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A Decision Support System for managing irrigation in agriculture

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22 **Abstract**

23 In this paper, an automatic Smart Irrigation Decision Support System, SIDSS, is proposed to
24 manage irrigation in agriculture. Our system estimates the weekly irrigations needs of a
25 plantation, on the basis of both soil measurements and climatic variables gathered by several
26 autonomous nodes deployed in field. This enables a closed loop control scheme to adapt the
27 decision support system to local perturbations and estimation errors. Two machine learning
28 techniques, PLSR and ANFIS, are proposed as reasoning engine of our SIDSS. Our approach is
29 validated on three commercial plantations of citrus trees located in the South-East of Spain.
30 Performance is tested against decisions taken by a human expert.

31 **Keywords:** Irrigation, Decision Support System, water optimization, machine learning.

32

33 **1 Introduction**

34 The efficient use of water in agriculture is one of the most important agricultural challenges that
35 modern technologies are helping to achieve. In arid and semiarid regions, the differences between
36 precipitation and irrigation water requirements are so big that irrigation management is a priority
37 for sustainable and economically profitable crops (IDAE, 2005).

38 To accomplish this efficient use, expert agronomists rely on information from several sources
39 (soil, plant and atmosphere) to properly manage the irrigation requirements of the crops (Puerto
40 et al., 2013). This information is defined by a set of variables, which can be measured using
41 sensors, that are able to characterise the water status of the plants and the soil in order to obtain
42 their water requirements. While meteorological variables are representative of a large area and

43 can be easily measured by a single sensor for a vast land extension, soil and plant variables have
44 a large spatial variability. Therefore, in order to use these parameters to effectively schedule the
45 irrigation of the plants, multiple sensors are needed (Naor et al., 2001).

46 Weather is one of the key factors being used to estimate the water requirements of the crops
47 (Allen et al., 1998). Moreover, it is very frequent that public agronomic management organisms
48 have weather stations spread around the different regions. These weather stations usually provide
49 information of key variables for the agriculture like reference evapotranspiration (ET_0) or the
50 Vapour Pressure Deficit (VPD) that are of great importance to calculate the water requirements
51 of the crops. Using variables related to the climate is the most common approach to create crop
52 water requirement models (Jensen et al., 1970; Smith, 2000; Zwart and Bastiaanssen, 2004).
53 Using these models, based on solely meteorological variables, a decision-making system can
54 determine how a given crop will behave (Guariso et al., 1985).

55 However, not all the regions have access to an extensive network of weather stations or they may
56 not be nearby a given crop, thus the local micro-climates are not taken into account if only these
57 parameters are used. Besides, irrigation models based only on climate parameters rely on an open
58 loop structure. This means that the model is subject to stochastic events and it may not be able to
59 correct the local perturbations that can occur when a unexpected weather phenomenon occurs (for
60 instance irrigate the crop when it's already raining) (Dutta et al., 2014; Giusti and Marsili-Libelli,
61 2015). Finally, monitoring other variables, such as hydrodynamic soil factors or water drainage,
62 might increase the chances that the irrigation predicted by the models is properly used by the
63 plants (Kramer and Boyer, 1995). Therefore, the usage of sensors that measures the soil water
64 status is a key complement to modulate the water requirements of the crops. Soil variables, such

65 as soil moisture content or soil matric potential, are considered by many authors as crucial part of
66 scheduling tools for managing irrigation (Cardenas-Lailhacar and Dukes, 2010; Soulis et al.,
67 2015). The information from soil sensors can be used to create better decision models with closed
68 loop structures that adapt to weather and soil perturbations (Cardenas-Lailhacar and Dukes, 2010;
69 Soulis et al., 2015). This practice, however, has not been widely adopted due to the technological
70 limitations of available soil sensors, which required measured information to be registered and
71 stored, traditionally using wired dataloggers, and limiting the installation flexibility and the real
72 time interaction. This has changed recently with new generation sensors and sensor networks that
73 are more versatile and suited to the agricultural environment (Navarro-Hellín et al., 2015).

74 Combining climate and soil variables has therefore potential to properly manage irrigation in a
75 more efficient way than other traditional approaches. However, it also entails a series of
76 challenges related with the increased amount of data flow, its analysis and its use to create
77 effective models, in particular when data provided by different sources may seem contradictory
78 and/or redundant. Traditionally, this analysis and modelling is performed by a human expert who
79 interprets the different variables. The need of a human agronomist expert is required due to the
80 complexity introduced by the soil spatial variability, crop species variability and their irrigation
81 requirements over the growth cycle (Maton et al., 2005), which require comparing crops models
82 and local context variables to determine the specific water requirements to achieve a certain goal
83 at a particular location.

84 The complexity of this problem and the different sources of variability makes than even the best
85 model may deviate from the prediction, which favours the use of close loop control systems

86 combining soil and climate sensors over open loop systems as a way to compensate possible
87 deviations in future predictions.

88 Human expertise has been proved effective to assist irrigation management but it is not scalable
89 and available to every field, farm and crop and it is slow in the analysis of the data and real time
90 processing. Instead, applying machine learning techniques to replace the manual models and to
91 assist expert agronomists allows the viability of creating automatic Irrigation Decision Support
92 System. Machine learning techniques have been used previously to estimate relevant parameters
93 of the crop (Sreekanth et al., 2015). Giusti and Marsili-Libelli(2015) present a fuzzy decision
94 systems to predict the volumetric water content of the soil based on local climate data. Adeloye
95 et al. (2012), proposed the use of unsupervised artificial neural networks (ANN) to estimate the
96 evapotranspiration also based on weather information solely. King and Shellie (2016) used NN
97 modelling to estimate the lower threshold temperature (T_{nws}) needed to calculate the crop water
98 stress index for wine grapes. In Campos et al. (2016) the authors presented a new algorithm
99 designed to estimate the total available water in the soil root zone of a vineyard crop, using only
100 SWC sensors, which are very dependent of the location. Taking advantage of the soil
101 information, Valdes-Vela et al.(2015) and Abrisqueta et al.(2015) incorporates the volumetric
102 soil water content, manually collected with a neutron probe, to agro-meteorological data. This
103 information is then fed into a fuzzy logic system to estimate the stem water potential. Other
104 approaches in the literature also make use of machine learning techniques -such as principal
105 component analysis, unsupervised clustering, ANN, etc.- to estimate the irrigation requirements
106 in crops. However they do not specify the quantity of water needed (Dutta et al., 2014), they
107 reduce the prediction to true or false, and/or they are based on open loop structures (Giusti and

108 Marsili-Libelli, 2015; Jensen et al., 1970; Smith, 2000; Zwart and Bastiaanssen, 2004), only
109 considering the weather information and, therefore, unable to correct deviations from their
110 predictions.

