

A semantics for concurrent permission logic

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Traditional logic

Owicki/Gries '76

$$\Gamma \vdash \{p\} c \{q\}$$

- Resource-sensitive partial correctness
 - Γ specifies *resources* r_i , *protection lists* X_i , and *invariants* R_i
 - p, q describe *unprotected variables*
- Static constraints guarantee race-freedom

Parallel rule

Owicki/Gries

$$\Gamma \vdash \{p_1\} c_1 \{q_1\} \quad \Gamma \vdash \{p_2\} c_2 \{q_2\}$$

$$\Gamma \vdash \{p_1 \wedge p_2\} c_1 \parallel c_2 \{q_1 \wedge q_2\}$$

provided

$$\text{free}(p_1, q_1) \cap \text{writes}(c_2) = \emptyset$$

$$\text{free}(p_2, q_2) \cap \text{writes}(c_1) = \emptyset$$

$$\text{free}(c_1) \cap \text{writes}(c_2) \subseteq \text{owned}(\Gamma)$$

$$\text{free}(c_2) \cap \text{writes}(c_1) \subseteq \text{owned}(\Gamma)$$

*critical variables
are protected*

Resource rules

Owicki/Gries

$$\Gamma \vdash \{(p \wedge R) \wedge b\} c \{q \wedge R\}$$

$$\Gamma, r(X):R \vdash \{p\} \text{ with } r \text{ when } b \text{ do } c \{q\}$$
$$\Gamma, r(X):R \vdash \{p\} c \{q\}$$

$$\Gamma \vdash \{p \wedge R\} \text{ resource } r \text{ in } c \{q \wedge R\}$$

(subject to static constraints)

Validity

Definition

$\Gamma \vdash \{p\}c\{q\}$ is *valid* iff...

Every finite computation of c
in an environment that respects Γ ,
from a state satisfying $p \wedge R_1 \wedge \dots \wedge R_n$,
respects Γ , is race-free,
and ends in a state satisfying $q \wedge R_1 \wedge \dots \wedge R_n$

(state = store)

Soundness

- Owicki-Gries logic is sound,
for simple shared-memory programs
- Every provable program is race-free

Problem

- Owicki-Gries logic is *unsound for pointer programs*

$$\frac{\vdash \{[x]=0\} [x]:=1 \{[x]=1\} \quad \vdash \{[y]=0\} [y]:=1 \{[y]=1\}}{\vdash \{[x]=0 \wedge [y]=0\} [x]:=1 \parallel [y]:=1 \{[x]=1 \wedge [y]=1\}}$$

valid premisses, invalid conclusion

- Static constraints cannot prevent *pointer races*

Concurrent separation logic

O'Hearn '02
Brookes '04

- Combine Owicki-Gries with *separation logic*
- Let resource invariants be *precise* formulas
- Static constraints ensure race-freedom for *variables*
- Use \star to enforce mutual exclusion for *heap*

$$\begin{aligned} (s, h) \models \varphi_1 \star \varphi_2 \\ \text{iff } \exists h_1 \perp h_2. h = h_1 \cup h_2 \ \& \\ (s, h_1) \models \varphi_1 \ \& \ (s, h_2) \models \varphi_2 \end{aligned}$$

Parallel rule

O'Hearn '02

$$\frac{\Gamma \vdash \{p_1\} c_1 \{q_1\} \quad \Gamma \vdash \{p_2\} c_2 \{q_2\}}{\Gamma \vdash \{p_1 \star p_2\} c_1 \parallel c_2 \{q_1 \star q_2\}}$$

\star for \wedge

provided

$$\text{free}(p_1, q_1) \cap \text{writes}(c_2) = \emptyset$$

$$\text{free}(p_2, q_2) \cap \text{writes}(c_1) = \emptyset$$

$$\text{free}(c_1) \cap \text{writes}(c_2) \subseteq \text{owned}(\Gamma)$$

$$\text{free}(c_2) \cap \text{writes}(c_1) \subseteq \text{owned}(\Gamma)$$

same as before

Resource rules

O'Hearn '02

$$\frac{\Gamma \vdash \{(p \star R) \wedge b\} c \{q \star R\}}{\Gamma, r(X):R \vdash \{p\} \text{ with } r \text{ when } b \text{ do } c \{q\}}$$

