

# A Derivation of the Stiffness Matrix for a Tetrahedral Finite Element by the Method of Moment Schemes

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**Abstract.** The main stage in the computation of structures made of elastomers by the displacement-based finite element method (FEM) is a derivation of the stiffness matrices. Their properties define the existence, stability and convergence of the FEM solutions, as well as the effectiveness of the method. At the same time, based on the FEM displacement-based methods for modelling elastomers often have a slow convergence, especially for massive bodies having complex curvilinear forms. The slow convergence is typical for the cases, where the approximation of shifts cannot be accurately modelled by considering displacements of the finite elements as a rigid whole. To solve this problem, the paper proposes variational relations for the tetrahedral finite element, which are developed on the base of the moment scheme of the FEM (MS-FEM). A numerical experiment shows that the results obtained by application of the MS-FEM outperform the solutions obtained by application of the conventional FEM scheme.

**Keywords.** Finite-element method (FEM); Elastomers; Moment scheme; Tetrahedral finite element.

## 1 Introduction

Rubber and rubber-like materials (elastomers) are widely used in various industries. Due to the widespread use, there is a need for the new and effective methods of design and computation of the elastomers structures [1].

Elastomer structures are used in the various fields of industry and normally have complex geometry, e.g. plates, discs, couplings, dampers, suspension brackets, bearings, rings, hinges, including the rubber seals for movable and fixed joints. Numerous examples of the use of thin-layer rubber-metal elements in technology are presented in the paper [2], as well as in [3; 4]. As a rule, modern design structures include various materials, where elastomers have undergone heavy loads. Therefore, the design should take into account the rigidity, strength, heat generation and cyclic deformation.

Rubber-like materials have a specific structure, based on multiple repeating of identical layers, where the length is tens of thousands times greater than the transverse dimensions. This causes the flexibility of the molecular chains, which leads to the appearance of highly elastic properties [5]. As a result, elastomers have the following features:

1. An ability under the influence of external (constant or changing in time) loading to experience significant deformations without destruction.
2. Weak compressibility of the elastomer, which causes specific methods of the computations in comparison with conventional materials.
3. In the case of deformation and highly elastic state, the equilibrium between force and displacement is established over a period of time.

Although physical studies of elastomers started over a hundred years ago, the computation methods of the stress and strain states are still under development. This is caused by the complexity of nonlinear differential equations that describe the solution [6].

The implementation of numerical methods needs improvement of the computation schemes and development of new effective algorithms. One of the problems arises in the boundary values of the Poisson coefficient, which leads to the degeneration of the matrix of the system of equations. There are different directions to find a solution.

The first direction is characterized by the analysis of nonlinear problems (geometric nonlinearity, large deformations) based on the combination of the penalty methods and FEM [7-9]. The second direction is based on a reduced integration [10], where the displacement fields and values responsible for the poor compressibility of the elastomers are approximated by various functions. As a rule, the degree of the polynomial for the second function is less (on one unit) as for the first function. The third direction develops mixed variational principles, in which independent displacement and strain fields or displacement and stress fields were approximated [8]. The basis of this method is the principle of variation of the components of displacement and average stress. This variation principle is widely used in the design of the structures, made of elastomers.

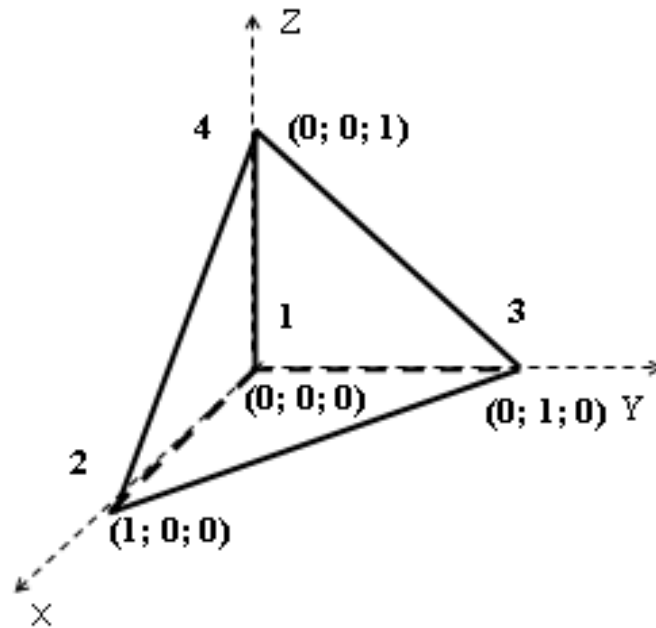
A. Sakharov proposed so-called moment scheme of the finite element method [11]. The approximating function is decomposed into a Taylor series and the members that respond to the displacements and dummy shifts in deformation are subsequently rejected. This allows users to take into account the basic properties of rigid displacements for isoparametric and curvilinear finite elements of isotropic elastic bodies. However, the exact equations of deformation and displacement are replaced by approximate ones.

