

Retrieval-augmented Multimodal Foundation Models

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AI is becoming multimodal

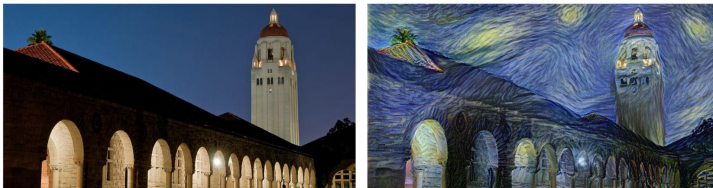
Personal Assistants



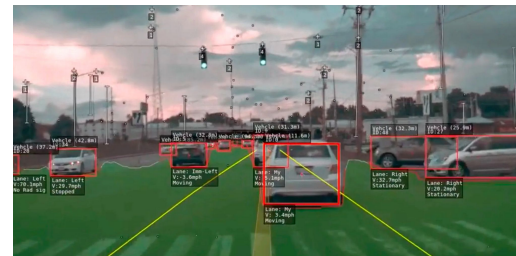
Search



Generative AI



Autopilot



Multimodal Foundation Models (Text-to-Image)

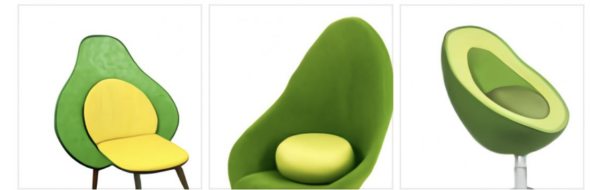
DALL·E, Parti (text → image; Transformer)

DALL·E 2, StableDiffusion (text → image; Diffusion)

TEXT PROMPT

an armchair in the shape of an avocado. . . .

AI-GENERATED IMAGES



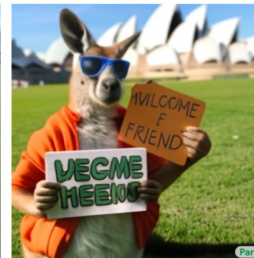
Parti-350M



Parti-750M



Parti-3B



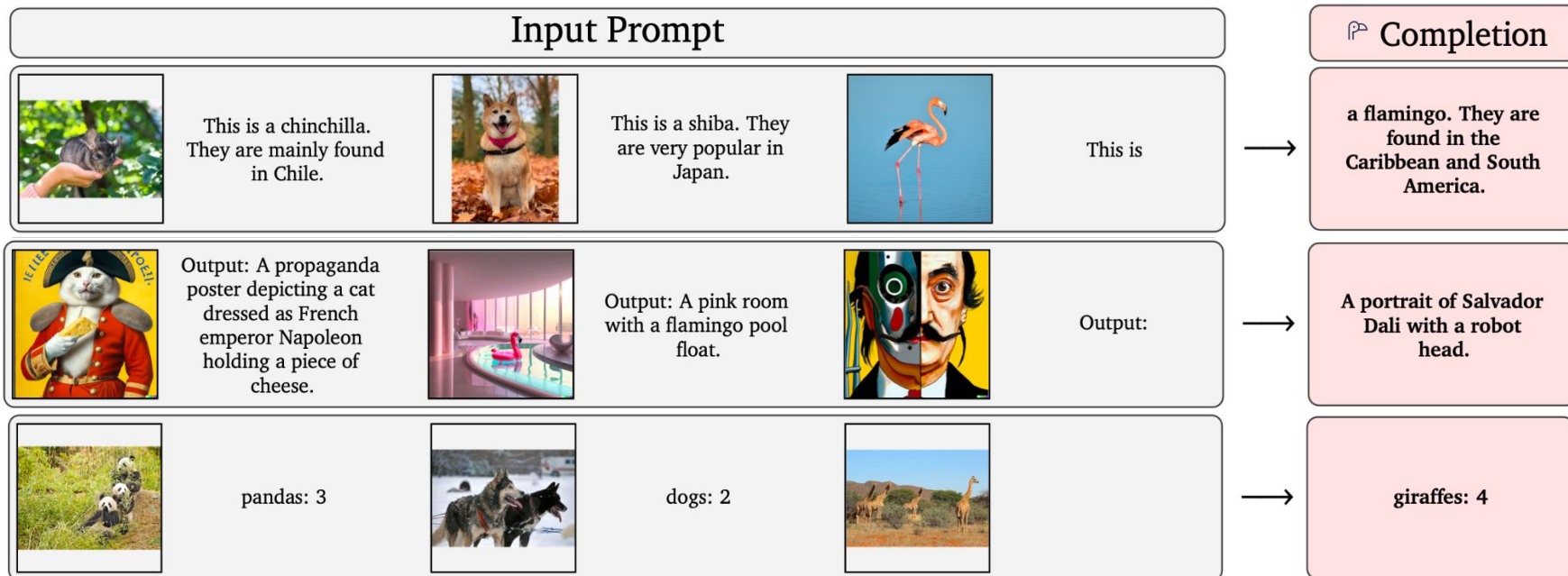
Parti-20B



A portrait photo of a kangaroo wearing an orange hoodie and blue sunglasses standing on the grass in front of the Sydney Opera House holding a sign on the chest that says Welcome Friends!

Multimodal Foundation Models (Image-to-Text)

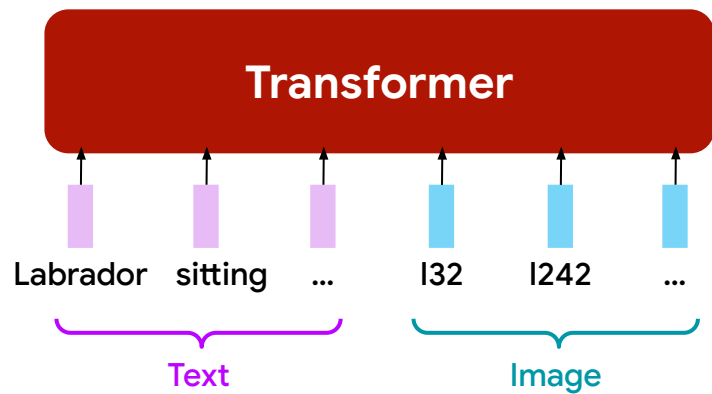
Flamingo, GPT-4 (image → text; Transformer)



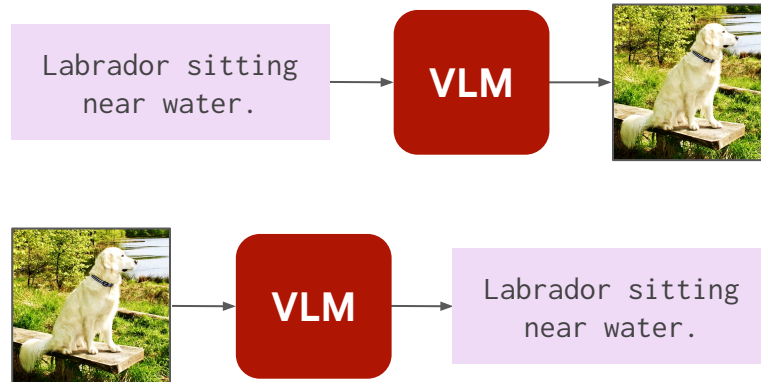
Multimodal Foundation Models (Unify Text & Image)

CM3 (text \rightleftharpoons image; Transformer)

Unified VLM



Text & image generation



Challenge

However, models may lack knowledge and **hallucinate**.

What does an **Armenian church** look like?

Text to image



What is the name of this place..?

Image to text

The **Dragon and Tiger Pagodas** next to fireworks.

Empire state building and fireworks

Challenge

Current models' knowledge is bounded by the parameters & training data. Can we allow models to **refer to external memory**?

What does an Armenian church look like?

Text to image



X



What is the name of this place..?

