

# On perfect matchings for some bipartite graphs<sup>\*</sup>

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**Abstract.** Inspired by some recent revisitations of the Cantor-Bernstein theorem, in particular its formalizations in ZF carried out via the proof assistant AProS by W. Sieg and P. Walsh, we are carrying out the proof of a related graph-theoretical proposition. Our development is assisted by the proof checker *ÆtnaNova*, and our proof pattern is drawn from Halmos’s classic ‘Naive set theory’. This case-study illustrates the flexibility of a proof environment rooted in Set Theory, which can be bent with equal ease toward declarative and procedural styles of proof.

**Key words** Proof checking, set-based specifications, Zermelo-Fraenkel set theory, connected graphs.

## Introduction

Riding the wave of a revival of interest in the proofs of the Cantor-Bernstein theorem, in short CBT (cf. [7]), and particularly inspired by [14], we have formalized Paul Halmos’s account [6] of Gyula König’s proof [8] of that proposition.

Stated in streamlined terms, the Cantor-Bernstein theorem claims that

|| whenever  $\alpha, \beta$  are injections such that  $\mathbf{range}(\alpha) \subseteq \mathbf{domain}(\beta)$  and  $\mathbf{range}(\beta) \subseteq \mathbf{domain}(\alpha)$ , a one-one correspondence exists between  $\mathbf{domain}(\alpha)$  and  $\mathbf{domain}(\beta)$ .

Proving this amounts to building, out of the given  $\alpha$  and  $\beta$ , an injection  $\gamma$  from  $A = \mathbf{domain}(\alpha)$  onto  $B = \mathbf{domain}(\beta)$ . Without loss of generality, Halmos [6, pp. 88–89] proceeds under the disjointedness assumption  $A \cap B = \emptyset$  — König’s original proof, which is slightly more informal, does not mention this assumption.

The elegance of Halmos’s approach stems from his focusing on bipartite graphs rather than on 1-1 mappings; and we further stress the graph-theoretical nature of his argument by ignoring the orientation of the mappings. Halmos’s argument—we contend—could well be referred to the undirected graph whose (typically infinite) sets of vertices and edges are, respectively:

$$V = A \uplus B \text{ and } E = \{\{x, y\} : \langle x, y \rangle \in \alpha \cup \beta \ \& \ \langle y, x \rangle \notin \alpha \cup \beta\}.$$

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The proof-checker  $\mathcal{A}etnaNova$  [13], also known as *Ref*, is firmly Set Theory oriented<sup>1</sup>. This enables one to try different ways of formulating definitions and claims, with the reward, at times, of discovering proofs that are more straightforward or transparent than long-established ones. For instance, non-cut vertices are, traditionally, defined in terms of paths; thanks to  $\mathcal{A}etnaNova$ , we were able to propose an alternative characterization for them [2] and to ease the proof that every finite and connected claw-free graph admits an extensional acyclic orientation [11, 12].

In this new formal essay, again based on  $\mathcal{A}etnaNova$  and related to graph connectivity, our aim is twofold:

- (1) capture the structural properties of the graph  $G = (V, E)$  resulting from generic injections  $\alpha$  and  $\beta$  in the manner explained above;
- (2) show that any graph enjoying such properties has a *perfect matching*—namely, it has a set  $M$  of edges which is a partition of  $V$ .

In preparation for this task, we also need to

- (3) treat the *connected components* of an arbitrary graph (actually, of any family  $E$  of edges—even an infinite  $E$  whose elements are not doubletons, see Fig. 1); for, the sought matching will result from the disjoint union of perfect matchings, one for each connected component of  $G$ .

$$\begin{aligned}
\text{DisconPartn}(P) &\leftrightarrow_{\text{Def}} \emptyset \notin P \ \& \ (\forall b \in P \mid \bigcup b \cap \bigcup (\bigcup (P \setminus \{b\})) = \emptyset \ \& \\
&\quad (\emptyset \in b \rightarrow b = \{\emptyset\})) \\
\text{ReachCl}(Q, E) &\leftrightarrow_{\text{Def}} (\bigcup Q) \cap \bigcup (E \setminus Q) = \emptyset \\
\text{CoCo}(C, E) &\leftrightarrow_{\text{Def}} \{q \subseteq C \cap E \mid \text{ReachCl}(q, E) \ \& \ q \neq \emptyset\} = \{C\} \\
\text{CoCo}(C, E) &\rightarrow \{q \subseteq C \mid \text{ReachCl}(q, C)\} \subseteq \{\emptyset, C\} \\
\text{CoCo}(C, C) &\leftrightarrow \{q \subseteq C \mid \text{ReachCl}(q, C)\} \subseteq \{\emptyset, C\} \ \& \ C \neq \emptyset \\
R \in E &\rightarrow (\exists c \mid \text{CoCo}(c, E) \ \& \ R \in c) \\
K = \{c \subseteq E \mid \text{CoCo}(c, E)\} &\rightarrow \text{DisconPartn}(K) \ \& \ \bigcup K = E
\end{aligned}$$

**Fig. 1.** Connected components of generic set  $E$ : definitions and properties

The paper is organized as follows: we offer a quick view of the  $\mathcal{A}etnaNova$  proof-specification language through examples related to our case-study in Section 1. Then, after highlighting Halmos’s proof of the Cantor-Bernstein theorem in Section 2, we show how his idea can be adapted to the seemingly different situation related to bipartite graphs. In the conclusions, we relate the contribution of this paper with ongoing studies on the interplay between sets and graphs in formal reasoning within the respective theories. For the sake of completeness, in Appendix A we outline a different proof pattern for the Cantor-Bernstein theorem, closer in spirit to the viewpoint which historically led to its discovery.

<sup>1</sup>  $\mathcal{A}etnaNova$  is available as a service at URL <http://aetnanova.units.it/>, while all of the proof-checking experiments discussed in this paper are available at URL <http://aetnanova.units.it/scenarios/BeyondCantorBernstein>.

## 1 The $\mathcal{A}$ etnaNova system: a panoramic tour

$\mathcal{A}$ etnaNova’s users organize definitions, theorem statements, and proof specifications, in files named *scenarios*<sup>2</sup>, which  $\mathcal{A}$ etnaNova processes in order to establish whether or not they comply with the mathematical standards of rigor built into it. The logical system underlying  $\mathcal{A}$ etnaNova is a variant of the Zermelo-Fraenkel set theory with axioms of foundation and universal choice.

