

# A $4/3$ Approximation for 2-Vertex-Connectivity

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## Abstract

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The 2-Vertex-Connected Spanning Subgraph problem (2VCSS) is among the most basic NP-hard (Survivable) Network Design problems: we are given an (unweighted) undirected graph  $G$ . Our goal is to find a subgraph  $S$  of  $G$  with the minimum number of edges which is 2-vertex-connected, namely  $S$  remains connected after the deletion of an arbitrary node. 2VCSS is well-studied in terms of approximation algorithms, and the current best (polynomial-time) approximation factor is  $10/7$  by Heeger and Vygen [SIDMA'17] (improving on earlier results by Khuller and Vishkin [STOC'92] and Garg, Vempala and Singla [SODA'93]).

Here we present an improved  $4/3$  approximation. Our main technical ingredient is an approximation preserving reduction to a conveniently structured subset of instances which are “almost” 3-vertex-connected. The latter reduction might be helpful in future work.

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## 1 Introduction

Real-world networks are prone to failures. For this reason it is important to design them so that they are still able to support a given traffic despite a few (typically temporary) failures of nodes or edges. The basic goal of survivable network design is to construct cheap networks which are resilient to such failures.

Most natural survivable network design problems are NP-hard, and a lot of work was dedicated to the design of approximation algorithms for them. One of the most basic survivable network design problems is the 2-Vertex-Connected Spanning Subgraph problem (2VCSS). Recall that an (undirected) graph  $G = (V, E)$  is  $k$ -vertex-connected ( $k$ VC) if, after removing any subset  $W$  of at most  $k - 1$  nodes (with all the edges incident to them), the residual graph  $G[V \setminus W]$  is connected. In particular, in a 2VC graph  $G$  we can remove any single node while maintaining the connectivity of the remaining nodes (intuitively, we can tolerate a single node failure). In 2VCSS we are given a 2VC (unweighted) undirected graph  $G = (V, E)$ , and our goal is to compute a minimum cardinality subset of edges  $S \subseteq E$  such that the (spanning) subgraph  $(V, S)$  is 2VC.

2VCSS is NP-hard: indeed an  $n$ -node graph  $G$  admits a Hamiltonian cycle iff it contains a 2VC spanning subgraph with  $n$  edges. Czumaj and Lingas [13] proved that the problem is APX-hard, hence most likely it does not admit a PTAS. A 2-approximation for 2VCSS can be obtained in different ways. For example one can compute an (open) ear decomposition of



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the input graph and remove the trivial ears (containing a single edge). The resulting graph is 2VC and contains at most  $2(n - 1)$  edges (while the optimum solution must contain at least  $n$  edges). The first non-trivial  $5/3$  approximation was obtained by Khuller and Vishkin [28]. This was improved to  $3/2$  by Garg, Vempala and Singla [20] (see also an alternative  $3/2$  approximation by Cheriyan and Thurimella [11]). Finally Heeger and Vygen [24] presented the current-best  $10/7$  approximation<sup>1</sup>. Our main result is as follows (please see Section 2 for an overview of our approach):

► **Theorem 1.** *There is a polynomial-time  $\frac{4}{3}$ -approximation algorithm for 2VCSS.*

## 1.1 Related Work

An undirected graph  $G$  is  $k$ -edge-connected (kEC) if it remains connected after removing up to  $k - 1$  edges. The 2-Edge-Connected Spanning Subgraph problem (2ECSS) is the natural edge-connectivity variant of 2VCSS, where the goal is to compute a 2EC spanning subgraph with the minimum number of edges. Like 2VCSS, 2ECSS does not admit a PTAS unless  $P = NP$  [13]. It is not hard to compute a 2 approximation for 2ECSS. For example it is sufficient to compute a DFS tree and augment it greedily. Khuller and Vishkin [27] found the first non-trivial  $3/2$ -approximation algorithm. Cheriyan, Sebö and Szigeti [10] improved the approximation factor to  $17/12$ . This was further improved to  $4/3$  in two independent and drastically different works by Hunkenschöder, Vempala and Vetta [25] and Sebö and Vygen [34]. The current best and very recent  $\frac{118}{89} + \varepsilon < 1.326$  approximation is due to Garg, Grandoni and Jabal Ameli [19]. Our work exploits several ideas from the latter paper. The  $k$ -Edge Connected Spanning Subgraph problem (kECSS) is the natural generalization of 2ECSS to any connectivity  $k \geq 2$  (see, e.g., [11, 17]).

A major open problem in the area is to find a better than 2 approximation for the weighted version of 2ECSS. This is known for the special case with 0-1 edge weights, a.k.a. the Forest Augmentation problem, by the recent work by Grandoni, Jabal-Ameli and Traub [21] (see also [2, 7, 6] for the related Matching Augmentation problem).

A problem related to kECSS is the  $k$ -Connectivity Augmentation problem (kCAP): given a  $k$ -edge-connected undirected graph  $G$  and a collection of extra edges  $L$  (*links*), find a minimum cardinality subset of links  $L'$  whose addition to  $G$  makes it  $(k + 1)$ -edge-connected. It is known [14] that kCAP can be reduced to the case  $k = 1$ , a.k.a. the Tree Augmentation problem (TAP), for odd  $k$  and to the case  $k = 2$ , a.k.a. the Cactus Augmentation problem (CacAP), for even  $k$ . Several approximation algorithms better than 2 are known for TAP [1, 8, 9, 15, 16, 22, 29, 30, 31], culminating with the current best 1.393 approximation by Cecchetto, Traub and Zenklusen [5]. Till recently no better than 2 approximation was known for CacAP (excluding the special case where the cactus is a single cycle [18]): the first such algorithm was described by Byrka, Grandoni and Jabal Ameli [4], and later improved to 1.393 in [5]. In a recent breakthrough by Traub and Zenklusen, a better than 2 (namely 1.694) approximation for the weighted version of TAP was achieved [35] (later improved to  $1.5 + \varepsilon$  in [36]). Partial results in this direction were achieved earlier in [1, 12, 16, 22, 32].