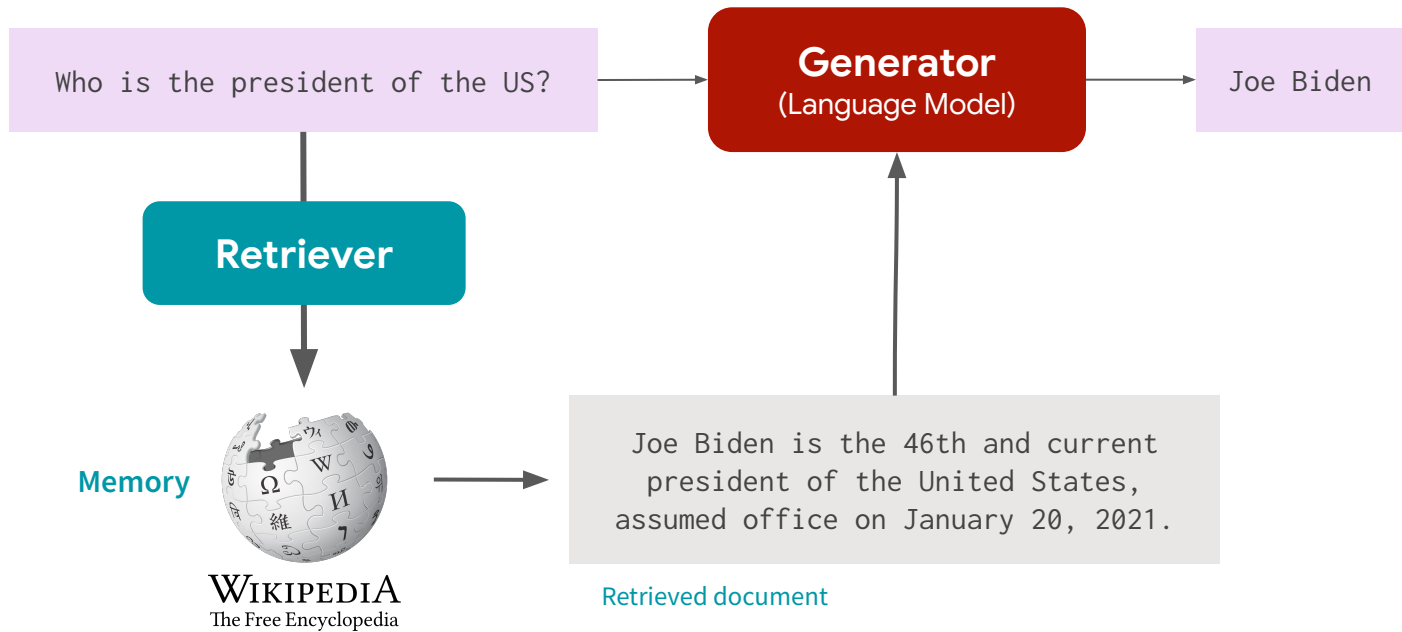
Image to text

The Dragon and Tiger Pagodas next to fireworks.

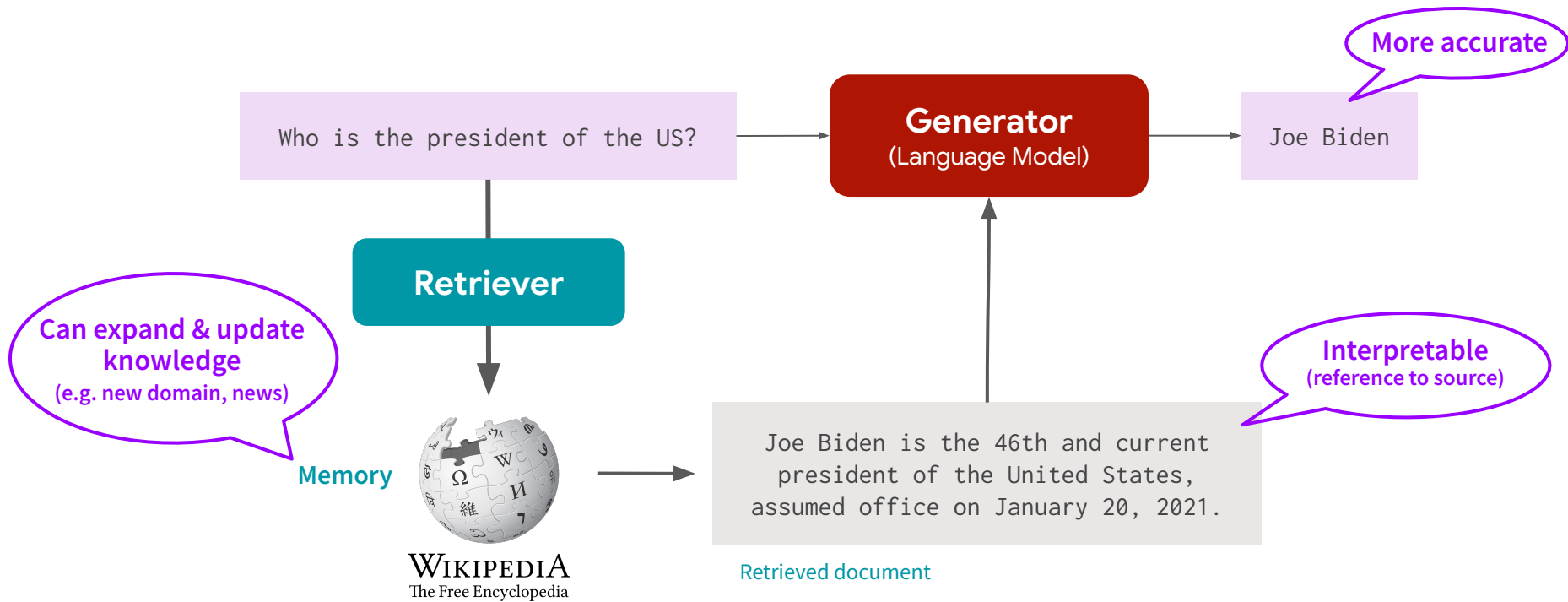
Empire state building and fireworks

X

Inspiration: Retrieval-augmented Language Model



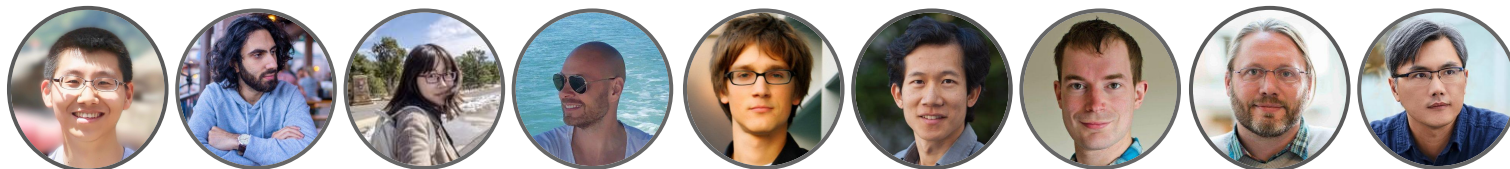
Inspiration: Retrieval-augmented Language Model