Only two axioms occur in  $\mathcal{A}$ etnaNova explicitly; they are:

- $\mathbf{s}_\infty \neq \emptyset$  &  $(\forall x \in \mathbf{s}_\infty \mid \{x\} \in \mathbf{s}_\infty)$
- $\mathbf{arb}(\emptyset) = \emptyset$  &  $(\forall x \mid x = \emptyset \vee (\mathbf{arb}(x) \in x \ \& \ x \cap \mathbf{arb}(x) = \emptyset))$

The former, involving the special constant  $\mathbf{s}_\infty$ , acts as infinity axiom; the latter characterizes the universal choice operator and embodies von Neumann’s assumption that  $\in$  is a well-founded relationship. The contents of most familiar axioms of ZF are built into the inferential armory of  $\mathcal{A}$ etnaNova, which handles competently many familiar set constructs: the membership and equality relators  $\in$  and  $=$ , the constant  $\emptyset$ , the dyadic operators  $\cap$ ,  $\setminus$ ,  $\cup$ , the “elementary set” constructor  $\{S_1, \dots, S_n\}$ , the pairing construct  $\langle X, Y \rangle$  and the conjugated projections associated with it (see the first three lines of Fig. 6), and a very flexible set abstraction construct (e.g., see [9, pp. 42–45]), of the form

$$\{ \text{set\_term} : \text{iterators} \mid \text{condition} \}.$$

$\mathcal{A}$ etnaNova embodies two kinds of application: when the notation  $f \upharpoonright x$  is used,  $f$  is a *set* (typically a set of pairs) and  $f \upharpoonright x$  denotes the value  $y$  which  $f$  associates with  $x$  and, usually, this is the second component of a pair  $\langle x, y \rangle$  belonging to  $f$ , but  $f \upharpoonright x$  equals  $\emptyset$  for any  $x$  outside the *set domain*( $f$ ); when the notation  $g(x)$  is used—as in  $\mathbf{arb}(\cdot)$ ,  $\mathbf{range}(\cdot)$ , or  $\mathbf{descs}_\Theta(\cdot)$ —,  $g$  denotes a ‘global’ function: to wit, a *proper class* of pairs, whose domain consists of all sets.

$$\begin{aligned} \mathcal{P}(S) &=_{\text{Def}} \{y : y \subseteq S\} \\ \cup S &=_{\text{Def}} \{y : x \in S, y \in x\} \\ \text{Finite}(F) &\leftrightarrow_{\text{Def}} (\forall g \in \mathcal{P}(\mathcal{P}(F)) \setminus \{\emptyset\} \mid (\exists m \mid g \cap \mathcal{P}(m) = \{m\})) \\ \text{Partition}(P) &\leftrightarrow_{\text{Def}} (\forall b \in P \mid \{k \in P \mid k \cap b \neq \emptyset\} = \{b\}) \\ \text{next}(I) &=_{\text{Def}} I \cup \{I\} \\ \text{nat}(I, S) &=_{\text{Def}} \mathbf{arb}(\{\text{next}(\text{nat}(j, S)) : j \in I \mid I = \{j\} \cap S\}) \\ \mathbb{N} &=_{\text{Def}} \{\text{nat}(i, \mathbf{s}_\infty) : i \in \mathbf{s}_\infty\} \\ \text{Even}(M) &\leftrightarrow_{\text{Def}} M = \emptyset \vee (\exists i \in M \mid \text{Even}(i) \ \& \ \text{next}(\text{next}(i)) = M) \\ \text{ChSet}(C, T) &\leftrightarrow_{\text{Def}} \{\{x\} : x \in C\} = \{C \cap b : b \in T\} \\ \text{PeMa}(M, E) &\leftrightarrow_{\text{Def}} M \subseteq E \ \& \ \cup E \subseteq \cup M \ \& \ (\forall h \in M, k \in M \setminus \{h\} \mid h \cap k = \emptyset) \end{aligned}$$

**Fig. 2.**  $\mathcal{A}$ etnaNova definitions can rely on  $\in$ -recursion

<sup>2</sup> Sample scenarios can be found at <http://aetnanova.units.it/scenarios/>.

Three  $\mathcal{A}EtnaNova$ -specified definitions have already been shown on the top of Fig. 1; many more are listed in Fig. 2 and Fig. 6; all of these play a role in the ‘proof-pearl’ under development which we are discussing here. Note that recursive specifications such as the definition of the function  $\text{nat}(I, S)$  (‘ $I$ -th natural number relative to the set  $S$  of indices’) and the definition of the property  $\text{Even}(M)$  (‘ $M$  is an even number’) make sense thanks to the assumed well-foundedness of  $\in$ .

An example of an  $\mathcal{A}EtnaNova$ -specified proof is shown in Fig. 3. As one sees, proofs are formed by two-portion lines: the second portion of each line, separated by the sign  $\Rightarrow$  from the first and at times carrying an identifying label of the form  $\text{Statxxx}$ , is the *assertion* being derived; the first portion is the *hint*, referencing the basic inference mechanism which enables that derivation in  $\mathcal{A}EtnaNova$ . Occasionally an assertion is represented laconically by the keyword **AUTO**, when no ambiguity or obscurity can ensue from this.

