# Disease-Atlas: Navigating Disease Trajectories using Deep Learning

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#### Abstract

Joint models for longitudinal and time-to-event data are commonly used in longitudinal studies to forecast disease trajectories over time. While there are many advantages to joint modeling, the standard forms suffer from limitations that arise from a fixed model specification and computational difficulties when applied to high-dimensional datasets. In this paper, we propose a deep learning approach to address these limitations, enhancing existing methods with the inherent flexibility and scalability of deep neural networks while retaining the benefits of joint modeling. Using longitudinal data from the UK Cystic Fibrosis Trust, we demonstrate improvements in performance and scalability, as well as robustness in the presence of irregularly sampled data.

#### 1. Introduction

Building a Disease Atlas for clinicians involves the dynamic forecasting of medical conditions based on clinically relevant variables collected over time, and guiding them in charting a course of action. This includes the simultaneous prediction of survival probabilities, risks of developing related diseases, and relevant biomarker trajectories at different stages of disease progression. While prognosis, i.e. survival prediction, is usually the main area of focus (van Houwelingen and Putter, 2011; Rizopoulos et al., 2017), a growing area in precision medicine is the forecasting of personalized disease trajectories, using patterns in temporal correlations and associations between related diseases to predict their evolution over time. (Jensen et al., 2014; Kannan et al., 2017). Dynamic prediction methods that account for these interactions are particularly relevant in multimorbidity management, as patients with one chronic disease typically develop other long-term conditions over time (Farmer et al., 2016). With the mounting evidence on the prevalence of multimorbidity in aging populations around the world (Xu et al., 2017), the ability to jointly forecast multiple clinical variables would be beneficial in providing clinicians with a fuller picture of a patient's medical condition.

#### 1.1. Clinical Relevance

A substantial portion of machine learning literature investigates predictions with time-series data, typically focusing on patients in the hospital. In this setting, patients are tracked for a relatively short period of time, spanning from a few days to weeks, with measurements

collected every few hours. This leads to the collection of numerous measurements, potentially with a high degree of missingness. Given the length of the monitoring period, in-hospital predictions are usually narrow in their scope, focusing on detecting the rapid onset of critical events, such as ICU admission, and not considering the prediction of comorbidities which can take years to develop.

With chronic diseases however, such as cystic fibrosis or diabetes, patients are followed up over the span of years, usually as part of regular physical examinations. This differs significantly from the in-hospital setting as measurements are collected infrequently, e.g. once every few years and possibly at irregular intervals, leading to relatively few observations per patient. The state of the patient also evolves slowly, allowing for the development of related comorbidities over time. Additional comorbidities in turn affect key biomarkers which reflect a patient's clinical state and rate of deterioration, such as lung function scores (e.g. FEV1) in cystic fibrosis or brain scan measurements in Alzheimer's disease. As such, the ability to jointly forecast comorbidity and biomarker trajectories, in addition to survival, allows for early intervention by clinicians to prevent the development of other related diseases and forestall further deterioration. This would allow for an improved quality of life for the patient even if immediate improvements to survival might be small. Hence, the development of new machine learning methods to combine longitudinal predictions with dynamic survival (time-to-event) analysis, where events-of-interest can include heart failure, respiratory failure or the onset of dementia in addition to death, would allow for a more holistic management of long-term conditions, going above and beyond the short-term survival prediction usually seen in hospital settings.

#### 1.2. Technical Significance

Traditionally, joint models for longitudinal and time-to-event data have been commonly used in clinical studies when there is prior knowledge indicating an association between longitudinal trajectories and survival. Using individual models for each data trajectory as building blocks, such as linear mixed models for longitudinal data and the Cox proportional hazard model for survival, joint models add a common association structure on top of them, e.g. through shared-random effects or frailty models (Hickey et al., 2016). From a dynamic prediction perspective, joint models have been shown to lead to a reduced bias in estimation (Ibrahim et al., 2010) and improved predictive accuracy (Hogan and Laird, 1998). However, standard joint models face severe computational challenges when applied to large datasets, which arise when increasing the dimensionality of the random effects component (Hickey et al., 2016).

To overcome the limitations of traditional joint models, we introduce Disease-Atlas - a scalable deep learning approach to forecasting disease trajectories over time. Our main contributions are as follows:

**Deep Learning for Joint Models** We provide a novel conception of the joint modeling framework using deep learning, capturing the relationships between trajectories through shared representations learned directly from data, and improving scalability as a whole. The network outputs parameters of predictive distributions for longitudinal and time-to-event data that take a similar form to the sub-models used in joint modeling. To the best of our

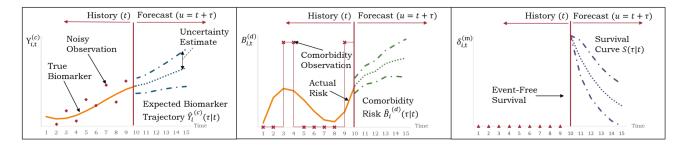


Figure 1: Illustration of Disease-Atlas Predictions over Time

knowledge, this paper is the first to investigate the use of deep learning in joint models for longitudinal and survival trajectories.

Robustness to Irregular Sampling via Multitask Learning Observations in longitudinal studies are very rarely aligned at every time step, as measurements can be collected at different sampling frequencies. Hence, training a multioutput neural network would require the imputation of the target labels as a pre-processing step, so as to artificially align the dataset prior to calibration. This could lead to poorer predictions if imputation quality is low and a high degree of missingess is present, as the network is biased to simply learn the imputation mechanism. To mitigate this issue, we formulate joint model calibration as a multitask learning problem, grouping variables - which are measured at the same time and with similar sampling frequencies - together into tasks, and training the network using only actual observations as target labels.

Incorporating Medical History into Forecasts While deep learning for medicine has gained popularity in recent times, the majority of methods, such as (Alaa and van der Schaar, 2017; Ranganath et al., 2016), only use covariates at a single time point in making predictions. However, a patient's medical history could also be informative of her future clinical outcomes, and predictions could be improved by incorporating past information. We integrate historical information into our network using a Recurrent Neural Network (RNN) in the base layer, which contains a memory state that updates over time as new observations come in.

# 2. Related Work

While the utility of joint models has been demonstrated by its popularity in longitudinal studies, numerous modeling choices exist, each containing its own advantages and limitations (see (Hickey et al., 2016) for a full overview). (Rizopoulos et al., 2014), for example, highlight the sensitivity of predictions to the association structures used, adopting a Bayesian model averaging approach instead to aggregate the outputs of different models over time. In this respect, the flexibility of deep learning has the potential to enhance dynamic predictions with joint models, by directly learning variable relationships from the data itself, and completely removing the need for explicit model specification. In addition, (Hickey et al., 2016; Barrett et al., 2015; Waldmann et al., 2017; Futoma et al., 2016) note performance limitations when applying standard joint models to high-dimensional datasets. These are typically estimated

using Expectation Maximization (EM) or Markov Chain Monte Carlo (MCMC) sampling methods, which rapidly grow in complexity with the number of covariates and random effects. As such, most studies and software packages often focus on modeling a single or a small number of longitudinal measurements, along with a time-to-event of interest. However, the increase in data availability through electronic health records opens up the possibility of using information from multiple trajectories to improve predictions. Recent works have attempted to address this limitation by exploiting special properties of the longitudinal sub-models, such as the multivariate skew-normal structure in (Barrett and Su, 2017), and the combination of variational approximation and dynamic EM-style updates over time in (Futoma et al., 2016). In light of this, the use of deep learning holds much promise in enhancing the performance of joint models, given its inherent ability to scale with large datasets without the need for specific modeling assumptions.

Deep learning has seen increasing use in medical applications, with successes in traditional survival analysis (Ranganath et al., 2016; Luck et al., 2017) survival analysis with competing risks (Lee et al., 2018; Alaa and van der Schaar, 2017) and treatment recommendations (Katzman et al., 2016). In general, these methods focus purely on forecasting survival, do not consider dynamic prediction over time and only use covariates at a single time point in making predictions. Deep Kalman Filters (Krishnan et al., 2017) use a network which does dynamically update its latent states over time but assumes that all outputs follow the same distribution. This prevents it from being applied to heterogeneous datasets, which limits its usage for joint modeling.

RNNs have also been used extensively in making predictions inside the hospital, using frequently sampled measurements as inputs for event detection or automated diagnosis. This includes joint architectures for forecasting follow-up times and clinical diagnoses (Choi et al., 2016; Du et al., 2016) and multitask learning (Harutyunyan et al., 2017; Razavian et al., 2016; Lipton et al., 2016). While these architectures bear resemblance to our network, some fundamental differences exist. Firstly, they focus on dynamic multi-label classification tasks which produce a single label at each point, i.e. the most likely event or diagnosis at the next follow. In contrast, the Disease-Atlas allows for the simultaneous prediction of multiple variables of interest at each time step, which can be either discrete (classification) or continuous (regression). Secondly, the RNNs in existing works typically produce single point forecasts, which do not account for the uncertainty of the model. We address this limitation using the Monte-Carlo Dropout procedure of (Gal and Ghahramani, 2016) (see Section 4.3), producing predictions with uncertainty estimates at each time step. In addition, the event times predicted by (Choi et al., 2016; Du et al., 2016) correspond to the timing of the next follow up, essentially providing additional information on when a diagnosis is expected to be observed. In contrast, the Disease-Atlas allows for the joint forecasting of multiple outcomes of interest, allowing the co-occurrence of diseases and modeling the expected survival time directly. Lastly, the multitask learning framework of the Disease-Atlas allows it benefit from the improved representation learning seen in previous methods, but also extends previous work with modifications to handle irregular sampling in the output.

