

The Collision Security of MDC-4

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Abstract. There are four somewhat classical double length block cipher based compression functions known: MDC-2, MDC-4, ABREAST-DM, and TANDEM-DM. They all have been developed over 20 years ago. In recent years, cryptographic research has put a focus on block cipher based hashing and found collision security results for three of them (MDC-2, ABREAST-DM, TANDEM-DM). In this paper, we add MDC-4, which is part of the IBM CLiC cryptographic module¹, to that list by showing that – ‘instantiated’ using an ideal block cipher with 128 bit key/plaintext/ciphertext size – no adversary asking less than $2^{74.76}$ queries can find a collision with probability greater than 1/2. This is the first result on the collision security of the hash function MDC-4.

The compression function MDC-4 is created by interconnecting two MDC-2 compression functions but only hashing one message block with them instead of two. The developers aim for MDC-4 was to offer a higher security margin, when compared to MDC-2, but still being fast enough for practical purposes.

The MDC-2 collision security proof of Steinberger (EUROCRYPT 2007) cannot be directly applied to MDC-4 due to the structural differences. Although sharing many commonalities, our proof for MDC-4 is much shorter and we claim that our presentation is also easier to grasp.

Keywords: MDC-4, cryptographic hash function, block-cipher based, proof of security, double length, ideal cipher model.

1 Introduction

A cryptographic hash function is a function which maps an input of arbitrary length to an output of fixed length. It should satisfy at least collision-, preimage- and second-preimage resistance and is one of the most important primitives in cryptography [24]. In recent years, most of the functions in the widely used MD4-family (*e.g.*, MD4 [30], MD5 [31], RIPEMD [12], SHA-1 [28], SHA-2 [29]) have been successfully attacked in several ways [5, 11, 34, 35] which has stimulated researchers to look for alternatives. Block cipher based constructions seem promising since they are very well known – they even predate the MD4-approach [23]. One can easily create a hash function using, *e.g.*, the Davies-Meyer [36] mode of operation and the Merkle-Damgård transform [4, 25]. Also, many of the proposed SHA-3 designs like Skein [7], SHAvite-3 [1], and SIMD [22] use block cipher based instantiations. Another

¹ FIPS 140-2 Security Policy for IBM CryptoLite in C, October 2003

reason for the resurgence of interest in block cipher based hash functions is due to the rise of resource restricted devices such as RFID tags or smart cards. A hardware designer only needs to implement a block cipher in order to obtain an encryption function as well as a hash function. However, due to the short output length of most practical block ciphers, one is mainly interested in sound design principles for *double length* (DL) hash functions. Such double length hash functions use a block cipher with n -bit output as the building block by which it maps possibly long strings to $2n$ -bit hash values. DL compression functions can be parted by the type of block cipher they need to operate: The first group, (*group-1*), uses an internal block cipher with an n -bit plaintext/ciphertext/key, the second group, (*group-2*), uses a block cipher with an n -bit plaintext/ciphertext and a k -bit key, $k > n$. DL compression functions in the first group are few. Currently, there are only three known candidates in literature: MDC-2, MDC-4 and a most recent variant of MDC-2: MJH [19]. Group-2 examples are ABREAST-DM TANDEM-DM, CYCLIC-DM [17, 10], etc. The security of group-2 functions is relatively well understood.

MDC-4 is a acronym for Modification Detection Code with ratio 1/4, and was developed at IBM in the late eighties by Meyer and Schilling [26]. The ratio indicates the number of block cipher calls that are required to process a single message block. MDC-4 was originally specified for the 64-bit block cipher DES [27].

Our Contribution. In this paper, we give the first collision security bound for the hash function MDC-4, a block cipher based hash function that has been publicly known for more than 20 years. In our proof, we use many of the techniques that have been applied in the MDC-2 collision security proof [33]. Our proof is in the ideal cipher model, too. However, we consider MDC-4 using an ideal n -bit block cipher accepting n -bit keys. Furthermore, as in [33], we also ignore an additional *bit-fixing* step that was used back then as an additional security measure to avoid some DES specific key issues.

In this paper we show, assuming a hash output length of 256 bits, that any adversary asking less than $2^{74.76}$ queries to the block cipher cannot find a collision for the *hash function* MDC-4 with probability greater than 1/2. Note that the optimal security bound for collisions for 256 bit hash functions is about 2^{128} . For MDC-2 (ratio 1/2) and MJH (ratio 1/2), the trivial collision resistance bound is 2^{64} , since they both internally use a Davies-Meyer compression function. Although MDC-4 also uses Davies-Meyer type functions inside, even such a trivial bound is not so easy to see.

Related Work. For group-2 functions, there has been a lot of research in recent years, *e.g.* [8, 10, 16, 17, 18, 20, 21]. As a result, there are group-2 compression functions known that are 'provably optimal'. This is in stark contrast to the known results for group-1 functions which are summarized in Table 1.