<sup>1</sup> Before [24] a few other papers claimed even better approximation ratios [23, 26], however they have been shown to be buggy or incomplete, see the discussion in [24].

## 1.2 Preliminaries

We use standard graph notation. For a graph  $G = (V, E)$ , we let  $V(G) = V$  and  $E(G) = E$  denote its nodes and edges, resp. For  $W \subseteq V$  and  $F \subseteq E$ , we use the shortcuts  $G \setminus F := (V, E \setminus F)$  and  $G \setminus W := G[V \setminus W]$ . For a subgraph  $G'$ , a node  $v$  and an edge  $e$ , we also use the shortcuts  $v \in G'$  and  $e \in G'$  meaning  $v \in V(G')$  and  $e \in E(G')$ , resp. Throughout this paper we sometimes use interchangeably a subset of edges  $F$  and the corresponding subgraph  $(W, F)$ ,  $W = \{v \in V : v \in f \in F\}$ . The meaning will be clear from the context. For example, we might say that  $F \subseteq E$  is 2VC or that  $F$  contains a connected component. In particular, we might say that  $S \subseteq E$  is a 2VC spanning subgraph. Also, given two subgraphs  $G_1$  and  $G_2$ , by  $G' = G_1 \cup G_2$  we mean that  $G'$  is the subgraph induced by  $E(G_1) \cup E(G_2)$ . We sometimes represent paths and cycles as sequence of nodes. A  $k$ -vertex-cut of  $G$  is a subset  $W$  of  $k$  nodes such that  $G[V \setminus W]$  has at least 2 connected components. A node defining a 1-vertex-cut is a cut vertex.

By  $\text{OPT}(G) \subseteq E(G)$  we denote an optimum solution to a 2VCSS instance  $G$ , and let  $\text{opt}(G) := |\text{OPT}(G)|$  be its size. All the algorithms described in this paper are deterministic.

The proofs that are omitted here due to space constraints will appear in the journal version of the paper (see also [3]).

## 2 Overview of Our Approach

In this section we sketch the proof of our 4/3-approximation (Theorem 1). The details and proofs which are omitted here will be given in the following technical sections.

Our result relies on 3 main ingredients. The first one is an approximation-preserving (up to a small additive term) reduction of 2VCSS to instances of the same problem on properly *structured* graphs, which are “almost” 3VC in a sense described later (see Section 2.1).

At this point we compute a minimum-size 2-edge-cover  $H$  similarly to prior work: this provides a lower bound on the size of the optimal solution. For technical reasons, we transform  $H$  into a *canonical* form, without increasing its size (see Section 2.2).

The final step is to convert  $H$  into a feasible solution  $S$ . Starting from  $S = H$ , this is done by iteratively adding edges to and removing edges from  $S$  in a careful manner. In order to take the size of  $S$  under control, we assign 1/3 credits to each edge of the initial  $S$ , and use these credits to pay for any increase in the number of edges of  $S$  (see Section 2.3). We next describe the above ingredients in more detail.

### 2.1 A Reduction to Structured Graphs

Our first step is an approximation-preserving (up to a small additive factor) reduction of 2VCSS to instances of the same problem on properly *structured* graphs. This is similar in spirit to an analogous reduction for 2ECSS in [19]. In particular we exploit the notion of irrelevant edges and isolating cuts defined in that paper. We believe that our reduction might be helpful also in future work.

In more detail, we can get rid of the following *irrelevant* edges.

► **Lemma 2** (irrelevant edge). *Given a 2VC graph  $G$ , let  $e = uv \in E(G)$  be such that  $\{u, v\}$  is a 2-vertex-cut (we call  $e$  irrelevant). Then every optimal 2VCSS solution for  $G$  does not contain  $e$ .*

**Proof.** We will need the following observation:

► **Fact 3.** *Suppose that a minimal solution  $S$  to 2VCSS on a graph  $G$  contains a cycle  $C$ . Then  $S$  does not contain any chord  $f$  of  $C$ . Indeed, otherwise consider any open ear decomposition<sup>2</sup> of  $S$  which uses  $C$  as a first ear. Then  $f$  would be a trivial ear (consisting of a single edge) of the decomposition, and thus  $S \setminus \{f\}$  would also be 2VC, contradicting the minimality of  $S$ .*

Let  $H \subseteq E$  be any optimal (hence minimal) solution to 2VCSS on  $G$ . Assume by contradiction that  $H$  contains an irrelevant edge  $e = uv$ . Removing  $u$  and  $v$  splits  $H$  into different connected components  $C_1, \dots, C_k$ , with  $k \geq 2$ . Each one of those components has edges  $u_i u, v_i v$  in  $H$ , where  $u_i, v_i \in C_i$  for  $i \in \{1, \dots, k\}$ , otherwise  $H$  would contain a cut vertex. Let  $P_1$  be a path from  $u_1$  to  $v_1$  in  $C_1$ , and  $P_2$  be a path from  $v_2$  to  $u_2$  in  $C_2$ . Then  $e$  is a chord of the cycle  $P_1 \cup P_2 \cup \{uu_1, v_1v, vv_2, u_2u\}$ , contradicting the minimality of  $H$  by Fact 3. ◀

We can enforce (see later) that our graph  $G$  is “almost” 3VC, in the sense that the only 2-vertex-cuts of  $G$  are a very specific type of *isolating* cuts defined as follows.