In addition, Gaussian process (GP) based models have also been studied in the context of joint modeling (Schulam and Saria, 2017; Soleimani et al., 2018). While these also forecast multiple outcomes of different types, inference at each time step can be expensive for long trajectories, with sparse GP approximations having at least  $O(M^2T)$  complexity, where T

is the length of the trajectory and M the number of inducing points. In contrast, RNNs update their memory state once per time step, without having to iterate over the entire observation history. In addition, static patient covariates, such as genetic or demographic information which can have significant impact on a patient's clinical trajectory, are either omitted from the model (Schulam and Saria, 2017), or incorporated as a linear additive component to the time-to-event submodel (Soleimani et al., 2018). This is easily added as an additional input to the deep neural network, which can also learn the complex interactions between static and longitudinal variables directly from data.

#### 3. Problem Definition

For a given longitudinal study, let there be N patients with observations made at time t, for  $0 \le t \le T_{cens}$  where  $T_{cens}$  denotes an administrative censoring time t. For the t patient at time t, observations are made for a K-dimensional vector of longitudinal variables  $\mathbf{V_{i,t}} = [Y_{i,t}^{(1)}, \dots, Y_{i,t}^{(C)}, B_{i,t}^{(1)}, \dots, B_{i,t}^{(D)}]$ , where  $Y_{i,t}^{(c)}$  and  $B_{i,t}^{(d)}$  are continuous and discrete longitudinal measurements respectively, a L-dimensional vector of external covariates  $\mathbf{X_{i,t}} = [X_{i,t}^{(1)}, \dots, X_{i,t}^{(L)}]$ , and a M-dimensional vector of event occurrences  $\delta_{i,t} = [\delta_{i,t}^{(1)}, \dots, \delta_{i,t}^{(M)}]$ , where  $\delta_{i,t}^{(m)} \in \{0,1\}$  is an indicator variable denoting the presence or absence of the t event. t is defined to be the first time the event is observed after t, which allows us to model both repeated events and events that lead to censoring (e.g. death). The final observation for patient t occurs at t events that censor observations. Furthermore, we introduce a filtration t to capture the full history of longitudinal variables, external covariates and event occurrences of patient t until time t.

#### 3.1. Joint Modeling

From (Hickey et al., 2016), numerous sub-models for longitudinal measurements exist, each with their own pros and cons. General forms for continuous and binary longitudinal measurements are typically expressed as:

$$Y_{i,u}^{(c)}|\mathcal{F}_{i,t} \sim \mathcal{N}\left(m^{(c)}\left(u, \mathcal{F}_{i,t}; \mathbf{b}_{i}, \tilde{\mathbf{W}}\right), \sigma_{u}^{(c)}\right)$$

$$\tag{1}$$

$$B_{i,u}^{(d)}|\mathcal{F}_{i,t} \sim \text{Bernoulli}\left(\Phi^{(d)}\left(u, \mathcal{F}_{i,t}; \mathbf{b}_i, \tilde{\mathbf{W}}\right)\right)$$
 (2)

Where  $m^{(c)}(.)$  is a function for the predictive mean of the c-th longitudinal variable, and  $\sigma_t^{(c)}$  its variance.  $\Phi^{(d)}(.)$  is a function for the probability of the binary observation, such as the commonly used logit or probit functions, and  $\tilde{\mathbf{W}}$  is the vector of static coefficients used by the sub-models.

In both models,  $\mathbf{b}_i$  is a vector of association parameters used across trajectories, and define the association structure of the joint model. While the majority of models use subject-specific random effects, this can also refer to time-dependent latent variables as seen in (Ibrahim et al., 2004) or shared spline coefficients in (Barrett and Su, 2017).

<sup>1.</sup> Administrative censoring refers to the right-censoring that occurs when a study observation period ends.

Event times can be expressed using the general form below:

$$T_{i,t}^{(m)}|\mathcal{F}_{i,t} \sim \mathcal{S}\left(\Lambda^{(m)}\left(t, \mathcal{F}_{i,t}; \mathbf{b}_i, \tilde{\mathbf{W}}\right)\right)$$
 (3)

Where S is an appropriate survival distribution (e.g. Exponential, Weibull, etc), and  $\Lambda^{(m)}(.)$  is a generic cumulative hazard function. In most joint model applications, this typically takes the form of the Cox proportional hazards model.

The standard linear mixed effects models can be expressed as:

$$Y_{i,t}^{(c)} = \mathbf{X}_{i,t}^{\top} \, \theta_{fix}^{(c)} + \mathbf{R}_{i,t}^{\top} \, \theta_{rand}^{(c)} + \epsilon_{i,t}^{(c)}$$

$$= m^{(c)}(t) + \epsilon_{t}^{(c)}$$
(4)

$$h_{i,t}^{(m)} = h_0(t) \exp\left(\mathbf{B}_i^{\top} \gamma^{(m)} + \beta^{(m)} m^{(c)}(t)\right)$$
 (5)

Where  $\mathbf{X}_{i,t}$  and  $\mathbf{R}_{i,t}$  are time-dependent design vectors for fixed effects  $\theta_{fix}^{(c)}$  and random effects  $\theta_{rand}^{(c)}$ ,  $\epsilon_{i,t}^{(c)} \sim N\left(0, \sigma_t^{(c)}\right)^2$  is a random noise term, and  $h_{i,t}^{(m)}$  is the hazard rate of the survival process, with patient fixed covariates  $\mathbf{B}_i$ , and static coefficients  $\gamma^{(m)}$  and  $\beta^{(m)}$ .

In this model, we define the association parameters of the joint model to be those common to both longitudinal and survival processes, i.e  $\mathbf{b}_i = [\theta_{fix}^{(c)}, \, \theta_{rand}^{(c)}]$ , and static coefficients which are unique to the separate processes, i.e.  $\tilde{\mathbf{W}} = [\gamma^{(m)}, \beta^{(m)}]$ .

#### 3.2. Dynamic Prediction

Dynamic prediction in joint models can be defined as the estimation of both the expected values of longitudinal variables and survival probabilities over a specific time window  $\tau$  in the future:

$$\hat{V}_{i}^{(k)}(\tau|t) = \mathbb{E}\left[V_{i,t+\tau}^{(k)}|\mathcal{F}_{i,t}; \mathbf{b}_{i}, \tilde{\mathbf{W}}\right]$$
(6)

$$S_i^{(m)}(\tau|t) = P\left(T_{i,0}^{(m)} \ge t + \tau | T_{i,0}^{(m)} \ge t, \mathcal{F}_{i,t}; \mathbf{b}_i, \tilde{\mathbf{W}}\right)$$
(7)

Where  $V_{i,t}^{(k)}$  is the  $k^{th}$  longitudinal variable at time t that can be either continuous or binary. A conceptual illustration of dynamic prediction can be found in Figure 1. For continuous longitudinal variables, such as biomarker predictions, the goal is to forecast its expected value given all the information until the current time step (i.e.  $\mathcal{F}_{i,t}$ ). In the case of binary observations, such as the presence of a comorbidity, the expectation in Equation 6 is the probability (or risk) of developing a comorbidity at time  $t + \tau$ . The survival curves shown give us the probability of not experiencing an event over various horizons  $\tau$  given  $\mathcal{F}_{i,t}$ . While the uncertainty estimates are model dependent, these are usually expressed via confidence intervals in frequentist methods, or using the posterior distributions for  $\mathbf{b}_{i,t}$  and  $\tilde{\mathbf{W}}$  in Bayesian models.

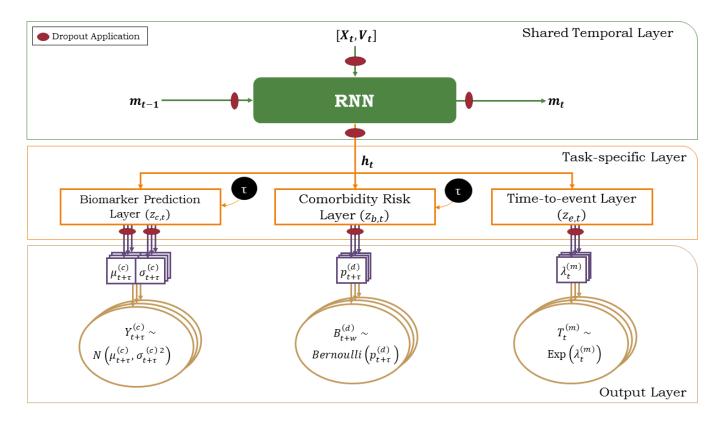


Figure 2: Disease-Atlas Network Architecture

# 4. Network Design

#### 4.1. Architecture

Disease-Atlas captures the associations within the joint modeling framework, by learning shared representations between trajectories at different stages of the network, while retaining the same sub-model distributions captured by joint models. The network, as shown in Figure 2, is conceptually divided into 3 sections: 1) A shared temporal layer to learn the temporal and cross correlations between variables, 2) task-specific layers to learn shared representations between related trajectories, and 3) an output layer which computes parameters for predictive sub-model distributions for use in likelihood loss computations during training and generating predictive distributions at run-time.

