

## Realized niche analysis of phytoplankton communities involving HAB: *Phaeocystis* spp. as a case study

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### Abstract :

The link between harmful algal blooms, phytoplankton community dynamics and global environmental change is not well understood. To tackle this challenging question, a new method was used to reveal how phytoplankton communities responded to environmental change with the occurrence of an harmful algae, using the coastal waters of the eastern English Channel as a case study. The great interannual variability in the magnitude and intensity of *Phaeocystis* spp. blooms, along with diatoms, compared to the ongoing gradual decrease in anthropogenic nutrient concentration and rebalancing of nutrient ratios; suggests that other factors, such as competition for resources, may also play an important role. A realized niche approach was used with the Outlying Mean Index analysis and the dynamics of the species' realized subniches were estimated using the Within Outlying Mean Indexes calculations under low (L) and high (H) contrasting *Phaeocystis* spp. abundance. The Within Outlying Mean Indexes allows the decomposition of the realized niche into realized subniches, found within the subset of habitat conditions and constrained by a subset of a biotic factor. The two contrasting scenarios were characterized by significantly different subsets of environmental conditions and diatom species (BV-step analysis), and different seasonality in salinity, turbidity, and nutrients. The subset L environmental conditions were potentially favorable for *Phaeocystis* spp. but it suffered from competitive exclusion by key diatom species such as *Skeletonema* spp., *Thalassiosira gravida*, *Thalassionema nitzschioides* and the *Pseudo-nitzschia seriata* complex. Accordingly, these diatoms species occupied 81% of *Phaeocystis* spp.'s existing fundamental subniche. In contrast, the greater number of diatoms, correlated with the community trend, within subset H exerted a weaker biological constraint and favored *Phaeocystis* spp. realized subniche expansion. In conclusion, the results strongly suggest that both abiotic and biotic interactions should be considered to understand *Phaeocystis* spp. blooms with greater consideration of the preceding diatoms. HABs needs must therefore be studied as part of the total phytoplankton community.

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## Highlights

► *Phaeocystis* spp.' has a smaller realized subniche in events of low abundance blooms. ► *Phaeocystis* spp.' small realized subniche is due to an important biological constraint. ► Higher diversity of the diatom community as a weaker biological constraint. ► The preceding key diatom community seemed controlled by the environment. ► The preceding diatom competitive ability controls the bloom of *Phaeocystis* spp.

**Keywords** : Harmful algae bloom, WitOMI, Subniche, Diatoms, Biotic interaction, Eastern English Channel

## 1. Introduction:

The unprecedented rate of global environmental change (Drijfhout et al., 2015), is potentially increasing the spread and impact of harmful algae blooms (HAB) worldwide (Fu et al., 2012; Hallegraeff, 2010; Wells et al., 2015). Attempts to link HABs or undesirable species and anthropogenically-altered environments have often been unclear and contradictory (Anderson, 2009; Davidson et al., 2012; Gowen et al., 2012; Wells et al., 2015). Moreover, the role of biotic interactions in shaping HABs, such as competition for resources, is still poorly studied. Yet, the variability in the magnitude and duration of reported HAB blooms emphasizes the idea that other factors, aside from abiotic variables, play an important role in driving HABs (Bianchi et al., 2000; Borkman et al., 2016; Yin, 2003). Previous research strategies, methods and hypotheses of how environmental pressures mechanistically affect HAB species (Wells et al., 2015) have used modelling (Passy et al., 2016), experiments (Veldhuis et al., 1991), *in situ* measurements (Houliet et al., 2013), and remote sensing imaging (Kurekin et al., 2014) to explore these links. The former studies were based on the hypothesis that HABs could be predicted from environmental variables only.

Hutchinson's niche concept (1991) allows studying the link between global changes and the phytoplankton community in relation to HABs. Among several multivariate methods available for niche analysis (Braak, 1986; *e.g.* Calenge et al., 2005; Ter Braak, 1987), Hernández-Fariñas et al. (2015) used the niche through using the Outlying Mean Index (OMI) (Dolédec et al., 2000), assessing the niche of 35 phytoplankton species, including diatoms, along the French coast. Recently, the Within Outlying Mean Index calculations (WitOMI; Karasiewicz et al., 2017) was developed as a refinement of the OMI analysis and provides estimations of niche shift and/or conservatism of a community under different subsets of habitat conditions (temporal and/or spatial). The WitOMI calculates the species' realized subniche dynamics (species' niche occupation within subset habitat conditions) within the realized niche resulting from the OMI analysis after selecting subsets. The realized subniches are, therefore, comparable under the same environmental gradients. The decomposition of the niche into subniches, with the WitOMI allows one to observe and measure the part of the existing fundamental subniche that is not used by the species despite being available. The unused part of the existing fundamental subniche is considered as the subset's biological constraints (*e.g.* competition, predation, mutualism, dispersal and colonization) (Karasiewicz et al., 2017). This last method deciphers the effect of selected environmental factors from unknown biotic factors and is fully adapted to explore the phytoplankton community response to climate change along with HABs.

29 The study aim was to use the Within Outlying Mean Indexes calculations (Karasiewicz et al., 2017) to understand  
30 how the environment influences harmful species realized niches. The method should reveal how the phytoplankton  
31 community before and/or during HABs, can influence the harmful algae realized niche. The estimation of the  
32 biological constraint should reveal the impact of biological processes on the HAB, providing further insight into  
33 the implications on potential competitors. This new method of HAB investigation will be tested with the case  
34 study of *Phaeocystis* spp. in the Eastern English Channel. In these waters, the bulk of biomass is represented by  
35 the diatom community and *Phaeocystis* spp. (Grattepanche et al., 2011). The genus *Phaeocystis* is one of the  
36 most globally distributed marine haptophytes (Lancelot et al., 1994). Although non-toxic (Cadée and Hegeman,  
37 2002), it is classified as undesirable because three species (*i.e.* *P. globosa*, *P. pouchetii* and *P. antarctica*) are  
38 capable of forming large gelatinous colonies, creating impressive foam layers along beaches during bloom collapse  
39 (Blauw et al., 2010). This accumulation of excessive organic matter could result in alteration both in the benthic  
40 and pelagic compartments. More recently, Breton et al. (2017) suggested with a trait-based approach, that  
41 competitive exclusion prevails during *Phaeocystis* spp.'s blooms. The diatoms' taxonomic level, however, was not  
42 fine enough to reveal the potential resource competitors of *Phaeocystis* spp. (Breton et al. 2017). To date, no  
43 studies have considered the competitive interactions as a possible HAB control.

## 44 2. Methods:

### 45 2.1. Data set:

46 The data were collected as part of the French REPHY-IFREMER (Réseau d'Observation de Surveillance du  
47 Phytoplankton et des Phycotoxines) and the Regional Nutrients Monitoring Network (SRN, 2017). Water  
48 samples were acquired from a fortnightly to monthly frequency from 1996-2012, between 0 and 1 m depth,  
49 along with physical measurements, and were completed with chemical analyses. The environmental variables  
50 measured included, seawater temperature (°C), salinity (measured using the Practical Salinity Scale), turbidity  
51 (NTU), inorganic nutrient concentrations (dissolved inorganic nitrogen, silicate, and phosphate in  $\mu\text{mol.L}^{-1}$ ) and  
52 photosynthetically active radiation (PAR,  $\text{W.m}^{-2}$ ). Note that PAR is the cumulative sum over the five days  
53 preceding phytoplankton sampling. In regards to the quantitative phytoplankton analyses, samples were fixed with  
54 Lugol's solution and counted according to the Utermöhl method (Utermöhl, 1958). Organisms were identified to  
55 the lowest possible taxonomic level. Taxa that are difficult to discriminate with optical microscopy were grouped  
56 (*e.g.* *Pseudo-nitzschia seriata* complex). In addition, experts identified and counted (cells/L) phytoplankton taxa  
57 bigger than 20  $\mu\text{m}$ , and also smaller size species that create chain structures or form a colonies (*e.g.* *Phaeocystis*  
58 *spp.*). Further details about sampling and processing of phytoplankton and physicochemical parameters are

59 available in the literature (Lefebvre et al., 2011; Belin and Neaud-Masson, 2012). Unlike Hernández-Fariñas et  
60 al. (2015), this study focused on the coastal station 1 of Boulogne-sur-mer because the waters are known for  
61 recurrent *Phaeocystis* blooms (Figure 1).

