

Overview of iDPP@CLEF 2023: The Intelligent Disease Progression Prediction Challenge

Notebook for the iDPP Lab on Intelligent Disease Progression Prediction at CLEF 2023

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Abstract


Amyotrophic Lateral Sclerosis (ALS) and Multiple Sclerosis (MS) are chronic diseases that cause progressive or alternating neurological impairments in motor, sensory, visual, and cognitive functions. Affected patients must manage hospital stays and home care while facing uncertainty and significant psychological and economic burdens that also affect their caregivers. To ease these challenges, clinicians need automatic tools to support them in all phases of patient treatment, suggest personalized therapeutic paths, and preemptively indicate urgent interventions. iDPP@CLEF aims at developing an evaluation infrastructure for AI algorithms to describe ALS and MS mechanisms, stratify patients based on their phenotype, and predict disease progression in a probabilistic, time-dependent manner. iDPP@CLEF 2023 was organised into three tasks, two of which (Tasks 1 and 2) pertained to Multiple Sclerosis (MS), and one (Task 3) concerned the evaluation of the impact of environmental factors in the progression of Amyotrophic Lateral Sclerosis (ALS), and how to use environmental data at prediction time. 10 teams took part in the iDPP@CLEF 2023 Lab, submitting a total of 163 runs with multiple approaches to the disease progression prediction task, including Survival Random Forests and Coxnets.

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1. Introduction

Both *Amyotrophic Lateral Sclerosis (ALS)* and *Multiple Sclerosis (MS)* are severe chronic diseases that cause progressive neurological impairment. They exhibit high heterogeneity in terms of symptoms and disease progression, leading to differing needs for patients. The heterogeneity of these diseases partly explains the lack of effective prognostic tools and the current lack of therapies that can effectively slow or reverse their course. This poses patients, challenges for caregivers and clinicians alike. Furthermore, the timing of worsening or significant events – such as the need for specific treatments, as the *Non-Invasive Ventilation (NIV)* or *Percutaneous Endoscopic Gastrostomy (PEG)* in the case of ALS – is uncertain and hard to predict. Being able to preemptively recognize signals of the worsening of the disease as well as the need for specific medical treatments would have significant implications for the quality of life of patients.

Therefore, it is of uttermost importance to devise automatic tools that could aid clinicians in their decision-making in all phases of disease progression and facilitate personalized therapeutic choices.

To address these challenges and develop *Artificial Intelligence (AI)* predictive algorithms researchers need a framework to design and evaluate approaches to:

- stratify patients according to their phenotype all over the disease evolution;
- predict the progression of the disease in a probabilistic, time-dependent way;
- describe better and in an explainable fashion the mechanisms underlying MS and ALS diseases.

In this context, it is crucial to develop shared approaches, promote common benchmarks, and foster experiment comparability and replicability, which is currently not so common in this domain. The *Intelligent Disease Progression Prediction at CLEF (iDPP@CLEF)* lab¹ aims to provide an evaluation infrastructure for the development of such AI algorithms. Unlike previous challenges in the field, iDPP@CLEF systematically addresses issues related to the application of AI in clinical practice for ALS and MS. Apart from defining risk scores based on the probability of events occurring in the short or long term, iDPP@CLEF also deals with providing clinicians with structured and understandable data.

iDPP@CLEF 2023 [1] encompasses three primary tasks, with two focused on MS and one centred around ALS. Concerning MS, these tasks revolve around predicting the risk of incurring a disease worsening, either in terms of probability or as a cumulative probability over increasing time periods. Furthermore, each MS task is further divided into two subtasks, each with its specific definition of worsening.

The outcomes for the MS tasks have been notably promising, as participating teams achieved remarkable results, including an impressive AUC of up to 92.4% and an O/E ratio of 0.946.

The third task is dedicated to ALS and builds upon the tasks explored in iDPP@CLEF 2022. Specifically, participants were asked to predict the occurrence of two essential medical treatments, namely NIV and PEG, as well as the predicted time of death. Each prediction was

¹<https://brainteaser.health/open-evaluation-challenges/>

addressed as a distinct subtask. Notably, for this year’s ALS task, participants were provided with environmental data, allowing them to investigate whether incorporating such information could lead to improved predictive models.

However, despite the inclusion of environmental data, the models submitted by participants did not demonstrate a statistically significant improvement. This suggests that further exploration and investigation in this domain are necessary to fully understand the potential impact of environmental factors on ALS prediction models.

The paper is organized as follows: Section 2 presents related challenges; Section 3 describes iDPP@CLEF 2023 tasks; Section 4 discusses the developed dataset; Section 5 explains the setup of the lab and introduces the participants; Section 6 introduces the evaluation measures adopted to score the runs; Section 7 analyzes the experimental results for the different tasks; finally, Section 8 draws some conclusions and outlooks some future work.

2. Related Challenges

Within CLEF, there have been no other labs on this or similar topics before the start of iDPP@CLEF. iDPP@CLEF 2022, whose details are summarized below, was the first iteration of the lab and the current is the second one.

Outside CLEF, there have been a recent challenge on Kaggle² in 2021 and some older ones, the DREAM 7 ALS Prediction challenge³ in 2012 and the DREAM ALS Stratification challenge⁴ in 2015. The Kaggle challenge used a mix of clinical and genomic data to seek insights about the mechanisms of ALS and the difference between people with ALS who progress faster versus those who develop it more slowly. The DREAM 7 ALS Prediction challenge [2] asked to use 3 months of ALS clinical trial information (months 0–3) to predict the future progression of the disease (months 3–12), expressed as the slope of change in *ALS Functional Rating Scale Revisited (ALSFRS-R)* [3], a functional scale that ranges between 0 and 40. The DREAM ALS Stratification challenge asked participants to stratify ALS patients into meaningful subgroups, to enable better understanding of patient profiles and application of personalized ALS treatments. Differently from these previous challenges, iDPP@CLEF focuses on explainable AI and on temporal progression of the disease.

Finally, when it comes to *Multiple Sclerosis (MS)*, studies are mostly conducted on closed and proprietary datasets and iDPP@CLEF represents one of the first attempts to create a public and shared dataset.

2.1. iDPP@CLEF 2022

iDPP@CLEF 2022 ran as a pilot lab for the first time in CLEF 2022⁵ [4, 5] and focused on activities aimed at ALS progression prediction as well as at an understanding of the challenges and limitations to refine and tune the labs itself for future iterations. iDPP@CLEF 2022 consisted of the following tasks:

²<https://www.kaggle.com/alsgroup/end-als>

³<https://dreamchallenges.org/dream-7-phil-bowen-als-prediction-prize4life/>

⁴<https://dx.doi.org/10.7303/syn2873386>.

⁵<https://brainteaser.health/open-evaluation-challenges/idpp-2022/>

- **Pilot Task 1 - Ranking Risk of Impairment:** it focused on ranking patients based on the risk of impairment. We used the ALSFRS-R scale [3] to monitor speech, swallowing, handwriting, dressing/hygiene, walking and respiratory ability in time and asked participants to rank patients based on the time-to-event risk of experiencing impairment in each specific domain.
- **Pilot Task 2 - Predicting Time of Impairment:** it refined Task 1 by asking participants to predict when specific impairments will occur (i.e. in the correct time-window). In this regard, we assessed model calibration in terms of the ability of the proposed algorithms to estimate a probability of an event close to the true probability within a specified time-window.
- **Position Paper Task 3 - Explainability of AI algorithms:** we evaluated proposals of different frameworks able to explain the multivariate nature of the data and the model predictions.

iDPP@CLEF 2022 created 3 datasets, for the prediction of specific events related to ALS, consisting of fully anonymized data from 2,250 real patients from medical institutions in Turin, Italy, and Lisbon, Portugal. The datasets contain both static data about patients, e.g. age, onset date, gender, ... and event data, i.e. 18,512 ALSFRS-R questionnaires and 4,015 spirometries. 6 groups participated in iDPP@CLEF 2022 and submitted a total of 120 runs.

3. Tasks

iDPP@CLEF 2023 is the second iteration of the lab, expanding its scope to include both ALS and MS in the study of disease progression. The activities in iDPP@CLEF 2023 focus on two objectives: exploring the prediction of MS worsening and conducting a more in-depth analysis of ALS compared to iDPP@CLEF 2022, with the addition of environmental data.

Following iDPP@CLEF 2022, iDPP@CLEF 2023 targets three tasks:

- Pilot tasks (Task 1 and Task 2) on predicting the progression of the MS, focusing on its worsening;
- Position papers (Task 3) on the impact that environmental data can have on the progression of the ALS.

In the remainder of this section, we describe each task more in detail.

3.1. Task 1: Predicting Risk of Disease Worsening (MS)

Task 1 focuses on MS and requires ranking subjects based on the risk of worsening, setting the problem as a survival analysis task. More specifically the risk of worsening predicted by the algorithm should reflect how early a patient experiences the “worsening” event and should range between 0 and 1.

Worsening is defined on the basis of the *Expanded Disability Status Scale (EDSS)* [6], according to clinical standards. In particular, we consider two different definitions of worsening corresponding to two different sub-tasks:

- Task1a: the patient crosses the threshold $EDSS \geq 3$ at least twice within a one-year interval;
- Task1b: the second definition of worsening depends on the first recorded value, according to current clinical protocols:
 - if the baseline is $EDSS < 1$, then the worsening event occurs when an increase of EDSS by 1.5 points is first observed;
 - if the baseline is $1 \leq EDSS < 5.5$, then the worsening event occurs when an increase of EDSS by 1 point is first observed;
 - if the baseline is $EDSS \geq 5.5$, then the worsening event occurs when an increase of EDSS by 0.5 points is first observed.

For each sub-task, participants are given a dataset containing 2.5 years of visits, with the occurrence of the worsening event and the time of occurrence pre-computed by the challenge organizers.

3.2. Task 2: Predicting Cumulative Probability of Worsening (MS)

Task 2 refines Task 1 by asking participants to explicitly assign the cumulative probability of worsening at different time windows, i.e., between years 0 and 2, 0 and 4, 0 and 6, 0 and 8, 0 and 10. In particular, as in Task 1, we consider two different definitions of worsening corresponding to two different sub-tasks:

- Task2a: the patient crosses the threshold $EDSS \geq 3$ at least twice within a one-year interval;
- Task2b: the second definition of worsening depends on the first recorded value, according to current clinical protocols:
 - if the baseline is $EDSS < 1$, then the worsening event occurs when an increase of EDSS by 1.5 points is first observed;
 - if the baseline is $1 \leq EDSS < 5.5$, then the worsening event occurs when an increase of EDSS by 1 point is first observed;
 - if the baseline is $EDSS \geq 5.5$, then worsening event occurs when an increase of EDSS by 0.5 points is first observed.

For each sub-task, participants are given a dataset containing 2.5 years of visits, with the occurrence of the worsening event and the time of occurrence pre-computed by the challenge organizers.

3.3. Task 3: Position Papers on the Impact of Exposition to Pollutants (ALS)

Participants in Task 3 are required to propose approaches to assess if exposure to different pollutants is a useful variable to predict time to PEG, NIV, and death in ALS patients. This task is based on the same design as Task 1 in iDPP@CLEF 2022 and employs the same data as well.

Therefore, both training and test data are available immediately. Compared to iDPP@CLEF 2022, the dataset is complemented with environmental data to investigate the impact of exposition to pollutants on the prediction of disease progression. The task consists in ranking subjects based on the risk of early occurrence of:

- Task3a: NIV or (competing event) death, whichever occurs first;
- Task3b: PEG or (competing event) Death, whichever occurs first;
- Task3c: Death.

Since test data were already released at the end of iDPP@CLEF 2022 it is impossible to produce a fair leaderboard. Therefore, participants are required to produce position papers in which they describe their approaches and findings concerning the link between environmental factors and ALS progression.

4. Dataset

For iDPP@CLEF 2023, we provided 5 datasets, two for MS and three for ALS, using data from three clinical institutions in Turin and Pavia, Italy, and Lisbon, Portugal. The datasets are fully anonymized: identifiers and pseudo-identifiers, e.g. place of birth or city of residence, have been removed; dates are reported as relative spans in days with respect to a $\text{Time } 0$, i.e., a reference moment in time that depends on the considered disease. For MS, $\text{Time } 0$ was defined as the time of the last EDSS recorded before the date of the first recorded EDSS plus 2.5 years. Patients that were not diagnosed with MS within the time window going from the first EDSS date to 2.5 years after it had a different definition of $\text{Time } 0$, specifically, the first EDSS for which the patient had a MS diagnosis within 2.5 years was considered instead of the first recorded one for their $\text{Time } 0$ definition. Patients for which it was not possible to find suitable EDSS according to this scheme were excluded from the analysis as it was not possible to correctly define a $\text{Time } 0$ for them. In the context of ALS, $\text{Time } 0$ represents the date of the first ALSFRS-R questionnaire.