The equations for each layer are listed in detail below. For notational convenience, we drop the subscript i for variables in this section, noting that the network is only applied to trajectories from one patient at time.

**Shared Temporal Layer** We start with an RNN at the base of the network, which incorporates historical information (i.e.  $\mathcal{F}_t$ ) into forecasts by updating its memory state over time. For the tests in Section 5, the usage of both the Simple Recurrent Network (SRN)

and LSTM in this layer was compared.

$$[\mathbf{h_t}, \mathbf{m_t}] = RNN([\mathbf{X_t}, \mathbf{V_t}], \mathbf{m_{t-1}})$$
(8)

Where  $\mathbf{h_t}$  is the output of the RNN and  $\mathbf{m_t}$  its memory state. To generate uncertainty estimates for forecasts and retain consistency with joint models, we adopt the MC dropout approach described in (Gal and Ghahramani, 2016). Dropout masks are applied to the inputs, memory states and outputs of the RNN, and are also fixed across time steps. For memory updates, the RNN uses the Exponential Linear Unit (ELU) activation function.

Task-specific Layers For the task-specific layers, variables are grouped according to the sub-model types in Section 3.1, with layer  $\mathbf{z_{c,t}}$  for continuous-valued longitudinal variables,  $\mathbf{z_{b,t}}$  for binary longitudinal variables and  $\mathbf{z_{e,t}}$  for events. Dropout masks are also applied to the outputs of each layer here. At the inputs to the continuous and binary task layers, a prediction horizon  $\tau$  is also concatenated with the outputs from the RNN. This allows the parameters of the predictive distributions at  $t + \tau$  to be computed in the final layer, i.e.  $\tilde{\mathbf{h}_t} = [\mathbf{h}_t, \tau]$ .

$$\mathbf{z_{c,t}} = \text{ELU}(\mathbf{W_c}\tilde{\mathbf{h}_t} + \mathbf{a_c})$$
 (9a)

$$\mathbf{z_{b,t}} = \mathrm{ELU}(\mathbf{W_b}\tilde{\mathbf{h}_t} + \mathbf{a_b})$$
 (9b)

$$\mathbf{z_{e,t}} = \mathrm{ELU}(\mathbf{W_e}\mathbf{h_t} + \mathbf{a_e}) \tag{9c}$$

Output Layer The final layer computes the parameter vectors of the predictive distribution, which are used to compute log likelihoods during training and predictions at run-time.

$$\mu_{\mathbf{t}+\tau} = \mathbf{W}_{\mu} \mathbf{z}_{\mathbf{c},\mathbf{t}} + \mathbf{a}_{\mu} \tag{10a}$$

$$\sigma_{\mathbf{t}+\tau} = \text{Softplus}(\mathbf{W}_{\sigma} \mathbf{z}_{\mathbf{c},\mathbf{t}} + \mathbf{a}_{\sigma})) \tag{10b}$$

$$\mathbf{p_{t+\tau}} = \operatorname{Sigmoid}(\mathbf{W_p z_{b,t}} + \mathbf{a_p})) \tag{10c}$$

$$\lambda_t = \text{Softplus}(\mathbf{W}_{\lambda} \mathbf{z}_{\mathbf{e}, \mathbf{t}} + \mathbf{a}_{\lambda})) \tag{10d}$$

Softplus activation functions are applied to  $\sigma_{\mathbf{t}+\tau}$  and  $\mathbf{p}_{\mathbf{t}+\tau}$  to ensure that we obtain valid (i.e.  $\geq 0$ ) standard deviations and binary probabilities. For simplicity, the exponential distribution is selected to model survival times, and predictive distributions can be expressed in a similar manner to that of Section 3.1:

$$Y_{t+\tau}^{(c)} \sim N\left(\mu_{t+\tau}^{(c)}, \sigma_{t+\tau}^{(c)2}\right)$$
 (11a)

$$B_{t+\tau}^{(d)} \sim \text{Bernoulli}\left(p_{t+\tau}^{(d)}\right)$$
 (11b)

$$T_t^{(m)} \sim \text{Exponential}\left(\lambda_t^{(m)}\right)$$
 (11c)

#### 4.2. Multitask Learning

From the above, the negative log-likelihood of the data given the network is:

$$\mathcal{L}(\mathbf{W}) = \sum_{i,t,w,k_c,k_b,m} - \left[ \log f_c \left( Y_{i,t+\tau}^{(c)} | \mu_{t+\tau}^{(c)}, \sigma_{t+\tau}^{(c)2}, \mathbf{W} \right) + \log f_b \left( B_{i,t+\tau}^{(d)} | p_{t+\tau}^{(d)}, \mathbf{W} \right) + \log f_T \left( T_{i,t}^{(m)} | \lambda_t^{(m)}, \mathbf{W} \right) \right]$$
(12)

Where  $f_c(.)$ ,  $f_b(.)$  are likelihood functions based on Equations 11 and **W** collectively represents the weights and biases of the entire network. For survival times,  $f_T(.)$  is given as:

$$f_T\left(T_t^{(m)}|\lambda_t^{(m)}, \mathbf{W}\right) = \left(\lambda_t^{(m)}\right)^{\delta_{i,T}} \exp\left(-\lambda_t^{(m)} T_t^{(m)}\right) \tag{13}$$

Which corresponds to event-free survival until time T before encountering the event (Dunteman and Ho, 2006). While the negative log-likelihood can be directly optimized across tasks, the use of multitask learning can yield the following benefits:

Better Survival Representations As shown in (Harutyunyan et al., 2017), multitask learning problems which have one main task of interest can weight the individual loss contributions of each subtask to favor representations for the main problem. For our current architecture, where we group similar tasks into task-specific layers, our loss function corresponds to:

$$L(\mathbf{W}) = -\underbrace{\alpha_c \sum_{i,t,w,c} \log f_c \left( Y_{t+\tau}^{(c)} | \mathbf{W} \right)}_{\text{Continuous Longitudinal Loss } l_c} - \underbrace{\alpha_b \sum_{i,t,w,d} \log f_b \left( B_{t+\tau}^{(d)} | \mathbf{W} \right)}_{\text{Binary Longitudinal Loss } l_b}$$
$$- \underbrace{\alpha_T \sum_{i,t,m} \log f_T \left( T_t^{(m)} | \mathbf{W} \right)}_{\text{Time-to-event Loss } l_T}$$
(14)

Given that survival predictions are the primary focus of many longitudinal studies, we set  $\alpha_c = \alpha_b = 1$  and include  $\alpha_T$  as an additional hyperparameter to be optimized. To train the network, patient trajectories are subdivided into Q sets of  $\Omega_q(i, \rho, \tau) = \{\mathbf{X_{i,0:\rho}}, \mathbf{Y_{i,\rho+\tau}}, \mathbf{T_{max,i}}, \delta_i\}$ , where  $\rho$  is the length of the covariate history to use in training trajectories up to a maximum of  $\rho_{\text{max}}$ . Our procedure follows that of (Collobert and Weston, 2008), as detailed in Algorithm 1.

Handling Irregularly Sampled Data We address issues with irregular sampling by grouping variables that are measured together into the same task, and training the network with multitask learning. For instance, height, weight and BMI measurements are usually taken at the same time during follow-up, and can be grouped together. Given the completeness of the datasets we consider, we assume that task groupings match those defined by the task-specific layer of the network, and multitask learning is performed using Equation 14 and Algorithm 1.

We note, however, that in the extreme case where none of the trajectories are aligned, we can define each variable as a separate task with its own loss function  $l_*$ . Algorithm 1

# Algorithm 1 Training Disease-Atlas

**Input:** Data  $\Omega = {\Omega_1, \dots, \Omega_Q}$ , max iterations  $\mathcal{J}$ 

Output: Calibrated network weights W

for count = 1 to  $\mathcal{J}$  do

Get minibatch  $\mathcal{M} \sim \gamma$  random samples from  $\Omega$ 

Sample task loss function  $l \sim \{l_c, l_b, l_T\}$ 

Update  $\mathbf{W} \leftarrow \mathtt{Adam}(l, \mathcal{M})$ , using feed-forward passes with dropout applied

end for

then samples loss functions for one variable at a time, and the network is trained using only actual observations as target labels. This could reduce errors in cases where multiple sample rates exist and simple imputation is used, which might result in the multioutput networks replicating the imputation process instead of making true predictions.

#### 4.3. Forecasting Disease Trajectories

Dynamic prediction involves 2 key elements - 1) calculating the expected longitudinal values and survival curves as described in Section 3.2, and 2) computing uncertainty estimates. To obtain these measures, we apply the Monte-Carlo dropout approach of (Gal and Ghahramani, 2016) by approximating the posterior over network weights as:

$$p(V_{t+\tau}^{(k)}|\mathcal{F}_t) \approx \frac{1}{J} \sum_{j=1}^{J} p(V_{t+\tau}^{(k)}|\mathcal{F}_t, \hat{\mathbf{W}}_j)$$
 (15)

Where we draw J samples  $\hat{\mathbf{W}}_j$  using feed-forward passes through the network with the same dropout mask applied across time-steps. The samples obtained can then be used to compute expectations and uncertainty intervals for forecasts.