## 62 2.2. Subsets creation:

63 In order to understand the impact of biotic and abiotic factors on the *Phaeocystis* spp. realized niche, two data  
64 subsets that gathered years of high and low *Phaeocystis* spp. annual mean abundance events were created (named  
65 hereafter subset H and L for high and low respectively). The years of *Phaeocystis* spp. intermediate mean annual  
66 abundance were left-out for the rest of the study. This methodology enables deciphering the conditions and the  
67 potential resources used by the diatom community and *Phaeocystis* spp. in contrasted events. Each subset has its  
68 own environmental habitat conditions and phytoplankton communities (n=53 sampling units for subset L and  
69 n=71 for subset H). Additionally, a non-random BV-STEP analysis (Clarke et al., 2001) with 10000 reiterations  
70 was performed to extract the species that correlated most with the entire diatom community during subsets L and  
71 H. The diatom species best representing the community under both subsets were used to describe the succession  
72 under each subset. Herein, the study does not try to determine the conditions under which the ecosystem is  
73 dominated by *Phaeocystis* spp. (e.g., the ratio between diatoms species biomass and *Phaeocystis* spp.) as in  
74 (Lefebvre et al., 2011), but rather the habitat conditions within which the species can reach high abundances.  
75 The environmental habitat conditions are the environmental conditions measured at time  $t$  of the sampling.

## 76 2.3. Niche and subniche analysis:

77 An OMI analysis (Dolédec et al., 2000) was performed including all the sampling dates in order to reflect most of  
78 the environmental variability within the OMI axes. Only the significant species identified by the BV step analysis  
79 above were used further in the study. The subniche estimations within the subsets H and L (see below) were  
80 calculated with the Within Outlying Mean Indexes calculations (WitOMI) (Karasiewicz et al., 2017). Species'  
81 subniche dynamics were estimated by comparing the subniche parameters (marginality and tolerance) to the  
82 origin  $G$  (WitOMI $G$  and Tol), which is the representation of a uniformly distributed theoretical species that  
83 would occur at all available habitat conditions (*i.e.* ubiquitous) (Dolédec et al., 2000). Second, the estimation  
84 of the subniche parameters to the subset origin  $G_K$  (WitOMI $G_K$  and Tol), which is the representation of the  
85 subset mean habitat conditions used by a hypothetical species (Karasiewicz et al., 2017), revealing the species  
86 distribution within the subset habitat conditions. The statistical significance of marginality was tested using a  
87 Monte Carlo permutation procedure (Manly, 1997) with 10000 permutations.

88 *2.4. Biological constraint:*

89 The existing fundamental subniche,  $\mathbf{S}_P$ , corresponds to the realized niche,  $\mathbf{N}_R$ , which is reduced abiotically by the  
 90 subset habitat conditions,  $\mathbf{K}$ . Therefore,  $\mathbf{S}_P$  includes the subset biotic factor,  $\mathbf{S}_B$ , reducing  $\mathbf{S}_P$  into the realized  
 91 subniche,  $\mathbf{S}_R$  (Figure 2). In summary:

$$\mathbf{S}_R \cup \mathbf{S}_B = \mathbf{S}_P = \mathbf{K} \cap \mathbf{N}_R$$

92  $\mathbf{S}_B$  represents negative biological interactions (e.g., predation, competition, parasitism, etc.), the species dispersal  
 93 limitation (*i.e.*, lack of time for migration), or occupancy by another species (Peterson, 2011) (Figure 2). The  $\mathbf{S}_B$   
 94 unit is in percentage of  $\mathbf{S}_P$ , and represents the biological constraint exerted on the subniche. Therefore, under  
 95 the subset habitat conditions H or L, the biological constraint exerted on *Phaeocystis* spp. subniches, and the  
 96 effect of some other unselected abiotic variables, can be discussed.

97 All analyses and graphical representations were performed using R software (R Core Team, 2013) with the packages  
 98 “ade4” (Dray and Dufour, 2007) and “subniche” available for free on the CRAN repository [www.cran.r-project.org](http://www.cran.r-project.org)  
 99 and on GitHub [www.github.com/KarasiewiczStephane/WitOMI](http://www.github.com/KarasiewiczStephane/WitOMI).

100 **3. Results:**

101 *3.1. Subset habitat conditions:*

102 Low (<50 cells.L<sup>-1</sup>) and high (>160 cells.L<sup>-1</sup>) mean annual *Phaeocystis* spp. abundance events (named hereafter  
 103 subset L and H) occurred on four and five occasions, respectively (L: 1996, 1997, 2000, and 2005, H: 2001, 2004,  
 104 and 2010-2012; Figure 3). The non-random BV-step analysis revealed that 7 diatom species were correlated to  
 105 the overall pattern of the community (Gud, Gus, Par, Pss, Ske, Thn, and Thg, with  $\rho=0.97$ ; See code in Table  
 106 1) in subset L, while 9 diatom species were relevant in subset H (Cha, Dyt, Gud, Gus, Led, Nit, Par, Ske, and  
 107 Thn, with  $\rho=0.96$ ). Five species, Gud, Gus, Par, Ske, Thn were common to the two contrasting environmental  
 108 conditions, leading to 11 species of interest for the rest of the study. Two species occurred only in subset L (Thg  
 109 and Pss) and four species occurred only in subset H (Dit, Cha, Led, and Nit)(See code in Table 1).

110 Although the two subsets showed similar increases in temperature and PAR, varying from 5.8 to 19.9°C and from  
 111 8.5 to 6.1 10<sup>3</sup>.W.m<sup>2</sup> respectively (Figure 4A and 4B), the two subsets differed in turbidity, salinity and nutrient  
 112 concentrations. Accordingly, subset L displayed higher turbidity but lower salinity than subset H (Figure 4C-D).  
 113 Moreover, nutrient concentrations were significantly lower and decreased faster in subset H than in subset L

114 during late winter-early spring (Figure 2 E-H). Phosphate concentration had an overall higher concentration in  
115 subset L (Figures 4F). The sum of nitrate and nitrite concentrations was similar in January and December in  
116 both subset L and H, but the overall concentration was higher in subset L than in H (Figure 4G). The seasonal  
117 trends of silicate concentration were similar in the two subsets, although it decreased faster the rest of the year  
118 in subset H than in subset L (Figure 4H). The DIN:PO<sub>4</sub> followed a unimodal trend with a maximum in April  
119 (DIN:PO<sub>4</sub>: 88) and March (DIN:PO<sub>4</sub>: 70) for subset L and H respectively (Figure 4I). The DIN:Si was higher in  
120 subset L than in H with a maximum in April (DIN:Si: 59) and March (DIN:Si: 30) respectively.

### 121 3.2. Niche analysis (OMI):

122 The OMI analysis revealed that the realized niches of the 11 diatom species of interest, depicted by the BV-step  
123 analysis, and of *Phaeocystis* spp. were significant (Table 1). The first two axis of the OMI analysis represented  
124 87% of projected inertia, of which OMI1 represented 74%. OMI1 was mainly explained by nutrients and turbidity  
125 (Figure 5C), while OMI2 was mainly explained by PAR, temperature and salinity. The seasonal effect can be  
126 visualized by the environmental trajectories of subset H and L (Figure 5B). The environmental trajectory of  
127 subset H had a higher position and better resembled a full cycle than subset L, which went “back on track”  
128 (Figure 5B).

129 The species Ske, Thg and Thn were typical of late winter-early spring and were, as expected, low on the OMI1  
130 axis (on the left side, Figure 5A). Their niches were explained by high nutrient concentrations and turbidity, but  
131 low temperature, PAR and salinity. These three species have the highest niche breadth (Tol Ske: 3.52, Thn: 3.35,  
132 Thg: 3.14) (Table1). The niches of Dit and Cha, Par and Nit were related to intermediate values of OMI1 (lower  
133 values of nutrients and turbidity; Figure 5A). They distributed themselves vertically along the OMI2 by their  
134 preferences for higher salinity, temperature and PAR (higher temperature and PAR downwards ; Figure 5A). The  
135 species with the lowest marginality were Nit and Par (OMI: 0.06 and 0.09 for Nit and Par respectively). The  
136 niches of Pss, Gud, Gus and Phae were characterized by low nutrient concentrations and turbidity but differed  
137 from each other in salinity, PAR and temperature affinities. The niche position of Phae was characterized by  
138 relatively high salinity but intermediate temperature and PAR, while the other species were rather defined by  
139 lower salinity and higher temperature and PAR along the OMI2 axis. The niche of Led, which is typically a  
140 summer diatom species was characterized by the lowest nutrient concentrations and turbidity, high salinity, and  
141 intermediate temperature and PAR. As a result, Led was characterized by a high marginality (OMI: 2.231).

