

A Combination of Traditional and Polarimetric Features for Oil Spill Detection Using TerraSAR-X

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Abstract—Synthetic aperture radar (SAR) images are operationally used for the detection of oil spills in the marine environment, as they are independent of sun light and weather-induced phenomena. Exploitation of radar polarimetric features for operational oil spill detection is relatively new and until recently those properties have not been extensively exploited. This paper describes the development of a oil spill detection processing chain using coherent dual-polarimetric (copolarized channels, i.e., HH-VV) TerraSAR-X images. The proposed methodology focuses on offshore platform monitoring and introduces for the first time a combination of traditional and polarimetric features for object-based oil spill detection and look-alike discrimination. A total number of 35 feature parameters were extracted from 225 oil spills and 26 look-alikes and divided into training and validation dataset. Mutual information content among extracted features have been assessed and feature parameters are ranked according to their ability to discriminate between oil spill and look-alike. Extracted features are used for training and validation of a support vector machine-based classifier. Performance estimation was carried out for the proposed methodology on a large dataset with overall classification accuracy of 90% oil spills and 80% for look-alikes. Polarimetric features such as geometric intensity, copolarization power ratio, span proved to be more discriminative than other polarimetric and traditional features.

Index Terms—Feature extraction, feature ranking, near real time (NRT) services, polarimetric oil spill detection, support vector machine.

I. INTRODUCTION AND POLARIMETRIC OIL SPILL DETECTION

OPERATIONAL monitoring activities (e.g., European Maritime Safety Agency’s CleanSeaNet service) show regular occurrence of accidental and deliberate oil spills [1]. In the last decade, a number of semiautomatic and automatic techniques have been proposed in order to differentiate oil spill and look-alike dark spots based on single pol (HH or VV, multi-looked ground range projected) synthetic aperture radar (SAR) images. Some of the proposed techniques are also capable of providing results in near real time (NRT) [1]. It is worth to note that the NRT environment for SAR oceanographic applications means delivery of the final product within 30 min after the image acquisition time. However, such techniques suffer from a high

misclassification rate which is undesirable for operational services. In addition to that, small operational spillages (production water) from offshore platforms are often ignored as its signature appears insignificant on traditional “ScanSAR” wide swath images. In order to mitigate this situation, a major focus of research in this area is the development of automated algorithms based on polarimetric images to distinguish oil spills from “look-alikes.” Traditional oil spill detection techniques use backscatter, geometry, shape, or context-based feature parameters in order to classify dark-spots on an object basis. A comprehensive review of traditional oil spill detection methodologies and evaluation of traditional features can be found in [1] and [2], respectively. On the other hand, numbers of polarimetric features have been proposed for the same purpose for pixel-based classification [3]–[7] and discussed briefly in Section II.

Space-borne SAR sensors with polarimetric (dual (HH-VV) and/or quad) capabilities are now operationally available in L (ALOS-2 PALSAR), C (RADARSAT-2, RISAT), and X (TerraSAR-X, COSMO SkyMed) band and proven to be suitable for oil spill detection. Methodologies for oil spill detection systems based on polarimetry are evolving rapidly and several previous studies investigated the capability of dual copolarized SAR measurements for oil spill detection [5]–[9]. Although previous studies based on single polarimetric images manage to produce reasonable classification results using traditional features (feature based on geometry, backscatter, contextual, etc.), it may suffer from a considerable false positive rate [1], hence warrant an investigation into the polarimetric domain. Recent studies show unique benefits of polarimetric SAR data to both observe oil slicks and discriminate between oil spill and look-alike spots, which is a major challenge for traditional oil spill detection systems based only on single polarized SAR images [3], [4], [9]–[12]. Nonetheless, an integration of traditional and polarimetric-based features is yet to be established [13]. This study focuses on developing an object-based classifier which uses polarimetric features along with traditional features to distinguish oil spills from “look-alikes” using a dataset acquired primarily over offshore platforms. In addition to that, this study also looks into the performance of each feature for its ability to discriminate between two classes.

The paper is organized as follows. Section II gives an introduction about the polarimetric features and their mathematical definitions. Section III provides the description of the dataset used in this study. Section IV provides the summary of the proposed methodology and the processing chain along with a comprehensive scheme to analyze the discriminative power of polarimetric and traditional features. Experimental results and

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discussions about the performance of the classifier and feature analysis are presented in Section V, while summary and conclusion are drawn in Section VI.

II. POLARIMETRIC OIL SPILL DETECTION

Polarimetric features are directly related to the physical properties of the scattering surface. As mentioned in the previous section, several researcher have demonstrated the benefits of multipolarization measurements for oil spill detection over the last decade. The polarimetric scattering characteristics of the oil-covered sea surface depends on several contributing factors. According to their importance, a list of contributing factors are given below.

- 1) Substance type (e.g., mixture of organic compounds, volume, age of the spilled oil).
- 2) SAR instrument specific parameters (e.g., polarization, incidence angle, noise floor, imaging mechanism).
- 3) Geophysical parameters (e.g., local wind vector, presence of capillary waves, surface currents).

Moreover, even the molecular structure and emulsification processes of the oil can affect the dielectric properties of oil-covered waters and their scattering properties.

In case of a fully polarimetric acquisition, the scattering matrix is given by

$$S = \begin{bmatrix} S_{HH} & S_{HV} \\ S_{VH} & S_{VV} \end{bmatrix} \quad (1)$$

where $S_{XX} = |S_{XX}| \exp(j\varphi_{XX})$, with $|S_{XX}|$ representing the amplitude and φ_{XX} representing the phase of the complex scattering coefficient. Although TerraSAR-X and TanDEM-X are capable of acquiring fully polarimetric data (experimental mode), only dual polarimetric data are available operationally. In case of dual polarimetric TerraSAR-X acquisitions all possible combinations are available ($S_{HH} - S_{HV}$; $S_{VV} - S_{VH}$, and $S_{HH} - S_{VV}$). In this study, only S_{HH} and S_{VV} scattering coefficients are used in order to extract relevant polarimetric features. Therefore in this case, utilizing only copol channels, the scattering vector is given by

$$k = \frac{1}{\sqrt{2}} [S_{HH} + S_{VV}, S_{HH} - S_{VV}] \quad (2)$$

and copolarized phase difference (CPD) is given by

$$\text{CPD} = \varphi_{HH} - \varphi_{VV}. \quad (3)$$

The concept for using polarimetric SAR (PolSAR) data for oil slick observation was initially assessed in [14] and later on in [3] for SIR-C/X-SAR data followed by a demonstration on fully polarimetric ALOS PALSAR data in [5]. The principal concept was then adapted for X-band by [9] using TerraSAR-X dual-pol coherent single look complex (SLC) data. The polarimetric electromagnetic model, which is the basis of this proposed methodology, predicts that the sea surface is governed by Bragg scattering (or tilted-Bragg scattering), has a high interchannel (co-pol) correlation and in case of non-Bragg scatter (e.g., oil-covered sea surface) the correlation is considerably lower [9] [7]. In [9], the authors demonstrated that the standard deviation of CPD for a polluted area is significantly higher compared to

the pollution-free background due to significant deviation from the Bragg scattering mechanism and on the other hand lower coherence for polluted area due to the same reason. In [3], [5], [7], [15], the model has been demonstrated to be valid even at different frequency bands (L-, C-, X-band) making it attractive for further exploitation. CPD has a probability distribution (pdf) that depends on two factors, the number of looks ℓ ($\ell = 1$ in case of TerraSAR-X Dual-Pol StripMAP data) and the complex correlation coefficient between HH and VV (ρ_{CO} , given in 4), where $*$ denotes complex conjugate and $\langle \cdot \rangle$ denotes spatial averaging

$$\rho_{CO} = \frac{|\langle S_{HH} S_{VV}^* \rangle|}{\sqrt{\langle S_{HH} S_{HH}^* \rangle \langle S_{VV} S_{VV}^* \rangle}} \quad (4)$$

where

$$\langle S_{HH} S_{VV}^* \rangle = \langle |S_{HH}| |S_{VV}| e^{i(\varphi_{HH} - \varphi_{VV})} \rangle. \quad (5)$$

When HH and VV are uncorrelated (in case of oil covered surface), the pdf becomes uniformly distributed between -180° and 180° . The probability distribution of the CPD in case of oil-covered sea surface and oil-free sea surface extracted from TerraSAR-X data can be found in [9].