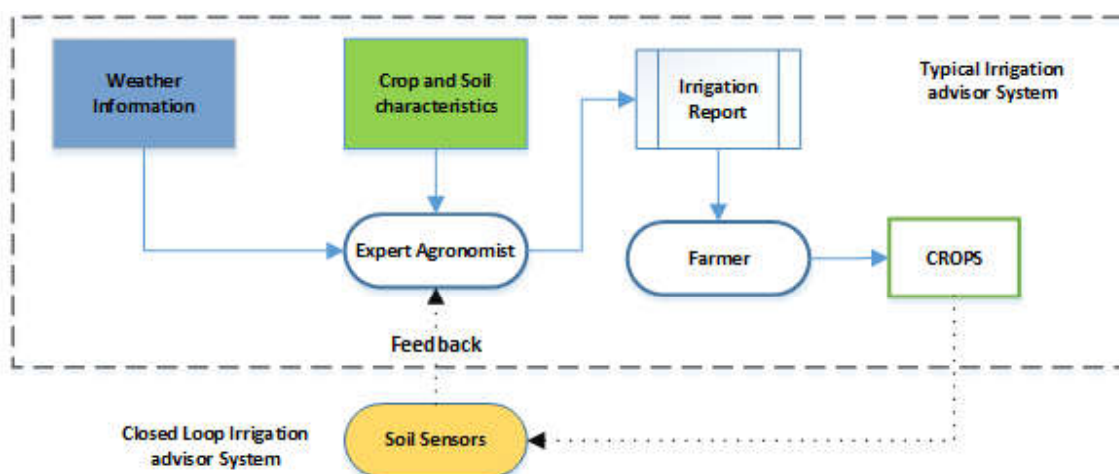
111 This paper proposes an automated decision support system to manage the irrigation on a certain
112 crop field, based on both climatic and soil variables provided by weather stations and soil
113 sensors. As discussed, we postulate that the usage of machine learning techniques with the
114 weather and soil variables is of great importance and can help to achieve a fully automated close
115 loop system able to precisely predict the irrigation needs of a crop. Our presented system is
116 evaluated by comparing it against the irrigations reports provided by an agronomist specialist
117 during a complete season in different fields.

118 **2 System Structure**

119 An irrigation advice system is based on the concept of predicting the waters needs of the crops in
120 order to irrigate them properly. Traditionally this decision has been taken by an experienced
121 farmer or an expert agricultural technician. Figure 1 shows the flow diagram of which the
122 proposed system is based.

123 In this schema, an expert agronomist is in charge of analysing the information from different
124 sources: Weather stations located near the crops that collect meteorological data, Crop and Soil
125 characteristics (type, age, size, cycle, etc.) and Soil sensors installed in the crop fields. The expert
126 analyses the information to provide an irrigation report, which indicates the amount of water
127 needed to irrigate properly the crops in the upcoming week. To make this decision making

128 process manageable, the information needed to create the irrigation report on the next week is
 129 only the information of the current week.



130

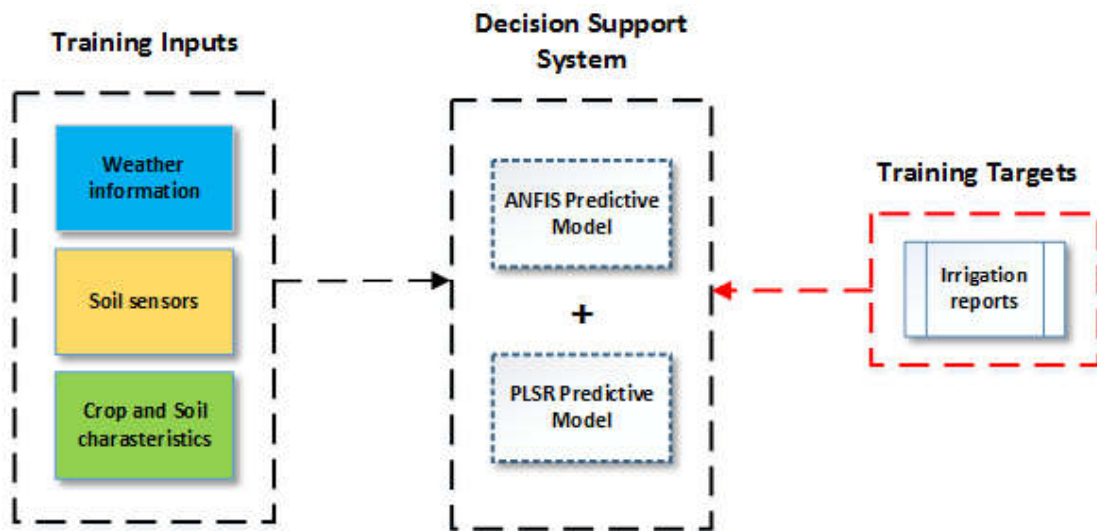
131

Figure 1: Flow Diagram of the proposed system

132 Based on this concept, our Smart Irrigation Decision Support System (SIDSS) is proposed. In
 133 order to evaluate the performance and validity of our approach, the decision system will use the
 134 same information used by the expert agronomist and will output the water requirements for the
 135 upcoming week. This will ensure a fair comparison between the decisions taken by a human
 136 expert and the SIDSS. To accomplish this, the machine learning system must be trained with
 137 historical data and irrigations reports of the agronomist, using the irrigation decisions taken in
 138 these reports as the groundtruth of the system. The aim of the system is to be as accurate as
 139 possible to this groundtruth. Several machine learning techniques were applied and evaluated to
 140 achieve the best performance. Figure 2 shows a diagram of the SIDSS.

141 The Irrigation Decision System is composed of three main components: a collection device that
 142 gathers information from the soil sensors, weather stations that provide agrometeorological
 143 information and the SIDSS that, when trained correctly, is able to predict the irrigation

144 requirements of the crops for the incoming week. Table 1 shows the set of possible input
 145 variables of the system.



146
 147

Figure 2: Training inputs and targets of SIDSS

	Name	Symbol	Category
1	Volumetric Water Content depth 1	VWC1	Soil Sensors
2	Volumetric Water Content depth 2	VWC2	
3	Volumetric Water Content depth 3	VWC3	
4	Soil Water Potential	SWP	
5	Soil Temperature	ST	
6	Rainfall	RF	Weather Stations
7	Wind Speed	WS	
8	Temperature	T	
9	Relative Humidity	RH	
10	Global Radiation	GR	
11	Dew Point	DP	
12	Vapour pressure Deficit	VPD	
13	Crop Evapotranspiration	ET _c	Crop and Soil Characteristics + Weather Stations

148

Table 1: Set of possible input variables of the system

149 **2.1 Collection device and soil sensors**

150 The information from the soil sensors is gathered using our own developed device that has been
151 proved to be completely functional for irrigation management in different crops and conditions
152 (Navarro-Hellin et al., 2015). This device is wireless, equipped with a GSM/GPRS modem, and
153 is completely autonomous, so that the installation procedures are accessible to any farmer.

154 Figure 3 shows the collection device installed in a lemon crop field located in the South-East of
155 Spain.



156

157 *Figure 3: Device installed in a lemon crop field.*

158 The device allows to fully configure the recording rates of all the embedded sensors. In our
159 experiments, a sampling rate of 15 minutes was set, since this gives a good balance between
160 providing enough information to support a correct agronomic decision and maintaining the

161 autonomy of the device with the equipped solar panel and battery (López Riquelme et al., 2009;
162 Navarro-Hellin et al., 2015). The information is received, processed and stored in a relational
163 database.

164 **2.1.1. Soil Sensors**

165 The soil control variables used to provide SIDSS with relevant information are matric potential
166 (Ψ_m) and volumetric soil water content (θ_v), which are common in irrigation management (Jones,
167 2004). By using these variables, the irrigation can be scheduled for maintaining soil moisture
168 conditions equivalent or close to field capacity in order to satisfy the required crop water
169 requirements. Likewise, they can be used to maintain soil water content or soil matric potential
170 under certain reference values proper of regulated deficit irrigation strategies. Both Ψ_m and θ_v are
171 used to decide the irrigation frequency and to adjust the gross irrigation doses.

