

1 **Assessment of multi-temporal, multi-sensor radar and**  
2 **ancillary spatial data for grasslands monitoring in Ireland**  
3 **using machine learning approaches**

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25 **Abstract**

26 Accurate inventories of grasslands are important for studies of carbon dynamics, biodiversity  
27 conservation and agricultural management. For regions with persistent cloud cover the use of multi-  
28 temporal synthetic aperture radar (SAR) data provides an attractive solution for generating up-to-date  
29 inventories of grasslands. This is even more appealing considering the data that will be available from  
30 upcoming missions such as Sentinel-1 and ALOS-2. In this study, the performance of three machine  
31 learning algorithms; Random Forests (RF), Support Vector Machines (SVM) and the relatively  
32 underused Extremely Randomised Trees (ERT) are evaluated for discriminating between grassland  
33 types over two large heterogeneous areas of Ireland using multi-temporal, multi-sensor radar and  
34 ancillary spatial datasets. A detailed accuracy assessment shows the efficacy of the three algorithms to  
35 classify different types of grasslands. Overall accuracies  $\geq 88.7\%$  (with kappa coefficient of 0.87)  
36 were achieved for the single frequency classifications and maximum accuracies of 97.9% (kappa  
37 coefficient of 0.98) for the combined frequency classifications. For most datasets, the ERT classifier  
38 outperforms SVM and RF.

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## 48 **1. Introduction**

49 Detailed knowledge on land cover and land use are key parameters for sustainable planning and  
50 management of resources, and are crucial data components for many aspects of global change studies  
51 (Verburg et al., 2011). Globally, grasslands cover 37% of the total land area (O'Mara, 2012) and are  
52 valuable ecosystems that support a multitude of roles, most importantly food security, biodiversity  
53 conservation and greenhouse gas mitigation. In Ireland, grassland is the dominant land cover,  
54 occupying approximately 60% of the country's terrestrial area (Eaton et al., 2008), and represents  
55 over 90% of all agricultural land (pasture, grass silage or hay, and rough grazing) (~4,000,000ha). The  
56 dominance of grassland in Ireland stems largely from the favourable climatic conditions that allow for  
57 a prolonged grass growing season (Lafferty et al., 1999). Given their extent, there is considerable  
58 potential to increase carbon sequestration in grasslands through improved land management and  
59 restoration of degraded grasslands (Soussana et al., 2004; O'Mara, 2012). This has a particular  
60 importance for Ireland, given the dominance of grassland and expected trends for intensification of  
61 grassland management through implementation of the *Food Harvest 2020* strategy (DAFM, 2011) by  
62 the Irish Government and the abolition of milk quotas across the EU-28 in 2015 (Läpple & Hennessy,  
63 2012). Milk production is expected to increase by 50 per cent by 2020 compared to the 2007-2009  
64 average and beef output by 40 per cent under the *Food Harvest 2020 strategy*. These are the agri-  
65 sectors (dairy and beef) of most importance in relation to GHG emissions (Casey & Holden, 2005,  
66 2006). While these transitions will affect the sequestration and emission processes, they also present  
67 significant implications for biodiversity (Benton et al., 2003; Walker et al., 2004) and water quality.  
68 Intensification and expansion of production, fertiliser use and land improvement (drainage) will  
69 impact surface and groundwater and it is essential that Ireland complies with legislation (Water  
70 Framework Directive (2000/60/EC), Groundwater Directive (2006/118/EC) and Nitrates Directive  
71 (91/676/EEC)) to maintain (and/or restore) good water quality and avoid fines from the European  
72 Commission.

73 In light of the major changes now undergoing in Irish grassland practices, it is crucial that more  
74 detailed and more precise inventories of grassland are obtained so that sustainable grassland

75 management can be achieved. Under EU legislation (Decision No 529/2013/EU), mandatory  
76 accounting on greenhouse gas emissions and removals resulting from activities related to grazing land  
77 management is to be phased in between 2013 and 2021. From 2016 to 2018, Member States will be  
78 required to report to the Commission on the inventory systems in place or being developed to estimate  
79 emissions and removals from grazing land management. Collection of such data by traditional means  
80 (e.g. through field work) can be cost prohibitive and resource intensive. The use of earth observation  
81 (EO) data can provide routine coverage over large and remote areas and readily be incorporated into  
82 operational mapping or monitoring programs to provide a cost effective means of replacing or  
83 complementing field data collection. As agricultural areas are characterised by temporal differences  
84 due to plant phenology and farm management (e.g. grazing and mowing activities), the use of a multi-  
85 temporal image series is more effective than using a single date acquisition (Ranson & Sun, 1994;  
86 Kasischke et al., 1997; Pierce et al., 1998). Given the difficulty of acquiring multiple acquisitions  
87 throughout a growing season with optical sensors limited by frequent cloud cover, synthetic aperture  
88 radar (SAR) data can be an important alternative, or complementary data source, to provide the best  
89 opportunity for generating a multi-temporal data set as they are almost independent of weather and  
90 illumination conditions, making their use for operational purposes especially appealing. Semi-  
91 automatic analysis of these data for land cover applications usually consists of them being classified  
92 into a pre-determined number of individual classes.

93 A number of different approaches exist for semi-automated classification of EO data (e.g. see Lu  
94 & Weng, 2007 for a comprehensive review). The maximum likelihood classifier (ML) is one of the  
95 most commonly used supervised classification techniques due to its simplicity and implementation in  
96 almost all standard image processing software packages (Waske & Braun, 2009). This technique  
97 assumes a normal Gaussian data distribution and computes the statistical probability of a given pixel  
98 belonging to a particular class. The assumption of a Gaussian distribution is not ideal in the context of  
99 SAR data due to the interference of speckle. Machine learning approaches are non-parametric and  
100 therefore do not rely on any assumption about data distribution. Support Vector Machines (SVM) and  
101 Random Forests (RF) are two of the most sophisticated machine learning approaches available. Both  
102 methods have become increasingly popular and used frequently in land cover classifications using

103 multi- and hyper-spectral satellite imagery (e.g. Melgani & Bruzzone, 2004; Gislason et al., 2006;  
104 Waske & Braun, 2009; Dalponte et al., 2009; Kavzoglu & Colkesen, 2009; Loosvelt et al., 2012a).  
105 Although these classifiers have produced promising results with optical data, only relatively few  
106 applications to SAR data are known (e.g. Waske & Benediktsson, 2007; Waske & van der Linden,  
107 2008; Waske & Braun, 2009; Walker et al., 2010; Long  p   et al., 2011; Loosvelt et al., 2012a;  
108 Rodriguez-Galiano et al., 2012; Deschamps et al., 2012). Similarly, most studies that have applied RF  
109 or SVM using SAR data have focused on relatively small study areas, e.g. Lardeux et al., (2009);  
110 Waske & Braun, (2009), and Loosvelt et al., (2012b) all focused on an area size of 5x5km. The  
111 backscatter and environmental variability associated with different land cover classes rises with  
112 increasing study area size, and therefore the performance of the classifiers strongly depends on the  
113 spatial scale of the study area. Furthermore, most of these studies are concerned with general land  
114 cover classification or crop classification, and relatively few studies focusing on the use of SAR for  
115 grasslands monitoring or parameter retrieval exist (e.g. Hill et al., 1999; Pairman et al., 2008; Smith &  
116 Buckley, 2011; Dusseux et al., 2012; Satalino et al., 2012; Voormansik et al., 2013; Wang et al.,  
117 2013).

118 In this study, the RF and SVM classifiers are applied to multitemporal C & L-band SAR datasets  
119 covering two different regions in Ireland of 1091km<sup>2</sup> and 1837km<sup>2</sup> in size. In addition, the use of a  
120 relatively new machine learning classifier - Extremely Randomised Trees (ERT) is also investigated.  
121 ERT has not found widespread application within the EO community to date, and has been used  
122 primarily in computer vision (Moosmann et al., 2008) and bio-medical imaging applications (Mar  e et  
123 al., 2013). This paper sets out to evaluate their potential for creating grassland inventories over large  
124 heterogeneous areas, making the key distinction between grasslands that are improved, and those that  
125 are semi-improved. Improved grasslands are intensively managed and include pastures and grassland  
126 harvested for hay and silage. They occur across a range of soil and site conditions and usually have  
127 high external inputs of fertiliser and herbicides and disturbance (e.g. reseeded and drainage).  
128 Alternatively, semi-improved grasslands are characterised by relatively low external inputs of  
129 fertiliser and herbicides and low disturbance relative to improved grasslands. They are threatened  
130 largely by the abandonment of all management (and the subsequent reversion to scrub) or the

131 intensification of management (and a loss of plant species diversity). Their survival is of increasing  
132 concern given the targets set out in *Food Harvest 2020* and the decline of rural populations, increased  
133 costs in farming marginal lands and improved off-farm opportunities that are resulting in the  
134 abandonment of marginally productive lands. Some areas may be abandoned as a result of  
135 intensification in other areas (Joyce, 2013).

## 136 **2. Study Areas & Datasets**

### 137 **2.1 Study sites**

138 The Republic of Ireland has a land area of 70,282km<sup>2</sup> and is divided into 26 counties for  
139 administrative purposes. The study areas are located in central and north western Ireland,  
140 encompassing the counties of Longford and Sligo respectively (see Fig. 1). Longford has an area of  
141 1091km<sup>2</sup> and is predominantly lowland, with the highest altitude reaching 278m (Cairn Hill) in the  
142 north of the county. It is a rural county with the second lowest population in Ireland (~36,000 people)  
143 and where pastures are the dominant land cover. The west of the county is dominated by extensive  
144 tracts of peatlands whereas lakelands are found in the south, west and north-east. The south-east  
145 represents the predominantly agricultural land in the county, interspersed with plantations of  
146 deciduous and mixed woodland. The north and northwest is characterised by drumlin topography.  
147 Annual average rainfall is between 900 and 1000mm. Sligo has an area of 1837km<sup>2</sup> and shares a  
148 substantial part of its border with the North Atlantic Ocean. The county has two distinctive upland  
149 areas; the Dartry mountains to the north of the county and the Ox mountains to the south-west. Due to  
150 its proximity to the ocean, Sligo has a maritime climate with generally low annual temperature  
151 amplitudes and humid conditions. Annual average rainfall varies between 1000-1200mm for the low-  
152 lying areas and between 1600-2000mm for the highest mountains in the Dartry and Ox ranges.

