referred to the TOC. This parameter is proportional to the amount of hydrogen present in the kerogen and it is, for that reason, a measure of the rock's potential to generate oil. The hydrogen index obtained was 979 mg HC/g TOC. The kerogen present in the oil shale sample is thus of type I  $^{42}$  and it shows great potential for oil production.

 **Oil shale transformation under microwave irradiation.** Heating of the oil shale sample under microwave irradiation resulted in the formation of a liquid product flowing down from the oil shale bed, along with intense vapour formation. Vapours were then condensed in cold traps. Samples from the gas product were recovered in a gas collecting tube and were subsequently analyzed by GC-MS. After the oil shale transformation, the solid residue (spent shale) was retrieved from the reactor for posterior analysis.

 Data recorded from the PID control of the power supplied to the microwave generator was useful to estimate the actual temperature where the oil shale transformation took place. As shown in **[Figure 2](#page-43-1)**, the power level behaviour is rather constant during heating of an oil shale sample, until the power output suffers a sudden increase, corresponding, in this case, to a temperature of 300ºC. This temperature is assigned to the decomposition temperature of the oil shale. The rapid 14 variation in the power level is believed to be related to the change in the loss factor (dielectric loss tangent) of the sample, which may be affected by changes at the molecular or structural 16 levels of the material, such as its decomposition .

17 Considering the result from Rock-Eval analysis, where  $T_{\text{max}}$  was observed at 444 °C, it is interesting to note the difference in the transformation temperature between both heating methods. In fact, the temperature reading during microwave irradiation using the infrared 20 temperature probe is taken as an average of the sample's bed temperature. Hot spots caused by 21 increased local absorption of radiation could promote oil shale transformation, while the mean 22 temperature of the bed is lower than the one observed during conventional heating. Hot spot 23 formation in gas/solid heterogeneous catalytic systems, as well as selective heating of certain



16

17 **Oil shale transformation under conventional heating.** In order to assess the microwave 18 heating system for oil shale conversion, the sample was also tested under conventional heating 19 using an electrical furnace and the same flow rate conditions previously used.

20 A thermocouple was employed for temperature monitoring in the oil shale bed. In this case, the 21 identification of the transformation temperature was based on the gas flow rate monitoring at the 22 setup outlet. **[Figure 3](#page-44-0)** shows the gas flow rate variation during heating of the oil shale, as well as

 the temperature reading during the experiment. The point where the gas flow rate started to increase was considered as the transformation temperature.

 When heating the oil shale sample under these conditions the transformation was observed around 380ºC. The temperature for maximum gas flow rate was observed in the range 442 – 445ºC, which is in complete agreement with Rock-Eval analysis, where maximum hydrocarbons release was detected at 444ºC.

 Simulated distillation of the oil samples obtained under conventional and microwave heating show very similar results. The variation in product distribution is almost negligible between both samples.

 The elemental weight composition of the oil obtained from oil shale transformation under microwave heating is presented in **[Table 1](#page-40-0)**, as well as the usual proportions of these elements found in conventional petroleum <sup>6</sup>. The composition of the liquid fraction obtained from the oil shale decomposition is within the same limits found for conventional petroleum. The hydrogen- to-carbon molar ratio obtained was of 1.4, which is close to the lower limit for this parameter. A higher hydrogen-to-carbon ratio is normally desired for use as a refinery feedstock. However, it is known that unconventional hydrocarbon sources are not as advantageous as conventional crude oil for the same purpose. Hydrogen-to-carbon ratios of 1.5 are typical for oil sands bitumen, but a 18 ratio as low as 1.3 has been found for U.S. tar sands .