► **Definition 4 (isolating cut).** *Given a 2-vertex-cut  $\{u, v\}$  of a graph  $G$ , we say that this cut is isolating if  $G \setminus \{u, v\}$  has exactly two connected components, one of which consisting of 1 node. Otherwise the cut is non-isolating.*

Assuming that there are no non-isolating cuts, we can avoid the following local configuration: this will be helpful in the rest of our analysis.

► **Definition 5 (removable 5-cycle).** *We say that a 5-cycle  $C$  of a 2VC graph  $G$  is removable if it has at least two vertices of degree 2 in  $G$ .*

► **Lemma 6.** *Given a 2VC graph  $G$  without non-isolating cuts and with at least 6 nodes. Let  $C$  be a removable 5-cycle of  $G$ . Then in polynomial time one can find an edge  $e$  of  $C$  such that there exists an optimum solution to 2VCSS on  $G$  not containing  $e$  (we say that  $e$  is a removable edge).*

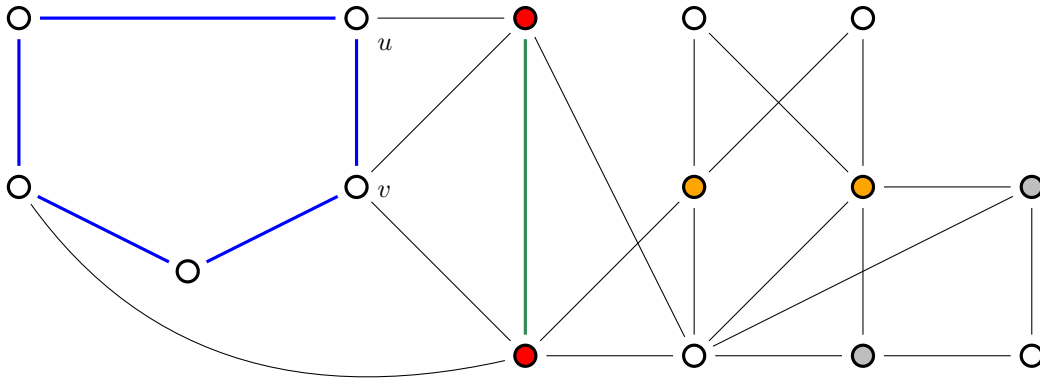
**Proof.** Assume  $C = v_1v_2v_3v_4v_5$ . If  $C$  has two vertices of degree 2 that are adjacent in  $C$ , namely  $v_1$  and  $v_2$ , then  $\{v_3, v_5\}$  is a non-isolating cut of  $G$ , a contradiction. Thus we can assume that  $C$  has exactly two non-adjacent vertices of degree 2, say  $v_1$  and  $v_3$  w.l.o.g.

We will show that the edge  $e = v_4v_5$  is the desired removable edge. Let  $H$  be an optimal 2VCSS solution for  $G$  that uses the edge  $v_4v_5$ . Observe that in this case since  $v_1$  and  $v_3$  have degree 2, then  $H$  must contain all the edges of  $C$ .

To complete the argument we show that there exists an edge  $f \in E(G) \setminus E(H)$ , such that  $v_4v_5$  is a chord of a cycle in  $H' := H \cup \{f\}$ : hence we can remove  $v_4v_5$  from  $H'$  using Fact 3 to obtain an alternative optimum solution not containing  $v_4v_5$ .

Let  $H'' = H \setminus \{v_4v_5\}$ . There is no cycle  $C'$  in  $H''$  that contains both  $v_4$  and  $v_5$ , otherwise  $v_4v_5$  is a chord of  $C'$  in  $H$ , contradicting the minimality of  $H$  by Fact 3. Therefore if we remove  $v_2$  from  $H''$ , there must be no paths from  $v_4$  to  $v_5$ . This means that there is a partition of  $V(G) \setminus \{v_2\}$  into non-empty sets  $V_1$  and  $V_2$  such that,  $\{v_3, v_4\} \in V_1$ ,  $\{v_1, v_5\} \in V_2$  and there is no edge in  $H''$  between  $V_1$  and  $V_2$ . Since  $|V(G)| \geq 6$ , then we can assume w.l.o.g that  $|V_1| \geq 3$ .

<sup>2</sup> An ear-decomposition of an undirected graph  $G$  is a sequence of paths or cycles  $P_0, \dots, P_k$  (ears) spanning  $E(G)$  such that  $P_0$  is a cycle and  $P_i$ ,  $i \geq 1$ , has its internal nodes disjoint from  $V_{i-1} := V(P_0) \cup \dots \cup V(P_{i-1})$  and its endpoints (or one node if  $P_i$  is a cycle) in  $V_{i-1}$ . We say that an ear-decomposition is open if  $P_i$  is a path, for  $i \geq 1$ . Every 2VC graph admits an open ear decomposition [33, Chapter 15].



■ **Figure 1** The cycle induced by the blue edges is a removable cycle, since it has two vertices of degree 2 in  $G$ . The edge  $uv$  is removable. The red and orange (resp. gray) pairs of vertices form a non-isolating (resp. isolating) cut. The green edge is irrelevant.

There must be an edge  $f = u_1u_2 \in E(G)$  such that  $u_1 \in V_1 \setminus \{v_3, v_4\}$  and  $u_2 \in V_2$ , otherwise  $\{v_2, v_4\}$  is a non-isolating cut in  $G$ , a contradiction. Now we show that  $f$  is the desired edge. We claim that there exists a path  $P_1$  in  $H[V_1 \setminus \{v_3\}]$  between  $u_1$  and  $v_4$ . Since  $H$  is 2VC, there exists a path  $P_1$  between  $u_1$  and  $v_4$  not using  $v_2$ . Such path does not use  $v_3$  either since this node is adjacent only to  $v_2$  and  $v_4$ , and  $u_1 \notin \{v_3, v_4\}$ . If  $P_1$  is not contained in  $H[V_1]$ , it would need to use at least two edges between  $V_1$  and  $V_2$  in  $H$ , however we argued before that  $H$  contains only one such edge, namely  $v_4v_5$ . Symmetrically, we claim that there exists a path  $P_2$  in  $H[V_2 \setminus \{v_1\}]$  between  $u_2$  and  $v_5$ . Notice that  $u_2 = v_5$  is possible, in which case the claim trivially holds. Hence next assume  $u_2 \neq v_5$ . Observe that  $u_2 \neq v_1$  since  $u_2$  is adjacent to  $u_1 \notin \{v_2, v_5\}$ . Thus, the claim about  $P_2$  follows symmetrically to the case of  $P_1$ . Altogether,  $v_4v_5$  is a chord of the cycle  $P_1 \cup P_2 \cup \{f\} \cup C \setminus \{v_4v_5\}$  in  $H' = H \cup \{f\}$ , which implies the lemma. ◀

