

# Data Layout from a Type-Theoretic Perspective

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MFPS 2022  
July 11, 2022  
Invited Talk

- One Important thread:
  - 1 Propositions as types
  - 2 Proofs as programs
  - 3 Reduction as computation
- Co-design programming language and reasoning principles
- Provides some extensibility and robustness
- (1) depends on logic and its vocabulary
- (2,3) depend on details of presentation
- (2,3) yield preservation and progress
- This talk:
  - Fix the logic (= intuitionistic propositional logic)
  - Vary the judgmental principles of proof

# Judgmental Principles of Proof (Examples)

## Intuitionistic Logic

Axioms + MP [Hilbert'27]

Natural Deduction [Gentzen'35]

Sequents with Stoop (LJT)

Semi-Axiomatic Seq Calc (SAX)

SAX with Snip (SNAX)

## Functional Programming

Combinators [Curry'35]

$\lambda$ -Calculus [Howard'69]

Explicit Substitutions [Herbelin'94]

**Futures** [DeYoung,Pf,Pruiksma'20]

**Data Layout** [this talk]

- From Natural Deduction (ND) to Semi-Axiomatic Sequent Calculus (SAX)
- Programming in SAX
- Cut Elimination and Snips in SAX
- Data Layout and SAX with Snips (SNAX)
- Examples Revisited

## Example: Disjunction in Natural Deduction

$$\frac{\Gamma \vdash A}{\Gamma \vdash A \vee B} \vee I_1 \quad \frac{\Gamma \vdash B}{\Gamma \vdash A \vee B} \vee I_2 \quad \frac{\Gamma \vdash A \vee B \quad \Gamma, A \vdash C \quad \Gamma, B \vdash C}{\Gamma \vdash C} \vee E$$

$$\frac{\Gamma \vdash M_1 : A}{\Gamma \vdash \mathbf{l}(M_1) : A \vee B} \vee I_1 \quad \frac{\Gamma \vdash M_2 : B}{\Gamma \vdash \mathbf{r}(M_2) : A \vee B} \vee I_2$$

$$\frac{\Gamma \vdash M : A \vee B \quad \Gamma, x : A \vdash N_1 : C \quad \Gamma, y : B \vdash N_2 : C}{\Gamma \vdash \mathbf{case} M (\mathbf{l}(x) \Rightarrow N_1 \mid \mathbf{r}(y) \Rightarrow N_2) : C} \vee E$$

$$\begin{aligned} \mathbf{case} \mathbf{l}(M_1) (\mathbf{l}(x) \Rightarrow N_1 \mid \dots) &\longrightarrow [M_1/x]N_1 \\ \mathbf{case} \mathbf{r}(M_2) (\dots \mid \mathbf{r}(y) \Rightarrow N_2) &\longrightarrow [M_2/y]N_2 \end{aligned}$$

- Fundamental operation underlying reduction
  - Substitution of proof for hypothesis (logic)
  - Substitution of term for variable (computation)
- Derives from meaning of hypothetical judgments

- Define **futures** with

$$x \leftarrow P ; Q$$

- Allocate a fresh future for  $x$
  - Compute  $P$  with destination  $x$
  - In parallel, compute  $Q$  which may read from  $x$
  - $Q$  blocks if it tries to read from  $x$  before  $P$  has written to  $x$
- Scheduling
    - **Futures**: compute  $P$  and  $Q$  in parallel
    - **Call-by-value**: complete  $P$  before starting  $Q$
    - **Call-by-need**: postpone  $P$  until  $Q$  needs  $x$

# Futures, Logically

- Logically, futures are a **cut** from the sequent calculus

$$\frac{\Gamma \vdash A \quad \Gamma, A \vdash C}{\Gamma \vdash C} \text{ cut} \quad \frac{\Gamma \vdash P :: (x : A) \quad \Gamma, x : A \vdash Q :: (w : C)}{\Gamma \vdash x \leftarrow P ; Q :: (w : C)} \text{ cut}$$

- Interpret sequents with addresses  $a_i$  and  $w$

$$\underbrace{a_1 : A_1, \dots, a_n : A_n}_{\text{read from}} \vdash P :: \underbrace{(w : C)}_{\text{write to}}$$

- Types  $A_i$  and  $C$  represent types of value at address  $a_i$  and  $w$

# Not Quite the Sequent Calculus

- Writer terminates after writing to destination
- Example: Disjunction / Sums

$$\frac{}{a : A \vdash \mathbf{write} \ c \ \mathbf{l}(a) :: (c : A \vee B)} \vee X_1$$

$$\frac{}{b : B \vdash \mathbf{write} \ c \ \mathbf{r}(b) :: (c : A \vee B)} \vee X_2$$

- $a$ ,  $b$ , and  $c$  are addresses: **values are small** ( $\mathbf{l}(a)$  and  $\mathbf{r}(b)$ )
- Reader continues based on value read

$$\frac{\Gamma, x : A \vdash Q :: (w : C) \quad \Gamma, y : B \vdash R :: (w : C)}{\Gamma, c : A \vee B \vdash \mathbf{read} \ c \ (\mathbf{l}(x) \Rightarrow Q \mid \mathbf{r}(y) \Rightarrow R) :: (w : C)} \vee L$$



