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# TNO-MACC\_II emission inventory; a multi-year (2003–2009) consistent high-resolution European emission inventory for air quality modelling

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**Abstract.** Emissions to air are reported by countries to EMEP. The emissions data are used for country compliance checking with EU emission ceilings and associated emission reductions. The emissions data are also necessary as input for air quality modelling. The quality of these "official" emissions varies across Europe.

As alternative to these official emissions, a spatially explicit high-resolution emission inventory  $(7 \times 7 \,\mathrm{km})$  for UNECE-Europe for all years between 2003 and 2009 for the main air pollutants was made. The primary goal was to supply air quality modellers with the input they need. The inventory was constructed by using the reported emission national totals by sector where the quality is sufficient. The reported data were analysed by sector in detail, and completed with alternative emission estimates as needed. This resulted in a complete emission inventory for all countries.

For particulate matter, for each source emissions have been split in coarse and fine particulate matter, and further disaggregated to EC, OC, SO<sub>4</sub>, Na and other minerals using fractions based on the literature. Doing this at the most detailed sectoral level in the database implies that a consistent set was obtained across Europe. This allows better comparisons with observational data which can, through feedback, help to further identify uncertain sources and/or support emission inventory improvements for this highly uncertain pollutant.

The resulting emission data set was spatially distributed consistently across all countries by using proxy parameters. Point sources were spatially distributed using the specific location of the point source. The spatial distribution for the point sources was made year-specific.

The TNO-MACC\_II is an update of the TNO-MACC emission data set. Major updates included the time extension

towards 2009, use of the latest available reported data (including updates and corrections made until early 2012) and updates in distribution maps.

# 1 Introduction

Over the last decades, environmental problems such as acidification, eutrophication, air pollution and climate change have caused significant adverse impacts on human health and vegetation (EEA, 2010). Only part of the air pollution emission reductions set by the 2010 National Emission Ceilings have been achieved (EEA, 2012a), therefore transboundary air pollution remains a problem (EEA, 2010). All these environmental problems are directly related to the emissions of substances to air. Reliable emission inventories are a prerequisite to understand these environmental issues and to develop effective mitigation options.

For a good understanding of environmental problems, not only the magnitude of the sources but also their location is important. The spatially distributed emissions need to cover the complete domain, and describe the emissions in a consistent way, i.e. in all countries the same sources should be included, and these sources should be assessed as accurately and consistently as possible.

Emission inventories are typically developed by using a bottom-up approach, i.e. combining available statistics on fuel combustion, industrial production, and so forth with the most appropriate emission factors. For a detailed description on how emission inventories are constructed see EEA (2013), IPCC (2006) and Olivier et al. (1999). This

approach has been taken also by many countries that produce annual emission inventories for greenhouse gases and air pollutants, since they have to report their emissions under the various international treaties. Over time, as experience and expertise has increased, the number, substances covered and quality of these inventories has significantly improved (EMEP, 2013). These in-country systems take into account all country-specific information and national legislation and are therefore capable of providing a more accurate estimate of the emissions compared to a regional or global emission inventory.

When using regional chemical-transport modelling in policy studies, the use of these official inventories is often required. However, the official emissions still contain a number of gaps and shortcomings, e.g. not all countries report according to the requirements (EMEP, 2013). This paper presents a complete, consistent and spatially distributed inventory, which has used the official reported emissions as basis where possible. This makes this inventory suitable for application in policy-related modelling and impact studies for air pollution. The TNO\_MACC-II inventory is the successor of the widely used GEMS inventory for 2000 (Visschedijk et al., 2007) and the TNO\_MACC inventory for the years 2003–2007 (Kuenen et al., 2011; Pouliot et al., 2012).

#### 2 Methodology

#### 2.1 Emission estimates

The Convention for Long-Range Transboundary Air Pollution (CLRTAP; http://www.unece.org/env/lrtap/) requires countries to report their emissions. Fifty-one countries in Europe and North America, including the EU as a whole, have to annually submit their emissions of air pollutants for the latest year and all historic years to EMEP (the co-operative programme for the monitoring and evaluation of long-range transmission of air pollutants in Europe). The reporting follows well-defined guidelines and asks countries to complete a pre-defined template with emissions by year, pollutant and sector (defined by the Nomenclature for Reporting; NFR). Countries are encouraged to set up their own inventory system and choose the best methodologies for emission estimation which fit their national situation. For larger sources, Parties have to use more advanced methodologies, with specific emission factors for each technology. When no specific national methodology is available, the EMEP/EEA Air Pollutant Emission Inventory Guidebook (EEA, 2013) provides default guidance on how to estimate emissions for each sector. The official submitted data for all countries are collected by the Centre for Emission Inventories and Projections (http://www.ceip.at/) and made available online. Because of the more detailed methodologies included in most inventories and the national focus of each of the inventories, the reported emissions often provide the most accurate estimate for

**Table 1.** Explanation of the SNAP source categories (SNAP 3 and SNAP 4 are merged to SNAP 34).

SNAP	Sector name
1	Energy industries
2	Non-industrial combustion
34	Industry (combustion + processes)
5	Extraction and distribution of fossil fuels
6	Product use
7	Road transport
8	Non-road transport and other mobile sources
9	Waste treatment
10	Agriculture

a country. However, in many cases gaps and errors do exist in the reported emission data. In particular, the consistency in emissions reporting for consecutive years is problematic.