In the mechanics of elastomers, there is also a problem of choosing an optimum computational scheme, based on the specific methods of computational mathematics [17, 18]. To check the effectiveness of a particular computational scheme, the obtained intermediate and final results should be investigated for compliance with the mechanical sense of the problem [19, 20]. This is a necessary part of the method, as rounding errors and instability of computing algorithms can significantly alter the result.

Thus, analysis of the published works indicates that there are still open issues related to the problems of the mechanics of elastomers [12-15]. Existing approaches reduce the methods to a system of simplified hypotheses (considering a three-dimensional problem as a two-dimensional; assuming linearity of deformation; incompressible or weakly compressible material etc.) and often present the method in a form not efficient for computations [16]. Significantly, these issues are related to the spatial representation of the FE. To solve this problem, the paper proposes variational relations for the tetrahedral finite element, which are developed on the base of the moment scheme of the FEM. The proposed method is based on our previous work [12].

## 2 Derivation of variational relations for the tetrahedral FE

Let's us derive the formulas of the stiffness matrix for the tetrahedral finite element (Fig. 1).



**Fig. 1.** The distribution of nodes in a linear tetrahedral element

We write the approximation of displacement in the first (correspondingly Fig.1) direction for four nodes (1)

$$u(x, y, z) = \sum_{i=1}^4 u_i N_i(x, y, z) \quad , \quad (1)$$

where  $N_i(x, y, z)$  is determined by the formula (2)

$$N_i(x, y, z) = \alpha^i_0 + \alpha^i_1 x + \alpha^i_2 y + \alpha^i_3 z \quad (2)$$

The formulas for the second and third directions (3), (4)

$$v(x, y, z) = \sum_{i=1}^4 v_i N_i(x, y, z), \quad N_i(x, y, z) = \beta^i_0 + \beta^i_1 x + \beta^i_2 y + \beta^i_3 z, \quad (3)$$

$$w(x, y, z) = \sum_{i=1}^4 w_i N_i(x, y, z), \quad N_i(x, y, z) = \gamma^i_0 + \gamma^i_1 x + \gamma^i_2 y + \gamma^i_3 z. \quad (4)$$

The shape functions for each face of tetrahedral FE (Fig. 1), given in the basic coordinate system, are determined by the formulas (5)

$$\begin{aligned} N_1(x, y, z) &= 1 - x - y - z, \quad N_2(x, y, z) = x, \\ N_3(x, y, z) &= y, \quad N_4(x, y, z) = z \end{aligned} \quad (5)$$

Formulas for the deformations  $\varepsilon$  of tetrahedral FE are defined as follows (6)

$$\begin{aligned} \varepsilon_{11} &= \sum_{i=0}^3 u_i \alpha^i_1, \quad \varepsilon_{22} = \sum_{i=0}^3 v_i \beta^i_2, \quad \varepsilon_{33} = \sum_{i=0}^3 w_i \gamma^i_3, \quad \varepsilon_{12} = \sum_{i=0}^3 (u_i \alpha^i_2 + v_i \beta^i_1), \\ \varepsilon_{13} &= \sum_{i=0}^3 (u_i \alpha^i_3 + w_i \gamma^i_1), \quad \varepsilon_{23} = \sum_{i=0}^3 (v_i \beta^i_3 + w_i \gamma^i_2) \end{aligned} \quad (6)$$