172 Soil matric potential was measured with MPS-2 sensors (Decagon devices, Inc., Pullman, WA
173 99163 - USA), while volumetric soil water content was measured with both 10-HS (Decagon
174 devices, Inc., Pullman, WA 99163 - USA) and Enviroscan (Sentek Pty. Ltd., Adelaide, Australia)
175 sensors

176 Besides both previous soil sensors, another sensor is used. A pluviometer (Rain-0173-matic
177 small, Pronamic Ltd., Ringkøbing, Denmark) was used under the dripper to provide accurate
178 estimation of the amount of water applied and the irrigation run time. The information provided
179 by this sensor was used to ensure that the farmer is following the instruction of the agronomic
180 reports provided by the expert. Table 2 summarizes the variables measured by the soils sensors.

Sensor	Measured data	Variable name	Range	Resolution	Supply voltage range	Output	URL
10HS	soil moisture	VWC1,VWC2,VWC3	0 to 57 % VWC	0.08%VWC	3-15 VDC	0.3-1.25 V	http://www.decagon.com/
MPS-2	soil matric potential and temperature	SWP ST	-10 to -500 kPa -40° to +50 °C	0.1 kPa 0.1°C	6-15 VDC	SDI-12	http://www.decagon.com/
Enviroscan	soil moisture	VWC1,VWC2,VWC3	0 to 65% VWC	0.003 %VWC	8-32 VDC	4-20 mA	http://www.sentek.com.au/

181

Table 2: Soil sensors technical information

182 **2.2 Weather Stations**

183 Experiments took place in the Region of Murcia, Spain. In this region, there is a network of 45
 184 agro-meteorological stations located in irrigated areas, the Agricultural Information Network
 185 System of Murcia (SIAM), funded by the EU and installed to help estimate the reference
 186 evapotranspiration (ET_0) and the irrigation needs of crops after a severe drought between 1979
 187 and 1985.

188 The variables measured by the stations are the following:

189 Temperature (T),Relative humidity (RH),Global radiation (GR),Wind speed (WS), Rainfall (RF),
 190 Dew point (DP),Vapour pressure deficit (VPD).

191 These variables, measured by the different stations, are publicly available and can be downloaded
 192 from the SIAM website (SIAM, 2015). The weather stations are tested and calibrated periodically
 193 according to the manufacturer's specifications.

194 The amount of water required to compensate the evapotranspiration loss from the cropped field is
 195 defined as crop water requirement. Therefore, knowing the reference crop evapotranspiration is
 196 of key importance to estimate the crop's water requirements. Using the FAO Penman-Monteith

197 formulation (Allen et al., 1998), the daily reference crop evapotranspiration (ET_0) can be
198 calculated by means of the weather information. The crop evapotranspiration under standard
199 condition (ET_c) can be calculated using the single crop coefficient approach shown below:

$$200 \quad ET_c = K \cdot ET_0 \quad [1]$$

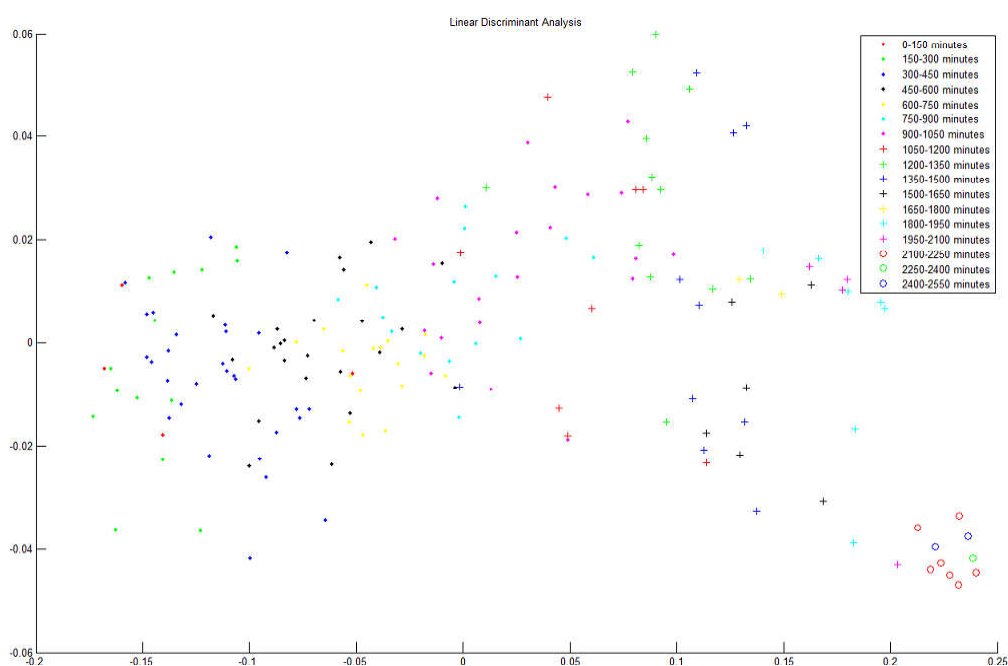
201 where K_c is the crop coefficient and depends on multiple factors, namely, the crop type, climate,
202 crop evaporation and soil growth stages.

203 **2.3 Smart Irrigation Decision Support System**

204 The decision support system is the component in charge of taking the final decision on the
205 amount of water to be irrigated, or equivalently, the number of minutes to irrigate considering
206 constant water flow. This decision is taken automatically on the basis of the information provided
207 by the sensors and the usage of machine learning and pattern recognition techniques. The aim of
208 this component, therefore, is to mimic a human expert in the decision making process of weekly
209 optimising the irrigation, which could assist the farmer.

210 Applying machine learning techniques such as Principal Component Analysis (PCA) or Linear
211 Discriminant Analysis (LDA) allow us to visualize the information to perform an initial
212 exploratory analysis. Figure 4 shows the LDA of the input, array containing the sensorial
213 variables, and output, the estimated irrigation time need, used in the system. The output was
214 divided in classes (18), each one representing the weekly irrigation time by increments of 150
215 minutes, from 0 to 2,700 minutes. From this figure, it can be noticed that discrete classification in
216 classes will be hard to accomplish due to the high number of classes necessary to precisely
217 quantise the irrigation estimation. This is due to the fact that the variable to estimate - either the

218 amount of water or the watering time- has an intrinsic continuous nature, since the expected
 219 output can take any real value between 0 and infinity. Therefore, conventional classifiers aiming
 220 categorical outputs - such as LDA (Fisher, 1938), SVM (Belousov et al., 2002), ANN etc. are not
 221 optimal for this application. Instead, methodologies based on regression (Wold et al.,
 222 1984),and/or fuzzy logic (Zadeh et al., 1996) allow us to estimate a more suited continuous
 223 variable.



224
 225

Figure 4: Linear Discriminant Analysis for 18 irrigation time intervals

226 In this section, we propose two different techniques, each belonging to one of the previous
 227 families, to estimate the weekly required amount of water. As described in the introduction and
 228 experimental sections, both modelling techniques require a supervised training set in order to
 229 learn the irrigation model.

230 2.3.1. Partial Least Square Regression

231 Partial Least Square Regression (PLSR) (Wold et al., 2001) is a statistical method that seeks the
 232 fundamental relations between predictor and response variables. Predictor variables, X , are
 233 defined as the observable variables that can be measured and input into the decision system.
 234 Response variable Y are the outputs or estimates that must be deducted from the input.

235 The relationship between both variable sets, and linear multivariate regression model, is found by
 236 projecting both predicted and observable variables into a new space, where latent variables are
 237 estimated to model the covariance structure between the predictor space and the observation
 238 space.