### 153 **2.2 SAR Dataset**

154 A total of 12 ENVISAT ASAR IM scenes, 15 ERS-2 scenes and 12 ALOS PALSAR scenes from  
155 2008 have been acquired for this study. ERS-2 was launched on 20<sup>th</sup> April 1995 by the European  
156 Space Agency (ESA) and operated at C-band with a single mode (VV polarisation and ~23° incidence

157 angle) until it was retired on 5<sup>th</sup> September 2011. ENVISAT, the successor to ERS-2 was launched by  
158 ESA on 1<sup>st</sup> March 2002 and provided continuous data from a range of sensors until operations ceased  
159 in April 2012. The Advanced Synthetic Aperture Radar (ASAR) instrument operated at C-band and  
160 provided data in various modes with differing spatial resolutions and coverage. In this study, data in  
161 Image Mode (IM) were obtained to coincide with the ERS-2 beam mode. The ALOS satellite was  
162 launched on 19<sup>th</sup> January 2006 and operated until 12<sup>th</sup> May 2011. The PALSAR instrument on board  
163 ALOS operated at L-band and provided fully polarimetric capabilities. Table 1 shows the acquisition  
164 dates and associated data product characteristics for both Longford and Sligo. All PALSAR data were  
165 acquired from ascending orbits, ENVISAT ASAR data was acquired from descending orbits and  
166 ERS-2 data acquired from both ascending and descending orbits. The ERS-2 and ENVISAT ASAR  
167 scenes were acquired in VV polarisation at an incidence angle of ~23° and a 100km swath width. For  
168 PALSAR data, there are six scenes per county – two scenes in Fine Beam Single (FBS) mode (HH  
169 polarisation) and four scenes in Fine Beam Dual (HH/HV polarisation). The PALSAR scenes were  
170 acquired with an incidence angle of ~38° and have a swath width of 70km. Two frames were required  
171 to cover each county which results in a total of three separate acquisition dates per county.

### 172 **2.3 Ancillary data**

173 In addition to the SAR data, various ancillary datasets were used during the classification process.  
174 The Irish Land Parcel Identification System (LPIS), developed by the Irish Department of  
175 Agriculture, Food & the Marine, provides an alphanumeric identification system for all agricultural  
176 parcels receiving Area Aid funding from the European Union (EU). The dataset contains vector  
177 boundaries of the parcels with attribute information including area, crop type and stocking densities.  
178 The 2008 LPIS dataset was used to facilitate the identification of arable lands and improved  
179 grassland. The National Parks and Wildlife Service (NPWS) semi-natural grasslands field survey  
180 dataset for Longford (O'Neill et al., 2009) and Sligo (O'Neill et al., 2010) were used to provide  
181 training data for the semi-improved grassland classes. A total of 193 2m x 2m relevés from 49 sites  
182 (covering an area of 1306ha) in Longford were surveyed for the NPWS field survey. In Sligo, 322 2m  
183 x 2m relevés from 52 sites (area coverage of 1546ha) were surveyed. The Forest Inventory &

184 Planning System (FIPS), maintained by the Forest Service (Ireland) aided the collection of forest  
185 reference points and further datasets used included the Teagasc-EPA Soils and Subsoils dataset (Fealy  
186 et al., 2009), an Ordnance Survey Ireland (OSi) 10m spatial resolution DEM and OSi  
187 orthophotography.

### 188 **3. Methodology**

#### 189 **3.1 Training Data**

190 Ten landcover classes were considered according to the specific needs of the project: dry humic  
191 semi-improved grassland, dry calcareous semi-improved grassland, wet semi-improved grassland,  
192 reclaimed improved grassland, dry improved grassland, forest, peatland, cropland, water, and  
193 settlement. Table 2 displays these ten classes along with their definitions and the associated number of  
194 training and validation samples per class. They were largely defined according to the classification  
195 scheme developed by the Irish Heritage Council (Fossitt, 2000) and the Intergovernmental Panel on  
196 Climate Change (IPCC) Guidelines for National Greenhouse Gas Inventories (IPCC, 2006). The  
197 dominant species composition and management regime of the grassland classes is detailed in Table 3.  
198 Improved grasslands are typically species-poor and usually dominated by ryegrasses (*Lolium perenne*,  
199 *Lolium multiflorum*) and clover (*Trifolium repens*). They are intensively managed for grazing and/or  
200 cutting and have high external inputs of fertiliser and disturbance (reseeding and biomass removal).  
201 Species composition varies considerably in the semi-improved classes (GSw, GSdc, and GSdh). GSw  
202 usually contains abundant rushes (*Juncus* spp.) and sedges (*Carex* spp.), while GSdc and GSdh both  
203 comprise of a wide range of grasses and broadleaved herbs. These grasslands are often unenclosed  
204 and not managed intensively. The mean number of species recorded at each site in Longford was 92  
205 and 117 for Sligo.

206 A stratified random sampling approach was adopted for the selection of training and validation  
207 data for each class. A 20m x 20m grid was overlaid on the datasets and point values extracted from  
208 the cell centroid locations. User interpretation of OSi orthophotography, Bing Imagery, LPIS and  
209 FIPS data facilitated the distinction of the non-grassland classes, whereas the grassland classes were  
210 distinguished using additional data from the NPWS semi-natural grasslands field survey datasets,



211 LPIS and the Teagasc/EPA Soil dataset. All samples were selected independently of the SAR data. A  
212 5-fold cross validation was performed to minimise the impact of the training data selection. The 5-  
213 fold cross validation partitions the dataset randomly and uses 5-1 folds for training and the remaining  
214 one for validating the classifier. The main advantage is that all the samples are eventually used for  
215 both training and validating the classifier. The same strategy was used for all three classifiers.

### 216 **3.2 Image Processing**

217 All SAR data were delivered as single look complex (SLC) data products from the European  
218 Space Agency (ESA) and processed using SARscape® software within an ENVI® environment.  
219 Auxiliary orbit and calibration information for each image acquisition was used to generate true  
220 backscattering coefficients ( $\sigma^0$ ). The most recent external calibration files (XCA) along with precise  
221 satellite orbital data (\_VOR) provided by the DORIS (Doppler Orbitography and Radiopositioning  
222 Integrated by Satellite) instrument on board ENVISAT were used for the processing of the ASAR  
223 scenes, while ERS PRARE (Precise Range And Range-Rate Equipment) Precise Orbit Products were  
224 used for processing the ERS-2 data. As a first step, the SLC images acquired with similar track and  
225 frames were co-registered. Multi-looking factors of 2 (in range) and 6 (in azimuth) for the PALSAR  
226 FBS data and 1 and 6 for the FBD data were then applied to create 20 x 20m pixels. ERS-2 and  
227 ASAR data were multi-looked by a factor of 1 and 5, and 1 and 6 in range and azimuth respectively.  
228 The multi-looked data were subsequently speckle filtered using a 5x5 kernel size Frost filter and  
229 radiometrically and geometrically calibrated and converted to dB. An OSi 10m spatial resolution  
230 DEM with a vertical accuracy of 0.5m and several ground control points (GCPs) were used to  
231 geometrically correct the SAR scenes to the Irish Transverse Mercator (ITM) projection using a  
232 Range-Doppler approach.

233 Several texture features derived from the SAR data were investigated to evaluate their  
234 contributions to the classification accuracies. The common Grey Level Co-occurrence Matrix  
235 (GLCM) (Haralick et al., 1973) was applied to all SAR data to extract textural information, as carried  
236 out in studies by Long  p   et al. (2011) and Li et al. (2012). Four textural parameters (homogeneity,  
237 contrast, entropy and second moment) were computed using a 3x3 sliding window, and the relation

238 between neighbouring pixels was considered around the four main directions (0°, 45°, 90° and 135°).  
239 Multi-temporal features (gradient, standard deviation, median, maximum, minimum, span ratio,  
240 maximum increment, span difference, min ratio and max ratio) were also extracted from the time-  
241 series intensity data to enable structures and/or temporal changes to be detected.

242 No terrain distortions were present in the Longford dataset as a result of its low-lying topography.  
243 Conversely, the scenes for Sligo needed to be masked for certain terrain-induced distortions due to a  
244 more varying topography. The total area masked ranged from 0.2 -1.4% of the total county area  
245 depending on acquisition characteristics. These areas were subsequently masked from all the SAR  
246 intensity data and SAR-derived texture and multitemporal measures and excluded from the  
247 classifications.

### 248 **3.2.1 Grassland phenology**

249 The Normalised Difference Vegetation Index (NDVI) was calculated to provide an approximate  
250 phenological stage for the Level 1 grassland classes at each SAR acquisition date. NDVI is a strong  
251 indicator of the photosynthetic capacity of a canopy and is used in this study as a proxy for the  
252 approximate phenological stage of grasslands throughout 2008. Sixteen day composites of Moderate  
253 Resolution Imaging Spectroradiometer (MODIS) Terra (MOD13Q1) (250m spatial resolution)  
254 acquisitions were used to calculate NDVI values over the study areas. Only pure improved and semi-  
255 improved grassland pixels were collected. In total, 124 and 122 pixels were identified for Longford  
256 and 301 and 167 pixels for Sligo for the improved and semi-improved classes respectively. Fig. 2  
257 displays a smoothed time series (Hodrick-Prescott-(HP) filter (Hodrick & Prescott, 1997)) of MODIS  
258 NDVI values for both classes for the 2007 – 2009 period.

## 259 **3.3 Classifiers**

### 260 **3.3.1 Random Forest**

261 Three supervised classification algorithms; Random Forests (RF), Support Vector Machines  
262 (SVM) and Extremely Randomised Trees (ERT) have been applied to the SAR data on a per-pixel  
263 basis in this study. The RF classifier (Breiman, 2001) is an advanced form of bagging (bootstrap  
264 aggregating) that forms an ensemble of classification and regression tree (CART)-like classifiers

265 (Breiman et al., 1984). RF can handle high-dimensional datasets, accepts a variety of measurement  
266 scales for both numeric and categorical variables, is less sensitive to noise, can handle many input  
267 variables and does not suffer from overfitting (Rodriguez-Galiano et al., 2012). The algorithm  
268 randomly selects a subset of samples (2/3 of data samples) for the training of each individual decision  
269 tree with the remaining samples (1/3 of data samples) assigned as out-of-bag (oob) samples which are  
270 used to test the classification and estimate the error. For each individual tree, the Gini index (a  
271 measure of class homogeneity) is used to perform the best split of a random set of input features at  
272 each node. Using a majority vote, a final class is assigned from the multiple outputs of all constructed  
273 decision trees.

274 The algorithm can also provide an estimate of the relative variable importance and allow for a  
275 quantification of the classification probabilities. This is of particular value for studies which involve  
276 multi-source variables, as it allows for the contribution of each of the different source variables to the  
277 classification accuracy to be evaluated (Gislason et al., 2006). By reducing the data dimensionality  
278 (thereby minimising sensitivity to the Hughes phenomenon (Hughes, 1968)) and identifying the  
279 optimum input variables, an enhanced classification performance (in terms of accuracy and  
280 efficiency) may be obtained. To do this, RF switches one input variable while keeping the remaining  
281 variables constant and measures the decrease in accuracy, if any, by means of the oob error estimation  
282 and Gini Index decrease (Breiman, 2001). The RF classifier requires only two input parameters; the  
283 number of trees ( $N$ ), and the number of variables to split at each node ( $m$ ). In this study,  $N$  was set to  
284 200 and  $m = \sqrt{p}$ , where  $p$  is the number of predictor variables.