4.1. Prefiltering

4.1.1. MS Data

The original MS data contained minor inconsistencies and typos. Therefore, to avoid introducing noise and spurious information within datasets, we first processed the data removing records that were likely wrong or did not provide enough information for AI methods to perform predictions. In terms of patients, we removed those where the following pieces of information were absent or out of range: onset date; first visit date; functional systems scores and corresponding EDSS scores. For each removed patient, we discarded all their records related to EDSS, evoked potentials, MRIs, and MS courses. As for relapses, we removed those records where no information about the relapse was given. We removed MRI records not reporting information about T1 and T2 lesions. Finally, where needed, we removed duplicated records, records associated with patients without demographic and onset data, or records with missing dates. In particular, we removed

patients with no visits about EDSS. Having at least one visit about EDSS was an inclusion criterion for patients in retrospective data.

4.1.2. ALS Data

ALS datasets are the same as the ones provided for iDPP@CLEF 2022. Their description is available at [4, 5]. Compared to iDPP@CLEF 2022, the ALS datasets used for Task 3 in iDPP@CLEF 2023 have been updated as follows: i) records associated with invalid event date (i.e., patients with censoring time equal to 0) have been removed; ii) environmental data has been added.

4.2. Task 1 and Task 2: MS Datasets

Tasks 1 and 2 share the same datasets – each MS dataset corresponds to a specific sub-task (a and b). As training features, we provide:

- Static data, containing information on patient’s demographics, diagnostic delay, and symptoms at the onset;
- Dynamic data (2.5 years), containing information on: relapses, EDSS scores, evoked potentials, MRIs, and MS course.

The following data are available as ground-truth:

- The worsening occurrence, as defined in Section 3, expressed as a Boolean variable with 0 meaning “not occurred” and 1 meaning “occurred”.
- The time-of-occurrence, expressed as relative delta with respect to Time 0 in years (also fractions).

Each dataset contains the following groups of variables:

- `static vars.`, representing static variables associated with a patient. The complete list of available static variables is available at <http://brainteaser.dei.unipd.it/challenges/idpp2023/assets/other/ms/static-vars.txt>.
- `MS type`, containing information about the MS type and the (relative) date when the MS type has been observed.
- `relapses` consisting of the (relative) initial dates of relapses.
- `EDSS`, containing EDSS scores and the (relative) date when they were recorded.
- `evoked potentials`, reporting the results of evoked potential tests. The complete list of variables for each evoked potential test is available at <http://brainteaser.dei.unipd.it/challenges/idpp2023/assets/other/ms/evoked-potentials.txt>.
- `MRI`, containing the data involving MRIs; e.g., the area on which MRIs have been performed and the observed lesions. The complete list of variables about MRIs is available at <http://brainteaser.dei.unipd.it/challenges/idpp2023/assets/other/ms/mri.txt>.

Table 1
Training and test datasets for MS tasks.

Training						
Sub-task	Patients	Relapses	EDSS	Evoked Potentials	MRIs	MS courses
Sub-task a	440	480	2,660	1,210	960	310
Sub-task b	510	552	3,068	1,521	965	324
Test						
Sub-task	Patients	Relapses	EDSS	Evoked Potentials	MRIs	MS courses
Sub-task a	110	94	674	277	236	68
Sub-task b	128	124	812	298	265	74

- outcomes, detailing the patients’ worsening occurrence, together with the time of occurrence. More in detail, outcomes contain one record for each patient where:
 - The first column is the patient ID;
 - The second column indicates if the worsening occurred (1) or not (0).
 - The third column is the time of occurrence, defined as a floating point number in the range $[0, 15]$.

Table 1 reports the number of records for each group of variables for training and test sets for each sub-task.

4.2.1. Creation of the datasets

To obtain the iDPP@CLEF 2023 MS datasets, we processed the data provided by two research centres in Turin and Pavia, Italy, respectively. To remove minor inconsistencies and typos present in the original data, we applied the prefiltering step described in 4.1.1. Besides removing records that contained inconsistent or insufficient information, to construct iDPP datasets we restricted visits data to a 2.5 years window prior to $\text{Time } 0$. Moreover, patients for whom it was not possible to define $\text{Time } 0$ – i.e., patients who died or experienced the outcome within the 2.5 years window – were excluded. Finally, following the definition of outcome for sub-task a, patients were excluded if it was not possible to confirm the crossing of the $\text{EDSS} = 3$ threshold within one year – that is, if a patient had an $\text{EDSS} \geq 3$ but no other recorded EDSS within one year after it.

4.2.2. Split into training and test

Each of the two MS datasets underwent a division into a training set and a test set, with proportions of 80% and 20% respectively. In order to ensure a well-stratified distribution of variables across the datasets and to avoid any biases during the splitting process, the data were randomly partitioned 100 times using 100 different random seeds. To assess the appropriateness of the stratification, a comparison of variable distributions was conducted for each training/test

pair. Statistical tests were performed on each variable based on its type: the Kruskal-Wallis test [7] was applied to continuous variables, while the Chi-squared test [8] was employed for categorical and ordinal variables. A variable was considered well-stratified depending on the test result. For each split, the percentage of well-stratified variables was calculated using Eq. 1.

$$perc_{well-stratified} = \frac{\text{number of positive tests}}{\text{total number of variables}} * 100 \quad (1)$$

To identify the split that achieved the best stratification between those that achieved the highest percentage, equal to 97%, a visual inspection was then conducted. Density plots were used for continuous variables, bar plots for categorical and ordinal variables, and Kaplan-Meier curves [9] for the outcome time in the survival setting. A careful examination of the outcome occurrence and time was performed to ensure that the models’ performance would not be influenced by the data splitting. For each variable, we enforced the test set to not contain levels that were observed in the training set for the same variable. Table 2 and 3 the comparison of the variables’ distributions in the training and test sets for sub-task a, while Table 4 and 5 show them for sub-task b. Since the distributions are similar, we concluded that the training/test split provided to the participants met best-practice quality standards.

4.3. Task 3: ALS Dataset

The datasets used for Task 3 in iDPP@CLEF 2023 have the same structure and most of the records as the one used in iDPP@CLEF 2022. There are three datasets concerning patients affected by ALS, Dataset ALSa, Dataset ALSb, and Dataset ALSc. Each dataset concerns a specific type of event that might occur to patients affected by ALS. Datasets ALSa and ALSb regard respectively the moment in which a patient undergoes NIV or PEG. While dataset ALSc concerns the death of the patient. For a detailed description of the data, cleaning procedures, and additional statistics, please refer to [4, 5].

iDPP@CLEF 2023 dataset extends the previous version by providing participants with environmental data. Furthermore, due to its release at the end of iDPP@CLEF 2022, the ground truth is available to the challenge participants since the beginning of the challenge.

4.3.1. Updates over iDPP@CLEF 2022

In the 2023 version of the dataset, a small subset of patients (less than 50) has been removed from the dataset used for iDPP@CLEF 2022. Indeed, such patients were characterized by the absence of relevant events (i.e., NIV, PEG or death), but did not receive further ALSFRS-R assessments after the first. Therefore, such patients were annotated with the censoring event happening at time 0 making it impossible to provide a sensible prediction. Such patients were removed from the 2023 version of the iDPP@CLEF ALS dataset. Table 6 reports the number of removed patients compared to the original iDPP@CLEF ALS dataset. Notice that, by construction, all the removed patients were labelled with event NONE. Spirometries and ALSFRS-R questionnaires associated with dropped patients have been removed as well.

Table 2

Sub-task a, comparison between training and test populations. Continuous variables are presented as *mean (sd)*; categorical variables as *count (percentage on available data)*, for each level. Demographic and onset-related features.

	Variable	Level	Levels train	Levels test
static vars.	sex	Female	305 (69.32%)	76 (69.09%)
		Male	135 (30.68%)	34 (30.91%)
		NA	-	-
	residence_classification	Cities	120 (27.27%)	32 (29.09%)
		Rural Area	100 (22.73%)	18 (16.36%)
		Towns	208 (47.27%)	54 (49.09%)
		NA	12 (2.73%)	6 (5.45%)
	ethnicity	Caucasian	424 (96.36%)	99 (90.00%)
		Hispanic	-	4 (3.64%)
		Black_African	-	2 (1.82%)
		NA	16 (3.64%)	5(4.55%)
	ms_in_pediatric_age	FALSE	410 (93.18%)	103 (93.64%)
		TRUE	30 (6.82%)	7 (6.36%)
		NA	-	-
	age_at_onset	mean (sd)	31 (9.427)	30 (8.775)
		NA	-	-
	diagnostic_delay	mean (sd)	1029 (1727.8)	967 (1447.6)
		NA	12 (2.73%)	1 (0.91%)
	spinal_cord_symptom	FALSE	348 (79.09%)	83 (75.45%)
		TRUE	92 (20.91%)	27 (24.55%)
		NA	-	-
	brainstem_symptom	FALSE	305 (69.32%)	79 (71.82%)
TRUE		135 (30.68%)	31 (28.18%)	
NA		-	-	
eye_symptom	FALSE	318 (72.27%)	82 (74.55%)	
	TRUE	122 (27.73%)	28 (25.45%)	
	NA	-	-	
supratentorial_symptom	FALSE	301 (68.41%)	74 (67.27%)	
	TRUE	139 (31.59%)	36 (32.73%)	
	NA	-	-	
other_symptoms	False	431 (97.95%)	107 (97.27%)	
	RM+	3 (0.68%)	2 (1.82%)	
	Sensory	4 (0.91%)	1 (0.91%)	
	Epilepsy	2 (0.45%)	0 (-)	
	NA	-	-	
time_since_onset	mean (sd)	2524 (2448.3)	2446 (2235.9)	
	NA	-	-	
MS type	multiple_sclerosis_type	CIS	99 (32.04%)	18 (26.87%)
		RR	210 (67.96%)	49 (73.13%)
		NA	-	-
	delta_observation_time0	mean (sd)	-718 (210.2)	-715 (237.6)
		NA	-	-

4.3.2. Environmental Data

One of the primary objectives of iDPP@CLEF 2023 is to promote research on the influence of environmental factors on the progression of ALS disease. Task 3, which specifically focuses on this aspect, requires participants to submit position papers investigating the impact of exposure

Table 3

Table 2 contd. Dynamical assessments and outcome features.

	Variable	Level	Levels train	Levels test
edss	edss_as_evaluated_by_clinician	mean (sd)	2 (0.716)	2 (0.655)
		NA	37 (1.39%)	3 (0.45%)
	delta_edss_time0	mean (sd)	-499 (251.6)	-499 (254.4)
evoked potentials	altered_potential	Auditory	280 (23.14%)	58 (20.94%)
		Motor	101 (8.35%)	19 (6.86%)
		Somatosensory	482 (39.83%)	111 (40.07%)
		Visual	347 (28.68%)	89 (32.13%)
	potential_value	mean (sd)	0 (0.401)	0 (0.415)
		left	311 (25.70%)	73 (26.35%)
	location	lower left	126 (10.41%)	29 (10.47%)
		lower right	136 (11.24%)	31 (11.19%)
		right	316 (26.12%)	74 (26.71%)
		upper left	156 (12.89%)	34 (12.27%)
upper right		165 (13.64%)	36 (13.00%)	
delta_evoked_potential_time0	mean (sd)	-712 (206.3)	-731 (213.3)	
relapses	delta_relapse_time0	mean (sd)	-561 (286.1)	-551 (286.5)
magnetic resonance image	mri_area_label	Brain Stem	681 (71.01%)	164 (69.79%)
		Cervical Spinal Cord	62 (6.47%)	25 (10.64%)
		Spinal Cord	201 (20.96%)	36 (15.32%)
		Thoracic Spinal Cord	15 (1.56%)	10 (4.26%)
	lesions_T1	FALSE	175 (18.25%)	45 (19.15%)
		TRUE	149 (15.54%)	29 (12.34%)
		NA	635 (66.21%)	161 (68.51%)
	lesions_T1_gadolinium	FALSE	575 (59.96%)	145 (61.70%)
		TRUE	247 (25.76%)	51 (21.70%)
		NA	137 (14.29%)	39 (16.1%)
	number_of_lesions_T1_gadolinium	mean (sd)	0 (1.0)	0 (1.0)
		NA	187 (19.5%)	48 (20.43%)
	new_or_enlarged_lesions_T2	FALSE	377 (39.31%)	107 (45.53%)
		TRUE	240 (25.03%)	52 (22.13%)
		NA	342 (35.66%)	76 (32.34%)
number_of_new_or_enlarged_lesions_T2	mean (sd)	1 (1.486)	1 (1.401)	
	NA	349 (36.39%)	76 (32.34%)	
lesions_T2	FALSE	55 (5.74%)	10 (4.26%)	
	TRUE	275 (28.68%)	62 (26.38%)	
	NA	629 (65.59%)	163 (69.36%)	
number_of_total_lesions_T2	mean (sd)	629 (65.59%)	76 (32.34%)	
delta_mri_time0	mean (sd)	-512 (282.0)	-534 (275.5)	
outcomes	outcome_occurred	0	367 (83.41%)	93 (84.55%)
		1	73 (16.59%)	17 (15.45%)
	outcome_time	mean (sd)	5 (4.4)	5 (4.1)

to pollutants.