In order to assess the quality of the reported emissions, we have downloaded the data from CEIP (CEIP, 2012) for CO, NO<sub>x</sub>, SO<sub>2</sub>, NMVOC, NH<sub>3</sub>, PM<sub>10</sub> and PM<sub>2.5</sub> and from EEA (EEA, 2012b) for CH<sub>4</sub> for all countries for the period 2003–2009. Before analysing the data in detail, we have first aggregated the NFR sectors to 43 individual sectors (link table available from the Supplement, Excel file number 1). These 43 sectors were defined based on the SNAP (Selected Nomenclature for Air Pollution) at level 1 with one additional level of detail for most sectors. Industrial combustion (SNAP 3) and industrial process emissions (SNAP 4) have been aggregated to a new defined SNAP 34. This was done because there is often confusion between combustion and process emissions for a particular plant or facility, partly because countries may have slightly different definitions on where to draw the line or how to report. In an overarching European inventory this problem is effectively solved by merging both categories. An explanation of the SNAP source categories as used in this study is given in Table 1.

For this data set we have analysed the time series between 2003 and 2009 in detail. Where the time series or the sector split of the total country emissions was not understandable (e.g. unexplainable jumps in the trend, multiple years of data missing, not understandable sector splits), the data were discarded.

In cases where reported data have not been used or were not available, emissions at the country level were taken from the GAINS model (IIASA, 2012). The GAINS model combines information on economic and energy development, emission control potentials and costs, atmospheric dispersion characteristics and environmental sensitivities towards air pollution (Schöpp et al., 1999). For a more detailed description we refer to Amann (2009) and Amann et al. (2011). The advantage of using the GAINS data is that they are consistent across countries and sectors and are regularly updated. Emission data are available at sector and activity level, comprising more than 200 different categories for 5-yearly

intervals. To obtain emissions for the years in between, linear interpolation was used where necessary.

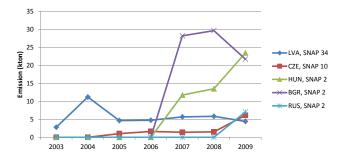
The GAINS model does not calculate emissions of CO. In the case that no country reported CO emissions of sufficient quality were available the CO emissions are gap-filled using a bottom-up emission inventory which has been developed at TNO for the year 2005. Like the GAINS model or the EDGAR inventory (JRC, 2011), this bottom-up inventory is built up using activities such as the energy statistics and industrial production figures as the baseline in combination with the most appropriate emission factors. In the transport sector, this means that data from the TREMOVE model (De Ceuster et al., 2005) were used to disaggregate the energy use to detailed vehicle classes technologies for each country. These were combined with state-of-the-art emission factors for each technology for road transport (Ntziachristos et al., 2009) to calculate the emissions. When less detail was available for certain source categories, technology-specific emission factors were applied to groups of countries with a similar technology level. Since this bottom-up inventory was originally only developed for the year 2005, emissions for the other years were estimated by scaling this inventory. Scaling factors for the different years were calculated from the EDGAR emission inventory v4.2 (JRC, 2011), which provides sector-specific annual emission estimates for CO for each country in the world.

For the countries Armenia, Azerbaijan and Georgia, neither reported nor GAINS emission data were available. Therefore, EDGAR (JRC, 2011) data were used at SNAP level 1 (see Table 1) for these countries for all pollutants and all years. These were disaggregated to the same subcategories as the other countries by using the relative contribution of each subsector to the SNAP level 1 sector for Turkey (for each pollutant and each year) as a blueprint.

To illustrate in more detail the extent to which each data source has been used, the Supplement (Excel file number 2) includes a table which shows the main source of the emissions that was used, per country per pollutant. However, for underlying sectors the choice of which emission source to use may have been updated based on the checks that were performed. In the final data set, the share of reported data in the total emissions varies between 40 % (for PM) and 70 % (for NH<sub>3</sub>). In geographical terms, reported emissions were the primary data source for most EU Member States and EFTA countries, while for many former Soviet Union countries and some Balkan countries the use of GAINS or other alternative data sources was necessary. The Excel file number 2 in the Supplement also contains a full overview of the choices made per country, pollutant and sector.

# 2.1.1 Consistency between countries and across years

Emission data for certain years may be missing (Figs. 1 and 2, left panel), and countries may use different classifications or differ in what sources they report. To improve con-



**Figure 1.** Observed trends in  $PM_{10}$  reported emissions for selected countries and SNAP level 1 source categories (source: CEIP, 2012).

sistency between countries, a number of updates have been made to the resulting data set which mainly affected the reported emissions data.

- Emissions of NO<sub>x</sub> and NMVOC from agriculture have been removed for all countries, since reporting of this source is found to be very inconsistent between countries. For NO<sub>x</sub>, 3/4 of the removed NO<sub>x</sub> (approximately 150 kt annually) was reported by Germany as emissions from biological N fixation and crop residues, which is not reported by other countries. There is a risk that some of the other countries reported emissions from agricultural machinery in SNAP 10 instead of SNAP 8 which is then "lost".
- Emission estimates for national shipping were found to be very inconsistent between countries, partly due to different definitions for the various sectors (allocation issue). To avoid inconsistencies and double counted or missing emissions to the extent possible, all national shipping including international inland shipping emissions have been replaced with TNO estimates, which distinguish inland shipping and coastal shipping as separate sources. Especially with international inland navigation, countries may treat this differently in their inventories.
- Estimates for emissions from agricultural waste burning have been replaced by GAINS emissions because only few countries reported emissions from this source, while emissions are significant especially for PM. This adds about 350 kt  $PM_{10}$  per year to our inventory, where the sum of the country values adds up only to 16 kt (in 2009).
- For particulate matter, numerous cases were found where reported PM<sub>2.5</sub> exceeded reported PM<sub>10</sub>. These have been corrected by increasing PM<sub>10</sub> emissions to PM<sub>2.5</sub> levels. This implies that in such cases the coarse fraction was set to zero and can be seen as a conservative correction. In nearly all cases the difference was very small, therefore this change did not affect the total PM emissions in the inventory very much.