# Specifying Dynamics as Multiset Rewriting

- State of computation represented as a multiset of objects
- Any subset can be rewritten by a transition rule
- For shared memory dynamics
  - **Ephemeral objects** **thread**  $P$  represent running threads
  - **Ephemeral objects** **cell**  $c \square$  were allocated but not yet written
  - **Persistent objects** **!cell**  $c \checkmark$  were allocated and written
- Sample rules (disjunction/sums)

$$\begin{aligned} &\text{thread } (\mathbf{write} \ c \ \mathbf{l}(a)), \text{ cell } c \ \square \longrightarrow \text{!cell } c \ \mathbf{l}(a) \\ &\text{thread } (\mathbf{write} \ c \ \mathbf{r}(b)), \text{ cell } c \ \square \longrightarrow \text{!cell } c \ \mathbf{r}(b) \end{aligned}$$
$$\begin{aligned} &\text{!cell } c \ \mathbf{l}(a), \text{ thread } (\mathbf{read} \ c \ (\mathbf{l}(x) \Rightarrow Q \mid \dots)) \longrightarrow \text{thread } [a/x]Q \\ &\text{!cell } c \ \mathbf{r}(b), \text{ thread } (\mathbf{read} \ c \ (\dots \mid \mathbf{r}(y) \Rightarrow R)) \longrightarrow \text{thread } [b/y]R \end{aligned}$$

# Semi-Axiomatic Sequent Calculus (SAX)

- Disjunction, purely logically

$$\frac{}{A \vdash A \vee B} \vee X_1 \quad \frac{}{B \vdash A \vee B} \vee X_2 \quad \frac{\Gamma, A \vdash C \quad \Gamma, B \vdash C}{\Gamma, A \vee B \vdash C} \vee L$$

- General rules

$$\frac{\Gamma \vdash A \quad \Gamma, A \vdash C}{\Gamma \vdash C} \text{ cut} \quad \frac{}{A \vdash A} \text{ id}$$

- Leave weakening and contraction implicit, for conciseness
- All positive right and negative left rules become axioms
- [DeYoung, Pf, Pruiksma; FSCD 2020]

# SAX, Positive Connectives

- Positive connectives ( $\vee$ ,  $\otimes$ ,  $1$ )
- Right rules are noninvertible\*, become axioms  $\vee X_i$ ,  $\otimes X$ ,  $1X$
- Left rules are invertible, remain  $\vee L$ ,  $\otimes L$ ,  $1L$

$$\frac{}{A \vdash A \vee B} \vee X_1 \quad \frac{}{B \vdash A \vee B} \vee X_2 \quad \frac{\Gamma, A \vdash C \quad \Gamma, B \vdash C}{\Gamma, A \vee B \vdash C} \vee L$$

$$\frac{}{A, B \vdash A \otimes B} \otimes X \quad \frac{\Gamma, A, B \vdash C}{\Gamma, A \otimes B \vdash C} \otimes L$$

$$\frac{}{\cdot \vdash 1} 1X \quad \frac{\Gamma \vdash C}{\Gamma, 1 \vdash C} 1L$$

# SAX, Negative Connectives

- Negative connectives ( $\supset$ ,  $\wedge$ )
- Right rules are invertible, remain  $\supset R$ ,  $\wedge R$
- Left rules are noninvertible, become axioms  $\supset X$ ,  $\wedge X$ ;

$$\frac{\Gamma, A \vdash B}{\Gamma \vdash A \supset B} \supset R \qquad \frac{}{A, A \supset B \vdash B} \supset X$$

$$\frac{\Gamma \vdash A \quad \Gamma \vdash B}{\Gamma \vdash A \wedge B} \wedge R \qquad \frac{}{A \wedge B \vdash A} \wedge X_1 \qquad \frac{}{A \wedge B \vdash B} \wedge X_2$$

# Back to Futures

- Generalize  $A \vee B$  to  $\sum_{\ell \in L} (\ell : A_\ell)$ , where  $A \vee B = (\mathbf{1} : A) + (\mathbf{r} : B)$
- Generalize  $A \wedge B$  to  $\&_{\ell \in L} (\ell : A_\ell)$ , where  $A \wedge B = (\mathbf{1} : A) \& (\mathbf{r} : B)$

Labels/Tags	$\ell, k$		
Addresses	$a, b, c, d, w ; x, y, z$		
Small values	$V ::=$	$k(a)$	$(\sum_{\ell} (\ell : A_\ell))$
		$\langle a, b \rangle$	$(A \otimes B)$
		$\langle \rangle$	$(1)$
			$(\&_{\ell} (\ell : A_\ell))$
			$(A \supset B)$
Continuations	$K ::=$	$(\ell(x) \Rightarrow P_\ell)_\ell$	$(\sum_{\ell} (\ell : A_\ell))$
		$\langle x, y \rangle \Rightarrow P$	$(A \otimes B)$
		$\langle \rangle \Rightarrow P$	$(1)$
			$(\&_{\ell} (\ell : A_\ell))$
Processes	$P ::=$	<b>write</b> $a V$	$(\Sigma, \otimes, 1)$
		<b>read</b> $a K$	$(\Sigma, \otimes, 1)$
		<b>write</b> $a K$	$(\&, \supset)$
		<b>read</b> $a V$	$(\&, \supset)$
		$x \leftarrow P ; Q$	(allocate $x$ )
		<b>copy</b> $a b$	(copy to $a$ from $b$ )

# Cut/Allocate and Identity/Copy

- Cut/Allocate:  $x \leftarrow P ; Q$  (only form of allocation)
- $P$  writes to  $x$ ,  $Q$  may read from  $x$

$$\frac{\Gamma \vdash P :: (x : A) \quad \Gamma, x : A \vdash Q :: (w : C)}{\Gamma \vdash (x \leftarrow P ; Q) :: (w : C)} \text{ cut}$$