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142 3.3. Subniche calculations (WitOMI):

143 *Phaeocystis* spp.'s subniche position significantly shifted and the subniche breadth expanded from subset L to  
144 H (WitOMIG: 2.64 and 2.11; Tol: 0.59 and 0.64 for subset L and H respectively) (Figure 6). The marginality  
145 (WitOMIG) showed that *Phaeocystis* spp. used a more common habitat in subset H than in L. This suggest  
146 that the species has a preference for the environmental habitat conditions found in subset H over L (Figure 6).  
147 Considering the subsets independently, the subniche position from the average subset habitat conditions,  $G_K$  was  
148 much greater in subset L than H (WitOMIG $_K$ : 3.24 and 0.59 from subset L and H respectively). In subset H,  
149 *Phaeocystis* spp. used a more common habitat favoring its development (237 cells.L<sup>-1</sup>, Table 2). On the other  
150 hand, the habitat preference in subset L, which is atypical for the environmental habitat conditions within subset  
151 L, is not well suited for *Phaeocystis* spp. (29 cells.L<sup>-1</sup>, Table 2).

152 The different WitOMIG values for the common diatom species (Ske, Thn, Par, Gud, Gus), expressed a change  
153 in subniche position (Table 2). Meanwhile, the tolerance from  $G$  increased for Gud, while it decreased for Gus,  
154 Par, Ske and Thn (Table 2). The low WitOMIG $_K$  values in the environmental habitat conditions subset H were  
155 preferable for Thn, Par, Gud, and Gus, compared to the environmental habitat conditions of subset L. The  
156 opposite pattern occurred for Ske (Table 2). Ske had a preference for the environmental habitat conditions of  
157 subset L, as the species' mean abundance, which was higher in subset L, likely reflected the species' habitat  
158 suitability. The species Par and Thn had higher mean abundance in subset H, while Gud and Gus had stable  
159 mean abundances (Table 2).

160 Concerning species that occurred in only one subset, Pss had one of the lowest marginalities and intermediate  
161 tolerance (WitOMIG $_K$ : 0.28 and Tol: 1.69), while Thg had an intermediate marginality with high tolerance  
162 (WitOMIG $_K$ :0.78 and Tol: 4.13), in subset L (Table 2). In subset H, marginality of low for Nit and Cha,  
163 intermediate for Dit, and high for Led (WitOMIG $_K$ : 0.14, 0.31, 0.58, and 2.05 for Nit, Cha, Dit and Led  
164 respectively) (Table 2). Led had an intermediate tolerance while Nit, Cha and Dit had high tolerance in subset H  
165 (Table 2).

166 The environmental habitat conditions of subset H enhanced the common diatoms and *Phaeocystis* spp. mean  
167 abundances, as these species had greater affinities for these environmental habitat conditions. *Phaeocystis* spp.  
168 still managed to reach high abundance despite the increase of the relevant number of diatom species. *Skeletonema*  
169 spp. was the only common diatom species that was disfavored by the change in environmental habitat conditions,  
170 and better responded to the environmental habitat conditions of subset L.

171 A succession of the diatom subniche was observed in the two habitat subsets (Figure 7A and B), as expected  
172 from the niche analysis (Figure 4). In subset L, the late-winter early-spring species (Ske, Thn and Thg) were



173 blooming first because they were affiliated with winter like conditions, *i.e.*, low temperature, PAR and salinity,  
174 but high nutrient concentrations and turbidity. Then, Par was second to bloom followed by Pss, Gud, Gus and  
175 Phae (Figure 7A). The first species to appear in subset H was Ske, while Thn, Cha, Dit, Par and Nit appeared  
176 second. The succession of diatoms continued with Gud, Gus and Led (Figure 7B). In subset H, the subniche  
177 of *Phaeocystis* spp. overlapped most of the diatoms' niche positions, but still managed to have a larger niche  
178 breadth than in subset L. The diatom-*Phaeocystis* spp. succession did not take place in subset H, as *Phaeocystis*  
179 spp. managed to develop concomitantly with the diatom species (Figure 7B).

### 180 3.4. Biological reducing factor:

181 The *Phaeocystis* spp. subniche in subset L occupied 19% of the existing fundamental subniche. Therefore the  
182 biological constraint was equal to 81% (Figure 8B). The subniche occupation of *Phaeocystis* spp. in subset H  
183 within the existing fundamental subniche, represented 75%. Thus, the subniche biological constraint was of 25%  
184 of the existing fundamental subniche (Figure 8A). Therefore, the unused available conditions of the *Phaeocystis*  
185 spp. existing fundamental subniche could have been occupied by competing diatom species, such as *Skeletonema*  
186 spp., *Thalassionema nitzschioides*, *Thalassiosira gravida* and the *Pseudo-nitzschia seriata* complex (Figure 8A).  
187 By contrast, the *Phaeocystis* spp. subniche overlapped the diatoms subniches in subset H (Figure 8B).

## 188 4. Discussion:

189 The OMI analysis revealed that nutrient concentrations (phosphate, silicate, nitrite, nitrate and ammonia)  
190 played an important role in the diatom community distribution (Figure 5A and 5C). Subset H was characterized  
191 both by lower nutrient concentrations and faster decreases than subset L (Figure 5E to 5H). Therefore, the  
192 realized subniche of *Phaeocystis* spp. shifted in position and increased in breadth from subset L to H. The  
193 diatom-*Phaeocystis* spp. succession occurred in subset L but not in H. The realized subniche of *Phaeocystis*  
194 spp. seemed to be more controlled by the preceding diatom community than by the subset habitat conditions.  
195 Furthermore, the increasing diversity in the diatom community exerted a lower biological constraint on the  
196 *Phaeocystis* spp. realized subniche. The results suggest that key diatom species possibly competed for resources  
197 with *Phaeocystis* spp., especially nitrogen, phosphate and light, but only when silicate was available. Hereafter,  
198 the robustness of actual hypotheses related to the *Phaeocystis* spp. niche in the literature are discussed followed  
199 by an examination of the possible biotic interaction explaining the fluctuating abundances.

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200 4.1. *Phaeocystis* spp. hypotheses:

201 The “silicate-*Phaeocystis* hypothesis” (Lancelot et al., 1987; Reid et al., 1990) has historically been a major  
202 explanation in the appearance of *Phaeocystis* spp. Environmental silicate concentration may determine the  
203 duration and stability of the diatom community. For instance, in both subsets, *Phaeocystis* spp. started to bloom  
204 when the silicate concentration dropped below  $1.5 \mu\text{mol.L}^{-1}$ . This threshold was reached later, in April, for subset  
205 L compared to March for subset H (see Figure 4). The “silicate-*Phaeocystis* hypothesis” (Lancelot et al., 1987;  
206 Reid et al., 1990) was only partly verified since *Phaeocystis* spp. was already present in the subset H in January,  
207 but did not bloom until the silicate concentration dropped below the threshold. The lower inorganic P demand  
208 of *Phaeocystis* spp. compared to diatoms (Riegman et al., 1992) could explain the constant presence in subset  
209 H, characterized by low phosphate concentration from January to June. On the contrary, the “eutrophication  
210 hypothesis,” which stipulates that *Phaeocystis* spp. abundance increases with higher N concentration, was not  
211 validated in this study. Subset L was characterized by higher concentrations of nitrite, nitrate and ammonia  
212 than subset H, leading to a dominance of diatom species, but resulting in lower abundances of *Phaeocystis* spp.  
213 Furthermore, even though in subset L, leftover N from diatoms remained high, *Phaeocystis* did not bloom as  
214 much. *Phaeocystis* spp. might use the excess N leftover by the diatoms for growth, but this does not seem to  
215 determine the outbreaks of high abundance bloom events. The hypotheses linking *Phaeocystis* spp. appearances  
216 to N:Si (Tett et al., 1993; Tett and Walne, 1995) and N:P (Riegman et al., 1992) better at predicted the HAB  
217 timing. The maximum in N:Si or N:P corresponded to the start of *Phaeocystis* spp. bloom in both habitat subset  
218 conditions.