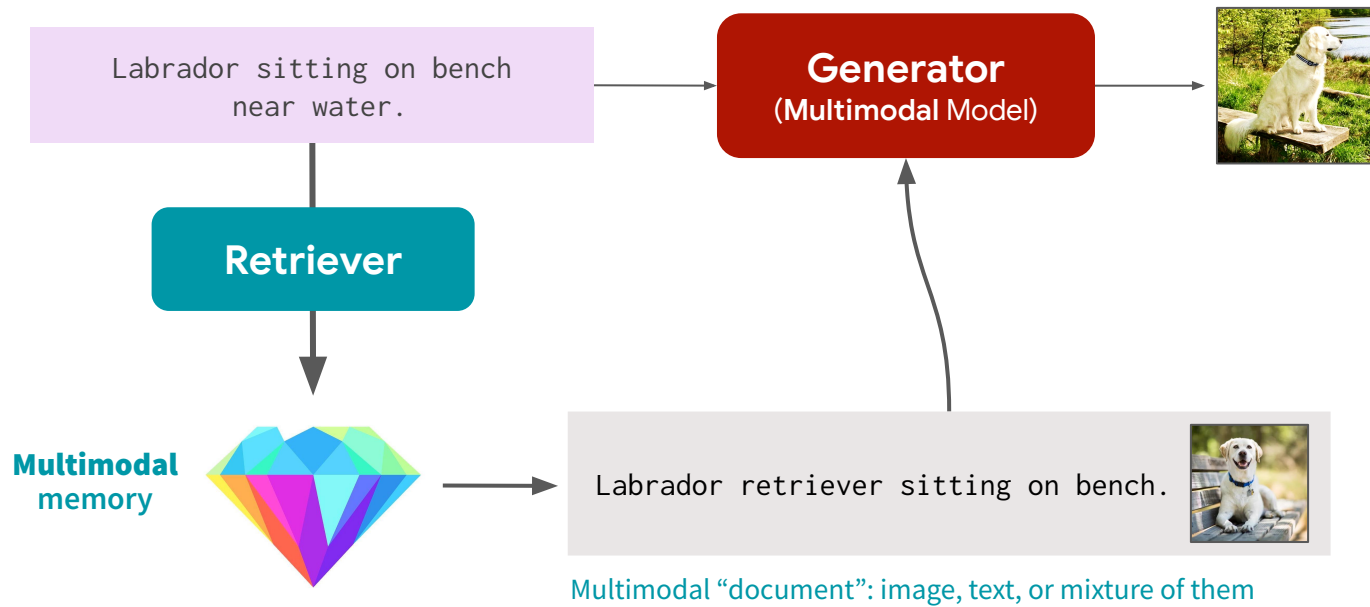
Retrieval-augmented multimodal modeling

RA-CM3: Retrieval-augmented multimodal modeling.

Yasunaga, Aghajanyan, Shi, James, Leskovec, Liang, Lewis, Zettlemoyer, and Yih. ICML 2023.

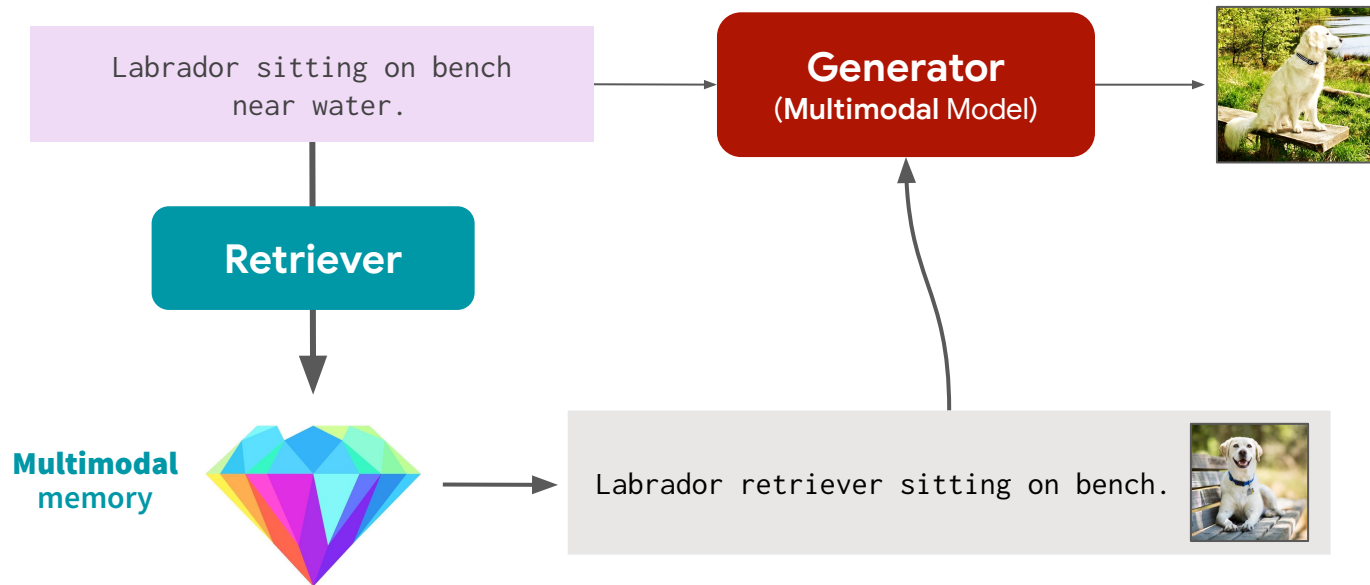


Our Idea: Retrieval-augmented Multimodal Model



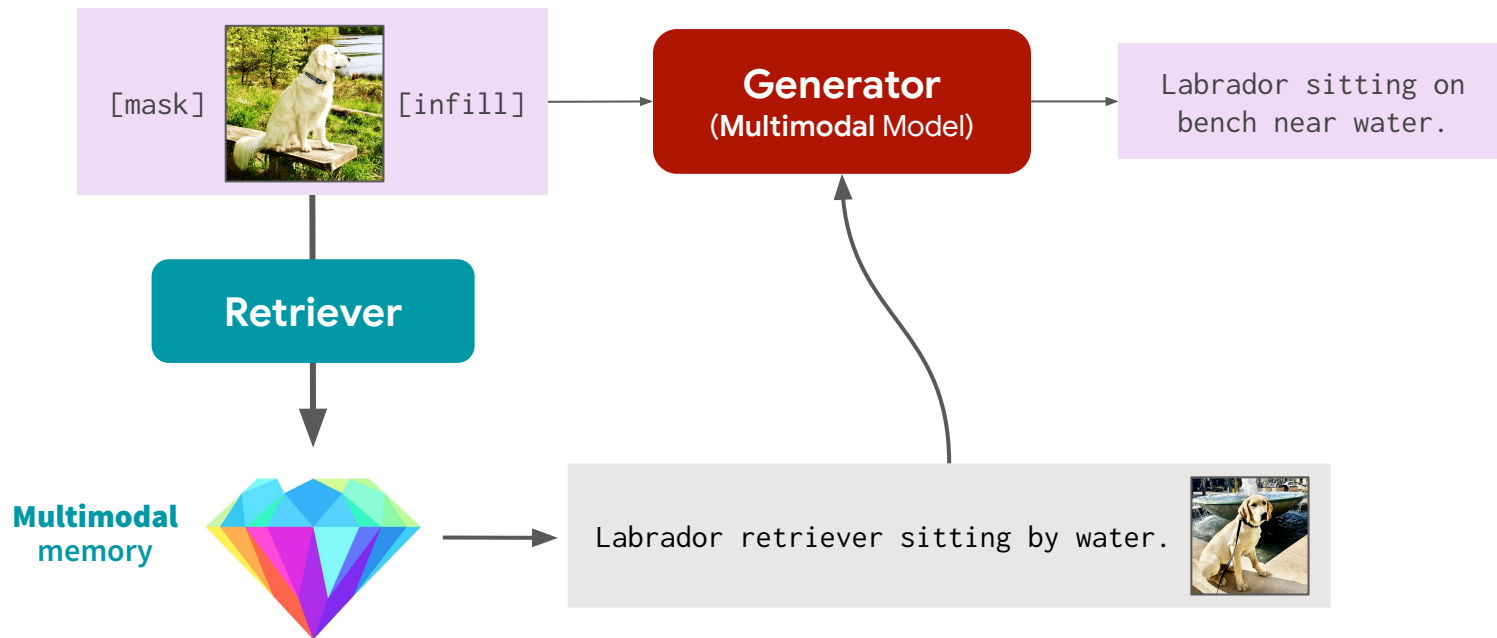
Our Idea: Retrieval-augmented Multimodal Model