Function	Security (Collision)	Attack (Collision)	Attack (Preimage)
MDC-2	$2^{74.91}$ [33]	2^{121} [14]	2^{2n} (time · space) [14, 17]
MDC-4	$2^{74.76}$ (this paper)	2^{96} [15] (only CF)	2^{224} [15]
MJH [19]	$2^{78.33}$	(no results known)	(no results known)

Table 1. List of known group-1 hash functions, values evaluated for an internal block cipher with 128 bit plaintext/ciphertext/key [Notation: CF = compression function]

Outline. The paper is organized as follows: Section 2 includes formal notations and definitions. In Section 3 we prove that an adversary asking less than $2^{74.76}$ oracle queries has the threshold probability $1/2$ finding a collision for the MDC-4 hash function.

2 Preliminaries

2.1 General Notations

An n -bit block cipher is a keyed family of permutations consisting of two paired algorithms $E : \{0, 1\}^n \times \{0, 1\}^n \rightarrow \{0, 1\}^n$ and $E^{-1} : \{0, 1\}^n \times \{0, 1\}^n \rightarrow \{0, 1\}^n$ both accepting a key of size n bits and an input block of size n bits for some $n > 0$. Let $\text{Block}(n)$ be the set of all n -bit block ciphers. For any $E \in \text{Block}(n)$ and any fixed key $K \in \{0, 1\}^n$, decryption $E_K^{-1} := E^{-1}(K, \cdot)$ is the inverse function of encryption $E_K := E(K, \cdot)$, so that $E_K^{-1}(E_K(X)) = X$ holds for any input $X \in \{0, 1\}^n$. In the ideal cipher model E is modeled as a family of random permutations $\{E_K\}$ whereas the random permutations are chosen independently for each key K [2, 6, 13], *i.e.*, formally E is selected randomly from $\text{Block}(n)$. If $Y = E_K(X)$ we call the value $Z = X \oplus Y$ the *XOR*-output of a query (K, X, Y) .

We use the convention to write oracles, that are provided to an algorithm, as superscripts. For example \mathcal{A}^E is an algorithm \mathcal{A} with oracle access to E to which \mathcal{A} can request forward and backward queries. For ease of presentation, we identify the sets $\{0, 1\}^{a+b}$ and $\{0, 1\}^a \times \{0, 1\}^b$. Similarly, for $A \in \{0, 1\}^a$ and $B \in \{0, 1\}^b$, the concatenation of these bit strings is denoted by $A||B \in \{0, 1\}^{a+b} = \{0, 1\}^a \times \{0, 1\}^b$.

A compression function is a mapping $H : \{0, 1\}^m \times \{0, 1\}^r \rightarrow \{0, 1\}^r$ for some $m, r > 0$. A block cipher-based compression function is a mapping $H^E : \{0, 1\}^m \times \{0, 1\}^r \rightarrow \{0, 1\}^r$ that, given an r -bit state R and an m -bit message M , computes $H^E(M, R)$ using oracle access to some $E \in \text{Block}(n)$.

2.2 The MDC-4 Compression Function

The MDC-4 compression function H^E (cf. Figure 1) takes an n -bit message M , a $2n$ -bit state (S, T) and outputs a new $2n$ -bit state (U, V) as follows:

1. Compute $O = (O^L||O^R) = E_S(M) \oplus M$,

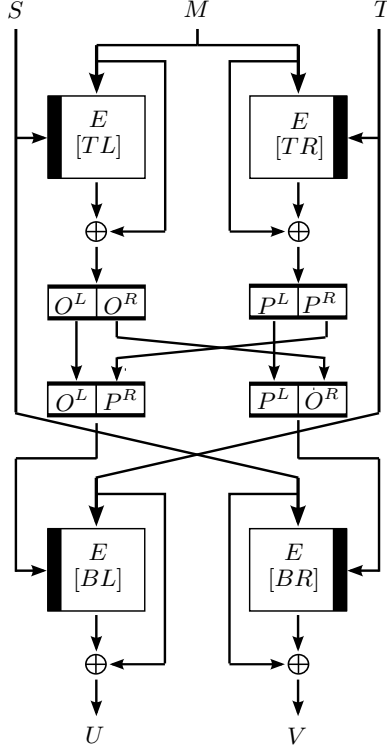


Figure 1. The double-length compression function H^E where E is an n -bit block cipher. The black bar inside the cipher indicates the key input.

2. compute $P = (P^L || P^R) = E_T(M) \oplus M$,
3. compute $U = E_{O^L || P^R}(T) \oplus T$,
4. compute $V = E_{P^L || O^R}(S) \oplus S$,
5. output (U, V) .

The superscript L denotes the left $n/2$ bits of an expression, and the superscript R denotes the right $n/2$ bits of an expression.

The original MDC-4 specification [26] swaps the right halves of U and V . But, since we are in the ideal cipher model, this operation does not change the distribution of the output and neither our collision security analysis. So, for ease of presentation, we omitted this additional step.