The coherency matrix for the dual polarization case, represented by $T^{(d)}$ is given in (6). The superscript (d) is used throughout this manuscript to distinguish the dual-polarimetric adaptation, i.e., $T^{(d)}$

$$T^{(d)} = \frac{1}{\sqrt{2}} \begin{bmatrix} \langle |S_{HH} + S_{VV}|^2 \rangle & \langle (S_{HH} + S_{VV})(S_{HH} - S_{VV})^* \rangle \\ \langle (S_{HH} - S_{VV})(S_{HH} + S_{VV})^* \rangle & \langle |S_{HH} - S_{VV}|^2 \rangle \end{bmatrix}. \quad (6)$$

In [3], the well-known Eigenvalue decomposition is proposed for an oil spill detection algorithm. Eigenvalue-based polarimetric features, i.e., entropy (H), anisotropy (A), and mean scattering angle (α) [16]–[18] are used in combination with a constant false alarm rate filter for oil spill classification on a single SIR-C/X-SAR L-band dataset. In a polarimetric approach, one generally first computes the (locally averaged by 9×9 sliding window) covariance matrix of the scattering vector in order to compute the eigenvalues. The eigenvalues λ_1 and λ_2 of $T^{(d)}$ are used to compute $p_j = \lambda_j / (\lambda_1 + \lambda_2)$. These are the input for determining entropy

$$H^{(d)} = -(p_1 \log(p_1) + p_2 \log(p_2)) \quad (7)$$

and anisotropy

$$A^{(d)} = \frac{(p_1 - p_2)}{(p_1 + p_2)}. \quad (8)$$

The eigenvectors $v_1 = (v_1^{(1)}, v_1^{(2)})$ and $v_2 = (v_2^{(1)}, v_2^{(2)})$ of the coherency matrix (6) provide

$$\alpha_i = \arccos(v_i^{(1)}), i = 1, 2. \quad (9)$$

In this study, we used the α angle for the dual-polarimetric case which is defined as

$$\alpha^{(d)} = \alpha_1 p_1 + \alpha_2 p_2. \quad (10)$$

The coherency matrix ($T^{(d)}$, in (6)) is used as basis for target decompositions for extracting some of the features used in this study. It is worth mentioning that the coherency matrix ($T^{(d)}$) for dual-pol TerraSAR-X data has two eigenvectors (λ_1 and λ_2), and the eigenvector-based parameter used for this study is different from the traditional definition due to the unavailability of the cross-polarized channels which has a negligible effect in this scenario [12]. While the classical $H - A - \alpha$ parameters (cf. [19]) in the fully polarimetric case also permit the physical interpretation (e.g., about predominant scattering mechanisms and range values between 0 and 1), it is important to note that the two-dimensional coherency matrix does not capture the full physical phenomena of the scatterer, but only part of it. This entails a possible loss of the physical meaning of the subsequent definition of $H - A - \alpha$ parameters. In case of oil-covered sea surface, H tends to zero, therefore it is a dominant scattering mechanism scenario. On the other hand, pollution-free sea surface is dominated by relatively high entropy, representing random scattering mechanisms which is also true for the dual-polarimetric adaptation [6], [20].

Entropy has also been found useful for oil spill detection on ALOS PALSAR polarimetric data in [21]. In [22], the authors also used a combination of Cloude–Pottier-based decomposition features ($H - A - \alpha$) along with the copol correlation coefficient (ρ_{CO}) in order to form a feature combination to enhance oil spill detection capability on UAVSAR L-band fully polarimetric data. In addition to that, polarimetric Span is also investigated as a separate feature in that study. Polarimetric Span can be derived from the summation of eigenvalues, therefore, in dual-polarimetric case it is given by

$$\text{Span}^{(d)} = \lambda_1 + \lambda_2. \quad (11)$$

A recent study [6] evaluated eight well-established and modified polarimetric features on two C-band RADARSAT-2 fine quad pol datasets. In that study, geometric intensity, which is expressed as

$$\mu^{(d)} = \det(T^{(d)})^{1/2} \quad (12)$$

is used as a polarimetric feature based on the $T^{(d)}$ coherency matrix as a replacement for polarimetric Span^(d). That study claimed based on empirical results that $\mu^{(d)}$ is superior to Span^(d) as $\mu^{(d)}$ utilizes all elements of $T^{(d)}$, i.e., information on the cross products in addition to the main diagonal entries used for the Span^(d). As expected from (12), dark spots have relatively low value of $\mu^{(d)}$ compared to the background. In addition to the $T^{(d)}$ matrix-based features, CPD, ρ_{CO} and two additional lexicographic-based features, the copolarization power ratio (γ_{CO}) and real part of the copolarization cross product (Rco), are evaluated in [6]. The copolarization power ratio, is given by the ratio between amplitudes of the complex scattering coefficients in the HH and

TABLE I
TRADITIONAL [23] AND POLARIMETRIC FEATURES USED FOR THIS STUDY

Traditional Features	Polarimetric features
Area (km ²)	Stddev Co-Pol Phase Difference $\sigma_{\varphi, CO}$ [9], [5]
Perimeter (km)	Coherency Coefficient ρ_{CO} [9]
Complexity (dimensionless scalar)	Real Part Co-Pol Cross Product Rco [5]
Spreading (percentage)	Polarimetric Span ^(d) [20]
Sddev Object (StdDev_Obj)	Copol Power Ratio γ_{CO} [6]
Max Contrast (Max_Contr)	Geometric Intensity $\mu^{(d)}$ [6]
Mean Contrast (Mean_Contr)	Entropy $H^{(d)}$ [6], [20]
	Anisotropy $A^{(d)}$ [6], [20]
	Alpha angle $\alpha^{(d)}$ [6], [20]

VV polarization channels

$$\gamma_{CO} = \frac{\langle |S_{HH}|^2 \rangle}{\langle |S_{VV}|^2 \rangle}. \quad (13)$$

The copolarization ratio is generally higher over darkspots (specially over oil) compared to the background and that is partially due to a lower dielectric constant of oil compared to sea ([6] and [11]).

The real part of the copolarization cross product (Rco) is given by

$$Rco = |\Re(\langle S_{HH} S_{VV}^* \rangle)| \quad (14)$$

where \Re stands for the real part. Rco is initially proposed for oil spill and look-alike discrimination in [15] (also in [21]) as part of a filter, where oil spills are distinguished from clean sea and biogenic look-alikes with the help of the ratio between Rco and $\langle |S_{HV}|^2 \rangle$. In [15], authors described the physical rationale behind this fact which is, sea surface covered with biogenic look-alikes still dominated by Bragg scattering, hence indistinguishable from clear background. In case of an oil-covered area, the value of Rco is expected to be nearly zero and in case of a clear background Rco is expected to have a value greater than zero [15].

The proposed methodology uses a combination of well-known traditional features used for operational services [23] and recently established polarimetric features to characterize dark spots. All of the features used in this study are given in Table I. Details of the traditional features used in this study can be found in [23].

III. DATASET

The majority of the images used to develop and validate the proposed algorithm were acquired over Bombay High near the west coast of India, hosting a cluster of offshore oil platforms. Unlike European waters, there are no observations derived from regular airborne surveillance, so the only possible way to monitor this kind of area is the use satellite SAR imagery. Bombay High is an offshore oilfield, 160 km off the coast of Mumbai, India, with about 75 m water-depth and in production since 1974. All of the images acquired are TerraSAR-X SLC dual pol (HH and VV) StripMAP products with slant range resolution of 1.17 m which is ideal for monitoring offshore platform clusters like Bombay

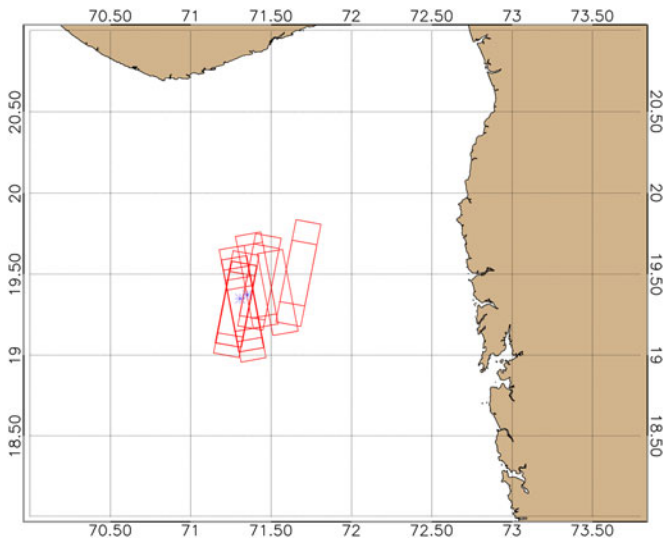


Fig. 1. Footprints of TerraSAR-X dual polarimetric (HH-VV, consecutive ascending and descending pass) images acquired over Bombay High offshore platform cluster indicated with red rectangles (approximate location of platform clusters are shown in blue marks).