To address this objective, the iDPP@CLEF 2022 datasets have been expanded to include information about patients' exposure to environmental agents. This includes various environmental factors such as daily mean, minimum, and maximum temperatures, daily precipitation, daily averaged sea level pressure and relative humidity, daily mean wind speed, and daily mean

Table 4

Sub-task b, comparison between training and test populations. Continuous variables are presented as *mean (sd)*; categorical variables as *count (percentage on available data)*, for each level. Demographic and onset-related features.

	Variable	Level	Levels train	Levels test
static vars.	sex	female	355 (69.61%)	85 (66.41%)
		male	155 (30.39%)	43 (33.59%)
	residence_classification	Cities	152 (29.8%)	37 (28.91%)
		Rural Area	106 (20.78%)	28 (21.88%)
		Towns	236 (46.27%)	56 (43.75%)
		NA	16 (3.14%)	7 (5.47%)
	ethnicity	Caucasian	491 (96.27%)	122 (95.31%)
		Black_African	—	2 (1.56%)
		Hispanic	—	3 (2.34%)
		NA	19 (3.73%)	1 (0.78%)
	ms_in_pediatric_age	FALSE	483 (94.71%)	116 (90.62%)
		TRUE	27 (5.29%)	12 (9.38%)
	age_at_onset	mean (sd)	31 (9.816)	31 (10.642)
	diagnostic_delay	mean (sd)	1094 (1809.46)	1332 (2092.90)
		NA	9 (1.76%)	5 (3.91%)
	spinal_cord_symptom	FALSE	389 (76.27%)	95 (74.22%)
		TRUE	121 (23.73%)	33 (25.78%)
	brainstem_symptom	FALSE	367 (71.96%)	85 (66.41%)
		TRUE	143 (28.04%)	43 (33.59%)
	eye_symptom	FALSE	370 (72.55%)	95 (74.22%)
TRUE		140 (27.45%)	33 (25.78%)	
supratentorial_symptom	FALSE	355 (69.61%)	91 (71.09%)	
	TRUE	155 (30.39%)	37 (28.91%)	
other_symptoms	epilepsy	2 (0.39%)	—	
	FALSE	498 (97.65%)	126 (98.44%)	
	sensory	5 (0.98%)	—	
	RM+	5 (0.98%)	2 (1.56%)	
time_since_onset	mean (sd)	2871 (2775.14)	3773 (3595.14)	
MS type	multiple_sclerosis_type	CIS	108 (33.33%)	22 (29.73%)
		RR	216 (66.67%)	48 (64.86%)
		PR	—	1 (1.35%)
		SP	—	3 (4.05%)
	delta_observation_time0	mean (sd)	-726 (193.54)	-726 (226.50)

global radiation. Additionally, the iDPP@CLEF 2023 ALS datasets also provide information on the concentration of seven pollutants: PM10, PM25, O₃, C₆H₆, CO, SO₂, and NO₂. For each environmental parameter, both the raw observations collected each day and the calibrated version of the observations, following best practices [10, 11], are made available.

It is important to note that not all patients have the same amount of environmental information due to varying diagnosis times and data availability. Several patients could not be associated with environmental data, as their disease progression occurred before public environmental data repositories were established. Approximately 20% of the iDPP@CLEF 2023 ALS datasets, corresponding to 434 to 574 patients, are linked to environmental data.

Table 5

Table 4 contd. Dynamical assessments and outcome features.

	Variable	Level	Levels train	Levels test
edss	edss_as_evaluated_by_clinician	mean (sd)	2 (1.2)	3 (1.7)
		NA	39 (1.27%)	7 (0.86%)
	delta_edss_time0	mean (sd)	-501 (248.58)	-494 (253.84)
evoked potentials	altered_potential	Auditory	341 (22.42%)	68 (22.82%)
		Motor	130 (8.55%)	22 (7.38%)
		Somatosensory	625 (41.09%)	130 (43.62%)
		Visual	425 (27.94%)	78 (26.17%)
	potential_value	FALSE	1193 (78.44%)	237 (79.53%)
		TRUE	328 (21.56%)	61 (20.47%)
	location	left	379 (24.92%)	73 (24.5%)
		lower left	167 (10.98%)	37 (12.42%)
		lower right	177 (11.64%)	36 (12.08%)
		right	387 (25.44%)	73 (24.5%)
upper left		201 (13.21%)	40 (13.42%)	
upper right		210 (13.81%)	39 (13.09%)	
	delta_evoked_potential_time0	mean (sd)	-714 (196.78)	-656 (252.93)
relapses	delta_relapse_time0	mean (sd)	-561 (280.915)	-595 (279.73)
magnetic resonance image	mri_area_label	Brain Stem	688 (71.3%)	188 (70.94%)
		Cervical Spinal Cord	67 (6.94%)	15 (5.66%)
		Spinal Cord	191 (19.79%)	57 (21.51%)
		Thoracic Spinal Cord	19 (1.97%)	5 (1.89%)
	lesions_T1	FALSE	155 (16.06%)	37 (13.96%)
		TRUE	164 (16.99%)	56 (21.13%)
		NA	646 (66.94%)	172 (64.91%)
	lesions_T1_gadolinium	FALSE	566 (58.65%)	162 (61.13%)
		TRUE	243 (25.18%)	57 (21.51%)
		NA	156 (16.17%)	46 (17.36%)
	number_of_lesions_T1_gadolinium	mean (sd)	0 (1.049)	0 (0.772)
		NA	222 (23.01%)	57 (21.51%)
	new_or_enlarged_lesions_T2	FALSE	363 (37.62%)	116 (43.77%)
		TRUE	222 (23.01%)	55 (20.75%)
		NA	383 (39.69%)	94 (35.47%)
	number_of_new_or_enlarged_lesions_T2	mean (sd)	1 (1.54)	1 (1.32)
		NA	383 (39.69%)	94 (35.47%)
	lesions_T2	FALSE	61 (6.32%)	12 (4.53%)
		TRUE	256 (26.53%)	65 (24.53%)
		NA	648 (67.15%)	188 (70.94%)
number_of_total_lesions_T2	0	61 (6.32%)	12 (4.53%)	
	1-2	57 (5.91%)	12 (4.53%)	
	>=3	53 (5.49%)	13 (4.91%)	
	>=9	146 (15.13%)	40 (15.09%)	
	NA	648 (67.15%)	188 (70.94%)	
	delta_mri_time0	mean (sd)	-526 (280.304)	-525 (280.263)
outcomes	outcome_occurred	0	384 (75.29%)	97 (75.78%)
		1	126 (24.71%)	31 (24.22%)
	outcome_time	mean (sd)	5 (4.396)	5 (4.396)

Considering that the impact of environmental factors may occur well before the diagnosis, we include the maximum amount of available information before Time 0 for all patients with historical records. Depending on the patient, this corresponds to a maximum of 4 to 6 years of data. However, no more than 6 months of data after Time 0 are considered. If a patient has more than 180 days of information after the first ALSFRS-R assessment, the subsequent days are excluded from the released dataset.

Table 6

Patients removed from the iDPP@CLEF ALS dataset 2023 due to having an unrealistic censoring event time. Between parentheses the original number of patients available in the dataset.

	Train	Test	Total
Dataset ALSa	22 (orig. 1454)	4 (orig. 350)	26 (orig. 1806)
Dataset ALSb	36 (orig. 1715)	8 (orig. 430)	44 (orig. 2145)
Dataset ALSc	40 (orig. 1756)	8 (orig. 494)	48 (orig. 2250)

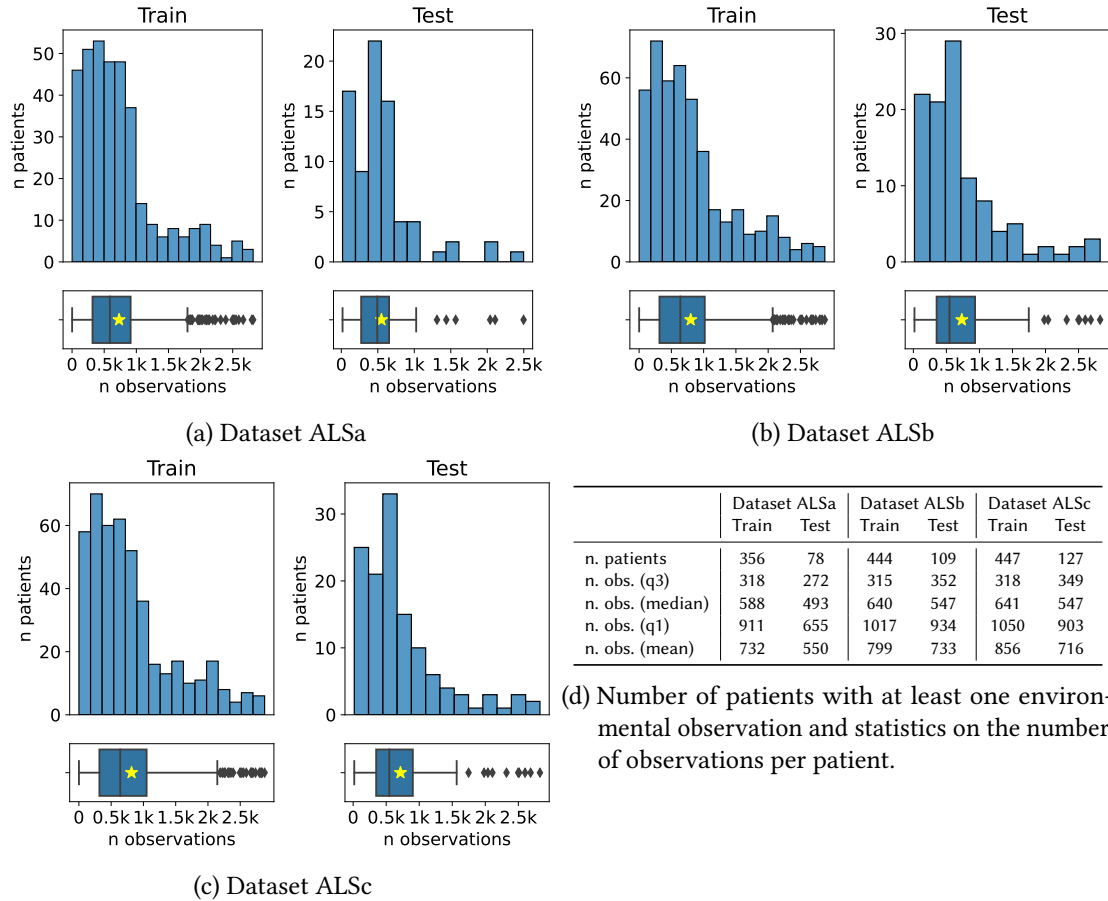


Figure 1: Statistics on environmental observations available. The star in the boxplots indicates the mean.

Figure 1 reports the number of patients associated with environmental data as well as the number of records of environmental observations available. It is possible to observe that on average, on the training set, there are 732, 799 and 856 days of observations in the case of Datasets ALSa ALSb, and ALSc respectively. Patients within the test set contain slightly lower numbers of records.

Figure 2 shows the proportion of patients (among those with environmental data) having

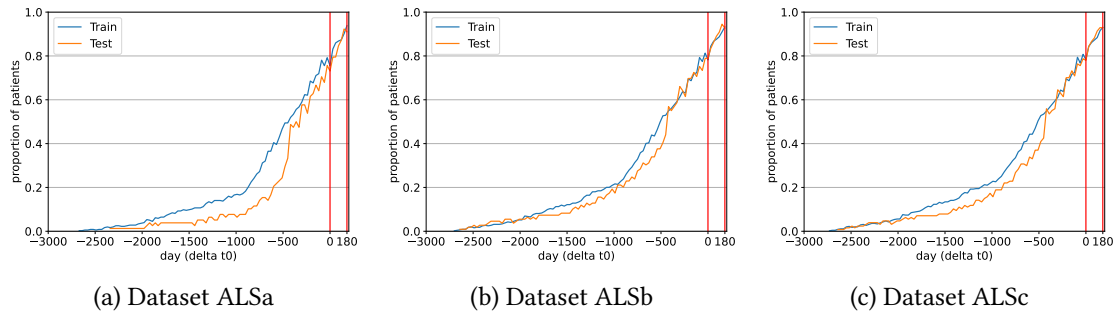


Figure 2: Proportion of patients having environmental observations on a given day in (their relative) time.

observations for a given day in (their) history. For example, it is possible to observe that roughly 80% of the patients have a record of their $\text{Time } 0$, this number grows to approximately 95% if we consider the $\text{Time } 180$, the last day for which we release information. Going back in time, we observe that, for roughly 40% of the patients, we have at least 2 years ($\text{Time } -730$) of information before their $\text{Time } 0$.

5. Lab Setup and Participation

In the remainder of this section, we detail the guidelines the participants had to comply with to submit their runs and the submissions received by `iDPP@CLEF`. In the remainder, we describe the guidelines provided to participating teams.