239 This PLSR model is developed from a training set $D=\{X, Y\}$ of S samples, which is composed of
 240 the predictor matrix $X=[x_1, ..x_b...x_S]^T$ and the response matrix $Y=[y_1, ..y_b...y_S]^T$. x_i is a column
 241 vector of K elements, that can contain all the sensor and weather variables measured at a given
 242 week i :

$$243 \quad x_i=[VWC1, VWC2, VWC3, MP, ST, ET_c, RF, WS, T, RH, GR, DP, VPD]^T \quad [2]$$

244 and y_i is another column vector of M elements, containing the corresponding variables to be
 245 estimated at that week i . Since in our application this is only the irrigation time recommended at
 246 that week, y_i is reduced to a scalar and $M=1$:

$$247 \quad y_i=\text{minutes of irrigation}$$

248 PLSR constructs new predictor latent variables, known as components, which are linear
 249 combinations of the original predictor observable variables. These components are created to
 250 explain the observed variability in the original predictor variables, while simultaneously

251 considering the response variable. That is, the estimated latent variables are linear combinations
 252 of predictor variables that have higher covariance with Y . Using the latent variables leads to a
 253 regression models able to fit the response variable with fewer components.

254 The PLSR learning model can be expressed as:

$$255 \quad X = T \cdot P^T + E \quad [3]$$

$$256 \quad Y = U \cdot Q^T + G \quad [4]$$

257 where T and U are the projections -aka scores- of X and Y into a smaller L -dimensional latent
 258 space respectively, P and Q are the orthogonal projection matrices -aka loading matrices- and E
 259 and G the error residuals. P and Q can be obtained by eigendecomposition of the original
 260 matrices.

261 Since the X -scores T are meant to be good predictors of Y , it can be approximated that:

$$262 \quad Y = T \cdot Q^T + F \quad [5]$$

263 Being F a new residual. This reduces the problem to find a set of weights W such that $T=X \cdot W$
 264 predicts X and Y reasonably well. As mentioned, these orthogonal coefficients should maximise
 265 the correlation between X and Y while explaining the variance of X :

$$266 \quad \max_w \text{Corr}^2(Y, X) \cdot \text{Var}(X) \quad [6]$$

267 P and Q can be solved by applying a Least Square Estimator(LSE) so:

$$268 \quad Q^T = (T^T \cdot T)^{-1} \cdot T^T \cdot Y \quad [7]$$

$$269 \quad P^T = (T^T \cdot T)^{-1} \cdot T^T \cdot X \quad [8]$$

270 Finally, by rewriting the previous equation, it can be derived that:

$$271 \quad Y = T \cdot Q^T + F = X \cdot W \cdot Q^T + F = X \cdot B + F \quad [9]$$

272 Being B the PLSR regression coefficients. Once these coefficients have been learned, responses
273 y^* for new observation x^* can be estimated by applying the learning model:

$$274 \quad y^* = x^* \cdot B + f \quad [10]$$

275 assuming an estimation error f .

276 We favour the use of PLSR among other regression techniques due to its suitability when the
277 number of predictors is bigger than the number of response variables, the responses are noisy and
278 there is a high probability of having multicollinearity among the predictor variables. The
279 multicollinear phenomenon happens when those variable are highly correlated, due to
280 redundancy between sensors and or between meteorological factors. As it can be noticed, all
281 these factors appear in our irrigation problem.

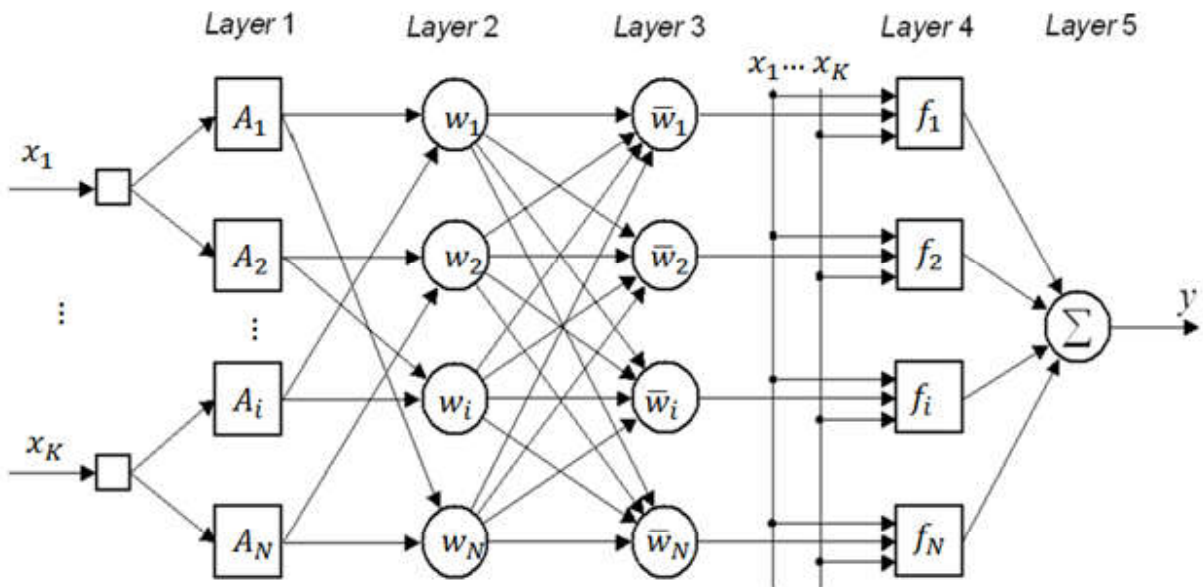
282

283 **2.3.2. Adaptive Neuro Fuzzy Inference Systems**

284 Adaptive Neuro Fuzzy Inference Systems (ANFIS) (Jang, 1993) is a fuzzy inference system for
285 systematically generating fuzzy rules from a given input/output D dataset. This machine learning
286 technique combines advantages from fuzzy logic and artificial neural networks. On the one hand,
287 it allows us to represent an element not only into categories but also into a certain degree of
288 membership functions, which allows mimicking the characteristics of human reasoning and

289 decision making. On the one hand, it can be trained and so can self-improve in order to adjust the
 290 membership functions parameters directly from data (Wang et al., 2006).

291 The ANFIS architecture consists in a five-layer feedforward neural network (Figure 5) whose
 292 parameters are updated using a combination of gradient descent and LSE in a two-pass learning
 293 algorithm.



294
 295 *Figure 5: Example of ANFIS architecture for a input x with K variables and a 1-variable output y*

296 In a first forward pass step, neuron outputs are calculated layer by layer and some internal
 297 consequent parameters are identified by the least squares estimator (LSE) to obtain the final
 298 single output. The forward pass operation at layer 1 defines the fuzzy membership for each input
 299 variable X . Assuming a Gaussian distribution function $N(c_n, \sigma_n)$, the output of this layer is given
 300 by:

301
$$O_{1,n} = \mu_{A_n}(x) = e^{-\frac{(x-c_n)^2}{2\sigma_n^2}} \quad [11]$$

302 Layer 2 is a multiplicative layer, which calculates the firing strength of the rules as a product of
 303 the previous membership grades.

$$304 \quad O_{2,n} = w_n = \prod_{k_n} \mu_{Ak_n}(x) \quad [12]$$

305 Layer 3 is a normalising layer, where:

$$306 \quad O_{3,n} = \bar{w}_n = \frac{w_n}{\sum_j w_j} \quad [13]$$

307 Layer 4 applies a node function:

$$308 \quad O_{4,n} = \bar{w}_n \cdot f_n = \bar{w}_n \cdot (\sum_k p_k^n x_k + r^n) \quad [14]$$

309 where p^n and r^n are consequent parameters estimated using LSE

310 Finally, layer 5 is the output layer that provides the overall estimation y as a summation of all
 311 incoming signals. For the case $M=1$, where only one output variable is estimated:

$$312 \quad O_{5,1} = \sum_n \bar{w}_n \cdot f_n \quad [15]$$

313 After the forward pass has been completed, an initial estimation is provided by the ANFIS
 314 network. Since initial premise parameters c_n, σ_n are initialised randomly, the initial estimation
 315 will differ greatly from the desired values Y . This error or difference between the desired output y
 316 and the estimated output $O_{5,1}$ for a given training sample $\{x_i, y_i\}$ can be expressed as:

$$317 \quad E_i = (y_i - O_{5,1})^2 \quad [16]$$

318 To correct this deviation, a second learning step, or backward pass, attempts to minimise the
 319 estimated error by modifying the value of the premise parameters until the desired and estimated
 320 outputs are similar. This process is performed using backpropagation, where the error is

321 propagated back over the layers and decomposed into the different nodes using the chain rule.
322 Gradient descend is used as optimisation technique to update the premise parameters while the
323 consequent parameters are kept fixed until the next iteration.