### 285 **3.3.2 Support Vector Machines**

286 Support Vector Machines are based within the framework of the statistical learning theory  
287 developed by Vapnik (1995) and use the principles of structural risk minimisation (SRM). The aim of  
288 SVMs is to fit an optimal separating hyperplane between different classes by using only the training  
289 samples that lie at the edge of the class distributions (i.e. the so-called support vectors). As the  
290 hyperplane maximises the distance between itself and the two classes, it can generalise accurately on  
291 unknown samples (Foody & Mathur, 2004), and minimises overfitting – a problem common to

292 classifiers such as neural networks and decision trees. As SVMs were initially developed to perform  
293 binary classifications, various methods such as one-against-all, one-against-one and directed acyclic  
294 graph have been proposed to extend SVMs to multiclass problems (Hsu & Lin, 2002). To separate  
295 classes with non-linear boundaries, kernel functions are used to transform training samples into a  
296 higher dimensional space where linear class separation can be performed (Huang et al., 2002). This  
297 allows SVMs to perform well even when relatively few training samples are available (Pal & Mather,  
298 2005).

299 In this study, the Radial Basis Function (RBF) kernel and one-against-one strategy were adopted.  
300 The SVM is optimised for appropriate values for  $C$  (penalty or regularisation term) and  $\gamma$  (controls the  
301 width of the radial basis function kernel) by a grid search method using cross-validation. The grid  
302 search method tests different pairs of parameters before finally selecting the set with the highest cross  
303 validation accuracy. A full mathematical formulation of the SVM can be found in Vapnik (1995),  
304 Burges (1998), and Huang et al. (2002) while a more in-depth summary of the use of SVMs in  
305 remote sensing is provided in Mountrakis et al. (2011).

### 306 **3.3.3 Extremely Randomised Trees**

307 Extremely Randomised Trees or Extra-Trees is a relatively new tree-based ensemble classifier  
308 method, introduced by Geurts et al. (2006) and similar in manner to Random Forests. The main  
309 difference is that Random Forests finds the best split among a random subset of variables when  
310 constructing a tree whereas Extremely Randomised Trees selects a node split randomly and uses the  
311 same input training set to train all individual trees (i.e. no bagging). The primary benefit of increasing  
312 the randomisation is to reduce the variance among trees. Using the full training set rather than a  
313 bootstrap sample minimises the bias. Furthermore, ERT is much faster than Random Forests, as the  
314 computational load of the training stage is simplified as the algorithm doesn't search exhaustively for  
315 the optimal split as in RF. ERTs have been widely used in biomedical imaging applications but very  
316 few applications to EO data exist (Zou et al., 2010). To the author's knowledge, this is one of the first  
317 known applications of ERT to multi-temporal SAR data. As with the RF classifier,  $N$  was set to 200

318 and  $m = \sqrt{p}$  for the ERT classifications. All three classifications were implemented using the open-  
319 source Scikit-learn module (Pedregosa et al., 2011) in Python v2.7.3.

## 320 **4. Results**

### 321 **4.1. Temporal backscatter analysis**

322 The distribution of backscatter coefficient ( $\sigma^0$ ) values extracted for the training samples  
323 representing the different grassland classes are displayed in Fig.3. The boxes represent the inter-  
324 quartile range and the whiskers extend to within 1.5 times the inter-quartile range from the upper and  
325 lower quartiles. The C-band VV polarisation signatures display similar dynamic ranges for  
326 acquisitions in both counties (Fig. 3(a) & (b)), though the overall variation in the distribution of  $\sigma^0$   
327 values for each class is higher for the Sligo acquisitions. The difference between median  $\sigma^0$  values  
328 varies between classes for all acquisition dates. In general, the GSdh and GSdc classes display the  
329 greatest separability for the Longford dataset, with GSdh  $\sigma^0$  values on average 3dB lower than GSdc.  
330 A similar pattern is not observed for all Sligo C-band acquisitions. In general, the autumn and winter  
331 acquisitions display the greatest separation (approximately 2 – 3dB) for the GSdh and GSdc classes.  
332 The GAr class tends to have the highest median  $\sigma^0$  values for the C-band Sligo dataset, while the  
333 GSdc class generally has the highest median  $\sigma^0$  values for Longford. The degree of overlap between  
334 the classes is stronger for the Sligo acquisitions, although it is not consistent throughout the time  
335 series.

336 The L-band data display a better separation between the GSw and GAd classes, with median GSw  
337  $\sigma^0$  values approximately 3dB higher than GAd for both HH and HV polarisations. Wet grasslands  
338 typically have a higher soil moisture content compared to improved grasslands which may be  
339 responsible for the increased backscatter. As expected, the HV signals are weaker than the HH but the  
340 variations in median  $\sigma^0$  of the grasslands display a similar pattern. As attenuation of microwave  
341 signals is greater in areas with increased canopy cover, the contribution from these scattering  
342 mechanisms is progressively reduced during the vegetation growth stages. This is observed in the  
343 lower median  $\sigma^0$  values obtained for the summer L-band HH datasets (Fig.3(c) & (e)) and less clearly  
344 for some of the summer C-band acquisitions (Fig. 3(a) & (b)). The analysis of the temporal

345 backscatter profiles confirms the possibility to separate between the grassland classes using a  
346 combination of C- and L-band acquisitions and different polarisations.

## 347 **4.2 Feature Importance**

348 RF has the ability to generate a feature or variable importance ranking which allows for the  
349 reduction of the number of input variables used in the classification process. A RF model with 5000  
350 trees, as suggested by Díaz-Uriarte & De Andres (2006) was used to calculate the importance of the  
351 contribution of each variable to the classifications. The accumulation of all feature scores equals one.  
352 All SAR intensity, SAR-derived and ancillary data features were initially included in the  
353 classifications. In all cases, the GLCM texture measures were found to have little measureable  
354 influence on the classification accuracies and were subsequently removed from any further analysis.  
355 Separate RF models were considered for the three sets of data: those derived from C-band acquisitions  
356 only, those derived from L-band acquisitions only, and those derived from C and L-band acquisitions  
357 combined. The feature importance scores for the C-band, L-band and merged C- and L-band datasets  
358 for both study areas can be seen in Fig. 4. In Fig. 4(a), the first 12 variables are the C-band backscatter  
359 intensities in chronological order, the following 30 variables are the multitemporal features for the  
360 different track and frames (in order - standard deviation, span ratio, span difference, min ratio,  
361 minimum, max ratio, maximum increment, maximum, gradient, median), and the last four are the  
362 soils, sub-soils, elevation and slope ancillary data. A similar structure is adopted for Fig. 4(b)-(f). It is  
363 interesting to note that the ancillary variables; soils, sub-soils, elevation, slope are the most important  
364 variables in each dataset where all SAR and SAR-derived variables are relatively less important.

365 For the C-band Longford intensity data (Fig. 4 (a)), three acquisitions (20080408, 20080722 and  
366 20080914) stand out as having the highest importance. Interestingly, these are all ASAR acquisitions.  
367 In Sligo, the intensity data with the highest importance are two ERS-2 acquisitions (20080729 and  
368 20080817). Considering the L-band intensity data, the summer acquisitions (20080613 – Longford  
369 and 20080601/20080717 – Sligo) have the highest importance. Due to the low temporal density of  
370 observations, it is more challenging to determine the optimum acquisition timing. The choice of  
371 polarisation also varies according to each study site, where the HH polarisation data has a higher

372 importance than the HV polarisation data in Sligo (Fig. 4 (d)) and where there is no real difference  
373 between polarisation importance for Longford (Fig. 4 (c)). For both frequencies, the contribution of  
374 the multitemporal minimum, maximum, and median backscatter intensity for both polarisations also  
375 display high importance. In the combined C- and L-band classifications (Fig. 4(e) – (f)), the dominant  
376 influence of the L-band measurements is apparent for both study areas.

377 From this analysis, the number of input features was subsequently reduced based on the lowest  
378 importance ranking scores and in an effort to minimise data redundancy. A series of RF, SVM and  
379 ERT classifications were carried out to assess the performance of the classifiers based on the reduced  
380 feature inputs until the optimum variables were selected that led to the highest accuracy classifications  
381 (v1 classifications in Table 4). For the final classifications, only the backscatter intensity  
382 measurements and ancillary data variables were included (i.e. all multitemporal-features were  
383 excluded). The importance of the contribution of each feature to the reduced input RF classifications  
384 for the five grassland classes is displayed in Fig.5. For both Longford and Sligo, it is shown that  
385 different variables have the strongest influence for specific classes. Overall, it can be seen that there is  
386 no clear dominance of either C- or L-band intensity data in terms of importance scores that satisfies  
387 all grassland classes. For example, in Longford the C-band intensity measurements have a much  
388 higher importance for dry humic semi-improved grasslands (GSdh), whereas the L-band  
389 measurements have a higher importance for the wet semi-improved grasslands (GSw). In Sligo, the L-  
390 band intensity measurements are most important for discriminating between improved (GAd and  
391 GAr) and wet semi-improved grasslands (GSw).

### 392 **4.3 Classification Accuracy Assessment**

393 Several measures derived from the confusion matrix were used to evaluate the classifier  
394 performance and their associated uncertainties. These included the overall accuracy (OA), user's  
395 accuracy (UA), producer's accuracy (PA) (Congalton, 1991; Congalton & Green, 2009) and the  
396 Kappa statistic ( $\kappa$ ) (Cohen, 1960). The training and validation of the classifiers was performed on  
397 5202 and 6442 samples from the Longford and Sligo datasets respectively. Two different datasets  
398 were used for the classifications; v0 represents classifications that considered all input variables, and

399 v1 represents classifications that considered only the backscatter intensity measurements along with  
400 the soils, sub-soils, elevation and slope data (see Table 4). The effect of reducing the number of input  
401 features can be seen across both study areas in all classifications where OA increases of between 0.8 –  
402 5.8% were obtained.