219 According to Borkman et al. (2016), higher salinity characterized the year of high *P. pouchetii* abundance and  
220 could also explain the years of high *Phaeocystis* spp. abundance. The higher salinity also reflected a lower  
221 precipitation flow rate from rivers and wind turbulence which can also take part in the turbidity level. Subset H  
222 was characterized by higher salinity and lower turbidity than subset L. Photosynthetically active radiation and  
223 temperature exhibited similar variations throughout the season. Temperature did not seem to impact *Phaeocystis*  
224 spp. appearance, because in subset H, *Phaeocystis* spp. was present in January, the coldest month ( $6.5^{\circ}\text{C}$ ). The  
225 higher turbidity level in subset L suggested that the real amount of photosynthetically active radiation reaching  
226 the community was less than in subset H. Despite these conditions, *Phaeocystis* spp. still appeared under low  
227 PAR. This contradicts the hypothesis suggesting that *Phaeocystis* spp. dominates over diatoms when conditions  
228 resemble early summer along the Dutch coast (Peperzak, 1993).

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#### 229 4.2. Biotic interactions:

230 The unused available conditions in the *Phaeocystis* spp. existing fundamental subniche is considered as the subset  
231 biotic reducing factor. Infection by viruses can also cause the biological constraint. Experimental results showed  
232 that *P. pouchetii* cell mortality rates by virus infection can reach  $0.8 \text{ d}^{-1}$  (Brussaard et al., 2005) but viruses do  
233 not infect healthy colonies (Bratbak et al., 1998).

234 Predation is a possible biological interaction that can affect *Phaeocystis* spp. forms. The high plasticity of  
235 *Phaeocystis* spp. capacity to change life forms, single-cells and colonies in response to grazing is well known  
236 (Nejstgaard et al., 2007). Furthermore, it can respond to different chemical cues released by different consumer  
237 species (Wang et al., 2015). For instance, *Phaeocystis* spp. is capable of switching from single-cells to colonies  
238 when grazed by ciliates (Long et al., 2007). Oppositely, when confronted by grazing copepods, *Phaeocystis* spp.  
239 can significantly decrease its colony numbers by 60–90% (Long et al., 2007). Grazer abundances and diversity  
240 with different *Phaeocystis* spp. life forms should be considered in future studies, as they can directly impact  
241 HABs. The information on the different life-forms of *Phaeocystis* spp. and potential consumers were not available  
242 in the used dataset.

243 Another appropriate biological constraint in this study appeared to be competition, as the diatom community  
244 directly competes for resources with *Phaeocystis* spp. From late-winter to summer, the succession in blooms  
245 of the diatoms and their appearance depends on their preferences regarding environmental habitat conditions,  
246 further driving *Phaeocystis* spp. appearances and blooms. For instance, *Skeletonema* spp., *T. nitzschioides*,  
247 and *T. gravida* are known to be bloom forming species (Pratt, 1959; Smayda, 1958). They are considered as  
248 winter diatoms, according to their respective niche positions with preference for high nutrient concentrations and  
249 turbidity in association with low temperature, PAR and salinity. Their leading appearance, bloom magnitude  
250 and persistence determined the composition of the following community. The growth of *Skeletonema* spp. is  
251 known to be 25% faster when on sustained ammonia than on nitrate (Suksomjit et al., 2009; Tada et al., 2009).  
252 In addition, *T. nitzschioides* has also been shown to grow faster in ammonia and nitrate enriched conditions  
253 (Mochamadkar et al., 2013). In subset L, the high concentration of ammonia could have potentially helped  
254 *Skeletonema* spp., *T. nitzschioides* and *T. gravida*, which are pioneers, to grow faster and bloom, establishing their  
255 dominance in the community (Suksomjit et al., 2009; Tada et al., 2009) (Figure 5B). Furthermore, the *P. seriata*  
256 complex occurrence is also known to be nitrogen-limited, and more than capable of using ammonia (Fehling et  
257 al., 2006). The growth of *Phaeocystis* spp. is faster on ammonia than on nitrate (Tungaraza et al., 2003), but  
258 seemed to be out-competed by the diatoms in these environmental habitat conditions. Other factors, such as  
259 silicate and phosphate were not limiting and favored the diatoms. The establishment of the diatom species, with  
260 a preference for ammonia, possibly out-competed *Phaeocystis* spp., until the concentration of silicate became

261 limiting, succeeded by the *Phaeocystis* spp. bloom.

262 In subset H, *Phaeocystis* spp. managed to flourish despite the presence of the five same species (*Skeletonema* spp.,  
263 *T. nitzschioides*, *Paralia sulcata*, *Guinardia delicatula* and *Guinardia striata*) and four other species (*Chaetoceros*  
264 *danicus*, *Ditylum brightwellii*, *Nitzschia longissima* and *Leptocylindrus danicus*). The lower concentration of  
265 phosphate favored *Phaeocystis* spp.'s presence over diatoms likely through *Phaeocystis* spp.'s capacity to store  
266 phosphate within its colony matrix (Schoemann et al., 2001; Veldhuis et al., 1991) coupled with its lower P demand  
267 (Riegman et al., 1992). Moreover, the strong competitive ability of *Phaeocystis* spp. to obtain nitrogen (Riegman,  
268 1995), along with lower concentration of silicate, inhibited the diatom community from blooming as much as in  
269 subset L. Silicate limitation is thought to have resulted in an increase in magnitude and continuity *Phaeocystis*  
270 spp. blooms (Cadée and Hegeman, 1986; Lancelot, 1990; Lancelot et al., 1987). The silicate limitation dually  
271 selected diatom species which are less silicified, such as *Leptocylindrus danicus*, *Chaetoceros danicus* and *Nitzschia*  
272 *longissima* (Hasle et al., 1996). Furthermore, the N-source dependency of diatom silicate competitiveness, which  
273 determines the dominant species of the community (Ruth, 2012), reinforced the idea that *Skeletonema* spp., *T.*  
274 *nitzschioides*, *T. gravida* and *P. seriata* complex are decisive species for *Phaeocystis* spp. blooms.

275 The readjustment of nutrient concentrations rendered more than one resource limiting for the diatoms, resulting  
276 in a biodiversity increase (Hillebrand et al., 2014), as shown with the BV-step analysis (7 to 11 species from  
277 subset L to H). The niche expansion of *Phaeocystis* spp. (Tol: 0.59 and 0.64 for subset L and H respectively)  
278 can be partly explained by a relaxation in biological constraints (Table 2). The possible decrease in diatom  
279 competitive abilities resulted in the 25% of unused available environmental habitat conditions of the *Phaeocystis*  
280 spp. existing fundamental subniche.

#### 281 4.3. Further perspectives:

282 Further investigations on diatom competitive abilities can be done experimentally (Ruth, 2012), and with the  
283 trait-based approach (Litchman and Klausmeier, 2008). The major components of the trait-based approach are  
284 the species' traits, environmental gradients, species' interactions and performance currency, which determines  
285 the species' niche within the community (McGill et al., 2006). In this study, the niche and subniche dynamics  
286 within the overall environmental habitat and subset environmental habitat conditions were studied for the entire  
287 community. Furthermore, the quantification of the biological constraints exerted on *Phaeocystis* spp.'s subniches  
288 was made possible. The direct relationships between traits and the species' response to environmental conditions  
289 (Lavorel and Garnier, 2002) can give us clues on the mechanisms driving community composition. In parallel, the  
290 patterns of functional-trait distribution (Bello, 2009; Weiher et al., 1998) can help explain how the community  
291 functional-traits controls the following *Phaeocystis* spp. bloom. Some methods, which link niche analysis and

292 trait-based approaches already exist. The OMI-GAM analysis (Kleyer et al., 2012) determines species' responses  
293 to environmental conditions using the OMI analysis (Dolédéc et al., 2000), and additionally could explain these  
294 responses using generalized additive models (GAM) with the traits as explanatory variables (Kleyer et al., 2012).  
295 Such analyses can help solve the *Phaeocystis* spp. riddle and other HAB related issues. In future studies, more  
296 precise ecological dynamics models could be built as the diatoms can be split into different functional groups.  
297 Models, such as the MIRO model (Lancelot et al., 2014), which also studies the spring-diatom-*Phaeocystis*  
298 bloom, considers diatoms as a large pool responding homogeneously to nutrient concentrations and/or ratios.  
299 As shown in this study, the mechanism driving the bloom of *Phaeocystis* spp. is multifactorial, suggesting a  
300 greater consideration of diatom diversity, including their respective traits and competitive abilities. Trait-based  
301 understanding of plankton distribution started with the paradox of the plankton (Hutchinson, 1961). Margalef  
302 was the first to understand the balance between the physical and nutritional forces relating to different life forms  
303 of phytoplankton with the classical “mandala” (Margalef, 1978; Margalef et al., 1979). Since then, the concept of  
304 the “mandala” has found its way into predicting HABs (Smayda and Reynolds, 2001) and nowadays incorporates  
305 twelve dimensions (Glibert, 2016). Herein, the environmental trajectory can help predict the high abundance  
306 events of *Phaeocystis* spp. in future “mandala” like models, by using the WitOMI calculations.