#### 5. Tests on Medical Data

#### 5.1. Overview of Datasets

The UK Cystic Fibrosis (CF) registry contains data obtained for a cohort of 10980 CF patients during annual follow ups between 2008-2015, with a total of 87 variables associated with each patient across all years. In our investigations below, we consider a joint model for 2 continuous lung function scores (FEV1 and Predicted FEV1), 20 comorbidity and infection risks (treated as binary longitudinal observations) as well as death as the event of interest, simultaneously forecasting them all at each time step. We refer the reader to Appendix A for a full breakdown of the dataset.

# 5.2. Benchmarks and Training Procedure

We compared the Disease-Atlas (DA) against simpler neural networks i.e. LSTM and Multilayer Perceptrons (MLP), and traditional dynamic prediction methods, i.e. landmarking (L) (van Houwelingen and Putter, 2011) and joint models (JM). The data was partitioned into 3 sets: a training set with 60% of the patients, a validation set with 20% and a testing set with the final 20%. Hyper-parameter optimization was performed using 20 iterations of random search on the validation data, and the test set was reserved for out-of-sample testing. Full details on the training procedure can be found in Appendix A. Models were compared using several metrics: Mean-Squared Error (MSE) for predictions of continuous longitudinal variables, and the area under the Receiver Operating Characteristic (AUROC) and Precision-Recall Curve (AUPRC) for binary variables and the event of interest, i.e. death. Predictions were made via the MC dropout procedure described in Section 4.3, with expectations computed using 300 samples per time step.

**Disease-Atlas** Through subsequent tests, we demonstrate the performance contributions of the different innovations of Disease-Atlas, namely the usage of multitask learning in the presence of irregular sampling (Section 5.3), and the inclusion of the RNN in the base layer for temporal information (Section 5.4). For the temporal layer, we evaluate the use of both a LSTM (DA-LSTM) or a single ELU layer (DA-NN).

Standard Neural Networks Both the LSTM and MLP were taken to be benchmarks, structured to produce the same output distribution parameters as the Disease-Atlas, and optimized according to the multioutput loss function in Equation 12. This makes the benchmarks equivalent to the DA-LSTM and DA-NN structures without task-specific layers and input  $\tau$ , and restricts them to making one-step-ahead longitudinal predictions only.

Landmarking For consistency with other studies of Cystic Fibrosis (MacKenzie et al., 2014), we use age as the time variable, and fit separate Cox regression models for patients in different age groups (< 25, 25 - 50, 50 - 75 and > 75 years old). As data is left-truncated with respect to age, we use the entry-exit implementation of the Cox proportional hazards model implemented in (Therneau, 2015). To avoid issues with collinearity, we start with a preliminary feature selection step first - performing multi-step Cox regression on the validation dataset, and only retaining features with coefficient p-values < 0.1.

Joint Models With the computational limitations of standard joint models, direct application to our dataset - containing over 6,500 patients in the training set with 87 annual covariate measurements - proved to be infeasible. As such, we used the two-step estimation procedure of (Wu, 2009), fitting the individual linear mixed effects (LME) longitudinal sub-models first, and using the mean estimates in the Cox regression model. For continuous variables, the linear mixed effects models used random intercepts and slopes, this is in line with the FEV1 models in (van Horck et al., 2017), (Van Diemen et al., 2011) and (Stern et al., 2007). For binary variables, we use the logit regression version of the LME model, as implemented in the lme4 R package (Bates et al., 2015).

#### 5.3. Evaluating Multitask Learning with Irregularly Sampled Data

To evaluate the effectiveness of multitask learning, we simulate irregular sampling by randomly removing all data points across each task (defined in Section 4.2) with a probability  $\gamma$  at every time step. For multivariate prediction, continuous-valued inputs were imputed using the mean value of the training set, while binary variables and indicators of death were set to 0. The networks were trained according to Section 4.2, and then evaluated on the complete test set based on 1) MSE for continuous variables, and 2) AUROC for binary/event predictions.

Figure 3 shows the outperformance of multitask learning, which has a lower MSE for FEV1 and higher AUROCs for comorbidity and mortality predictions as  $\gamma$  increases. The improvements are most pronounced for MSE, as FEV1 has values at every time step, as opposed to binary observations and occurrences of death which are relatively more infrequent in the dataset. This demonstrates the robustness of the model to irregular sampling, and provides a way for joint models to be used on datasets even under such conditions.

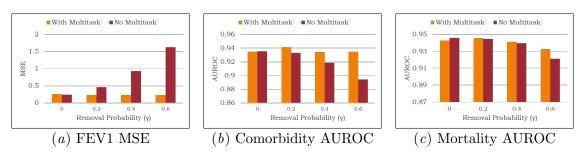


Figure 3: Performance Comparison Between Multitask and Multioutput Networks

#### 5.4. Performance vs Benchmarks

As survival analysis is the usually main task of interest, we perform a comprehensive evaluation across all benchmarks, computing a probability of the event of interest, i.e. death, at a given time step using  $1 - S_i^{(m)}(\tau|t)$  from Equation 7. Performance was compared on the basis of AUROC and AUPRC of mortality predictions at various horizons  $\tau$ . To account for the stochastic nature of the MC dropout sampling procedure, performance evaluation was repeated 3 times for each neural network, with the averages and standard deviations of the metrics in reported in Table 1.

Table 1: Results of Mortality Predictions for Cystic Fibrosis (Mean ± S.D. Across 3 Runs)

	$\tau$	DA-LSTM	DA-NN	LSTM	MLP	L	JM
AUROC	1 2 3 4 5	$ \begin{vmatrix} 0.944(\pm\ 0.0004) \\ 0.924(\pm\ 0.0008) \\ 0.910(\pm\ 0.0003) \\ 0.905(\pm\ 0.0003) \\ 0.895(\pm\ 0.0003) \\ \end{vmatrix} $	$0.943(\pm\ 0.0003)$ $0.923(\pm\ 0.0005)$ $0.905(\pm\ 0.0002)$ $0.902(\pm\ 0.0008)$ $0.892(\pm\ 0.0005)$	$0.943(\pm 0.0007)$ $0.923(\pm 0.0005)$ $0.908(\pm 0.0002)$ $0.904(\pm 0.0003)$ $0.894(\pm 0.0005)$	$0.941(\pm\ 0.0003)$ $0.919(\pm\ 0.0003)$ $0.907(\pm\ 0.0002)$ $0.904(\pm\ 0.0006)$ $0.888(\pm\ 0.0007)$	0.824 0.812 0.825 0.776 0.765	0.870 0.870 0.851 0.828 0.806
AUPRC	1 2 3 4 5	$ \begin{vmatrix} 0.278 & (\pm 0.0037) \\ 0.193 & (\pm 0.0014) \\ 0.103 & (\pm 0.0005) \\ 0.109 & (\pm 0.0007) \\ 0.101 & (\pm 0.0007) \end{vmatrix} $	$\begin{array}{c} 0.238 \; (\pm \; 0.0040) \\ 0.169 \; (\pm \; 0.0033) \\ 0.092 \; (\pm \; 0.0007) \\ 0.101 \; (\pm \; 0.0014) \\ 0.091 \; (\pm \; 0.0008) \end{array}$	$\begin{array}{c} 0.230 \ (\pm \ 0.0020) \\ 0.165 \ (\pm \ 0.0017) \\ 0.099 \ (\pm \ 0.0028) \\ 0.095 \ (\pm \ 0.0010) \\ 0.093 \ (\pm \ 0.0017) \end{array}$	$0.219~(\pm~0.0036) \ 0.186~(\pm~0.0036) \ 0.105~(\pm~0.0001) \ 0.102~(\pm~0.0006) \ 0.100~(\pm~0.0017)$	0.161 0.082 0.085 0.062 0.058	0.119 0.092 0.089 0.068 0.059

The first thing to note is the vast improvements of neural networks over traditional dynamic prediction models, with the DA-LSTM showing average AUPRC improvements of 75% and 78% across all time steps when compared to landmarking and standard joint models. Among the neural network benchmarks, the strongest gains for the DA-LSTM can be seen over shorter prediction horizons (1-2 years), improving the DA-NN by 17% and the LSTM

by 21% in one-step-ahead AUPRC. This highlights the benefits of both the use of temporal information and the addition of task-specific layers for short-term survival predictions. While the gains in AUROC are indeed smaller, this can be attributed to the large class imbalance present within the dataset, due to the rare occurrence of death in the dataset (seen in 451 of 10275 patients) and the censoring effect it has on a patients trajectory. As shown in (Saito and Rehmsmeier, 2015), ROC metrics can lead to deceptive good performance, as the definition of the false positive rate (false positive / total number of negatives) permits the occurrence of a large number of false positives in imbalanced datasets, and recommend the use of PRC metrics. In addition, we note that the Disease-Atlas architectures also allow for the forecasting of longitudinal variables over arbitrary horizons, which standard neural network architectures are unable to accommodate by default.