The components of the stress tensor will have the following form (7)

$$\begin{aligned} \sigma_{11} &= 2\mu \sum_{i=0}^3 u_i \alpha^i_1 + \lambda \sum_{i=0}^3 (u_i \alpha^i_1 + v_i \beta^i_2 + w_i \gamma^i_3); \\ \sigma_{22} &= 2\mu \sum_{i=0}^3 v_i \beta^i_2 + \lambda \sum_{i=0}^3 (u_i \alpha^i_1 + v_i \beta^i_2 + w_i \gamma^i_3) \\ \sigma_{33} &= 2\mu \sum_{i=0}^3 w_i \gamma^i_3 + \lambda \sum_{i=0}^3 (u_i \alpha^i_1 + v_i \beta^i_2 + w_i \gamma^i_3); \quad \sigma_{12} = \mu \sum_{i=0}^3 (u_i \alpha^i_2 + v_i \beta^i_1) \\ \sigma_{13} &= \mu \sum_{i=0}^3 (u_i \alpha^i_3 + w_i \gamma^i_1); \quad \sigma_{23} = \mu \sum_{i=0}^3 (v_i \beta^i_3 + w_i \gamma^i_2). \end{aligned} \quad (7)$$

Functions that define the geometry for a similar FE in the basic coordinate system have the form (8)

$$z_1 = \sum_{i=1}^4 N_i z^{1i}; z_2 = \sum_{i=1}^4 N_i z^{2i}; z_3 = \sum_{i=1}^4 N_i z^{3i} \quad (8)$$

The Jacobean transition from the basic to the local coordinate system is defined by the formula (9)

$$J = \begin{bmatrix} \frac{\partial N_1}{\partial x} & \frac{\partial N_2}{\partial x} & \dots & \frac{\partial N_i}{\partial x} \\ \frac{\partial N_1}{\partial y} & \frac{\partial N_2}{\partial y} & \dots & \frac{\partial N_i}{\partial y} \\ \frac{\partial N_1}{\partial z} & \frac{\partial N_2}{\partial z} & \dots & \frac{\partial N_i}{\partial z} \end{bmatrix} \begin{bmatrix} \{z^{1i}\} & \{z^{2i}\} & \{z^{3i}\} \end{bmatrix} \quad (9)$$

where

$$\begin{aligned} \{z^{1i}\}^T &= \{z^{11}, z^{12}, z^{13}, z^{14}\}^T, \\ \{z^{2i}\}^T &= \{z^{21}, z^{22}, z^{23}, z^{24}\}^T, \\ \{z^{3i}\}^T &= \{z^{31}, z^{32}, z^{33}, z^{34}\}^T, \end{aligned} \quad (10)$$

where  $i$  is the number of nodes;  $\{z^{1i}\}$  - abscissae of the  $i^{\text{th}}$  node;  $\{z^{2i}\}$  - ordinates of the  $i^{\text{th}}$  node;  $\{z^{3i}\}$  - applications of the  $i^{\text{th}}$  node.

Let's define the coordinates of the nodes of the linear tetrahedral element:

$$x_1=0, y_1=0, z_1=0; x_2=1, y_2=0, z_2=0; x_3=0, y_3=1, z_3=0; x_4=0, y_4=0, z_4=1$$

The approximating function of displacement for a tetrahedral finite element can be represented as (11)

$$u_k = w_k^{000} + w_k^{100} \psi^{100} + w_k^{010} \psi^{010} + w_k^{001} \psi^{001} \quad (11)$$

where  $w_k^{pqr}$  - decomposition coefficients,  $\psi^{pqr}$  - a set of power coordinate functions, defined by the formula (12)

$$\psi^{pqr} = \frac{x^p y^q z^r}{p! q! r!} \quad (0 \leq p + q + r \leq 1). \quad (12)$$