5.1. Guidelines

- The runs should be submitted in the textual format described below;
- Each group can submit a maximum of 10 runs for each subtask, thus amounting to maximum 20 runs for each of Task 1 and Task 2 and 30 runs for Task 3.

Task 1 Run Format

Runs should be submitted as a text file (.txt) with the following format:

```
100619256189067386770484450960632124211 0.897 upd_T1a_survRF
101600333961427115125266345521826407539 0.773 upd_T1a_survRF
102874795308599532461878597137083911508 0.773 upd_T1a_survRF
123988288044597922158182615705447150224 0.615 upd_T1a_survRF
100381996772220382021070974955176218231 0.317 upd_T1a_survRF
...
```

where:

- Columns are separated by a white space;

- The first column is the `patient ID`, an hashed version of the original patient ID (should be considered just as a string);
- The second column is the risk score. It is expected to be a floating point number in the range $[0, 1]$;
- The third column is the run identifier, according to the format described below. It must uniquely identify the participating team and the submitted run.

It is important to include all the columns and have a white space delimiter between the columns. No specific ordering is expected among patients (rows) in the submission file.

Task 2 Run Format

Runs should be submitted as a text file (.txt) with the following format:

```
10061925618906... 0.221 0.437 0.515 0.817 0.916 upd_T2b_survRF
10160033396142... 0.213 0.617 0.713 0.799 0.822 upd_T2b_survRF
10287479530859... 0.205 0.312 0.418 0.781 0.856 upd_T2b_survRF
12398828804459... 0.197 0.517 0.617 0.921 0.978 upd_T2b_survRF
10038199677222... 0.184 0.197 0.315 0.763 0.901 upd_T2b_survRF
...
```

where:

- Columns are separated by a white space;
- The first column is the `patient ID`, a hashed version of the original patient ID (should be considered just as a string);
- The second column is the cumulative probability of worsening between years 0 and 2. It is expected to be a floating point number in the range $[0, 1]$.
- The third column is the cumulative probability of worsening between years 0 and 4. It is expected to be a floating point number in the range $[0, 1]$.
- The fourth column is the cumulative probability of worsening between years 0 and 6. It is expected to be a floating point number in the range $[0, 1]$.
- The fifth column is the cumulative probability of worsening between years 0 and 8. It is expected to be a floating point number in the range $[0, 1]$.
- The sixth column is the cumulative probability of worsening between years 0 and 10. It is expected to be a floating point number in the range $[0, 1]$.
- The seventh column is the run identifier, according to the format described below. It must uniquely identify the participating team and the submitted run.

It is important to include all the columns and have a white space delimiter between the columns. No specific ordering is expected among patients (rows) in the submission file.

Task 3 Run Format

Runs should be submitted as a text file (.txt) with the following format:

```
0x4bed50627d141453da7499a7f6ae84ab 0.897 upd_T3a_EW6_survRF
0x4d0e8370abe97d0fdedbded6787ebcfc 0.773 upd_T3a_EW6_survRF
0x5bbf2927feefd8617b58b5005f75fc0d 0.773 upd_T3a_EW6_survRF
0x814ec836b32264453c04bb989f7825d4 0.615 upd_T3a_EW6_survRF
0x71dabb094f55fab5fc719e348dfffc85x 0.317 upd_T3a_EW6_survRF
...
```

where:

- Columns are separated by a white space;
- The first column is the patient ID, a 128 bit hex number (should be considered just as a string);
- The second column is the risk score. It is expected to be a floating point number in the range [0, 1];
- The third column is the run identifier, according to the format described below. It must uniquely identify the participating team and the submitted run.

It is important to include all the columns and have a white space delimiter between the columns. No specific ordering is expected among patients (rows) in the submission file. Since different time windows may be considered, participants are allowed to submit predictions for a variable number of patients. We encourage participants to submit predictions for as many patients as possible. To avoid favoring runs that consider only a few patients, submitted runs will be evaluated based on their correctness as well as the number of patients included. The number of patients included is also reported in the output of the evaluation scripts.

Submission Upload

Runs should be uploaded in the repository provided by the organizers. Following the repository structure discussed above, for example, a run submitted for the first task should be included in `submission/task1`.

Runs should be uploaded using the following name convention for their identifiers:

```
<teamname>_T<1|2|3><a|b|c>_[type_]<freefield>
```

where:

- `teamname` is the name of the participating team;
- `T<1|2><a|b|c>` is the identifier of the task the run is submitted to, e.g. T1b for Task 1, subtask b;
 - `type` describes the type of run only in the case of Task 3 (it can be omitted for Task 1 and 2). It should be one among:

- base for a baseline run;
 - EW6 when using environmental data in a time window of 6 months before and after Time 0;
 - EWP when using environmental data in a time windows chosen by the participant; in this case it is suggested to use `freefield` to provide information about the adopted time window;
- `freefield` is a free field that participants can use as they prefer to further distinguish among their runs. Please, keep it short and informative.

For example, a complete run identifier may look like:

`upd_T3a_EW6_survRF`

where:

- `upd` is the University of Padua team;
- `T3a` means that the run is submitted for Task 3, subtask a;
- `EW6` means that environmental data in a time window of 6 months before and after Time 0 have been used;
- `survRF` suggests that participants have used survival random forests as a prediction method.

The name of the text file containing the run must be the identifier of the run followed by the `.txt` extension. In the above example:

`upd_T3a_EW6_survRF.txt`

Run Scores

Performance scores for the submitted runs will be returned by the organizers in the `score` folder, which follows the same structure as the `submission` folder.

For each submitted run, participants will find a file named

`<teamname>_T<1|2|3><a|b|c>_[type_]<freefield>.score.txt`

where `<teamname>_T<1|2|3><a|b|c>_[type_]<freefield>` matches the corresponding run. The file will contain performance scores for each of the evaluation measures described below. In the above example:

`upd_T3a_EW6_survRF.score.txt`

Table 7

Teams participating in iDPP@CLEF 2023.

Team Name	Description	Country	Repository	Paper
CompBioMed	Department of Medical Sciences, University of Turin	Italy	https://bitbucket.org/brainteaser-health/idpp2023-compbiomed	Rossi et al. [12]
FCOOL	Faculty of Sciences of the University of Lisbon	Portugal	https://bitbucket.org/brainteaser-health/idpp2023-fcool	Branco et al. [13, 14]
HULAT-UC3M	Polytechnic School Universidad Carlos III de Madrid	Spain	https://bitbucket.org/brainteaser-health/idpp2023-hulat-u3m	Ramos et al. [15]
NeuroTN	Independent Researcher, Sfax	Tunisia	https://bitbucket.org/brainteaser-health/idpp2023-neurotn	Karray [16]
Onto-Med	Ontomed	Bulgaria	https://bitbucket.org/brainteaser-health/idpp2023-onto-med	Asamov et al. [17]
SBB	University of Padua	Italy	https://bitbucket.org/brainteaser-health/idpp2023-sbb	Guazzo et al. [18]
Stefagroup	University of Pavia, BMI lab “Mario Stefanelli”	Italy	https://bitbucket.org/brainteaser-health/idpp2023-stefagroup	Buonocore et al. [19]
SisInfLab_AIBio	Polytechnic University of Bari	Italy	https://bitbucket.org/brainteaser-health/idpp2023-sisinfo-aibio	Lombardi et al. [20]
UHU-ETSI-1	Universidad de Huelva	Spain	https://bitbucket.org/brainteaser-health/idpp2023-uhu-etsi	Not Submitted
UWB	University of West Bohemia	Czech Republic	https://bitbucket.org/brainteaser-health/idpp2023-uwb	Hanzl and Picek [21]

5.2. Participants

Overall, 45 teams registered for participating in iDPP@CLEF but only 10 of them actually managed to submit runs for at least one of the offered tasks. Table 7 reports the details about the participating teams.

Table 8 provides breakdown of the number of runs submitted by each participant for each task and sub-task. Overall, we have received 163 runs with a prevalence of submissions for Task 1 (76 runs), followed by Task 2 (48 runs), and lastly, Task 3 (49 runs).

6. Evaluation Measures

iDPP@CLEF adopted several state-of-the-art evaluation measures to assess the performance of the prediction algorithms, among which:

- *Area Under the ROC Curve (AUC)* [22] to show the trade-off between clinical sensitivity

Table 8

Break-down of the runs submitted by participants for each task and sub-task. Participation in Task 3 does not involve submission of runs and it is marked just with a tick.

Team	Task 1		Task 2		Task 3			Total
	a	b	a	b	a	b	c	
CompBioMed	3	3	3	2	—	—	—	11
FCOOL	5	5	—	—	9	9	9	37
HULAT-UC3M	2	1	2	1	—	—	—	6
NeuroTN	—	—	—	—	4	4	4	12
Onto-Med	5	4	5	4	—	—	—	18
SBB	3	3	3	3	—	—	—	12
SisInfLab_AIBio	5	4	5	4	—	—	—	18
Stefagroup	2	—	—	—	—	—	—	2
UHU-ETSI-1	6	7	3	3	—	—	—	19
UWB	9	9	5	5	—	—	—	28
Total	40	36	26	22	13	13	13	163

and specificity for every possible cut-off of the risk scores;

- *Harrel's Concordance Index (C-index)* [23] to summarize how well a predicted risk score describes an observed sequence of events.
- *O/E ratio* to assess whether or not the observed event rates match expected event rates in subgroups of the model population.

To ease the computation and reproducibility of the results, scripts for computing the measures are available in the following repository:

<https://bitbucket.org/brainteaser-health/idpp2023-performance-computation>.

6.1. Task 1: Measures to evaluate the Prediction of the Risk of Disease Worsening (MS)

For Task 1, the effectiveness of the submitted runs is evaluated using Harrell's Concordance Index (C-index) [23]. This score quantifies the model's ability in ranking pairs of observations based on their predicted outcomes. A C-index value of 1 indicates perfect concordance, meaning the model can accurately distinguish between higher and lower-risk individuals. Conversely, a value of 0.5 suggests random guessing, while values below 0.5 indicate a counter-correlation.

6.2. Task 2: Measures to evaluate the Prediction of the Cumulative Probability of Worsening (MS)

The effectiveness of the submitted runs is evaluated with the following measures:

- *Area Under the ROC curve (AUROC)* at each of the time intervals (0-2, 0-4, 0-6, 0-8, 0-10 years);

- O/E Ratio: the ratio of observed to expected events at each of the time intervals (0-2, 0-4, 0-6, 0-8, 0-10 years).

The *Receiver Operating Characteristic (ROC)* curve is a graphical representation of the model's true positive rate (sensitivity) against the false positive rate (1 - specificity) at different classification thresholds. The AUROC ranges from 0 to 1, where a value of 1 indicates a perfect model that can accurately distinguish between individuals who will experience worsening and those who will not. An AUROC value of 0.5 suggests a model that performs no better than random chance. Therefore, a higher AUROC reflects a better ability of the model to discriminate between different outcomes.

The O/E (Observed-to-Expected) ratio provides a measure of calibration for the model's predictions. It compares the actual number of observed worsening events to the number of events expected based on the model's predictions. Ideally, the O/E ratio should be close to 1, indicating good calibration and alignment between predicted and observed outcomes. A ratio significantly above 1 suggests an overestimation of the number of worsening events, while a ratio below 1 indicates an underestimation. Monitoring the O/E ratio at each time interval allows for assessing the model's calibration performance over time.

To compute the AUROC and O/E Ratio, we applied censoring to the ground truth values using the following schema. Let A, B, C, and D be four subjects, where:

- A experienced the outcome at t_A ;
- B was censored at t_A ;
- C experienced the outcome at t_3 ;
- D was censored at t_3 .

The scenario is represented in Figure 3.

Table 9 reports the outcome occurrence label and outcome time for each possible scenario of censoring time, which we refer to as t_1 , t_2 , and t_3 . When t_1 is considered as censoring time, all four example subjects have yet to experience the event or be censored, as a result, their outcome occurrence label at this time is set to 0 as shown in the first column of Table 9. When t_2 is considered to perform censoring (second column of Table 9), instead, only subjects C and D have yet to experience either the event or the censoring, and their outcome label is then set to 0. In this scenario, subject A had the event before t_2 and its outcome label is then set to 1. Subject B was censored before t_2 and, as its outcome at this time is unknown, it must be excluded from performance evaluation. Finally, when t_3 is considered to perform censoring (third column of Table 9), outcome labels of subjects A and B are equal to those considered for t_2 since their situation at this time is unchanged compared to the previous one. However, subject C experienced the event at t_3 and now its outcome label must be set to 1 and subject D was censored at t_3 and its outcome label is then set to 0.

6.3. Task 3: Measures to evaluate the Impact of Exposition to Pollutants (ALS)

The effectiveness of the submitted runs is evaluated with the following measures:

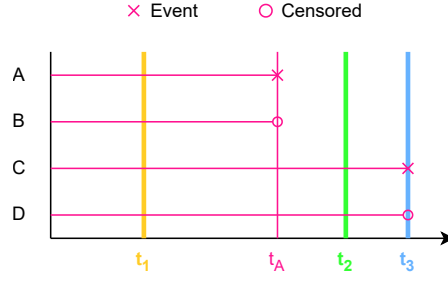


Figure 3: The set of possible outcomes and censoring time scenarios.