We are now ready to define a structured graph and to state our reduction to such graphs.

► **Definition 7** (structured graph). A 2VC graph  $G$  is structured if it does not contain: (1) Irrelevant edges; (2) Non-isolating cuts; (3) Removable 5-cycles.

► **Lemma 8.** Given a constant  $1 < \alpha \leq \frac{3}{2}$ , if there exists a polynomial-time algorithm for 2VCSS on a structured graph  $G$  that returns a solution of cost at most  $\max\{\text{opt}(G), \alpha \cdot \text{opt}(G) - 2\}$ , then there exists a polynomial-time  $\alpha$ -approximation algorithm for 2VCSS.

We remark that any  $\alpha - \varepsilon$  approximation of 2VCSS on structured graphs, for an arbitrarily small constant  $\varepsilon > 0$ , immediately implies an algorithm of the type needed in the claim of Lemma 8: indeed, instances with  $\text{opt}(G) \leq \max\{\frac{2}{\varepsilon}, \frac{2}{\alpha-1}\}$  can be solved exactly in constant time by brute force.

The algorithm at the heart of our reduction is algorithm RED given in Algorithm 1. Lines 1-2 solve by brute force instances with few nodes. Lines 3-4, 5-10, and 11-12 get rid recursively of irrelevant edges, non-isolating vertex cuts and removable 5-cycles, resp. When Line 13 is reached, the graph is structured and therefore we can apply a black-box algorithm ALG for structured instances of 2VCSS.

It is easy to see that the algorithm runs in polynomial time.

■ **Algorithm 1** Reduction from arbitrary to structured instances of 2VCSS. Here  $G$  is 2VC and ALG is an algorithm for structured instances that returns a solution of cost at most  $\max\{\text{opt}(G), \alpha \cdot \text{opt}(G) - 2\}$  for some  $1 < \alpha \leq \frac{3}{2}$ .

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1: if  $|V(G)| < \max\{6, \frac{2}{\alpha-1}\}$  then
2:   Compute  $\text{OPT}(G)$  by brute force (in constant time) and return  $\text{OPT}(G)$ 
3: if  $G$  contains an irrelevant edge then
4:   return  $\text{RED}(G \setminus \{e\})$ 
5: if  $G$  contains a non-isolating vertex cut  $\{u, v\}$  then
6:   let  $(V_1, V_2), 2 \leq |V_1| \leq |V_2|$ , be a partition of  $V(G) \setminus \{u, v\}$  such that there are no
   edges between  $V_1$  and  $V_2$  in  $G \setminus \{u, v\}$ 
7:   let  $G_1$  be the graph resulting from  $G$  by contracting  $V_2$  into one node  $v_2$  and  $G_2$  the
   graph resulting from  $G$  by contracting  $V_1$  into one node  $v_1$  (keeping one copy of parallel
   edges in both cases)
8:   let  $H_1 = \text{RED}(G_1)$  and  $H_2 = \text{RED}(G_2)$ 
9:   let  $E_1$  (resp.  $E_2$ ) be the two edges of  $H_1$  (resp.,  $H_2$ ) with endpoints in  $v_2$  (resp.,  $v_1$ )
10:  return  $H := (H_1 \setminus E_1) \cup (H_2 \setminus E_2)$ 
11: if  $G$  contains a removable 5-cycle then
12:  let  $e$  be the removable edge (found via Lemma 6) in that cycle and return  $\text{RED}(G \setminus \{e\})$ 
13: return  $\text{ALG}(G)$ 

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► **Lemma 9.**  $\text{RED}(G)$  runs in polynomial time in  $|V(G)|$  if ALG does so.

**Proof.** Let  $n = |V(G)|$ . First observe that each recursive call, excluding the corresponding subcalls, can be executed in polynomial time. In particular, we can find one irrelevant edge, if any, in polynomial time by enumerating all the possible 2-vertex-cuts. Furthermore, we can find some removable 5-cycle, if any, in polynomial time by enumerating all 5-cycles. Then, by Lemma 6, we can indentify a removable edge in such cycle. We also remark that in Lines 4 and 12 we remove one edge, and we never increase the number of edges. Hence the corresponding recursive calls increase the overall running time by a polynomial factor altogether.

It is then sufficient to bound the number  $f(n)$  of recursive calls where we execute Lines 6-10 starting from a graph with  $n$  nodes. Consider one recursive call on a graph  $G$  with  $n$  nodes, where the corresponding graph  $G_1$  has  $5 \leq k \leq n/2 + 2$  nodes. Notice that  $G_2$  has  $n - k + 4$  nodes. Thus one has  $f(n) \leq \max_{5 \leq k \leq n/2+2} \{f(k) + f(n - k + 4)\}$ , which implies that  $f(n)$  is polynomially bounded. ◀

Let us next show that RED produces a feasible solution.

► **Lemma 10.** Given a 2VC graph  $G$ ,  $\text{RED}(G)$  returns a feasible 2VCSS solution for  $G$ .