Theorem  $\text{ch}_0 : [\text{Every partition has a choice set}] \text{Partition}(P) \rightarrow (\exists c \mid \text{ChSet}(c, P))$ .  
Proof :  $\text{Suppose\_not}(p_0) \Rightarrow \text{Stat0} : (\neg \exists c \mid \text{ChSet}(c, p_0)) \ \& \ \text{Partition}(p_0)$   
|| For, suppose that  $p_0$  makes a counterexample. In particular, the inequality  $\{\{\mathbf{arb}(b) : b \in p_0\} \neq \{\{\mathbf{arb}(b) : b \in p_0\} \cap b : b \in p_0\}\}$  must hold, in view of the definition of  $\text{ChSet}(c, p_0)$ .  
||  $\{\mathbf{arb}(b) : b \in p_0\} \leftrightarrow \text{Stat0} \Rightarrow \neg \text{ChSet}(\{\mathbf{arb}(b) : b \in p_0\}, p_0)$   
||  $\text{Use\_def}(\text{ChSet}) \Rightarrow \{\{x\} : x \in \{\mathbf{arb}(b) : b \in p_0\}\} \neq \{\{\mathbf{arb}(b) : b \in p_0\} \cap b : b \in p_0\}$   
||  $\text{SIMPLF} \Rightarrow \text{Stat1} : \{\{\mathbf{arb}(b) : b \in p_0\} \neq \{\{\mathbf{arb}(b) : b \in p_0\} \cap b : b \in p_0\}\}$   
|| Therefore, some block  $b_0$  of the partition  $p_0$  exists which witnesses the said inequality.  
|| Since blocks are non-null,  $\mathbf{arb}(b_0) \in b_0$ .  
||  $\text{Use\_def}(\text{Partition}) \Rightarrow \text{Stat2} : (\forall b \in p_0 \mid \{k \in p_0 \mid k \cap b \neq \emptyset\} = \{b\})$   
||  $b_0 \leftrightarrow \text{Stat1} \Rightarrow \text{Stat3} : \{\mathbf{arb}(b_0)\} \neq (\{\mathbf{arb}(b) : b \in p_0\} \cap b_0) \ \& \ b_0 \in p_0$   
||  $b_0 \leftrightarrow \text{Stat2}(\text{Stat2}^*) \Rightarrow \text{Stat4} : \{k \in p_0 \mid k \cap b_0 \neq \emptyset\} = \{b_0\}$   
|| Consequently,  $\mathbf{arb}(b_0) \in \{\mathbf{arb}(b) : b \in p_0\} \cap b_0$  holds. This enables simplification of the inequality  $\{\mathbf{arb}(b_0)\} \neq \{\mathbf{arb}(b) : b \in p_0\} \cap b_0$  into  $\{\mathbf{arb}(b) : b \in p_0\} \cap b_0 \not\subseteq \{\mathbf{arb}(b_0)\}$ ; therefore, an  $a_0$  other than  $\mathbf{arb}(b_0)$  belongs to both of  $b_0$  and  $\{\mathbf{arb}(b) : b \in p_0\}$ .  
||  $\text{Suppose} \Rightarrow \mathbf{arb}(b_0) \notin (\{\mathbf{arb}(b) : b \in p_0\} \cap b_0)$   
||  $k_0 \leftrightarrow \text{Stat4}(\text{Stat4}^*) \Rightarrow \text{Stat5} : \mathbf{arb}(b_0) \notin \{\mathbf{arb}(b) : b \in p_0\}$   
||  $b_0 \leftrightarrow \text{Stat5} \Rightarrow \text{AUTO}$   
||  $(\text{Stat3}^*)\text{Discharge} \Rightarrow \text{AUTO}$   
||  $a_0 \leftrightarrow \text{Stat3}(\text{Stat3}^*) \Rightarrow \text{Stat6} : a_0 \in \{\mathbf{arb}(b) : b \in p_0\} \ \& \ a_0 \in b_0 \ \& \ a_0 \neq \mathbf{arb}(b_0)$   
|| Such an  $a_0$  can be rewritten as  $\mathbf{arb}(b_1)$  for some  $b_1$  other than  $b_0$  in  $p_0$ , but this contradicts the fact that any two blocks in  $p_0$  are disjoint.  
||  $b_1 \leftrightarrow \text{Stat6}(\text{Stat6}, \text{Stat4}) \Rightarrow \text{Stat7} : b_1 \notin \{k \in p_0 \mid k \cap b_0 \neq \emptyset\} \ \& \ b_1 \in p_0 \ \& \ a_0 = \mathbf{arb}(b_1)$   
||  $b_1 \leftrightarrow \text{Stat2}(\text{Stat7}^*) \Rightarrow \text{Stat8} : b_1 \in \{k \in p_0 \mid k \cap b_1 \neq \emptyset\}$   
||  $(\ ) \leftrightarrow \text{Stat8}(\text{Stat7}) \Rightarrow a_0 \in b_1$   
||  $b_1 \leftrightarrow \text{Stat7} \Rightarrow \text{AUTO}$   
||  $(\text{Stat6}^*)\text{Discharge} \Rightarrow \text{QED}$

**Fig. 3.** Proof, carried out with  $\mathcal{A}EtnaNova$ , of the controversial Zermelo’s principle

$\mathcal{A}EtnaNova$  includes an important construct, named THEORY (cf. [10] and [13, pp.19–25]), designed to support reusability of proofware components.  $\mathcal{A}EtnaNova$ 's THEORIES are akin to a mechanism for parameterized specifications available in the Clear language [1]; in a sense, they resemble procedures of a programming language. Typically, a THEORY has formal parameters which get bound to actual parameters when it gets applied; in return, the THEORY will supply useful information. Actual input parameters must satisfy a conjunction of statements, called THEORY *assumptions*.

Besides providing theorems of which it holds the proofs, a THEORY has the ability to instantiate special variables (whose names are subscripted with the  $\Theta$  sign), which play the role of output parameters and bear special relationships with the input parameters. Two examples of  $\mathcal{A}EtnaNova$  THEORY appear in Fig. 4. THEORY *reachability* has two parameters: a property  $V$  of sets and a dyadic relation  $E$  over sets; its assumption requires that for every set  $x$  enjoying the property  $V(x)$ , the collection of all sets such that  $E(x, y)$  holds forms a set (not a proper class).