$\text{thread } (x \leftarrow P ; Q) \longrightarrow \text{thread } [a/x]P, \text{cell } a \square, \text{thread } [a/x]Q$   
( $a$  fresh)

- Id/Copy: **copy**  $a b$
- Copy to  $a$  from  $b$

$$\frac{}{\Gamma, b : A \vdash \mathbf{copy} \ a \ b :: (a : A)} \text{ Id}$$

$! \text{cell } b \ V, \text{thread } (\mathbf{copy} \ a \ b), \text{cell } a \square \longrightarrow ! \text{cell } a \ V$

- Generic over values and continuations

thread (**write**  $a$   $V$ ), cell  $a$   $\square$   $\longrightarrow$  !cell  $a$   $V$   
thread (**read**  $a$   $K$ ), cell  $a$   $V$   $\longrightarrow$  thread ( $V \triangleright K$ )

thread (**write**  $a$   $K$ ), cell  $a$   $\square$   $\longrightarrow$  !cell  $a$   $K$   
thread (**read**  $a$   $V$ ), cell  $a$   $K$   $\longrightarrow$  thread ( $V \triangleright K$ )

- Passing values to continuations

$$\begin{aligned} k(a) \triangleright (\ell(x) \Rightarrow P_\ell)_{\ell \in L} &= [a/x]P_k \\ \langle a, b \rangle \triangleright (\langle x, y \rangle \Rightarrow P) &= [a/x, b/y]P \\ \langle \rangle \triangleright (\langle \rangle \Rightarrow P) &= P \end{aligned}$$

# Translation from Natural Deduction

- $\llbracket e \rrbracket d = P$  where  $P$  computes the value of  $e$  with destination  $d$

$\llbracket x \rrbracket d$	=	<b>copy</b> $d$ $x$
<hr/>		
$\llbracket \langle e_1, e_2 \rangle \rrbracket d$	=	$x_1 \leftarrow \llbracket e_1 \rrbracket x_1 ;$ $x_2 \leftarrow \llbracket e_2 \rrbracket x_2 ;$ <b>write</b> $d$ $\langle x_1, x_2 \rangle$
$\llbracket \text{case } e (\langle x, y \rangle \Rightarrow e') \rrbracket d$	=	$z \leftarrow \llbracket e \rrbracket z ;$ <b>read</b> $z$ $(\langle x, y \rangle \Rightarrow \llbracket e' \rrbracket d)$
<hr/>		
$\llbracket e_1 e_2 \rrbracket d$	=	$x_1 \leftarrow \llbracket e_1 \rrbracket x_1 ;$ $x_2 \leftarrow \llbracket e_2 \rrbracket x_2 ;$ <b>read</b> $x_1$ $\langle x_2, d \rangle$
$\llbracket \lambda x. e \rrbracket d$	=	<b>write</b> $d$ $(\langle x, z \rangle \Rightarrow \llbracket e \rrbracket z)$



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# Let's Program: Operations on Bits

- Type definitions  $t = A$
- Cell definitions  $c : A = P$  ( $A$  positive)
- Process definitions  $f \ w \ x_1 \ \dots \ x_n = P$ 
  - Requires  $x_1 : A_1, \dots, x_n : A_n \vdash P :: (w : C)$
  - First “argument” is always the **destination**

Unit : 1 = **write** Unit  $\langle \rangle$

bool = (**false** : 1) + (**true** : 1)

False : bool = **write** False **false**(Unit)

True : bool = **write** True **true**(Unit)

$b : \text{bool} \vdash \text{neg} :: (c : \text{bool})$

$\text{neg } c \ b =$

**read**  $b$  (**false**( $u$ )  $\Rightarrow$  **write**  $c$  **true**( $u$ )  
| **true**( $u$ )  $\Rightarrow$  **write**  $c$  **false**( $u$ ))

$b : \text{bool}, c : \text{bool} \vdash \text{or} :: (d : \text{bool})$

$\text{or } d \ b \ c =$

**read**  $b$  (**false**( $u$ )  $\Rightarrow$  **copy**  $d \ c$   
| **true**( $u$ )  $\Rightarrow$  **write**  $d$  **true**( $u$ ))

# Let's Program: Binary Successor

- Type and process definitions may be recursive (beyond logic)

$\text{bin} = (\text{b0} : \text{bin}) + (\text{b1} : \text{bin}) + (\text{e} : 1)$

```
Six : bin = x0 ← write x0 e(Unit) ;      % !cell c0 e(Unit)
      x1 ← write x1 b1(x0) ;             % !cell c1 b1(c0)
      x2 ← write x2 b1(x1) ;             % !cell c2 b1(c1)
      write Six b0(x2)                   % !cell Six b0(c2)
```

$x : \text{bin} \vdash \text{succ} :: (y : \text{bin})$

$\text{succ } y \ x =$

```
read x ( b0(x') ⇒ write y b1(x')
        | b1(x') ⇒ y' ← succ y' x' ;    % allocate destination y' for succ
        write y b0(y')
        | e(u) ⇒ write y e(u) )
```

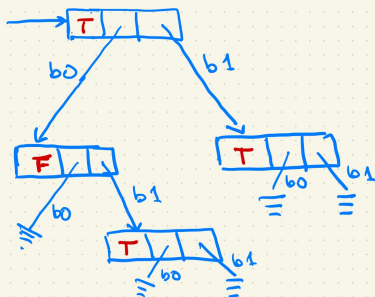
$x : \text{bin} \vdash \text{plus2} :: (z : \text{bin})$       % a trivial pipeline