★ for \wedge

$$\frac{\Gamma, r(X):R \vdash \{p\} c \{q\}}{\Gamma \vdash \{p \star R\} \text{ resource } r \text{ in } c \{q \star R\}}$$

★ for \wedge

(subject to static constraints)

Validity

$\Gamma \vdash \{p\}c\{q\}$ is valid if:

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in an environment that respects Γ ,
from a state satisfying $p \star R_1 \star \dots \star R_n$,
respects Γ , is race-free,
and ends in a state satisfying $q \star R_1 \star \dots \star R_n$

*Can be formalized using
action trace semantics
(state = store + heap)*

Ownership transfer

- The logic allows proofs in which heap *ownership* transfers between processes and resources
 - for each available resource, invariant holds separately
 - when *acquiring* a resource, process *claims* ownership of protected variables + sub-heap
 - when *releasing* a resource, process must guarantee that invariant holds separately, and *cedes* ownership

Soundness

Brookes '04

Every provable formula is valid

- Based on *action trace* semantics
 - formalizes notion of *validity*
 - supports rigorous account of ownership transfer

precision plays a crucial role
in the soundness proof

Problems

- Concurrent separation logic is too rigid
- Cannot handle concurrent reads of heap cells

$\vdash \{z \mapsto 0\} x := [z] \parallel y := [z] \{z \mapsto 0 \wedge x = y = 0\}$

valid **but not provable**

$\vdash \{z = 0\} x := z \parallel y := z \{z = 0 \wedge x = y = 0\}$

valid, provable

Reason

- Concurrent separation logic treats store and heap differently
 - store handled in side conditions
 - heap managed in logic, with ★

$$z \mapsto 0 \star z \mapsto 0 = \text{false}$$

Concurrent permission logic

Parkinson, Bornat, Calcagno '06

- Blend Owicki-Gries with *permission logic*
- Treat store and heap identically
- Augment state with permissions
- Use a more permissive form of \star to allow concurrent reads but not writes

... no side conditions!

... no protection lists!

Parallel rule

PBC '06

$$\frac{\Gamma \vdash \{p_1\} c_1 \{q_1\} \quad \Gamma \vdash \{p_2\} c_2 \{q_2\}}{\Gamma \vdash \{p_1 \star p_2\} c_1 || c_2 \{q_1 \star q_2\}}$$

as before

Where's the
side condition?

Resource rules

PBC '06

$$\Gamma \vdash \{(p \star R) \wedge b\} c \{q \star R\}$$

as before

$$\Gamma, r:R \vdash \{p\} \text{ with } r \text{ when } b \text{ do } c \{q\}$$
$$\Gamma, r:R \vdash \{p\} c \{q\}$$

$$\Gamma \vdash \{p \star R\} \text{ resource } r \text{ in } c \{q \star R\}$$

(no need for static constraints)

Validity

$\Gamma \vdash \{p\}c\{q\}$ is valid if:

Every finite computation of c
in an environment that respects Γ ,
from a state satisfying $p \star R_1 \star \dots \star R_n$,
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and ends in a state satisfying $q \star R_1 \star \dots \star R_n$

*Can also be formalized with
action trace semantics*

(state = store + heap, with permissions)

Permission transfer

- The logic allows proofs in which *permissions* transfer implicitly between processes and resources
 - for each available resource, invariant holds separately
 - when *acquiring* a resource, process *claims* permissions
 - when *releasing* a resource, process must guarantee that invariant holds separately, and *cedes* permissions

Summary of talk



- Concurrent permission logic is *sound*
- Can use *action trace* semantics
- Soundness proof generalizes earlier proof for concurrent separation logic
- Crucial role of *precision*

Actions

*heap actions can be
incorporated too*

● δ

idle

● $i=v$

read

● $i:=v$

write

● $try(r), acq(r), rel(r)$

resource actions

● $abort$

error

Semantics

- A command denotes a set of *action traces*

$$\llbracket c \rrbracket \subseteq \text{Tr}$$

- Defined by structural induction on c

$$\llbracket c_1; c_2 \rrbracket = \{ \alpha_1 \alpha_2 \mid \alpha_1 \in \llbracket c_1 \rrbracket, \alpha_2 \in \llbracket c_2 \rrbracket \}$$

concatenation

$$\llbracket c_1 \parallel c_2 \rrbracket = \cup \{ \alpha_1 \parallel \alpha_2 \mid \alpha_1 \in \llbracket c_1 \rrbracket, \alpha_2 \in \llbracket c_2 \rrbracket \}$$