Our analysis is for the MDC-4 hash function \mathcal{H}^E which is obtained by a simple iteration of the MDC-4 compression function H^E in the obvious manner: Given some $n \cdot \ell$ -bit message (M_1, \dots, M_ℓ) , $M_j \in \{0, 1\}^n$ for $j = 1, \dots, \ell$ and an initial value $(S_0, T_0) \in \{0, 1\}^{2n}$ it works by computing $(S_i, H_i) = H^E(M_i, S_{i-1}, T_{i-1})$ for $i = 1, \dots, \ell$. The hash value is (S_ℓ, T_ℓ) .

2.3 Security of the MDC-4 compression function and the MDC-4 hash function

Generally, insecurity is quantified by the success probability of an optimal resource-bounded adversary. The resource is the number of backward and forward queries to the block cipher E . For a set C , let $Y \stackrel{\$}{\leftarrow} C$ represent random sampling from C under the uniform distribution. For a probabilistic algorithm \mathcal{D} , let $Y \stackrel{\$}{\leftarrow} \mathcal{D}$ mean that Y is an output of \mathcal{D} and its distribution is based on the random choices of \mathcal{D} .

In our case, an adversary is a computationally unbounded collision-finding algorithm \mathcal{A}^E with access to $E \in \text{Block}(n)$. We assume that \mathcal{A}^E is deterministic. The adversary may make a *forward* query $(K, X)_f$ to discover the corresponding value $Y = E_K(X)$, or the adversary may make a *backward* query $(K, Y)_b$, so as to learn the corresponding value $X = E_K^{-1}(Y)$ such that $E_K(X) = Y$. Either way, the result of the query is stored in a triple $(K_i, X_i, Y_i) := (K, X, Y)$ and the *query history* \mathcal{Q} is the tuple (Q_1, \dots, Q_q) where $Q_i = (K_i, X_i, Y_i)$ and q is the total number of queries made by the adversary.

Without loss of generality, we assume that \mathcal{A}^E asks at most only once on a triplet of a key K_i , a plaintext X_i and a ciphertext Y_i obtained by a query and the corresponding reply.

Collision Resistance of the MDC-4 compression function. There is a very simple attack on the compression function which only requires about $2^{n/2}$ invocations of the E oracle: Let the adversary find values $K, K', M, M' \in \{0, 1\}^n$ such that $E_K(M) = E_{K'}(M')$. This requires about $2^{n/2}$ E -oracle queries. Then, by

$$H^E(M, K, K) = H^E(M', K', K'),$$

a collision for the full MDC-4 compression function has been found. So our analysis will be for the MDC-4 compression function *in the iteration*. This attack is only possible if the chaining values are equal.

3 Proof of Collision Resistance

3.1 Proof Model

Our analysis is for the MDC-4 hash function \mathcal{H}^E assuming that the initial chaining values are different, *i.e.*, $S_0 \neq T_0$. The goal of the adversary is to output two messages $\mathcal{M}_1 \in \{0, 1\}^{n \cdot \ell}$ and $\mathcal{M}_2 \in \{0, 1\}^{n \cdot \ell'}$ such that $\mathcal{H}(\mathcal{M}_1) = \mathcal{H}(\mathcal{M}_2)$ for some non-zero integers ℓ, ℓ' .

In our analysis, we dispense the adversary from returning these two messages. Instead we upper bound his success probability by giving the attack to him if

- (i) he has found an 'internal' collision, *i.e.*, (M, S, T) such that $(U, V) = H^E(M, S, T)$ with $U = V$ for some $U, V \in \{0, 1\}^n$ or

- (ii) case (i) is not **true** but he has either found a collision in the compression function H^E , *i.e.*, (M, S, T) and (M', S', T') , such that $H^E(M, S, T) = H^E(M', S', T')$ or
- (iii) cases (i), (ii) are not **true** but he has found values (M, S, T) such that $(S_0, T_0) = H^E(M, S, T)$. *Note that this requirement essentially models the preimage resistance of the MDC-4 compression function.*

The proof is simple and straightforward. Assume a collision for \mathcal{H}^E has been found using two not necessarily equal-length messages \mathcal{M} and \mathcal{M}' , *i.e.*, $\mathcal{H}^E(\mathcal{M}) = \mathcal{H}^E(\mathcal{M}')$. Also assume that the collision is the earliest possible. Then the adversary has either found (i) or (ii). For case (iii), we also give the attack to the adversary, particularly for reasons already discussed in Section 2.3.

For our analysis, we impose the reasonable condition that the adversary must have made all queries necessary to compute the results. We determine whether the adversary has been successful or not by examining the query history \mathcal{Q} . Formally, we say that $\text{COLL}(\mathcal{Q})$ holds if there is such a collision and \mathcal{Q} contains all the queries necessary to compute it.

We now define what we formally mean by a collision of the MDC-4 compression function.