## 307 5. Conclusion:

308 The appearance of *Phaeocystis* spp. depends on multiple environmental factors, and moreover, on the preceding  
309 diatom community, which first appear in late winter. Within both habitat subsets, *Phaeocystis* spp. could have  
310 potentially realized a large subniche. The reduction and/or expansion of its subniche mostly depended on the  
311 winter environmental conditions and on the biological constraints. The competitive ability of diatoms appearing  
312 in late-winter are suspected to take part in the biological constraint of the *Phaeocystis* spp. subniche. The  
313 establishment of the leading species in the bloom succession, here *Skeletonema* spp., *Thalassionema nitzschioides*  
314 and *Thalassiosira gravida* seemed to be driven by nutrient concentration. Under non-limiting P environmental  
315 conditions, competition among diatoms for silicate will be N-source dependent. The high concentration of  
316 ammonia allowed a rapid growth and bloom of the later-winter diatoms (*i.e.* *Skeletonema* spp.) (Figure 3E), while  
317 Si limited their bloom magnitude. The effect of winter conditions on the *Phaeocystis* spp. bloom requires further  
318 investigation. The trait-based approach in relation with the community response to changing environmental  
319 conditions could be a promising field for studying the future of Harmful Algae Bloom.

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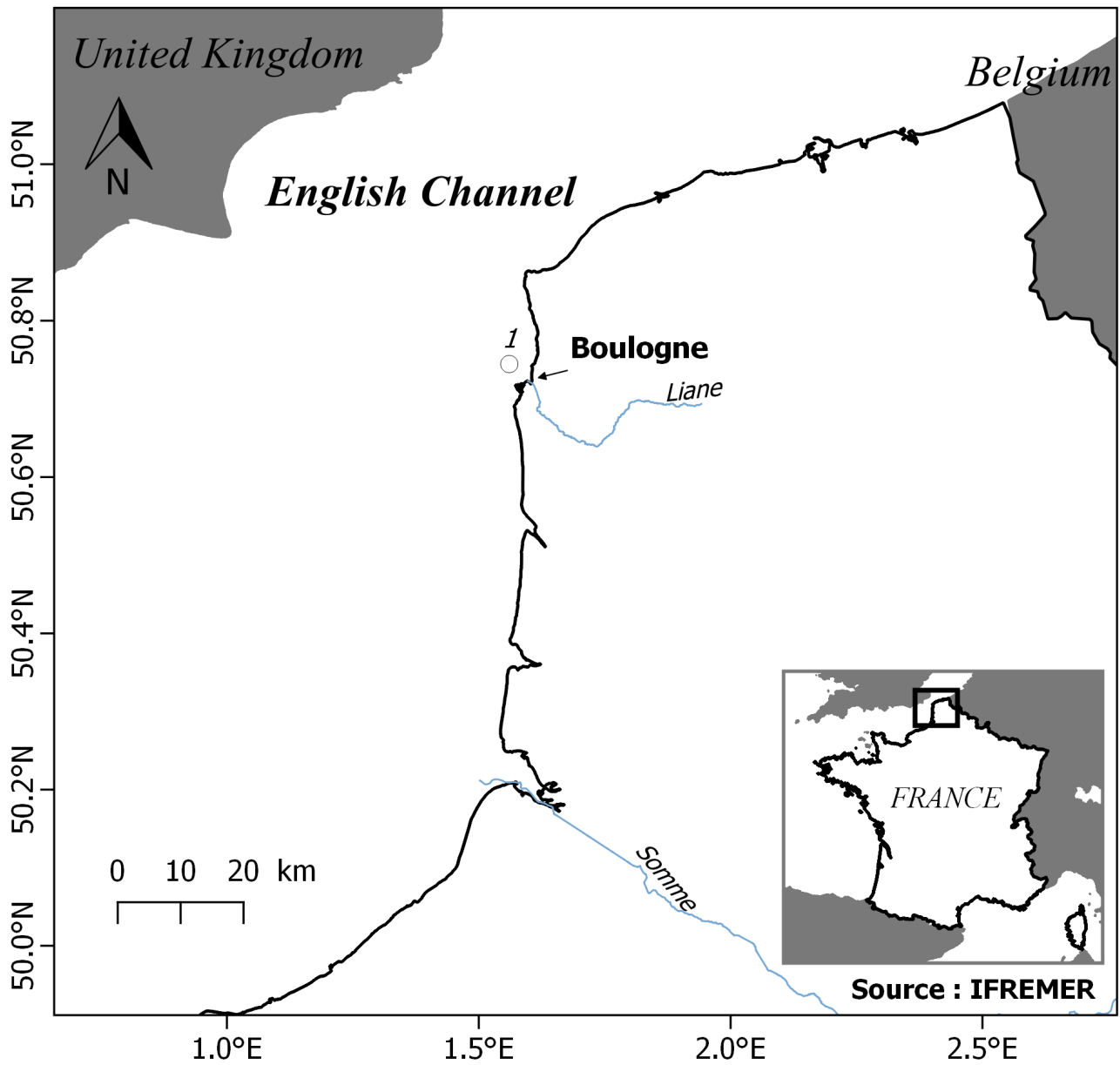


Figure 1: Map of North of France with the main station location of the French REPHY-IFREMER network