The components of the deformation tensor are decomposed into the Maclaurin series in the vicinity of the origin (13)

$$\varepsilon_{ij} = \sum_{stg}^{ij} e_{ij}^{(stg)} \psi^{(stg)} \quad (13)$$

In the decomposition of the deformation components, along with the coefficients of the deformations, there are also the coefficients of the rigid turns. This is a common reason for the slow convergence of the FE. In the proposed approach, we will dismiss these members of the series. After transformation of a given finite element, the strain tensors will have the following form (14)

$$\begin{aligned} \varepsilon_{11} = e_{11}^{000} &= \omega_{k'}^{100} b_{100}^{k'}; & \varepsilon_{22} = e_{22}^{000} &= \omega_{k'}^{010} b_{010}^{k'}; & \varepsilon_{33} = e_{33}^{000} &= \omega_{k'}^{001} b_{001}^{k'}; \\ \varepsilon_{12} = e_{12}^{000} &= \frac{1}{2}(\omega_{k'}^{010} b_{100}^{k'} + \omega_{k'}^{100} b_{010}^{k'}); & \varepsilon_{13} = e_{13}^{000} &= \frac{1}{2}(\omega_{k'}^{001} b_{100}^{k'} + \omega_{k'}^{100} b_{001}^{k'}); \\ \varepsilon_{23} = e_{23}^{000} &= \frac{1}{2}(\omega_{k'}^{001} b_{010}^{k'} + \omega_{k'}^{010} b_{001}^{k'}). \end{aligned} \quad (14)$$

A function that corresponds to the geometry of a finite element is represented as follows (15)

$$\begin{aligned} z^1 &= N_1 z^{11} + N_2 z^{12} + N_3 z^{13} + N_4 z^{14} \\ z^2 &= N_1 z^{21} + N_2 z^{22} + N_3 z^{23} + N_4 z^{24} \\ z^3 &= N_1 z^{31} + N_2 z^{32} + N_3 z^{33} + N_4 z^{34} \end{aligned} \quad (15)$$

Let's calculate the coefficients  $b^{k'}$ . To do this, we differentiate the function  $z^{k'}$  (16)

$$\begin{aligned} b_{(100)}^{k'} &= \left. \frac{\partial z^{k'}}{\partial x} \right|_{x=y=z=0} = -z_{k'}^{11} + z_{k'}^{12} \\ b_{(010)}^{k'} &= \left. \frac{\partial z^{k'}}{\partial y} \right|_{x=y=z=0} = -z_{k'}^{11} + z_{k'}^{13} \\ b_{(001)}^{k'} &= \left. \frac{\partial z^{k'}}{\partial z} \right|_{x=y=z=0} = -z_{k'}^{11} + z_{k'}^{14} \end{aligned} \quad (16)$$

The transformation matrix A is the formula (17)

$$A = \begin{bmatrix} 1 & 0 & 0 & 0 \\ -1 & 1 & 0 & 0 \\ -1 & 0 & 1 & 0 \\ -1 & 0 & 0 & 1 \end{bmatrix} \quad (17)$$

Matrix  $[F_{ij}^{s'}]$  has the following form (18)

$$[F_{ij}^{s'}] = \begin{bmatrix} F_{11}^1 & F_{11}^2 & F_{11}^3 \\ F_{22}^1 & F_{22}^2 & F_{22}^3 \\ F_{33}^1 & F_{33}^2 & F_{33}^3 \\ F_{12}^1 & F_{12}^2 & F_{12}^3 \\ F_{13}^1 & F_{13}^2 & F_{13}^3 \\ F_{23}^1 & F_{23}^2 & F_{23}^3 \end{bmatrix} \quad (18)$$

submatrices of the matrix  $[F_{ij}^{s'}]$  are defined as (19)