Definition 1. (Collision resistance of the MDC-4 compression function)

Let H^E be a MDC-4 compression function. Fix an adversary \mathcal{A} . Then the advantage of \mathcal{A} in finding collisions for H^E is the real number

$$\mathbf{Adv}_{H^E}^{\text{COLL}}(\mathcal{A}) = \Pr[E \stackrel{\$}{\leftarrow} \text{Block}(n); ((M, S, T), (M', S', T')) \stackrel{\$}{\leftarrow} \mathcal{A}^{E, E^{-1}} : ((M, S, T) \neq (M', S', T')) \wedge H^E(M, S, T) = H^E(M', S', T')].$$

For $q \geq 1$ we write

$$\mathbf{Adv}_{H^E}^{\text{COLL}}(q) = \max_{\mathcal{A}} \{ \mathbf{Adv}_{H^E}^{\text{COLL}}(\mathcal{A}) \},$$

where the maximum is taken over all adversaries that ask at most q oracle queries, *i.e.*, forward and backward queries to E .

Since our analysis in the next sections is for \mathcal{H}^E , we informally say that the probability of a collision of \mathcal{H}^E is upper bounded by using a union bound for the cases (i), (ii) and (iii). This is part of the formalization in Theorem 1.

3.2 Our Results

We now give our main result. Although having a substantial complexity on the first sight in its general form, we can easily evaluate it to numerical terms (cf. Corollary 1).

q	$\mathbf{Adv}_{\mathcal{H}^E}^{\text{COLL}}(q) \leq$	α	β	γ
2^{64}	$7.18 \cdot 10^{-7}$	42	4.0	$2 \cdot 10^6$
$2^{68.26}$	10^{-4}	126	4.0	$6 \cdot 10^6$
$2^{72.19}$	1/100	900	4.0	$1.3 \cdot 10^7$
$2^{73.84}$	1/10	2600	4.0	$1.4 \cdot 10^7$
$2^{74.40}$	1/4	3780	4.0	$1.5 \cdot 10^7$
$2^{74.76}$	1/2	4900	4.0	$1.5 \cdot 10^7$

Table 2. Upper bounds on $\mathbf{Adv}_{\mathcal{H}^E}^{\text{COLL}}(q)$ as given by Theorem 1

Theorem 1. Fix some initial values $S_0, T_0 \in \{0, 1\}^n$ with $S_0 \neq T_0$ and let \mathcal{H}^E be the MDC-4 hash function as given in Section 2.2. Let α, β, γ be constants such that $eq2^{n/2}/(2^n - q) \leq \alpha$, $eq/(2^n - q) \leq \beta$ and let $\Pr[\text{LUCKY}(\mathcal{Q})]$ as in Proposition 8. Then

$$\begin{aligned}
\mathbf{Adv}_{\mathcal{H}^E}^{\text{COLL}}(q) \leq & q \left(\frac{\alpha^2 + \gamma}{2^n - q} + \frac{\alpha\beta}{(2^n - q)(2^{n/2} - \alpha)} + \frac{\alpha}{2^n - 2^{n/2}\alpha} + \frac{\beta^2 + 4}{2^n - q} + \frac{\beta}{2^n - q} \right) \\
& + 2q \left(\frac{\alpha^4 + \alpha^2 + 3\alpha\gamma + 2\gamma}{N - q} + 6 \frac{\alpha^2 + 1}{N^{1/2} - \alpha} \right) \\
& + q \left(\frac{\gamma\alpha^2 + \gamma^2}{2^n - q} + \frac{2\alpha}{(2^{n/2} - \alpha)^2} \right) + \Pr[\text{LUCKY}(\mathcal{Q})]. \tag{1}
\end{aligned}$$

Proof. The proof follows from the following discussion together with Proposition 1 by adding up the individual results from Propositions 2 - 8. \square

As mentioned before, our bound is rather non-transparent, so we discuss it for $n = 128$. We evaluate the equation above such that the adversary's advantage is upper bounded by 1/2 – thereby maximizing the value of q by numerically optimizing the values of α, β and γ . Our result is the following corollary.

Corollary 1. No adversary asking less than $2^{74.76}$ queries can find a collision for the MDC-4 hash function with probability greater than 1/2.

An overview of the behavior of our upper bound is given in Table 2. Note that for other values of (α, β, γ) the bound stays *correct* but worsens numerically (as long as the conditions given in Theorem 1 hold).

3.3 Proof Preliminaries

Overview. Our discussion starts with case (ii). We analyze whether the list of oracle queries to E made by the adversary can be used for a collision of the MDC-4