Table 9

Outcome time/occurrence annotation for the example in Figure 3. * indicates that being the outcome of the subject at censoring time t_i unknown, the subject can not be considered for evaluation at censoring time t_i .

		t_1	t_2	t_3
A	outcome time	t_1	t_A	t_A
	outcome occurred	0	1	1
B	outcome time	t_1	NA	NA
	outcome occurred	0	NA*	NA*
C	outcome time	t_1	t_2	t_3
	outcome occurred	0	0	1
D	outcome time	t_1	t_2	t_3
	outcome occurred	0	0	0

- AUROC: the area under the receiver operating characteristic curve at each of the time intervals (6, 12, 18, 24, 30, 36 months);
- C-index.

7. Results

For each task, we report the analysis of the performance of the runs submitted by the Lab's participants according to the measures described in Section 6.

7.1. Task 1: Predicting Risk of Disease Worsening (Multiple Sclerosis)

Figure 4 shows the C-index with its 95% confidence intervals computed for all runs submitted for Task 1 sub-task a and for the random classifier (last row). Discrimination performance varies across the different submitted runs ranging from 0.4 to above 0.8. Runs submitted by the UWB team [21] lead the pack (C-index > 0.8), followed by CompBioMed (CBMUnitTO) [12], and FCOOL [14]. The best-performing approach for UWB and FCOOL and SisInfLab_AIBio [20] are Survival Random Forests. CompBioMed [12], HULAT [15], and SBB [18] achieve the best performance with Cox regression and CoxNets.

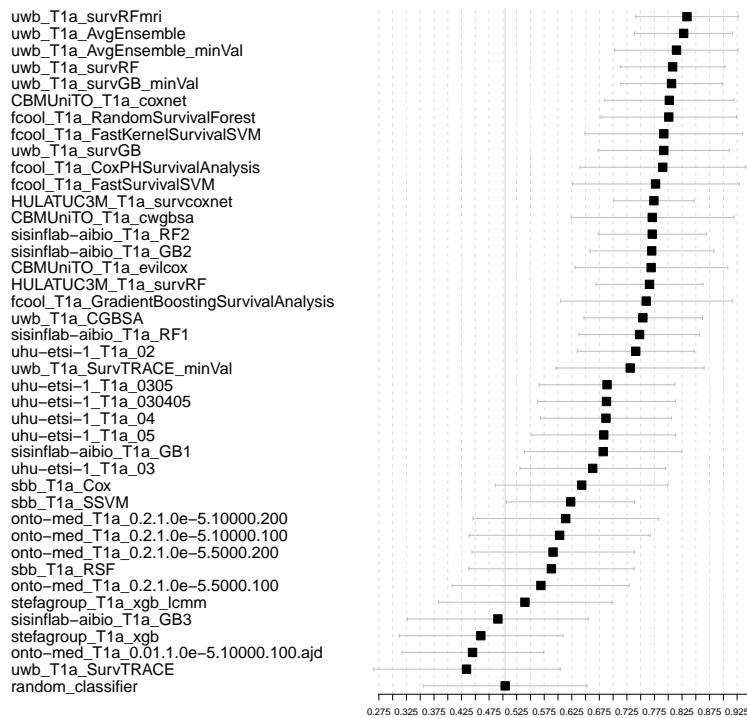


Figure 4: C-index (with 95% confidence interval) achieved by runs submitted to Task 1a.

Figure 5 shows the C-index with its 95% confidence intervals computed for all runs submitted for Task 1 sub-task b and for the random classifier (last row). Also for this sub-task discrimination performance varies across the different submitted runs ranging from 0.4 to above 0.7. Runs submitted by the FCOOL team [14] lead the pack (C-index ~ 0.7), followed by CompBioMed (CBMUnitTO) [12], and UWB [21]. The best-performing approach for FCOOL is a survival SVM. CompBioMed [12], and SBB [18] achieve the best performance with Cox regression and CoxNets. Other methodologic approaches such as gradient boosting or survival random forest show lower performance in this sub-task.

Model performance was overall lower in sub-task b with respect to sub-task a. This observation suggests that, from a model-based perspective and with the available data, the prediction of the crossing of an EDSS threshold (EDSS=3 in this study) may be simpler than the prediction of the worsening of the disease as defined by medical guidelines.

7.2. Task 2: Predicting Cumulative Probability of Worsening (Multiple Sclerosis)

Appendix A presents the AUROC and the O/E ratios, along with their 95% confidence intervals, computed for all runs submitted for task 2. Specifically, Tables 10, 11, 12, 13, and 14 refer to sub-task a at two, four, six, eight, and ten years, respectively. Tables 15, 16, 17, 18, and 19 report

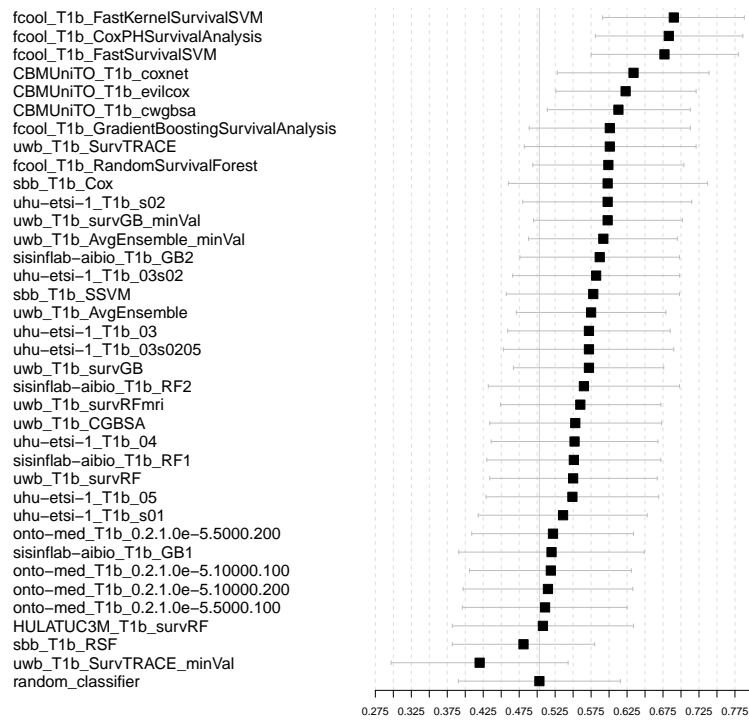


Figure 5: C-index (with 95% confidence interval) achieved by runs submitted to Task 1b.

the results for sub-task b at two, four, six, eight, and ten years, respectively. In the following paragraph, a short analysis of the obtained performance is reported.

7.2.1. Sub-task a

Table 10 presents the AUROC and OE ratio values for a two-year time window. In this time span, the run identified as `uwb_T2a_survRFmri` achieved the highest AUROC value (0.924). The best O/E ratio of 0.946 is obtained by `uwb_T2a_survGB_minVal`, indicating a good balance between observed and expected events.

Table 11 shows the performance measures for the same runs but with a four-year time window. Also in this case, `uwb_T2a_survRFmri` obtains the best AUROC score of 0.907. Regarding the O/E ratio, `sisinflab-aibio_T2a_RF2` demonstrates the best balance between observed and expected events with a value of 0.927.

Table 12 displays the performance over a six-year time span. `HULATUC3M_T2a_survcoxnet` achieves the highest AUROC score of 0.938, while `uhu-etsi-1_T2a_04` (0.825) has the best O/E ratio.

Table 13 provides the performance measures at eight years. `HULATUC3M_T2a_survcoxnet` reaches the highest AUROC value of 0.859. In terms of the O/E ratio, `uhu-etsi-1_T2a_04` (0.900) achieves the best balance between observed and expected events.

Table 14 reports the performance on the longest time span considered, i.e., at ten years. In this scenario, `uwb_T2a_survRFmri` (0.839) demonstrates the highest AUROC value among the submitted runs. The identifier with the highest O/E ratio is `uhu-etsi-1_T2a_05` (0.816), indicating good calibration.

In Sub-task a, the identifier `uwb_T2a_survRFmri` consistently achieves the highest AUROC values across multiple time windows, indicating strong predictive performance. Notably, `HULATUC3M_T2a_survcoxnet` also demonstrates good AUROC scores in longer time spans.

When considering the balance between observed and expected events (O/E ratio), the identifiers `uwb_T2a_survGB_minVal` and `sisinflab-aibio_T2a_RF2` stand out by achieving good equilibrium.

7.2.2. Sub-task b

Tables 15 and onwards present the AUROC and OE ratio values for all submissions in Task 2, sub-task b.

Within the two-year time frame, the run denoted as `CBMUniTO_T2b_coxnet` (0.676) achieved the highest AUROC value. The best O/E ratio, equal to 1.019, is obtained by `HULATUC3M_T2b_survRF`, signifying a favourable balance between observed and expected events.

Table 16 showcases the performance with a four-year time window. In this case, `sisinflab-aibio_T2b_GB2` achieves the highest AUROC score of 0.639. Regarding the O/E ratio, `sisinflab-aibio_T2b_RF2` maintains the optimal balance between observed and expected events, with a value of 1.005.

Table 17 displays the performance over a six-year time span. `CBMUniTO_T2b_coxnet` attains the highest AUROC score of 0.635, while `uhu-etsi-1_T2b_03` (0.985) demonstrates the best O/E ratio.

Table 18 provides the performance measures at eight years. `CBMUniTO_T2b_cwgbsa` achieves the highest AUROC value of 0.673. In terms of the O/E ratio, `uhu-etsi-1_T2b_03` (1.001) achieves the most desirable balance between observed and expected events.

Table 19 reports the performance over the longest time span considered, i.e., ten years. In this scenario, `CBMUniTO_T2b_cwgbsa` (0.709) demonstrates the highest AUROC value among the submitted runs. The identifier with the highest O/E ratio is `uhu-etsi-1_T2b_03` (1.054), indicating good calibration.

In Sub-task b, the identifier `CBMUniTO_T2b_coxnet` consistently achieves the highest AUROC values across multiple time windows, indicating its effectiveness in prediction. Additionally, `CBMUniTO_T2b_cwgbsa` demonstrates strong AUROC scores in eight and ten years time spans.

Regarding the O/E ratio, the runs identified as `HULATUC3M_T2b_survRF` and `uhu-etsi-1_T2b_03` exhibit a favourable balance between observed and expected events in the considered time windows.

7.3. Task 3: Position Papers on Impact of Exposition to Pollutants (Amyotrophic Lateral Sclerosis)

Figure 6 shows the C-index and 95% confidence intervals achieved on Task 3 sub-task a by the submitted runs and for the random classifier (last row). As observed by Karray [16] and Branco et al. [13] runs including environmental data (runs tagged with EWP and EW6) tend to perform worse than their counterpart that does not rely on the environmental data. The best-performing approach is provided by the NeuroTN team [16] and corresponds to the classifier ensemble (see subsection 7.4).

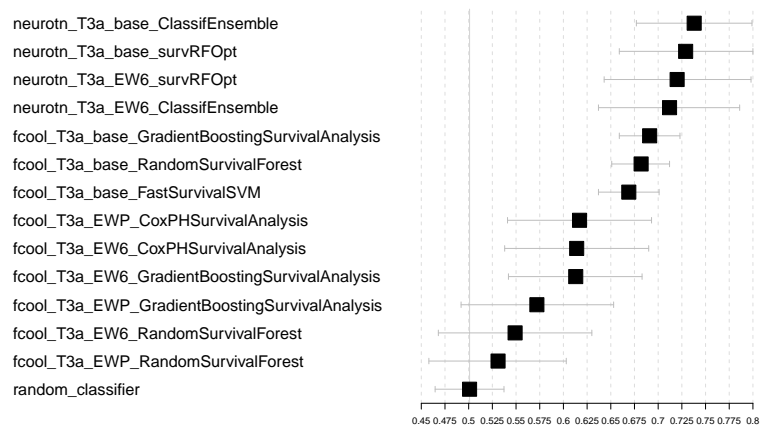


Figure 6: C-index (with 95% confidence interval) achieved by runs submitted to Task 3a.

Figure 7 shows the C-index and 95% confidence intervals achieved on Task 3 sub-task b by the submitted runs and for the random classifier (last row). In this sub-task only runs including environmental data (runs tagged with EWP and EW6) of FCOOL [13] tend to perform worse than their counterpart that does not rely on the environmental data. Instead, the best-performing approach is provided by the NeuroTN team [16] and corresponds to a survival random forest trained on EW6 data.

Similarly to sub-task a runs including environmental data (EWP, EW6) submitted by both participating teams (FCOOL, NeuroTN) tend to perform worse than their counterpart that does not rely on the environmental data. The best-performing approach is once more provided by the NeuroTN team [16] and corresponds to a survival random forest.