*resource-sensitive, race-detecting,
fair interleaving*

Permissions

$(\mathcal{P}, \otimes, \top)$

- partial commutative cancellative semi-group
- $\top \otimes p$ undefined
- $p \otimes p' \neq p$

\top allows read/write
 $p \neq \top$ allows read permission

+ other properties,
e.g. *divisibility*
when appropriate

Fractional permissions

- $\mathcal{P} = (0, 1]$

- $p \otimes p' = p + p'$ if in $(0, 1]$

- $\top = 1$

Stacks

$$s : S = \text{Ide} \rightarrow_{fin} V \times \mathcal{P}$$

- Map program variables to (v, p) pairs
- $s \star s'$ combines bindings and permissions, when s and s' are *compatible*
- Write $s \# s'$ when compatible

Stacks

- $s \# s'$ iff $\forall i, v, p, v', p'$.
if $s(i) = (v, p) \ \& \ s'(i) = (v', p')$
then $v = v' \ \& \ p \# p'$
- $s \star s' =_{\text{def}} s \setminus \text{dom}(s') \cup s' \setminus \text{dom}(s)$
 $\cup \{(i, (v, p \otimes p')) \mid s(i) = (v, p) \ \& \ s'(i) = (v, p')\}$

Logical variables

- Used in the logic to link pre- and post-conditions
- Do not appear in programs

X, Y are logical variables

x, y are program variables

Interpretations

- Map logical variables to logical values
 - integer variables to integers
 - permission variables to permissions

States

state = stack + interpretation

- $\sigma = (s, i)$
- $(s, i) \# (s', i')$ iff $s \# s' \ \& \ i = i'$
- $(s, i) \star (s', i) = (s \star s', i)$

State formulas

$\varphi ::= \mathbf{emp}$
| $\mathbf{Own}_p(x)$
| $E_1 = E_2$
| $\neg\varphi$
| $\varphi_1 \star \varphi_2$
| $\varphi_1 \wedge \varphi_2$
| $\varphi_1 \Rightarrow \varphi_2$
| $\exists X.\varphi$

Satisfaction

$(s,i) \models \mathbf{emp}$ iff $s = \{ \}$

$(s,i) \models \mathbf{Own}_p(x)$ iff $\exists v. s = \{(x, (v, |p|i))\}$

$\sigma \models \varphi_1 \star \varphi_2$ iff

$\exists \sigma_1, \sigma_2. \sigma = \sigma_1 \star \sigma_2 \ \& \ \sigma_1 \models \varphi_1 \ \& \ \sigma_2 \models \varphi_2$

$\sigma \models E_1 = E_2$ iff

$|E_1|\sigma = |E_2|\sigma \ \& \ \mathit{free}(E_1, E_2) \subseteq \mathit{dom}(\sigma)$

Examples

$\text{Own}_p(x) * \text{Own}_q(x)$

true in (s,i)
iff

$p \# q$ & $\exists v. s = \{(x, (v, |p \otimes q| i))\}$

$x=3$

true in (s,i)
iff

$\exists p. (x, (3, p)) \in s$

Precision

ϑ is *precise* iff for all σ there is at most one pair (σ_1, σ_2) such that $\sigma = \sigma_1 \star \sigma_2$ and $\sigma_1 \models \vartheta$

emp, $\text{Own}_p(x)$ are precise

if ϑ_1, ϑ_2 are precise, so are

$\vartheta_1 \star \vartheta_2$, $(B \wedge \vartheta_1) \vee (\neg B \wedge \vartheta_2)$

Ownership claims

- Formulas of the form

$$\text{Own}_{p_1}(x_1) * \dots * \text{Own}_{p_k}(x_k)$$

(always precise!)