High. Fig. 1 shows the location of the study area, platform locations and an overview of the acquired TS-X images. Starting in February 2014 and continuing until the end of 2014, a total number of 48 images were acquired over the platform cluster with same day ascending and descending configuration. The dataset includes different beams of TerraSAR-X StripMAP mode (e.g., StripFar_006, StripNear_009, etc.) incorporating a broad range of incidence angles, from 20° to 35° . This incidence angle range was chosen due to its low instrument noise floor (NESZ range [-19 dB; -26 dB]) which is a critical parameter in respect to the polarimetric system performance [9]. Wind speed estimation was carried out on each image (on VV polarized channel) using the XMOD2 algorithm [24]. A wind speed range of 3–14 m/s was chosen to obtain the final dataset used in this study and few images were discarded from the initial dataset due to low wind condition. Thereafter, the dataset went through manual interpretation technique to identify oil spill and look-alike spots in order to prepare training and validation dataset. The dataset is strongly heterogeneous, containing 226 oil spill spots, providing fresh and old platform sourced spills and incorporating different wind conditions. Moreover, the dataset contains a comprehensive set of look-alikes (26), such as ship wakes, low wind areas, and rain cells. A small number of look-alikes present in the data are not from the study area. Fig. 2 shows examples of several platform sourced oil spills on a TS-X StripMAP dual pol (HH-VV, descending orbit) acquired on April 20, 2014 at 01:15 UTC over the study area. Fig. 2 also shows us the presence of several offshore installation scattered throughout the upper portion of the image.

The overall dataset which contains a total number of 252 feature vectors representing both oil spill and “look-alike” classes are then divided into two datasets, training and validation dataset. The training dataset contains 142 feature vectors representing 126 oil spill and 16 look-alike spots, on the

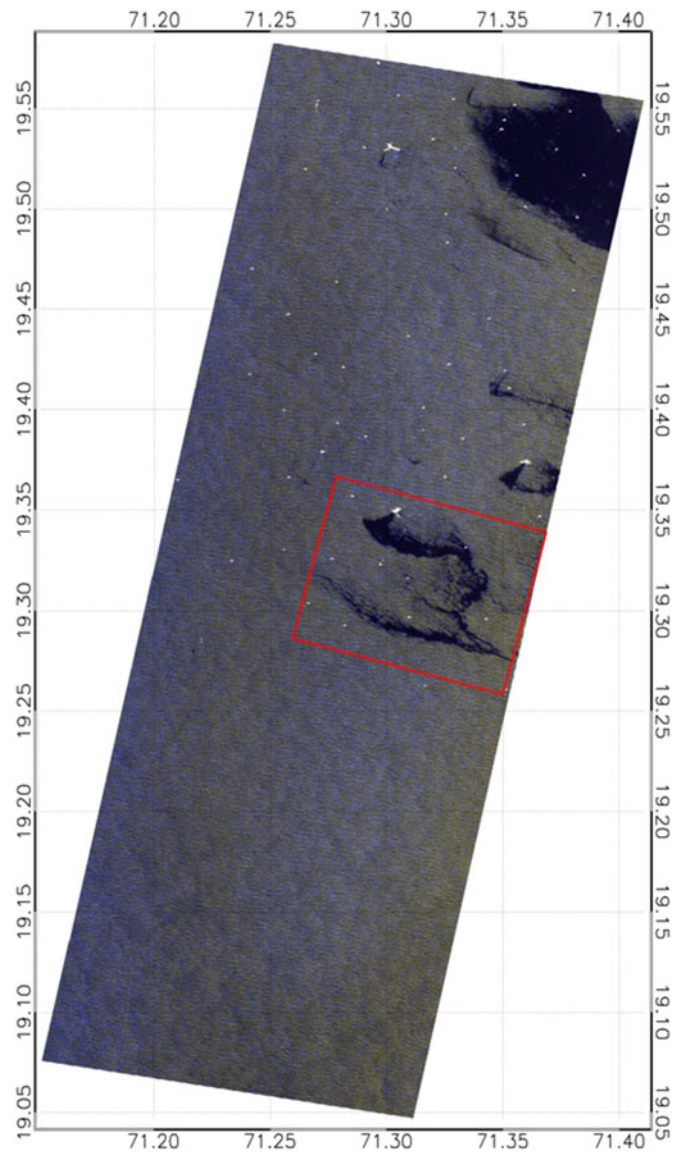


Fig. 2. TerraSAR-X dual polarimetric (red-HH, green-VV, blue-HH-VV, mid incidence angle $\theta = 29.37^\circ$) StripMAP (stripFar_006) image over Bombay High offshore platform cluster. One example of ROI is indicated with red rectangle.

other hand the validation dataset consists of 110 feature vectors representing 100 oil spills and 10 look-alike spots. It is important to note that the training and validation datasets are mutually exclusive. Initially the training and the validation dataset went through manual/visual interpretation of SAR images in order to prepare the reference target.

IV. METHODOLOGY

A complete overview of the proposed methodology is shown in Fig. 3 where the left-hand side of the figure shows the flowchart of the proposed methodology and the right-hand side of the figure shows major steps involved in the proposed methodology, i.e., segmentation of a dark spot, extracted features and final output. First step of the proposed methodology involves standard preprocessing (including product metadata retrieval,

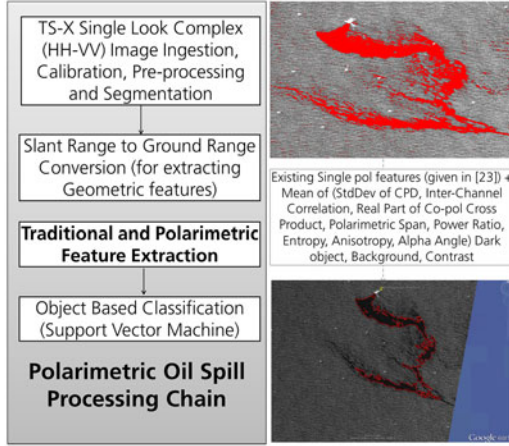


Fig. 3. Methodology for near real time oil spill detection processing chain based on dual polarimetric TerraSAR-X images. The upper right image represents the segmentation process and the lower right image shows the final result where the detected oil spill is indicated with red polygon.

geo-referencing, land-masking, and speckle removal) and calibration. After that, a segmentation process is carried out using adaptive thresholding technique, based on VV polarized channel (σ_{0VV}) in order to extract the dark object present within the “Region of Interest.” Then, from each segmented dark spot, a set of traditional features (given in [23], see Table I) and a set of polarimetric features (see Table I and Fig. 4) are extracted. A sliding window of size 9×9 is used for extracting polarimetric features, as this window size was found to be a good trade-off between speckle reduction and preservation of resolution. It is important to note here that the segmentation process is one of the essential parts of the methodology and affects the overall performance of the methodology, therefore here we used an already established methodology reported in [23]. Mean of dark spot, mean of background and mean contrast between dark spot and background are taken into account for each polarimetric feature. In order to further elaborate mean contrast, for a given polarimetric feature PF, the contrast between background and dark-spot in SAR imagery, i.e., ζ_{PF} , can be defined as the ratio of the mean value of a dark-spot extracted from “Region of Interest” to the mean value of the background sea surface without the dark spot (within the “Region of Interest”), therefore

$$\zeta_{PF} = \frac{\langle PF_{\text{darkspot}} \rangle}{\langle PF_{\text{background}} \rangle} \quad (15)$$

Furthermore, we assumed that features related to background do not carry any class discriminative power by themselves and most of the time, they are unrelated to dark features. Therefore, features related to background are only used for generating contrast-related features (see (15)), but are not included in the final feature set (Stddev background from traditional feature set was also excluded). We only considered a total number of 25 features which include 18 polarimetric and 7 traditional features for classification stage. Fig. 4 shows us different polarimetric features (described in Section II) extracted from a region of interest indicated with a red rectangle in Fig. 2. These

imagettes give a visual impression of the polarimetric behavior (i.e., the different features) in one particular instance (oil spill), which differ for other dark spot detections. On these differences in the polarimetric features of dark spots we will base our classification.