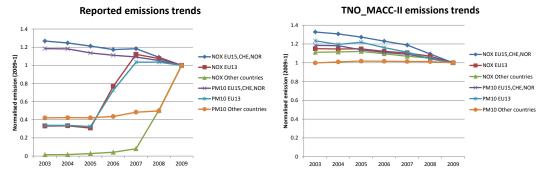


Figure 2. Trends in reported emissions (left panel) and TNO\_MACC-II (right panel) normalized to 2009 = 1.

**Table 2.** Sulfur content assumed in the fuel for the calculation of in-port emissions (in %).

Year	North Sea	Baltic Sea	Other EU(27)	Non-EU(27)
2005	1.335	1.335	1.335	1.335
2006	1.335	1.095	1.335	1.335
2007	1.305	0.975	1.335	1.335
2008	0.975	0.975	1.335	1.335
2009	0.975	0.975	1.335	1.335
2010	0.1	0.1	0.1	1.335

- Emissions from international shipping have been taken from CEIP (2012) for all years and pollutants.
- NMVOC, SO<sub>2</sub>, NO<sub>x</sub>, CO and PM shipping emissions for the 43 largest North Sea ports (including oil terminals) were additionally included. For the year 2009, these data were taken from MARIN (Cotteleer and Van der Tak, 2009). For SO<sub>2</sub> and PM a strong decreasing emission trend for the period 2005 to 2010 is expected as a result of implementation of European sulfur reduction policies (EC, 2011). The assumed average sulfur contents in marine fuel used for the calculation of inport emissions is presented in Table 2. SO<sub>2</sub> and PM emissions have been scaled accordingly from the 2009 emission data. Emissions of other substances are assumed to be constant at 2009 level for the 2003-2009 period. From the MARIN emission data, implied emission factors based on port turnover capacity were derived and applied to the 1200 other ports in Europe, for which capacity data was taken from the PAREST emission database (Denier van der Gon et al., 2010). Based on Google Maps and visual identification of port activities the  $1/8 \times 1/16^{\circ}$  cells occupied by the 43 MARIN ports have been manually selected (e.g. the Port of Rotterdam occupies seven of such cells). Geographical distribution of emission within cells associated with a certain port is assumed to be uniform. The location of the centre point of the 1200 other ports in Europe has been

taken from the PAREST emission database (Denier van der Gon et al., 2010).

To be suitable as model input the emissions need to be distributed on a grid (see Sect. 2.3), for which a more detailed sectoral breakdown is needed to allow for a different spatial distribution of different subsectors and fuels underlying the 43 sectors. Therefore, emissions have been disaggregated using the more detailed data available from the GAINS model and the TNO bottom-up inventory (for CO). For power plants, residential combustion and road transport (exhaust) the emissions are disaggregated to main fuel type (coal, gas, solid biomass, waste or light, medium or heavy liquid fuels). For some other sectors such as the iron and steel and non-ferrous metal industries, emissions are disaggregated to subsectors. An overview of the disaggregated sectoral classification is given in the Supplement (Excel file number 3).

#### 2.2 Particulate matter composition

For particulate matter, the emissions have been further disaggregated from  $PM_{2.5}$  and  $PM_{10}$  to various components in the coarse and fine mode. To calculate this PM split, more detailed sectoral information is needed, for example the fuel type used in combustion installations and the type of installation. Therefore, the emission data are first disaggregated to GAINS sector and activity combinations (more than 200 categories).

For each GAINS category, a fractional split between 5 PM components (EC, OC, SO<sub>4</sub>, Na and other minerals) was made separately for the coarse and the fine mode. The fractional split is constructed in such a way that it adds up to 1, provided that OC is converted from a C-mass basis to full molecular mass (FMM). To convert to FMM, OC was multiplied by a factor 1.3 that accounts for other elements present on OC (e.g. O, N or S). It is known that the conversion factor of OC to FMM ranges between 1.1 and 1.8 but here a weighted average of 1.3 was used for all sources. Since the PM split provides fractions and not absolute emissions, this has no influence on total PM emissions. For EC and OC, the split is based on a recent bottom-up EC and OC inventory for the

year 2005 (Visschedijk et al., 2007). This inventory involved creating "best estimates" per GAINS sector and activity combination for EC and OC fractions in PM, based on literature data and three earlier EC and OC emission inventories.

Particle-bound sulfate is mostly emitted through the combustion of high-sulfur fuels such as coal and residual fuel oil. In the LOTOS-EUROS model (Schaap et al., 2008) it is estimated that around 2 % of the sulfur is emitted in the form of particles. When particle mass is calculated based on the  $SO_2$  emissions using this estimation, the fraction of sulfur emitted in the form of particles ranges from 0.1 % for gasoline and diesel combustion in road transport to 10–20 % for coal and residual fuel oil combustion in energy and manufacturing industries and in shipping.

The sodium fraction is relatively unimportant to the total PM but may be useful when looking at base cation deposition. The sodium content is based on reported sodium content for 40 PM sources calculated in Van Loon et al. (2005).

The fraction "Other minerals" contains other noncarbonaceous particles and is calculated as the remaining fraction after the other fractions have been calculated.

The fractions per GAINS category have been applied to the emissions of coarse PM (PM<sub>10</sub>–PM<sub>2.5</sub>) and fine PM (PM<sub>2.5</sub>) for each GAINS category, and subsequently been aggregated to the 77 source categories which are used as input to the spatial distribution.