403 From Table 4, it can be seen that the L-band dataset classifications are only marginally  
404 outperformed by the C-band dataset (generally on the order of ~2%). This is significant given the fact  
405 that the L-band time-series is comprised of just three separate acquisitions for both study areas while  
406 the C-band dataset is made up of 12 and 15 acquisitions for Longford and Sligo respectively. The  
407 positive impact of a synergy between frequencies during classification is clearly demonstrated in  
408 Table 4 where an increase in overall accuracy (average of 1.5%) is observed for each classifier in both  
409 study areas. In all three sets of classifications (C-band, L-band and combined C and L-band), the  
410 Longford results outperform the Sligo results. Notwithstanding this, the final (v1) Sligo classifications  
411 have an average overall accuracy of 91%. In contrast, the Longford datasets produce average  
412 classification accuracies of > 96%. The user`s and producer`s accuracy of individual classes for both  
413 areas were also high, with Longford having considerably lower class standard deviations compared to  
414 Sligo.

415 The classification output maps in Fig. 6 display the distribution of the different land cover  
416 categories in the two study counties. These maps were created using SAR intensity measurements  
417 only and excluded the ancillary variables. In Sligo, the large-scale effect of the regular rainfall and  
418 resulting high humidity can be observed in the manner in which blanket peat bogs cover the  
419 mountainous regions of the Ox (southwest) and Dartry (north) ranges. It can be seen that the majority  
420 of improved grassland occurs along the coastline and in a central corridor in the county. The extensive  
421 peatlands (raised bogs) in the west of Longford are also clearly distinguished with the majority of  
422 improved grassland appearing to occur in the southern and north eastern parts of the county. Some  
423 areas of confusion are present, namely the mixing of settlement and forest classes due to their similar  
424 temporal backscatter signal, nonetheless the distribution of the different classes appears to have been  
425 captured reasonably well.



## 426 **4.4 Prediction Probabilities**

427 An important output of the ERT (and RF) classifier is the class probabilities (Eq. 1). This is the  
428 probability  $p$  of an observation being classified into class  $i$ , where  $k$  is the total number of trees in the  
429 ensemble and  $k_i$  is the total number of trees classifying the observation as class  $i$ .

$$p(i) = \frac{k_i}{k} \quad (1)$$

430 This can be of particular use as a measure of quantifying the level of uncertainty in the generated  
431 classification maps (Fig. 6). For example, low probabilities in Fig. 7 represent pixels that are unlikely  
432 to be dry improved or wet semi-improved grasslands while intermediate probabilities indicate  
433 possible confusion between another or more classes. High probabilities indicate pixels that have  
434 limited uncertainty about the assigned class. As can be seen in Fig. 7, areas along the coastline of  
435 Sligo that were classified as improved grasslands have a very high probability of being assigned to the  
436 correct class. The semi-improved grasslands are more noticeable to the northwest and south of the Ox  
437 mountains and towards the east of the county. In Longford, the area dominated by extensive tracts of  
438 commercial peatlands interspersed with vegetation is clearly distinguishable in the south west of the  
439 county with corresponding low probabilities. At the same time, the areas of dry improved grassland  
440 with the highest probabilities are observed in the southwest and east of the county while wet semi-  
441 improved grasslands in the centre and northwest of the county have the highest probability of having  
442 been correctly classified.

## 443 **5. Discussion**

### 444 **5.1 Backscatter analysis**

445 Microwave scattering from grasslands is complex and has been investigated by several model  
446 experiments (Chauhan et al., 1992; Saatchi et al., 1994; Stiles & Sarabandi, 2000; Stiles et al., 2000).  
447 In practice, determining and isolating the scattering mechanisms from grasslands is difficult due to the  
448 various influencing factors. The backscattering coefficient is a function of the radar system  
449 parameters (frequency, polarisation and incidence angle) and of the surface parameters (dielectric and  
450 geometric properties) (Ulaby et al., 1982). Each of these parameters influences the backscatter

451 response from the imaged surface. For vegetated surfaces, penetration depth depends on the moisture,  
452 density and geometric structure of the plants and soil. In general, the longer wavelength L-band signal  
453 (26cm) partly penetrates through the grass canopy and the return signal predominantly contains  
454 information about the underlying soil properties. Shorter wavelength C-band (5.6cm) backscatter is  
455 influenced more by the plant canopy (Stolz & Mauser, 1997; Schieche et al., 1999; Moreau & Le  
456 Toan, 2003). Similarly, the incidence angle and polarisation dependence of radar backscatter is  
457 influenced by the vegetation cover (Skriver et al., 1999). The higher incidence angle of the PALSAR  
458 sensor ( $\sim 38^\circ$ ) leads to a higher vegetation influence on the backscattered signal, compared to the  
459 steeper  $23^\circ$  incidence angle of ERS-2 and ENVISAT ASAR, which results in the returning signal  
460 having a higher dependence on the dielectric properties of the ground surface. Concerning  
461 polarisation, several studies have also reported stronger backscatter from grasses at VV polarisation,  
462 compared to HH polarisation which interacts more strongly with broad-leaved canopies (Macelloni et  
463 al., 2001; Hill et al., 2005). Changes in the geometric and dielectric properties of the different  
464 grasslands over the calendar year significantly alter the backscatter signal response. For each  
465 acquisition date, the grasslands across the study areas are in various conditions, due to differences in  
466 grazing rotation, grazing intensity, and moisture conditions. As a result, it is not possible to determine  
467 a single wavelength, polarisation and season that are best able to separate the five grassland classes  
468 based on their  $\sigma^0$  values.

### 469 **5.1.1 Soil moisture influence on the backscatter signal**

470 Soil moisture is a significant factor influencing grass growth and the radar backscatter signal from  
471 grasslands (e.g. Hill et al. (1999); Barrett & Petropoulos (2013)). Temporal patterns in soil moisture  
472 impact on the grass growth rate, nutrient uptake and on the length of the grazing season. When the  
473 soil is very wet, grass growth and nutrient uptake is low and it can affect the agronomic management  
474 of the farm (e.g. timing of fertiliser spreading). Soil moisture variations usually follow precipitation  
475 trends. However, they are difficult to determine or predict due to the complex interactions between the  
476 various factors that influence the soil moisture content (e.g. soil texture, topography and vegetation  
477 cover) (Tromp-van Meerveld & McDonnell, 2006). The intensity and frequency of precipitation

478 events play an important role in determining soil water movement in terms of infiltration and  
479 percolation processes. Changes in the moisture content of the soil can result in large changes in radar  
480 backscatter. The Essential Climate Variable Soil Moisture (ECV SM) product (Liu et al., 2012)  
481 (Wagner et al., 2012) was used in this study to provide an estimate of the soil moisture conditions of  
482 the two study areas on the SAR acquisition dates. For both counties, soil moisture estimates are  
483 averaged over four ECV pixels (0.25 degree spatial resolution) that encompass each county. Figure 8  
484 displays the time series of daily ECV soil moisture values with *in situ* daily accumulated precipitation  
485 from nearby Met Éireann synoptic meteorological stations. The Mount Dillon and Markree station  
486 data are available only from summer 2008 while observations for the entire year are available from  
487 both the Ballyhaise and Knock Airport stations. The uncertainty range of the soil moisture values  
488 increases dramatically during the summer months. Soil moisture variability increases strongly during  
489 the vegetative growth period due to increased evapotranspiration and water uptake by plants (Hupet &  
490 Vanclooster, 2002; Illston et al., 2004). No clear relationship between the median C-band backscatter  
491 measurements (Fig.3) and soil moisture on different acquisition dates was observed. This is most  
492 likely due to the C-band signal interacting primarily with the uppermost layer of the grass canopy,  
493 increasing volume scattering and minimising influence from the underlying soil. Loew et al. (2006)  
494 found considerable (C-band VV) backscatter differences between fields with the same soil moisture  
495 content and attributed these to varying biomass of the studied grasslands. Similarly, Schieche et al.  
496 (1999) found the soil water content to have little influence on ERS-1/2  $\sigma^0$  values in the presence of  
497 vegetation, which may help explain why no consistent distinction can be observed between GSw and  
498 GAd that would be as a result of soil moisture differences. For L-band, the GSw class displays a  
499 higher median backscatter value than the other grassland types for both the HH and HV polarisations.  
500 This may be a manifestation of the soil moisture influence on the longer wavelength measurements. It  
501 could also possibly be due to enhanced volume scattering from *Juncus* spp. and *Carex* spp., although  
502 previous studies have noted an almost translucent nature of the grass blade-like structures at L-band  
503 (Dobson et al., 1996; Costa & Telmer, 2006).

504

### 505 **5.1.2 Backscatter changes with grassland phenology**

506 The degree to which the backscatter response can be attributed to vegetation or underlying soil  
507 conditions varies throughout the year as the grassland species are in different growth stages (e.g.  
508 flowering, senescence). Composite NDVI values for every 16-day interval between January 2007 and  
509 December 2009 were used to qualitatively analyse the influence of the grassland growth stage on the  
510 radar backscatter return (see Fig. 2). There are marked seasonal variations both within and between  
511 years, mainly due to meteorological factors and management (e.g. fertiliser application, grazing  
512 intensity) (Hurtado-Uria et al., 2013). The typical pattern is low or no growth over the winter months,  
513 due to low temperatures and low levels of solar radiation, with significant growth commencing in  
514 February or March and continuing to peak growth in June. The structure of the sward also depends on  
515 whether it is managed by grazing or cutting, with grazing usually leading to a more varied sward  
516 structure (e.g. due to trampling, dung patches). Improved grasslands generally display a higher NDVI  
517 throughout the year, apart from a period during the summer of 2008 in Longford when the semi-  
518 improved grasslands display higher NDVI values. Semi-improved grasslands tend to have a sward at a  
519 range of heights with greater botanical diversity than improved grasslands (see Table 3), with each  
520 species exhibiting a characteristic growth pattern during its seasonal development. Usually, longest  
521 heights are during summer when plants are flowering and setting seed and shortest during autumn or  
522 spring, when most species are germinating. GSDc are typically shorter than GSdh grasslands, but  
523 dominated by similar fine-leaved bent and fescue grasses. Either these grassland types are usually left  
524 fallow or only lightly grazed during the summer as livestock are moved to more productive pastures.  
525 It is possible that there may be a relationship between the L-band backscatter and grass height, as GAR  
526 generally has the lowest median backscatter values of all classes and the smallest grass heights.  
527 Similar results were also observed by Hill et al. (1999). Considering the low number of L-band  
528 acquisitions, it is difficult to investigate thoroughly the temporal variation throughout the growing  
529 season. A general decrease in backscatter strength is observed for the L-band HH acquisitions over  
530 the three dates. An increased vegetation biomass attenuates the backscatter signal and similar results  
531 have been observed by Dubois et al. (1995) and Rombach & Mauser (1997). To explore  
532 comprehensively the effect of grassland growth stage on the radar signal return, further investigations

533 are needed which focus at the field-scale, with study fields grouped by growth stage and  
534 complemented with *in situ* biophysical measurements of the grass canopy.