Text-to-Image



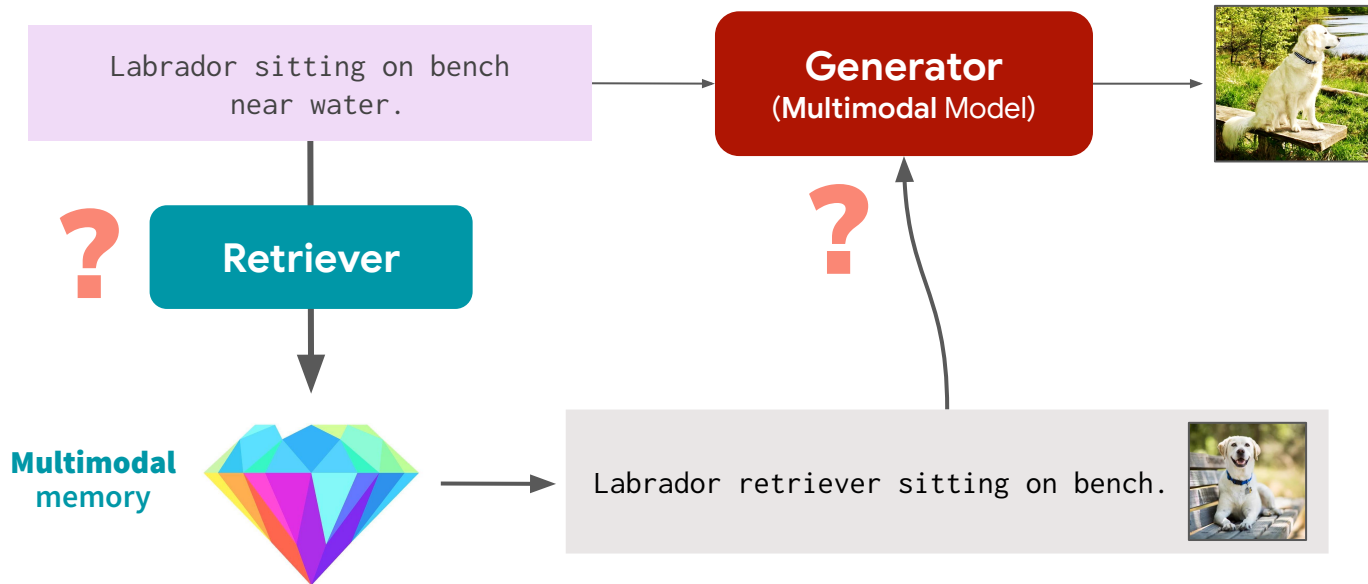
Our Idea: Retrieval-augmented Multimodal Model

Image-to-Text

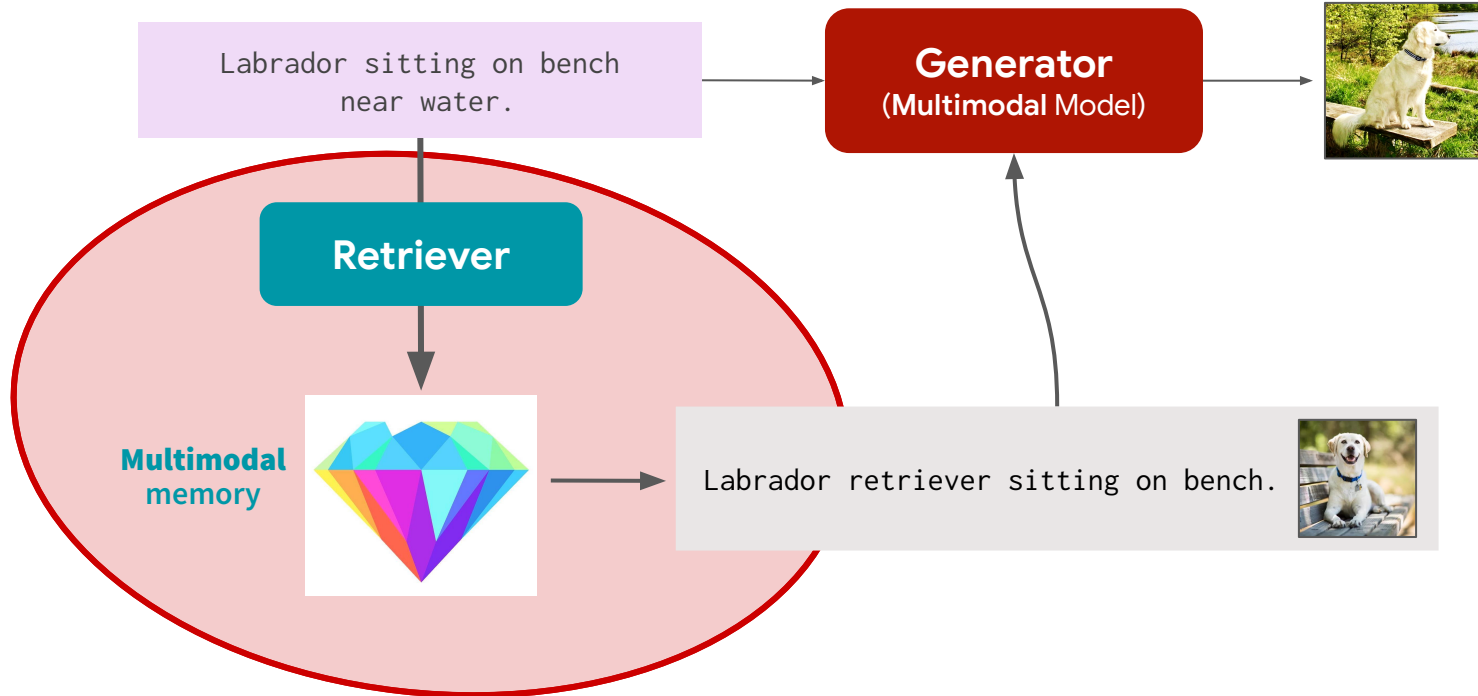


Technical innovations

- What is an effective **multimodal retrieval** method?
- How to **integrate** retrieved items into the **generator**?



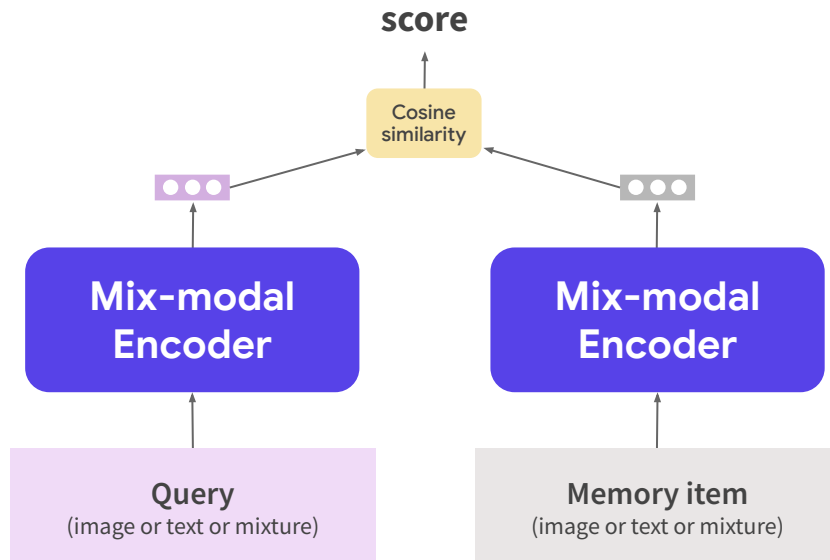
Multimodal Retrieval



Multimodal Retriever

Dense Retriever with Mix-modal Encoder

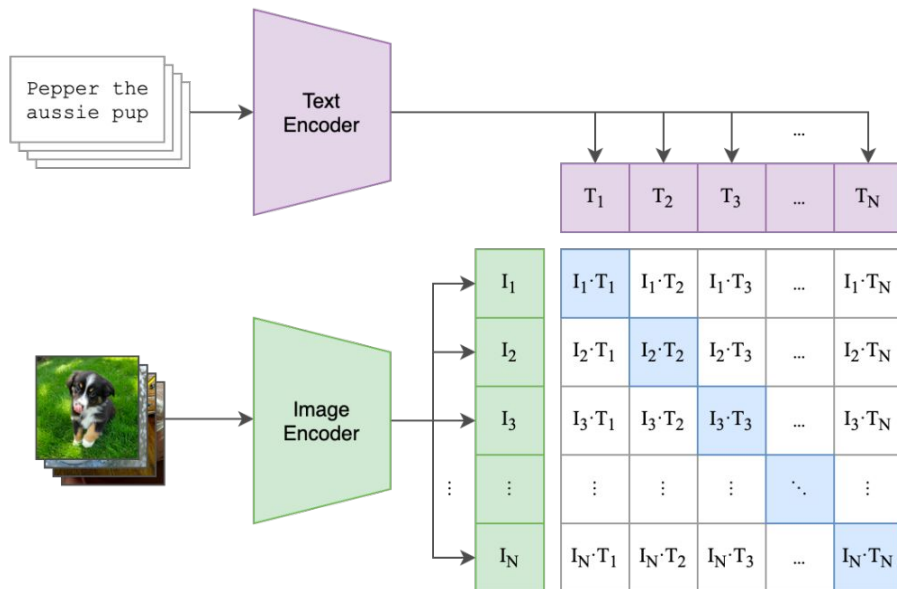
$$f(\text{query}, \text{memory}) \rightarrow \text{score}$$