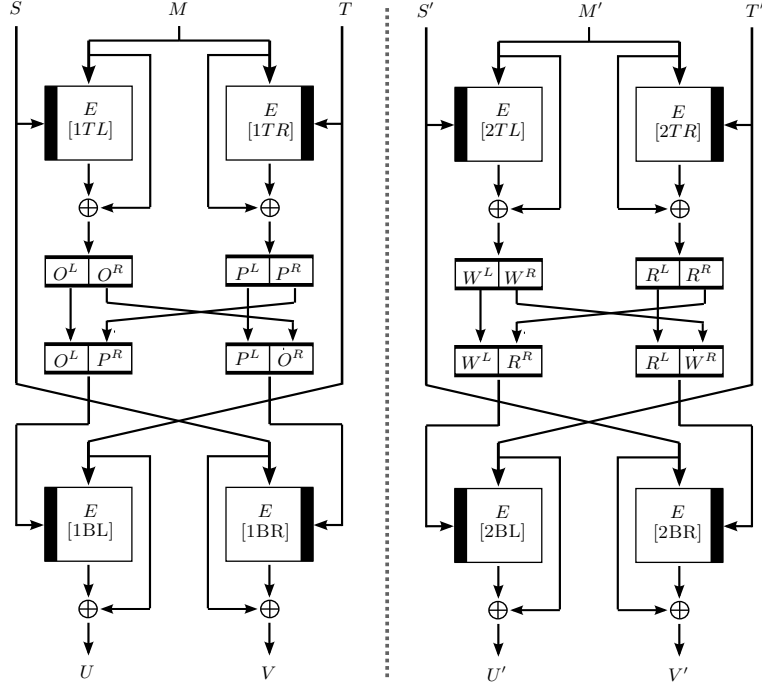


Figure 2. The double-length MDC-4 compression function H^E , where E is a (n, n) -block cipher. If $(S, M, T) \neq (S', M', T')$ but $(U, V) = (U', V')$ then the adversary has found a collision for H^E . The black beam inside the cipher indicates the key input. For later reference, the different positions a query can be used in are denoted by $1TL, 1TR, \dots, 2BR$.

compression function H^E . For a collision, there are eight – not necessarily distinct – block cipher queries necessary (cf. Figure 2).

To upper bound the probability of the adversary obtaining queries that can be used for a collision, we upper bound the probability of the adversary making a final query that can be used as the last query to complete such a collision. Let \mathcal{Q}_i denote the set of the first i queries $(K_1, X_1, Y_1), \dots, (K_i, X_i, Y_i)$ (either forward or backward) made by the adversary. Furthermore we denote by the term *last query* the latest query made by the adversary. This query has always index i . Therefore, for each i with $1 \leq i \leq q$, we upper bound the success probability of an adversary to use the i -th query to complete the collision.

As the probability depends on the first $i - 1$ queries, we have to put some restrictions on these and also upper bound the probability that these restrictions are not met by an adversary. One example of such a restriction is to assume that, *e.g.*, the adversary has to find too many *collisions* for the underlying component function $E_K(X) \oplus X$.

Thus, our upper bound breaks down into two parts: an upper bound for the probability of an adversary not meeting our restrictions and the probability of an

adversary ever making a successful i -th query, conditioned on the fact that the adversary does meet our restrictions and has not been successful by its $(i - 1)$ -th query. We use some notations that are given in Figure 2, *e.g.*, the statement $1BL \neq 2BL$ means that the query used in the bottom left of the 'left' side is not the same as the query used in the bottom left of the 'right' side.

3.4 Details.

We say $\text{COLL}(\mathcal{Q})$ if the adversary *wins*. Note that winning does not necessarily imply, that the adversary has found a collision. It might be that the adversary got lucky and does not meet our restrictions any more. But in the case of a collision $\text{COLL}(\mathcal{Q})$ always holds.

Proposition 1.

$$\begin{aligned} \text{COLL}(\mathcal{Q}) \implies \\ \text{LUCKY}(\mathcal{Q}) \vee \text{INTERNALCOLL}(\mathcal{Q}) \vee \text{COLLTOPROWS}(\mathcal{Q}) \vee \text{COLLLEFTCOLUMNS}(\mathcal{Q}) \vee \\ \text{COLLRIGHTCOLUMNS}(\mathcal{Q}) \vee \text{COLLBOTHCOLUMNS}(\mathcal{Q}) \vee \text{PREIMAGE}(\mathcal{Q}). \end{aligned}$$

We now define the involved predicates of Proposition 1 and then give a proof. The predicates on the 'right' side are made mutually exclusive meaning that if the left side is true it follows that exactly one of the predicates on the right side is true. By upper bounding separately the probabilities of these predicates on the right side it is easy to see that the union bound can be used to upper bound the probability of $\text{COLL}(\mathcal{Q})$ as follows:

$$\begin{aligned} \Pr[\text{COLL}(\mathcal{Q})] \leq & \Pr[\text{LUCKY}(\mathcal{Q})] + \Pr[\text{INTERNALCOLL}(\mathcal{Q})] + \Pr[\text{COLLTOPROWS}(\mathcal{Q})] \\ & + \Pr[\text{COLLLEFTCOLUMNS}(\mathcal{Q})] + \Pr[\text{COLLRIGHTCOLUMNS}(\mathcal{Q})] \\ & + \Pr[\text{COLLBOTHCOLUMNS}(\mathcal{Q})] + \Pr[\text{PREIMAGE}(\mathcal{Q})]. \end{aligned}$$

To state the predicate $\text{LUCKY}(\mathcal{Q})$, we give some helper definitions that are also used as restrictions for the other predicates. Let $\text{NumEqual}(\mathcal{Q})$ be a function defined on the query set \mathcal{Q} , $|\mathcal{Q}| = q$ as follows:

$$\text{NumEqual}(\mathcal{Q}) = \max_{Z \in \{0,1\}^n} |\{i : E_{K_i}(X_i) \oplus X_i = Z\}|.$$