EC and OC country total emissions (for both fine and coarse mode) are given for all years in the Supplement, Excel file number 5.

#### 2.3 Spatial distribution

The final step in the inventory was the distribution of the complete emission data set across the European emission domain at  $0.125^{\circ} \times 0.0625^{\circ}$  longitude–latitude resolution. For each of the 77 source categories for which emissions are available, one or more proxies were identified. These proxies provide the mapping of the emissions of a certain pollutant to the grid for a given sector and year. For each country, pollutant, sector and year the most appropriate proxy was chosen in a selection table. An overview of all the proxies used per sector is given in the Supplement (Excel file number 3).

For point sources, we have made use of the E-PRTR database (http://prtr.ec.europa.eu/) which provides information on the location (longitude, latitude) and emissions of major facilities in Europe. E-PRTR data were available on an annual basis from 2007 onwards, while data from the years 2001 and 2004 were available from its predecessor EPER (EC, 1996). For the intermediate years, data from the closest year available were used. Since the EPER and E-PRTR data only contain emissions from facilities above a certain threshold, using these data to distribute total emissions for a certain sector can only be done for those sectors comprised of large facilities, e.g. the cement and aluminium industries. Furthermore, a judgement has been made on the quality of the data

before actually using them. For example, there are multiple facilities where the geographical location points to the administrative location (e.g. company headquarters) rather than the location where the actual emissions occur. For the other point sources, and also those in countries which are not covered by E-PRTR, TNO's own point source database (described in more detail in Denier van der Gon et al., 2010) was used as a proxy for the distribution of these point source emissions.

For non-point sources (e.g. residential combustion, transport sectors, agriculture), proxies were selected to distribute country total emissions over the grid. These proxies include (among others) total, rural and urban population, arable land, TRANSTOOLS road network (JRC, 2005). The proxies for the area sources were assumed to be static in time, e.g. changes in the population density are not taken into account. Most proxy maps were taken from Denier van der Gon et al. (2010) but a number of modifications and improvements have been made. A new population map for the year 2005 has been implemented at high resolution, and a special proxy has been developed for the distribution of residential wood combustion. The latter takes into account both the population density, but also the proximity to wood. Despite this modification for the distribution of residential wood combustion, an overallocation of the emissions in urbanized centres may well be present in the spatial distribution. This has previously been described by Timmermans et al. (2013). However, a universal, representative and well-documented approach that justifies a modification of the spatial distribution between urban and rural areas for Europe does not exist at this moment.

For the actual calculation of the emissions grids, a SQL server system has been set up which performs all the calculations. Emissions that could not be distributed (e.g. because the proxy was not available for that specific country) are by default distributed using either total population, rural population or arable land. In a last step the gridded emissions are aggregated to SNAP level 1, primarily to reduce the size of the output emission grid file.

#### 3 Results and discussion

# 3.1 Analysis of reported emissions

To illustrate that consistency is an issue with reported emissions, Fig. 1 shows reported emissions for five selected combinations of country and SNAP level 1 source categories. It is shown that in a number of cases reporting only started somewhere during the time series. Also, some of the time series show unexplained trends (e.g. high emission in LVA SNAP 34 for 2004; very strong increase in Hungary SNAP 2 in the last years; small emission in the Russian Federation from SNAP 2 compared to other countries in 2009).

The issue of missing data for earlier years is particularly important to the total emissions, as illustrated by Fig. 2. The

	2003	2004	2005	2006	2007	2008	2009	Reduction 2003–2009
CH <sub>4</sub>	48 857	47 965	47 636	47 547	47 390	47 282	46 857	4 %
CO	48 642	47 602	44 905	43 271	41 608	40 739	38 157	22 %
$NH_3$	5786	5732	5675	5624	5645	5576	5543	4 %
NMVOC	15 744	15 367	14936	14 525	14 123	13 577	12943	18 %
$NO_{x}$	20 996	20913	20737	20 329	19941	19 121	18 248	13 %
$PM_{10}$	5430	5432	5414	5328	5257	5142	5029	7 %
$PM_{2.5}$	3775	3779	3761	3695	3656	3590	3513	7 %
$SO_2$	17 921	17 353	16 689	16 144	15 815	14 483	13 189	26 %

**Table 3.** Overview of total emissions (kilotonne) per pollutant and year for UNECE-Europe (including international shipping), and the overall reduction in the time period 2009–2003.

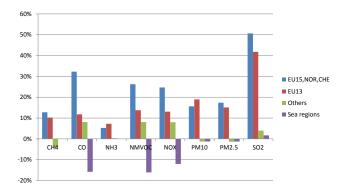
left panel shows the time series for  $NO_x$  and  $PM_{10}$  for all sectors, by country group, relative to the emission in 2009. For the EU15 countries (15 Member States of the EU as of 1995 plus Norway and Switzerland) the trend is a small decrease indicating improvements in technology and more abatement in later years. For the EU13 (New EU Member States joined after 1995, including Croatia) and the other (non-EU) countries, clearly a large part of the emissions is missing in earlier years.

The right panel of Fig. 2 shows the same trend data, but now for the final data set. In this data set, all missing emission data and erroneous time series were corrected and/or gap-filled by replacement with other data.  $NO_x$  and PM trends in EU countries are decreasing faster than in non-EU countries. In fact, for  $PM_{10}$  in non-EU countries the total emission is even slightly increasing.