$$\begin{aligned} [F_{11}^{s'}] &= \begin{bmatrix} 0 & b_{100}^{s'} & 0 & 0 \\ 0 & 0 & 0 & 0 \\ 0 & 0 & 0 & 0 \\ 0 & 0 & 0 & 0 \end{bmatrix}, & [F_{22}^{s'}] &= \begin{bmatrix} 0 & 0 & b_{010}^{s'} & 0 \\ 0 & 0 & 0 & 0 \\ 0 & 0 & 0 & 0 \\ 0 & 0 & 0 & 0 \end{bmatrix}, & [F_{33}^{s'}] &= \begin{bmatrix} 0 & 0 & 0 & b_{001}^{s'} \\ 0 & 0 & 0 & 0 \\ 0 & 0 & 0 & 0 \\ 0 & 0 & 0 & 0 \end{bmatrix} \\ [F_{12}^{s'}] &= \frac{1}{2} \cdot \begin{bmatrix} 0 & b_{010}^{s'} & b_{100}^{s'} & 0 \\ 0 & 0 & 0 & 0 \\ 0 & 0 & 0 & 0 \\ 0 & 0 & 0 & 0 \end{bmatrix}, & [F_{13}^{s'}] &= \frac{1}{2} \cdot \begin{bmatrix} 0 & b_{001}^{s'} & 0 & b_{100}^{s'} \\ 0 & 0 & 0 & 0 \\ 0 & 0 & 0 & 0 \\ 0 & 0 & 0 & 0 \end{bmatrix}, & [F_{23}^{s'}] &= \frac{1}{2} \cdot \begin{bmatrix} 0 & 0 & b_{001}^{s'} & b_{010}^{s'} \\ 0 & 0 & 0 & 0 \\ 0 & 0 & 0 & 0 \\ 0 & 0 & 0 & 0 \end{bmatrix}. \end{aligned} \quad (19)$$

The matrix of the power functions for the formula of displacements  $[H^{ijkl}]$  lets present in the form (20)

$$[H^{ijkl}] = |J| \cdot \begin{bmatrix} 2\mu & 0 & 0 & 0 & 0 & 0 \\ 0 & 2\mu & 0 & 0 & 0 & 0 \\ 0 & 0 & 2\mu & 0 & 0 & 0 \\ 0 & 0 & 0 & \mu & 0 & 0 \\ 0 & 0 & 0 & 0 & \mu & 0 \\ 0 & 0 & 0 & 0 & 0 & \mu \end{bmatrix} = 2\mu \cdot |J| \cdot \begin{bmatrix} 1 & 0 & 0 & 0 & 0 & 0 \\ 0 & 1 & 0 & 0 & 0 & 0 \\ 0 & 0 & 1 & 0 & 0 & 0 \\ 0 & 0 & 0 & \frac{1}{2} & 0 & 0 \\ 0 & 0 & 0 & 0 & \frac{1}{2} & 0 \\ 0 & 0 & 0 & 0 & 0 & \frac{1}{2} \end{bmatrix} \quad (20)$$

The next step is to derive a formula to find specific energy of deformation for the volume change function. The matrix of connections of nodal displacements and the matrix of power functions  $[F_\theta^{s'}]$  have the following form (21)

$$[F_\theta^{s'}] = \begin{bmatrix} F_\theta^1 & \theta & \theta \\ \theta & F_\theta^2 & \theta \\ \theta & \theta & F_\theta^3 \\ \theta & \theta & \theta \\ \theta & \theta & \theta \\ \theta & \theta & \theta \end{bmatrix}, \quad (21)$$

$$\text{where } [F_\theta^1] = \begin{bmatrix} 0 & b_{100}^s & 0 & 0 \\ 0 & 0 & 0 & 0 \\ 0 & 0 & 0 & 0 \\ 0 & 0 & 0 & 0 \end{bmatrix}, [F_\theta^2] = \begin{bmatrix} 0 & 0 & b_{010}^s & 0 \\ 0 & 0 & 0 & 0 \\ 0 & 0 & 0 & 0 \\ 0 & 0 & 0 & 0 \end{bmatrix},$$

$$[F_\theta^3] = \begin{bmatrix} 0 & 0 & 0 & b_{001}^s \\ 0 & 0 & 0 & 0 \\ 0 & 0 & 0 & 0 \\ 0 & 0 & 0 & 0 \end{bmatrix}, \theta = \begin{bmatrix} 0 & 0 & 0 & 0 \\ 0 & 0 & 0 & 0 \\ 0 & 0 & 0 & 0 \\ 0 & 0 & 0 & 0 \end{bmatrix} \quad (22)$$