324 This double step learning process is repeated iteratively for every single sample in the training set
325 until the estimated error is smaller than a given threshold, i.e. convergence is achieved, or a
326 maximum number of iterations –epocs- are reached. The ANFIS implementation used in this
327 work is taken from the Fuzzy logic toolbox (Inc, 2016), by Mathworks where the parameter Radii
328 used to train was a scalar of value 0.75 and the average number of epochs used to train was 1500.

329 **3 Experimental setup**

330 The system was evaluated in three commercial plantations of lemon trees in the Region of
331 Murcia, located in the semiarid zone of the South-East of Spain where the water is very scarce
332 and drip irrigation is commonly used. The irrigation criteria followed was to maximize the yield.

333 Plantation 1. Fino lemon trees (*Citrus limon* L. Burm. fil cv. 49) on *C. macrophylla* Wester,
334 growing in a soil with a low water retention capacity. The soil is characterized by a deep and
335 homogeneous sandy - clay - loam texture. The irrigation water had an electrical conductivity
336 (EC) of 2200 $\mu\text{S cm}^{-1}$. The orchard consist of 11 year old lemon trees with an average height of
337 3.5 m. Tree spacing was 7.0 m x 5.5 m, with an average ground coverage of about 47%. Two drip
338 irrigation lines (0.8 m apart) were used for each tree row. There were 4 emitters (4 L h^{-1}) on both
339 sides of each tree. One sensor node was installed in the 5.5 ha orchard, with a soil matric
340 potential sensor (MPS-2, Decagon devices, Inc., Pullman, WA 99163 - USA) at a depth of 30 cm

341 and three soil moisture sensors at a depth of 20, 40 and 80 cm (Enviroscan, Sentek Pty. Ltd.,
342 Adelaide, Australia) located 20 cm from a representative dripper and 2.25 m from the trunk.

343 According to the nearest weather station of SIAM, located about 5 km from the orchard, the
344 climate was typically Mediterranean. Thus, over this period (2014), the annual rainfall for the
345 area was 210 mm and ET_0 was 1395 mm. The average wind speed was 1.66 m/s, generally light
346 wind and sometimes moderate.

347 Plantation 2 and 3. 40 and 35 year old lemon trees (*Citrus limon* L. Burm. Fil) cv. Fino and cv.
348 Verna respectively, grafted on sour orange (*Citrus aurantium* L.), growing in a soil with a
349 medium water retention capacity. The soil is clay sandy loam texture and the irrigation water had
350 an electrical conductivity (EC) of $1600 \mu\text{S cm}^{-1}$ during all season except in summer which was of
351 $2285 \mu\text{S cm}^{-1}$. The tree spacing was 7.0 m x 6.75 m and 6.75 m x 6.75 m and the average ground
352 coverage about 57% and 50%, respectively. One drip irrigation line was used for each tree row.
353 There were 8 and 6 emitters of 4 L h^{-1} per tree, respectively. One sensor node was installed in the
354 Fino orchard (≈ 15 ha) and another in Verna orchard (≈ 23 ha), each with two soil matric potential
355 sensor (MPS-2, Decagon devices, Inc., Pullman, WA 99163 - USA) at a depth of 25 and 45 cm
356 and three soil moisture sensors at a depth of 25, 45 and 70 cm (10HS, Decagon devices, Inc.,
357 Pullman, WA 99163) located 20 cm from a representative dripper and the vertical canopy
358 projection.

359 According to the nearest SIAM's weather station, located about 7 km from the orchards, the
360 climate was also typically Mediterranean. Over this period (2014), the annual rainfall for the area

361 was 150 mm and ET_0 was 1250 mm. The average wind speed was 1.4 m s^{-1} , i.e. light wind
362 generally.

363 The decision of selecting these three plantations is based on the fact that all of them are mature
364 lemon trees and therefore their water irrigation requirement differences depend mainly of
365 environmental conditions (soil and atmosphere) rather than the plant. Besides, all the plantations
366 use drip emitters of 4 L h^{-1} so estimating the irrigation runtime of the week instead of the water
367 volume will be a correct approach.

368 Drip irrigation provides a fixed volume of water per hour; the pressure is maintained using
369 pressure compensating emitters. The Irrigation frequency is calculated taking into account that
370 only a certain amount of water depletion is allowed before the next replenishment is scheduled.
371 Thus, the run time (gross irrigation dose) is determined to be equivalent to the previous amount
372 of water depletion. The experts only need to calculate the irrigation run time (minutes) and the
373 number of watering times per week or day depending on the time of year or crop development
374 stage. The main goal of the system, also reflected by the expert agronomist in his reports, is to
375 maximize the yield (maximum production per crop surface) with an optimum water management.

376 Since information from the weather stations, soil sensors and crops characteristics has different
377 sampling periods, the first step is pre-process this information. After analysing several methods
378 and time intervals it was decided that the best option was to calculate the week average value for
379 each of the sensors or weather stations variable except for the rainfall where the total amount of
380 rainfall during the week is used instead. The week average fits better than others method like the
381 daily average due to the fact, that the irrigation reports from the expert agronomist are already
382 fixed, limited and done weekly. Besides, adding more input will make the data sparser, making

383 more difficult to find patterns in the feature space, requiring a higher amount of data to train the
384 system accordingly.

385 The input obtained will be a one dimensional vector x_i for each week in which the columns are
386 the different variables or inputs of our system.

387 The target vector will be the water requirements of the crops in the following week y_i . This
388 information has been extracted from the agronomist expert weekly reports in order to be used as
389 groundtruth for comparison as for supervising the learning process.

390 Three datasets are available, each dataset represent a different plantation. Data was collected
391 from January 2014 until June 2015. Each plantation dataset has 74 weeks of data, which makes a
392 total of 224 weeks of data. To accomplish a proper analysis of the system, we have divided the
393 experiment in two different scenarios. Both scenarios differ from the other on the training and
394 testing split.

395 Two machine learning methods are applied on each scenario, a method based on PLSR and a
396 method based on ANFIS. The performances of both methods in the different scenarios are
397 analysed.

398 **4 Experimental results and discussion**

399 **4.1 Scenario 1**

400 In this scenario, we aim to successfully predict the irrigation needs of one or several plantation,
401 based on the information provided by the collection device and learned knowledge from a
402 historical archive of the previous year irrigation reports. This is of obvious usefulness in real life.