 Thermo-gravimetric analysis of fresh and spent shale samples allowed the estimation of the remaining organic material in the treated samples. Oil shale pyrolysis using thermogravimetric 21 analysis has been previously investigated  $9, 49, 50$ . It was found that, depending on the oil shale type, its decomposition exhibits either single or a two-stage evolution of hydrocarbon material, in

1 the temperature range of  $250 - 620^{\circ}$ C. At temperatures higher than  $600^{\circ}$ C, thermal decomposition of carbonates takes place, due to the presence of minerals, such as calcite or dolomite.

 Thermal treatment of fresh oil shale under nitrogen flow showed a two-stage decomposition process (**[Figure 4](#page-45-0)**A and B) where the transformation step at 720ºC is most likely due to 5 carbonates decomposition . Afterwards, an oxidation step allowed the combustion of residual 6 carbon from refractory material, such as heavy oils, tar-mats, coke . The organic content was then estimated summing the weight loss due to the first decomposition step, at temperature below 600ºC, and the residual carbon from the oxidation phase. For the fresh oil shale sample, the organic content obtained was of 47%, while the total organic content given by Rock-Eval analysis was of 50%.

 Accordingly, TGA under nitrogen flow performed with the spent shale after microwave or conventional heating showed different remaining organic contents, as shown in **[Figure 4](#page-45-0)**. Total weight loss due to organic carbon after microwave heating was of 23%, as opposed to 14% for the sample treated under conventional heating. Also, **[Figure 4](#page-45-0)** shows different thermal behaviours regarding said samples. Both fresh oil shale and spent shale from microwave heating present two decomposition steps, at 450 – 460ºC and 720ºC (**[Figure 4](#page-45-0)**B). On the other hand, spent shale from conventional heating only presents the step at higher temperature (**[Figure 4](#page-45-0)**A), 18 meaning that it mainly contains the heavier products fraction. This result suggests that the spent 19 shale from microwave heating contains a higher amount of organic matter yet to be recovered, as 20 opposed to the spent shale from conventional heating. This observation is consistent with the 21 average lower temperature of the oil shale bed during microwave irradiation, due to lack of 22 reactor insulation, suggesting that part of the sample did not reach the optimum temperature to 23 promote kerogen transformation.

 Elemental analysis of the solids obtained after oil shale decomposition (**[Table 2](#page-40-1)**) also shows lower carbon content in the spent shale when using conventional heating than when microwaves

3 were applied, which is in agreement with the TGA results.

 The oil obtained after microwave irradiation of the oil shale has a hydrogen-to-carbon ratio (H/C) of 1.4, as previously referred, while fresh oil shale presents a ratio of 1.3. A good correspondence between both values was expected, since the organic matter that constitutes the oil recovered is originated from kerogen decomposition present in the fresh oil shale.

 The recovered spent shale samples, in general, present a lower hydrogen-to-carbon ratio, when compared to fresh oil shale, mainly due to the decrease in the total carbon content of the spent shale.

 **Zeolite influence in oil shale transformation.** Mixing a zeolite with the oil shale sample under microwave heating caused a decrease in the transformation temperature, which was observed at 245ºC, as shown in **[Table 3](#page-41-0)**. The temperature reading was recorded as previously 14 described and the sample's decomposition was observed at lower temperature than the one of pure oil shale transformation under microwave irradiation (**[Figure 5](#page-46-0)**). A sudden increase in power during heating of the sample mixed with zeolite is also observed in **[Figure 5](#page-46-0)**, indicating 17 the moment where transformation of the oil shale takes place. This process is endothermic and, as the sample heats, it can cool easily. However, as the oil is released from the sample, its organic 19 content decreases and higher power is needed to maintain the temperature. The result is the 20 appearance that two powers are applied for one temperature. When conventional heating was used, the observed transformation temperature was basically

the same for both cases studied.

1 **[Table 3](#page-41-0)** also presents the mass balance relating to the initial organic content, using as 2 calculation basis the amount of organic material determined with TGA in the initial sample, *mi*. 3 The calculation basis was chosen assuming that the mineral matrix of the oil shale stays constant

4 during heating of the sample.