$\text{plus2 } z \ x =$

```
y ← succ y x ;
succ z y
```

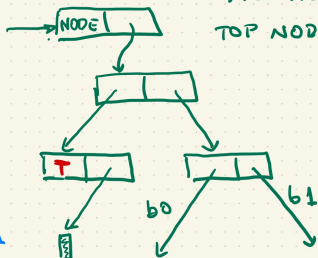
# Example: Binary Tries

BINARY TRIE



CONTAINS {ε, 01, 1}

"ACTUAL"  
TOP NODE



# Let's Program: Binary Tries

$\text{trie} = (\text{leaf} : 1) + (\text{node} : \text{bool} \otimes (\text{trie} \otimes \text{trie}))$

$x : \text{bin} \vdash \text{singleton} :: (t : \text{trie})$

$\text{singleton } t \ x =$

```
read x ( b0(x')  $\Rightarrow$   $t_0 \leftarrow \text{singleton } t_0 \ x'$  ;  
            $p \leftarrow \text{write } p \langle t_0, \text{Leaf} \rangle$  ;  
            $n \leftarrow \text{write } n \langle \text{False}, p \rangle$  ;  
           write t node(n)  
| b1(x')  $\Rightarrow$   $t_1 \leftarrow \text{singleton } t_1 \ x'$  ;  
            $p \leftarrow \text{write } p \langle \text{Leaf}, t_1 \rangle$  ;  
            $n \leftarrow \text{write } n \langle \text{False}, p \rangle$  ;  
           write t node(n)  
| e(u)  $\Rightarrow$   $p \leftarrow \text{write } p \langle \text{Leaf}, \text{Leaf} \rangle$  ;  
            $n \leftarrow \text{write } n \langle \text{True}, p \rangle$  ;  
           write t node(n)
```

# Let's Program: Union of Binary Tries

$s : \text{trie}, t : \text{trie} \vdash \text{union} :: (u : \text{trie})$

$\text{union } u \text{ s } t =$

```
  read s (leaf(_) => copy u t
    | node(m) => read m (<b, p> => read p (<s0, s1> =>
  read t (leaf(_) => copy u s
    | node(n) => read n (<c, q> => read q (<t0, t1> =>
  d <- or d b c ;
  u0 <- union u0 s0 t0 ;
  u1 <- union u1 s1 t1 ;
  r <- write r <u0, u1> ;
  o <- write o <d, r> ;
  write u node(o))))
```

$x : \text{bin}, t : \text{trie} \vdash \text{insert} :: (u : \text{trie})$

$\text{insert } u \text{ x } t =$

```
  s <- singleton s x ;
  union u s t           % pipeling possible here
```

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- **Cut Elimination and Snips in SAX**
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- Examples Revisited

# What is the Layout of a Trie?

- The standard “abstract” version requires many cells

$$\text{trie} = (\text{leaf} : 1) + (\text{node} : \text{bool} \otimes (\text{trie} \otimes \text{trie}))$$

!cell Unit  $\langle \rangle$

!cell  $t$  leaf(Unit)

or

!cell  $t$  node( $n$ )

!cell  $n$   $\langle b, p \rangle$

!cell  $b$  false(Unit)    or    !cell  $b$  true(Unit)

!cell  $p$   $\langle t_0, t_1 \rangle$

- Alternative “flat” layout (cell size as subscript)

!cell<sub>4</sub>  $t$  [leaf]

or !cell<sub>4</sub>  $t$  [node · false ·  $t_0$  ·  $t_1$ ]

or !cell<sub>4</sub>  $t$  [node · true ·  $t_0$  ·  $t_1$ ]

- How do we get there?



# Return to Logic for Inspiration

- In SAX, standard cut elimination fails
- Example

$$\frac{\frac{}{B, C \vdash B \otimes C} \otimes X \quad \frac{}{A, B \otimes C \vdash A \otimes (B \otimes C)} \otimes X}{A, B, C \vdash A \otimes (B \otimes C)} \text{cut}_{B \otimes C}$$

- In code

$a : A, b : B, c : C \vdash f :: (d : A \otimes (B \otimes C))$

$f \ d \ a \ b \ c =$

$bc \leftarrow \mathbf{write} \ bc \ \langle b, c \rangle$

$\mathbf{write} \ d \ \langle a, bc \rangle$

# A New Cut-Free Form

- Notice:  $B \otimes C$  is a **subformula** of  $A \otimes (B \otimes C)$
- Therefore,  $B \otimes C$  is **eligible** for a cut that preserves the subformula property
  - Special case of an analytic cut
  - Eligible formulas are underlined
  - A cut with an eligible formula is a **snip**
- Example revisited

$$\frac{\frac{}{\underline{B}, \underline{C} \vdash B \otimes C} \otimes X \quad \frac{}{\underline{A}, \underline{B \otimes C} \vdash A \otimes (B \otimes C)} \otimes X}{\underline{A}, \underline{B}, \underline{C} \vdash A \otimes (B \otimes C)} \text{snip}_{B \otimes C}}$$

- **Theorem** [DeYoung, Pf, Pruiksma'20] Cut-free SAX proofs (possibly with snips) satisfy the subformula property
- **Theorem** [DeYoung, Pf, Pruiksma'20] If  $\Gamma \vdash A$  in SAX, then there is a cut-free proof of  $\Gamma \vdash A$  (possibly with snips).