It is the maximum size of a set of queries in \mathcal{Q} whose *XOR*-outputs are all the same. Similarly, we define $\text{NumEqualHalf}(\mathcal{Q})$ as the maximum size of a set of queries whose *XOR*-outputs either share the same left half or the same right half. Let

$$\begin{aligned} \text{NEH-L}(\mathcal{Q}) &= \max_{Z \in \{0,1\}^{n/2}} |\{i : (E_{K_i}(X_i) \oplus X_i)^L = Z\}|, \\ \text{NEH-R}(\mathcal{Q}) &= \max_{Z \in \{0,1\}^{n/2}} |\{i : (E_{K_i}(X_i) \oplus X_i)^R = Z\}|, \end{aligned}$$

then $\text{NumEqualHalf}(\mathcal{Q}) = \max(\text{NEH-L}(\mathcal{Q}), \text{NEH-R}(\mathcal{Q}))$. Let $\text{NumColl}(\mathcal{Q})$ be also defined on a query set \mathcal{Q} , $|\mathcal{Q}| = q$, as

$$\text{NumColl}(\mathcal{Q}) = |\{(i, j) \in \{1, \dots, q\}^2 : i \neq j, E_{K_i}(X_i) \oplus X_i = E_{K_j}(X_j) \oplus X_j\}|.$$

It outputs the number of ordered pairs of distinct queries in \mathcal{Q} which have the same *XOR*-outputs.

We now define the event $\text{LUCKY}(\mathcal{Q})$ as

$$\text{LUCKY}(\mathcal{Q}) = (\text{NumEqualHalf}(\mathcal{Q}) > \alpha) \vee (\text{NumEqual}(\mathcal{Q}) > \beta) \vee (\text{NumColl}(\mathcal{Q}) > \gamma),$$

where α , β and γ are the constants from Theorem 1. These constants are chosen depending on n and q by a simple numerical optimization process such that the upper bound of the advantage of an adversary is minimized for given values of n, q .

We now give the definitions of the other predicates.

FitInternalColl(\mathcal{Q}). The adversary has found four – not necessarily distinct – queries such that $H^E(M, S, T)$ can be computed and $H^E(M, S, T) = (U, U)$ holds for some arbitrary U with $S \neq T$.

FitCollLeftColumns(\mathcal{Q}). The adversary has found eight – not necessarily distinct – queries such that $(U, V) = H^E(M, S, T)$ and $(U', V') = H^E(M', S', T')$ can be computed with $U = U'$, $1\text{BL} \neq 2\text{BL}$ and $1\text{BR} = 2\text{BR}$.

FitCollRightColumns(\mathcal{Q}). The adversary has found eight – not necessarily distinct – queries such that $(U, V) = H^E(M, S, T)$ and $(U', V') = H^E(M', S', T')$ can be computed with $V = V'$, $1\text{BR} \neq 2\text{BR}$ and $1\text{BL} = 2\text{BL}$.

FitCollTopRows(\mathcal{Q}). The adversary has found four – not necessarily distinct – queries such that

$$(E_S(M) \oplus M, E_T(M) \oplus M) = (E_{S'}(M') \oplus M', E_{T'}(M') \oplus M')$$

for $S \neq T$, $S' \neq T'$, $1\text{BL} = 2\text{BL}$ and $1\text{BR} = 2\text{BR}$.

FitCollBothColumns(\mathcal{Q}). In this case we assume $\neg\text{FITCOLLEFTCOLUMNS}(\mathcal{Q})$ and $\neg\text{FITCOLLRIGHTCOLUMNS}(\mathcal{Q})$. The adversary has found eight – not necessarily distinct – queries such that $(U, V) = H^E(M, S, T)$ and $(U', V') = H^E(M', S', T')$ can be computed with $U = U'$, $V = V'$, $1\text{BL} \neq 2\text{BL}$ and $1\text{BR} \neq 2\text{BR}$.

FitPreimage(\mathcal{Q}). This formalizes case (iii). The adversary has found four – not necessarily distinct – queries used in H^E in positions 1TL , 1TR , 1BL , 1BR such that the output of H^E is equal to (S_0, T_0) , *i.e.*, the initial chaining values of the MDC-4 hash function.