5 The liquid products yield, *Yliquid*, was determined using the amount of liquid recovered in the 6 condenser and the initial amount of organic matter in the oil shale sample.

$$
Y_{liquid} = \frac{m_{liquid}}{m_i} \times 100
$$
\n<sup>(4)</sup>

7 The amount of organics not recovered in the liquid or gas fractions, *m not recovered*, was 8 determined using the data from TGA of the spent shale.

$$
m_{not\,recovered} = OM_{spent} \times m_{spent} \tag{5}
$$

9 where *OMspent* refers to the organic material in the spent shale determined by TGA and *mspent* is 10 the weight of spent shale recovered from the reactor. This parameter was then related to the initial 11 amount of organic material in the sample.

#### 12 The amount of organic material in the gas produced was calculated considering that

$$
m_i = m_{liquid} + m_{not\text{ recovered}} + m_{gas} \tag{6}
$$

13 The gas products yield was then defined as

$$
Y_{gas} = \frac{m_{gas}}{m_i} \times 100\tag{7}
$$

14 The conversion of the oil shale was used to evaluate the degree of transformation of the organic

15 material under microwaves or conventional heating.

$$
Conversion = \frac{m_{products}}{m_i} \tag{8}
$$

16 where

$$
m_{products} = m_{liquid} + m_{coke} + m_{gas}
$$
 (9)

 The organic material present in the spent shale is due (i) to the presence of non-transformed kerogen – evidenced by the first weight loss in the TGA weight profile for the spent shale from microwave heating (**[Figure 4](#page-45-0)**), and (ii) to the presence of heavier compounds that remain in the solid, after decomposition. These compounds came from the transformation of the organic material and thus were considered as coke, *mcoke*.

 The amount of coke produced was calculated from the increment observed in the weight loss upon TG analysis during the oxidation step of the spent shale. In fact, the weight loss during TGA using air flow is due to a heavier products fraction, given that it was not decomposed under nitrogen flow. Since this fraction of heavier products is also present in fresh oil shale, the amount of coke produced during oil shale decomposition was estimated using the increment in the weight loss observed under air flow:

$$
m_{spent} \times \frac{\{\% weight loss_{air}^{spent}\}}{100} = m_{heavy} + m_{coke}
$$
 (10)

12 Where 
$$
m_{heavy}
$$
 refers to the heavier products weight in the initial oil shade sample.

$$
m_{heavy} = m_{oil\,shake}^{ fresh} \times \frac{\left\{ \frac{0}{6} \text{ weight loss}_{air}^{ fresh} \right\}}{100} \tag{11}
$$

 **[Table 3](#page-41-0)** shows that liquid products yields resulting from microwave and conventional heating were similar. However, as previously seen, the amount of organic matter in the spent shale after conventional heating was lower, meaning that the amount of gas formed increased in this case.

 When adding the zeolite to the oil shale under microwave irradiation, liquid products yield decreased significantly. Nevertheless, total conversion of the oil shale under microwave heating was about 70% of the initial organic content, with and without zeolite (**[Table 4](#page-41-1)**). Total conversion considers the total weight of liquid and gas formed, as well as the products adsorbed in the spent shale (coke). The addition of zeolite caused an increase of the organics remaining in  the spent shale, evidencing the increase in coke production, probably due to hydrocarbon 2 oligomerization reactions promoted in the acid sites of the zeolite. Williams *et al.* <sup>38</sup> also used a zeolite-based catalyst for the two stage pyrolysis of oil shale and obtained a similar result, with an increased gas yield, while decreasing oil production, due to coke formation in the catalyst.