EMEP (2011) provides an overview of submissions under the Convention of Long-Range Transboundary Air Pollution. The report shows that out of the 50 countries that have to report (excluding the EU as a separate Party), 42 countries actually did submit an inventory, while 34 submitted their inventory in time with the deadlines. Seven countries submitted an inventory without emission data for particulate matter (EMEP, 2011). For gridded data, data are to be reported every 5 years at a spatial resolution of  $50 \times 50 \,\mathrm{km^2}$ . As for the reporting in the year 2005, only 17 out of 48 countries in the EMEP area reported gridded emissions for the main pollutants, and only 15 countries reported gridded data for PM (EMEP, 2011).

# 3.2 Resulting emissions

Table 3 lists the total emissions in each year per pollutant per year. The trend shows that emissions of all pollutants are decreasing in time. The decrease is most pronounced for CO and SO<sub>2</sub>, for which emissions in 2009 are about 25 % reduced compared to 2003. However, the change in emissions is not uniform. Figure 3 shows the relative reduction in 2009 compared to 2003 by country group. It can be seen that for the EU15 countries (plus Norway and Switzerland)



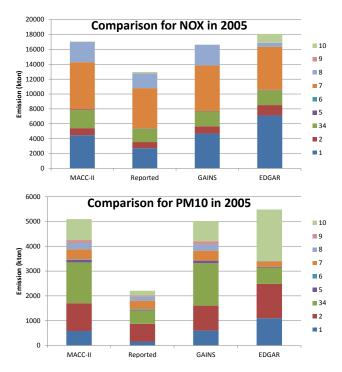
**Figure 3.** Relative reduction in emissions per country group in 2009 compared to 2003.

the highest emission reductions were achieved (up to  $50\,\%$  for  $SO_2$ ), and also for EU13 countries significant reductions were found. For the non-EU countries however, emission reductions were much smaller and even emission increases were found for  $CH_4$  and particulate matter. On the European seas, most emissions increased going from 2003 to 2009, most notably for  $NO_x$ , CO and NMVOC (Fig. 3).

In the Supplement, an Excel file is included which lists emissions by pollutant for each year between 2003 and 2009. The file contains an overview of country totals as well as a more detailed overview with emissions by sector.

#### 3.2.1 Comparing to other data sets

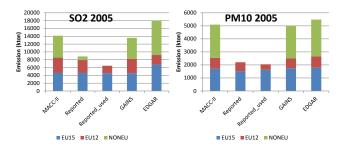
To assess the quality of the resulting data set, and get some feeling for the major uncertainties, we have compared our results to the official reported emissions, GAINS (IIASA, 2012) and EDGAR (JRC, 2011). Figure 4 shows a comparison between the different emission inventories for  $NO_x$  and  $PM_{10}$ , for all countries included in our inventory, per SNAP level 1 source category. It is observed that our inventory, GAINS and EDGAR match reasonably well, while reported emissions are much lower. At sector level, differences between our inventory and GAINS are minor, while EDGAR



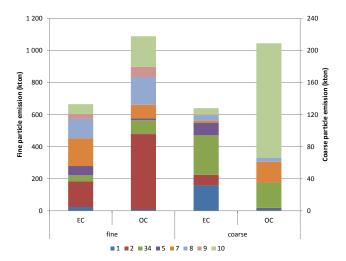
**Figure 4.** Comparison between the TNO\_MACC-II results and the reported emissions, GAINS and EDGAR v4.2, for  $NO_X$  (upper graph) and  $PM_{10}$  (lower graph), by SNAP level 1 sector.

shows higher emissions from SNAP 1 (electricity generation) and lower emissions for SNAP 8 (non-road transport), and also includes  $NO_x$  emissions from SNAP 10 (agriculture) not included in any other inventory. The latter is most likely an allocation issue, since  $NO_x$  emissions from agricultural machinery are included in SNAP 8 in our inventory, as well as in GAINS.

Figure 5 shows the same figure, but now per country group, for SO<sub>2</sub> and PM<sub>10</sub>. This figure not only includes reported emissions, but also the selection of the reported emissions that was used in this study. As described in Sect. 2.1, some of the reported data may not be used due to inconsistent time series or other reasons. It is shown that for EU15 (EU Member States as of 1995, plus Norway and Switzerland) the differences are small, while for the EU12 (here, the newer EU Member States) the reported emissions are lower due to gaps in these data. For the non-EU countries (NONEU) reported emissions are negligible compared to the other data sets, especially for PM. Our emission data set is similar to GAINS, while EDGAR shows a different picture. SO<sub>2</sub> emissions from EU15 are higher, from EU12 lower. Higher NONEU emissions may be partly explained by the fact that the Russian Federation is completely included in EDGAR, while in our inventory and in GAINS only the European part (west of 60° E) is included.



**Figure 5.** Comparison between the TNO\_MACC-II results and the reported emission totals, reported emissions used in this study, GAINS and EDGAR v4.2 by country group, for  $SO_2$  (left) and  $PM_{10}$  (right).



**Figure 6.** Total EC and OC emissions per SNAP in coarse (2.5–10  $\mu$ m) and fine mode (< 2.5  $\mu$ m) for UNECE-Europe (including sea regions) for the year 2005. Note that fine EC and OC are plotted on the left *y*-axis, while coarse EC and OC are plotted on the right *y*-axis.

#### 3.2.2 PM fractions

 $PM_{10}$  and  $PM_{2.5}$  are broken down into components (EC, OC, SO<sub>4</sub>, Na and other minerals) using the developed PM split. Figure 6 shows the EC and OC emissions per SNAP category for the European domain. In terms of total mass, the particulate carbonaceous emissions <2.5  $\mu$ m were about 5 times higher than the coarse fraction (<2.5–10  $\mu$ m) emissions. The most important source of fine OC is residential combustion (SNAP 2), particularly related to wood combustion. For coarse OC however, agriculture is the most important source of emissions. For EC residential combustion and transport (diesel combustion) are the most important sources for fine EC, while for coarse EC power plants and industry are the main sources.