Program formulas

$$\Gamma \vdash_{vr} \{\Phi\}c\{\Psi\}$$

- Γ of form $r_1:\vartheta_1, \dots, r_k:\vartheta_k$
- $\vartheta_1, \dots, \vartheta_k$ precise
- r_1, \dots, r_k distinct
- Φ, Ψ arbitrary state formulas

no protection lists

no static constraints

SKIP

$$\Gamma \vdash_{vr} \{\varphi\} \mathbf{skip} \{\varphi\}$$

no static constraint

ASSIGNMENT

*not the usual
substitution rule!*

$$\Gamma \vdash_{vr} \{ \text{Own}_T(x) * O \wedge X=e \} x:=e \{ \text{Own}_T(x) * O \wedge x=X \}$$

*note how
permission constraints
are expressed for e, x*

\bigcirc ranges over ownership claims

SEQUENCING

$$\Gamma \vdash_{vr} \{\varphi\} c_1 \{\psi\} \quad \Gamma \vdash_{vr} \{\psi\} c_2 \{\xi\}$$

$$\Gamma \vdash_{vr} \{\varphi\} c_1; c_2 \{\xi\}$$

as before

PARALLEL

$$\Gamma \vdash_{vr} \{\varphi_1\} c_1 \{\psi_1\} \quad \Gamma \vdash_{vr} \{\varphi_2\} c_2 \{\psi_2\}$$

$$\Gamma \vdash_{vr} \{\varphi_1 \star \varphi_2\} c_1 || c_2 \{\psi_1 \star \psi_2\}$$

no static constraints

IF and WHILE

$$\phi \Rightarrow b = b \quad \Gamma \vdash_{vr} \{\phi \wedge b\} c_1 \{\psi\} \quad \Gamma \vdash_{vr} \{\phi \wedge \neg b\} c_2 \{\psi\}$$

$$\Gamma \vdash_{vr} \{\phi\} \text{ **if** } b \text{ **then** } c_1 \text{ **else** } c_2 \{\psi\}$$

$$\phi \Rightarrow b = b \quad \Gamma \vdash_{vr} \{\phi \wedge b\} c \{\phi\}$$

$$\Gamma \vdash_{vr} \{\phi\} \text{ **while** } b \text{ **do** } c \{\phi \wedge \neg b\}$$

*extra premiss ensures
permission for **b***

REGION

$$\frac{\varphi * \theta \Rightarrow b = b \quad \Gamma \vdash_{vr} \{(\varphi * \theta) \wedge b\} \text{ c } \{\psi * \theta\}}{\Gamma, r:\theta \vdash_{vr} \{\varphi\} \text{ with } r \text{ when } b \text{ do } \text{ c } \{\psi\}}$$

*extra premiss implies
permission for b*

RESOURCE

$$\Gamma, r:\theta \vdash_{vr} \{\varphi\} c \{\psi\}$$

$$\Gamma \vdash_{vr} \{\varphi \star \theta\} \text{ resource } r \text{ in } c \{\psi \star \theta\}$$

as before

CHANGE of BOUND RESOURCE

$$\Gamma \vdash_{vr} \{\phi\} \text{ resource } r' \text{ in } [r'/r]c \{\psi\}$$

$$\Gamma \vdash_{vr} \{\phi\} \text{ resource } r \text{ in } c \{\psi\}$$

provided r' not free in c

LOCAL

$$\frac{\Gamma \vdash_{vr} \{\text{Own}_T(x') * \phi\} \quad [x'/x]c \{\text{Own}_T(x') * \psi\}}{\Gamma \vdash_{vr} \{\phi\} \text{ local } x \text{ in } c \{\psi\}}$$

$\Gamma \vdash_{vr} \{\phi\} \text{ local } x \text{ in } c \{\psi\}$

provided x' not free in Γ, ϕ, ψ, c

FRAME

$$\Gamma \vdash_{vr} \{\phi\} c \{\psi\}$$

$$\Gamma \vdash_{vr} \{\phi * \vartheta\} c \{\psi * \vartheta\}$$

no static constraints

EXISTS

$$\frac{\Gamma \vdash_{vr} \{\varphi\} c \{\psi\}}{\Gamma \vdash_{vr} \{\exists X. \varphi\} c \{\exists X. \psi\}}$$