Table 2: Results of Longitudinal Predictions for Cystic Fibrosis (Single Run)

	$\mid \tau \mid$	MSE FEV1 Pred. FEV1		AUROC [Mean $\pm$ SD] Comorbidities Infections		AUPRC [Mean ±SD] Comorbidities Infections		
	1	LEVI	Tied. FEVI	Comorbidities	Infections	Comorbidities	Tillections	
DA-LSTM	1	0.182	121.3	$0.957~(\pm~0.025)$	$0.888~(\pm~0.056)$	$0.680\ (\pm0.261)$	$0.416~(\pm 0.247)$	
	2	0.191	139.4	$0.926\ (\pm0.047)^{'}$	$0.850\ (\pm\ 0.044)$	$0.648\ (\pm\ 0.244)$	$0.337\ (\pm\ 0.261)$	
	3	0.275	191.3	$0.882\ (\pm0.048)$	$0.798\ (\pm\ 0.057)$	$0.555\ (\pm\ 0.213)$	$0.337\ (\pm\ 0.261)$	
	4	0.374	254.4	$0.817\ (\pm0.085)$	$0.723\ (\pm\ 0.068)$	$0.459\ (\pm\ 0.184)$	$0.309\ (\pm\ 0.252)$	
	5	0.461	308.1	$0.790\ (\pm0.067)$	$0.669\ (\pm\ 0.126)$	$0.388\ (\pm\ 0.169)$	$0.269~(\pm~0.247)$	
$\overline{\mathbf{J}\mathbf{M}}$	1	0.553	368.6	$0.699 (\pm 0.148)$	$0.673 (\pm 0.069)$	$0.176 (\pm 0.088)$	$0.161 (\pm 0.176)$	
	2	0.593	411.1	$0.694~(\pm~0.139)$	$0.651 (\pm 0.060)$	$0.180~(\pm 0.089)$	$0.157~(\pm 0.181)$	
	3	0.641	451.8	$0.685~(\pm~0.140)$	$0.631 (\pm 0.072)$	$0.185~(\pm 0.090)$	$0.160~(\pm 0.186)$	
	4	0.695	490.1	$0.681 (\pm 0.132)$	$0.607~(\pm 0.077)$	$0.187~(\pm 0.091)$	$0.159~(~\pm~0.188)$	
	5	0.750	519.7	$0.673\ (\pm\ 0.130)$	$0.580~(~\pm~0.082)$	$0.188~(~\pm~0.093)$	$0.155~(~\pm~0.186)$	

We proceed to evaluate the longitudinal forecasting ability of the Disease-Atlas in Table 2, focusing on comparisons between the DA-LSTM and standard joint models. To provide a concise summary of longitudinal prediction results, we use a single set of 300 MC dropout samples to compute performance metrics. The average AUROC/AUPRC for comorbidities and infections are reported separately, along with standard deviations across each group. Similarly, results for FEV1 and Predicted FEV1 are reported for a single evaluation, and a full breakdown of results for each individual binary longitudinal variable is detailed in Appendix A. We can see that the DA-LSTM improves performance across all longitudinal prediction categories, reducing MSEs by 56% on average across all continuous predictions, and improving AUPRCs in comorbidities and infections on average by 199% and 112% respectively. This vast improvement underscores the ability of deep neural networks to learn complex interactions directly from the data, and demonstrates the benefits of using a deep learning approach to joint modeling.

#### 6. Illustrative Use Case for Disease-Atlas: Personalized Screening

While numerous use cases for the Disease-Atlas exist, one possible example is its use in personalized screening, i.e. prescribing testing regimes and follow-up schedules that are tailored to the unique characteristics of a patient. (Ahuja et al., 2017) derive an optimal policy that balances the costs of screening, along with the risks of delaying the screening process. Their paper, however, has two important limitations: 1) it requires the evolution of

the disease to be known, and 2) it requires an analytical expression for the cost of delay, which is often difficult to determine in practice. Disease-Atlas does not suffer from these limitations.

We illustrate how the Disease Atlas can be used for identifying screening profiles for Cystic Fibrosis patients. We use as an exemplar an actual patient from our test set who started to be seen in 2008 and is screened for Diabetes, a very important comorbidity affecting the treatment of patients.

Figure 4 shows how the Disease Atlas can be used to design personalized screening policies. Using the Disease Atlas as applied from 2008-2010, we can record the "smoothed" estimate at each time step, i.e.  $\hat{B}_i^{(d)}(0|t)$  as per Equation 6 and plotted in orange. To determine a patient's future risk, we extrapolate in the usual way over various horizons  $\tau$ , providing both the expected value and an uncertainty interval using the 5th and 95th percentiles of the MC dropout samples. From Figure 6, we see that the patient had a steady 18% increase in risk from 2008 to 2010, and will expect a further increase of 13% over the next 5 years. Informed by the Disease Atlas, the clinician may decide to prescribe additional tests for Diabetes, or increase the frequency of follow-up in the short-term to better monitor risks.

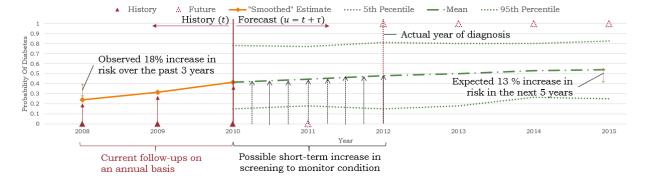


Figure 4: Using Disease-Atlas for Personalized Screening

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# Appendix for Disease-Atlas

### Appendix A. Tests on Cystic Fibrosis Dataset

#### A.1. Details on Dataset

The UK Cystic Fibrosis (CF) registry contains data obtained for a cohort of 10980 CF patients during annual follow ups between 2008-2015, with a total of 87 variables that were associated with each patient across all years. This includes demographic information (e.g. age, height, weight, BMI), genetic information, treatments received, metrics for lung function (FEV1 and Predicted FEV1), comorbidities observed, and any bacterial infections developed. In our investigations, we consider a joint model for the 2 continuous lung function scores (FEV1 and Predicted FEV1), 20 binary longitudinal variables of comorbidity and infection, along with death as the event of interest.

A full description of the jointly-modeled longitudinal and time-to-event datasets can be found in Table 3.

# A.2. Hyperparameter Optimization

Hyperparameter optimization was conducted using 20 iterations of random search, with the search space documented in Table 4. Please note that the RNN state size was defined relative to the number of input features (L). The task specific layers were also size in relation to the RNN state size, and defined to be (state size + task output size) /2. This was done to ensure that we had a principled way of sizing the task-specific layer relative to state size and outputs, without having to add on too many additional hyper-parameters (i.e. one per task-specific layer). In addition,  $\alpha_T$  was defined in relation to the number of longitudinal variables (K). All neural networks were trained to convergence, as determined by the survival log-likelihoods evaluated on the validation data, or up to a maximum of 50 epochs.

The final parameters obtained for each network can be found in Table 5.

#### A.3. Additional Results

To supplement the results in the test section of the main report, a detailed breakdown of the prediction results for binary longitudinal variables can be found in Table 6 and 7 for DA-LSTM and JM respectively. For the DA-LSTM, due to the randomness present in the MC dropout procedure, the performance evaluation was repeated 3 times with the means and standard deviations reported in the tables. The results also demonstrate the outperformance of the deep neural network over standard benchmarks in both AUROC and AUPRC terms.

#### Appendix B. Acknowledgments

This work is supported by the UK Cystic Fibrosis Trust and the Oxford-Man Institute. We would also like to thank the UK Cystic Fibrosis Trust for providing us access to the data from the CF registry, which was used extensively in the analysis performed in this report.

Table 3: Description of Longitudinal and Time-to-event Data for CF

		Type	% Patients	Mean	S.D.	$\mathbf{Min}$	Max
Event	Death	Binary (Event)	4.70%	0.008	0.087	0.000	1.000
Biomarkers	FEV1	Continuous	100.00%	2.176	0.914	0.090	6.250
	Predicted FEV1	Continuous	100.00%	72.109	22.404	8.950	197
Comorbidities	Liver Disease	Binary	20.80%	0.128	0.334	0.000	1.000
	Asthma	Binary	22.96%	0.146	0.353	0.000	1.000
	Arthropathy	Binary	9.50%	0.050	0.218	0.000	1.000
	Bone fracture	Binary	1.94%	0.007	0.081	0.000	1.000
	Raised Liver Enzymes	Binary	23.91%	0.114	0.318	0.000	1.000
	Osteopenia	Binary	20.37%	0.114	0.318	0.000	1.000
	Osteoporosis	Binary	9.58%	0.051	0.219	0.000	1.000
	Hypertension	Binary	3.30%	0.020	0.139	0.000	1.000
	Diabetes	Binary	24.56%	0.167	0.373	0.000	1.000
Bacterial	Burkholderia Cepacia	Binary	5.59%	0.034	0.181	0.000	1.000
Infections	Pseudomonas Aeruginosa	Binary	65.18%	0.407	0.491	0.000	1.000
	Haemophilus Influenza	Binary	30.55%	0.091	0.288	0.000	1.000
	Aspergillus	Binary	29.29%	0.110	0.313	0.000	1.000
	NTM	Binary	6.38%	0.019	0.136	0.000	1.000
	Ecoli	Binary	5.32%	0.012	0.111	0.000	1.000
	Klebsiella Pneumoniae	Binary	4.93%	0.010	0.101	0.000	1.000
	Gram-Negative	Binary	3.78%	0.008	0.089	0.000	1.000
	Xanthomonas	Binary	13.18%	0.043	0.202	0.000	1.000
	Staphylococcus Aureus	Binary	52.59%	0.244	0.429	0.000	1.000
	ALCĂ	Binary	5.06%	0.020	0.138	0.000	1.000