<pre> THEORY reachability(V(X), E(X, Y))   (∀x   V(x) → (∃c, ∀y   E(x, y) &amp; V(y) → y ∈ c)) ⇒(descs<sub>Θ</sub>)   (∀s, x, y   s ⊆ descs<sub>Θ</sub>(s) &amp;     (x ∈ descs<sub>Θ</sub>(s) &amp; V(x) &amp; V(y) &amp; E(x, y) → y ∈ descs<sub>Θ</sub>(s)))   (∀y, x, z   y ∈ descs<sub>Θ</sub>({x}) &amp; z ∈ descs<sub>Θ</sub>({y}) → z ∈ descs<sub>Θ</sub>({y}))   (∀s, t   s ⊆ t &amp; (∀x, y   x ∈ t &amp; V(x) &amp; V(y) &amp; E(x, y) → y ∈ t) →     descs<sub>Θ</sub>(s) ⊆ t) END reachability </pre>
--

<pre> THEORY connComp(H)   ∅ ≠ H ⇒(th<sub>Θ</sub>, cc<sub>Θ</sub>)   (∀i, r   th<sub>Θ</sub>(i, r) = if i = ∅ then { if r ∈ H then r else arb(H) fi } else     { w : j ∈ i, u ∈ th(j, r), w ∈ H   i = j ∪ {j} &amp; u ∩ w ≠ ∅ } fi)   (∀r   cc<sub>Θ</sub>(r) = ∪ {th(i, r) : i ∈ ℕ})   (∀r   r ∈ H → CoCo(cc<sub>Θ</sub>(r), H)) END connComp </pre>
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**Fig. 4.** Reachability in a ‘big graph’ and connected components of a ‘small’ hypergraph

This THEORY returns a function,  $descs_{\Theta}$ , that sends every set  $s$  into the sets of its ‘E-descendants’; that is,  $descs_{\Theta}(s)$  is the set of all sets  $y$  such that a finite sequence  $x_0, \dots, x_n$  exists satisfying the conditions  $x_0 \in s$ ,  $y = x_n$ , and  $E(x_{i-1}, x_i)$  for  $i = 1, \dots, n$ . The three statements appearing below the assumption of *reachability* in Fig. 4 are theorems, derived once and for all by the proof

developer inside this THEORY which, from then on, can be applied to any pair  $V(x)$ ,  $E(x, y)$  consisting of a property and a dyadic relation.

The other THEORY shown in Fig. 4, namely `connComp`, can be applied to any nonnull set  $\mathbf{H}$ . For any given element  $r$  of  $\mathbf{H}$ , it returns the stages  $\text{th}_\Theta(i, r)$  of an inductive construction of the unique set  $c = \text{cc}_\Theta(r)$  such that  $\text{CoCo}(c, \mathbf{H}) \ \& \ r \in c$  holds. It can be exploited to ascertain, in a somewhat procedural way, the last two statements of Fig. 1. It should be noted, though, that those claims can be proved in a totally different fashion, by resorting to Zorn’s lemma (see Fig. 5 and [13, pp. 398–405]) instead of to natural numbers.

$$\begin{aligned} \{x \subseteq T \mid (\forall u \in x, v \in x, z \in T \mid (u \supseteq v \vee v \supseteq u) \ \& \ (\exists y \in x \mid z \not\supseteq y))\} = \emptyset &\longrightarrow \\ (\exists m \mid \{x \in T \mid x \supseteq m\} = \{m\}) & \\ \{p \subseteq S \mid \{x \in \cup S \mid (\forall y \in p \mid x \in y)\} \notin S\} = \emptyset \ \& \ U \in S &\longrightarrow \\ (\exists w \subseteq U \mid \{x \in S \mid w \supseteq x\} = \{w\}) & \end{aligned}$$

**Fig. 5.** Zorn’s lemma and one of its corollaries

The user is referred to [11, Sec. 3] for a crash course on `ÆtnaNova`, and to [13] for a much wider introduction to this proof-verifier and its underlying logic. A quick comparison of this system with other set-oriented proof-assistants can be found at [11, Sec. 6]; moreover, [10, Sec. 6] carries out a comparison of `ÆtnaNova`’s THEORIES with various related modularization constructs available, in particular, in the OBJ family of languages (see [5]) and in the Interactive Mathematical Proof System (IMPS) described in [4].

## 2 König-Halmos’s proof of the Cantor-Bernstein theorem

*J. König’s proof certainly merited Poincaré’s attention. It brought a new gestalt to CBT proofs which had “remarkable generalizations” . . . in new contexts that could not have been foreseen by either J. König or Poincaré. . . . J. König’s son, D. König, . . . leveraged on his father’s 1906 gestalt, to produce results in set theory, graph theory, and other branches of mathematics.*

(Hinkis [7, pp. 217–218])

Given injections  $\alpha, \beta$  satisfying the constraints stated in the Introduction and echoed by the assumptions of the THEORY `cbh` in Fig. 7, consider the *digraph* whose sets of vertices and arcs are, respectively, the disjoint union  $V = A \uplus B$  of the domain  $A$  of  $\alpha$  with the domain  $B$  of  $\beta$ , and

$$E' = \{ \langle w, v \rangle : w \in V, v \in V \mid \langle v, w \rangle \in \alpha \cup \beta \}.$$

In connection with this digraph  $D = (V, E')$ , consider the *ancestry* function  $@$  sending each  $W \subseteq V$  into the set  $@W$  of all vertices  $u$  such that there is a path (of length  $\geq 0$ ) leading from a vertex  $w \in W$  to  $u$  in  $D$ . It should be clear that

this function can be obtained in  $\mathcal{A}t\eta naNova$  by actualizing the parameters of the THEORY reachability shown in Fig. 4 as follows:

$$\text{APPLY}(\text{descs}_{\Theta} : @) \text{ reachability}(\mathbb{V}(X) \mapsto X \in A \cup B, E(X, Y) \mapsto \langle Y, X \rangle \in \alpha \cup \beta).$$

(Since  $E$  reverses all pairs forming  $\alpha \cup \beta$ , it seems natural to us to regard the elements of  $@\{x\}$  as ancestors, instead of as descendants, of  $x$ .)