X a logical variable

CONSEQUENCE

$$\frac{\varphi' \Rightarrow \varphi \quad \Gamma \vdash_{vr} \{\varphi\} c \{\psi\} \quad \psi \Rightarrow \psi' \quad \Gamma \Leftrightarrow \Gamma'}{\Gamma' \vdash_{vr} \{\varphi'\} c \{\psi'\}}$$

as before

AUXILIARY VARIABLES

$$\frac{\Gamma \vdash_{vr} \{\varphi \star \text{Own}_T(A)\} \quad c \ \{\psi \star \text{Own}_T(A)\}}{\Gamma \vdash_{vr} \{\varphi\} \quad c \setminus A \ \{\psi\}}$$

*provided A auxiliary for c
and no variable in A is free in Γ, φ, ψ*

A DERIVED RULE

$$\Gamma \vdash_{vr} \{\Phi\} x := e \{\Phi \wedge x = e\}$$

if x not free in e

where Φ is

$$\text{Own}_{\top}(x) * \text{Own}_{p_1}(x_1) * \dots * \text{Own}_{p_k}(x_k)$$

and $\text{free}(e) = \{x_1, \dots, x_k\}$

Example

concurrent reads

$$\begin{array}{c} \vdash_{vr} \{ \text{Own}_T(x) * \text{Own}_T(y) * \text{Own}_q(z) \} \\ x:=z \parallel y:=z \\ \{ \text{Own}_T(x) * \text{Own}_T(y) * \text{Own}_q(z) \wedge x=y=z \} \end{array}$$

need total permission for x, y
+ any permission for z

Example

race condition

$$\vdash_{vr} \{ \text{Own}_T(x) * \text{Own}_T(x) \}$$
$$x := x + 1 \parallel x := x + 1$$
$$\{ \text{Own}_T(x) * \text{Own}_T(x) \}$$

valid, provable

vacuous

Example

distributed counter

Let $p_1 \otimes q_1 = p_2 \otimes q_2 = \top$

$\Gamma = r: \text{Own}_{\top}(x) * \text{Own}_{p_1}(x_1) * \text{Own}_{p_2}(x_2) \wedge x = x_1 + x_2$

$\Gamma \vdash_{vr} \{ \text{Own}_{q_1}(x_1) * \text{Own}_{q_2}(x_2) \}$

with r **do** $(x := x + 1; x_1 := x_1 + 1)$

|| with r **do** $(x := x + 1; x_2 := x_2 + 1)$

$\{ \text{Own}_{q_1}(x_1) * \text{Own}_{q_2}(x_2) \}$

using PAR, REGION

Example

distributed counter

$$\vdash_{vr} \{ \text{Own}_T(x, x_1, x_2) \wedge x = x_1 + x_2 \}$$

resource r in

with r do (x:=x+1; x₁:=x₁+1)

|| with r do (x:=x+1; x₂:=x₂+1)

$$\{ \text{Own}_T(x, x_1, x_2) \wedge x = x_1 + x_2 \}$$

by RESOURCE rule

Example

distributed counter

$$\vdash_{vr} \{(\text{Own}_T(x) \wedge x=0) * \text{Own}_T(x_1, x_2)\}$$

$x_1 := 0; x_2 := 0;$
resource r in
 with r do $(x := x + 1; x_1 := x_1 + 1)$
 || with r do $(x := x + 1; x_2 := x_2 + 1)$
 $\{(\text{Own}_T(x) \wedge x=2) * \text{Own}_T(x_1, x_2)\}$

by SEQ rule and CONSEQUENCE

Example

distributed counter

$$\begin{array}{l} \vdash_{vr} \{ \text{Own}_T(x) \wedge x=0 \} \\ \quad \text{resource } r \text{ in} \\ \quad \quad \text{with } r \text{ do } x:=x+1 \\ \quad \quad || \text{ with } r \text{ do } x:=x+1 \\ \quad \quad \{ \text{Own}_T(x) \wedge x=2 \} \end{array}$$

by AUX rule

Intuition

- Rules designed to ensure writes only with *total* permission, reads with *any* permission
- Permissions transfer implicitly on acquiring and releasing resources
- Old side conditions absorbed into the permission calculus