These extracted feature parameters are then used for training and calibration of the support vector machine (SVM), which is designed to discriminate between oil spill and look-alike spots. Although two-class classification is very common in case of oil spill-“look-alike” discrimination, a study by [25] proposes one-class classification (outliers detection problem) for this scenario. There authors pointed out that “look-alikes” itself do not constitute a single class, as they are created by different physical phenomena. In our case, we adapt a two-class classification approach as our training and validation dataset contain a comprehensive and representative array of “look-alike” samples (occurring due to the presence of different physical phenomena). Moreover two-class classifiers managed to produce excellent results in operational scenarios [1]. In case of the two-class approach, the SVM embeds (nonlinearly) the original feature vector into a high-dimensional feature space in order to find an optimal separating criterion between classes. The SVM classifier is especially useful for ill-posed problems [26],[27]. A common ill-posed problem arises when dealing with datasets with a low ratio between a number of samples and the feature space dimension. Since the extraction of our array of features constitutes a mapping from a space of four real dimensions (two complex dimensions) to 25 real dimensions, the mapped data clusters can be assumed to be low dimensional as well even in our high-dimensional feature space. For this reason, we do not expect the Hughes phenomenon to strongly affect our algorithm. The SVM classification for oil spill and look-alike was implemented and evaluated with satisfactory result in [27]. The SVM is initially trained with the training dataset and validated further with a mutually exclusive validation dataset described in the previous section. After a decision has been made whether a dark spot is either oil spill or look-alike, a delivery product (e.g., kml or shapefile format) is generated according to the user requirement. The final product usually includes a reduced resolution ground range projected image and detailed information regarding the oil spill polygon. A detailed classification result using the validation dataset obtained from the trained SVM classifier is given in Section V.

Besides the overall classification accuracy, naturally the focus also lies on finding out the contribution of particular features and/or feature combinations to the classification result. Therefore, we carried out a mutual information analysis on extracted features which include both training and testing dataset. One common approach of a comprehensive statistical investigation is to analyze redundancies and relevances in terms of mutual information (see [28], [29]). Besides the theoretical interest, such a statistical analysis into features’ usefulness generally is conducted to reduce feature dimension. The dimensionality (i.e., number of features) is not the biggest obstacle in the current scenario, but rather the image size. When redundancy or lack of relevance allows to discard certain features before the classification, the computational overhead and memory consumption can

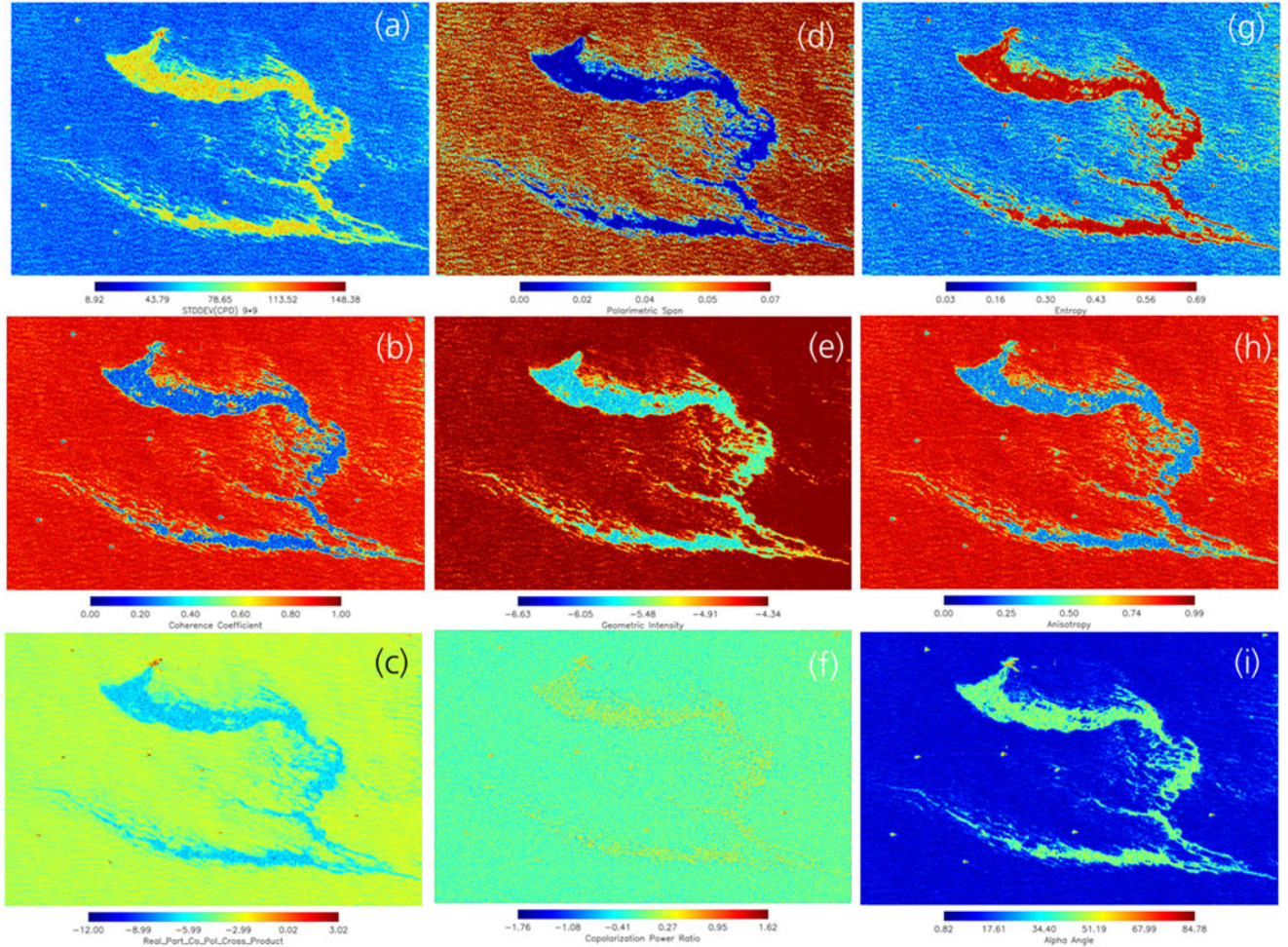


Fig. 4. Polarimetric features extracted from TerraSAR-X (HH-VV) subsense containing an oil spill spot indicated in Fig. 2. (a) Standard deviation of copolarization phase difference, $\sigma_{\varphi_{CO}}$. (b) Magnitude of coherence coefficient ρ_{CO} . (c) Real part of the copolarization cross product, $(\log(r_{CO}))$. (d) Polarimetric $\text{Span}^{(d)}$ (e) Geometric intensity, $\log(\mu^{(d)})$. (f) Copolarization power ratio, $\log(\gamma_{CO})$. (g) Entropy, $H^{(d)}$. (h) Anisotropy, $A^{(d)}$. (i) Alpha angle $\alpha^{(d)}$. The features $\mu^{(d)}$, γ_{CO} , r_{CO} are log transformed for visualization purpose.

be greatly reduced. Such considerations about prudent management of data quantity become ever more relevant, since modern SAR sensors produce huge datasets (TerraSAR-X SLC SM: 0.9 GB; sentinel SLC IW: 9GB) and any additional feature adds another image layer that needs to be computed and stored.