## Eligibility in SAX, Positive Connectives

- We can (implicitly) ignore that a formula is eligible
- We leave weakening and contraction implicit for conciseness
- Positive connectives: right rules become axioms

$$\frac{}{\underline{A} \vdash A \vee B} \vee X_1 \quad \frac{}{\underline{B} \vdash A \vee B} \vee X_2 \quad \frac{\Gamma, A \vdash C \quad \Gamma, B \vdash C}{\Gamma, A \vee B \vdash C} \vee L$$

$$\frac{}{\underline{A}, \underline{B} \vdash A \otimes B} \otimes X \quad \frac{\Gamma, A, B \vdash C}{\Gamma, A \otimes B \vdash C} \otimes L$$

$$\frac{}{\cdot \vdash 1} 1X \quad \frac{\Gamma \vdash C}{\Gamma, 1 \vdash C} 1L$$

$$\frac{\Gamma \vdash A \quad \Gamma, \underline{A} \vdash C}{\Gamma \vdash C} \text{snip}^+$$

# Eligibility in SAX, Negative Connectives

- Negative connectives (left rules become axioms)

$$\frac{\Gamma, A \vdash B}{\Gamma \vdash A \supset B} \supset R \qquad \frac{}{\underline{A}, A \supset B \vdash \underline{B}} \supset X$$

$$\frac{\Gamma \vdash A \quad \Gamma \vdash B}{\Gamma \vdash A \wedge B} \wedge R \qquad \frac{}{A \wedge B \vdash \underline{A}} \wedge X_1 \qquad \frac{}{A \wedge B \vdash \underline{B}} \wedge X_2$$

$$\frac{\Gamma \vdash \underline{A} \quad \Gamma, A \vdash C}{\Gamma \vdash C} \text{snip}^-$$

- General rules

$$\frac{\Gamma \vdash A \quad \Gamma, A \vdash C}{\Gamma \vdash C} \text{cut} \qquad \frac{}{A \vdash A} \text{id}$$

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# SNAX and Layout

- Consider

$$\frac{\Gamma \vdash A \quad \Gamma, \underline{A} \vdash C}{\Gamma \vdash C} \text{snip}^+$$

- Because  $\underline{A}$  is a subformula of  $C$ , it denotes an address at an offset from the address of  $C$
- Snips do not allocate, but provide the location of  $\underline{A}$
- Example, with  $|A| = |B| = 1$  (where  $|A| = \text{size of } A$ )

$$\frac{\frac{}{(\underline{\alpha+1} : B), (\underline{\alpha+2} : C) \vdash (\alpha+1 : B \otimes C)} \otimes X \quad \frac{}{(\underline{\alpha} : A), (\underline{\alpha+1} : B \otimes C) \vdash (\alpha : A \otimes (B \otimes C))} \otimes X}{(\underline{\alpha} : A), (\underline{\alpha+1} : B), (\underline{\alpha+2} : C) \vdash (\alpha : A \otimes (B \otimes C))} \text{snip}$$

- Drop eligibility from SAX rules for negative types because we do not model layout of continuations

# Pointer Values

- Recall  
trie = (leaf : 1) + (node : bool  $\otimes$  (trie  $\otimes$  trie))
- Both sums and pairs are positive—size would be unbounded
- Introduce positive  $\downarrow A$  representing pointers
  - Logically,  $\downarrow A \equiv A$
  - Computationally,  $\downarrow a$  is small value of type  $\downarrow A$
  - $A$  is not eligible since  $a : A$  not at a fixed offset from  $b : \downarrow A$ .

$$\frac{}{A \vdash \downarrow A} \downarrow X \qquad \frac{\Gamma, A \vdash C}{\Gamma, \downarrow A \vdash C} \downarrow L$$

- We **write** and **read** small values  $\downarrow a$

$$\frac{}{a : A \vdash \mathbf{write} \ b \ \downarrow a :: (b : \downarrow A)} \downarrow X \qquad \frac{\Gamma, x : A \vdash P :: (w : C)}{\Gamma, b : \downarrow A \vdash \mathbf{read} \ b \ (\downarrow x \Rightarrow P) :: (w : C)} \downarrow L$$

- Recursion in type definitions must be guarded by a shift  $\downarrow$ .
- $\downarrow$  also includes negative types ( $\supset, \wedge$ ) in positive ones

# Examples Revisited

- Pointer tag  $\downarrow$  takes no space at runtime

- $\text{cell}_n$  means cell of size  $n$

- Nested pairs

- Mapping from SAX layout

$$\begin{array}{ll} \downarrow A \otimes \downarrow (\downarrow B \otimes \downarrow C) & !\text{cell}_2 c [\downarrow c_A \cdot \downarrow d] \\ & !\text{cell}_2 d [\downarrow c_B \cdot \downarrow c_C] \end{array}$$

- Identical flat layouts in SNAX (where  $n = |A| + |B| + |C|$ )

$$\begin{array}{ll} A \otimes (B \otimes C) & !\text{cell}_n c [V_A \cdot V_B \cdot V_C] \\ (A \otimes B) \otimes C & !\text{cell}_n c [V_A \cdot V_B \cdot V_C] \end{array}$$