The relative importance of source sector contributions varies substantially between countries. As an example, the EC emissions (for coarse and fine mode separately) for

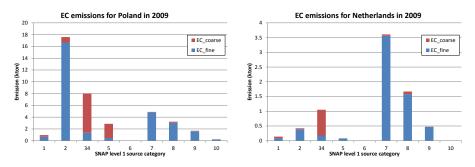


Figure 7. EC emissions in Poland (left panel) and the Netherlands (right panel) per SNAP level 1 source category in 2009.

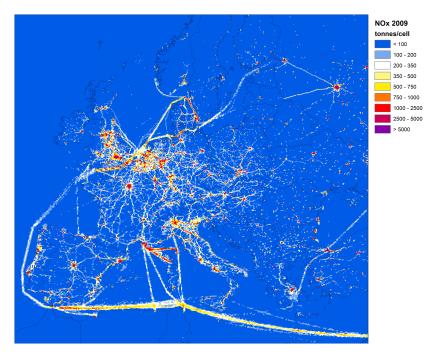


Figure 8. Spatially distributed NO<sub>x</sub> emissions from the year 2009 for all sources.

Poland and the Netherlands are shown (Fig. 7). In Poland, high EC emissions resulted from coal and wood combustion in the residential sector, which are much less relevant for the Netherlands. Total emissions from the road transport sector in the Netherlands and Poland are quite similar – the larger fleet size in Poland is more or less compensated by the lower share of diesel in the fuel mix.

# 3.3 Spatial distribution

The result of spatially distributing the emissions using the various proxies is shown for  $NO_x$  and EC (< 2.5  $\mu$ m) for the year 2009 (Figs. 8 and 9, respectively). The major cities, major transport routes and shipping routes at sea can be identified as important sources in these maps.

Figures 10 and 11 show also  $NO_x$  and EC (< 2.5  $\mu$ m), but now the difference from 2003 to 2009. Positive numbers (blue colour in the maps) indicate a decrease in emissions

from 2003 to 2009, while negative numbers (red colour) show an increase in emissions. For  $NO_x$ , it is shown that most land-based emissions decrease, but in some countries in eastern Europe an increase is seen, e.g. in road transport for Poland, Slovak Republic and Bulgaria.

For fine particulate EC emissions are decreasing in most countries, but also increases are found especially in eastern Europe and at sea. Highest reductions are achieved in cities and urban areas, since the initial 2003 emissions in these regions were higher. Increases can be due to a growth in activity - e.g. for the Slovak Republic, the increase is due to higher reported emissions of  $PM_{2.5}$  from road transport in 2009 compared to 2003. Emissions from international shipping increased on all seas (CEIP, 2012).

To ensure consistency at borders, we have chosen to use a generic spatial distribution methodology. To account for sudden changes in point source emissions, e.g. due to implementation of emission abatement measures, E-PRTR data were

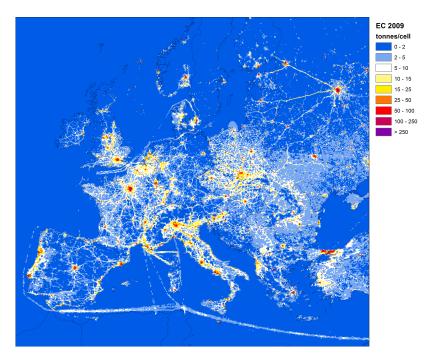


Figure 9. Spatially distributed EC emissions (fine mode) from the year 2009 for all sources.

used on an annual basis for the distribution of the emissions over the various point sources. As an example, the share of each major power plant in the total SO<sub>2</sub> emission from the power plant sector in Spain is shown in Fig. 12. The largest emitters in 2003 have reduced their emissions drastically. This causes some of the less important plants to become relatively more important, even though their absolute emission did not change. It was confirmed that in these specific cases for Spain, the power plants switched fuel (using coal with less or no sulfur) or installed advanced control technologies for desulfurization. The use of annual E-PRTR data for these large point sources enables us to reflect these changes from year to year. As mentioned earlier, for the years 2003, 2005 and 2006 no point source information was available and the closest year available has been used instead.

#### 3.4 Uncertainties

A typical emission inventory is compiled by collecting activity data and appropriate emission factors, according to the EMEP/EEA Guidebook (EEA, 2013):

$$Emission_{pollutant} = \sum_{activities} Activity \ rate_{activity} \times$$
 (1)
$$Emission \ factor_{activity,pollutant}$$

Although for some sectors the equation to be used to estimate emissions is more complicated than a simple multiplication of a variable (Activity rate<sub>activity</sub>) and a parameter (Emission factor<sub>activity,pollutant</sub>), in general such a simple equation can be used to obtain uncertainty estimates. For a

more detailed treatment of the uncertainty calculations see EEA (2013, Chapter A5, Uncertainties).

For activity data like statistics the overall estimate of uncertainty would be 5–10% (EEA, 2013). However, for the emission factors this is much more complicated as it may differ by source and pollutant and is often not known. To tackle this issue, a system has been developed that rates the uncertainty of emission factors (Table 4). This system makes it possible to give different ratings to various pollutant emission factors for a single source. As an illustration and indication of uncertainty we reproduce the general assessment of emission factors uncertainties for European emissions (Table 5). The values in Table 5 provide a good approximation of the uncertainty in the TNO\_MACC-II emission inventory, as well as the country reported data being at the base of our inventory. A more elaborate uncertainty analysis has not been made. Although such an uncertainty analysis is desirable it should be realized that it is a highly complicated and time consuming endeavour. The mixing of the different approaches to obtain the most reliable and consistent data set asks for a complicated weighing of uncertainties, that differs country by country. Moreover, it may not be entirely feasible as we use country reported data (for good reasons) but the detailed information such as uncertainty in national statistics and often country-specific emission factors is simply not available.