For practical purposes we derive our predicates as follows.

$$\begin{aligned}
\mathbf{InternalColl}(\mathcal{Q}) &= \neg\text{LUCKY}(\mathcal{Q}) \wedge \text{FITINTERNALCOLL}(\mathcal{Q}) \\
\mathbf{CollLeftColumns}(\mathcal{Q}) &= \neg(\text{LUCKY}(\mathcal{Q}) \vee \text{FITINTERNALCOLL}(\mathcal{Q})) \wedge \text{FITCOLLEFTCOLUMNS}(\mathcal{Q}) \\
\mathbf{CollRightColumns}(\mathcal{Q}) &= \neg(\text{LUCKY}(\mathcal{Q}) \vee \text{FITINTERNALCOLL}(\mathcal{Q}) \vee \text{FITCOLLEFTCOLUMNS}(\mathcal{Q})) \\
&\quad \wedge \text{FITCOLLRIGHTCOLUMNS}(\mathcal{Q}) \\
\mathbf{CollTopRows}(\mathcal{Q}) &= \neg(\text{LUCKY}(\mathcal{Q}) \vee \text{FITINTERNALCOLL}(\mathcal{Q}) \vee \text{FITCOLLEFTCOLUMNS}(\mathcal{Q}) \\
&\quad \vee \text{FITCOLLRIGHTCOLUMNS}(\mathcal{Q})) \wedge \text{FITCOLLTOPROWS}(\mathcal{Q}) \\
\mathbf{CollBothColumns}(\mathcal{Q}) &= \neg(\text{LUCKY}(\mathcal{Q}) \vee \text{FITINTERNALCOLL}(\mathcal{Q}) \vee \text{FITCOLLEFTCOLUMNS}(\mathcal{Q}) \\
&\quad \vee \text{FITCOLLRIGHTCOLUMNS}(\mathcal{Q}) \vee \text{FITCOLLTOPROWS}(\mathcal{Q})) \\
&\quad \wedge \text{FITCOLLBOTHCOLUMNS}(\mathcal{Q}) \\
\mathbf{Preimage}(\mathcal{Q}) &= \neg(\text{LUCKY}(\mathcal{Q}) \vee \text{FITINTERNALCOLL}(\mathcal{Q}) \vee \text{FITCOLLEFTCOLUMNS}(\mathcal{Q}) \\
&\quad \vee \text{FITCOLLRIGHTCOLUMNS}(\mathcal{Q}) \vee \text{FITCOLLTOPROWS}(\mathcal{Q}) \\
&\quad \vee \text{FITCOLLBOTHCOLUMNS}(\mathcal{Q})) \wedge \text{FITPREIMAGE}(\mathcal{Q})
\end{aligned}$$

Proof of Proposition 1. Assume that the adversary is not lucky, *i.e.*, $\neg\text{LUCKY}(\mathcal{Q})$. Then it is easy to see that

$$\begin{aligned}
&\text{FITINTERNALCOLL}(\mathcal{Q}) \vee \text{FITCOLLEFTCOLUMNS}(\mathcal{Q}) \vee \\
&\text{FITCOLLRIGHTCOLUMNS}(\mathcal{Q}) \vee \text{FITCOLLTOPROWS}(\mathcal{Q}) \vee \\
&\text{FITCOLLBOTHCOLUMNS}(\mathcal{Q}) \vee \text{FITPREIMAGE}(\mathcal{Q}) \\
&\quad \implies \\
&\text{INTERNALCOLL}(\mathcal{Q}) \vee \text{COLLEFTCOLUMNS}(\mathcal{Q}) \vee \text{COLLRIGHTCOLUMNS}(\mathcal{Q}) \vee \\
&\text{COLLTOPROWS}(\mathcal{Q}) \vee \text{COLLBOTHCOLUMNS}(\mathcal{Q}) \vee \text{PREIMAGE}(\mathcal{Q})
\end{aligned}$$

holds. Therefore it is sufficient to show that

$$\begin{aligned}
\text{COLL}(\mathcal{Q}) \implies &\text{FITINTERNALCOLL}(\mathcal{Q}) \vee \text{FITCOLLEFTCOLUMNS}(\mathcal{Q}) \\
&\vee \text{FITCOLLRIGHTCOLUMNS}(\mathcal{Q}) \vee \text{FITCOLLTOPROWS}(\mathcal{Q}) \\
&\vee \text{FITCOLLBOTHCOLUMNS}(\mathcal{Q}) \vee \text{FITPREIMAGE}(\mathcal{Q}).
\end{aligned}$$

To ensure that the chaining values are always different, we give the attack to the adversary if these values collide, *i.e.*, $U = V$ or $U' = V'$. Note that this is usually not a *real* collision, but we can exclude this case in our analysis. We call this $\text{INTERNALCOLL}(\mathcal{Q})$. This corresponds to case (i) in Section 3.1.

For the case (ii), we assume that a collision for the MDC-4 compression function H^E can be constructed from the queries in \mathcal{Q} . Then there are inputs $\mathcal{M}, \mathcal{M}' \in (\{0, 1\}^n)^+$, $\mathcal{M} \neq \mathcal{M}'$ such that $\mathcal{H}(\mathcal{M}) = \mathcal{H}(\mathcal{M}')$. In particular, there are $M, M' \in$

$\{0, 1\}^n$ and $(S, T), (S', T') \in \{0, 1\}^{2n}$, $(S, T, M) \neq (S', T', M')$, such that $H^E(S, T, M) = H^E(S', T', M')$.