- Unit and Booleans

$!\text{cell}_0 \text{Unit} []$

$\text{bool} = (\text{false} : 1) + (\text{true} : 1)$

$!\text{cell}_1 \text{False} [\text{false}]$

$!\text{cell}_1 \text{True} [\text{true}]$

- Note  $|1| = 0$



# Examples Revisited: Tries

- Consider two different layout choices; others are possible
- Pointers to subtrees

trie = (leaf : 1) + (node : bool  $\otimes$  ( $\downarrow$ trie  $\otimes$   $\downarrow$ trie))

!cell<sub>4</sub> t [leaf · □ · □ · □]

or !cell<sub>4</sub> t [node · false ·  $\downarrow$ t<sub>0</sub> ·  $\downarrow$ t<sub>1</sub>]

or !cell<sub>4</sub> t [node · true ·  $\downarrow$ t<sub>0</sub> ·  $\downarrow$ t<sub>1</sub>]

- Pointers to nodes

trie = (leaf : 1) + (node :  $\downarrow$ node)

node = bool  $\otimes$  (trie  $\otimes$  trie)

!cell<sub>2</sub> t [leaf · □]

or !cell<sub>2</sub> t [node ·  $\downarrow$ n]

!cell<sub>5</sub> n [false · leaf · □ · leaf · □]

or !cell<sub>5</sub> n [true · node ·  $\downarrow$ n<sub>0</sub> · node ·  $\downarrow$ n<sub>1</sub>]

or ...

- Some space optimizations on sums may apply

# Layout Rules without Process Terms (Positive Connectives)

$$\frac{(k \in L)}{\underline{(a+1 : A_k)} \vdash a : \Sigma_{\ell \in L} (\ell : A_\ell)} \text{+X} \quad \frac{\Gamma, (a+1 : A_\ell) \vdash w : C \quad (\text{for all } \ell \in L)}{\Gamma, (a : \Sigma_{\ell \in L} (\ell : A_\ell)) \vdash w : C} \text{+L}$$

$$\frac{}{\underline{(a : A), (a+|A| : B)} \vdash a : A \otimes B} \otimes X \quad \frac{\Gamma, (a : A), (a+|A| : B) \vdash w : C}{\Gamma, (a : A \otimes B) \vdash w : C} \otimes L$$

$$\frac{}{\cdot \vdash a : 1} \text{1X}$$

$$\frac{\Gamma \vdash w : C}{\Gamma, a : 1 \vdash w : C} \text{1L}$$

$$\frac{}{\Gamma, b : A \vdash a : \downarrow A} \downarrow X$$

$$\frac{\Gamma, y : A \vdash w : C}{\Gamma, a : \downarrow A \vdash w : C} \downarrow L$$

$$\frac{\Gamma \vdash a : A \quad \Gamma, (a : A) \vdash w : C}{\Gamma \vdash w : C} \text{snip}$$

$$\frac{\Gamma \vdash \alpha : A \quad \Gamma, \alpha : A \vdash w : C \quad \alpha \text{ fresh}}{\Gamma \vdash w : C} \text{cut}$$

# Cut, Snip, and Identity

- Cut/allocate requires size or type

$$\frac{\Gamma \vdash P :: (\alpha : A) \quad \Gamma, \alpha : A \vdash Q :: (c : C) \quad (\alpha \text{ fresh})}{\Gamma \vdash \alpha_{|A|} \leftarrow P ; Q :: (c : C)} \text{ cut}$$

- Snip no longer allocates memory

$$\frac{\Gamma \vdash P :: (a : A) \quad \Gamma, (\underline{a} : A) \vdash Q :: (c : C)}{\Gamma \vdash P ; Q :: (c : C)} \text{ snip}$$

- Identity/copy requires size or type

$$\frac{}{b : A \vdash \mathbf{copy}_{|A|} a b :: (a : A)} \text{ id}$$

- Cut and snip can still be parallel, call-by-value, or call-by-need

# Disappearing Act

- Eligibility is sharpened
  - We can no longer **silently** drop or ignore it
  - Instead, we use snip with identity

$$\frac{\frac{}{b : A \vdash \mathbf{copy}_{|A|} a b :: (a : A)} \text{id} \quad \Gamma, \underline{a} : A \vdash P :: (w : C)}{\Gamma, b : A \vdash \mathbf{copy}_{|A|} a b ; P :: (w : C)} \text{snip}$$

- $\otimes X$ ,  $\otimes L$ ,  $1X$ , and  $1L$  just calculate addresses and have **no operational significance!**
- $+X$ ,  $+L$ ,  $\downarrow X$ ,  $\downarrow R$  remain

- From Natural Deduction (ND) to Semi-Axiomatic Sequent Calculus (SAX)
- Programming in SAX
- Cut Elimination and Snips in SAX
- Data Layout and SAX with Snips (SNAX)
- **Examples Revisited**
- Conclusion

## Example Revisited: Booleans

`bool = (false : 1) + (true : 1)`

`b : bool ⊢ neg :: (c : bool)`

`neg c b =`

```
  read b ( false ⇒ write c true
           | true  ⇒ write c false )
```

`b : bool, c : bool ⊢ or :: (d : bool)`

`or d c b =`

```
  read b ( false ⇒ copy|bool| d c
           | true  ⇒ write d true )
```

`% |bool| = 1`

# Size Calculation and Copying

- For simplicity, tags and pointers all have size 1

$$\begin{aligned} |1| &= 0 \\ |A \otimes B| &= |A| + |B| \\ |\sum_{\ell \in L} (\ell : A_\ell)| &= 1 + \max_{\ell} (A_\ell) \\ |\downarrow A| &= 1 \end{aligned}$$