Ordered pair according to Kuratowski  $\langle X, Y \rangle =_{\text{Def}} \{\{X\}, \{X, Y\}\}$   
 $1^{\text{st}}$  of an ordered pair  $P^{[1]} =_{\text{Def}} \text{arb}\left(\left\{x : s \in P, x \in s \mid s = \{x\}\right\}\right)$   
 $2^{\text{nd}}$  of an ordered pair  $P^{[2]} =_{\text{Def}} \text{arb}\left(\left\{y : d \in P, y \in d \mid P = \{\{y\}\} \vee d \setminus \{y\} \in P\right\}\right)$   
Map domain, i.e. set of first components of pairs in map  $\text{domain}(F) =_{\text{Def}} \{p^{[1]} : p \in F\}$   
Map restriction  $F|_A =_{\text{Def}} \{p \in F \mid p^{[1]} \in A\}$   
Image, i.e. value, of single-valued function  $F|Y =_{\text{Def}} \text{arb}(F|_{\{Y\}})^{[2]}$   
Map range, i.e. set of second components of pairs in map  $\text{range}(F) =_{\text{Def}} \{p^{[2]} : p \in F\}$   
Map predicate  $\text{Is\_map}(F) \leftrightarrow_{\text{Def}} (\forall p \in F \mid p = \langle p^{[1]}, p^{[2]} \rangle)$   
Single-valuedness predicate  $\text{Svm}(F) \leftrightarrow_{\text{Def}} (\forall p \in F, q \in F \mid p^{[1]} = q^{[1]} \rightarrow p = q) \ \&$   
Injection  $1-1(F) \leftrightarrow_{\text{Def}} \text{Svm}(F) \ \& \ (\forall p \in F, q \in F \mid p^{[2]} = q^{[2]} \rightarrow p = q)$   
Map product  $G \circ F =_{\text{Def}} \{\langle p^{[1]}, q^{[2]} \rangle : p \in F, q \in G \mid p^{[2]} = q^{[1]}\}$   
Inverse map  $F^{\smile} =_{\text{Def}} \{\langle p^{[2]}, p^{[1]} \rangle : p \in F\}$

**Fig. 6.** Definitions related to pairs, maps, single-valued maps, and one-one maps

<p>THEORY <math>\text{cbh}(\alpha, \beta)</math> -- - The Cantor-Bernstein Theorem proved <i>à la</i> König-Halmos  <math>1-1(\alpha) \ \&amp; \ 1-1(\beta)</math>  <math>\text{range}(\alpha) \subseteq \text{domain}(\beta) \ \&amp; \ \text{range}(\beta) \subseteq \text{domain}(\alpha)</math>  <math>\text{domain}(\alpha) \cap \text{domain}(\beta) = \emptyset</math>  <math>\Rightarrow (\gamma_{\Theta})</math>  <math>1-1(\gamma_{\Theta}) \ \&amp; \ \text{domain}(\gamma_{\Theta}) = \text{domain}(\alpha) \ \&amp; \ \text{range}(\gamma_{\Theta}) = \text{domain}(\beta)</math>  END <math>\text{cbh}</math></p>
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$(1-1(F) \ \& \ 1-1(G) \ \& \ \text{range}(F) \subseteq \text{domain}(G) \ \& \ \text{range}(G) \subseteq \text{domain}(F)) \rightarrow$ $(\exists h \mid 1-1(h) \ \& \ \text{domain}(h) = \text{domain}(F) \ \& \ \text{range}(h) = \text{domain}(G))$
--

**Fig. 7.** The Cantor-Bernstein theorem specified first as a THEORY, then as a formula

As will turn out, the sought injection of  $A$  onto  $B$  is the relationship

$$\gamma = \{ \langle x, \alpha \upharpoonright x \rangle : x \in A \mid B \cap @ \{x\} \subseteq \mathbf{range}(\alpha) \} \cup \\ \{ \langle \beta \upharpoonright y, y \rangle : y \in B \mid B \cap @ \{y\} \not\subseteq \mathbf{range}(\alpha) \}.$$

Here is the heuristic idea lying behind this choice of  $\gamma$ , treated in pedagogical terms. If an element  $y_0$  of  $B$  does not equal  $\alpha \upharpoonright x$  for any  $x \in A$ , in order to make it an  $\alpha$ -image under the guidance of  $\beta$ , we would like to modify  $\alpha$  by setting  $\alpha := \alpha \cup \{ \langle \beta \upharpoonright y_0, y_0 \rangle \}$ ; such a naive readjustment would create a collision with the pre-existing value  $\alpha \upharpoonright \beta \upharpoonright y_0$ , though, causing  $\alpha$  to cease being single-valued. It hence seems that the right retouch to be made to  $\alpha$  is, rather:  $\alpha := \alpha \setminus \{ \langle \beta \upharpoonright y_0, \alpha \upharpoonright \beta \upharpoonright y_0 \rangle \} \cup \{ \langle \beta \upharpoonright y_0, y_0 \rangle \}$ . But, then, the previous  $y_1 = \alpha \upharpoonright \beta \upharpoonright y_0$  will no longer be an  $\alpha$ -image; hence, in order to fix the situation, we are to proceed in analogy with our previous move: inside  $\alpha$ , we will now replace the pair  $\langle \beta \upharpoonright y_1, \alpha \upharpoonright \beta \upharpoonright y_1 \rangle$  by  $\langle \beta \upharpoonright y_1, y_1 \rangle$ , etc. Ultimately, fix after fix, we will assign a new image to each element  $x_i = \beta \upharpoonright y_i$  of  $A$  which originally had  $y_0$  in its ancestry: initially  $\alpha$  sent  $x_i$  to  $\alpha \upharpoonright x_i = y_{i+1}$ , but at the end of the replacements its image will turn out to be  $y_i$ . The sequence of replacements described so far for a single  $y_0 \in B_* = B \setminus \{ \alpha \upharpoonright x : x \in A \}$  should be developed likewise for all others; consequently, at the end of the overall processing, the original edges  $\langle y, \beta \upharpoonright y \rangle$  with  $B_* \cap @ \{y\} \neq \emptyset$  will turn out to be reversed, and the corresponding edges  $\langle \beta \upharpoonright y, \alpha \upharpoonright \beta \upharpoonright y \rangle$  withdrawn, precisely in the manner described in the definition of  $\gamma$ .