403 We will demonstrate this capability by predicting the irrigation needs of year 2015 for the three
 404 plantations based on the information of the year 2014. The training set is therefore composed by
 405 all 2014 weeks of data belonging the three plantations, while the test set is composed by all 2015
 406 weeks belonging to the three plantations.

407 The information given to the system, or input vector, is a critical part of the design. On the one
 408 hand using unnecessary features may make the system perform poorly due to redundant
 409 information and noise. On the other hand, using too few features may not provide all the required
 410 information. Therefore, among all the available features explained in Table 1, they will not all be
 411 necessary. Table 3 shows the features subsets selected for each test. Among all possible sets of
 412 features, only combinations with logical sense, according to an expert agronomist were chosen a
 413 priori for the different experiments. Performance of the different sets is shown in Figure 6.

Feature set	Variables
F1	VWC1,VWC2,VWC3,SWP,ST,ET _c ,RF
F2	VWC1, ET _c ,RF
F3	SWP,ST,ET _c ,RF
F4	SWP,ET _c ,RF
F5	SWP,ST,ET _c
F6	VWC1,SWP,ET _c
F7	VWC1,SWP,ET _c ,RF
F8	VWC1,SWP,ST,ET _c
F9	VWC1,VWC2,VWC3,SWP,ET _c
F10	VWC1,SWP
F11	VWC1,VWC2,VWC3,SWP
F12	SWP, ET _c

414

Table 3: Features subset and variables associated



415

416

Figure 6: Performance of the different sets of variables for Linear Regression and ANFIS

417 The set that accomplish the best performance for both methods is F6, with an error of 155.1 and

418 121.1 min week⁻¹ for PLSR and ANFIS respectively. In order to put this error into context, it can

419 be noticed that 2.5 extra hours of irrigation represent around 10% of the total time in summer

420 months -and up to 20% in spring and autumn months-, being 10% error considered as an

421 acceptable error in agriculture (Bos et al., 2004). Therefore, this feature set F6 will be the input

422 vector of the system. It can be noticed that including the rain as input of the system (F7),

423 increases the error. In the Region of Murcia, the rainfall is extremely low (around 210 mm per

424 year) and usually being concentrated in a few days of the year, being the weekly total rain in most

425 cases 0. With this information only available for the year 2014, the system didn't have enough

426 information to be trained properly and developed in unpredictable results. However we

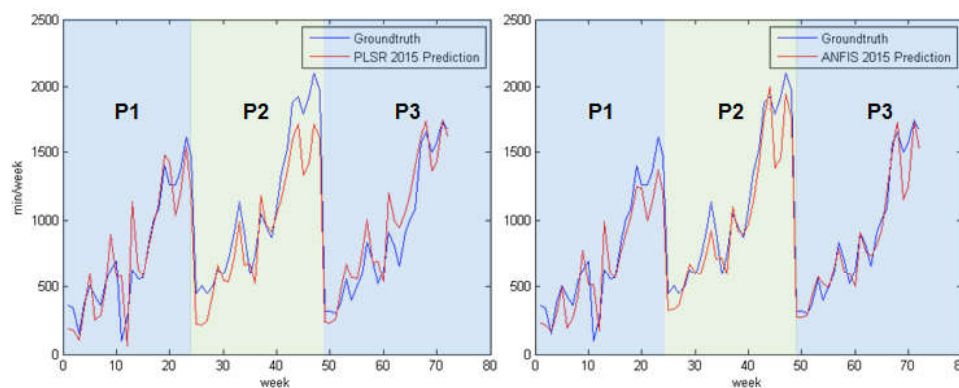
427 understand that in other regions the rainfall could be really useful to increase the performance of

428 the system. Besides, considering the water retention capabilities of the soil, part of the rainfalls

429 would be considered in the next irrigation report.

430 Figure 7 shows the water irrigation pattern over time predicted by the PLSR and ANFIS

431 respectively when using feature set 6.



432

433 *Figure 7: Prediction of the water irrigation pattern using soil and weather information for the different plantations*

434

(Plantation 1: Week 1-24, Plantation 2: Week 25-48, Plantation 3: Week 49-72)

435 The weekly errors for predicting the irrigation needs during the year 2015 in the three plantations

436 are 155.1 and 121.1 min week⁻¹ for PLSR and ANFIS respectively. The standard deviation for

437 PLSR is 120.7. In the case of ANFIS, the standard deviation is 105.2. The total amount of time

438 needed to irrigate the crops in the three plantations in 2015 is 65,641 minutes. ANFIS method

439 estimates this value in 60,506 minutes and PLSR estimates 63,240 minutes. As conclusion,

440 ANFIS performance is better than PLSR for each individual week water requirement estimation.

441 However, PLSR estimation also follows the irrigation pattern accurately and estimates the total

442 amount of water required more accurately over time than ANFIS, which seems to be more

443 conservative in the water usage. Looking at the higher peaks of water requirement in the graphs,

444 PLSR may overestimate the water needs while ANFIS is more accurate in general. It is important

445 to note that in agronomy the most important point is not only the amount of water plants need but

446 when they need it (Allen et al., 1998). Following this criterion, the performance of ANFIS is

447 much better than PLSR for this scenario.

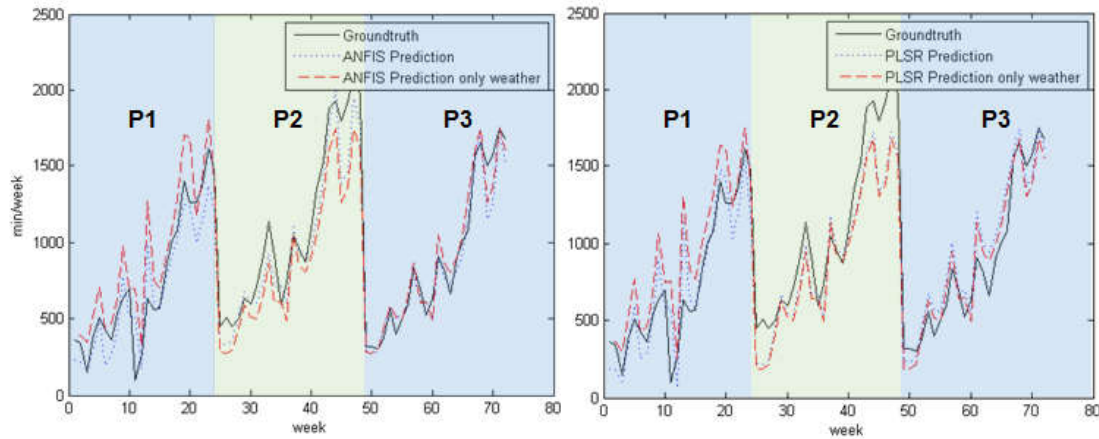
448 Another factor that is important to analyse in this research is the use of soil sensors in addition to
 449 weather stations to close the loop. We consider that using this kind of sensors to estimate the
 450 water requirements of the crops improves the accuracy of the estimation and helps to deal with
 451 local disturbances. Since this is one of our main contributions and differences with other
 452 proposed automatic irrigation systems, a detailed analysis of the contribution of these variables is
 453 needed to validate our hypotheses and facilitate comparison with previous research systems.
 454 Therefore, the input vector was changed, using only weather information to train the system and
 455 predict the irrigation time. Table 4 shows the weekly average error for different sets of input
 456 vectors.

457 The weather-only input vector that performs best is produced using ET_0 exclusively, so this is
 458 used in the following analysis as representative of the weather-only prediction systems. Figure 8
 459 shows the results of PLSR and ANFIS methods using the ET_c in comparison to the F6 system.