The  $PM_{10}$ ,  $NO_x$ ,  $SO_2$  and  $NH_3$  emissions data officially submitted by EU Member States and other EEA member countries follow common calculation (EEA, 2009) and reporting guidelines (UNECE, 2003). The European

Rating	Definition	Typical error range
A	An estimate based on a large number of measurements made at a large number of facilities that fully represent the sector	10 to 30 %
В	An estimate based on a large number of measurements made at a large number of facilities that represent a large part of the sector	20 to 60 %
С	An estimate based on a number of measurements made at a small number of representative facilities, or an engineering judgement based on a number of relevant facts	50 to 200 %
D	An estimate based on single measurements, or an engineering calculation derived from a number of relevant facts	100 to 300 %
Е	An estimate based on an engineering calculation derived from assumptions only	Order of magnitude

Table 4. Uncertainty rating definitions used for air pollutants in the Emission Inventory Guidebook (source: EEA, 2013).

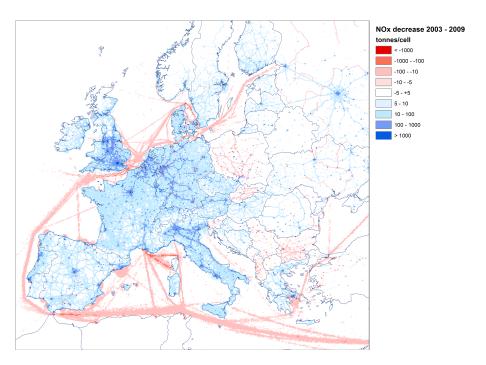


Figure 10. Change in NO<sub>x</sub> emissions between 2003 and 2009 in Europe, for all sources.

Environment Agency (EEA, 2011) assesses the uncertainty in emissions for the  $SO_2$ ,  $NO_x$  and  $NH_3$  as follows:

Sulfur dioxide emission estimates in Europe are thought to have an uncertainty of about 10 % as the sulfur emitted comes from the fuel burnt and therefore can be more accurately estimated. However, because of the need for interpolation to account for missing data the complete data set used here will have higher uncertainty. EMEP has compared modelled (using emission inventory data) and measured concentrations throughout Europe (EMEP, 1998). From these studies differences in the annual averages have been estimated in the order of 30 % consistent with an inventory uncertainty of 10 %

(there are also uncertainties in the measurements and especially the modelling).

- Nitrogen oxide emission estimates in Europe are thought to have an uncertainty of about ±20 % (EMEP, 2009), as the NO<sub>x</sub> emitted comes both from the fuel burnt and the combustion air and so cannot be estimated accurately from fuel nitrogen alone. However, because of the need for interpolation to account for missing data, the complete data set used will have higher uncertainty.
- Ammonia emissions are relatively uncertain. NH<sub>3</sub> emission estimates in Europe are more uncertain than those for NO<sub>x</sub> or SO<sub>2</sub> due largely to the diverse nature of

NFR	Source category	$SO_2$	NO <sub>x</sub>	VOC	СО	NH <sub>3</sub>
1.A.1	Public power, cogeneration and district heating	A	В	С	В	
1.A.2	Industrial combustion	A	В	C	В	
1.A.3.b	Road transport	C	C	C	C	E
1.A.3.a,c,d,e	Other mobile sources and machinery	C	D	D	D	
1.A.4	Commercial, institutional and residential combustion	В	C	C	C	
1.B	Extraction and distribution of fossil fuels	C	C	C	C	
2	Industrial processes	В	C	C	C	E
3	Solvent use			В		
4	Agricultural activities		D	D	D	D
6	Waste treatment	В	В	В	C	
6	Disposal activities	C	C	C	C	E

**Table 5.** Main relevant NFR source categories with applicable quality data ratings (source: EEA, 2013).

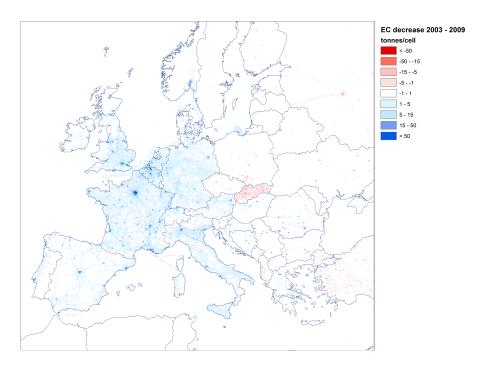


Figure 11. Change in EC (< 2.5 μm) emissions between 2003 and 2009 in Europe, for all sources.

agricultural sources – which account for the vast majority of NH $_3$  emissions. It is estimated that they are around  $\pm 30\,\%$  (EMEP, 2011). The trend is likely to be more accurate than the individual absolute annual values – the annual values are not independent of each other.

The above estimates are in line with De Leeuw (2002) (but also largely based on the same methodologies) who reported uncertainties in emissions as about 50 % for NH<sub>3</sub>, VOC and CH<sub>4</sub>. NO<sub>x</sub> emission estimates in Europe were thought to have an uncertainty of about  $\pm 30$  % and SO<sub>2</sub> emission estimates in Europe were thought to have an uncertainty of about  $\pm 10$  % as the sulfur emitted comes from the fuel burnt and so can be relatively accurately estimated. However, because of the need for interpolation to account for missing data the

complete EU data set studied by De Leeuw (2002) will have higher uncertainty.