Table 4: Hyper-parameter Selection Range for Random Search

	9
	Hyper-parameter Selection Range
Max Number of Epochs	50
RNN State Size	1L, 2L, 3L, 4L, 5L
$\alpha_T$	K, 2K, 3K, 4K, 5K
Max Gradient Norm	0.5, 1.0, 1.5, 2.0
Learning Rate	1e-3, 5e-3, 1e-4
Minibatch Size	64, 128, 256
Dropout Rate	0.2,0.3,0.4,0.5

Table 5: Hyper-parameters Selected for CF Tests

	State Size	Minibatch Size	Learning Rate	Max Gradient Norm	Dropout Rate	$\alpha_T$
DA-LSTM	1L	256	1.00E-04	0.5	0.3	3K
DA-NN	5L	256	1.00E-04	2.0	0.3	4K
Standard LSTM	1L	32	1.00E-03	1.5	0.4	4K
Standard NN	1.5L	64	1.00E-03	1	0.45	1K

Table 6: AUROC for Comorbidity and Infection Predictions for CF Dataset (Mean  $\pm$  S.D. Across 3 Runs)

DA-LSTM	1	2	3	4	5
Comorbidities					
Liver Disease	$0.975 (\pm 0.0001)$	$0.948 (\pm 0.0001)$	$0.897 (\pm 0.0003)$	$0.826 (\pm 0.0005)$	$0.767 (\pm 0.0001)$
Asthma	$0.979 (\pm 0.0001)$	$0.946~(\pm~0.0002)$	$0.906 (\pm 0.0005)$	$0.843 (\pm 0.0004)$	$0.784 (\pm 0.0003)$
Arthropathy	$0.975 (\pm 0.0004)$	$0.950 \ (\pm \ 0.0002)$	$0.911 (\pm 0.0004)$	$0.888 (\pm 0.0007)$	$0.833 (\pm 0.0004)$
Bone fracture	$0.891 (\pm 0.0024)$	$0.791 (\pm 0.0015)$	$0.789 (\pm 0.0014)$	$0.610 (\pm 0.0029)$	$0.721 (\pm 0.0013)$
Raised Liver Enzymes	$0.937 (\pm 0.0006)$	$0.909 (\pm 0.0002)$	$0.798 (\pm 0.0004)$	$0.741 (\pm 0.0006)$	$0.685 (\pm 0.0002)$
Osteopenia	$0.961 (\pm 0.0005)$	$0.942 (\pm 0.0003)$	$0.897 (\pm 0.0006)$	$0.873~(\pm~0.0005)$	$0.850 (\pm 0.0004)$
Osteoporosis	$0.956~(\pm~0.0006)$	$0.943~(\pm~0.0008)$	$0.912 (\pm 0.0006)$	$0.877 (\pm 0.0008)$	$0.854 (\pm 0.0003)$
Hypertension	$0.977 (\pm 0.0004)$	$0.955~(\pm~0.0006)$	$0.936\ (\pm\ 0.0009)$	$0.879~(\pm~0.0006)$	$0.869 (\pm 0.0009)$
Diabetes	$0.963 \ (\pm \ 0.0002)$	$0.942\ (\pm\ 0.0002)$	$0.918\ (\pm\ 0.0001)$	$0.873\ (\pm\ 0.0003)$	$0.844\ (\pm\ 0.0004)$
Infections					
Burkholderia Cepacia	$0.960 (\pm 0.0011)$	$0.929 (\pm 0.0009)$	$0.922 (\pm 0.0008)$	$0.876 (\pm 0.0004)$	$0.846 (\pm 0.0011)$
Pseudomonas Aeruginosa	$0.898\ (\pm\ 0.0004)$	$0.878 (\pm 0.0002)$	$0.850\ (\pm\ 0.0002)$	$0.818\ (\pm\ 0.0003)$	$0.796\ (\pm\ 0.0005)$
Haemophilus Influenza	$0.848 (\pm 0.0007)$	$0.835\ (\pm\ 0.0002)$	$0.798 (\pm 0.0002)$	$0.737\ (\pm\ 0.0007)$	$0.738\ (\pm\ 0.0012)$
Aspergillus	$0.873 \ (\pm \ 0.0007)$	$0.798 (\pm 0.0004)$	$0.789 (\pm 0.0007)$	$0.673 (\pm 0.0010)$	$0.665 (\pm 0.0006)$
NTM	$0.897 (\pm 0.0008)$	$0.802 (\pm 0.0013)$	$0.824 \ (\pm \ 0.0014)$	$0.675 (\pm 0.0008)$	$0.633 (\pm 0.0002)$
Ecoli	$0.929 (\pm 0.0018)$	$0.894 (\pm 0.0013)$	$0.733 (\pm 0.0044)$	$0.677 (\pm 0.0043)$	$0.340 (\pm 0.0066)$
Klebsiella Pneumoniae	$0.950 (\pm 0.0012)$	$0.904 (\pm 0.0007)$	$0.815 (\pm 0.0044)$	$0.630 (\pm 0.0005)$	$0.725 (\pm 0.0046)$
Gram-Negative	$0.745 (\pm 0.0052)$	$0.793 (\pm 0.0045)$	$0.690 (\pm 0.0007)$	$0.698 (\pm 0.0020)$	$0.587 (\pm 0.0027)$
Xanthomonas	$0.894 (\pm 0.0009)$	$0.831 (\pm 0.0005)$	$0.770 (\pm 0.0013)$	$0.716 (\pm 0.0007)$	$0.654 (\pm 0.0006)$
Staphylococcus Aureus	$0.908 (\pm 0.0002)$	$0.856 (\pm 0.0004)$	$0.784 (\pm 0.0005)$	$0.699 (\pm 0.0003)$	$0.649 (\pm 0.0005)$
ALCA	$0.863 (\pm 0.0008)$	$0.831 (\pm 0.0010)$	$0.800 (\pm 0.0008)$	$0.758 (\pm 0.0010)$	$0.725 (\pm 0.0015)$
T. 4					
$\mathbf{J}\mathbf{M}$	1	2	3	4	5
$\frac{\text{JM}}{\text{Comorbidities}}$	1	2	3	4	5
	0.634	0.622	0.619	0.607	0.602
Comorbidities					
Comorbidities Liver Disease Asthma	0.634	0.622	0.619	0.607	0.602
Comorbidities Liver Disease	0.634 0.701	0.622 0.671	0.619 0.649	0.607 0.622	0.602 0.597
Comorbidities Liver Disease Asthma Arthropathy Bone Fracture	0.634 0.701 0.761 0.344	0.622 0.671 0.755	0.619 0.649 0.755 0.378	0.607 0.622 0.757	0.602 0.597 0.749
Comorbidities Liver Disease Asthma Arthropathy Bone Fracture Raised Liver Enzymes	0.634 0.701 0.761	0.622 0.671 0.755 0.379	0.619 0.649 0.755 0.378 0.589	0.607 0.622 0.757 0.413	0.602 0.597 0.749 0.411
Comorbidities Liver Disease Asthma Arthropathy Bone Fracture	0.634 0.701 0.761 0.344 0.622	0.622 0.671 0.755 0.379 0.608	0.619 0.649 0.755 0.378	0.607 0.622 0.757 0.413 0.584	0.602 0.597 0.749 0.411 0.594
Comorbidities Liver Disease Asthma Arthropathy Bone Fracture Raised Liver Enzymes Osteopenia Osteoporosis	0.634 0.701 0.761 0.344 0.622 0.774	0.622 0.671 0.755 0.379 0.608 0.767 0.794	0.619 0.649 0.755 0.378 0.589 0.761 0.781	0.607 0.622 0.757 0.413 0.584 0.758	0.602 0.597 0.749 0.411 0.594 0.746 0.76
Comorbidities Liver Disease Asthma Arthropathy Bone Fracture Raised Liver Enzymes Osteopenia	0.634 0.701 0.761 0.344 0.622 0.774 0.801	0.622 0.671 0.755 0.379 0.608 0.767	0.619 0.649 0.755 0.378 0.589 0.761	0.607 0.622 0.757 0.413 0.584 0.758 0.772	0.602 0.597 0.749 0.411 0.594 0.746
Comorbidities Liver Disease Asthma Arthropathy Bone Fracture Raised Liver Enzymes Osteopenia Osteoporosis Hypertension	0.634 0.701 0.761 0.344 0.622 0.774 0.801 0.894	0.622 0.671 0.755 0.379 0.608 0.767 0.794	0.619 0.649 0.755 0.378 0.589 0.761 0.781 0.893	0.607 0.622 0.757 0.413 0.584 0.758 0.772	0.602 0.597 0.749 0.411 0.594 0.746 0.76 0.889
Comorbidities Liver Disease Asthma Arthropathy Bone Fracture Raised Liver Enzymes Osteopenia Osteoporosis Hypertension Diabetes  Infections	0.634 0.701 0.761 0.344 0.622 0.774 0.801 0.894	0.622 0.671 0.755 0.379 0.608 0.767 0.794	0.619 0.649 0.755 0.378 0.589 0.761 0.781 0.893	0.607 0.622 0.757 0.413 0.584 0.758 0.772	0.602 0.597 0.749 0.411 0.594 0.746 0.76 0.889