Given two random variables X, Y (with joint and marginal densities, no point masses), the mutual information \mathcal{I} of these variables is defined as

$$\mathcal{I}(X|Y) = \sum_{y \in Y} \sum_{x \in X} p(x, y) \log \left(\frac{p(x, y)}{p(x)p(y)} \right) \quad (16)$$

where $p(x)$ denotes the probability distribution of X (likewise $p(y)$ denotes the distribution for Y), and $p(x, y)$ the joint density of X and Y . For theoretical details, see [28], [29].

Intuitively, \mathcal{I} can be said to describe the portion of information that is shared by both, X and Y , i.e., their information overlaps. Put differently, the higher $\mathcal{I}(X|Y)$, the more information one can infer about X from existing knowledge of Y . In this way, one can measure in an information theoretical sense, the (nonlinear) information correlation of X and Y . The symmetry of \mathcal{I} in

X and Y , which one would expect for the intuitive concept of "shared information," also holds for the strict mathematical definition, i.e., $\mathcal{I}(X|Y) = \mathcal{I}(Y|X)$. Given \mathcal{I} is a dimensionless quantity, we use it only as a means to put different features in comparison. The absolute value of \mathcal{I} we do not investigate further here.

When X is chosen to be the class property and Y some polarimetric feature, the mutual information $\mathcal{I}(X|Y)$ indicates how well one already knows about the class once information of feature Y is present. Therefore, in this constellation, mutual information is capable of indicating the classification relevance of one particular feature. In case both features Y_1 and Y_2 are about equally relevant for determining class property X (i.e., $\mathcal{I}(Y_1|X) \approx \mathcal{I}(Y_2|X)$), high mutual information $\mathcal{I}(Y_1|Y_2)$ then indicates redundancy.

Regarding the feature analysis we include all contrast features and the feature values obtained for the objects (i.e., xxx_Obj). The feature values for the background do not carry any class discriminative power by themselves, only in conjunction with those for the object (in order to compute xxx_contr features). After

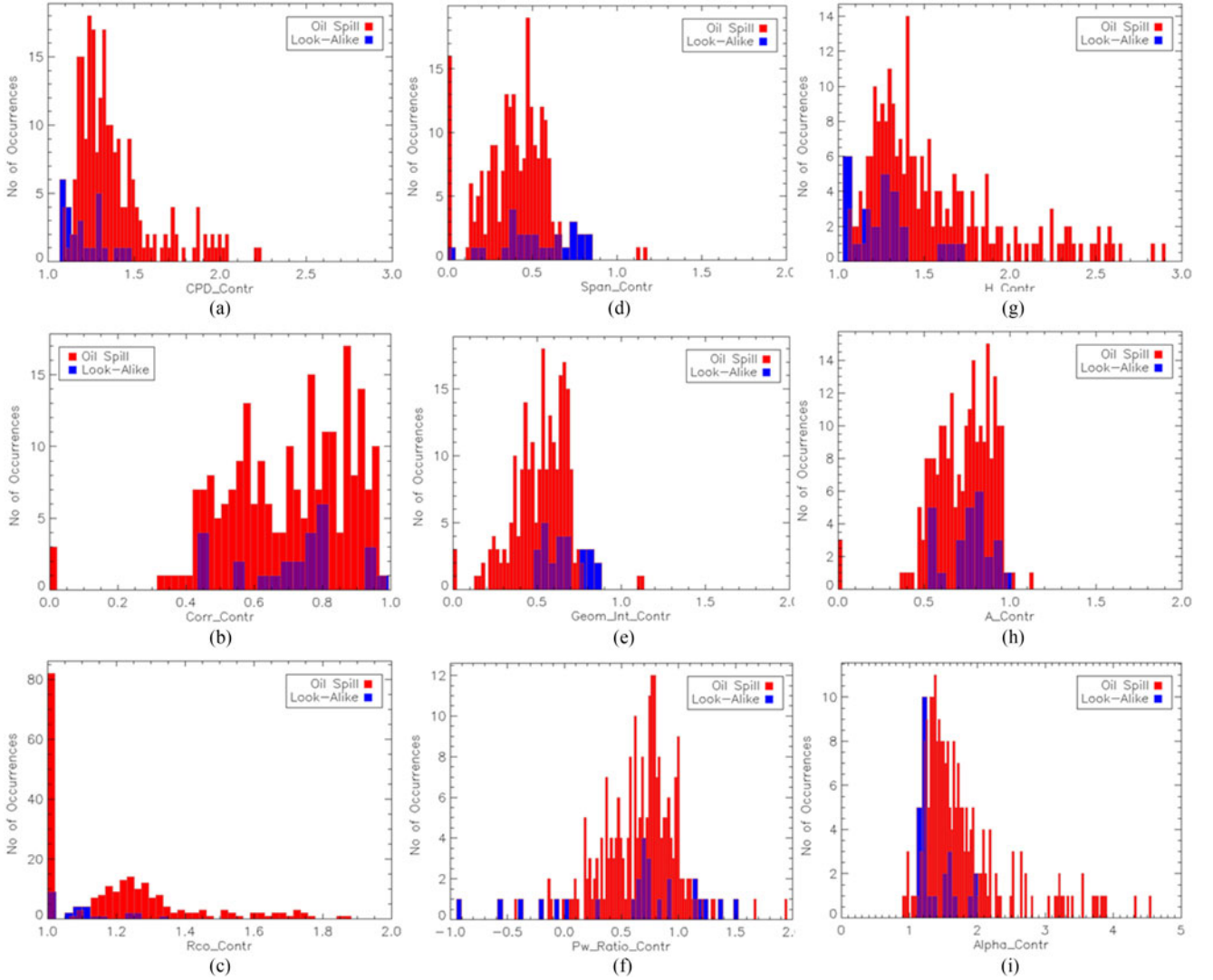


Fig. 5. Distribution of polarimetric features (mean contrast between dark-spot and background) extracted from TS-X (HH-VV) dataset, Red: oil spill, Blue: look-alike. (a) Standard deviation of co-polarization phase difference, $\sigma_{\varphi_{CO}}$. (b) Magnitude of coherence coefficient ρ_{CO} . (c) Real part of the copolarization cross product, $\log(r_{CO})$. (d) Polarimetric $\text{Span}^{(d)}$. (e) Geometric intensity, $\log(\mu^{(d)})$. (f) Copolarization power ratio, $\log(\gamma_{CO})$. (g) Entropy, $H^{(d)}$. (h) Anisotropy, $A^{(d)}$. (i) Alpha angle $\alpha^{(d)}$. Histogram bins for oil spill and look-alike were adjusted for visualization purpose.

including the object and contrast features, the background features are redundant and excluded from the final feature set (including Stddev Background from traditional feature set). Detailed results regarding mutual information analysis are presented in Section V-B.

V. RESULTS AND DISCUSSIONS

A methodology for the detection of oil spills from X-band dual polarimetric SAR imagery was described in the previous section. This section will provide an evaluation of classification performance of the SVM, along with a quantitative analysis on the polarimetric and traditional features combining training and validation datasets, i.e., 252 feature vectors representing 226 oil spill and 26 look-alike spots. Fig. 5 shows the distribution of each polarimetric feature (ζ_{PF}) used in this study

where the red bar shows distribution of oil spill and the blue bar shows distribution of look-alike spots. While Fig. 4 visualized how we characterize each dark spot by different character traits (features), the distributional synopsis over all samples and all features in Fig. 5 shows that each such feature is by itself not capable of distinguishing oil spills from look-alikes. One rather concludes from Fig. 5 that a combination of feature parameters should be used for oil spill and look-alike classification as one particular feature is unable to create enough separation between two classes: The overlap of the distributions of oil spills and look-alike is so prevalent for any of the features that one cannot possibly identify a threshold to separate the two classes. Only by considering the entire array of features one can hope to locate distinct data clusters in the high-dimensional feature space. To analyze the discriminative power, the secondary focus of this section will be an investigation of the information content of the