**Proof.** Let us prove the claim by induction on  $(|V(G)|, |E(G)|)$  in lexicographic order. The base cases are given when  $\text{RED}(G)$  executes Lines 2 or 13: in these cases RED clearly returns a feasible solution. Consider an instance  $G$  where  $\text{RED}(G)$  does not execute those lines (in the root call), and assume the claim holds for any instance  $G'$  where  $(|V(G')|, |E(G')|)$  is strictly smaller than  $(|V(G)|, |E(G)|)$  in lexicographic order. By Lemma 2, when RED recurses at Line 4, the graph  $G \setminus \{e\}$  is 2VC, hence the recursive call returns a 2VC spanning subgraph by inductive hypothesis. A similar argument holds when Line 12 is executed, this time exploiting Lemma 6.

It remains to consider the case when Lines 6-10 are executed. Notice that both  $G_1$  and  $G_2$  are 2VC. In this case we can assume by inductive hypothesis that both  $H_1$  and  $H_2$  are 2VC. Consider any  $w_1 \in V_1$ . Since  $H_1$  is 2VC,  $H_1$  contains 2 vertex disjoint paths from  $w_1$  to  $v_2$ . Notice that both  $u$  and  $v$  must be the second last node in exactly one such path, hence in particular there exist two (internally) vertex-disjoint paths  $P_{w_1u}$  and  $P_{w_1v}$  in  $H$  over the nodes  $V_1 \cup \{u, v\}$  from  $w_1$  to  $u$  and  $v$ , resp. Symmetrically, for each  $w_2 \in V_2$  there exist two vertex disjoint paths  $P_{w_2u}$  and  $P_{w_2v}$  in  $H$  over the nodes  $V_2 \cup \{u, v\}$  from  $w_2$  to  $u$  and  $v$ , resp.

For any  $w_1 \in V_1$  and  $w_2 \in V_2$ , the  $w_1$ - $w_2$  paths  $P_{w_1u} \cup P_{w_2u}$  and  $P_{w_1v} \cup P_{w_2v}$  in  $H$  are vertex disjoint. Similarly, for any  $w_1 \in V_1$  and  $w_2 \in V_2$ ,  $P_{w_1u} \cup P_{w_1v}$  and  $P_{w_2u} \cup P_{w_2v}$  are vertex disjoint  $u$ - $v$  paths in  $H$ . Given  $w_1 \in V_1$  and  $w'_1 \in V_1 \cup \{u, v\}$ , consider the two vertex disjoint paths in  $H_1$  between them. If these paths do not contain  $v_2$ , then they also belong to  $H$ . Otherwise exactly one of those paths contains the subpath  $P' = uv_2v$ : by replacing  $P'$  with  $P_{w_2u} \cup P_{w_2v}$  for an arbitrary  $w_2 \in V_2$ , one obtains two vertex disjoint  $w_1$ - $w'_1$  paths in  $H$ . A symmetric argument holds for  $w_2 \in V_2$  and  $w'_2 \in V_2 \cup \{u, v\}$ .

Assume to get a contradiction that  $H$  has a cut vertex  $w$ . If  $w \in \{u, v\}$ , then  $w$  is also a cut vertex in either  $H_1$  or  $H_2$ . Thus we can assume w.l.o.g.  $w \in V_1$ . Consider the components resulting of removing the vertex  $w$  from  $H$ . If one of this components does not contain  $u$  nor  $v$  then  $w$  is also a cut vertex in  $H_1$ . Thus removing  $w$  from  $H$  yields two connected components  $C_u, C_v$ , with  $u \in C_u, v \in C_v$ . But since  $w \in V_1$ , no edge from  $H_2$  present in  $H$  is removed by deleting  $w$ . In particular, there is a path from  $u$  to  $v$  in  $H$ , contradicting the fact that  $w$  is a cut vertex.  $\blacktriangleleft$

It remains to analyze the approximation factor of RED.

► **Lemma 11.**  $|\text{RED}(G)| \leq \begin{cases} \text{opt}(G), & \text{if } |V(G)| < \max\{6, \frac{2}{\alpha-1}\}; \\ \alpha \cdot \text{opt}(G) - 2, & \text{if } |V(G)| \geq \max\{6, \frac{2}{\alpha-1}\}. \end{cases}$

**Proof.** We prove the claim by induction on  $(|V(G)|, |E(G)|)$  in lexicographic order. The base cases correspond to the execution of Lines 2 and 13. Here the claim trivially holds. The claim holds by inductive hypothesis and by Lemmas 2 and 6 when Lines 4 and 12, resp., are executed. Notice that the 6 that appears in the max in the claim of the lemma is meant to guarantee that the conditions of Lemma 6 are satisfied.

It remains to consider the case when Lines 6-10 are executed. Let OPT be a minimum 2VC spanning subgraph of  $G$ , and  $\text{OPT}_i$  be an optimal 2VCSS solution for  $G_i$ ,  $i \in \{1, 2\}$ . We will later show

$$|\text{OPT}| = |\text{OPT}_1| + |\text{OPT}_2| - 4. \quad (1)$$

Notice that since  $|H_i \cap E_i| = 2$  for  $i \in \{1, 2\}$  and  $H_1 \setminus E_1$  and  $H_2 \setminus E_2$  are edge-disjoint, we have  $|H| = |H_1| + |H_2| - 4$ .

Notice that, for  $|V_i| \geq \frac{2}{\alpha-1}$ , one has  $|\text{OPT}_i| \leq \alpha|\text{OPT}_i| - 2$ . We now distinguish a few cases.

If  $|V_2| < \max\{6, \frac{2}{\alpha-1}\}$ , then  $|H| = |H_1| + |H_2| - 4 = |\text{OPT}_1| + |\text{OPT}_2| - 4 = |\text{OPT}|$ .