In a formal check that the said  $\gamma$  meets our desiderata, the key steps are:

- (1)  $(\forall y \in B \mid @ \{ \beta \upharpoonright y \} = \{ \beta \upharpoonright y \} \cup @ \{ y \} )$ ;
- (2)  $(\forall x \in A \mid @ \{ \alpha \upharpoonright x \} = \{ \alpha \upharpoonright x \} \cup @ \{ x \} )$ ;
- (3)  $\{ x \in A \mid B \cap @ \{ x \} \neq \emptyset \} \subseteq \mathbf{range}(\beta)$ ;
- (4)  $\{ y \in B \mid B \cap @ \{ y \} \subseteq \mathbf{range}(\alpha) \} \subseteq \mathbf{range}(\alpha)$ ;
- (5)  $\text{Svm}(\{ \langle \beta \upharpoonright y, y \rangle : y \in B \mid B \cap @ \{ y \} \not\subseteq \mathbf{range}(\alpha) \})$ ;
- (6)  $1-1(\{ \langle \beta \upharpoonright y, y \rangle : y \in B \mid B \cap @ \{ y \} \not\subseteq \mathbf{range}(\alpha) \})$ ;
- (7)  $\text{Svm}(\{ \langle x, \alpha \upharpoonright x \rangle : x \in A \mid B \cap @ \{ x \} \subseteq \mathbf{range}(\alpha) \}) \ \& \ \{ \langle x, \alpha \upharpoonright x \rangle : x \in A \mid B \cap @ \{ x \} \subseteq \mathbf{range}(\alpha) \} \subseteq \alpha$ ;
- (8)  $1-1(\{ \langle x, \alpha \upharpoonright x \rangle : x \in A \mid B \cap @ \{ x \} \subseteq \mathbf{range}(\alpha) \})$ ;
- (9)  $1-1(\gamma)$ ;
- (10)  $\text{domain}(\gamma) = A$ ;
- (11)  $\text{range}(\gamma) = B$ .

Next we want to get rid of the assumption—inherent in what precedes—that  $A \cap B = \emptyset$ , so as to prove the Cantor-Bernstein theorem in its full extent, to wit:

$$\left( \begin{array}{l} 1-1(F) \ \& \ 1-1(G) \quad \& \\ \mathbf{range}(F) \subseteq \mathbf{domain}(G) \ \& \\ \mathbf{range}(G) \subseteq \mathbf{domain}(F) \end{array} \right) \rightarrow \exists h \left( \begin{array}{l} 1-1(h) \quad \& \\ \mathbf{domain}(h) = \mathbf{domain}(F) \ \& \\ \mathbf{range}(h) = \mathbf{domain}(G) \end{array} \right).$$

Under the new less constraining hypothesis, we put  $A_* = \mathbf{domain}(F)$ ,  $B = \mathbf{domain}(G)$ , and  $A = \{ x \cup \{ A_* \cup B \} : x \in A_* \}$ ; thus,

$$E = \{ \langle x \cup \{ A_* \cup B \}, x \rangle : x \in A_* \}$$



turns out to be an injection with  $\mathbf{range}(E) = A_*$  and  $\mathbf{domain}(E) = A$  disjoint from  $B$ . Then we take  $\alpha = F \circ E = \{ \langle x \cup \{A_* \cup B\}, F \upharpoonright x \rangle : x \in A_* \}$  and  $\beta = E^\smile \circ G = \{ \langle y, (G \upharpoonright y) \cup \{A_* \cup B\} \rangle : y \in B \}$ , so that an injection  $\gamma$  with  $\mathbf{domain}(\gamma) = A$ ,  $\mathbf{range}(\gamma) = B$  can be singled out on the grounds of what precedes. The sought  $h$  is just:  $h = \gamma \circ E^\smile = \{ \langle x, \gamma \upharpoonright (x \cup \{A_* \cup B\}) \rangle : x \in A_* \}$ .

An *ÆtnaNova* scenario developed from the bare rudiments of set theory and containing the above-outlined proof of the Cantor-Bernstein theorem is available at URL <http://aetnanova.units.it/scenarios/BeyondCantorBernstein/>. This scenario contains 13 definitions and 48 theorems, organized in 5 THEORYS. The overall number of proof lines is 680, there are only four proofs exceeding the length of 24 lines, and processing the entire scenario takes less than 5 seconds.

The said scenario could be developed rather quickly (namely, in about three weeks), because most of the needed preparatory lemmas had been developed long before: in particular, we could take advantage of the availability of the reachability THEORY shown in the upper part of Fig. 4 (cf. [13, pp. 378–386]); roughly, only one third of the proofs was new. The situation with the extension that will be discussed next is different; we have not yet formalized all details, but devoted much time in finding the best usable definitions (e.g., see the definition of  $\mathbf{CoCo}(\cdot, \cdot)$  in Fig. 1 and the ones of  $\mathbf{ChSet}(\cdot, \cdot)$  and  $\mathbf{PeMa}(\cdot, \cdot)$  in Fig. 2), as well as in properly formulating a graph-theoretical counterpart of the Cantor-Bernstein theorem. We feel that we are now at the end of the design phase.

### 3 Halmos’s proof pattern adapted to special graphs

As announced in item (1) of the Introduction, we want to capture the structural properties of the graph induced (in the manner explained there) by a pair  $\alpha, \beta$  of domain-disjoint injections such that  $\mathbf{range}(\alpha) \subseteq \mathbf{domain}(\beta)$  and  $\mathbf{range}(\beta) \subseteq \mathbf{domain}(\alpha)$ . Fig. 8 shows the outcome of this elicitation task, formalized as an *ÆtnaNova*’s THEORY.

When the *ÆtnaNova*’s THEORY  $\mathbf{graphCBH}$  shown in Fig. 9 gets applied, the set of edges of a graph induced by injections  $\alpha, \beta$  is provided as parameter  $\mathbf{E}$ : we can focus on this set alone, taking it for granted that the set  $\mathbf{V}$  of vertices equals  $\bigcup \mathbf{E}$ . The perfect matching constructed inside this THEORY is returned via  $\mathbf{pm}_\Theta$ . The assumptions to which  $\mathbf{E}$  is subject match the conclusions of the previous THEORY  $\mathbf{bij\_bip}$ . Besides requiring that no vertex has more than two incident edges, those assumptions yield that the connected components of  $\mathbf{E}$  are vertex-disjoint paths of three kinds:

- a) cycles involving an *even* number of edges—each finite component is in fact required to have a choice set  $ch$ ;
- b) infinite simple paths endowed with one endpoint;
- c) infinite simple paths devoid of endpoints.