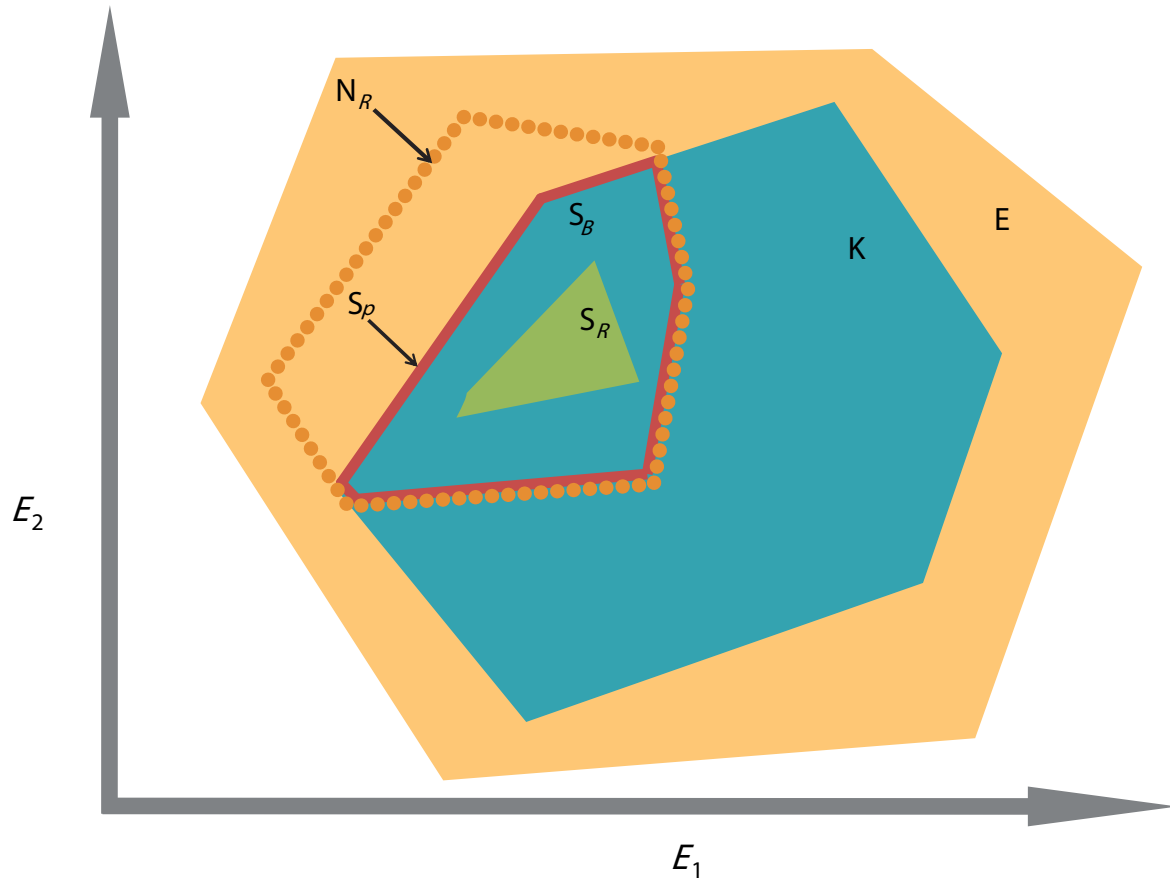


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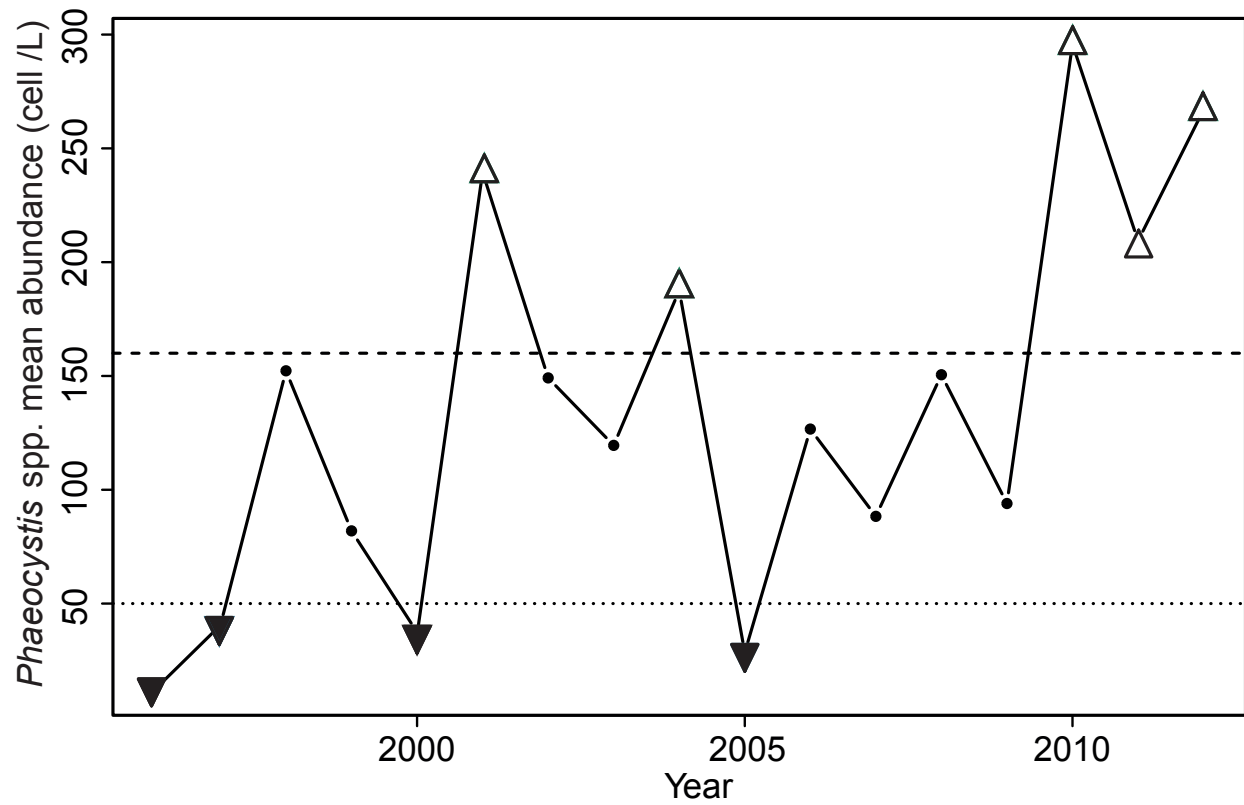


Figure 3: Temporal variation of the annual mean abundance of *Phaeocystis* spp. ( $\text{cell.L}^{-1}$ ) from 1996 to 2012. The dashed line represents the upper threshold ( $160 \text{ cell.L}^{-1}$ ) and the dotted line represents the lower threshold ( $50 \text{ cell.L}^{-1}$ ). Abundance was then divided the abundance in three categories (Low, intermediate, high). Only high (empty triangles) and low (filled triangles) annual mean abundance events were kept for the rest of the study