If  $|V_1| \geq \max\{6, \frac{2}{\alpha-1}\}$ , then  $|H| = |H_1| + |H_2| - 4 \leq \alpha|\text{OPT}_1| - 2 + \alpha|\text{OPT}_2| - 2 - 4 \leq \alpha(|\text{OPT}_1| + |\text{OPT}_2|) - 8 \leq \alpha|\text{OPT}| + 4\alpha - 8 \leq \alpha|\text{OPT}| - 2$ . The last inequality uses the assumption  $\alpha \leq 3/2$ .

Finally, if  $|V_1| < \max\{6, \frac{2}{\alpha-1}\}$  and  $|V_2| \geq \max\{6, \frac{2}{\alpha-1}\}$ , we have  $|H| = |H_1| + |H_2| - 4 \leq |\text{OPT}_1| + \alpha|\text{OPT}_2| - 2 - 4 = (1-\alpha)|\text{OPT}_1| + \alpha(|\text{OPT}_1| + |\text{OPT}_2|) - 6 \leq (1-\alpha)|\text{OPT}_1| + 4\alpha + \alpha|\text{OPT}| - 6 \leq \alpha|\text{OPT}| - 2$ . The last inequality holds since  $|\text{OPT}_1| \geq |V(G_1)| \geq 5$  and  $\alpha > 1$ .

It remains to prove (1). Let  $E_1$  be the two edges of  $G_1$  with endpoints in  $v_2$  and  $E_2$  be the two edges of  $G_2$  with endpoints in  $v_1$ . Observe that  $E_i$  coincides with the  $E_i$  defined in Line 9. By the same argument as in the proof of Lemma 10, one has that  $(\text{OPT}_1 \setminus E_1) \cup (\text{OPT}_2 \setminus E_2)$  is a 2VC spanning subgraph of  $G$ . Notice that  $\text{OPT}_1 \setminus E_1$  and  $\text{OPT}_2 \setminus E_2$  are edge-disjoint and that  $|E_i \cap \text{OPT}_i| = |E_i| = 2$  for  $i \in \{1, 2\}$ . Using this two facts we get that  $|\text{OPT}| \leq |(\text{OPT}_1 \setminus E_1) \cup (\text{OPT}_2 \setminus E_2)| = |\text{OPT}_1| + |\text{OPT}_2| - 4$ .

For the other direction, assume by contradiction that  $|\text{OPT}| < |\text{OPT}_1| + |\text{OPT}_2| - 4$ . Notice that  $E(G) = (E(G_1) \setminus E_1) \dot{\cup} (E(G_2) \setminus E_2)$  and thus  $\text{OPT} = ((E(G_1) \setminus E_1) \cap \text{OPT}) \dot{\cup} ((E(G_2) \setminus E_2) \cap \text{OPT})$ . Thus we have that either  $|(E(G_1) \setminus E_1) \cap \text{OPT}| < |\text{OPT}_1| - 2$  or  $|(E(G_2) \setminus E_2) \cap \text{OPT}| < |\text{OPT}_2| - 2$ . Assume w.l.o.g. that  $|(E(G_1) \setminus E_1) \cap \text{OPT}| < |\text{OPT}_1| - 2$ . Then  $((E(G_1) \setminus E_1) \cap \text{OPT}) \cup \{uv_2, vv_2\}$  is a 2VC spanning subgraph of  $G_1$  of cardinality less than  $|\text{OPT}_1|$ , a contradiction. (1) follows.  $\blacktriangleleft$

## 2.2 A Canonical 2-Edge-Cover

It remains to give a good enough approximation algorithm for structured graphs. The first step in our algorithm (similarly to prior work on related problems [6, 19, 25]) is to compute (in polynomial time [33, Chapter 30]) a minimum-cardinality 2-edge-cover<sup>3</sup>  $H$  of  $G$ . It is worth to remark that  $|H| \leq \text{opt}(G)$ : indeed the degree of each node in any 2VC spanning subgraph of  $G$  must be at least 2.

For technical reasons, we transform  $H$ , without increasing its size, into another 2-edge-cover which is *canonical* in the following sense. We need some notation first. If a connected component of  $H$  has at least 6 edges we call it a *large component*, and otherwise a *small component*. Let  $C$  be a large component of  $H$ . We call every maximal 2VC subgraph of  $C$  a *block*, and every edge of  $C$  such that its removal splits that component into two connected components a *bridge*. Notice that every edge of  $C$  is either a bridge or belongs to some block in that component. Also, every edge of  $C$  belongs to at most one block, thus there is a unique partition of the edges of  $C$  into blocks and bridges (but a node of  $C$  might belong to multiple blocks and to multiple bridges). Observe that  $C$  is 2VC iff it has exactly one block. If  $C$  is large but not 2VC we call it a *complex component*. If a block  $B$  of a complex component  $C$  contains only one cut vertex of  $C$ , we say that  $B$  is a *leaf-block* of  $C$ . Notice that since  $H$  is a 2-edge-cover,  $C$  must have at least 2 leaf blocks.

► **Definition 12 (Canonical 2-Edge-Cover).** *A 2-edge-cover  $S$  of a graph  $G$  is canonical if: (1) Every small component of  $S$  is a cycle; (2) For any complex component  $C$  of  $S$ , each leaf-block  $B$  of  $C$  has at least 5 nodes.*

► **Lemma 13.** *Given a minimum 2-edge-cover  $H$  of a structured graph  $G$ , in polynomial time one can compute a canonical 2-edge-cover  $S$  of  $G$  with  $|S| = |H|$ .*

**Proof.** We start with  $S := H$ . At each step if there are edges  $e \in E(G) \setminus E(S)$  and  $e' \in E(S)$ , such that  $S' := S \cup \{e\} \setminus \{e'\}$  is a 2-edge-cover that has fewer connected components than  $S$  or it has the same number of connected components as  $S$  but has fewer bridges and blocks in total than  $S$ , then we replace  $S$  by  $S'$ . This process clearly terminates within a polynomial number of steps, returning a 2-edge-cover  $S$  of the same size as the initial  $H$  (hence in particular  $S$  must be minimal).