7.4. Approaches

In this section, we provide a short summary of the approaches adopted by participants in iDPP@CLEF. There are two separate sub-sections, one for Task 1 and 2 – focused on MS worsening prediction – and one for Task 3 – which concerns the impact of exposition to pollutants on the ALS progression.

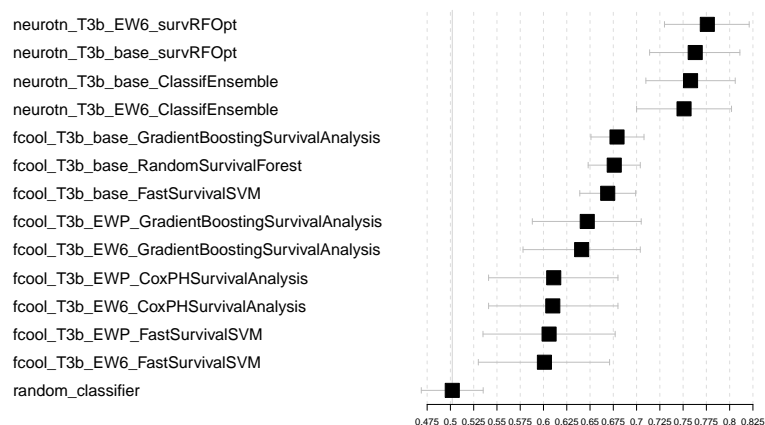


Figure 7: C-index (with 95% confidence interval) achieved by runs submitted to Task 3b.

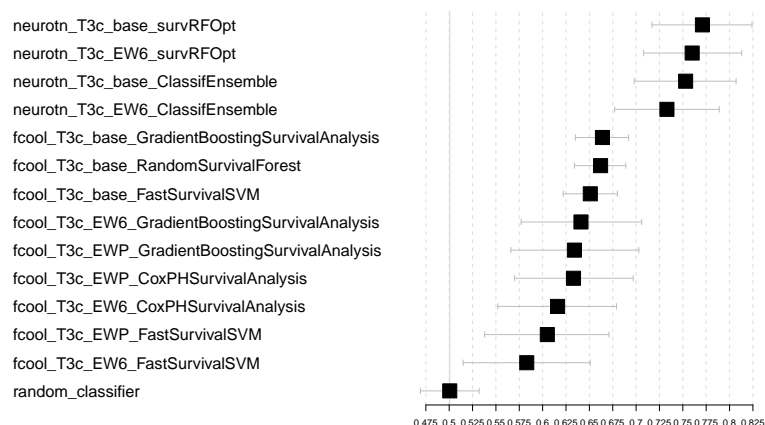


Figure 8: C-index (with 95% confidence interval) achieved by runs submitted to Task 3c.

Tasks 1 and 2

CompBioMed [12] experiments with CoxNet, Component-wise Gradient Boosting Survival Analysis (CWGBSA), and a hybrid method where the most important features selected by CWGBSA are used to build a CoxNet model (EvilCox). They also test non-linear methods such as Random Survival Forest and Gradient Boosting Survival Analysis, observing a tendency to overfit the training data. To assess the importance of the features, Rossi et al. [12] perform Permutation-based Feature Importance Analysis. In general, they observe that Coxnet is the best-performing approach for all tasks and subtasks. Nevertheless, they also observed that CWGBSA is resistant to over-fitting and aggressive in eliminating features. CWGBSA cross-validated performance is almost on par with that of CoxNet, despite using a smaller set of

features.

FCOOL [14] explores several survival prediction methods to rank MS patients according to the risk of worsening. The considered methods are Random Survival Forest, Gradient Boosting, Fast Survival SVM, Fast Kernel Survival SVM, and the Cox Proportional-Hazards model. A data preprocessing phase is conducted prior to training to manage the temporal nature of patient data by choosing relevant features and by computing additional ones – which capture the temporal progression of the disease. Overall, Random Survival Forest performs best on subtask 1a, whereas Fast Kernel Survival SVM on subtask 1b. Subtask 1b was found to be more complex because of the different definition of the worsening event.

HULAT [15] investigates the effectiveness of Random Survival Forest and Cox regression with Elastic Net regularization (CoxNet) methods on MS worsening prediction. As well as other groups, Ramos et al. [15] perform a data preprocessing phase involving data cleaning, format transformation, normalization, and outliers removal. In particular, the preprocessing step removes all the dynamic features containing a high number of missing values.

Onto-Med [17] develop a Maximum Likelihood Estimation approach to predict MS progression. The proposed method relies on patients' covariates and employs a multi-layer perceptron to approximate the optimal distribution parameters. To handle both tasks, Asamov et al. [17] used the whole training data to build a model and estimate a maximum likelihood distribution for each patient given their features. The method uses a cumulative probability estimate instead of coherent risk measures to accommodate the requirements of both tasks.

SBB [18] develops different machine-learning approaches to predict a worsening in patient disability caused by MS. Specifically, they consider the following well-known survival analysis approaches: Cox model, random survival forests, and survival support machine. They conclude that these approaches achieve modest performance and that employing non-linear methods does not lead to a discernible advantage with respect to the gold standard Cox model. Nonetheless, they observe that improving data pre-processing may be a key operation to perform in order to obtain more relevant input features and augment model discrimination with the aim of obtaining satisfactory results.

Stefagroup [19] explores two post-hoc model-agnostic XAI methods, namely SHAP and AraucanaXAI, to provide insights about the most predictive factors of worsening in MS patients. Buonocore et al. [19] evaluate the proposed XAI approaches using commonly adopted measures in XAI for healthcare such as identity, fidelity, separability and time. By leveraging SHAP and AraucanaXAI, the authors gained a deeper understanding of the shortcomings and limitations of their classifiers through feature importance and navigable decision trees.

SisInflab_AIBio [20] uses Random Survival Forests, an extension of random forests specifically designed for survival analysis, and Boosting Machines for time-to-event analysis. To assess the importance of features for both ML models, the permutation feature importance is computed as well. Lombardi et al. [20] observe that, if the definition of worsening is more complex and condition-dependent (tasks 1b and 2b) significantly lower their approach performs worse than with a simpler definition of worsening (tasks 1a and 2a).

UWB [21] evaluates various ML methods – such as Random Forest and Gradient Boosting – for survival analysis, as well as a Deep Learning survival analysis method based on the Transformer architecture: SurfTRACE. Among the different methods, the authors report top performance with Random Forest. Hanzl and Picek [21] observe that three aspects are instrumental to

achieving good performance: (i) data preprocessing, (ii) hyper-parameter tuning, and (iii) validation.

Task 3

FCOOL [13] investigates four models to assess the importance of environmental data in predicting the risk of early occurrence of NIV, PEG or death: Cox Proportional-Hazards, Random Survival Forest, Survival SVM, and Gradient Boosting. Without the introduction of environmental data, the models perform reasonably well. Nevertheless, Branco et al. [13] observe an evident degradation in performance when providing the model with environmental and clinical data in all three tasks. For task A, they observe an even larger degradation when unconstrained amounts of environmental data are provided, compared to what was observed with only 6 months of data. This pattern does not hold for Tasks B and C, where the amount of data does not harm the results, which are, in any case, lower than what was observed without environmental data.

NeuroTN [16] Proposes an approach to stratify patients relying on the disease progression patterns according to features extracted from applying staging systems on visits data. Clusters of patients are then profiled to determine their common characteristics: clinical, demographic and environmental. A second clustering procedure is carried on to detect clusters of patients with similar exposure concentrations to 3 different air pollutants. Then, Karray [16] performs risk prediction on each cluster separately and combines the predictions. In particular Karray [16] relies on two ensembles of classifiers trained on a different data representation (data with Environmental Features and data without Environmental Features). Furthermore, they explored also Survival Random Forests. As for Branco et al. [13], the introduction of environmental features does not seem to benefit both models and causes performance deterioration.

8. Conclusions and Future Work

The second iteration of iDPP@CLEF focuses on predicting the temporal progression of MS and ALS. In particular, iDPP@CLEF 2023 comprises three tasks. The first two tasks concern MS and participants were provided clinical data and had the objective of predicting the risk of worsening. The third task centres around ALS and builds upon the foundation laid by iDPP@CLEF 2022. This task follows a similar design, involving the prediction of NIV, PEG, or death, but with the addition of environmental data to explore the impact of pollutant exposure on the progression of ALS.

We developed 5 datasets, two for MS and three for ALS, based on the anonymized data provided by three medical institutions in Turin, Lisbon, and Pavia. Out of 45 registered participants, 10 managed to submit a total of 163 runs with a prevalence of submissions for Tasks 1 and 2. Participants adopted a range of approaches, such as Survival Random Forests and Coxnets.

The next iteration of iDPP@CLEF will maintain its dual focus on both ALS and MS. We will extend the amount of available information, by considering also time-series concerning patients' vital parameters produced by wearable devices.

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Table 10

AUROC and OE ratio for all the submitted runs for task 2 subtask a, with a two-year time window. We report the measure as well as the 95% confidence interval.

identifier	AUROC	O/E ratio
CBMUniTO_T2a_coxnet	0.890 (0.739, 1.000)	0.443 (-0.018, 0.904)
CBMUniTO_T2a_cwgsa	0.841 (0.618, 1.000)	0.467 (-0.007, 0.940)
CBMUniTO_T2a_evilcox	0.854 (0.655, 1.000)	0.449 (-0.015, 0.913)
HULATUC3M_T2a_survcoxnet	0.864 (0.770, 0.958)	0.437 (-0.021, 0.895)
HULATUC3M_T2a_survRF	0.840 (0.710, 0.969)	0.451 (-0.014, 0.917)
onto-med_T2a_0.01.1.0e-5.10000.100.adj	0.731 (0.482, 0.980)	0.133 (-0.120, 0.386)
onto-med_T2a_0.2.1.0e-5.10000.100	0.696 (0.440, 0.951)	0.269 (-0.090, 0.628)
onto-med_T2a_0.2.1.0e-5.10000.200	0.716 (0.446, 0.987)	0.234 (-0.101, 0.570)
onto-med_T2a_0.2.1.0e-5.5000.100	0.647 (0.399, 0.896)	0.380 (-0.047, 0.807)
onto-med_T2a_0.2.1.0e-5.5000.200	0.590 (0.337, 0.842)	0.358 (-0.057, 0.772)
sbb_T2a_Cox	0.708 (0.491, 0.926)	0.389 (-0.043, 0.821)
sbb_T2a_RSf	0.604 (0.386, 0.822)	0.385 (-0.045, 0.815)
sbb_T2a_SsVM	0.624 (0.461, 0.787)	0.358 (-0.057, 0.772)
sisinflab-aibio_T2a_GB1	0.677 (0.462, 0.893)	0.000 (0.000, 0.000)
sisinflab-aibio_T2a_GB2	0.782 (0.618, 0.945)	0.000 (0.000, 0.000)
sisinflab-aibio_T2a_GB3	0.481 (0.259, 0.703)	0.000 (-0.002, 0.002)
sisinflab-aibio_T2a_RF1	0.754 (0.537, 0.970)	0.017 (-0.073, 0.107)
sisinflab-aibio_T2a_RF2	0.569 (0.347, 0.791)	0.010 (-0.060, 0.081)
uhu-etsi-1_T2a_03	0.769 (0.621, 0.916)	0.678 (0.107, 1.248)
uhu-etsi-1_T2a_04	0.812 (0.690, 0.933)	0.713 (0.128, 1.298)
uhu-etsi-1_T2a_05	0.774 (0.636, 0.912)	0.697 (0.119, 1.276)
uwb_T2a_CGbsA	0.862 (0.731, 0.993)	3.106 (1.885, 4.327)
uwb_T2a_survGB	0.877 (0.745, 1.000)	0.919 (0.255, 1.583)
uwb_T2a_survGB_minVal	0.894 (0.787, 1.000)	0.946 (0.272, 1.620)
uwb_T2a_survRF	0.914 (0.784, 1.000)	1.811 (0.879, 2.744)
uwb_T2a_survRFmri	0.924 (0.800, 1.000)	1.889 (0.937, 2.842)

A. Task 2 results

Table 11

AUROC and OE ratio for all the submitted runs for task 2 subtask a, with a four-year time window. We report the measure as well as the 95% confidence interval.