The matrix of power functions for the volume change formula  $[H^\theta]$  lets present in the form (23)

$$[H^\theta] = |J| \cdot \begin{bmatrix} \lambda & \lambda & \lambda & 0 & 0 & 0 \\ \lambda & \lambda & \lambda & 0 & 0 & 0 \\ \lambda & \lambda & \lambda & 0 & 0 & 0 \\ 0 & 0 & 0 & 0 & 0 & 0 \\ 0 & 0 & 0 & 0 & 0 & 0 \\ 0 & 0 & 0 & 0 & 0 & 0 \end{bmatrix} \quad (23)$$

$$[K^{s't'}] = [A]^T [F_{ij}^{s'}]^T [H^{ijkl}] [F_{kl}^{t'}] [A] + [A]^T [F_\theta^{s'}]^T [H^\theta] [F_\theta^{t'}] [A] \quad (24)$$

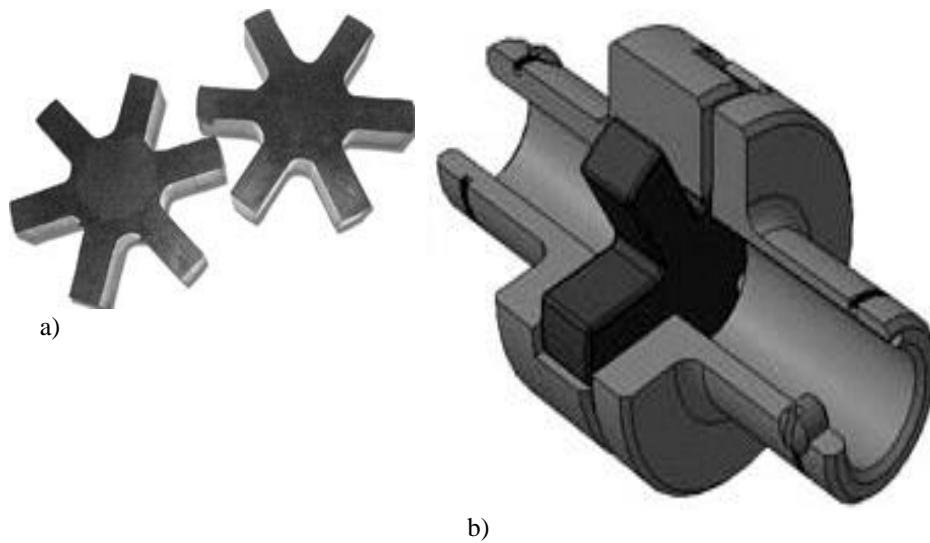
Finally, substituting the formula (24) by the formulas (17-23), we can obtain the values of the stiffness matrices for the tetrahedral finite element using the constants of  $\mu$ ,  $\lambda$ , and coordinates  $z_k^{ij}$ .



### 3 Experimental Study: Compression of a Rubber Sprocket

The following example compares two methods: the classical FEM and proposed MS-FEM, based on the moment scheme. For the modelling, FORTU-FEM system was applied [21-23].

Let's consider the elastic cam coupling with a sprocket, intended for coaxial connection of shafts of mechanisms, e.g. a reducer and the electric motor (Fig. 2).



**Fig. 2.** a) Rubber sprocket; b) A sprocket in the elastic coupling

This coupling is made of two half couplings, on the inside is equipped with a hub-cam, between which the rubber sprocket is enclosed. Sprocket teeth work on compression.

When the torque is transmitted in each direction, half of the teeth work. The efficiency of the rubber sprocket is determined by the magnitude of the buckling stress.