<sup>3</sup> A 2-edge-cover  $H$  of a graph  $G$  is a subset of edges such that each node  $v$  of  $G$  has at least 2 edges of  $H$  incident to it.



Let us show that the final  $S$  satisfies the remaining properties. Assume by contradiction that  $S$  has a connected component  $C$  with at most 5 edges that is not a cycle. By a simple case analysis  $C$  must be a 4-cycle plus one chord  $f$ . However this contradicts the minimality of  $S$  by Fact 3.

Finally assume by contradiction that  $S$  has a complex component  $C$ , with a leaf-block  $B$  such that  $B$  has at most 4 nodes. By the minimality of  $S$ ,  $B$  must be a 3-cycle or a 4-cycle. Let  $B = v_1 \dots v_k$ ,  $k \in \{3, 4\}$ , and assume w.l.o.g. that  $v_1$  is the only cut-vertex of  $C$  that belongs to  $B$ . In this case we show that there must exist an edge  $e = uz \in E(G)$  such that  $u \in \{v_2, v_k\}$  and  $z \notin B$ . If this is not true then for  $k = 3$ ,  $v_1$  is a cut-vertex in  $G$ , and for  $k = 4$ ,  $\{v_1, v_3\}$  form a non-isolating cut, leading to a contradiction in both cases. Consider  $S' := S \cup \{e\} \setminus \{uv_1\}$ . Note that  $S'$  is a 2-edge-cover of the same size as  $S$ . Since  $uv_1$  belongs to a cycle of  $S$ , then the number of connected components in  $S'$  is not more than in  $S$ . If  $z \notin C$  the number of connected components of  $S'$  is less than in  $S$ , which is a contradiction. Otherwise the number of connected components of  $S$  and  $S'$  is the same. Now in  $S'$  all the bridges and the blocks of  $S$  that shared an edge with any path from  $u$  to  $z$  in  $S \setminus \{uv_1\}$  become part of the same block and all the other bridges and blocks remain the same. This is a contradiction as the total number of bridges and blocks of  $S'$  is less than in  $S$ . ◀

### 2.3 A Credit-Based Argument

Next assume that we are given a minimum-cardinality canonical 2-edge-cover  $H$  of a structured graph  $G$ . Observe that, for  $|H| \leq 5$ ,  $H$  is necessarily a cycle of length  $|H|$  by the definition of canonical 2-edge-cover and a simple case analysis. In particular  $H$  is already a feasible (and optimal) solution. Therefore we next assume  $|H| \geq 6$ . Starting from  $S = H$ , we will gradually add edges to (and sometimes remove edges from)  $S$ , until  $S$  becomes 2VC. In order to keep the size of  $S$  under control, we use a credit-based argument similarly to prior work [6, 19, 21]. At high level, the idea is to assign a certain number of credits  $\text{cr}(S)$  to  $S$ . Let us define the cost of  $S$  as  $\text{cost}(S) = |S| + \text{cr}(S)$ . We guarantee that for the initial value of  $S$ , namely  $S = H$ ,  $\text{cost}(S) \leq \frac{4}{3}|H|$ . Furthermore, during the process  $\text{cost}(S)$  does not increase.

During the process we maintain the invariant that  $S$  is canonical. Hence the following credit assignment scheme is valid for any intermediate  $S$ :

1. To every small component  $C$  of  $S$  we assign  $\text{cr}(C) = |E(C)|/3$  credits.
2. Each large component  $C$  receives  $\text{cr}(C) = 1$  credits.
3. Each block  $B$  receives  $\text{cr}(B) = 1$  credits.
4. Each bridge  $b$  receives  $\text{cr}(b) = 1/4$  credits.

We remark that each large connected component  $C$  of  $S$  which is 2VC, receives one credit in the role of a component, and one additional credit in the role of a block of that component. Let  $\text{cr}(S) \geq 0$  the total number of credits assigned to the subgraphs of  $S$ . It is not hard to show that the initial cost of  $S$  is small enough.

► **Lemma 14.**  $\text{cost}(H) \leq \frac{4}{3}|H|$ .

**Proof.** Let us initially assign  $\frac{1}{4}$  credits to the bridges of  $H$  and  $\frac{1}{3}$  credits to the remaining edges. Hence we assign at most  $\frac{|H|}{3}$  credits in total. We next redistribute these credits so as to satisfy the credit assignment scheme.

Each small component  $C$  retains the credits of its edges. If  $C$  is large and 2VC then it has exactly one block  $B$ . Since  $|E(C)| \geq 6$ , its edges have at least 2 credits, so we can assign 1 credit to  $C$  and 1 to  $B$ .

Now consider a complex component  $C$  of  $H$ . The bridges keep their own credits. Since  $H$  is a 2-edge-cover and  $C$  is complex, then  $C$  has at least 2 leaf-blocks  $B_1$  and  $B_2$ . By the definition of canonical,  $B_1$  and  $B_2$  have at least 5 nodes (hence edges) each. Therefore

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together they have at least  $\frac{10}{3} > 3$  credits, which is sufficient to assign one credit to  $C$ ,  $B_1$  and  $B_2$ . Any other block  $B$  of  $C$  (which has at least 3 edges) keeps the credits of its edges, hence at least 1 credit. Observe that  $\text{cost}(H) = |H| + \text{cr}(H) \leq \frac{4}{3}|H|$  as desired.  $\blacktriangleleft$

As mentioned before, starting from  $S = H$ , we will transform  $S$  without increasing its cost  $\text{cost}(S)$  until it becomes a single large component  $C$  that is 2VC (and thus it has exactly one block  $B$ ) and therefore a 2VC spanning subgraph of  $G$ . Notice that at the end of the process  $\text{cr}(S) = \text{cr}(C) + \text{cr}(B) = 2$ , hence  $|S| = \text{cost}(S) - 2 \leq \frac{4}{3}|H| - 2$ . Combining this with the trivial case for  $|H| \leq 5$ , we obtain the following lemma.