identifier	AUROC	O/E ratio
CBMUniTO_T2a_coxnet	0.900 (0.779, 1.000)	0.627 (0.136, 1.117)
CBMUniTO_T2a_cwgsa	0.864 (0.691, 1.000)	0.638 (0.143, 1.134)
CBMUniTO_T2a_evilcox	0.867 (0.711, 1.000)	0.620 (0.132, 1.109)
HULATUC3M_T2a_survcoxnet	0.898 (0.812, 0.984)	0.599 (0.119, 1.079)
HULATUC3M_T2a_survRF	0.833 (0.711, 0.956)	0.637 (0.142, 1.132)
onto-med_T2a_0.01.1.0e-5.10000.100.adj	0.804 (0.600, 1.000)	0.228 (-0.068, 0.525)
onto-med_T2a_0.2.1.0e-5.10000.100	0.733 (0.522, 0.944)	0.360 (-0.012, 0.732)
onto-med_T2a_0.2.1.0e-5.10000.200	0.760 (0.540, 0.980)	0.316 (-0.033, 0.664)
onto-med_T2a_0.2.1.0e-5.5000.100	0.627 (0.426, 0.827)	0.487 (0.055, 0.920)
onto-med_T2a_0.2.1.0e-5.5000.200	0.622 (0.409, 0.835)	0.460 (0.040, 0.881)
sbb_T2a_Cox	0.762 (0.576, 0.948)	0.577 (0.106, 1.047)
sbb_T2a_RSf	0.644 (0.459, 0.830)	0.604 (0.123, 1.086)
sbb_T2a_SsVM	0.631 (0.466, 0.796)	0.405 (0.010, 0.799)
sisinflab-aibio_T2a_GB1	0.776 (0.601, 0.950)	0.948 (0.344, 1.551)
sisinflab-aibio_T2a_GB2	0.824 (0.698, 0.951)	0.006 (-0.043, 0.055)
sisinflab-aibio_T2a_GB3	0.533 (0.336, 0.731)	0.695 (0.178, 1.212)
sisinflab-aibio_T2a_RF1	0.873 (0.757, 0.990)	0.470 (0.045, 0.895)
sisinflab-aibio_T2a_RF2	0.836 (0.705, 0.966)	0.927 (0.330, 1.524)
uhu-etsi-1_T2a_03	0.716 (0.565, 0.866)	0.842 (0.274, 1.411)
uhu-etsi-1_T2a_04	0.740 (0.590, 0.890)	0.922 (0.327, 1.517)
uhu-etsi-1_T2a_05	0.740 (0.585, 0.895)	0.873 (0.294, 1.452)
uwb_T2a_CGbsA	0.842 (0.713, 0.971)	1.975 (1.104, 2.847)
uwb_T2a_survGB	0.891 (0.796, 0.986)	1.831 (0.993, 2.670)
uwb_T2a_survGB_minVal	0.898 (0.810, 0.985)	1.759 (0.937, 2.581)
uwb_T2a_survRF	0.893 (0.798, 0.989)	2.283 (1.347, 3.220)
uwb_T2a_survRFmri	0.907 (0.816, 0.998)	2.339 (1.391, 3.287)

Table 12

AUROC and OE ratio for all the submitted runs for task 2 subtask a, with a six-year time window. We report the measure as well as the 95% confidence interval.

identifier	AUROC	O/E ratio
CBMUniTO_T2a_coxnet	0.856 (0.722, 0.991)	0.608 (0.184, 1.031)
CBMUniTO_T2a_cwgsa	0.821 (0.658, 0.984)	0.619 (0.191, 1.047)
CBMUniTO_T2a_evilcox	0.816 (0.655, 0.978)	0.605 (0.182, 1.027)
HULATUC3M_T2a_survcoxnet	0.938 (0.870, 1.000)	0.529 (0.133, 0.924)
HULATUC3M_T2a_survRF	0.809 (0.667, 0.951)	0.576 (0.164, 0.989)
onto-med_T2a_0.01.1.0e-5.10000.100.adj	0.687 (0.495, 0.880)	0.284 (-0.005, 0.574)
onto-med_T2a_0.2.1.0e-5.10000.100	0.655 (0.451, 0.859)	0.352 (0.029, 0.675)
onto-med_T2a_0.2.1.0e-5.10000.200	0.702 (0.495, 0.909)	0.317 (0.011, 0.623)
onto-med_T2a_0.2.1.0e-5.5000.100	0.538 (0.351, 0.726)	0.469 (0.097, 0.842)
onto-med_T2a_0.2.1.0e-5.5000.200	0.558 (0.370, 0.746)	0.458 (0.090, 0.826)
sbb_T2a_Cox	0.728 (0.541, 0.916)	0.539 (0.124, 0.954)
sbb_T2a_RSf	0.638 (0.445, 0.830)	0.520 (0.112, 0.929)
sbb_T2a_SsVM	0.643 (0.470, 0.816)	0.357 (0.019, 0.695)
sisinflab-aibio_T2a_GB1	0.727 (0.542, 0.912)	58.75 (54.58, 62.92)
sisinflab-aibio_T2a_GB2	0.824 (0.701, 0.947)	18.06 (15.75, 20.37)
sisinflab-aibio_T2a_GB3	0.531 (0.344, 0.718)	3.783 (2.726, 4.840)
sisinflab-aibio_T2a_RF1	0.871 (0.759, 0.983)	0.493 (0.111, 0.875)
sisinflab-aibio_T2a_RF2	0.856 (0.731, 0.981)	1.373 (0.736, 2.010)
uhu-etsi-1_T2a_03	0.722 (0.561, 0.883)	0.758 (0.285, 1.231)
uhu-etsi-1_T2a_04	0.717 (0.556, 0.878)	0.825 (0.331, 1.319)
uhu-etsi-1_T2a_05	0.774 (0.631, 0.917)	0.777 (0.298, 1.256)
uwb_T2a_CGbsA	0.805 (0.670, 0.941)	1.366 (0.731, 2.002)
uwb_T2a_survGB	0.868 (0.753, 0.984)	1.739 (1.022, 2.455)
uwb_T2a_survGB_minVal	0.901 (0.800, 1.000)	1.768 (1.045, 2.490)
uwb_T2a_survRF	0.898 (0.808, 0.989)	1.796 (1.067, 2.524)
uwb_T2a_survRFmri	0.896 (0.801, 0.991)	1.797 (1.068, 2.525)

Table 13

AUROC and OE ratio for all the submitted runs for task 2 subtask a, with an eight-year time window. We report the measure as well as the 95% confidence interval.

identifier	AUROC	O/E ratio
CBMUniTO_T2a_coxnet	0.787 (0.626, 0.948)	0.652 (0.244, 1.061)
CBMUniTO_T2a_cwgsa	0.759 (0.587, 0.931)	0.666 (0.253, 1.079)
CBMUniTO_T2a_evilcox	0.749 (0.570, 0.927)	0.649 (0.242, 1.057)
HULATUC3M_T2a_survcoxnet	0.859 (0.735, 0.983)	0.587 (0.200, 0.975)
HULATUC3M_T2a_survRF	0.710 (0.552, 0.868)	0.653 (0.244, 1.062)
onto-med_T2a_0.01.1.0e-5.10000.100.adj	0.626 (0.446, 0.805)	0.38 (0.068, 0.692)
onto-med_T2a_0.2.1.0e-5.10000.100	0.636 (0.447, 0.825)	0.397 (0.078, 0.715)
onto-med_T2a_0.2.1.0e-5.10000.200	0.664 (0.477, 0.852)	0.366 (0.060, 0.671)
onto-med_T2a_0.2.1.0e-5.5000.100	0.538 (0.355, 0.722)	0.503 (0.144, 0.862)
onto-med_T2a_0.2.1.0e-5.5000.200	0.449 (0.267, 0.630)	0.499 (0.141, 0.856)
sbb_T2a_Cox	0.650 (0.454, 0.847)	0.547 (0.159, 0.934)
sbb_T2a_RSF	0.556 (0.354, 0.759)	0.570 (0.174, 0.965)
sbb_T2a_S SVM	0.697 (0.530, 0.865)	0.328 (0.028, 0.627)
sisinflab-aibio_T2a_GB1	0.690 (0.513, 0.867)	874.2 (859.2, 889.1)
sisinflab-aibio_T2a_GB2	0.795 (0.659, 0.931)	1142 (1125, 1159)
sisinflab-aibio_T2a_GB3	0.605 (0.420, 0.790)	23.00 (20.57, 25.43)
sisinflab-aibio_T2a_RF1	0.746 (0.586, 0.906)	0.567 (0.186, 0.948)
sisinflab-aibio_T2a_RF2	0.754 (0.591, 0.917)	1.866 (1.175, 2.557)
uhu-etsi-1_T2a_03	0.664 (0.485, 0.843)	0.874 (0.401, 1.347)
uhu-etsi-1_T2a_04	0.672 (0.499, 0.845)	0.900 (0.420, 1.380)
uhu-etsi-1_T2a_05	0.703 (0.530, 0.875)	0.870 (0.398, 1.342)
uwb_T2a_CGBSA	0.747 (0.597, 0.898)	1.312 (0.732, 1.891)
uwb_T2a_survGB	0.790 (0.641, 0.938)	1.906 (1.207, 2.604)
uwb_T2a_survGB_minVal	0.818 (0.677, 0.959)	1.926 (1.224, 2.628)
uwb_T2a_survRF	0.828 (0.702, 0.954)	1.732 (1.066, 2.398)
uwb_T2a_survRFmri	0.838 (0.713, 0.964)	1.731 (1.065, 2.396)

Table 14

AUROC and OE ratio for all the submitted runs for task 2 subtask a, with an ten-year time window. We report the measure as well as the 95% confidence interval.

identifier	AUROC	O/E ratio
CBMUniTO_T2a_coxnet	0.796 (0.640, 0.952)	0.636 (0.257, 1.016)
CBMUniTO_T2a_cwgsa	0.765 (0.594, 0.935)	0.643 (0.262, 1.024)
CBMUniTO_T2a_evilcox	0.757 (0.585, 0.929)	0.634 (0.255, 1.012)
HULATUC3M_T2a_survcoxnet	0.831 (0.682, 0.980)	0.582 (0.220, 0.945)
HULATUC3M_T2a_survRF	0.741 (0.567, 0.915)	0.610 (0.239, 0.982)
onto-med_T2a_0.01.1.0e-5.10000.100.adj	0.631 (0.429, 0.834)	0.366 (0.078, 0.653)
onto-med_T2a_0.2.1.0e-5.10000.100	0.682 (0.490, 0.875)	0.383 (0.089, 0.677)
onto-med_T2a_0.2.1.0e-5.10000.200	0.702 (0.518, 0.886)	0.361 (0.075, 0.647)
onto-med_T2a_0.2.1.0e-5.5000.100	0.557 (0.344, 0.770)	0.465 (0.141, 0.789)
onto-med_T2a_0.2.1.0e-5.5000.200	0.404 (0.189, 0.618)	0.456 (0.135, 0.776)
sbb_T2a_Cox	0.608 (0.388, 0.828)	0.522 (0.168, 0.877)
sbb_T2a_RSF	0.568 (0.342, 0.794)	0.491 (0.148, 0.835)
sbb_T2a_S SVM	0.659 (0.446, 0.872)	0.275 (0.018, 0.532)
sisinflab-aibio_T2a_GB1	0.784 (0.624, 0.944)	$> 10^5$ ($> 10^5$, $> 10^5$)
sisinflab-aibio_T2a_GB2	0.749 (0.564, 0.934)	2411 (2387, 2434)
sisinflab-aibio_T2a_GB3	0.510 (0.298, 0.721)	217.3 (210.3, 224.3)
sisinflab-aibio_T2a_RF1	0.745 (0.568, 0.922)	0.587 (0.223, 0.951)
sisinflab-aibio_T2a_RF2	0.698 (0.511, 0.885)	1.877 (1.226, 2.528)
uhu-etsi-1_T2a_03	0.639 (0.437, 0.842)	0.786 (0.365, 1.208)
uhu-etsi-1_T2a_04	0.675 (0.471, 0.878)	0.794 (0.371, 1.218)
uhu-etsi-1_T2a_05	0.722 (0.534, 0.909)	0.816 (0.387, 1.246)
uwb_T2a_CGBSA	0.798 (0.649, 0.947)	1.467 (0.891, 2.042)
uwb_T2a_survGB	0.812 (0.654, 0.969)	1.644 (1.035, 2.254)
uwb_T2a_survGB_minVal	0.808 (0.648, 0.967)	1.658 (1.046, 2.270)
uwb_T2a_survRF	0.820 (0.672, 0.968)	1.458 (0.884, 2.032)
uwb_T2a_survRFmri	0.839 (0.699, 0.979)	1.447 (0.875, 2.019)

Table 15

AUROC and OE ratio for all the submitted runs for task 2 subtask b, with a two-year time window. We report the measure as well as the 95% confidence interval.