- Type-directed definition of copying is shallow  $\eta$ -expansion

$s : A \vdash \text{copy}_A :: (d : A)$

$\text{copy}_1 d s = (\text{noop})$

$\text{copy}_{A \otimes B} d s = \text{copy}_A d s ; \text{copy}_B (d + |A|) (s + |A|)$

$\text{copy}_{\sum_{\ell \in L} (\ell : A_\ell)} d s = \mathbf{read} s (\ell \Rightarrow \mathbf{write} d \ell ; \text{copy}_{A_\ell} (d + 1) (s + 1))_{\ell \in L}$

$\text{copy}_{\downarrow A} d s = \mathbf{read} s (\downarrow x \Rightarrow \mathbf{write} d \downarrow x)$

- May be implemented more efficiently

## Example Revisited: Binary Numbers

$\text{bin} = (\mathbf{b0} : \downarrow\text{bin}) + (\mathbf{b1} : \downarrow\text{bin}) + (\mathbf{e} : 1)$

$\text{Six} : \text{bin} =$

```
 $x_0 \leftarrow \mathbf{write} \ x_0 \ \mathbf{e} ;$   
 $x_1 \leftarrow ( \mathbf{write} \ x_1 \ \mathbf{b1} ; \mathbf{write} \ (x_1+1) \ \downarrow x_0 ) ;$   
 $x_2 \leftarrow ( \mathbf{write} \ x_2 \ \mathbf{b1} ; \mathbf{write} \ (x_2+1) \ \downarrow x_1 ) ;$   
 $\mathbf{write} \ \text{Six} \ \mathbf{b0} ; \mathbf{write} \ (\text{Six}+1) \ \downarrow x_2$ 
```

$x : \text{bin} \vdash \text{succ} :: (y : \text{bin})$

$\text{succ} \ y \ x =$

```
 $\mathbf{read} \ x \ ( \mathbf{b0} \Rightarrow \mathbf{write} \ y \ \mathbf{b1} ;$   
           $\mathbf{copy}_{|\downarrow\text{bin}|} \ (y+1) \ (x+1) \quad \% \ |\downarrow\text{bin}| = 1$   
           $| \ \mathbf{b1} \Rightarrow \mathbf{read} \ (x+1) \ ( \downarrow x' \Rightarrow$   
           $y' \leftarrow \text{succ} \ y' \ x' ;$   
           $\mathbf{write} \ y \ \mathbf{b0} ;$   
           $\mathbf{write} \ (y+1) \ \downarrow y' )$   
 $| \ \mathbf{e} \Rightarrow \mathbf{write} \ y \ \mathbf{e} )$ 
```



## Example Revisited: Tries

trie = (leaf : 1) + (node : bool  $\otimes$  ( $\downarrow$ trie  $\otimes$   $\downarrow$ trie))

$x : \downarrow\text{bin} \vdash \text{singleton} :: (t : \downarrow\text{trie})$

singleton  $t\ x =$

  read  $x$  ( $\downarrow n \Rightarrow$

    read  $n$  (**b0**  $\Rightarrow m \leftarrow$  (**write**  $m$  **node** ;  
      **write** ( $m+1$ ) **false** ;  
      singleton ( $m+2$ ) ( $n+1$ ) ;  
      **write** ( $m+3$ ) **Leaf**) ;

**write**  $t \downarrow m$

    | **b1**  $\Rightarrow m \leftarrow$  (**write**  $m$  **node** ;  
      **write** ( $m+1$ ) **false** ;  
      **write** ( $m+2$ ) **Leaf** ;  
      singleton ( $m+3$ ) ( $n+1$ )) ;

**write**  $t \downarrow m$

    | **e**  $\Rightarrow m \leftarrow$  (**write**  $m$  **node** ;  
      **write** ( $m+1$ ) **true** ;  
      **write** ( $m+2$ ) **Leaf** ;  
      **write** ( $m+3$ ) **Leaf**) ;

**write**  $t \downarrow m$ ))

# Example Revisited: Union of Binary Tries

$s : \downarrow\text{trie}, t : \downarrow\text{true} \vdash \text{union} :: (u : \downarrow\text{trie})$

$\text{union } u \text{ s } t =$

```
  read s ( $\downarrow s' \Rightarrow$  read  $s'$  (leaf  $\Rightarrow$  copy $_{|\downarrow\text{trie}|}$   $u$  t
                                     | node  $\Rightarrow$ 
  read t ( $\downarrow t' \Rightarrow$  read  $t'$  (leaf  $\Rightarrow$  copy $_{|\downarrow\text{trie}|}$   $u$  s
                                     | node  $\Rightarrow$ 
  d  $\leftarrow$  or d ( $s'+1$ ) ( $t'+1$ );
  u0  $\leftarrow$  union u0 ( $s'+2$ ) ( $t'+2$ );
  u1  $\leftarrow$  union u1 ( $s'+3$ ) ( $t'+3$ );
  u'  $\leftarrow$  ( write u' node ;
               write ( $u'+1$ ) d ;
               write ( $u'+2$ ) u0 ;
               write ( $u'+3$ ) u1 );
  write u ( $\downarrow u'$  ) ) ) )
```

%  $|\downarrow\_| = 1$

$x : \downarrow\text{bin}, t : \downarrow\text{trie} \vdash \text{insert} :: (u : \downarrow\text{trie})$