► **Lemma 15.** *Given a canonical minimum 2-edge-cover  $H$  of a structured graph  $G$ , one can compute in polynomial time a 2VCSS solution  $S$  for  $G$  with  $|S| \leq \max\{|H|, \frac{4}{3}|H| - 2\}$ .*

Given the above results, it is easy to prove Theorem 1.

**Proof of Theorem 1.** By Lemma 8 it is sufficient to compute a solution of cost at most  $\max\{\text{opt}(G), \frac{4}{3} \cdot \text{opt}(G) - 2\}$  on a structured graph  $G$ . We initially compute a canonical minimum 2-edge-cover  $H$  of  $G$  via Lemma 13. Then we apply Lemma 15 to obtain a 2VCSS solution  $S$  with  $|S| \leq \max\{|H|, \frac{4}{3}|H| - 2\} \leq \max\{\text{opt}(G), \frac{4}{3}\text{opt}(G) - 2\}$ . Clearly all steps can be performed in polynomial time.  $\blacktriangleleft$

It remains to discuss the proof of Lemma 15 (assuming  $|H| \geq 6$ ), which is the most technical part of our paper. The construction at the heart of the proof consists of a few stages. Recall that we start with a 2-edge-cover  $S = H$ , and then gradually transform  $S$  without increasing  $\text{cost}(S)$ .

In the first stage of our construction we remove from  $S$  all the small components with the exception of the following type of 4-cycles that require a separate argument in the following.

► **Definition 16** (pendant 4-cycle). *Let  $S$  be a 2-edge-cover of a graph  $G$  and  $C'$  be a large component of  $S$ . We say that a connected component  $C$  of  $S$  is a pendant 4-cycle (of  $C'$ ) if  $C$  is a 4-cycle and all the edges of  $G$  with exactly one endpoint in  $C$  have the other endpoint in  $C'$ .*

► **Lemma 17.** *Let  $G$  be a structured graph and  $H$  be a canonical minimum 2-edge cover of  $G$ , with  $|H| \geq 6$ . In polynomial time one can compute a canonical 2-edge-cover  $S$  of  $G$  such that the only small components of  $S$  are pendant 4-cycles and  $\text{cost}(S) \leq \text{cost}(H)$ .*

In the second stage of our construction we reduce to the case where  $S$  consists of large 2VC components only.

► **Lemma 18.** *Let  $G$  be a structured graph and  $S$  be a canonical 2-edge-cover of  $G$  such that the only small components of  $S$  are pendant 4-cycles. In polynomial time one can compute a canonical 2-edge-cover  $S'$  of  $G$  such that all the connected components of  $S'$  are 2VC and large, and  $\text{cost}(S') \leq \text{cost}(S)$ .*

At this point we can exploit the following definition and lemma from [19] to construct the desired 2VC spanning subgraph.

► **Definition 19** (Nice Cycle). *Let  $\Pi = (V_1, \dots, V_k)$ ,  $k \geq 2$ , be a partition of the node-set of a graph  $G$ . A nice cycle  $N$  of  $G$  w.r.t.  $\Pi$  is a subset of edges with endpoints in distinct subsets of  $\Pi$  such that: (1)  $N$  induces one cycle of length at least 2 in the graph obtained from  $G$  by collapsing each  $V_i$  into a single node; (2) given the two edges of  $N$  incident to some  $V_i$ , these edges are incident to distinct nodes of  $V_i$  unless  $|V_i| = 1$ .*

► **Lemma 20** ([19]). *Let  $\Pi = (V_1, \dots, V_k)$ ,  $k \geq 2$ , be a partition of the node-set of a 2VC graph  $G$ . In polynomial time one can compute a nice cycle  $N$  of  $G$  w.r.t.  $\Pi$ .*

► **Lemma 21.** *Let  $G$  be a structured graph and  $S$  be a 2-edge-cover of  $G$  such that all the connected components of  $S$  are 2VC and large. In polynomial time one can compute a 2VCSS solution  $S'$  for  $G$  with  $\text{cost}(S') \leq \text{cost}(S)$ .*

**Proof.** Initially set  $S' = S$ . Consider the partition  $\Pi = (V_1, \dots, V_k)$  of  $V(G)$  where  $V_i$  is the set of vertices of the 2VC component  $C_i$  of  $S'$ . If  $k = 1$ ,  $S'$  already satisfies the claim. Otherwise, using Lemma 20 we can compute a nice cycle  $N$  of  $G$  w.r.t.  $\Pi$ . Let us replace  $S'$  with  $S'' := S' \cup N$ . W.l.o.g assume  $N$  is incident to  $V_1, \dots, V_r$  for some  $2 \leq r \leq k$ . Then in  $S''$  the nodes  $V_1 \cup \dots \cup V_r$  belong to a unique (large) 2VC connected component  $C'$ . Furthermore  $\text{cost}(S') - \text{cost}(S'') = \sum_{i=1}^r (\text{cr}(C_i) + \text{cr}(B_i)) - \text{cr}(C') - \text{cr}(B') - r = 2r - 2 - r \geq 0$ , where  $B_i$  is the only block of the component  $C_i$  and  $B'$  the only block of  $C'$ . By iterating the process for a polynomial number of times one obtains a single 2VC component, hence the claim. ◀

The proof of Lemma 15 follows by chaining Lemmas 17, 18, and 21, and by the previous simple observations.

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