 Under conventional heating, total oil shale conversion was also similar for both experiments, with and without zeolite, while coke production was fairly the same in both cases (**[Figure 6](#page-46-1)**). **[Figure 6](#page-46-1)** shows higher organic content in the spent shale obtained after microwave heating, when 8 compared to conventional heating. This result could be associated to the lower temperatures 9 observed in the oil shale bed when using microwave irradiation, and is not necessarily related to 10 an increased coke production in these conditions. When heating the oil shale sample to promote hydrocarbons release from the mineral matrix, cracking of the resulting compounds can also take 12 **place at sufficient high temperatures**<sup>14</sup>. When microwave heating was used, part of the sample was at lower temperatures than when using conventional heating, which resulted in lower 14 tendency for cracking, leading to adsorption of heavier compounds in the spent shale.

 Liquid products were analyzed, in the first instance, via gas chromatography, using a flame ionization detector. Several reference compounds were used to divide the chromatogram in different intervals of retention time (retention windows), which were then correlated with the amount of sample eluted from the column within each retention window. The weight composition determined this way was then related to the amount of liquid and gas products obtained, resulting in the products yield shown in **[Figure 7](#page-47-0)**.

The selectivity towards liquid products was defined as

$$
S_{liquids} = \frac{m_{liquids}}{m_{products}} \times 100
$$
 (12)

While the selectivity towards gas products,

$$
S_{gas} = \frac{m_{gas}}{m_{products}} \times 100
$$
 (13)

1 For the light products fraction, " $\lt C_6$ " the selectivity is given by

$$
S_{ (14)
$$

2 And for the remaining product fractions:

$$
S_i = w_i S_{liquids} \tag{15}
$$

3 The amount of gas produced was considered in the " $< C_6$ " product fraction. As noted before, the overall products yield decreased when adding the zeolite to the system under microwave heating. On the other hand, the global product yield was practically unaffected by the presence of zeolite under conventional heating. When looking at each product fraction, light products yield ("<  $C_6$ " fraction) increased in the presence of zeolite under microwave irradiation [\(Figure 7A](#page-47-0)) mainly because the selectivity towards gas products increased (**[Table 4](#page-41-1)**). In fact, it was rather expected that the amount of gas produced, related to the total amount of oil shale transformed, would increase in the presence of zeolite due to an intrinsic catalytic cracking activity associated 11 with its acidic character. **[Figure 7](#page-47-0)**B shows that the " $\langle C_6$ " and " $C_6 - C_{10}$ " products yield increased in the presence of the zeolite. This fact can also be related to the zeolite catalytic activity.

 A gas sample was collected when the gas flow rate measured at the setup outlet started to increase. The gas was then analyzed using GC-MS, which allowed the identification of the main compounds present in the gas. It was found that the major contributions were from ethane, 17 propane and propylene (fraction designated as  $C_2 \& C_3$ ), followed by butane and 2-butene. Pentane and 1-pentene were also identified, and finally, to a lesser extent, hexane and 1-hexene. This analysis was used as a qualitative approach for gas characterization (**[Figure 8](#page-48-0)**A).

 For the conventional heating experiments, the gas recovered was analyzed using only gas chromatography. In this case, methane was identified in the gas products, as well as the compounds previously described in **[Figure 8](#page-48-0)**B.

 **Water vapour influence, under microwave irradiation.** The oil shale transformation was also tested using microwave heating with Helium flow saturated in water vapour. The sample's decomposition was observed around 242ºC, similar to what was observed when zeolite was used [\(Figure 5\)](#page-46-0). Since water is a polar molecule, it heats very easily when under the influence of an 8 electromagnetic field . When water vapour is inside the microwave cavity along with the oil shale sample, it helps heating the material and hence the proper conditions for the oil shale 10 decomposition are more easily achieved. The water vapour in the system, either from the 11 saturator or from desorption from the zeolite, can be adsorbed by the structure of the oil shale. 12 When interacting with the microwave field, steam is formed between the layers of this structure, 13 forcing them apart and releasing the oil at a lower temperature than the one observed when no 14 water vapour or zeolite were used.