identifier	AUROC	O/E ratio
CBMUniTO_T2b_coxnet	0.676 (0.514, 0.838)	1.082 (0.467, 1.697)
CBMUniTO_T2b_cwgbasa	0.632 (0.477, 0.787)	1.101 (0.481, 1.721)
HULATUC3M_T2b_survRF	0.560 (0.329, 0.791)	1.019 (0.422, 1.615)
onto-med_T2b_0.2.1.0e-5.10000.100	0.604 (0.432, 0.776)	0.585 (0.133, 1.037)
onto-med_T2b_0.2.1.0e-5.10000.200	0.585 (0.433, 0.736)	0.547 (0.110, 0.985)
onto-med_T2b_0.2.1.0e-5.5000.100	0.569 (0.384, 0.754)	1.065 (0.455, 1.675)
onto-med_T2b_0.2.1.0e-5.5000.200	0.523 (0.329, 0.717)	1.035 (0.434, 1.636)
sbb_T2b_Cox	0.642 (0.397, 0.887)	1.098 (0.449, 1.748)
sbb_T2b_RSF	0.514 (0.281, 0.747)	0.966 (0.357, 1.576)
sbb_T2b_S SVM	0.547 (0.345, 0.750)	0.814 (0.255, 1.373)
sisinflab-aibio_T2b_GB1	0.462 (0.249, 0.675)	0.000 (-0.003, 0.003)
sisinflab-aibio_T2b_GB2	0.614 (0.442, 0.786)	0.000 (0.000, 0.000)
sisinflab-aibio_T2b_RF1	0.469 (0.265, 0.672)	0.018 (-0.062, 0.098)
sisinflab-aibio_T2b_RF2	0.535 (0.324, 0.746)	0.011 (-0.052, 0.075)
uhu-etsi-1_T2b_03	0.652 (0.488, 0.816)	1.475 (0.757, 2.193)
uhu-etsi-1_T2b_05	0.630 (0.450, 0.811)	1.328 (0.647, 2.009)
uhu-etsi-1_T2b_s02	0.644 (0.460, 0.827)	1.483 (0.764, 2.203)
uwb_T2b_CGBSA	0.514 (0.311, 0.717)	1.818 (1.021, 2.615)
uwb_T2b_survGB	0.569 (0.392, 0.747)	1.045 (0.441, 1.649)
uwb_T2b_survGB_minVal	0.606 (0.437, 0.776)	0.920 (0.353, 1.486)
uwb_T2b_survRF	0.590 (0.410, 0.769)	2.292 (1.398, 3.187)
uwb_T2b_survRFmri	0.596 (0.421, 0.770)	2.257 (1.370, 3.145)

Table 16

AUROC and OE ratio for all the submitted runs for task 2 subtask b, with a four-year time window. We report the measure as well as the 95% confidence interval.

identifier	AUROC	O/E ratio
CBMUniTO_T2b_coxnet	0.633 (0.486, 0.780)	0.858 (0.430, 1.286)
CBMUniTO_T2b_cwgsa	0.626 (0.484, 0.768)	0.850 (0.424, 1.276)
HULATUC3M_T2b_survRF	0.507 (0.338, 0.675)	0.784 (0.375, 1.193)
onto-med_T2b_0.2.1.0e-5.10000.100	0.500 (0.342, 0.658)	0.464 (0.149, 0.778)
onto-med_T2b_0.2.1.0e-5.10000.200	0.494 (0.346, 0.643)	0.405 (0.111, 0.699)
onto-med_T2b_0.2.1.0e-5.5000.100	0.536 (0.368, 0.704)	0.782 (0.374, 1.191)
onto-med_T2b_0.2.1.0e-5.5000.200	0.531 (0.366, 0.696)	0.725 (0.332, 1.119)
sbb_T2b_Cox	0.567 (0.382, 0.752)	0.807 (0.380, 1.234)
sbb_T2b_RSf	0.529 (0.366, 0.692)	0.711 (0.310, 1.111)
sbb_T2b_SVM	0.629 (0.468, 0.791)	0.520 (0.177, 0.863)
sisinflab-aibio_T2b_GB1	0.465 (0.299, 0.631)	$> 10^6$ ($> 10^6$, $> 10^6$)
sisinflab-aibio_T2b_GB2	0.639 (0.485, 0.793)	$> 10^5$ ($> 10^5$, $> 10^5$)
sisinflab-aibio_T2b_RF1	0.502 (0.333, 0.672)	0.637 (0.268, 1.006)
sisinflab-aibio_T2b_RF2	0.421 (0.262, 0.581)	1.005 (0.542, 1.469)
uhu-etsi-1_T2b_03	0.578 (0.428, 0.727)	1.064 (0.587, 1.540)
uhu-etsi-1_T2b_05	0.477 (0.314, 0.640)	0.971 (0.516, 1.426)
uhu-etsi-1_T2b_s02	0.590 (0.435, 0.745)	1.076 (0.597, 1.555)
uwb_T2b_CGBSA	0.580 (0.423, 0.737)	0.774 (0.367, 1.180)
uwb_T2b_survGB	0.597 (0.454, 0.741)	1.259 (0.741, 1.778)
uwb_T2b_survGB_minVal	0.612 (0.468, 0.756)	1.228 (0.716, 1.740)
uwb_T2b_survRF	0.552 (0.401, 0.704)	1.523 (0.953, 2.093)
uwb_T2b_survRFmri	0.561 (0.407, 0.715)	1.525 (0.955, 2.096)

Table 17

AUROC and OE ratio for all the submitted runs for task 2 subtask b, with a six-year time window. We report the measure as well as the 95% confidence interval.

identifier	AUROC	O/E ratio
CBMUniTO_T2b_coxnet	0.635 (0.488, 0.782)	0.811 (0.443, 1.180)
CBMUniTO_T2b_cwgsa	0.655 (0.512, 0.797)	0.809 (0.441, 1.176)
HULATUC3M_T2b_survRF	0.493 (0.333, 0.653)	0.726 (0.378, 1.074)
onto-med_T2b_0.2.1.0e-5.10000.100	0.508 (0.353, 0.663)	0.464 (0.185, 0.742)
onto-med_T2b_0.2.1.0e-5.10000.200	0.512 (0.358, 0.666)	0.416 (0.153, 0.680)
onto-med_T2b_0.2.1.0e-5.5000.100	0.533 (0.374, 0.692)	0.722 (0.375, 1.069)
onto-med_T2b_0.2.1.0e-5.5000.200	0.482 (0.325, 0.639)	0.660 (0.328, 0.992)
sbb_T2b_Cox	0.601 (0.428, 0.774)	0.782 (0.404, 1.160)
sbb_T2b_RSF	0.511 (0.337, 0.685)	0.695 (0.339, 1.052)
sbb_T2b_S SVM	0.560 (0.386, 0.733)	0.444 (0.159, 0.729)
sisinflab-aibio_T2b_GB1	0.456 (0.294, 0.618)	$> 10^8$ ($> 10^8$, $> 10^8$)
sisinflab-aibio_T2b_GB2	0.629 (0.479, 0.779)	$> 10^6$ ($> 10^6$, $> 10^6$)
sisinflab-aibio_T2b_RF1	0.445 (0.289, 0.600)	0.707 (0.363, 1.050)
sisinflab-aibio_T2b_RF2	0.619 (0.470, 0.767)	1.202 (0.754, 1.651)
uhu-etsi-1_T2b_03	0.566 (0.411, 0.722)	0.985 (0.579, 1.391)
uhu-etsi-1_T2b_05	0.561 (0.398, 0.725)	0.913 (0.522, 1.303)
uhu-etsi-1_T2b_s02	0.610 (0.456, 0.764)	1.008 (0.598, 1.419)
uwb_T2b_CG BSA	0.604 (0.452, 0.756)	1.515 (1.012, 2.017)
uwb_T2b_survGB	0.589 (0.440, 0.737)	1.363 (0.886, 1.840)
uwb_T2b_survGB_minVal	0.602 (0.451, 0.754)	1.375 (0.896, 1.854)
uwb_T2b_survRF	0.549 (0.398, 0.700)	1.351 (0.876, 1.826)
uwb_T2b_survRFmri	0.559 (0.407, 0.711)	1.364 (0.886, 1.841)

Table 18

AUROC and OE ratio for all the submitted runs for task 2 subtask b, with an eight-year time window. We report the measure as well as the 95% confidence interval.

identifier	AUROC	O/E ratio
CBMUniTO_T2b_coxnet	0.651 (0.503, 0.800)	0.803 (0.465, 1.141)
CBMUniTO_T2b_cwgsa	0.673 (0.530, 0.816)	0.802 (0.464, 1.140)
HULATUC3M_T2b_survRF	0.607 (0.452, 0.761)	0.746 (0.421, 1.072)
onto-med_T2b_0.2.1.0e-5.10000.100	0.517 (0.362, 0.673)	0.486 (0.223, 0.748)
onto-med_T2b_0.2.1.0e-5.10000.200	0.502 (0.347, 0.657)	0.442 (0.191, 0.692)
onto-med_T2b_0.2.1.0e-5.5000.100	0.594 (0.442, 0.746)	0.715 (0.396, 1.034)
onto-med_T2b_0.2.1.0e-5.5000.200	0.564 (0.412, 0.717)	0.655 (0.350, 0.960)
sbb_T2b_Cox	0.594 (0.419, 0.770)	0.735 (0.392, 1.079)
sbb_T2b_RSf	0.668 (0.496, 0.839)	0.672 (0.344, 1.000)
sbb_T2b_SsVM	0.474 (0.296, 0.651)	0.403 (0.149, 0.657)
sisinflab-aibio_T2b_GB1	0.487 (0.329, 0.645)	$> 10^9$ ($> 10^9$, $> 10^9$)
sisinflab-aibio_T2b_GB2	0.616 (0.463, 0.768)	$> 10^8$ ($> 10^8$, $> 10^8$)
sisinflab-aibio_T2b_RF1	0.471 (0.316, 0.627)	0.803 (0.465, 1.141)
sisinflab-aibio_T2b_RF2	0.600 (0.447, 0.754)	1.442 (0.989, 1.895)
uhu-etsi-1_T2b_03	0.496 (0.339, 0.652)	1.001 (0.624, 1.379)
uhu-etsi-1_T2b_05	0.494 (0.337, 0.651)	0.951 (0.583, 1.318)
uhu-etsi-1_T2b_s02	0.567 (0.413, 0.721)	1.010 (0.631, 1.389)
uwb_T2b_CGbsA	0.627 (0.477, 0.777)	1.295 (0.866, 1.724)
uwb_T2b_survGB	0.580 (0.427, 0.733)	1.404 (0.957, 1.850)
uwb_T2b_survGB_minVal	0.587 (0.433, 0.742)	1.430 (0.979, 1.880)
uwb_T2b_survRF	0.522 (0.367, 0.678)	1.304 (0.873, 1.734)
uwb_T2b_survRFmri	0.525 (0.369, 0.681)	1.302 (0.872, 1.732)

Table 19

AUROC and OE ratio for all the submitted runs for task 2 subtask b, with a ten-year time window. We report the measure as well as the 95% confidence interval.

identifier	AUROC	O/E ratio
CBMUniTO_T2b_coxnet	0.686 (0.526, 0.847)	0.845 (0.516, 1.174)
CBMUniTO_T2b_cwgbsa	0.709 (0.556, 0.862)	0.850 (0.520, 1.180)
HULATUC3M_T2b_survRF	0.579 (0.420, 0.737)	0.774 (0.460, 1.089)
onto-med_T2b_0.2.1.0e-5.10000.100	0.488 (0.322, 0.654)	0.501 (0.248, 0.755)
onto-med_T2b_0.2.1.0e-5.10000.200	0.523 (0.358, 0.687)	0.461 (0.218, 0.704)
onto-med_T2b_0.2.1.0e-5.5000.100	0.556 (0.397, 0.715)	0.694 (0.396, 0.992)
onto-med_T2b_0.2.1.0e-5.5000.200	0.455 (0.291, 0.618)	0.643 (0.356, 0.930)
sbb_T2b_Cox	0.622 (0.441, 0.803)	0.785 (0.451, 1.120)
sbb_T2b_RSf	0.646 (0.470, 0.821)	0.705 (0.388, 1.021)
sbb_T2b_SsVM	0.541 (0.356, 0.725)	0.397 (0.159, 0.635)
sisinflab-aibio_T2b_GB1	0.482 (0.319, 0.645)	$> 10^{10}$ ($> 10^{10}$, $> 10^{10}$)
sisinflab-aibio_T2b_GB2	0.527 (0.366, 0.689)	$> 10^8$ ($> 10^8$, $> 10^8$)
sisinflab-aibio_T2b_RF1	0.520 (0.358, 0.681)	0.847 (0.518, 1.177)
sisinflab-aibio_T2b_RF2	0.555 (0.393, 0.716)	1.625 (1.169, 2.082)
uhu-etsi-1_T2b_03	0.533 (0.373, 0.694)	1.054 (0.687, 1.422)
uhu-etsi-1_T2b_05	0.541 (0.379, 0.703)	1.045 (0.679, 1.411)
uhu-etsi-1_T2b_s02	0.609 (0.451, 0.767)	1.088 (0.715, 1.462)
uwb_T2b_CGbsA	0.628 (0.463, 0.793)	1.166 (0.78, 1.553)
uwb_T2b_survGB	0.594 (0.430, 0.758)	1.454 (1.022, 1.885)
uwb_T2b_survGB_minVal	0.626 (0.465, 0.787)	1.489 (1.052, 1.926)
uwb_T2b_survRF	0.506 (0.340, 0.672)	1.313 (0.903, 1.724)
uwb_T2b_survRFmri	0.491 (0.324, 0.658)	1.316 (0.905, 1.726)