## 535 **5.2 Feature Importance**

536 The varying importance of the ancillary data on the different classes is interesting to note. For  
537 example, in Longford the soils and sub-soils have a dominant influence in the classification of dry  
538 calcareous semi-improved grasslands (given their usual confinement to limestone areas and alkaline  
539 soils). Similarly, the high importance of elevation is expected as these grasslands are largely confined  
540 to the slopes of esker ridges and moraines in the midlands (Fossitt, 2000). As found by Rodriguez-  
541 Galiano et al. (2012), it can be observed that elevation is most important for those classes whose  
542 spatial distribution is conditioned by relief (e.g. dry improved grasslands are mostly located in  
543 lowland areas and dry semi-improved humic grassland mainly occurs in upland areas). It is not as  
544 important for wet semi-improved grasslands as these are usually found on flat terrain in both upland  
545 and lowland areas. The sensitivity of the C-band intensity measurements to phenological differences  
546 in terms of the importance scores can be observed for improved grasslands (GAd) in both Longford  
547 and Sligo where the spring acquisitions have a higher importance than non-spring acquisitions.

## 548 **5.3 Accuracy Assessment**

549 The observed increases in classification accuracy when both frequencies are combined are  
550 consistent with the results of Lardeux et al. (2009) and Turkar et al. (2012). In all three sets of  
551 classifications (C-band, L-band and combined C and L-band), the Longford results outperform the  
552 Sligo results. This may be due to several factors; Sligo has a much larger area and a landscape with  
553 increased topographical variation when compared to Longford. In addition, there are some areas with  
554 bare rock outcrops and coastal areas which cause some confusion, although these are almost  
555 exclusively within the non-grassland classes. In future studies, it may be worthwhile including an  
556 additional 'other land' class to take these areas into account.

557 Not surprisingly, the cover types with high intra-class variability (i.e. grasslands) were the most  
558 difficult to reliably classify as grasslands form a continuum of types and there is confusion between  
559 class boundaries. A similar observation was made by Waske & Braun (2009) using multi-temporal C-

560 band SAR data with a RF classifier. These misclassifications were expected given their similar  
561 backscatter profiles and the heterogeneous nature of the Longford and Sligo landscapes. Clear-cut  
562 boundaries between the different classes are not readily apparent and thereby contribute to the  
563 confusion between classes. For the Sligo dataset, the majority of misclassifications occur between the  
564 GSdc and GSdh classes, while in Longford, the GAR class is the most difficult to reliably classify. It is  
565 observed that the accuracies also vary considerably depending on the classifier used. The increased  
566 performance of the ERT classifier is observed across all datasets for both study areas. The OA  
567 accuracies for the ERT classifier increase by 2% and 3% for the Longford and Sligo datasets  
568 respectively when the multitemporal texture measures are excluded from the classification. The ERT  
569 class-specific accuracies are less variable (lower standard deviations) for the final classifications  
570 compared to the other classifiers. There is also a more discernible increase in SVM accuracy after the  
571 variable exclusion when compared to the improvement in accuracy of the RF and ERT classifiers.

#### 572 **5.4 Comparison of different classification results with and without ancillary datasets**

573 To quantitatively assess the influence of the ancillary datasets on the classification accuracies, a  
574 number of classification permutations were carried out (see Table 5). Classifications were performed  
575 using all radar and ancillary data (a), all data without soils (b), all data without elevation (c), and radar  
576 intensities only (d). When the C- and L-band datasets are analysed separately, the differences in  
577 accuracies (OA and  $\kappa$ ) after the ancillary data are excluded from the classifications are considerably  
578 larger than when the frequencies are combined. For Longford, there are small differences (3.6 - 5%)  
579 between the complete dataset and the radar only dataset for the three classifiers. The differences for  
580 the same datasets for Sligo are larger at between 7.9 and 9.3%. A much higher decrease is observed  
581 for the L-band than the C-band classifications when the ancillary data are excluded. This may be  
582 explained by the fewer radar acquisitions that make up the L-band dataset compared to the C-band.  
583 For all classification scenarios, the ERT classifier outperforms SVM and RF. In addition to the above,  
584 the classifiers were run using only the ancillary variables (soils and elevation) as input. The ancillary  
585 data results (see Table 5) outperform the separate C- and L-band radar only (d) results for both

586 Longford and Sligo. The combined C-and L-band radar intensities produce higher accuracies than  
587 using the ancillary data alone.

588 These findings show that combining radar datasets with ancillary data significantly improves the  
589 accuracy of distinguishing grasslands. Similar findings were found in the case of wetlands (Corcoran  
590 et al., 2013; Marti-Cardona et al., 2013). To further improve grassland classifications, the combination  
591 of optical with SAR and ancillary datasets may result in increased accuracies (Hill et al., 2005; Bagan  
592 et al., 2012; Smith & Buckley, 2011) although some studies (Price et al., 2002; Dusseux et al., 2012)  
593 have found a combined approach unsuccessful in yielding more accurate results. This would have  
594 obvious limitations; especially from an operational context as consistent and systematic cloud-free  
595 optical imagery may not be available for specific areas on a yearly basis. In addition, the extra effort  
596 (in terms of additional optical image processing and analysis) and cost may not be worthwhile in  
597 practice, as the findings from this study have shown that both single-frequency and multi-frequency  
598 multi-temporal SAR and ancillary data are capable of providing high classification accuracies in the  
599 absence of optical data.

## 600 **6. Conclusion**

601 SAR data are less frequently used in land-cover classification studies than optical data, yet they  
602 can be an important alternative or complementary data source for areas with persistent cloud cover. In  
603 Earth Observation and with SAR data in particular, we are usually faced with high-dimensional  
604 datasets. More sophisticated classifiers are needed to deal with such data, and machine learning  
605 algorithms such as SVM and RF are among the most effective methods currently available. In this  
606 study we have presented one of the first known applications of the Extremely Randomised Trees  
607 (ERT) algorithm for grassland discrimination using SAR data. The results provide for the first time  
608 fine spatial resolution land cover classifications for two counties in Ireland, showing the spatial  
609 distribution of different grassland classes based on the integration of multi-temporal, multi-sensor C-  
610 and L-band SAR and ancillary soils and elevation data. All three algorithms produce high  
611 classification accuracies for both study areas. The best results are achieved when both frequencies are  
612 used in the classifications, agreeing with previous studies which have highlighted the limitations of

613 using single polarisation and frequency data (Ferrazzoli et al., 1999; Blaes et al., 2005). An almost  
614 consistent, although at times moderate, superiority of ERT over RF and SVMs was observed for all  
615 datasets. Consistent with the results of Loosvelt et al. (2012b), a decrease in the number of variables  
616 led to a strong reduction in the data dimensionality and a more parsimonious dataset with increased  
617 overall accuracies. Overall, the high accuracies are very encouraging and the presented approach has  
618 demonstrated comparable results for two different large and heterogeneous areas. This is an important  
619 aspect in terms of the operational viability of the approach in being applied on a national scale across  
620 all counties in Ireland. If carried out nationally on an annual basis, the classifications could contribute  
621 to future assessments of Ireland's greenhouse gas (GHG) inventory for the (extended) Kyoto protocol  
622 (2013-2020), EU reporting and other national assessment requirements. This will be critical for  
623 monitoring the impacts of achieving the productivity and environmental sustainability targets as set  
624 out in *Food Harvest 2020* and the *Green Low-Carbon Agri-Environmental Scheme (GLAS)* (DAFM,  
625 2014) (introduced as part of the Rural Development Plan 2014-2020 that aims to work within the  
626 framework of key EU Directives and national and international targets for preserving grassland  
627 habitats and low input pastures) respectively.

628 Radar (and optical) EO technology will undoubtedly become an integral part of grassland resource  
629 management within the next decade. The availability of multi-temporal and multi-configuration C-  
630 and L-band data will increase with Sentinel-1A/B, and future planned SAR missions such as the  
631 Radarsat Constellation and ALOS PALSAR-2. The forthcoming availability of S-band data  
632 (NovaSAR-S) will present further opportunities, in addition to those already presented by X-band  
633 sensors such as TerraSAR-X and COSMO-SkyMed. These datasets will be invaluable for future  
634 studies where further research on the effect of specific management practices (e.g. cutting and  
635 grazing, drainage) on the backscatter response is required. Ideally, dedicated field studies coincident  
636 with the time of image acquisition are needed in order to fully understand and profile the scattering  
637 mechanisms caused by different grassland conditions (e.g. density, height, moisture content) under  
638 observation.

639



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## List of Figure Captions

943

944

945 **Figure 1** Location of the study counties of Longford and Sligo in the Republic of Ireland (shaded).

946 The blue markers indicate the locations of the Irish Meteorological Service (Met Éireann) synoptic

947 stations, where MK=Markree, KA=Knock Airport, MD=Mt Dillon, and BH=Ballyhaise.

948

949 **Figure 2** MODIS NDVI time series for improved (green) and semi-improved (red) grasslands in a)

950 Longford, and b) Sligo over a three year period from January 2007 to December 2009. There are 23

951 MODIS datasets per year and error bars represent 1 standard deviation. The data has been smoothed

952 using the Hodrick-Prescott-(HP) filter.

953

954 **Figure 3** Temporal C- and L-band backscatter profiles of the grassland classes for Longford and

955 Sligo. The boxes represent the lower (25<sup>th</sup> percentile) and upper (75<sup>th</sup> percentile) quartile values of the

956 data with a black line at the median. The whiskers extend to the minimum and maximum values of the

957 data (excluding outliers).

958

959 **Figure 4** Feature importance scores of the backscatter intensities, SAR-derived multi-temporal

960 features, and ancillary data sources for C-band (a-b), L-band (c-d), and combined C- & L-band (e-f)

961 datasets for Longford and Sligo.

962

963 **Figure 5** Feature importance scores of the individual grassland classes for the combined C- and L-

964 band datasets for Longford (left) and Sligo (right). For Longford, the first 12 features are the C-band

965 backscatter intensities (shaded), next three the L-band HH backscatter intensities followed by the two

966 L-band HV backscatter intensities (dotted) and the four ancillary variables (diagonal hatch); soils,

967 subsoils, elevation and slope. For Sligo, the first 15 features are the C-band backscatter intensities

968 (shaded), next three the L-band HH backscatter intensities followed by the two L-band HV

969 backscatter intensities (dotted) and the four ancillary variables (diagonal hatch); soils, subsoils,

970 elevation and slope.

971 **Figure 6** Classification results of the ERT classifier as applied to the C- and L-band SAR and  
972 ancillary dataset for Sligo (left) and Longford (right).

973

974 **Figure 7** ERT Classification probabilities of dry improved and wet semi-improved grassland for Sligo  
975 and Longford. Low probabilities are shown in white with high probabilities in black.