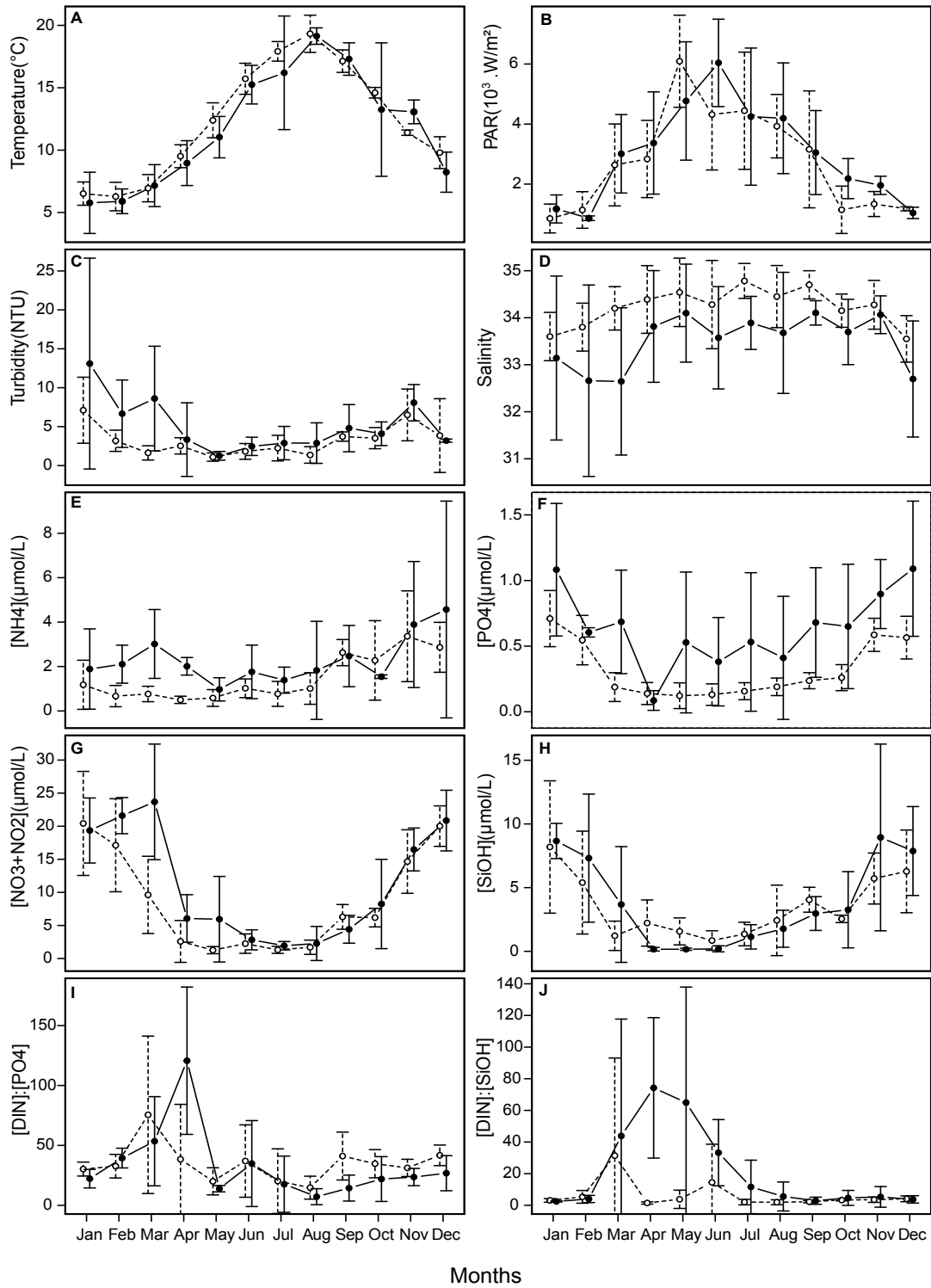


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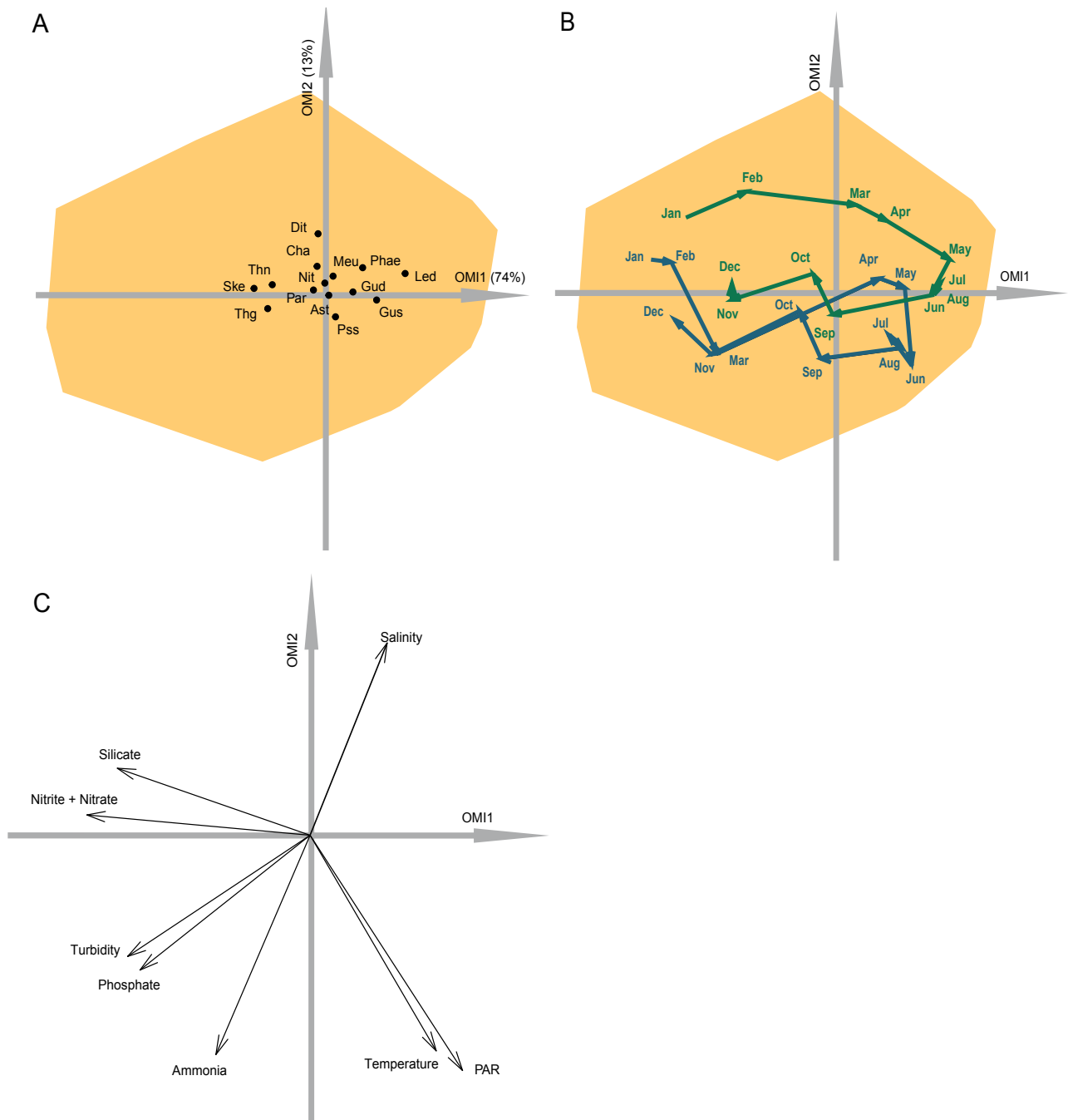


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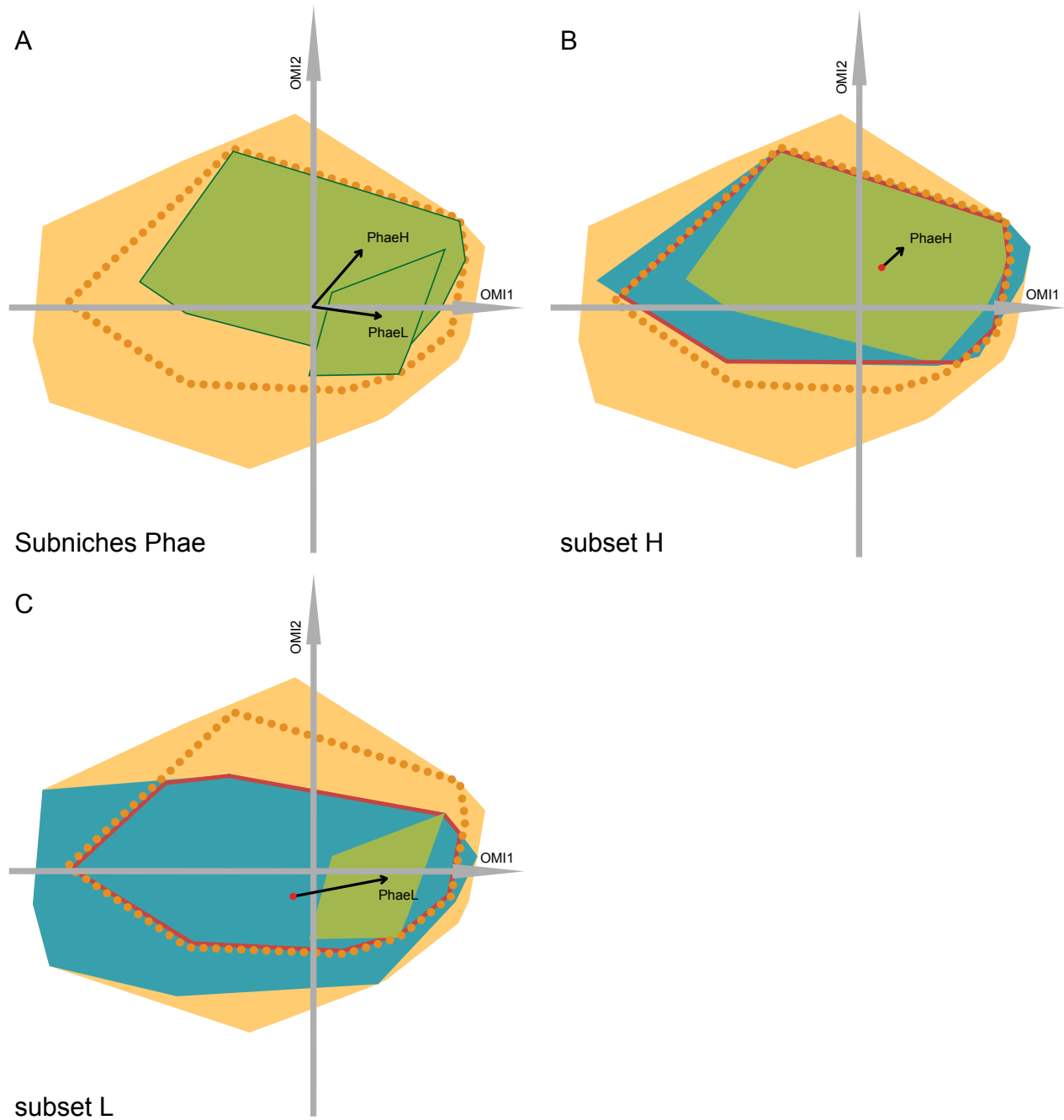


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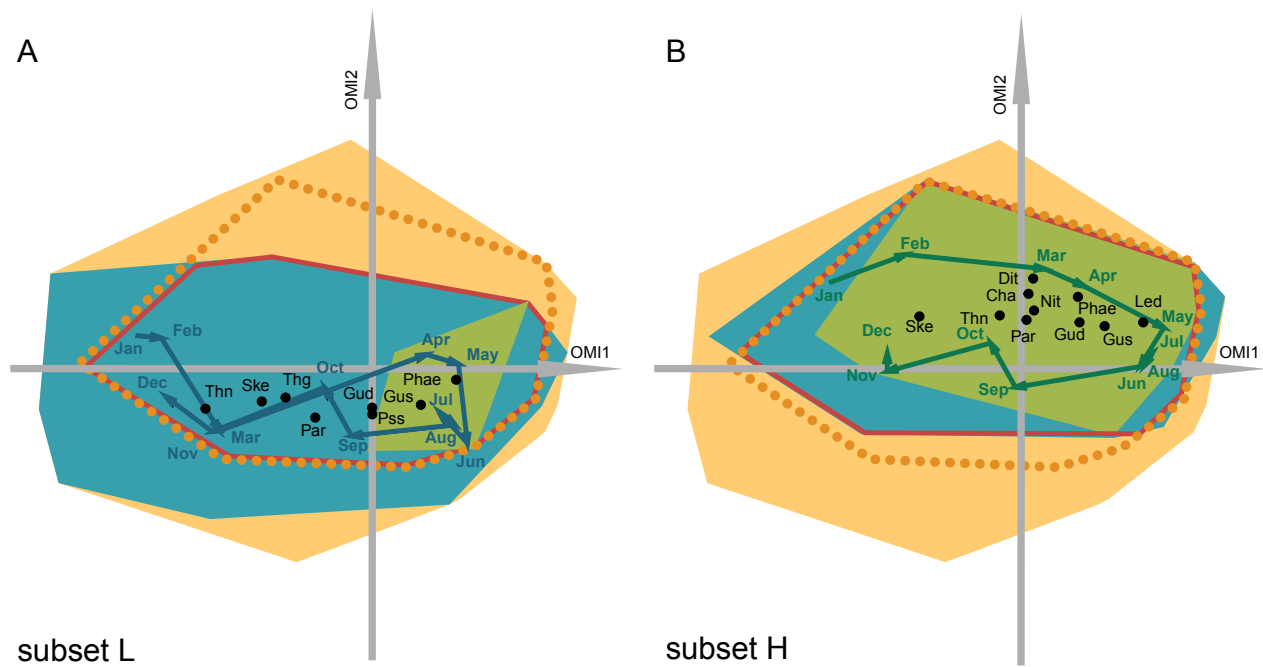