Background: CLIP

CLIP produces text embeddings and image embeddings in shared vector space

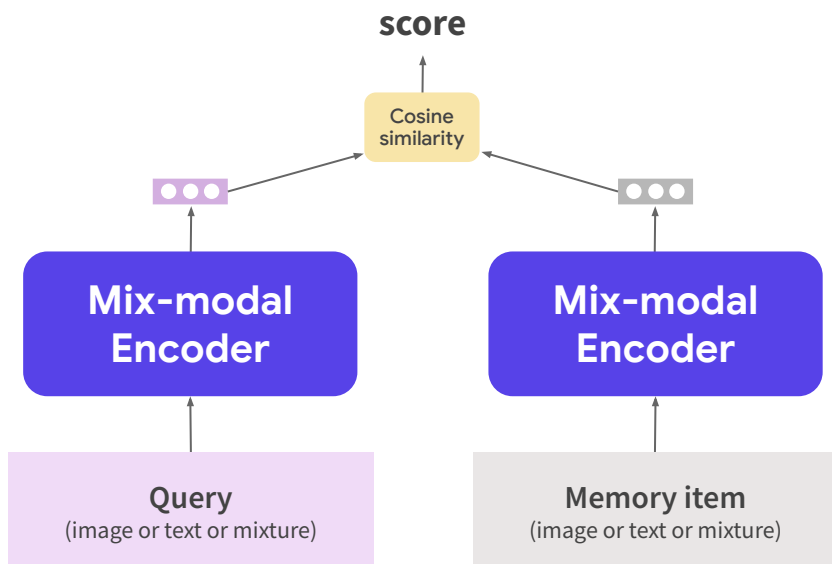
(1) Contrastive pre-training



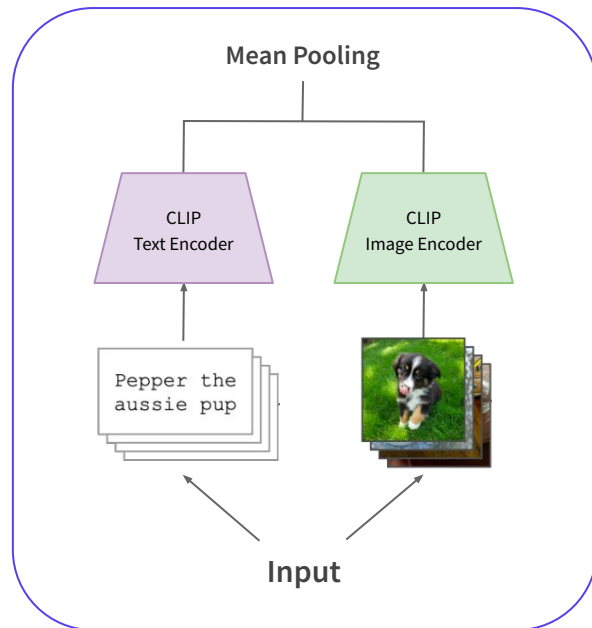
Multimodal Retriever

Dense Retriever with Mix-modal Encoder

$$f(\text{query}, \text{memory}) \rightarrow \text{score}$$

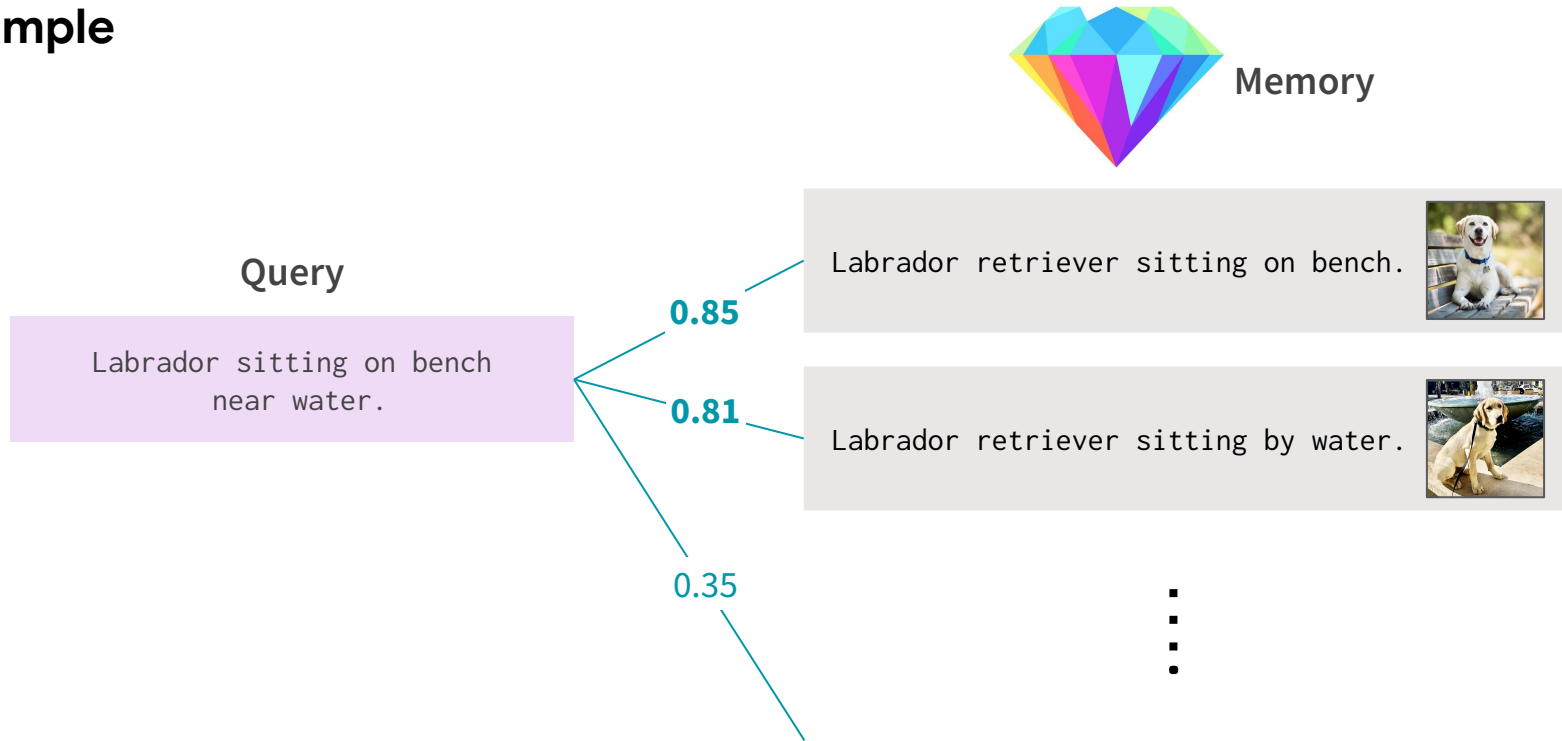


E.g. Extension of CLIP



Multimodal Retriever

Example



Strategy for Retrieval

Relevance

The retrieved items should be relevant to query

✓ **Cosine similarity score + Maximum Inner Product Search**

Diversity (for training)

If simply take items of top scores, may include duplicate images/text

This can cause the generator to overfit or learn repetitive generation

Diversity is crucial in multimodal setting

- Multimodal dataset often contains duplicate images across docs
- Each image takes many tokens (1024), so can significantly hurt model training