System	Input Vector	Weekly Error (minutes)	
		PLSR	ANFIS
Soil + weather variables (F6)	VWC1,SWP, ET_c	155.1	121.1
Only weather variables	ET_c	175.3	159.6
	ET_c ,RF	178.4	163.6
	ET_c ,RF,WS	378.4	379.5

460

Table 4: Summary of the performance of the different subsets



461

462 *Figure 8: Prediction of the water irrigation pattern using weather information for the different plantations*463 *(Plantation 1: Week 1-24, Plantation 2: Week 25-48, Plantation 3: Week 49-72)*

464 The error in PLSR using only weather information is 175.3 minutes week⁻¹ with a standard
 465 deviation of 147.6. In the case of ANFIS, the error is 159.6 minutes week⁻¹ with a standard
 466 deviation of 146.6.

467 Although in general the shape of the graph is quite similar to the one using both soil and weather.
 468 The use of soil sensors gives a fine adjustment increasing the accuracy of the estimation for both
 469 PLSR and ANFIS reasoning engines.

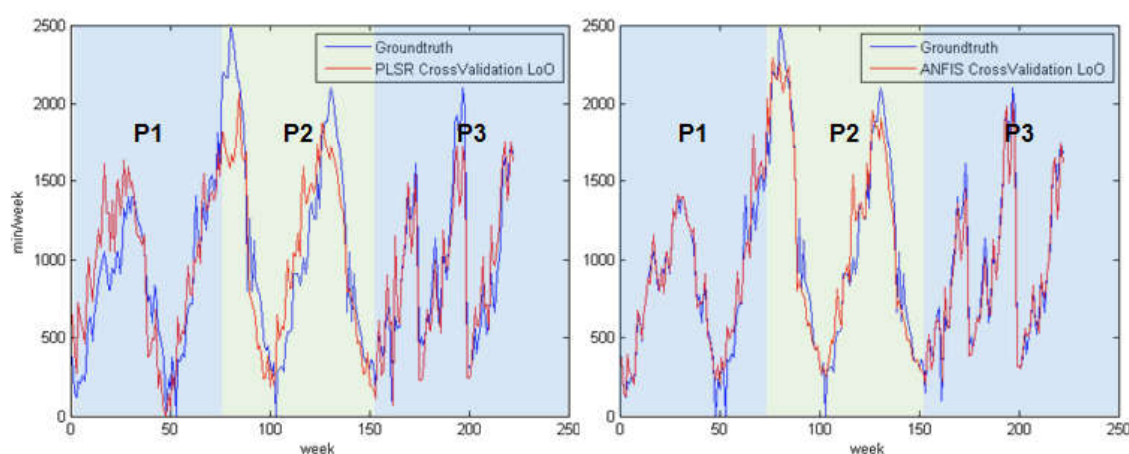
470 It can be concluded that a much better performance in the weekly irrigation estimation (around a
 471 22% smaller weekly average error) is achieved when adding soils sensor information to the
 472 weather information.

473 Next, a cross-validation strategy is applied to the scenario to validate how the results will
 474 generalise to an independent dataset. In cross validation, the complete dataset of the three
 475 plantations is divided in training and testing sets. The method used to cross-validate the

476 information is Leave one out (LoO CV), a particular case of the Leave-p-out cross-validation
 477 (LpO CV). (Kohavi, 1995; Picard and Cook, 1984) that involves using 1 observation as the
 478 testing set and the remaining observations as the training set. This process is repeated the number
 479 of samples times (n) changing the test sample each time to validate the system with all the
 480 samples. Cross validation method was used for both PLSR and ANFIS.

481 Figure 9 shows the results of this LoO Cross-Validation method for PLSR and ANFIS
 482 respectively using the set F6 as input vector.

483 The error in PLSR is 277.8 minutes week⁻¹ with a standard deviation of 153.2. In the case of
 484 ANFIS, the error is 87.6 minutes week⁻¹ with a standard deviation of 102.9. The total amount of
 485 time needed to irrigate the crops for the 189 weeks in the three plantations is 214,020 minutes.
 486 The ANFIS method estimates this value on 213,180 minutes and PLSR estimates 213,960
 487 minutes. Table 5 summarizes the result of the experiments.



488
 489 *Figure 9: Cross-Validation LoO prediction for Linear Regression and ANFIS. (Plantation 1: Weeks 1-52 and 157-180, Plantation 2: Weeks 53-104 and 181-204, Plantation 3: Weeks 105-156 and 205-229)*

490

RESULTS	Average weekly error (min.)	
	With soil sensors	No soil sensors

Scenario 1	Predict 2015	PLSR	155.1	175.3
		ANFIS	121.1	159.6
	Cross- Validation	PLSR	277.8	295.7
		ANFIS	87.6	211.9

Table 5: Scenario 1 results summary

491
 492 Similar conclusions are extracted using Cross-Validation. Both PLSR and ANFIS systems are
 493 really close to the groundtruth in the total amount of water estimated but it is clear that ANFIS
 494 performs much better than PLSR if we consider the weekly error. It is also confirmed that using
 495 soil sensors in addition to weather information results in a better performance for both ANFIS
 496 and PLSR methods.

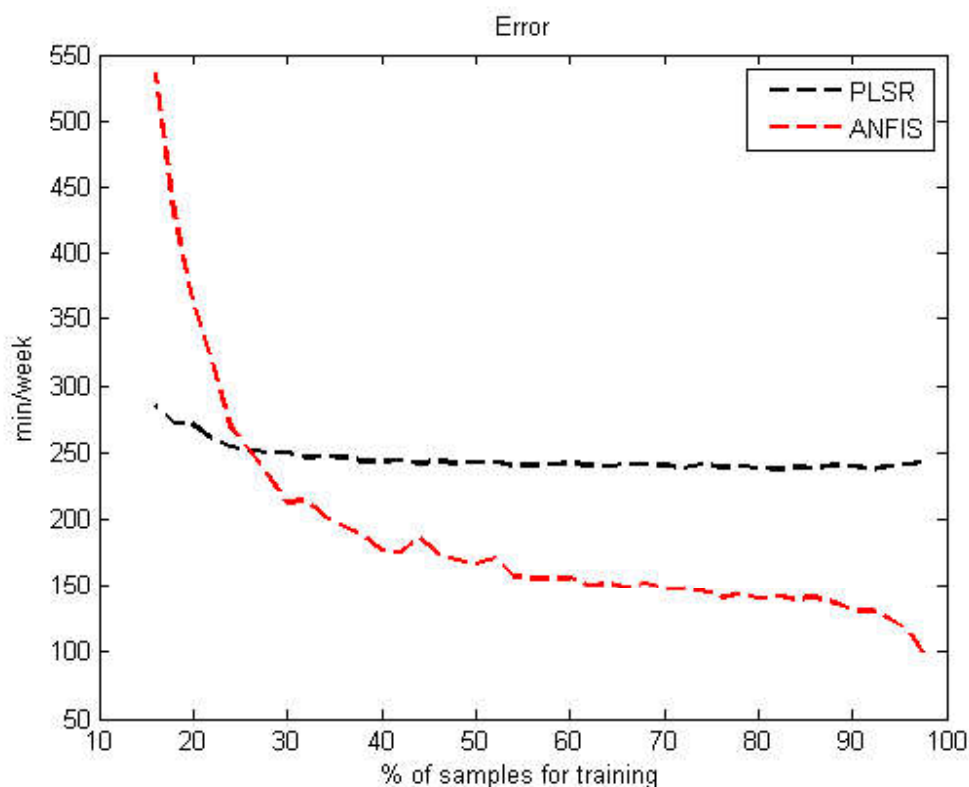
497 The improvement on ANFIS performance during cross validation is explained by the larger
 498 amount of training data regarding the “predict 2015” experiment. This behaviour is expected due
 499 to the nature of neural networks, which require large amount of data to be trained in comparison
 500 with other machine learning techniques and we predict than having a historical archive longer for
 501 training could results in a further improvement.