More recently, Nielsen et al. (2014) reported Danish uncertainty estimates for the total emissions of air pollutants from Denmark. The Danish uncertainty estimates were still based on the simple Tier 1 approach described by Pulles and Van Aardenne (2004). The uncertainty estimates are based on uncertainties for fuel consumption and emission factors for each of the main SNAP source categories. Uncertainty in total Danish emissions for pollutants as used in the TNO-MACC\_II inventory were estimated as SO<sub>2</sub> (16%), NO<sub>x</sub> (39%), NMVOC (23%),CO (42%), NH<sub>3</sub> (29%), PM<sub>10</sub> (289%) and PM<sub>2.5</sub> (347%) (Nielsen et al., 2014). For SO<sub>2</sub>, NO<sub>x</sub> and NH<sub>3</sub> this is all rather consistent but it should be noted that always rather simple Tier 1 methods were used

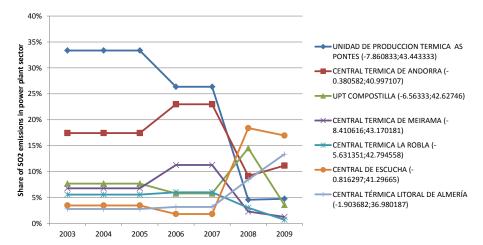


Figure 12. Contribution of the top-7 SO<sub>2</sub> emitting power plants in Spain in 2003 to the annual total SO<sub>2</sub> emissions from the power plant sector

because the data to do a complete detailed uncertainty analysis of all relevant source are simply not available. Remarkable in the reporting by Nielsen et al. (2014) is the high uncertainty in PM emissions. This is mostly due to the high uncertainty in emission factors for residential combustion which is one of the key sources of PM in Europe. However, it is not so much uncertainty as well as definition of PM measurement methodology which is especially variable for residential combustion stoves (Nussbaumer et al., 2008). Since different countries use different methodologies this results in extremely high uncertainty of the order of 200–300 % as reported by Nielsen et al. (2014).

Independent of the uncertainty in national total emissions is the uncertainty in spatial distribution of the emissions within a country which is done using proxy data. Some proxies are more accurate than others. For example a point source database for power plants is fairly accurate although some uncertainty is present related to the specific fuel use, fuel quality and operation times. For some other proxies, e.g. the population density used to distribute the emission from wood stoves, the accuracy of this proxy is not known as we do not really know where the wood stoves are. The uncertainty of using such a proxy increases when going from a large to a smaller grid size. Moreover, for some countries the proxy data like road networks or industrial activity may more detailed than for other countries. Hence the uncertainty may vary from country to country.

# 4 Conclusions

A model-ready emission inventory at high spatial resolution for UNECE-Europe for 7 consecutive years (2003–2009) was constructed, which combines the advantage of using official reported emissions to the extent possible. For air quality modelling and environmental impact assessment studies,

a good understanding of the magnitude and location of the sources of pollution is of crucial importance for deriving policy conclusions. The main advantages of this inventory are:

- We use source sector-specific data in a harmonized way, which allows both tracking of sources in the modelled data as well as trend analysis without artifacts such as differences between annual reporting years. For instance, NMVOC and NO<sub>x</sub> from agriculture were excluded for all countries as reporting was found to be very inconsistent.
- The application of a consistent gridding methodology for all countries ensures patterns across borders do not show sudden changes or jumps – e.g. consistent land use and animal density maps to distribute agricultural emissions.
- To model particulate matter concentration and fate, models need to break down PM into components with different behaviour. We now provide such data which are not available from the official reporting.
- By using the point source data from E-PRTR and EPER, better locations of point sources were brought into the database. Moreover, the point source gridding data is now year-specific, whereas earlier the 2005 distribution was used as a proxy for all years.
- Emissions in ports were added in a harmonized way for the whole of Europe.
- Elemental carbon and/or black carbon is increasingly important in discussion of health effects of PM exposure as well as climate discussion focusing on short-lived climate forcers. By bringing this component into the gridded emission data sets, the models are able to better cater for policy makers in this respect.

 Finally, since the data are as much as possible (given our quality criteria) from the official reported data, the data can be readily used for policy evaluation.

Our emission data set has been compared to other emission inventories to assess the quality of the inventory. Since GAINS was a primary data source used, a good match was found with this inventory. Between our inventory and EDGAR differences were found, which can partly be explained by allocation issues and by a somewhat different domain definition.

Uncertainties in emission inventories are difficult to quantify, especially when multiple sources are combined. General approaches to uncertainty exist, but data collection is difficult especially at European scale.

A potentially new way to address uncertainty in large point sources is by comparing the emission maps with satellite measurement. A first comparison between OMI satellite data and SO<sub>2</sub> source strength of major point sources (Visschedijk et al., 2012) revealed that for some major point sources in eastern Europe, no OMI signal was found, which could indicate that the point source closed, or changed fuel. The resulting information from this type of comparisons is very useful to further improve the point source databases in the future.

All in all, this paper presents a significantly improved spatially explicit emission data set for the European domain. However, one should bear in mind the limitations of the European scale emission inventory. Since the spatial distribution of national emissions is done using a generic system of point sources and proxies, differences with other inventories may exist, especially when zooming in to the local scale such as a large city or urban area.

A next step would be to include the "semi-natural" sources in our emission inventory, which are not covered by official inventory data (e.g. resuspension of dust and  $NO_x$  emissions from soils). With decreasing emissions from most anthropogenic sources, these become increasingly important for the comparison between modelled and observed concentrations.

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