 In the presence of water vapour and heating under microwave irradiation, the liquid products yield decreased [\(Table 3\)](#page-41-0). [Table 2](#page-40-1) shows that the total carbon content did not decrease as much as when only oil shale was used, which implies lower organic matter recovery. Consequently, total oil shale conversion decreased, which was found to be around 49% (**[Table 4](#page-41-1)**).

 **ATR-FTIR of the oil samples.** Attenuated Total Reflectance (ATR) is a widely adopted, non- destructive, technique for the determination of chemical composition of materials based on their chemical bonding. ATR analysis of the liquid samples from oil shale decomposition was used in this work as a first approach to the oils characterization.

1 In all samples analyzed, the normal *ν*(C-H) stretching vibration bands are present, 2 corresponding to methyl and methylene bridge groups present in hydrocarbon chains. These 3 bands appear in the  $2840 - 3000$  cm<sup>-1</sup> region <sup>51</sup> (**[Table 5](#page-42-0)**). Bending vibrations for methyl groups 4 appear at lower frequencies compared to their corresponding stretching vibrations. For the 5 symmetric vibration mode the band appears at  $1375 \text{ cm}^{-1}$ , and at  $1450 \text{ cm}^{-1}$  for the asymmetric 6 mode <sup>51</sup>. For the –CH<sub>2</sub>– group, there are four possible vibration modes: scissoring, rocking, 7 wagging and twisting. Scissoring vibration appears normally at  $1465 \text{ cm}^{-1}$ , while the rocking 8 vibration mode appears at  $720 \text{ cm}^{-1}$ , which was not possible to identify in the spectra obtained **9** (**[Figure 10](#page-49-0)**). The other two vibration modes are normally less intense  $51, 52$ . **[Table 5](#page-42-0)** presents the 10 bands identified in the spectra corresponding to CH3 and CH2 groups present in hydrocarbon 11 compounds in the shale oils.

12 [Figure 9](#page-49-1) and [Figure 10](#page-49-0) show the IR spectra for the shale oils obtained using microwaves and 13 conventional heating, with and without zeolite. The band at 2730 cm<sup>-1</sup> may correspond to the C-14 H stretching vibration of groups H–C=O, characteristic of aldehydes (these groups would appear 15 between  $2650 - 2800$  cm<sup>-1 53</sup>).

16 It is generally accepted that for wavenumbers greater than  $3000 \text{ cm}^{-1}$  the vibrations are due to 17 stretching of the C–H bond of an ethylene or aromatic groups  $51$ . It is possible to distinguish the 18 presence of unsaturated compounds, shoulder around  $3050 \text{ cm}^{-1}$ , in all samples, corresponding to the *v*(Csp<sup>2</sup> –H) vibration <sup>54</sup>. Aromatic compounds are also present, as verified by the 3004 cm<sup>-1</sup> 20 band for the *v*(C<sub>aromatic</sub>-H) vibration and at 1603 cm<sup>-1</sup> for the  $\delta$ (C = C) vibration of the aromatic 21 ing <sup>54</sup>. The bands at 1709 cm<sup>-1</sup>, corresponding to the C=O stretching vibration <sup>51</sup>, 1220 cm<sup>-1</sup>, C-22 O stretching vibration  $55$  and 1360 cm<sup>-1</sup> corresponding to the CH<sub>3</sub> group bending vibration in 23 ketones  $51$ , are all due to the solvent  $56$ .

 The results are inconclusive about the presence of sulphur or nitrogen compounds in the oil 2 samples. For example, thiophene ring stretching would result in a band at  $1350 - 1550$  cm<sup>-1</sup> and  $S = 0$  stretching vibrations would appear at  $1030 - 1070$  cm<sup>-1 57</sup>. In the regions referred, there are many contributions, making the assignment of the bands to a given compound or group very difficult.

 ATR analysis was used only as a qualitative approach to the oils characterization. No conclusions were taken regarding which one of the samples would present heavier or lighter compounds.