It should be intuitively clear that paths of kind b) have exactly one perfect matching, whereas paths of kinds a) and c) have two; this indicates the rationale

```

THEORY bij_bip( $\alpha, \beta$ )
  1-1( $\alpha$ ) & 1-1( $\beta$ )
  range( $\alpha$ )  $\subseteq$  domain( $\beta$ ) & range( $\beta$ )  $\subseteq$  domain( $\alpha$ )
  domain( $\alpha$ )  $\cap$  domain( $\beta$ ) =  $\emptyset$ 
 $\implies$ (ecbh $_{\emptyset}$ , acbh $_{\emptyset}$ )
  ecbh $_{\emptyset}$  =  $\{ \{q^{[1]}, q^{[2]}\} : q \in \alpha \cup \beta \mid \langle q^{[2]}, q^{[1]} \rangle \notin \alpha \cup \beta \}$ 
  acbh $_{\emptyset}$  = domain( $\alpha$ )
  ( $\forall q \in$  ecbh $_{\emptyset} \mid (\exists x, y \mid q \cap$  acbh $_{\emptyset}$  =  $\{x\}$  &  $q \setminus$  acbh $_{\emptyset}$  =  $\{y\}$ ))
  ( $\forall q \in$  ecbh $_{\emptyset}, h \in$  ecbh $_{\emptyset}, k \in$  ecbh $_{\emptyset} \mid h \neq q$  &  $k \neq q$  &  $k \neq h \rightarrow q \cap h \cap k = \emptyset$ )
  ( $\forall p \subseteq$  ecbh $_{\emptyset} \mid$  ReachCl( $p, \text{ecbh}_{\emptyset}$ ) & Finite( $p$ )  $\rightarrow$ 
    ( $\forall h \in p \mid h \setminus \cup(p \setminus \{h\}) = \emptyset$ ) & ( $\exists ch \mid$  ChSet( $ch, p$ ))) )
END bij_bip

```

**Fig. 8.** Properties of the undirected graph induced by two injections

```

THEORY graphCBH( $E$ )
  ( $\forall q \in E, h \in E, k \in E \mid (\exists x, y \mid q = \{x, y\}$  &  $x \neq y$ ) &
    ( $h \neq q$  &  $k \neq q$  &  $k \neq h \rightarrow q \cap h \cap k = \emptyset$ ))
  ( $\forall p \subseteq E \mid$  CoCo( $p, E$ ) & Finite( $p$ )  $\rightarrow$ 
    ( $h \setminus \cup(p \setminus \{h\}) : h \in p \subseteq \{\emptyset\}$  & ( $\exists ch \mid$  ChSet( $ch, p$ ))) )
 $\implies$ (pm $_{\emptyset}$ )
  pm $_{\emptyset}$  =  $\cup \{ pm : cc \subseteq E, pm \subseteq cc \mid \text{CoCo}(cc, E) \&$ 
     $pm = \text{arb}(\{q \subseteq cc \mid \text{PeMa}(q, cc)\}) \}$ 
  PeMa(pm $_{\emptyset}, E$ )
END graphCBH

```

**Fig. 9.** A graph-theoretical counterpart of the Cantor-Bernstein theorem

for imposing, in the above specification of  $\text{pm}_{\emptyset}$ , that

$$pm = \text{arb}(\{q \subseteq cc \mid \text{PeMa}(q, cc)\})$$

holds: should we only require  $pm \in \{q \subseteq cc \mid \text{PeMa}(q, cc)\}$ , we might be putting in  $\text{pm}_{\emptyset}$  too much. One way of constructing each set  $\{q \subseteq cc \mid \text{PeMa}(q, cc)\}$ , with  $cc$  connected component of  $E$ , is by considering the sum sets

$$\bigcup \left\{ th(i, r) \setminus \cup \{ th(j, r) : j \in i \} : i \in \mathbb{N} \mid \text{Even}(i) \right\}$$

associated with the elements  $r$  of  $cc$ . When  $cc$  is of kind either a) or c), all such sum sets (of which only two differ) are perfect matchings; as regards a component  $cc$  of kind b), the sole  $r \in cc$  to be taken into account is the one that includes the singleton  $\{k \in cc \mid k \setminus \cup(cc \setminus \{k\}) \neq \emptyset\}$ .

In Section 2 we gave clues on how, inside the THEORY  $\text{cbh}$  outlined in Fig. 7, one can construct  $\gamma_{\emptyset}$  and prove the pertaining facts (e.g., its injectivity) in a

stand-alone fashion. Here below we discuss a slicker implementation of the internals of `cbh`, which will come into effect once the THEORYs `bij_bip` and `graphCBH` of Fig. 8 and Fig. 9 will be available.

Under the assumptions of `cbh`, which are identical to the ones of `bij_bip`, we can apply the latter THEORY to  $\alpha$  and  $\beta$ , and so get  $\text{acbh} = \text{domain}(\alpha)$  along with an `ecbh` complying with the statements that appear in the lower part of Fig. 8; in their turn, those statements enable application of the THEORY `graphCBH` to  $E = \text{ecbh}$ , which then provides a perfect matching `pm` for the graph endowed with vertices  $\bigcup \text{ecbh}$  and edges `ecbh`. To obtain the desired one-one correspondence, it now suffices to put

$$\gamma_{\Theta} = \{ \langle x, y \rangle : e \in \text{pm}, x \in e \cap \text{acbh}, y \in e \setminus \text{acbh} \} \cup \{ a \in \alpha \mid \langle a^{[2]}, a^{[1]} \rangle \in \beta \}.$$

## Conclusions

The ‘proof pearl’ highlighted in this paper adds a tile to a much larger-scale mosaic of proof scenarios which have to do with the interplay between sets and graphs (e.g., see [11, 12]), as well as with representation theorems of the kind illustrated by the classical Stone’s results on Boolean algebras that states that every unital ring where the identities  $X + X = 0$  and  $X \cdot X = X$  hold is isomorphic to the field of the clopen sets of a totally disconnected compact Hausdorff space where intersection and symmetric set-difference act as multiplication and addition (see [15, 16, 17] and [3]).