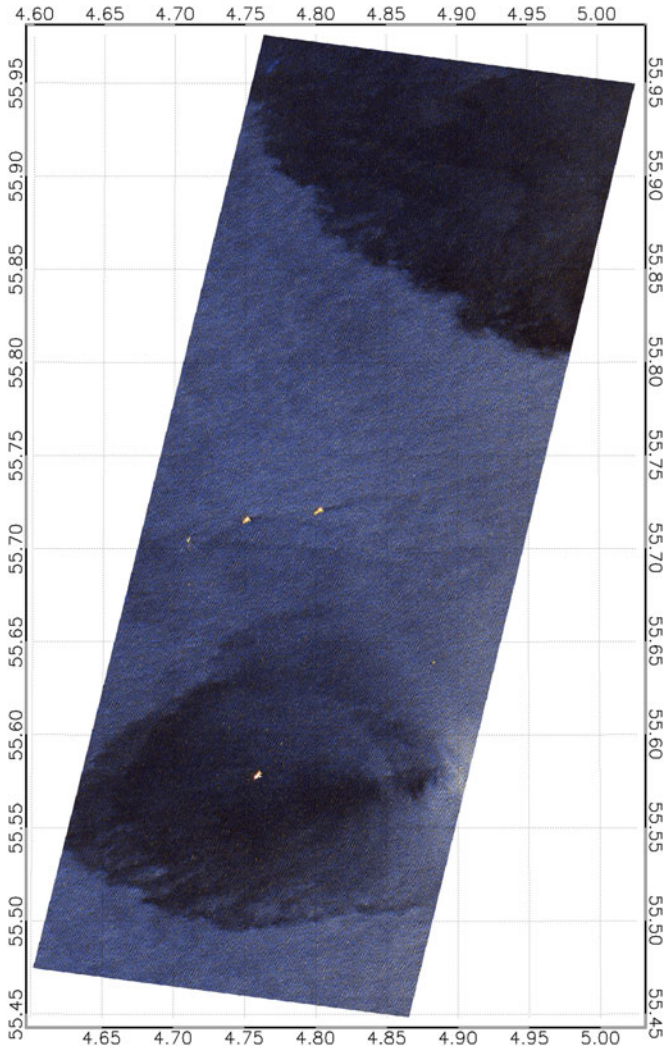


Fig. 6. TerraSAR-X Dual polarimetric (HH-VV) StripMAP image showing weather related look-alike phenomenon.

individual features and possible redundancy in terms of mutual information content among different features.

As mentioned earlier, the most crucial part of the oil spill detection process is to differentiate between oil spills and look-alikes. Fig. 6 shows an example of a weather-induced (probably due to rain cells) look-alike phenomenon along with some small look-alikes produced by wind shadow (immediate vicinity of platforms present at the center of the image) on a TerraSAR-X (HH-VV) image acquired in a relatively higher incidence angle. Two large dark spots are most likely due to rain cells (one at the bottom of the image and another at the top right portion of the image) and have higher dampening effects than ship wakes or biogenic substances. This kind of look-alike produces similar radar backscatter compared to oil spill, however, with the help of combined feature set those dark spots were classified as look-alikes. Fig. 7 shows an example of a dark spot classified as oil spill. With the help of contextual information (presence of confirmed offshore platforms), it can be concluded that this is offshore platform scoured pollution which is very frequent in this particular geographic area.

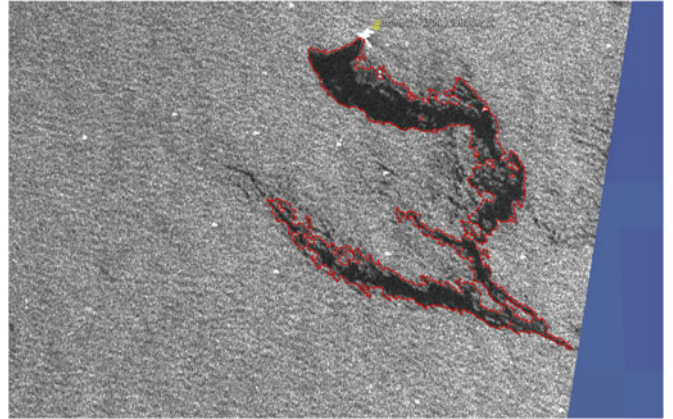


Fig. 7. Detected oil spill (represented with red polygon) on TerraSAR-X dual polarimetric images acquired over Bombay High offshore platform cluster. Presented background SAR image is VV polarized.

TABLE II
FRAMEWORK OF THE CONFUSION MATRIX USED FOR QUANTITATIVE ASSESSMENT OF THE PROPOSED ALGORITHM USING COMBINATION OF TRADITIONAL AND POLARIMETRIC FEATURES (ALGO: PROPOSED ALGORITHM; REF: REFERENCE DATASET)

	Oil Spill ALGO	Look-Alike ALGO
Oil Spill REF	$A = 90, (90\%)$	$B = 10, (10\%)$
Look-Alike REF	$C = 2, (20\%)$	$D = 8, (80\%)$

A. Classification Accuracy Analysis

In order to test the reliability and efficiency of the proposed methodology, a validation dataset was compiled to produce oil-spill and look-alike target database. As mentioned in Section III, the validation dataset consists of 110 feature vectors representing 100 oil spill and 10 look-alike spots identified by a visual interpretation technique. These 110 feature vectors contain different kinds of anomalies detected under a variety of sea conditions. The validation dataset is highly complex and in some cases, the image contains multiple look-alikes and oil spill spots. Here, it is important to note that most of the previously developed polarimetric oil spill detection algorithms were not tested extensively using a large dataset representing different situations and complexity. More often, image subscenes containing a single dark-spot representing an oil spill on a uniform background were taken into account, wherefore the limited complexity of such subscenes does not show the full capability of the algorithm (e.g., [15], [22]). The capabilities of the proposed algorithm have been tested on whole images rather than subsections of images. After processing all the images present in the validation dataset with the proposed algorithm, a detailed comparison with reference dataset was carried out on spot-by-spot basis by visual interpretation. The popular method of using a confusion matrix was employed for quantitative assessment of the proposed algorithm. Separate confusion matrices were constructed for each image, the framework of the confusion matrix is shown in Table II, similar to the evaluation technique used in [1].

TABLE III

FRAMEWORK OF THE CONFUSION MATRIX USED FOR QUANTITATIVE ASSESSMENT OF THE PROPOSED ALGORITHM USING ONLY TRADITIONAL FEATURES (ALGO: PROPOSED ALGORITHM; REF: REFERENCE DATASET)

	Oil Spill ALGO	Look-Alike ALGO
Oil Spill REF	$A = 82, (82\%)$	$B = 18, (18\%)$
Look-Alike REF	$C = 6, (60\%)$	$D = 4, (40\%)$

TABLE IV

FRAMEWORK OF THE CONFUSION MATRIX USED FOR QUANTITATIVE ASSESSMENT OF THE PROPOSED ALGORITHM USING ONLY POLARIMETRIC FEATURES (ALGO: PROPOSED ALGORITHM; REF: REFERENCE DATASET)

	Oil Spill ALGO	Look-Alike ALGO
Oil Spill REF	$A = 85, (85\%)$	$B = 15, (15\%)$
Look-Alike REF	$C = 4, (40\%)$	$D = 6, (60\%)$

Explanation of the matrix in Table II (OS: Oil spill; LA: Look-alike)

- A) OS(REF): OS(ALGO)—Number of co-located detections both by visual interpretation technique and proposed algorithm (ALGO).
- B) OS(REF): LA(ALGO)—Dark spots classified as oil spills by visual interpretation technique but not by ALGO—“False Negatives.”
- C) LA(REF): OS(ALGO)—Dark spots classified as oil spill by ALGO but not by visual interpretation technique — “False Positives.”
- D) LA(REF): LA(ALGO)—Dark areas visually detected that could lead to false positives (look-alike). Classified as look-alike both by visual interpretation technique and ALGO, indication of degree of complexity present in particular image.