 **Simulated distillation.** The liquid samples obtained from oil shale decomposition under microwave and conventional heating were analyzed according to the ASTM method described above. Prior to analysis, the solvent was evaporated. The oil samples analyzed contain a mixture of hydrocarbons with carbon number lower than 110, corresponding to an atmospheric equivalent 13 boiling point (AEBP) of 735 $\degree$ C, as described in API Research Project 44<sup>58, 59</sup>. The AEBP of 704 – 712ºC corresponds to n-alkanes with carbon number between 92 and 96.

 [Figure 11](#page-50-0) shows the result derived from simulated distillation data of the oils obtained under microwave irradiation. The curves represent the weight fraction recovered for each boiling point, 17 while the bar graph represents the result of the division of both curves into intervals related to the 18 number of carbon atoms found in conventional hydrocarbon mixtures . The separation into ranges was defined as follows:

- Naphtha: < 175ºC
- Kerosene: 175 343ºC
- Gas oil: 343 525ºC
- Vacuum residue: 525 800ºC

1 Coke:  $> 800^{\circ}$ C



methods, as described above.

 Data treatment for GC-MS analysis was performed using Thermo Scientific Xcalibur® software. The spectrum associated to each peak in the chromatogram was compared to the NIST Standard Reference Database mass spectral library (NIST05) and a probable identification for the components was found.

 In order to better distinguish the liquids obtained under microwave irradiation, the several compounds found with GC-MS where divided into classes, according to functional groups. Their respective areas in the chromatogram were then compared [\(Figure 12\)](#page-51-0), since the response observed in the mass spectrometer for a given compound is proportional to its concentration in the gas stream. In the presence of zeolite, it was observed a significant change in the aromatic character of the liquid sample: the contribution from aromatics and polycyclic aromatic hydrocarbons increased, while the alkane fraction was not changed. When water vapour was used under microwave irradiation, the alkene fraction increased significantly, as well as the polycyclic aromatic compounds.

Other studies relating to shale oil characterization by compound type, such as Wood *et al.* <sup>64</sup>, make reference to an oil rich in paraffins and cycloparaffins, with carbon number extending to 16 C<sub>35</sub>. Also, Jones *et al.* <sup>65</sup> compared shale and crude oil and found similar aromaticity for both products. However, the oil obtained from decomposition of the oil shale under microwave irradiation shows a highly aromatic character.

19 When comparing ion chromatograms for  $m/z$  57, fragment ion characteristic of alkanes  $64$ , the differences between the oils obtained under microwave or conventional heating are negligible, as shown in [Figure 13A](#page-52-0). The intensity was normalized in [Figure 13](#page-52-0) due to the different dilution degrees in both oils. However, when looking at the aromatic fraction [\(Figure 13B](#page-52-0)), defined for m/z from 78 to 142, the obtained pattern is different. For instance, the intensity in the ion  chromatogram of the oil obtained under conventional heating is much lower, and therefore, the noise increases. It is also possible to observe the presence of peaks at 4.7, 7.3 and 10.2 min in the microwave heated sample which are not present in the conventional heating sample. These peaks correspond, with a good match, to aromatic compounds such as styrene, indene and naphthalene, 5 respectively. As a result, the aromatic fraction in the oil from conventional heating is not as important as for the shale oil obtained when microwave irradiation was used. This result is in 7 agreement with what was found regarding the amount of coke present in the spent shale samples (**[Figure 6](#page-46-1)**).

 **Total energy requirements.** An important aspect to take into consideration when comparing conventional and microwave heating is the energy consumption during both processes.

 When the transformation of the oil shale was performed using conventional heating, an electric oven was used, with automatic temperature control. The temperature increase is achieved via pulses of electric current into the resistance heater. [Figure 14](#page-53-0) shows the power variation during heating of the oil shale and compares it with the oven set point temperature. Integrating power as a function of operating time, it is possible to calculate a rough estimate of the total energy consumption for the operating conditions used. For the oil shale transformation under conventional heating the energy consumed to heat the sample was about 506 Wh.