$\text{insert } u \text{ x } t =$

$s \leftarrow \text{singleton } s \text{ x} ;$

$\text{union } u \text{ s } t$       *% pipelining possible here!*

- We can still give a parallel semantics
  - Synchronize only on sums and shifts (pairs and unit are silent)
  - Must be able to recognize an unwritten tag or pointer
  - Faithful to SAX since the straightforward translation inserts a shift before every sub-type
- Similarly for call-by-need schedule
- Call-by-value schedule guarantees a cell is written before any attempt to read from it

# Layout Identities $A \equiv B$

- In a functional language:  $A \leq B$  if  $v : A$  implies  $v : B$

- Example:

$\text{nat} = (\mathbf{z} : 1) + (\mathbf{s} : \text{nat})$

$\text{even} = (\mathbf{z} : 1) + (\mathbf{s} : \text{odd})$

$\text{odd} = (\mathbf{s} : \text{even})$

$\text{even} \leq \text{nat}$  and  $\text{odd} \leq \text{nat}$

- In SNAX, a **final configuration**  $\mathcal{F}$  contains only !cell  $a$   $V$  and !cell  $b$   $K$ .
- In SNAX:  $A \leq B$  if  $\mathcal{F} :: (c : A)$  implies  $\mathcal{F} :: (c : B)$ .
- Additional examples for  $A \equiv B$  (that is,  $A \leq B$  and  $B \leq A$ )
  - $A \otimes (B \otimes C) \equiv (A \otimes B) \otimes C$
  - $A \otimes 1 \equiv A \equiv 1 \otimes A$
  - $((\mathbf{false} : 1) + (\mathbf{true} : 1)) \otimes A \equiv (\mathbf{false} : A) + (\mathbf{true} : A)$
  - $(A + B) \otimes C \equiv (A \otimes C) + (B \otimes C)$  (if  $|A| = |B|$ )

# Internal Pointers and Polymorphism

## ■ Internal pointers

$a : A \vdash \text{self} :: (p : A \otimes \downarrow A)$

$\text{self } p \ a =$

**copy**<sub>|A|</sub>  $p \ a ;$

**write**  $(p + |A|) \ p$

## ■ Boxed vs. unboxed representations of polymorphism

$\text{list}_1 \ A = (\text{nil} : 1) + (\text{cons} : \downarrow A \otimes \downarrow \text{list}_1 \ A) \quad \% |\text{list}_1 \ A| = 3$

$\text{list}_2 \ A = (\text{nil} : 1) + (\text{cons} : A \otimes \downarrow \text{list}_2 \ A) \quad \% |\text{list}_2 \ A| = |A| + 2$

$\text{list}_3 \ A = (\text{nil} : 1) + (\text{cons} : \downarrow \text{node}_3 \ A) \quad \% |\text{list}_3 \ A| = 2$

$\text{node}_3 \ A = \downarrow A \otimes \text{list}_3 \ A \quad \% |\text{node}_3 \ A| = 3$

- Fix propositional intuitionistic logic
- Natural deduction (ND)
  - Introduction and elimination rules
  - Computation by substitution
  - “Large” values  $v$
- Semi-axiomatic sequent calculus (SAX)
  - Axioms for non-invertible rules ( $\otimes R, 1R, \vee R, \supset L, \wedge L$ )
  - Cut elimination and subformula property via snips
  - Computation via futures (write-once shared memory with concurrent threads)
  - Call-by-value and call-by-need as particular schedules
- Semi-axiomatic sequent calculus with snips (SNAX)
  - Layout for positive types ( $\otimes, 1, \Sigma$ ) is flat
  - Type  $\downarrow A$ , logically equivalent to  $A$
  - Computationally, value of type  $\downarrow A$  is an address
  - Pairs ( $\otimes$ ) and unit ( $1$ ) become computationally irrelevant
  - Admits futures, call-by-value, call-by-need

## Further Related Work

- [Morrisett; PhD 1995] Compiling with Types
  - Data layout is significant for performance
  - Not explicit in the type
- [Tarditi, Morrisett, Cheng, Stone, Harper, Lee; PLDI 1996]  
TIL: A Type-Directed Optimizing Compiler for ML
  - Importance of typed intermediate languages
- [Morrisett, Walker, Crary, Glew; TOPLAS 1999]  
From System F to Typed Assembly Language
  - Richer type system (e.g., polymorphism, closures)
  - Lower-level code (RISC-like instruction set)
  - Connection to high-level proof systems only via translation
  - Continuation-passing vs. destination-passing
  - No parallelism, layout not a point of emphasis

- [Petersen, Harper, Crary, Pf; POPL 2003] A Type Theory for Memory Allocation and Data Layout
  - Approach based on ordered logic (no weakening, contraction, exchange)
  - Worked well as far as it went
  - Limitation: adjacency is not an intrinsic property of ordered logic
- Many works on data description languages



- SNAX satisfies the usual **preservation** and **progress** (in progress)
- Logical/type-theoretic foundation enable generalizations
- Indexed types to allow flat layouts (“array”)  
$$\text{seq } A \ n = (\text{nil} : (n = 0) \otimes 1) + (\text{cons} : (n > 0) \otimes A \otimes \text{seq } A \ (n - 1))$$
$$\text{sequence } A = (n : \text{nat}) \otimes \text{seq } A \ n$$
- Polymorphism
- Concrete representation of values of negative type (“closures”)

- SNAX Joint work with Henry DeYoung
- SAX joint work with Henry DeYoung and Klaas Pruiksma
- Thanks to Stephanie Balzer, Luís Caires, Ankush Das, Farzaneh Derakshan, Siva Somayajula, Bernardo Toninho