976

977 **Figure 8** Time series of daily ECV soil moisture values (solid lines) and error range (shaded)  
978 covering the two counties (Longford (a-b); Sligo (c-d)) with *in situ* daily accumulated precipitation  
979 from nearby Met Éireann synoptic meteorological stations. All SAR acquisition dates are marked  
980 with blue crosses.

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**Table 1** SAR data characteristics

Sensor	Date	$\lambda$	$\theta$	Polarisation	Pass	Track	Frame
<b>Longford</b>							
ERS-2	2008-03-23	5.6cm	23°	VV	D	80	2525
ERS-2	2008-03-27	5.6cm	23°	VV	A	144	1076
ASAR	2008-04-08	5.6cm	23°	VV	D	309	2526
ERS-2	2008-05-01	5.6cm	23°	VV	A	144	1076
ASAR	2008-06-17	5.6cm	23°	VV	D	309	2526
ERS-2	2008-07-10	5.6cm	23°	VV	A	144	1076
ASAR	2008-07-22	5.6cm	23°	VV	D	309	2526
ERS-2	2008-08-10	5.6cm	23°	VV	D	80	2525
ERS-2	2008-08-14	5.6cm	23°	VV	A	144	1076
ERS-2	2008-08-26	5.6cm	23°	VV	D	309	2522
ASAR	2008-09-14	5.6cm	23°	VV	D	80	2524
ERS-2	2008-11-23	5.6cm	23°	VV	D	80	2525
PALSAR FBS	2008-01-27	23.6cm	38°	HH	A	1	1060/1070
PALSAR FBD	2008-04-28	23.6cm	38°	HH/HV	A	1	1060/1070
PALSAR FBD	2008-06-13	23.6cm	38°	HH/HV	A	1	1060/1070
<b>Sligo</b>							
ERS-2	2008-02-01	5.6cm	23°	VV	D	352	2512
ERS-2	2008-03-23	5.6cm	23°	VV	D	80	2514
ASAR	2008-04-11	5.6cm	23°	VV	D	352	2511
ASAR	2008-07-09	5.6cm	23°	VV	D	123	2509
ASAR	2008-07-25	5.6cm	23°	VV	D	352	2511
ERS-2	2008-07-29	5.6cm	23°	VV	A	416	1086
ERS-2	2008-08-10	5.6cm	23°	VV	D	80	2514
ERS-2	2008-08-17	5.6cm	23°	VV	A	187	1084
ERS-2	2008-08-29	5.6cm	23°	VV	D	352	2512
ASAR	2008-09-14	5.6cm	23°	VV	D	80	2513
ASAR	2008-09-17	5.6cm	23°	VV	D	123	2509
ASAR	2008-10-03	5.6cm	23°	VV	D	352	2511
ASAR	2008-10-19	5.6cm	23°	VV	D	80	2506
ERS-2	2008-11-23	5.6cm	23°	VV	D	80	2514
ASAR	2008-12-31	5.6cm	23°	VV	D	123	2509
PALSAR FBS	2008-03-01	23.6cm	38°	HH	A	3	1070/1080
PALSAR FBD	2008-06-01	23.6cm	38°	HH/HV	A	3	1070/1080
PALSAR FBD	2008-07-17	23.6cm	38°	HH/HV	A	3	1070/1080

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**Table 2** Classes and associated number of training samples

Level 0	Level 1	Level 2	Level 3	Description	#Longford	#Sligo
Grassland	Improved Grassland [GA]	Dry [GAd]		Grassland on well drained soils – no vegetative indicators of wetness	362	1180
		Reclaimed [GAr]		Highly managed pasture over peats or heavy gleys	303	539
	Semi- improved grassland [GS]	Wet [GSw]		Grassland on poorly drained soils, low management, rushes and/or sedges often present	320	597
		Dry [GSd]	Humid [GSdh]	Semi-improved dry grassland over acid soils	229	191
			Calcareous [GSdc]	Semi-improved dry grassland over basic soils/limestone karst	242	393
Forest				Areas dominated by trees and woody vegetation (minimum 20% canopy closure and area of 0.5ha)	843	1023
Settlement				All developed land, including transportation infrastructure and human settlements	379	631
Water				Includes all bodies of permanent fresh and saltwater.	785	612
Peatland				Raised bogs, blanket bogs and cutover bog	1280	1111
Cropland				Arable and tillage land	459	165
				Total	5202	6442

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**Table 3** Grassland Descriptions.

Grassland Class	Species Composition (common name – botanical name)	Management Regime	Approximate Height Range
GA Dry GA Reclaimed	Perennial ryegrass ( <i>Lolium perenne</i> ) White Clover ( <i>Trifolium repens</i> ) Timothy ( <i>Phleum pratense</i> ) Italian ryegrass ( <i>Lolium multiflorum</i> ) Meadow Fescue ( <i>Festuca pratensis</i> ) Meadow foxtail ( <i>Alopecurus pratensis</i> ) Dandelions ( <i>Taraxacum</i> spp.) Nettle ( <i>Urtica dioica</i> ) Thistle ( <i>Cirsium arvense</i> )	Intensively managed – typically reseeded frequently, heavily fertilised and grazed or cut for silage. Generally high stocking densities.	3 - 20cm (post grazing - ) pre-grazing)
GS Wet	Soft rush ( <i>Juncus effuses</i> ) Sharp-flowered rush ( <i>Juncus acutiflorus</i> ) Hard rush ( <i>Juncus inflexus</i> ) Glaucous sedge ( <i>Carex flacca</i> ) Hairy sedge ( <i>Carex hirta</i> ) Yorkshire-fog ( <i>Holcus lanatus</i> ) Creeping Bent ( <i>Agrostis stolonifera</i> ) Creeping Buttercup ( <i>Ranunculus repens</i> ) Pointed spear-moss ( <i>Calliergonella cuspidate</i> ) Purple moor grass ( <i>Molinia caerulea</i> ) Ragged robin ( <i>Lychnis flos-cuculi</i> ) Meadowsweet ( <i>Filipendula ulmaria</i> ) Devil's Bit Scabious ( <i>Succisa pratensis</i> )	Extensively managed - Not intensively fertilised, seasonal grazing regime (depending on the conservation status), low stocking rates.	10 - 50cm
GSd Humid	Bents ( <i>Agrostis</i> spp.) Fescues ( <i>Festuca</i> spp.) Sweet vernal-grass ( <i>Anthoxanthum odoratum</i> ) Wavy hair grass ( <i>Deschampsia flexuosa</i> ) Mat grass ( <i>Nardus stricta</i> )	Extensively managed	10 – 80cm
GSd Calcareous	Rigwort plantain ( <i>Plantago lanceolata</i> ) Cocksfoot ( <i>Dactylis glomerata</i> ) Bents ( <i>Agrostis</i> spp.) Meadow grasses ( <i>Poa</i> spp.) Timothy ( <i>Phleum pratense</i> ) Fescues ( <i>Festuca</i> spp.) Red Clover ( <i>Trifolium pratense</i> ) Blue moor grass ( <i>Sesleria caerulea</i> ) Downy Oat-grass ( <i>Avenula pubescens</i> ) Yellow oat-grass ( <i>Trisetum flavescens</i> ) Quaking grass ( <i>Briza media</i> )	Extensively managed	10 – 60cm

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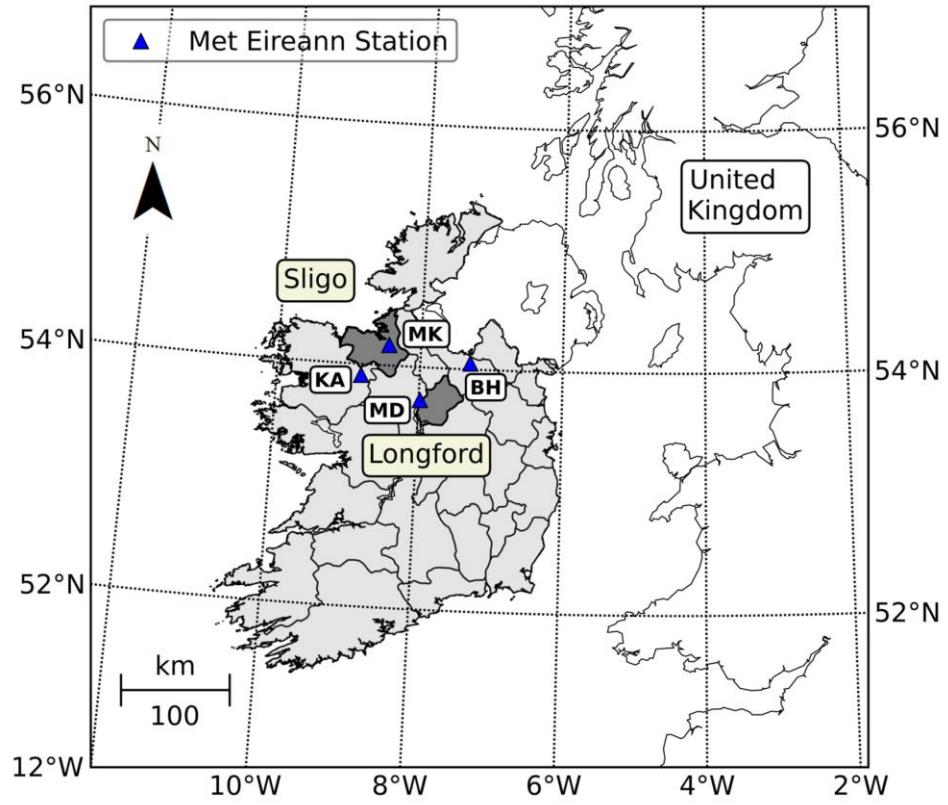
**Table 4** C-, L-, and combined C/L-band classification results for Longford and Sligo (RF=Random Forest, SVM=Support Vector Machines, ERT= Extremely Randomised Trees, PA=producer`s accuracy, UA=user`s accuracy).v0 indicates the initial classification where all input variables were included, v1 indicates the classification after the least important variables were excluded.