Comorbidities Liver Disease Asthma Arthropathy Bone Fracture Raised Liver Enzymes Osteopenia Osteoporosis Hypertension Diabetes  Infections Burkholderia Cepacia	0.634 0.701 0.761 0.344 0.622 0.774 0.801 0.894 0.76	0.622 0.671 0.755 0.379 0.608 0.767 0.794 0.891 0.754	0.619 0.649 0.755 0.378 0.589 0.761 0.781 0.893 0.739	0.607 0.622 0.757 0.413 0.584 0.758 0.772 0.893 0.723	0.602 0.597 0.749 0.411 0.594 0.746 0.76 0.889 0.709
Comorbidities Liver Disease Asthma Arthropathy Bone Fracture Raised Liver Enzymes Osteopenia Osteoporosis Hypertension Diabetes  Infections Burkholderia Cepacia Pseudomonas Aeruginosa	0.634 0.701 0.761 0.344 0.622 0.774 0.801 0.894	0.622 0.671 0.755 0.379 0.608 0.767 0.794 0.891 0.754	0.619 0.649 0.755 0.378 0.589 0.761 0.781 0.893 0.739	0.607 0.622 0.757 0.413 0.584 0.758 0.772 0.893 0.723	0.602 0.597 0.749 0.411 0.594 0.746 0.76 0.889 0.709
Comorbidities Liver Disease Asthma Arthropathy Bone Fracture Raised Liver Enzymes Osteopenia Osteoporosis Hypertension Diabetes  Infections Burkholderia Cepacia Pseudomonas Aeruginosa Haemophilus Influenza	0.634 0.701 0.761 0.344 0.622 0.774 0.801 0.894 0.76	0.622 0.671 0.755 0.379 0.608 0.767 0.794 0.891 0.754	0.619 0.649 0.755 0.378 0.589 0.761 0.781 0.893 0.739	0.607 0.622 0.757 0.413 0.584 0.758 0.772 0.893 0.723	0.602 0.597 0.749 0.411 0.594 0.746 0.76 0.889 0.709
Comorbidities Liver Disease Asthma Arthropathy Bone Fracture Raised Liver Enzymes Osteopenia Osteoporosis Hypertension Diabetes  Infections Burkholderia Cepacia Pseudomonas Aeruginosa Haemophilus Influenza Aspergillus	0.634 0.701 0.761 0.344 0.622 0.774 0.801 0.894 0.76	0.622 0.671 0.755 0.379 0.608 0.767 0.794 0.891 0.754 0.638 0.735 0.712 0.689	0.619 0.649 0.755 0.378 0.589 0.761 0.781 0.893 0.739 0.63 0.727 0.722 0.662	0.607 0.622 0.757 0.413 0.584 0.758 0.772 0.893 0.723 0.622 0.713 0.715 0.622	0.602 0.597 0.749 0.411 0.594 0.746 0.76 0.889 0.709
Comorbidities Liver Disease Asthma Arthropathy Bone Fracture Raised Liver Enzymes Osteopenia Osteoporosis Hypertension Diabetes  Infections Burkholderia Cepacia Pseudomonas Aeruginosa Haemophilus Influenza	0.634 0.701 0.761 0.344 0.622 0.774 0.801 0.894 0.76	0.622 0.671 0.755 0.379 0.608 0.767 0.794 0.891 0.754	0.619 0.649 0.755 0.378 0.589 0.761 0.781 0.893 0.739 0.63 0.727 0.722	0.607 0.622 0.757 0.413 0.584 0.758 0.772 0.893 0.723 0.622 0.713 0.715	0.602 0.597 0.749 0.411 0.594 0.746 0.76 0.889 0.709
Comorbidities Liver Disease Asthma Arthropathy Bone Fracture Raised Liver Enzymes Osteopenia Osteoporosis Hypertension Diabetes  Infections Burkholderia Cepacia Pseudomonas Aeruginosa Haemophilus Influenza Aspergillus NTM	0.634 0.701 0.761 0.344 0.622 0.774 0.801 0.894 0.76	0.622 0.671 0.755 0.379 0.608 0.767 0.794 0.891 0.754 0.638 0.735 0.712 0.689 0.686	0.619 0.649 0.755 0.378 0.589 0.761 0.781 0.893 0.739 0.63 0.727 0.722 0.662 0.678	0.607 0.622 0.757 0.413 0.584 0.758 0.772 0.893 0.723 0.622 0.713 0.715 0.622 0.633	0.602 0.597 0.749 0.411 0.594 0.746 0.76 0.889 0.709 0.613 0.692 0.685 0.603 0.586
Comorbidities Liver Disease Asthma Arthropathy Bone Fracture Raised Liver Enzymes Osteopenia Osteoporosis Hypertension Diabetes  Infections Burkholderia Cepacia Pseudomonas Aeruginosa Haemophilus Influenza Aspergillus NTM Ecoli	0.634 0.701 0.761 0.344 0.622 0.774 0.801 0.894 0.76 0.636 0.744 0.698 0.716 0.709 0.729	0.622 0.671 0.755 0.379 0.608 0.767 0.794 0.891 0.754 0.638 0.735 0.712 0.689 0.686 0.604	0.619 0.649 0.755 0.378 0.589 0.761 0.781 0.893 0.739 0.63 0.727 0.722 0.662 0.678 0.507	0.607 0.622 0.757 0.413 0.584 0.758 0.772 0.893 0.723 0.622 0.713 0.715 0.622 0.633 0.444	0.602 0.597 0.749 0.411 0.594 0.746 0.76 0.889 0.709 0.613 0.692 0.685 0.603 0.586 0.389
Comorbidities Liver Disease Asthma Arthropathy Bone Fracture Raised Liver Enzymes Osteopenia Osteoporosis Hypertension Diabetes  Infections Burkholderia Cepacia Pseudomonas Aeruginosa Haemophilus Influenza Aspergillus NTM Ecoli Klebsiella Pneumoniae	0.634 0.701 0.761 0.344 0.622 0.774 0.801 0.894 0.76 0.636 0.744 0.698 0.716 0.709 0.729 0.777	0.622 0.671 0.755 0.379 0.608 0.767 0.794 0.891 0.754 0.638 0.735 0.712 0.689 0.686 0.604 0.73	0.619 0.649 0.755 0.378 0.589 0.761 0.781 0.893 0.739 0.63 0.727 0.722 0.662 0.678 0.507 0.706	0.607 0.622 0.757 0.413 0.584 0.758 0.772 0.893 0.723 0.622 0.713 0.715 0.622 0.633 0.444 0.67	0.602 0.597 0.749 0.411 0.594 0.76 0.889 0.709 0.613 0.692 0.685 0.603 0.586 0.389 0.624
Comorbidities Liver Disease Asthma Arthropathy Bone Fracture Raised Liver Enzymes Osteopenia Osteoporosis Hypertension Diabetes  Infections Burkholderia Cepacia Pseudomonas Aeruginosa Haemophilus Influenza Aspergillus NTM Ecoli Klebsiella Pneumoniae Gram-Negative	0.634 0.701 0.761 0.344 0.622 0.774 0.801 0.894 0.76 0.636 0.744 0.698 0.716 0.709 0.729 0.777 0.533	0.622 0.671 0.755 0.379 0.608 0.767 0.794 0.891 0.754 0.638 0.735 0.712 0.689 0.686 0.604 0.73 0.55	0.619 0.649 0.755 0.378 0.589 0.761 0.781 0.893 0.739 0.63 0.727 0.722 0.662 0.678 0.507 0.706 0.53	0.607 0.622 0.757 0.413 0.584 0.758 0.772 0.893 0.723 0.622 0.713 0.715 0.622 0.633 0.444 0.67 0.518	0.602 0.597 0.749 0.411 0.594 0.746 0.76 0.889 0.709 0.613 0.692 0.685 0.603 0.586 0.389 0.624

Table 7: AUPRC for Comorbidity and Infection Predictions for CF Dataset (Mean  $\pm$  S.D. Across 3 Runs)