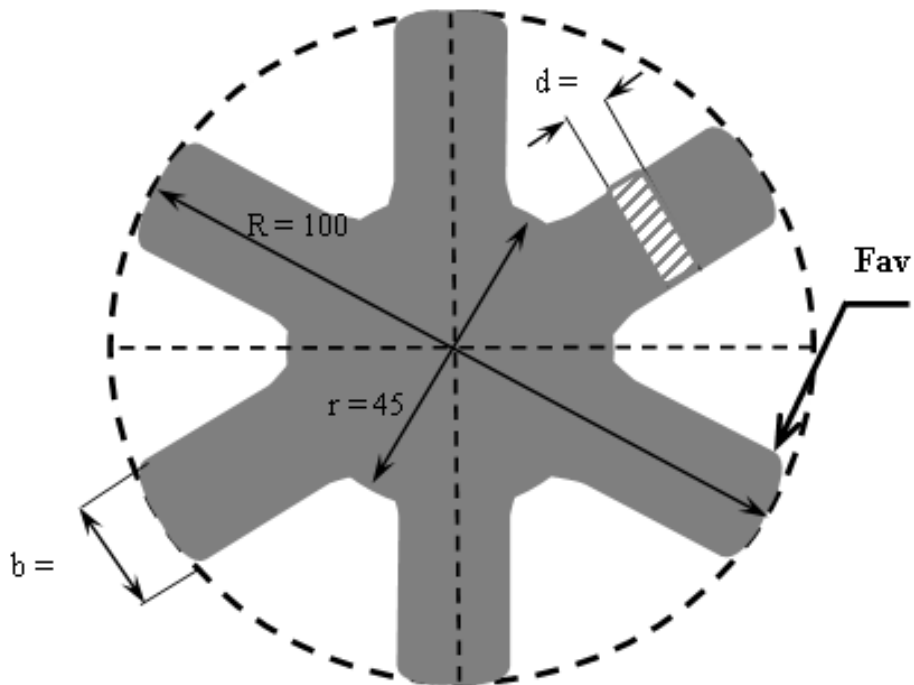
Sprockets for elastic cam couplings are designed to connect coaxial cylindrical shafts in torque transmission from 2.5 to 400 N/m and to reduce dynamic loads. The sprocket parameters for the computational experiment are presented in Fig. 3.

The object will be calculated with a torque direction counterclockwise.

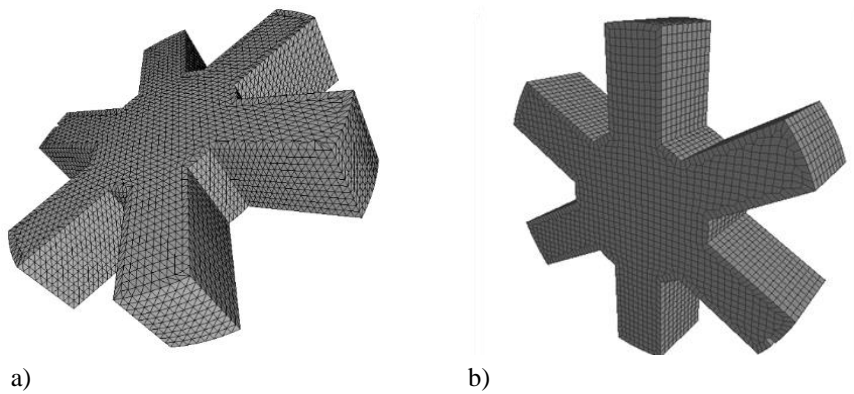
The load force is applied to each tooth at points as far away from the center of the sprocket.

Characteristics of the material were taken from the standard TMKIII-C 7338-90.

The finite element discretization in this study was done by tetrahedral and parallel-piped elements (Fig. 4).

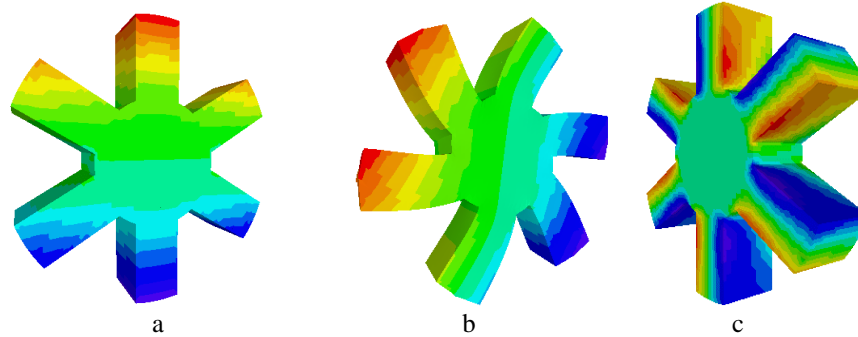


**Fig. 3.** Sprocket parameters for the computational experiment



**Fig. 4.** FE discretization of a rubber sprocket  
a) tetrahedral FE; b) parallelepiped FE

Fig. 5. shows a distribution of displacements in different directions.