Those many experiments are intended to contribute collectively to a unitary study on the foundations of discrete mathematics; therefore each of them is meant to have a bearing on others, and is designed in such terms that it can reuse achievements of previous efforts and can easily be integrated with the rest.

This explains why, even though only graphs and digraphs enter the proof of the Cantor-Bernstein theorem, we chose to define the connected components of an arbitrary set  $E$ —not obligatorily one consisting of doubletons—, seen as the set of edges of a *hypergraph*. By so doing, we can more easily merge the proof scenario discussed in this paper with the one of [2] (downsized in [9, pp.251–262]).

Also, the collection  $\{ c \subseteq E \mid \text{ReachCl}(c, E) \}$  of those sets of (hyper)edges that are closed under reachability forms, one readily sees, a Boolean algebra: in fact, it is closed under intersection and symmetric difference and  $E$  is one of its members. Elsewhere, in proving Stone’s results, we had to bring into play Zorn’s lemma; accordingly, in this paper we found it convenient to opt for a declarative definition of connected components, albeit a characterization of connected components relying either upon paths or—which amounts, roughly, to the same—upon the THEORY `connComp` seen in Fig. 4 would have sufficed for the limited goals addressed above.

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## A Cardinalities and the Cantor-Bernstein theorem

When the discovery of the Cantor-Bernstein theorem took place (late 19<sup>th</sup> century), it contributed to clarifying the then emerging notions of equipotence and cardinality.

*Equipotence* is the equivalence relationship that holds between two sets whose respective elements can be put in one-one correspondence. Denote by  $\preceq$  the relation between sets:

$$A \preceq B \leftrightarrow_{\text{Def}} \text{an } \alpha \text{ exists such that } 1-1(\alpha) \text{ and } \mathbf{domain}(\alpha) = A, \mathbf{range}(\alpha) \subseteq B;$$

then we can phrase the Cantor-Bernstein theorem as follows:

|| When  $A \preceq B$  and  $B \preceq A$  both hold,  $A$  and  $B$  are equipotent.

*Cardinality*—as seen from a contemporary viewpoint—is the function that sends every set  $S$  to a canonical representative,  $\#S$ , of the equipotence class to which  $S$  belongs. Hence, we can also thus state the Cantor-Bernstein theorem:

|| When  $A \preceq B$  and  $B \preceq A$  both hold,  $\#A = \#B$  holds as well.

It has today become customary to regard *cardinals*—namely, the representatives of equipotence classes—as forming a strict subclass of the class of von Neumann’s *ordinal* numbers. In their turn, ordinals are special sets internally well-ordered by membership; they enable one to impose a well-ordering to a set  $S$  whatsoever by somehow ‘enumerating’ the elements of  $S$ . Specifically, under the assumption that membership is a well-founded relation over sets, ordinals can be defined *à la* Raphael M. Robinson as follows:

$$\text{Ord}(O) \leftrightarrow_{\text{Def}} (\forall x \in O, y \in O \setminus \{x\} \mid x \in y \vee y \in x) \ \& \ O \supseteq \bigcup O;$$

then, after formulating the recursive definition of the enumeration process as

$$\text{enum}(X, S) =_{\text{Def}} \mathbf{if} \quad S \subseteq \{\text{enum}(y, S) : y \in X\} \ \mathbf{then} \ S \ \mathbf{else} \ \mathbf{arb}(S \setminus \{\text{enum}(y, S) : y \in X\}) \ \mathbf{fi},$$

one proves that

$$\left( \exists o \mid \text{Ord}(o) \ \& \ S = \{\text{enum}(y, S) : y \in o\} \ \& \right. \\ \left. (\forall u \in o, v \in o \setminus \{u\} \mid \text{enum}(u, S) \neq \text{enum}(v, S)) \right)$$

holds for every  $S$ .

Through Skolemization—which in *ÆtnaNova* acts as a built-in THEORY—, this claim leads to the definition of a global function,  $\text{enum}_{\text{ord}}$ , such that

$$\left( \forall s \mid \text{Ord}(\text{enum}_{\text{ord}}(s)) \ \& \ s = \{\text{enum}(y, s) : y \in \text{enum}_{\text{ord}}(s)\} \ \& \right. \\ \left. (\forall u \in \text{enum}_{\text{ord}}(s), v \in \text{enum}_{\text{ord}}(s) \setminus \{u\} \mid \text{enum}(u, s) \neq \text{enum}(v, s)) \right).$$

The cardinality of a set can then be defined as follows:

$$\#S =_{\text{Def}} \text{arb} \left( \left\{ o \in \text{next}(\text{enum}_{\text{ord}}(S)) \mid (\exists f \mid 1-1(f) \ \& \ \mathbf{domain}(f) = o \ \& \ \mathbf{range}(f) = S) \right\} \right);$$

namely,  $\#S$  is the least ordinal number  $o$  that is equipotent to  $S$ .

To end, here is the definition of a cardinal number:

$$\mathbf{Card}(C) \leftrightarrow_{\text{Def}} \mathbf{Ord}(C) \ \& \ (\forall o \in C \mid (\neg \exists f \mid \mathbf{Svm}(f) \ \& \ \mathbf{domain}(f) = o \ \& \ \mathbf{range}(f) = C));$$

that is to say, a cardinal number is an ordinal number  $C$  such that no function  $f$  exists mapping an ordinal  $o$  smaller than  $C$  onto  $C$ .

Along the path discussed in [13, Section 5.3], one reaches two key theorems,

$$1-1(F) \ \& \ \mathbf{Card}(\mathbf{domain}(F)) \rightarrow \mathbf{domain}(F) = \#\mathbf{range}(F)$$

and

$$C = \#S \leftrightarrow \mathbf{Card}(C) \ \& \ (\exists f \mid 1-1(f) \ \& \ \mathbf{domain}(f) = C \ \& \ \mathbf{range}(f) = S),$$

whence

$$1-1(F) \ \& \ \mathbf{Card}(\mathbf{domain}(F)) \ \& \ \mathbf{Ord}(\mathbf{range}(F)) \rightarrow \mathbf{domain}(F) \subseteq \mathbf{range}(F),$$

which leads straightforwardly to the Cantor-Bernstein theorem, in a way that differs substantially from the pattern discussed earlier (see Sections 2 and 3).