502 Although we are validating our systems with the three plantations described before as case of
 503 study, in principle, our methodology has been designed to be independent of the crop, terrain and
 504 location of the plantation, aiming to propose a general close-loop automatic irrigation estimator.
 505 In practical terms, this means that to apply our system to new plantations, training data in the
 506 form of sensor and weather weekly data as well as irrigation reports provided by and expert
 507 agronomist for the new plantation will be needed. Since these reports can be expensive and
 508 compiling a substantial amount of weekly reports is time consuming and must be planned in
 509 advance, it is important to know how big the dataset must be and how the performance may
 510 improve with the number of training weeks.

511 Therefore, as final experiment to obtain an estimation of the required amount of training data for
512 a new crop/plantation, the complete dataset was divided in different percentages of training and
513 testing. Figure 10 shows the weekly error of both PLSR and ANFIS methods with respect to the
514 training dataset percentage.

515 According to the figure, it is noticeable that ANFIS performance is much better than PLSR if
516 there are enough samples to train the system. In cases where the percentage of samples for
517 training is low (less than 25% of the data, i.e less than 4 months of data for a given field), PLSR
518 overperforms ANFIS. This case is relevant for new plantations without historical data of previous
519 reports. In such situations, the PLSR predictive model may be used in early stages, before
520 switching to ANFIS once enough samples to train the system properly are collected.

521



522

523 *Figure 10: Performance comparison for Linear Regression and ANFIS with respect to the % of samples used to train*524 **4.2 Scenario 2**

525 The goal is to predict the irrigation of a plantation based on its weather and soil measured
526 variables but using a SIDSS system trained exclusively with other fields. This will be the hardest
527 scenario as it will be necessary to predict the irrigation needs of a field with no previous irrigation
528 reports of that specific plantation. This scenario attempts to show the potential of our
529 methodology to create a universal irrigation estimator of a given crop -in our case, lemon trees-
530 for any given plantation, independently of the location and/or terrain. A lower performance can
531 be expected in comparison to what could be achieved by retraining the system with information

532 of the plantation (scenario 1), which is sacrificed for the benefit of not having to generate manual
 533 irrigation report for new plantations. Cross validation, specifically leave-one_plantation-out is
 534 applied in validation. Thus, 2014 and 2015 data from two of the plantations are used for training,
 535 while the remaining plantation data (2014+2015) is used for testing. This is repeated 3 times,
 536 leaving a different plantation out of the training set each time, and the results averaged.

537 Table 6 shows the error and standard deviation of this scenario for PLSR and ANFIS using
 538 different features vector used to compare the performance.

Method	Features vector	Test Plantation 1		Test Plantation 2		Test Plantation 3		Total	
		Weekly Error (min)	Std	Weekly Error (min)	Std	Weekly Error (min)	Std	Average Weekly Error (min)	Average Std
PLSR	VWC1+ SWP+ETc	364.1	205.6	179.4	141.2	227.5	185.8	257.0	177.5
ANFIS	VWC1+ SWP+ETc	373.2	300.7	175.4	129.8	421.4	495.5	323.3	308.6
PLSR	SWP+ETc	182.2	133.3	176.2	120.9	224.9	172.38	194.4	142.2
ANFIS	SWP+ETc	200.8	140.1	156.5	126.9	234.8	192.6	197.4	153.2

539 *Table 6: Scenario 2 results summary*

540 The best feature vector F6 used in scenario 1 is used as input. In this case PLSR outperforms
 541 ANFIS with an average error of 257.0 minutes in comparison with 323.3 minutes for ANFIS.
 542 However, we noticed that, in this scenario, removing the VWC1 sensor results in a better
 543 performance for both methods as a universal estimator. This is explained because the VWC
 544 sensor is very dependent on the soil where it is installed and, as both algorithms were trained with
 545 a sensor installed in a different plantation than the one that is predicting, the provided information
 546 introduces noise and does not help the system to estimate properly the water need. This does not

547 happen, however, with the SWP sensor, which quantifies the tendency of water to move from one
548 area to another in the soil and it is less dependent on the soil installed. Removing the VWC
549 sensor results in a better performance of the system obtaining an average weekly error of 194.4
550 minutes with PLSR and 197.4 minutes with ANFIS. This result proves that there is certain
551 potential to develop a universal estimator using our system for a given crop, although this means
552 an increase of the average error. This error could be reduced if more than 2 plantations of the
553 same crop were available for training. Both PLSR and ANFIS performs similarly, being PLSR
554 slightly better.

555 **5 Conclusions**

556 This paper describes the design and development of an automatic decision support system to
557 manage irrigation in agriculture. The main characteristic of system is the use of continuous soil
558 measurements to complement climatic parameters to precisely predict the irrigation needs the
559 crops, in contrast with previous works that are based only on weather variables or doesn't specify
560 the quantity of water required by the crops. The use of real-time information from the soil
561 parameters in a closed loop control scheme allows adapting the decision support system to local
562 perturbations, avoiding the accumulative effect due to errors in consecutive weekly estimation,
563 and/or detecting if the irrigation calculated for the SIDSS has been performed by the farmer. The
564 analysis of the performance of the system is accomplished comparing the decisions taken by a
565 human expert and the decision support component. Two machine learning techniques, PLSR and
566 ANFIS, have been proposed as the basis of our reasoning engine and analysed in order to obtain
567 the best performance.

568 The experiments have taken place in three commercial plantations of citrus trees located in the
569 South-East of Spain. A first experimental scenario shows a comparison of the system's
570 performance using soil sensors in addition to the weather information for predicting year 2015
571 using 2014 information to train the system. The usage of soil sensor in the three plantations
572 accomplished a 22% less of weekly error in comparison to the performance of using only weather
573 information.

574 A second scenario shows the potential of our system as universal estimator for a given crop, i.e
575 the use case of installing the system in a new plantation, not having previous information of it.
576 For this application, VWC sensors should be removed due to their high dependence with the soil
577 type. Although, as expected, the estimation error increases in this scenario, it does not require
578 historical data from agronomical reports to be retrained, which implies a significant advantage, in
579 particular for new plantations in early stages. If more training data from a bigger variety of field
580 were available, a better performance in this scenario could be expected. Another possible
581 improvement for this scenario will be the addition of a VWC to get a better performance than
582 using only the matric potential sensors. However, in order to use the VWC sensor in this
583 scenario, a precise study of the soil textures of the plantation will be required to extrapolate the
584 VWC sensor information to similar soil textures where the DSS was trained.

585 For future research, we aim to extend and evaluate the system in plantations different than citrus
586 and analyse the performance under several conditions and regions. Thus, adding the weather
587 forecast as input of the SIDSS could help to improve the next week irrigation schedule and
588 consider the predicted rainfall in our estimation. Similarly, past rainfall information, that did not
589 prove beneficial in our system due to the region of Murcia characteristics, may become a good

590 factor to improve the accuracy of the system in regions with a more regular and predictable
591 raining pattern. We also aim to capture a bigger dataset that will allow us to generate more
592 general models towards a universal irrigation estimator of a given crop. This dataset will also
593 explore the use of multiple sensors per plantation in order to address inhomogeneous ground
594 conditions in the different plantation as well as provide more input information to the system for
595 a better reasoning.

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601

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