Can improve FID score by 5 points



Avoid redundant items

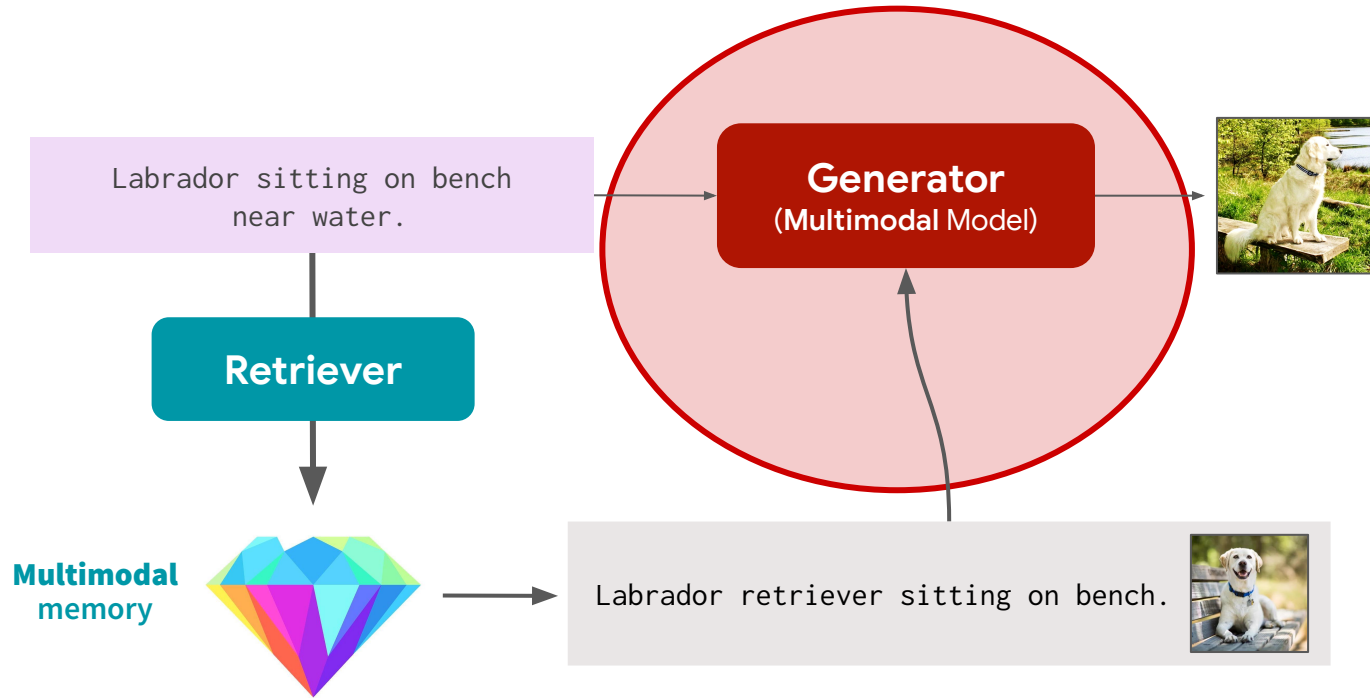
- Skip candidate item if it is too similar to query or items already retrieved



Query dropout

- Drop some tokens of query used in retrieval (e.g. 20% of tokens)
- This further increases diversity and serves as regularization

Multimodal Generator



Generator: Retrieval-Augmented CM3

Causal masked language model (CM3)

Transformer

Retrieved item 1

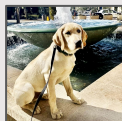
Retrieved item 2

Main input

Labrador retriever sitting on bench.



Labrador retriever sitting by water.



Labrador sitting on bench near water.



Each image is tokenized into 1024 tokens using VQ-VAE

Train the Generator Efficiently

$$\text{Loss} = (\text{LM loss for main input}) + \alpha \cdot (\text{LM loss for retrieved items})$$

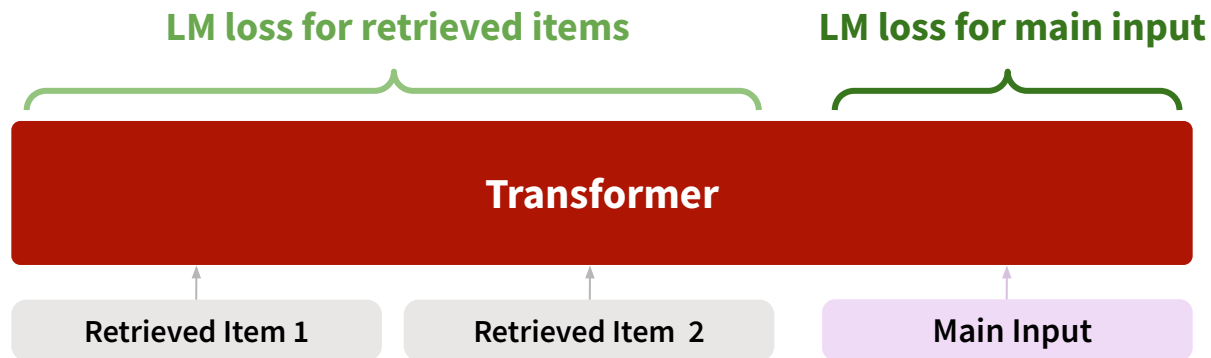
- Existing retrieval augmented LMs: $\alpha = 0$
- Our method: $\alpha > 0$ ($\alpha = 0.1$ works the best)**

$\alpha > 0$ has effect like increasing batch size without extra forward compute, increasing training efficiency.

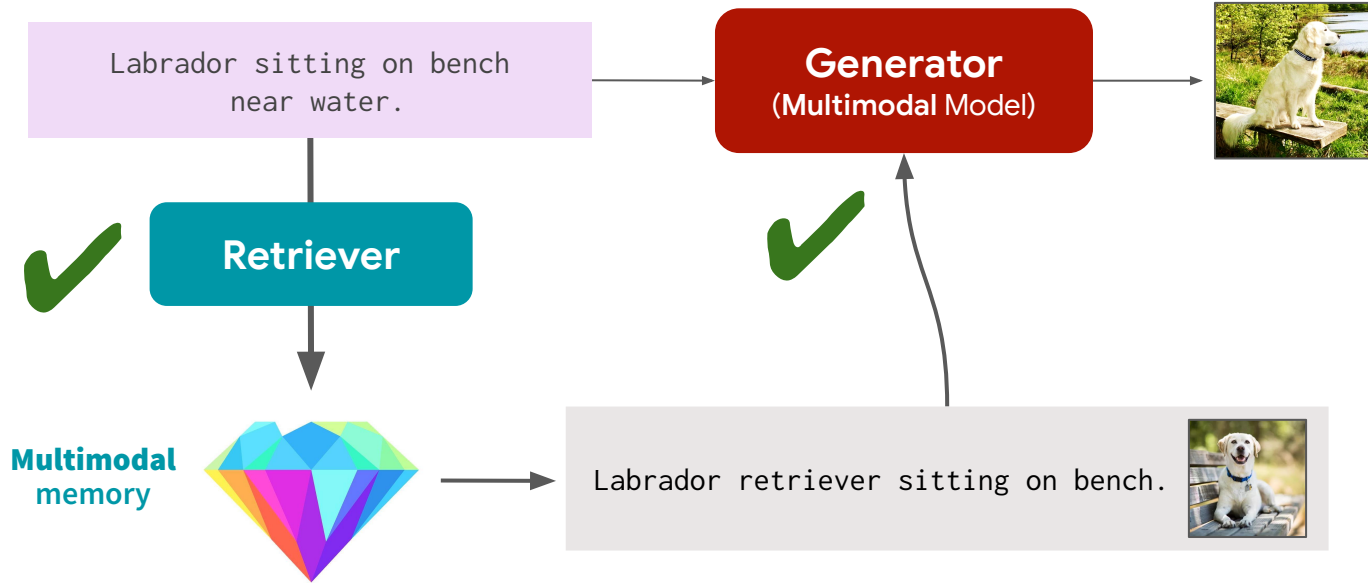
$\alpha > 0$ is crucial in multimodal setting

- Each image takes many tokens (1024)
- If $\alpha = 0$, we are throwing away a lot of compute