Although “B” and “C” both are the misclassified spots by proposed algorithm, “B” is considered to be the more serious misclassification event compared to “C.” Table II shows overall classification accuracy and as well as individual accuracy assessment for oil spills and look-alikes, which indicates the degree of agreement between reference dataset and proposed algorithm. Although the test dataset is highly complex and contains multiple look-alikes and oil spills, agreement between the proposed algorithm and the reference dataset was found to be satisfactory. The object-based SVM classifier correctly identified 90% of oil spills (i.e., $A/(A+B)$) with overall classification accuracy of 89% (% of correctly classified dark-spots, i.e., $(A+D)/(A+B+C+D)$) for a large validation dataset containing full-swath test images. Despite the satisfactory overall accuracy, one still has to consider the false positive rate of 20% in the case of combined features. However, the false positive rate for combined features is considerably lower compared to those of purely traditional and polarimetric features. As one of the main objectives of the study is to evaluate the performance of different kinds of feature parameters, we also carried out classification using only traditional (see Table III) and polarimetric (see Table IV) features separately. Using only traditional features, a classification accuracy of 82% and 40% was obtained for oil spill and look-alike, respectively, whereas using only polarimetric features a classification accuracy of 85% and 60% was obtained for oil spill and look-alike, respectively. After consulting Tables II, III, and IV, it is indisputable that a combination of traditional and polarimetric features improved the overall classification accuracy, especially in terms of detecting look-alike spots.

While it is challenging to compare different methodologies without using exactly the same dataset, a nonexhaustive comparison of our final results with previous work is carried out. It is important to note that only few recent studies reported some classification accuracy assessment and those methodologies are built on “pixel-based” classification techniques. Moreover, those studies exploited only polarimetric features and used a limited number of datasets and look-alike types, e.g., [6]. In [6], authors investigated the standard k -means classification technique using $\log(r_{CO})$ and $\log(\mu^{(d)})$, where the Wishart classification of the covariance matrix was used as a reference dataset. Authors reported an overall classification accuracy of 79.8% using only $\log(r_{CO})$, 84.1% using only $\log(\mu^{(d)})$, and 83.6% combining both $\log(r_{CO})$ and $\log(\mu^{(d)})$.

B. Discriminative Power of Polarimetric and Traditional Features

As mentioned in the Section IV, we investigate the discriminative power of the features in terms of relevance and redundancy. The 25 features are listed in Table V in descending order of relevance (i.e., $\mathcal{I}(X|Y)$, mutual information of each feature Y and the class property X). To remind the reader about the intuitive meaning of relevance, we restate that the ranking in Table V reflects the information content of the different features with respect to classification. A first general observation from Table V is that (dual-)polarimetric contrast features tend to have a stronger discriminative power than both classical (single-pol) intensity-based features and dual-polarimetric object feature values. Only the comparison of polarimetric features in objects against these values for background yields a high information content in terms of classification. From Table V, we also conclude that the only polarimetric contrast feature in the lower third is Rco_Contr . This finding corroborates the observation that the histogram of Rco_Contr in Fig. 5 has little discriminative power since it is strongly dominated by one point mass at $Rco_Contr = 1.0$. However, this observation differs from the findings of [7] (a follow up study from [6]) where three dual-pol TerraSAR-X scenes were evaluated. A possible explanation may lie in the fact that our study comprises a rather wide incidence angle range (20° to 35°) along with various met-oceanic conditions as compared to the three TerraSAR-X scene used in [7] containing only one type of look-alike category (plant oil). On the other hand, our findings regarding $Geom_Int_Contr$ (ζ_μ) of being the most relevant feature closely matches the results obtained in [6] and [7]. Its important to note that this observation

TABLE V
RELEVANCE VALUES IN TERMS OF MUTUAL INFORMATION $\mathcal{I}(Y_i|\text{CLASS})$ FOR DIFFERENT TRADITIONAL AND POLARIMETRIC FEATURES Y_i AND CLASS PROPERTY CLASS, SORTED IN DESCENDING ORDER; NO NORMALIZATION WAS CARRIED OUT

Feature Y_i (Derived From)	$\mathcal{I}(Y_i \text{Class})$	Feature Category
$Y_{19} = \text{Geom_Int_Contr} (\mu^{(d)})$	0.43435536	Dual polarimetric-contrast
$Y_{15} = \text{Pw_Ratio_Contr} (\gamma_{CO})$	0.43030952	Dual polarimetric-contrast
$Y_{17} = \text{Span_Contr} (\text{Span}^{(d)})$	0.41153182	Dual polarimetric-contrast
$Y_{14} = \text{Pw_Ratio_Obj} (\gamma_{CO})$	0.39556791	Dual polarimetric-object
$Y_{23} = \text{A_Contr} (A^{(d)})$	0.38074514	Dual polarimetric-contrast
$Y_6 = \text{Max_Contr} (\sigma_{VV})$	0.37435768	Traditional
$Y_5 = \text{StdDev_Obj} (\sigma_{VV})$	0.37396138	Traditional
$Y_{24} = \text{Alpha_Obj} (\alpha^{(d)})$	0.37385888	Dual polarimetric-object
$Y_9 = \text{CPD_Contr} (\sigma_{\varphi, CO})$	0.36981304	Dual polarimetric-contrast
$Y_{11} = \text{Corr_Contr} (\rho_{CO})$	0.36836651	Dual polarimetric-contrast
$Y_7 = \text{Mean_Contr} (\sigma_{VV})$	0.36487212	Traditional
$Y_3 = \text{Complexity} (\text{Geometry})$	0.36091791	Traditional
$Y_{21} = \text{H_contr} (H^{(d)})$	0.35548907	Dual polarimetric-contrast
$Y_{20} = \text{H_Obj} (H^{(d)})$	0.34583747	Dual polarimetric-object
$Y_{10} = \text{Corr_Obj} (\rho_{CO})$	0.34455698	Dual polarimetric-object
$Y_{25} = \text{Alpha_Contr} (\alpha^{(d)})$	0.33402118	Dual polarimetric-contrast
$Y_8 = \text{CPD_Obj} (\sigma_{\varphi, CO})$	0.31725307	Dual polarimetric-object
$Y_{22} = \text{A_Obj} (A^{(d)})$	0.31501395	Dual polarimetric-object
$Y_{18} = \text{Geom_Int_Obj} (\mu^{(d)})$	0.28707119	Dual polarimetric-object
$Y_{13} = \text{Rco_Contr} (Rco)$	0.28407560	Dual polarimetric-contrast
$Y_2 = \text{Perimeter} (\text{Geometry})$	0.26252907	Traditional
$Y_1 = \text{Area} (\text{Geometry})$	0.24698244	Traditional
$Y_{16} = \text{Span_Obj} (\text{Span}^{(d)})$	0.24439306	Dual polarimetric-object
$Y_{12} = \text{Rco_Obj} (Rco)$	0.21920133	Dual polarimetric-object
$Y_4 = \text{Spreading} (\text{Geometry})$	0.14384433	Traditional

is only valid for X-band and the outcome form C-band might produce different result.

The object features Spreading, Rco_Obj, Span_Obj, and Geom_Int_Obj are likewise found to be of inferior relevance. A close look at the histograms in Figs. 9, 10, and 11 reveal that the overlap of occurrences of feature values for lookalike and oil spill throughout the feature range makes discrimination of classes by these features comparably difficult. Only the comparison with the background really gives the observer a greater insight into the possible class. We also conclude from Table V that most of the nonpolarimetric features are less relevant than the polarimetric contrast features, emphasizing the importance of using dual-pol data in such applications.

To interpret the redundancy (see the definition in Section IV), the readers are reminded that this value indicates how similar the information content of two features is, i.e., how much informational overlap the features have. This is not to be confused with the information content of the individual features. Concerning this redundancy, we computed the mutual information for all 25 mentioned features. In order to interpret the results in a comprehensive way, we displayed the normalized mutual information ($\mathcal{I}_{\text{norm}}(X; Y) = \mathcal{I}(X; Y) / (\sqrt{\mathcal{H}(X)}\sqrt{\mathcal{H}(Y)})$) in a color coded matrix (see Fig. 8). $\mathcal{H}(Y)$ is defined as the statistical entropy of a random variable Y by $\mathcal{H}(Y) = -\sum_{y \in Y} p(y) \log(p(y))$. The features that appear to be highly uncorrelated (in terms of information theory) to all other features are Rco_Contr, Rco_Obj, Span_Obj, and Geom_Int_Obj. Keeping in mind that the distribution of Rco_Contr is highly concentrated around a certain value, the low correlation (in terms of information theory) comes