Validity

$\Gamma \vdash_{vr} \{\Phi\}_c \{\Psi\}$ is valid iff

For all $\alpha \in \llbracket c \rrbracket$, $\forall \sigma, \sigma'$.

if $\sigma \models \Phi$ and $\sigma \xrightarrow[\Gamma]{\alpha} \sigma'$

then $\sigma' \models \Psi$

interactive computation
in environment respecting Γ

Logical enabling

$$(\sigma, A) \stackrel{\alpha}{\underset{\Gamma}{\Rightarrow}} (\sigma', A')$$

- When a process with resources A , in “local” state σ , can do α
- Assumes environment that respects Γ
- Causes abort if α exceeds permissions, breaks an invariant, or produces runtime error

Logical enabling

READ

$(\sigma, A) \xRightarrow[\perp]{x=v} (\sigma, A)$ if $\exists p. \sigma(x) = (v, p)$

$(\sigma, A) \xRightarrow[\perp]{x=v} \text{abort}$ if $x \notin \text{dom}(\sigma)$

WRITE

$(\sigma, A) \xRightarrow[\perp]{x:=v} ([\sigma | x:(v, \top)], A)$ if $\exists v_0. \sigma(x) = (v_0, \top)$

$(\sigma, A) \xRightarrow[\perp]{x:=v} \text{abort}$ otherwise

Logical enabling

*when acquiring r,
assume invariant holds,
claim extra state*

ACQUIRE

$$(\sigma, A) \stackrel{\text{acq}(r)}{\vDash} (\sigma * \sigma', A \cup \{r\})$$

if $r \notin A$, $r:\vartheta \in \Gamma$, $\sigma \# \sigma'$, $\sigma' \models \vartheta$

Logical enabling

*when releasing r ,
ensure invariant holds,
relinquish claim*

RELEASE

$$(\sigma, A) \xRightarrow[\Gamma]{\text{rel}(r)} (\sigma_1, A - \{r\})$$

if $r \in A$, $r:\vartheta \in \Gamma$, $\sigma = \sigma_1 \star \sigma_2$, $\sigma_2 \models \vartheta$

$$(\sigma, A) \xRightarrow[\Gamma]{\text{rel}(r)} \text{abort}$$

if $r \in A$, $r:\vartheta \in \Gamma$,

$\forall \sigma_1 \# \sigma_2. (\sigma = \sigma_1 \star \sigma_2 \text{ implies } \sigma_2 \models \neg \vartheta)$

Theorem

Every provable formula is valid

- Each inference rule preserves validity
- Key lemma: parallel decomposition

Parallel decomposition

Let $\alpha \in \alpha_1 \parallel \alpha_2$ and $\sigma = \sigma_1 \star \sigma_2$

If $\sigma \xRightarrow[\Gamma]{\alpha} \text{abort}$ then $\sigma_1 \xRightarrow[\Gamma]{\alpha_1} \text{abort}$ or $\sigma_2 \xRightarrow[\Gamma]{\alpha_2} \text{abort}$

If $\sigma \xRightarrow[\Gamma]{\alpha} \sigma'$ then

$\sigma_1 \xRightarrow[\Gamma]{\alpha_1} \text{abort}$

or $\sigma_2 \xRightarrow[\Gamma]{\alpha_2} \text{abort}$

or $\exists \sigma_1', \sigma_2'. \sigma' = \sigma_1' \star \sigma_2' \ \&$

$\sigma_1 \xRightarrow[\Gamma]{\alpha_1} \sigma_1' \ \& \ \sigma_2 \xRightarrow[\Gamma]{\alpha_2} \sigma_2'$

Race-freedom

Validity of $\Gamma \vdash_{vr} \{\Phi\}_c \{\Psi\}$ implies

For all $\alpha \in \llbracket c \rrbracket$, $\forall \sigma, \sigma'$.

if $\sigma \models \Phi \star \text{inv}(\Gamma)$ and $\sigma \xRightarrow{\alpha} \sigma'$

then $\sigma' \models \Psi \star \text{inv}(\Gamma)$

interference-free
computation

... NO RACES

References

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Thought for the Day



IT IS EASIER TO
GET FORGIVENESS
THAN IT IS TO GET
PERMISSION!

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