Can reduce training time by 50%



Retrieval Augmented Multimodal Model



Comparison with related models

Model	Image Generation	Text Generation	Retrieval
DALL-E, StableDiffusion, Imagen, etc.	✓	-	-
kNN-diffusion, Re-Imagen, etc.	✓	-	✓
Flamingo, GPT-4, etc.	-	✓	-
MuRAG, Re-ViLM, REVEAL, SmallCap, etc.	-	✓	✓
CM3	✓	✓	-
RA-CM3 (Ours)	✓	✓	✓

Experiments

Train data

- **LAION** (cleaned 150M image-text pairs)
External memory: LAION

Evaluation

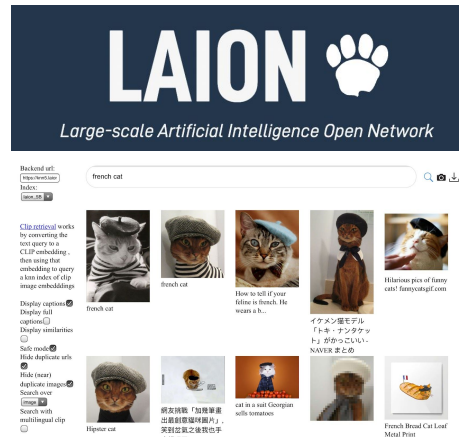
- **MSCOCO** caption2image, image2caption.
External memory: MSCOCO train set

Model

- Transformer with seq_length 4096 (up to 2 retrieved documents)
- 2.7B parameters trained for 5 days on 256 GPUs
- **“Retrieval Augmented CM3 (RA-CM3)”**

Baseline

- Vanilla CM3 with no retrieval, same size, trained using the same amount of compute



Performance (Text-to-Image)

Retrieval improves caption-to-image generation quality (e.g. RA-CM3 vs CM3)

Model	Model type	#Train images	MSCOCO FID score (↓)
DALL-E (12B)	Autoregressive	250M	28
Parti (20B)	Autoregressive	6B	7.2
Stable Diffusion	Diffusion	1B	~12
Vanilla CM3	Autoregressive	150M	29
RA-CM3	Autoregressive	150M	16

13 points
improvement

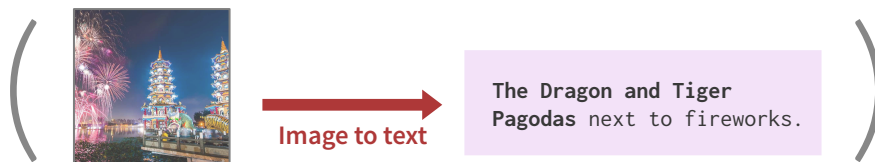


Performance (Image-to-Text)

Retrieval improves image-to-caption generation quality (e.g. RA-CM3 vs CM3)

Model	#Train images	MSCOCO CIDEr score (↑)
Parti (20B)	6B	0.84
Flamingo (3B) 4-shot	2.5B	0.85
Vanilla CM3	150M	0.72
RA-CM3	150M	0.89

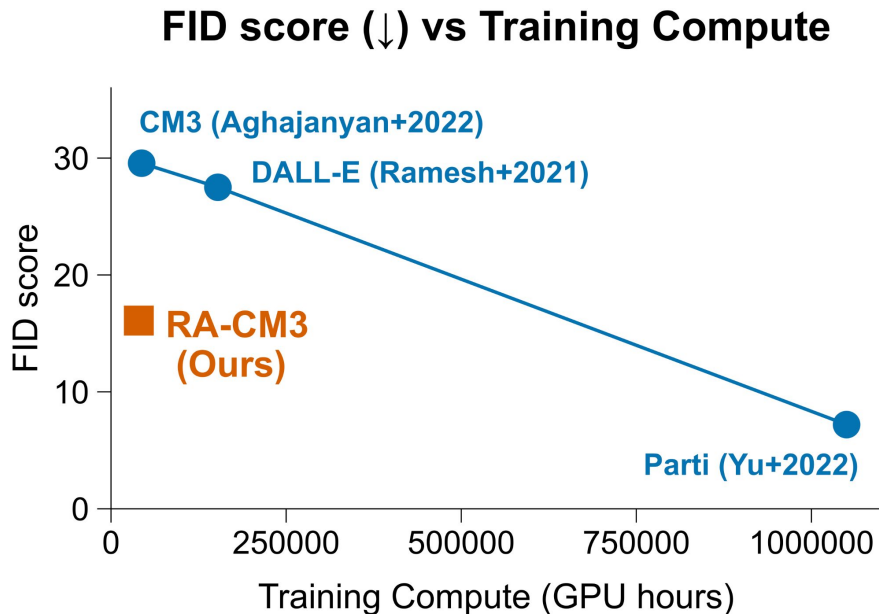
17 points
improvement



Performance (Efficiency)

Retrieval improves training efficiency

- RA-CM3 outperforms DALL-E while using only 30% of training compute



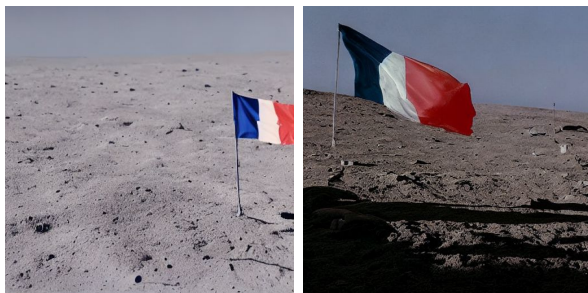
Accurate Image Generation

RA-CM3 Retrieved items

French flag



RA-CM3 outputs



Baseline outputs

(Vanilla CM3)

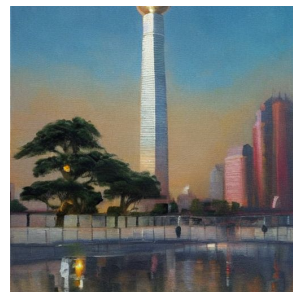
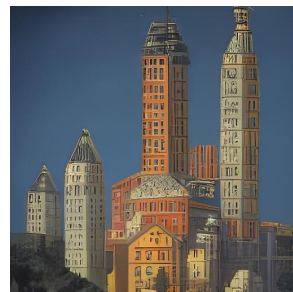


(Stable Diffusion)



Input: “French flag waving on the moon’s surface.”

Oriental Pearl tower



Input: “The Oriental Pearl tower in oil painting.”

Accurate Image Generation

RA-CM3 Retrieved items

Armenian church



RA-CM3 outputs



Baseline outputs

(Vanilla CM3)

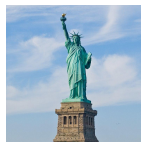


(Stable Diffusion)

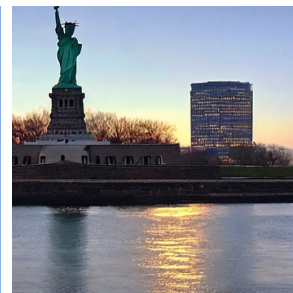
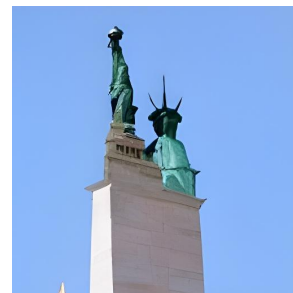
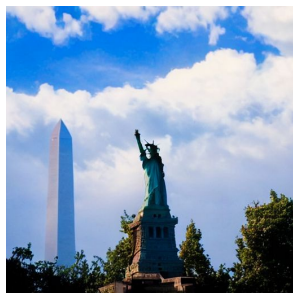
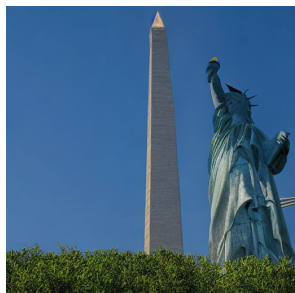


Input: “An Armenian church during a sunny day.”

Statue of
Liberty



Washington
monument



Input: “Photo of the Statue of Liberty standing next to the Washington monument.”