Class	Longford												Sligo												
	v0_ld						v1_ld						v0_so						v1_so						
	RF		SVM		ERT		RF		SVM		ERT		RF		SVM		ERT		RF		SVM		ERT		
	PA	UA	PA	UA	PA	UA	PA	UA	PA	UA	PA	UA	PA	UA	PA	UA	PA	UA	PA	UA	PA	UA	PA	UA	
<b>C-band</b>	GSdh	0.92	0.96	0.88	0.89	0.93	0.97	0.94	0.99	0.93	0.95	0.96	0.99	0.87	0.62	0.70	0.68	0.84	0.68	0.84	0.70	0.70	0.76	0.83	0.73
	GSdc	0.97	0.97	0.93	0.96	0.93	0.98	1.00	0.98	1.00	0.98	1.00	0.99	0.82	0.55	0.70	0.63	0.85	0.63	0.83	0.68	0.68	0.73	0.84	0.75
	GAd	0.92	0.95	0.89	0.86	0.94	0.93	0.94	0.96	0.95	0.92	0.97	0.97	0.80	0.94	0.79	0.87	0.83	0.94	0.85	0.93	0.85	0.85	0.87	0.93
	GAr	0.90	0.83	0.81	0.77	0.91	0.83	0.93	0.86	0.92	0.89	0.94	0.91	0.80	0.78	0.79	0.70	0.83	0.79	0.83	0.86	0.83	0.76	0.86	0.89
	GSw	0.92	0.82	0.85	0.75	0.91	0.83	0.94	0.88	0.94	0.86	0.96	0.93	0.82	0.71	0.74	0.70	0.83	0.75	0.86	0.78	0.80	0.73	0.89	0.81
	OA	95.7%		93.7%		95.7%		96.9%		97.1%		97.9%		88.9%		86.6%		90.1%		91.6%		88.7%		93.1%	
	Kappa	0.95		0.93		0.95		0.96		0.97		0.98		0.87		0.84		0.89		0.90		0.87		0.92	
<b>L-band</b>	GSdh	0.87	0.74	0.74	0.72	0.90	0.76	0.92	0.90	0.85	0.85	0.93	0.92	0.79	0.51	0.60	0.56	0.78	0.52	0.78	0.62	0.70	0.70	0.80	0.66
	GSdc	0.89	0.95	0.83	0.79	0.85	0.95	0.96	0.98	0.94	0.93	0.97	0.98	0.65	0.46	0.50	0.49	0.72	0.43	0.74	0.59	0.68	0.66	0.80	0.63
	GAd	0.91	0.85	0.79	0.77	0.91	0.87	0.95	0.92	0.93	0.90	0.95	0.94	0.79	0.91	0.80	0.83	0.77	0.92	0.83	0.92	0.85	0.85	0.84	0.92
	GAr	0.86	0.65	0.66	0.50	0.89	0.64	0.87	0.72	0.77	0.70	0.92	0.75	0.83	0.80	0.72	0.74	0.82	0.78	0.84	0.85	0.83	0.81	0.85	0.87
	GSw	0.81	0.70	0.69	0.55	0.82	0.69	0.91	0.82	0.85	0.72	0.91	0.82	0.69	0.70	0.64	0.56	0.72	0.71	0.77	0.74	0.76	0.74	0.80	0.79
	OA	92.4%		87.7%		92.6%		95.3%		93.5%		95.8%		87.4%		83.8%		87.4%		90.0%		89.2%		91.4%	
	Kappa	0.91		0.86		0.91		0.94		0.92		0.95		0.85		0.81		0.85		0.88		0.87		0.90	
<b>C/L-band</b>	GSdh	0.96	0.94	0.90	0.89	0.98	0.96	0.96	0.97	0.98	0.96	0.97	0.99	0.87	0.58	0.72	0.69	0.89	0.67	0.85	0.69	0.76	0.76	0.84	0.73
	GSdc	0.96	0.98	0.91	0.95	0.98	0.98	1.00	0.99	0.99	0.94	1.00	0.99	0.76	0.51	0.57	0.61	0.83	0.59	0.82	0.63	0.77	0.75	0.85	0.73
	GAd	0.95	0.95	0.92	0.84	0.96	0.96	0.96	0.96	0.98	0.94	0.98	0.97	0.80	0.93	0.84	0.84	0.82	0.94	0.84	0.94	0.89	0.89	0.87	0.94
	GAr	0.91	0.86	0.81	0.80	0.93	0.89	0.95	0.89	0.93	0.93	0.96	0.91	0.87	0.79	0.78	0.79	0.88	0.80	0.89	0.86	0.88	0.86	0.92	0.90
	GSw	0.91	0.87	0.87	0.83	0.94	0.90	0.95	0.92	0.96	0.92	0.96	0.95	0.76	0.76	0.73	0.67	0.82	0.82	0.83	0.81	0.83	0.83	0.89	0.85
	OA	97.2%		95.3%		97.9%		98.0%		97.9%		98.7%		89.9%		88.0%		91.6%		92.4%		92.5%		94.1%	
	Kappa	0.97		0.94		0.97		0.98		0.98		0.98		0.88		0.86		0.90		0.91		0.91		0.93	

**Table 5** Comparison of different classification results with and without ancillary datasets

	<u>RF</u>		Longford <u>SVM</u>		<u>ERT</u>		<u>RF</u>		Sligo <u>SVM</u>		<u>ERT</u>	
	OA	$\kappa$	OA	$\kappa$	OA	$\kappa$	OA	$\kappa$	OA	$\kappa$	OA	$\kappa$
<b>C-band</b>												
a) All Data	96.9%	0.96	97.1%	0.97	97.9%	0.98	91.6%	0.90	88.7%	0.87	93.1%	0.92
b) No Soils	94.8%	0.94	91.5%	0.90	95.8%	0.95	87.8%	0.86	84.4%	0.82	89.4%	0.88
c) No Elevation	93.5%	0.92	93.9%	0.93	93.9%	0.93	88.3%	0.86	89.9%	0.88	90.3%	0.89
d) Radar only	87.2%	0.85	83.3%	0.80	88.5%	0.87	76.4%	0.73	76.7%	0.73	77.9%	0.74
<b>L-band</b>												
a) All Data	95.3%	0.94	93.5%	0.92	95.8%	0.95	90.0%	0.88	89.2%	0.87	91.4%	0.90
b) No Soils	89.4%	0.87	80.2%	0.77	89.8%	0.88	82.5%	0.80	69.7%	0.65	83.1%	0.80
c) No Elevation	88.3%	0.86	86.1%	0.83	89.0%	0.87	86.0%	0.83	83.3%	0.81	86.8%	0.85
d) Radar only	76.2%	0.72	57.3%	0.49	76.9%	0.73	69.8%	0.65	54.4%	0.46	69.7%	0.64
<b>C/L-band</b>												
a) All Data	98.0%	0.98	97.9%	0.98	98.7%	0.98	92.4%	0.91	92.5%	0.91	94.1%	0.93
b) No Soils	96.9%	0.96	96.9%	0.96	97.5%	0.97	89.1%	0.87	88.2%	0.86	91.2%	0.90
c) No Elevation	96.7%	0.96	97.0%	0.96	97.4%	0.97	90.7%	0.89	91.8%	0.90	92.7%	0.92
d) Radar only	93.7%	0.93	92.9%	0.92	95.1%	0.94	83.1%	0.80	84.6%	0.82	86.1%	0.84
<b>Ancillary Data</b>												
Soils & Elevation	88.5%	0.86	84.4%	0.81	87.3%	0.85	82.1%	0.79	78.3%	0.75	81.2%	0.78