 During microwave irradiation of the oil shale, the control unit would vary the magnetron current according to the temperature requirements inside the microwave cavity. In this case, the power output would be continuous as illustrated in [Figure 15.](#page-53-1) Using these conditions, the energy consumption was about 252 Wh to heat the oil shale sample. Considering that the liquid products yield was very similar for both cases, the energy consumption when using microwave irradiation was about 50% of the energy consumed using conventional means.

 To maintain the dwell temperature at 300 or 500ºC, the electric oven needed about 600 to 1200 W. Using microwave heating the power needed was of 400 W. Additionally, tuning of the microwave cavity decreased the power sent to the magnetron to about 100 W for the dwell at 300ºC. Automatic tuning of the cavity during microwave heating could greatly improve energy absorption by the sample and decrease the total energy consumption, making this point a key factor for the process to be really advantageous, as far as energy requirements are concerned.

#### **CONCLUSION**

 The oil shale transformation in the presence of an acidic catalyst was achieved under flow conditions and microwave radiation. The average temperature at which it occurs was found to be 4 lower than the one observed when heating by conventional means, related to the presence of important temperature gradients within the oil shale bed. Insulation of the reactor to improve temperature control inside the microwave cavity could help overcoming this problem.

 Thermo-gravimetric analysis of the spent shale samples from microwave heating showed a 8 two-stage process, with a first step at  $450 - 460^{\circ}$ C and a second one at  $720^{\circ}$ C, similar to what was observed with the fresh oil shale sample. In contrast, after conventional heating of the oil shale, the spent samples only presented the carbonate decomposition step, meaning that, under these conditions, the organic matter was transformed to a greater extent than when using microwave irradiation. Liquid products yield and composition were very similar for both microwave and conventional heating. On the contrary, gas production was intensified in the latter case.

 When adding water to the system under microwave heating, the average decomposition temperature decreased. However, the products yield also decreased significantly. Shale oil conversion was promoted by adding BEA zeolite to the oil shale sample. Under microwave heating, a decrease in the average transformation temperature was detected, when comparing with conventional heating. The total conversion of organic material was similar to the one obtained for oil shale decomposition, performed without zeolite. However, coke production increased at the expense of liquid products yield. Nevertheless, selectivity towards gas products 21 was improved, causing the yield for the " $\langle C_6$ " fraction to increase, which is most likely due to the intrinsic cracking activity associated with the zeolite. This effect was also observed under

 conventional heating, where the transformation temperature was similar with and without BEA 2 zeolite. Both " $\langle C_6 \rangle$ " and " $C_6 - C_{10}$ " products selectivity increased in the presence of the zeolite. Analysis of the oils obtained revealed an increase of the aromatic character of the products obtained when using microwaves. This effect was clear when the zeolite was added to the system. Adding zeolite and water to the system simultaneously, in a limited temperature range, could be a solution to overcome increased coke formation due to the presence of the zeolite, since water molecules could work as hydrogen supplier to the system, decreasing the formation of coke precursors.

 Finally, when comparing the energy consumed to heat the oil shale sample under microwave and conventional heating, it was concluded that the use of microwaves is advantageous, given that, for the same liquid products yield, the energy consumption is much lower.

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# **LIST OF TABLES**

<span id="page-40-0"></span>**Table 1.** Elemental weight composition of the oil obtained using microwave heating to decompose the oil shale; comparison with conventional crude oil.

Element	Shale oil from microwave heating, $wt. \%$	Conventional Petroleum <sup>6</sup> , $wt. \%$
	$86.5 \pm 0.2$	$83.0 - 87.0$
H	$10.0 \pm 0.2$	$10.0 - 14.0$
N	$1.30 \pm 0.01$	$0.1 - 2.0$
		$0.05 - 6.0$

<span id="page-40-1"></span>**Table 2.** Elemental analysis results of fresh and spent shale samples.