Accurate Image Generation

RA-CM3 Retrieved items

Ming Dynasty vase



RA-CM3 outputs



Baseline outputs

(Vanilla CM3)



(Stable Diffusion)

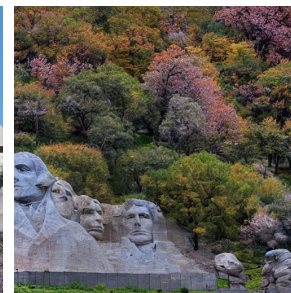
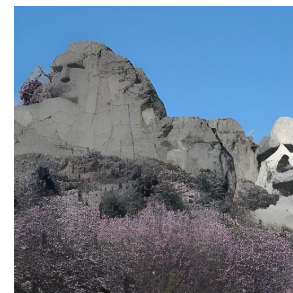
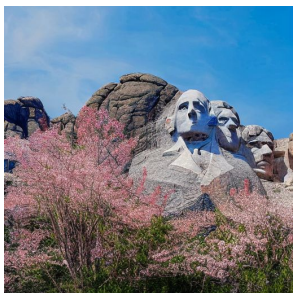


Input: “A Ming Dynasty vase with orange flowers painted.”

Mount
Rushmore



Japanese
cherry



Input: “The Mount Rushmore with Japanese cherry trees in the front.”

Accurate Image Generation

RA-CM3 Retrieved items

Callanish
standing stones



RA-CM3 outputs



Baseline outputs

(Vanilla CM3)



(Stable Diffusion)



Input: “Photo of the **Callanish standing stones**, fireworks in the sky.”

Dragon and
Tiger Pagodas

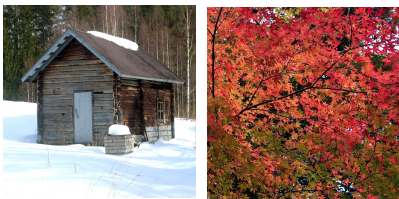


Input: “Photo of the **Dragon and Tiger Pagodas**, the sun is setting behind.”

Multimodal In-Context Learning

RA-CM3 In-context

(Demonstrate the style to generate)



RA-CM3 output



“Photo of a house taken on an autumn day.”

(Demonstrate the style to generate)



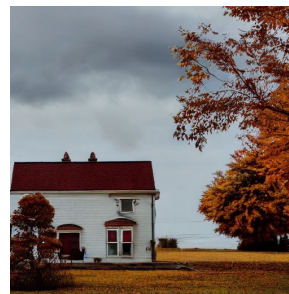
“Painting of red roses.”

Baseline outputs

(Vanilla CM3)



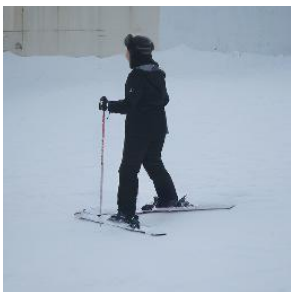
(Stable Diffusion)



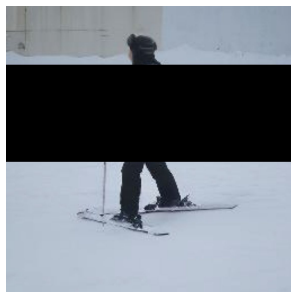
Intuition:
After retrieval augmented training, our generator model has learned how to use in-context examples and acquired this in-context learning capability

Image Editing

Source image



Masked image



Provide an image to control the type of editing

RA-CM3
In-context



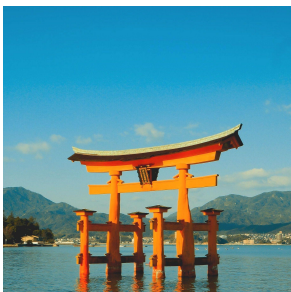
RA-CM3 output



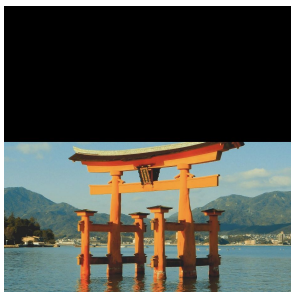
RA-CM3

Image Editing

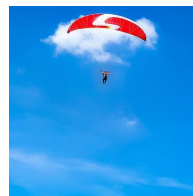
Source image



Masked image

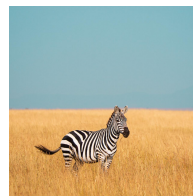
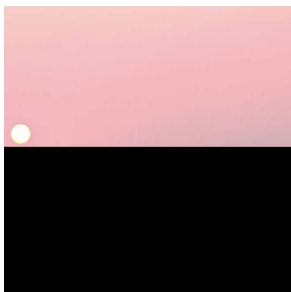
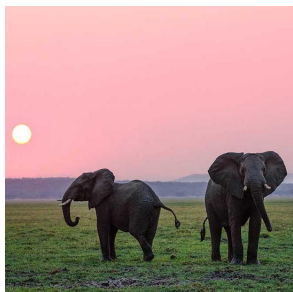


RA-CM3
In-context

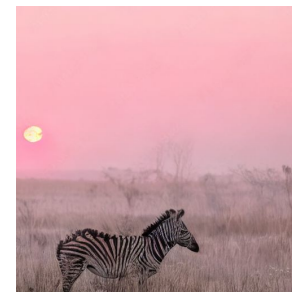


RA-CM3

RA-CM3 output

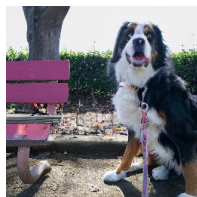


RA-CM3

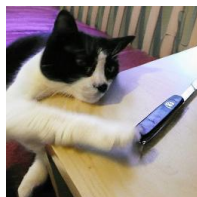


One-shot Image-to-Text

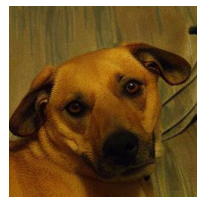
Task



animal X



animal Y



animal ___



$P(X), P(Y)$

Binary classification

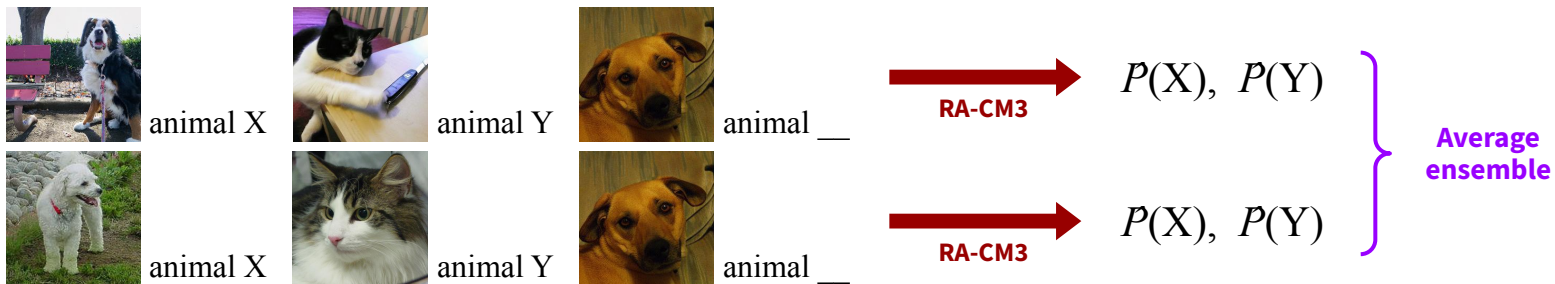
Result

Model	Accuracy
Baseline CM3	0.53
RA-CM3	0.78

Motivation: test the true in-context learning capability of our generator

Few-shot Image-to-Text

Ensemble (e.g. 2)



Result

Model	Number of Ensembles			
	1	2	4	8
Baseline CM3	0.53	0.50	0.56	0.56
RA-CM3	0.78	0.79	0.86	0.9

Takeaway:

- Generator exhibits good in-context learning performance
- Ensemble is an effective method to increase in-context examples

Summary

RA-CM3: The first retrieval-augmented multimodal model that can retrieve and generate both text and images

Result & Impact: Retrieval enables

- Accurate image/text generation ⇒ **reduce hallucination**
- Efficient training ⇒ **reduce cost** of training large foundation models
- Multimodal in-context learning (e.g., can prompt using both images and text)

Thank you!

<https://cs.stanford.edu/~myasu/>



@michiyasunaga