**Fig. 5. Distribution of displacements in directions: a) X-axis; b) Y-axis; c) Z-axis**

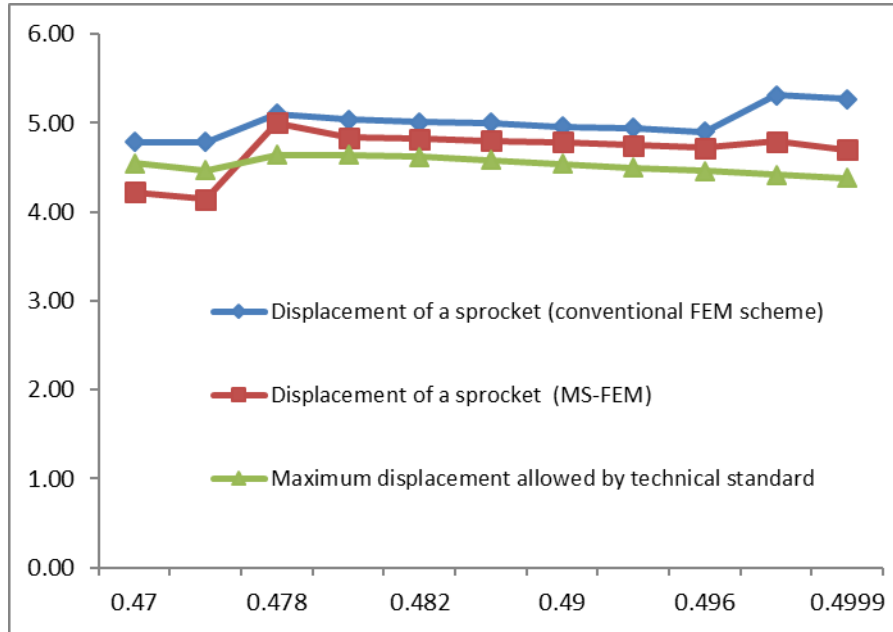
Table 1 presents the results of the computation of the load, applied to each sprocket at the top point. The torque for the study was counterclockwise and was equal to 10N/m. The object in the case of conventional FEM is decomposed into finite elements that have in total of 15169 nodes (74154 FE), in the case of MS-FEM - 15169 nodes (12359 FE).

**Table 1. Sprocket rating results**

Poisson's ratio, $\nu$	Young's Module, Pa	Maximum sprocket displacement, conventional FEM scheme, $10^{-5}$ m	Maximum sprocket displacement, MS-FEM, $10^{-5}$ m
0.470	90000	4.784	4.221
0.473	90000	4.685	4.145
0.478	100000	5.103	4.999
0.480	100000	5.035	4.837
0.482	100000	5.012	4.821
0.488	100000	5.001	4.801
0.490	100000	4.957	4.789
0.492	100000	4.942	4.752
0.496	100000	4.901	4.723
0.499	110000	5.309	5.123
0.4999	110000	5.267	5.089

To check the efficiency of the proposed computation scheme, it was compared with other methods in the FORTU-FEM system (Fig. 6).

A numerical experiment shows that the results obtained from the FEM using the Lagrange variational principle, with a Poisson coefficient varying from 0.470 to 0.4999, outperform the solutions obtained using the conventional FEM scheme. The application of MS-FEM for the computation of structures made of compressible materials gives the numerical results close to the analytical solutions.



**Fig. 6.** Results of computations with different schemes. Dependences on displacement ( $10^{-5}$  m) from the Poisson coefficient

## 4 Conclusion

The paper present variational relations for the tetrahedral FE based on the moment scheme of the finite element method. The results allow us to take into account the basic properties of rigid displacements for isoparametric and curvilinear finite elements, where the deformation components depend not only on derivatives of rigid rotations but also on translational and rotational displacements of the whole elements. In future work, the proposed method will be used for computation of elastomer structures in the stress and strain states.

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