<span id="page-41-0"></span>**Table 3.** Transformation temperatures obtained for oil shale decomposition under microwave irradiation; organic matter balance for each experiment.



*a* from TGA.

<span id="page-41-1"></span>**Table 4.** Total conversion and selectivity by product fraction, using microwave irradiation and conventional heating.



	Vibration type		Wavenumber, cm <sup>-1</sup>
	Asymmetric stretching	$v_{as}(CH_3)$	2960
	Symmetric stretching	$v_s(CH_3)$ :	2872
Methyl groups	Asymmetric bending	$\delta_{\rm as}(CH_3)$	1454
	Symmetric bending	$\delta_{s}(CH_{3})$	1377
	Asymmetric stretching	$v_{as}(CH2)$	2921
Methylene bridge	Symmetric stretching	$v_s(CH_2)$	2852
	Scissoring	$\delta_{\rm s}({\rm CH_2})$	1460

<span id="page-42-0"></span>Table 5. Identification of the bands observed in the regions 3500–2400 cm<sup>-1</sup> and 2000–700 cm<sup>-1</sup>.

## **LIST OF FIGURES**



<span id="page-43-0"></span>Figure 1. Schematic diagram of the microwave setup used in the study. (A) Variable power generator, (B) magnetron, (C) waveguide flange connector, (D) water-cooled circulator, (E) variable coupling aperture, (F) sample section, (G) plunge tuner.



<span id="page-43-1"></span>Figure 2. Data obtained from the power output control system of the microwave generator for a given temperature program.



<span id="page-44-0"></span>Figure 3. Gas flow rate measurement (◆) during oil shale transformation under conventional heating; relation with sample's temperature (■).



<span id="page-45-0"></span>**Figure 4.** TGA of fresh and spent shale samples from decomposition under microwave irradiation and conventional heating, experiments under nitrogen flow (A); weight derivative in function of time (B).



<span id="page-46-0"></span>Figure 5. Power level from the microwave generator control system reported to the sample temperature; comparison between all experiments using microwave heating.



<span id="page-46-1"></span>Figure 6. Comparison of the amount of coke produced for the experiments using microwave and conventional heating.



<span id="page-47-0"></span>**Figure 7.** Products yield for the cases studied under microwave irradiation (A) and conventional heating (B).



<span id="page-48-0"></span>**Figure 8.**Result from peak integration of the GC-MS chromatogram for the gas samples obtained via microwave irradiation (A); peak integration of the chromatogram from GC of the gas samples



<span id="page-49-1"></span>**Figure 9.** Normalized IR spectra in the *ν*(C-H) vibration region: a – microwave heating; b – conventional heating with BEA zeolite; c – conventional heating; d – microwave heating with BEA zeolite.



<span id="page-49-0"></span>**Figure 10.** Normalized IR spectra in the *δ*(C-H) vibration region: a – microwave heating; b – conventional heating with BEA zeolite;  $c$  – conventional heating;  $d$  – microwave heating with BEA zeolite.



<span id="page-50-0"></span>Figure 11. For all the liquids obtained using microwave heating, simulated distillation curves (A) and percent mass recovered per boiling point cut (B).



<span id="page-51-0"></span>**Figure 12.** Percent area obtained for each group of organic compounds found in the liquids obtained in the experiments using microwave irradiation.



<span id="page-52-0"></span>Figure 13. Normalized ion chromatograms for (A) m/z of 57 and (B) m/z of 78 to 142, with respect to the oils obtained under microwave and conventional heating.



<span id="page-53-0"></span>Figure 14. Power variation  $(-)$  during operation of the oven for oil shale transformation and relation with the oven temperature set point  $(- - -)$ .



<span id="page-53-1"></span>**Figure 15.** Power output during microwave irradiation of the oil shale sample (♦); comparison with temperature set point  $(- - -)$ .