Figure 1



**Figure 2**

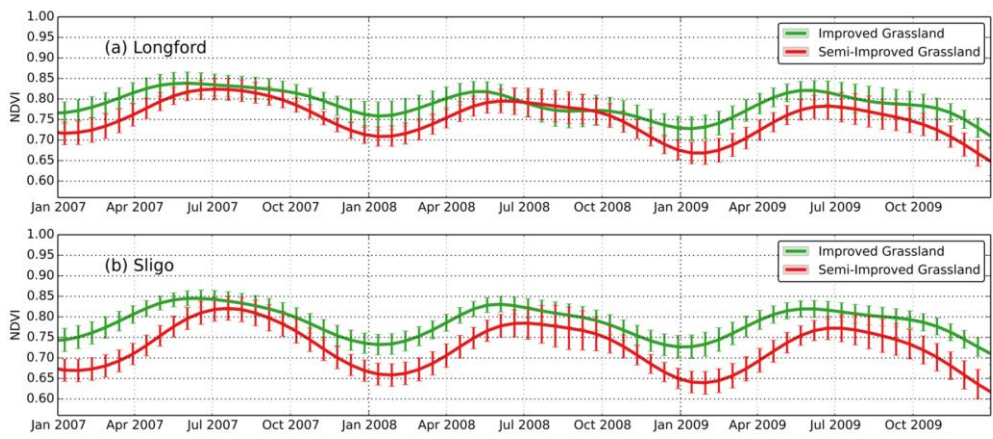


Figure 3

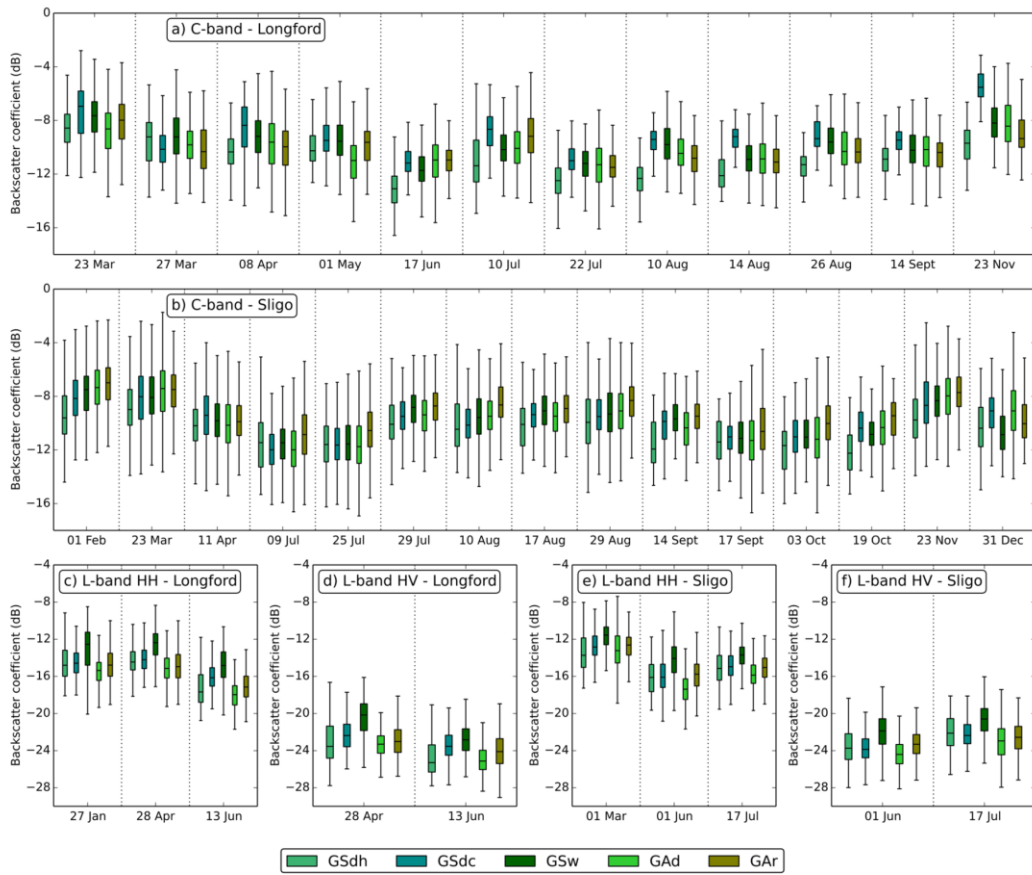


Figure 4

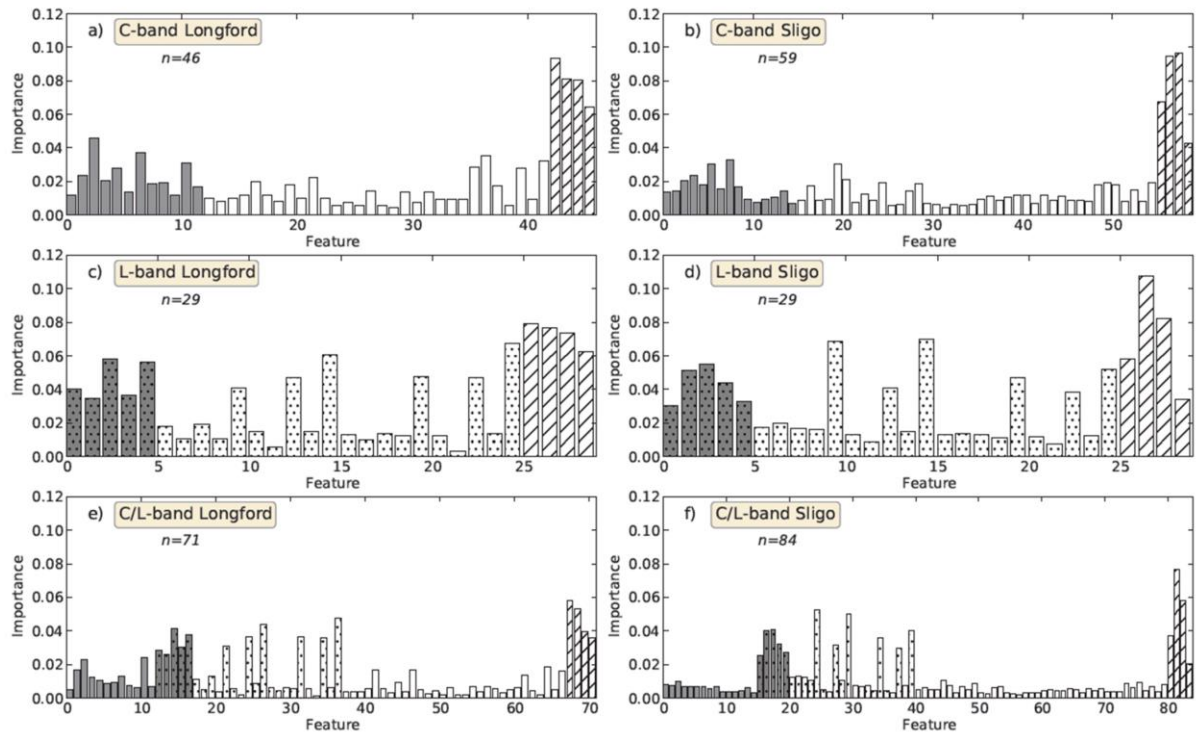


Figure 5

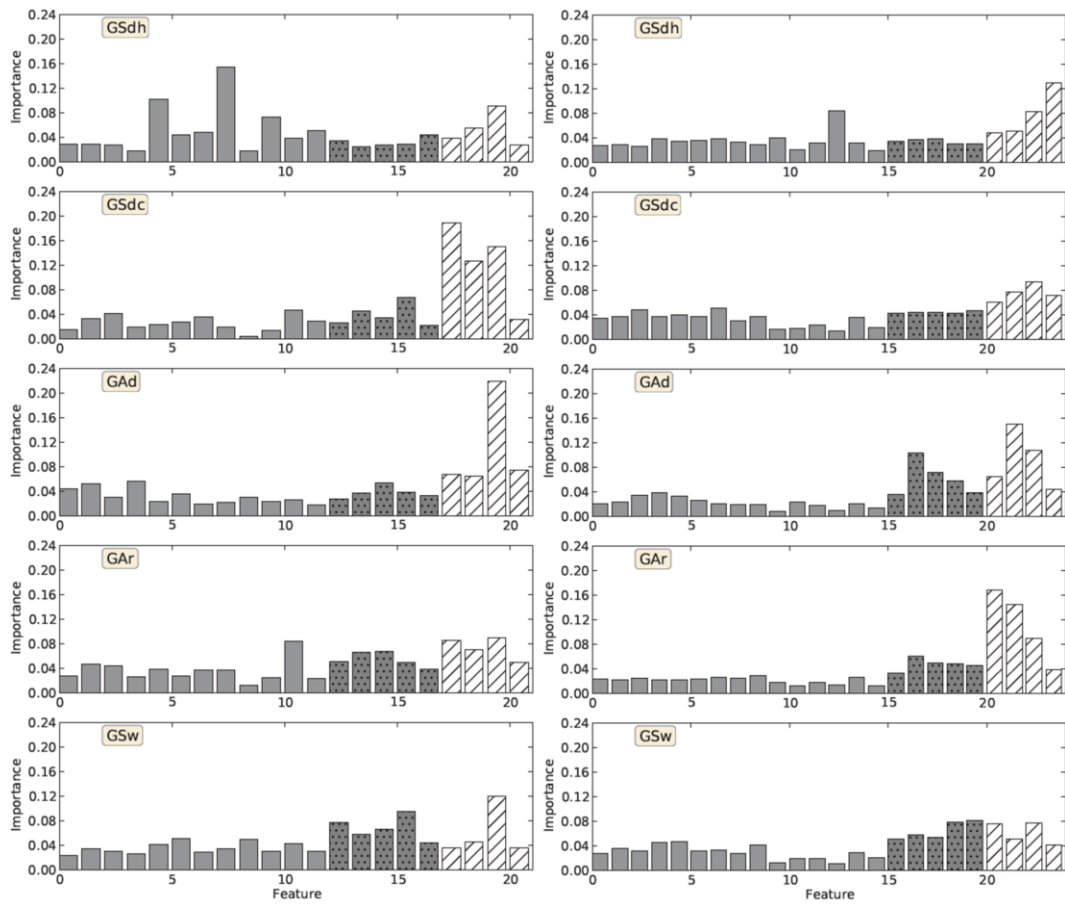
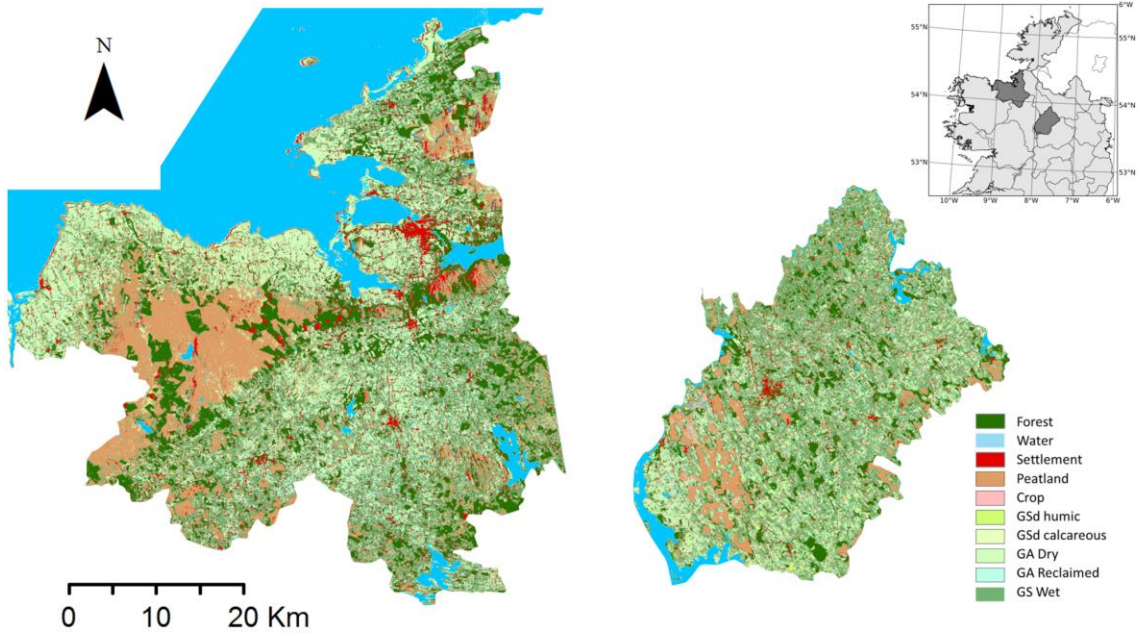
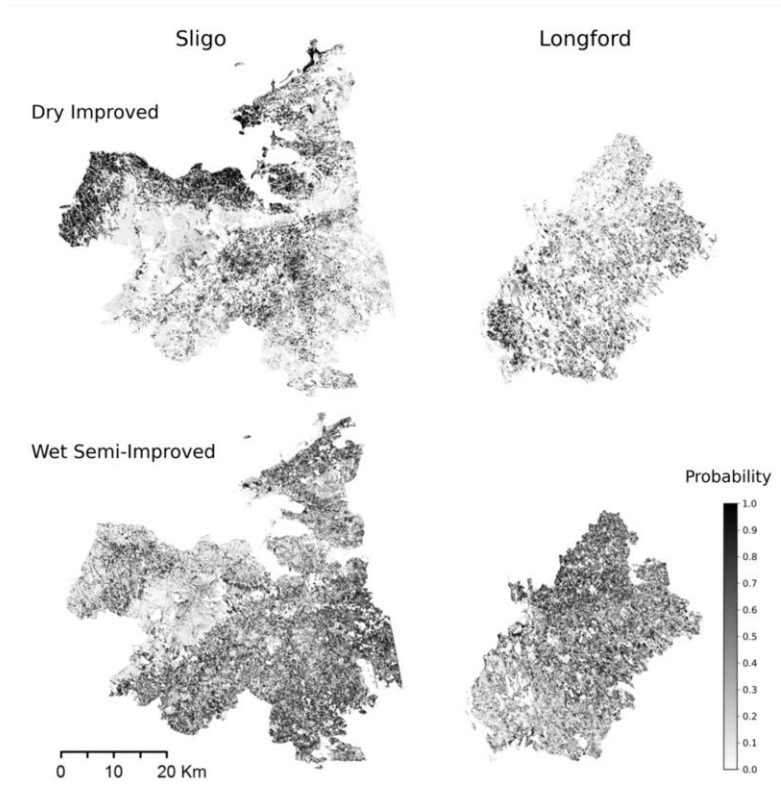




Figure 6



**Figure 7**



**Figure 8**