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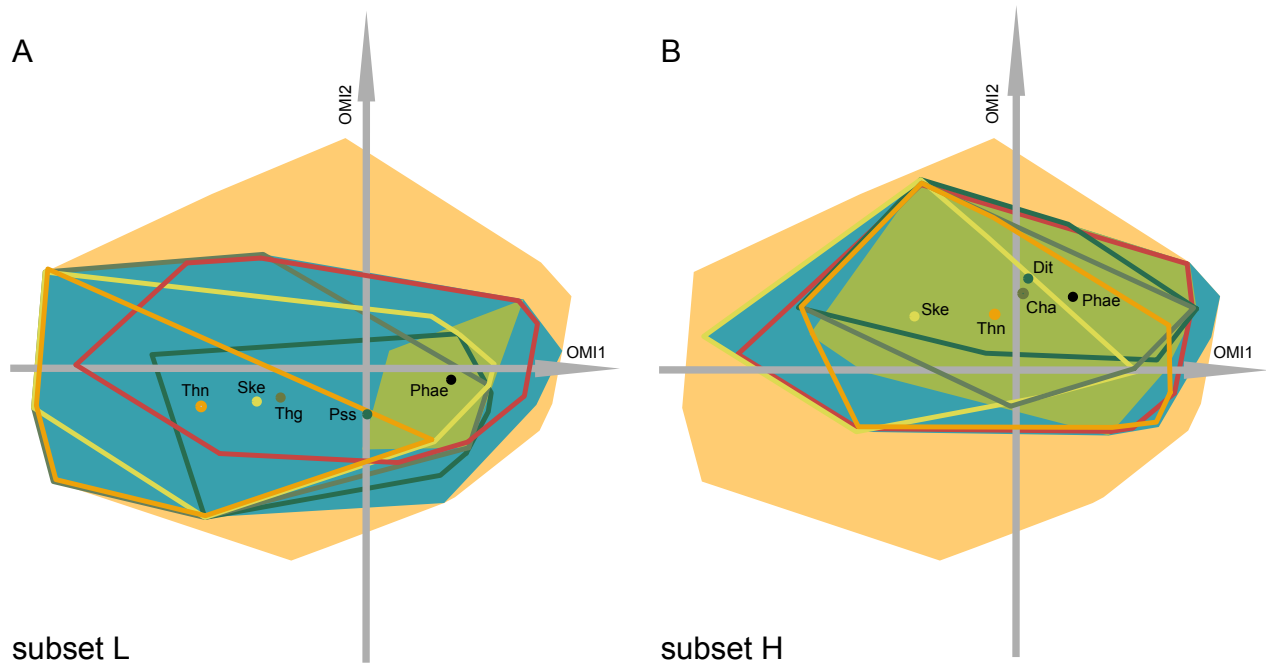


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Table 1: Niche parameters calculated with the OMI analysis for 11 diatoms species and *Phaeocystis* spp. The parameters are the inertia, the marginality (OMI), the tolerance (Tol) and the residual tolerance (Rtol). The  $P$  values were calculated with 1000 permutations, see methods for further details.

| Species                                   | Code | Inertia | OMI  | Tol  | Rtol | $P$    |
|---|------|---------|------|------|------|--------|
| <i>Chaetoceros danicus</i>                | Cha  | 7.09    | 0.36 | 0.98 | 5.76 | 0.03   |
| <i>Ditylum brightwellii</i>               | Dit  | 7.48    | 1.07 | 1.14 | 5.26 | 0.00   |
| <i>Guinardia delicatula</i>               | Gud  | 7.28    | 0.22 | 2.51 | 4.56 | <0.001 |
| <i>Guinardia striata</i>                  | Gus  | 6.65    | 0.79 | 1.62 | 4.24 | <0.001 |
| <i>Leptocylindrus danicus</i>             | Led  | 6.61    | 2.23 | 1.31 | 3.07 | <0.001 |
| <i>Nitzschia longissima</i>               | Nit  | 7.73    | 0.06 | 0.77 | 6.89 | 0.05   |
| <i>Paralia sulcata</i>                    | Par  | 7.82    | 0.09 | 2.24 | 5.49 | 0.00   |
| <i>Pseudo – nitzschia seriata</i> complex | Pss  | 7.25    | 0.20 | 0.96 | 6.09 | 0.01   |
| <i>Skeletonema</i> spp.                   | Ske  | 10.12   | 1.64 | 3.52 | 4.96 | <0.001 |
| <i>Thalassionema nitzschioides</i>        | Thn  | 9.02    | 0.93 | 3.35 | 4.74 | <0.001 |
| <i>Thalassiosira gravida</i>              | Thg  | 9.23    | 1.15 | 3.14 | 4.95 | <0.001 |
| <i>Phaeocystis</i> spp.                   | Phae | 6.58    | 0.83 | 1.36 | 4.39 | <0.001 |

Table 2: Subniche parameters of the 11 diatom species of interest and *Phaeocystis* spp. The marginality (WitOMI), tolerance (TOL) and mean abundance were calculated under the two subsets, L and H. The niche parameters were calculated from  $G$  and  $G_K$ . For code see Table 1. All subniches were significant ( $P \leq 0.001$ ). - not applicable means that the species were absent in one of the two subsets, or not significant with the BV step analysis. For further details see Materials and Methods.

| Code<br>Origin | WitOMI |      |       |      | Tol  |      |       |      | Mean abundance<br>(cells.L <sup>-1</sup> ) |     |
|----------------|--------|------|-------|------|------|------|-------|------|--|-----|
|                | $G$    |      | $G_K$ |      | $G$  |      | $G_K$ |      | L  | H   |
| Data subset    | L      | H    | L     | H    | L    | H    | L     | H    | L  | H   |
| Cha            | -      | 1.34 | -     | 0.31 | -    | 0.56 | -     | 1.95 | -  | 111 |
| Dit            | -      | 1.93 | -     | 0.58 | -    | 0.45 | -     | 1.26 | -  | 100 |
| Gud            | 0.6    | 1.26 | 0.2   | 0.16 | 0.62 | 1.28 | 2.97  | 1.97 | 295  | 298 |
| Gus            | 1.09   | 2.07 | 1.3   | 0.65 | 1.43 | 0.91 | 2.05  | 1.49 | 226  | 222 |
| Led            | -      | 4.11 | -     | 2.05 | -    | 0.61 | -     | 0.65 | -  | 118 |
| Nit            | -      | 0.86 | -     | 0.14 | -    | 0.58 | -     | 2.14 | -  | 268 |
| Par            | 1.48   | 0.63 | 0.52  | 0.16 | 1.73 | 0.45 | 1.68  | 2.85 | 138  | 284 |
| Pss            | 0.83   | -    | 0.28  | -    | 0.6  | -    | 1.69  | -    | 173  | -   |
| Ske            | 3.17   | 2.88 | 1.71  | 3.85 | 3.83 | 2.14 | 3.86  | 2.45 | 206  | 126 |
| Thn            | 6.61   | 0.77 | 4.36  | 0.63 | 2.17 | 1.19 | 2.22  | 3.03 | 163  | 197 |
| Thg            | 2.02   | -    | 0.78  | -    | 3.83 | -    | 4.13  | -    | 139  | -   |
| Phae           | 2.64   | 2.11 | 3.24  | 0.59 | 0.59 | 0.64 | 0.67  | 0.46 | 29   | 237 |