DA-LSTM	1	2	3	4	5
Comorbidities					
Liver Disease	$0.862 (\pm 0.0006)$	$0.825 (\pm 0.0007)$	$0.709 (\pm 0.0022)$	$0.616 (\pm 0.0009)$	$0.513 (\pm 0.0012)$
Asthma	$0.904 (\pm 0.0006)$	$0.845 (\pm 0.0007)$ $0.845 (\pm 0.0015)$	$0.773 (\pm 0.0016)$	$0.642 (\pm 0.0024)$	$0.513 (\pm 0.0012)$ $0.544 (\pm 0.0019)$
Arthropathy	$0.799 (\pm 0.0036)$	$0.760 (\pm 0.0013)$	$0.621 (\pm 0.0011)$	$0.500 (\pm 0.0038)$	$0.347 (\pm 0.0013)$ $0.347 (\pm 0.0026)$
Bone fracture	$0.064 (\pm 0.0020)$	$0.043 (\pm 0.0012)$	$0.021 (\pm 0.0011)$ $0.052 (\pm 0.0011)$	$0.032 (\pm 0.0033)$	$0.031 (\pm 0.0020)$ $0.031 (\pm 0.0018)$
Raised Liver Enzymes	$0.784 (\pm 0.0025)$	$0.726 (\pm 0.0012)$	$0.536 (\pm 0.0011)$ $0.536 (\pm 0.0016)$	$0.409 (\pm 0.0024)$	$0.338 (\pm 0.0013)$
Osteopenia	$0.758 (\pm 0.0013)$ $0.758 (\pm 0.0018)$	$0.720 (\pm 0.0013)$ $0.742 (\pm 0.0013)$	$0.648 (\pm 0.0024)$	$0.409 (\pm 0.0024)$ $0.577 (\pm 0.0009)$	$0.526 (\pm 0.0017)$ $0.526 (\pm 0.0017)$
Osteoporosis	$0.658 (\pm 0.0018)$	$0.644 (\pm 0.0013)$	$0.507 (\pm 0.0024)$	$0.406 (\pm 0.0003)$	$0.320 (\pm 0.0017)$ $0.322 (\pm 0.0011)$
Hypertension	$0.308 (\pm 0.0044)$ $0.308 (\pm 0.0069)$	` ′	$0.307 (\pm 0.0017)$ $0.309 (\pm 0.0074)$	` ′	,
Diabetes	$0.850 (\pm 0.0009)$	$0.340 (\pm 0.0072)$ $0.798 (\pm 0.0019)$	$0.309 (\pm 0.0074)$ $0.774 (\pm 0.0013)$	$0.277 (\pm 0.0031)$ $0.663 (\pm 0.0020)$	$0.227 (\pm 0.0010)$ $0.640 (\pm 0.0034)$
	0.000 (± 0.0000)	0.130 (± 0.0013)	0.114 (± 0.0013)	0.003 (± 0.0020)	0.040 (± 0.0034)
Infections Development Communication	0.000 (   0.0000)	0.670 (   0.0006)	0.630 (   0.0047)	0.576 (1.0.0059)	0.471 (   0.0050)
Burkholderia Cepacia	$0.692 (\pm 0.0029)$	$0.672 (\pm 0.0026)$	$0.639 (\pm 0.0047)$	$0.576 (\pm 0.0058)$	$0.471 (\pm 0.0052)$
Pseudomonas Aeruginosa	$0.840 (\pm 0.0002)$	$0.828 (\pm 0.0010)$	$0.815 (\pm 0.0010)$	$0.800 (\pm 0.0007)$	$0.794 (\pm 0.0017)$
Haemophilus Influenza	$0.369 (\pm 0.0006)$	$0.332 (\pm 0.0005)$	$0.265 (\pm 0.0007)$	$0.243 (\pm 0.0009)$	$0.278 (\pm 0.0007)$
Aspergillus	$0.380 (\pm 0.0038)$	$0.315 (\pm 0.0008)$	$0.337 (\pm 0.0019)$	$0.270 (\pm 0.0011)$	$0.293 (\pm 0.0012)$
NTM	$0.237 (\pm 0.0008)$	$0.073 (\pm 0.0006)$	$0.181 (\pm 0.0017)$	$0.133 (\pm 0.0024)$	$0.138 (\pm 0.0008)$
Ecoli	$0.506 (\pm 0.0040)$	$0.242 (\pm 0.0030)$	$0.089 (\pm 0.0021)$	$0.036 (\pm 0.0005)$	$0.008 (\pm 0.0009)$
Klebsiella Pneumoniae	$0.299 (\pm 0.0039)$	$0.146 (\pm 0.0044)$	$0.060 (\pm 0.0041)$	$0.010 (\pm 0.0000)$	$0.015 (\pm 0.0004)$
Gram-Negative	$0.028 \ (\pm \ 0.0007)$	$0.038 \ (\pm \ 0.0013)$	$0.022 \ (\pm \ 0.0004)$	$0.027 (\pm 0.0003)$	$0.022 \ (\pm \ 0.0004)$
Xanthomonas	$0.298 \ (\pm \ 0.0068)$	$0.202 \ (\pm \ 0.0037)$	$0.218 \ (\pm \ 0.0020)$	$0.180 \ (\pm \ 0.0022)$	$0.128 \ (\pm \ 0.0019)$
Staphylococcus Aureus	$0.771 \ (\pm \ 0.0010)$	$0.706 \ (\pm \ 0.0018)$	$0.612 \ (\pm \ 0.0014)$	$0.537 (\pm 0.0002)$	$0.497 (\pm 0.0006)$
ALCA	$0.153 (\pm 0.0011)$	$0.148 (\pm 0.0024)$	$0.155 (\pm 0.0040)$	$0.144 (\pm 0.0019)$	$0.175 (\pm 0.0025)$
JM	1	2	3	4	5
Comorbidities					
Liver Disease	0.181	0.186	0.197	0.2	0.207
Asthma	0.272	0.261	0.258	0.245	0.24
Arthropathy	0.134	0.142	0.148	0.155	0.154
Bone Fracture	0.006	0.007	0.007	0.009	0.01
Raised Liver Enzymes	0.163	0.16	0.156	0.157	0.172
Osteopenia	0.245	0.255	0.266	0.278	0.28
Osteoporosis	0.144	0.149	0.151	0.146	0.134
Hypertension	0.123	0.13	0.141	0.142	0.142
D: 1	0.120	0.10	0.141	0.142	
Diabetes	0.319	0.334	0.141 $0.342$	0.348	0.356
Diabetes Infections					
Infections	0.319	0.334	0.342	0.348	0.356
<u>Infections</u> Burkholderia Cepacia	0.319	0.334	0.342	0.348	0.356
Infections Burkholderia Cepacia Pseudomonas Aeruginosa	0.319 0.054 0.636	0.334 0.058 0.641	0.342 0.056 0.65	0.348 0.056 0.655	0.356 0.062 0.649
Infections Burkholderia Cepacia Pseudomonas Aeruginosa Haemophilus Influenza	0.054 0.636 0.181	0.334 0.058 0.641 0.204	0.056 0.65 0.233	0.056 0.655 0.231	0.356 0.062 0.649 0.202
Infections Burkholderia Cepacia Pseudomonas Aeruginosa Haemophilus Influenza Aspergillus	0.054 0.636 0.181 0.22	0.058 0.641 0.204 0.22	0.056 0.65 0.233 0.218	0.056 0.655 0.231 0.212	0.356 0.062 0.649 0.202 0.216
Infections Burkholderia Cepacia Pseudomonas Aeruginosa Haemophilus Influenza Aspergillus NTM	0.054 0.636 0.181 0.22 0.076	0.058 0.641 0.204 0.22 0.068	0.056 0.65 0.233 0.218 0.072 0.025	0.056 0.655 0.231 0.212 0.062	0.356 0.062 0.649 0.202 0.216 0.041
Infections Burkholderia Cepacia Pseudomonas Aeruginosa Haemophilus Influenza Aspergillus NTM Ecoli Klebsiella Pneumoniae	0.319 0.054 0.636 0.181 0.22 0.076 0.098	0.334 0.058 0.641 0.204 0.22 0.068 0.037 0.037	0.056 0.65 0.233 0.218 0.072 0.025 0.026	0.348 0.056 0.655 0.231 0.212 0.062 0.011	0.356 0.062 0.649 0.202 0.216 0.041 0.005
Infections Burkholderia Cepacia Pseudomonas Aeruginosa Haemophilus Influenza Aspergillus NTM Ecoli Klebsiella Pneumoniae Gram-Negative	0.319 0.054 0.636 0.181 0.22 0.076 0.098 0.051 0.009	0.334 0.058 0.641 0.204 0.22 0.068 0.037 0.037 0.01	0.342 0.056 0.65 0.233 0.218 0.072 0.025 0.026 0.012	0.348 0.056 0.655 0.231 0.212 0.062 0.011 0.025 0.012	0.356 0.062 0.649 0.202 0.216 0.041 0.005 0.027 0.015
Infections Burkholderia Cepacia Pseudomonas Aeruginosa Haemophilus Influenza Aspergillus NTM Ecoli Klebsiella Pneumoniae	0.319 0.054 0.636 0.181 0.22 0.076 0.098 0.051	0.334 0.058 0.641 0.204 0.22 0.068 0.037 0.037	0.056 0.65 0.233 0.218 0.072 0.025 0.026	0.348 0.056 0.655 0.231 0.212 0.062 0.011 0.025	0.356 0.062 0.649 0.202 0.216 0.041 0.005 0.027

DISEASE-ATLAS: NAVIGATING DISEASE TRAJECTORIES USING DEEP LEARNING