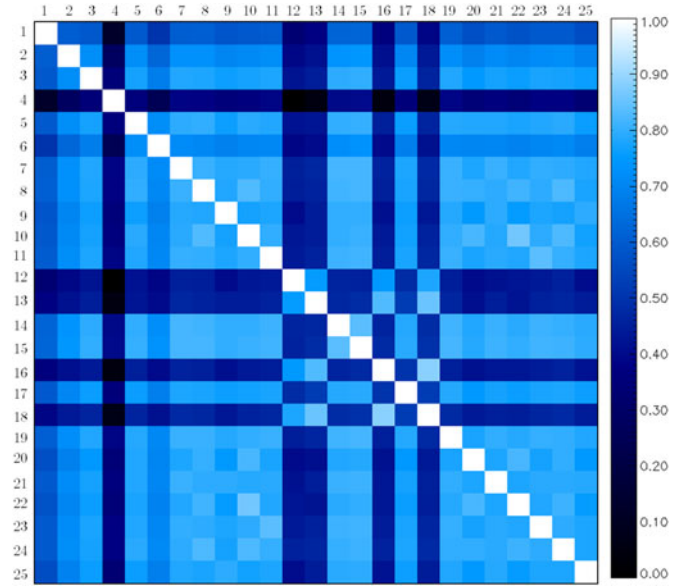


Fig. 8. Normalized mutual information $\mathcal{I}_{\text{norm}}(X|Y) = \mathcal{I}(X|Y) / (\sqrt{\mathcal{H}(X)}\sqrt{\mathcal{H}(Y)})$ of polarimetric features X, Y . Color scale ranges from black (0.0) to white (1.0), where lighter tones of blue indicate a higher value of $\mathcal{I}_{\text{norm}}$. 1 Area, 2 Perimeter, 3 Complexity, 4 Spreading, 5 Std_Dev_Obj, 6 Max_Contr, 7 Mean_Contr, 8 CPD_Obj, 9 CPD_Contr, 10 Corr_Obj, 11 Corr_Contr, 12 Rco_Obj, 13 Rco_Contr, 14 Pw_Ratio_Obj, 15 Pw_Ratio_Contr, 16 Span_Obj, 17 Span_Contr, 18 Geom_Int_Obj, 19 Geom_Int_Contr, 20 H_Obj, 21 H_contr, 22 A_Obj, 23 A_Contr, 24 Alpha_Obj, 25 Alpha_Contr.

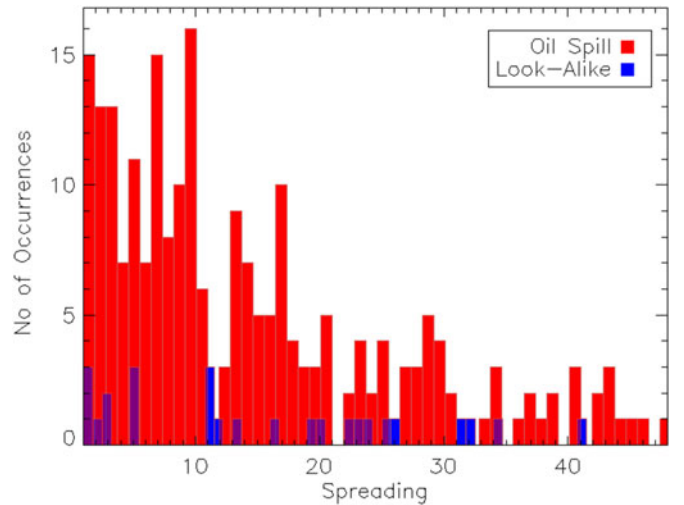


Fig. 9. Histogram of spreading (traditional feature).

at no surprise but owes to this very distributional characteristic. However, since we found the relevance of Rco_Contr to be relatively low, this does not imply that it carries valuable unique information. The histogram of Rco_Obj exhibits a similar characteristic that is dominated by contributions into one particular bin (see Fig. 5). So, an analogous argument concerning (information) correlation of Rco_Obj holds. Both Rco-based features are (in terms of information) unrelated to all other features which may very likely be due to mentioned dominating point mass (see above discussion for low relevance of Rco-based features). The

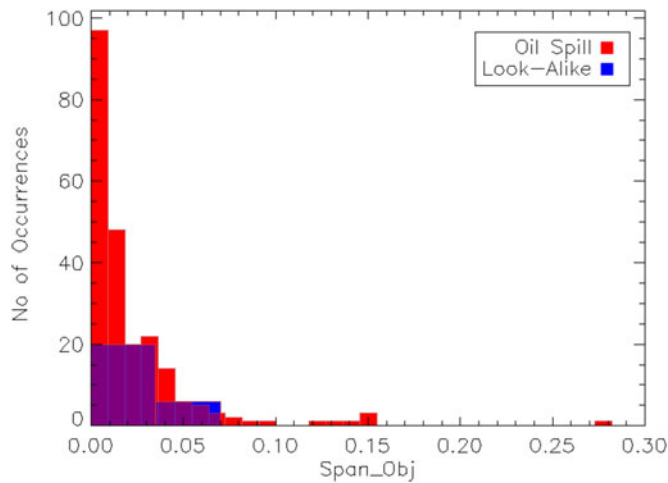


Fig. 10. Histogram of Span_Obj.

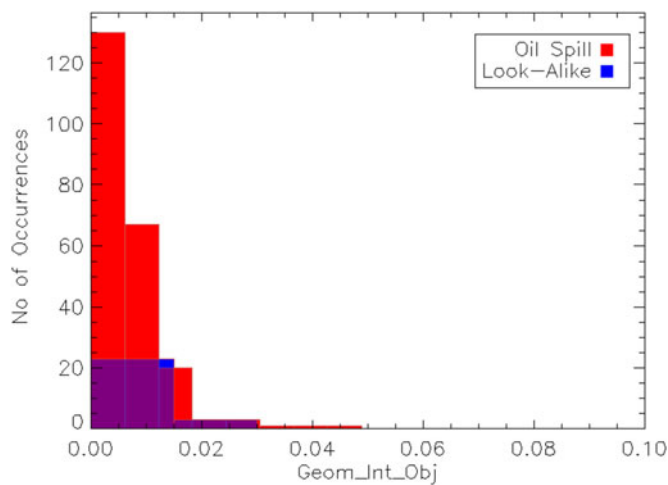


Fig. 11. Histogram of Geom_Int_Obj feature.

low correlation of Spreading, Span_Obj, and Geom_Int_Obj does not have an explanation as direct as that for the Rco-based features. What can also be directly inferred from Fig. 8 is that most polarimetric features (except for Span_Obj and Geom_Int_Obj) share a lot of common information, i.e., their may well be a high degree of redundancy among them (entries of the lower right part of the matrix are greater than 0.70). From Fig. 8, we cannot, however, conclude that any particular features can be discarded because of high redundancy. Empirical tests, where one classifies with a reduced subset of features to reduce computational overhead, may still prove that certain features are discardable. This has to be investigated in future work.

VI. CONCLUSION

A combination of traditional and polarimetric features is exploited for the first time to characterize dark spots on X-band coherent dual-polarization SAR data using support vector machine. Classification accuracy assessment shows our proposed methodology correctly identifies 90% oil spills and 80%

look-alikes from validation dataset with an overall accuracy of 89%. Combining traditional and polarimetric features improved the classification accuracy compared to classification results obtained from traditional and polarimetric features separately. The contributions of geometric intensity contrast (ζ_{μ}), Co-polarization Power ratio contrast ($\zeta_{\gamma_{CO}}$), span contrast (ζ_{span}) and eigenvalue-based polarimetric features to the classification stage are most noteworthy, along with some traditional features like backscatter contrast between object and background. These features clearly deserve attention in future studies, in particular whether they produce a statistically significant improvement of classification on a much larger sample array. Additionally, the proposed methodology is tuned for operational NRT services with average processing time of 7–8 min for a standard dual pol TS-X StripMAP scene. Initial evaluation of the classifier shows considerable improvements over the classifiers based only on traditional features. It has been shown that a combination of traditional and polarimetric features needs to be utilized in order to develop a robust classifier. In particular, contrast-based polarimetric features exhibit outstanding classification relevance that can add to the classification quality. A first analysis of redundancy among these features indicates the directions for further investigation on selection of optimal features combinations (which can help to avoid computational and memory overhead). An extensive evaluation of features and performance estimation of the classifier for C and L band polarimetric SAR images in different environmental conditions is foreseen in the near future.

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