For the following analysis we have $\neg\text{INTERNALCOLL}(\mathcal{Q})$, *i.e.*, $S \neq T$, $S' \neq T'$. Our case differentiation is based on the disposal of queries in the bottom row. First assume that $1\text{BL} = 2\text{BL}$ and $1\text{BR} = 2\text{BR}$. Then $\text{COLLTOPROWS}(\mathcal{Q})$. Now assume that $1\text{BL} = 2\text{BL}$ and $1\text{BR} \neq 2\text{BR}$. Then $\text{COLLRIGHTCOLUMNS}(\mathcal{Q})$. Conversely, if $1\text{BL} \neq 2\text{BL}$ and $1\text{BR} = 2\text{BR}$, we say $\text{COLLEFTCOLUMNS}(\mathcal{Q})$. The only missing case, $1\text{BL} \neq 2\text{BL}$ and $1\text{BR} \neq 2\text{BR}$, is denoted by $\text{COLLBOTHCOLUMNS}(\mathcal{Q})$. $\text{PREIMAGE}(\mathcal{Q})$ formalizes case (iii) of Section 3.1 and corresponds to $\text{FITPREIMAGE}(\mathcal{Q})$. \square

General Remarks. The strategy for the other predicates is to upper bound the probability of the last query being successful conditioned on the fact that the adversary has not yet been successful in previous queries. We say that the last query is successful if the output is such that $\text{NumEqualHalf}(\mathcal{Q}) < \alpha$, $\text{NumEqual}(\mathcal{Q}) < \beta$, $\text{NumColl}(\mathcal{Q}) < \gamma$ and that one of the predicates is *true*.

Proposition 2 (InternalColl(\mathcal{Q})).

$$\Pr[\text{INTERNALCOLL}(\mathcal{Q})] \leq q \left(\frac{\alpha^2 + \gamma}{2^n - q} + \frac{\alpha\beta}{(2^n - q)(2^{n/2} - \alpha)} + \frac{\alpha}{2^n - 2^{n/2}\alpha} \right)$$

Proof. The adversary can use the last query Q_i either once or twice. When Q_i is used three times or more then it must occur twice either in the top- or bottom row. But this would imply $S = T$.

In the case that the query is used once it can either be used in the top or bottom row. Due to the symmetric structure of MDC-4, we can assume WLOG that the last query Q_i is either used in position TL or BL ². The success probability is analyzed in Lemma 1.

In the case that Q_i is used twice, it must be used once in the top and once in the bottom row. We again assume that the last query is WLOG used in TL and BL or TL and BR . The success probability is analyzed in Lemma 2. \square

Lemma 1. *Let $S \neq T$ and \mathcal{Q}_{i-1} the query list not containing the last query Q_i . Assume that Q_i is used once in the MDC-4 compression function H^E . Then*

$$\Pr[(U, U) = H^E(S, T, M)] \leq q \left(\frac{\alpha^2 + \gamma}{2^n - q} \right).$$

Proof.

² In this case we only consider the 'left' side of Figure 2 and denote 1TL by TL , 1TR by TR , 1BL by BL and 1BR by BR .

Case 1: Assume first that $Q_i = (K_i^L || K_i^R, X_i, Y_i)$ is used in position BL . It follows that K_i^L must be equal to the XOR -output Z_{TL}^L of the query in TL . It follows that there are at most α different candidates for the query in TL in the query history \mathcal{Q}_{i-1} . Similarly, because K_i^R must be equal to the right half of the XOR -output of TR , Z_{TR}^R , there are at most α candidates for that can be used in TR . For the query in BR , there are at most α^2 possible key inputs, the ciphertext input of BR is determined by the query used in TL . So the probability that there is a query in \mathcal{Q}_i such that $U = V$ is upper bounded by $\alpha^2/(2^n - q)$. For q queries, the total chance of success is $\leq q\alpha^2/(2^n - q)$.

Case 2: Now assume that Q_i is used in position TL . Since $S \neq T$ it follows that $BL \neq BR$. So there are at most γ ordered pairs of queries that can be used in BL and BR such that their XOR -output collides. Fixing one of these, it fully determines the XOR -output TL . So, for q queries, Q_i has at most a chance of $q\gamma/(2^n - q)$. \square

Lemma 2. Let $S \neq T$ and \mathcal{Q}_{i-1} the query list not containing the last query Q_i . Assume that Q_i is used twice in the MDC-4 compression function H^E . Then

$$\Pr[(U, U) = H^E(S, T, M)] \leq q \left(\frac{\alpha\beta}{(2^n - q)(2^{n/2} - \alpha)} + \frac{\alpha}{2^n - 2^{n/2}\alpha} \right).$$

Proof. By symmetry arguments, we assume WLOG that the last query Q_i is used in position TL . Since $S \neq T$, the last query can only appear a second time in position BL , or BR but not in TR .

Case 1: Assume Q_i is used in position TL and BL . This query can be used in these positions if the randomly determined left-side XOR -output Z_i^L is equal to the left-side of the key K_i^L . This event is called P_K and its probability of success can be upper bounded for Q_i by $\Pr[P_K] \leq \alpha/(2^{n/2} - \alpha)$. We now upper bound the number of queries that can be used in BR conditioned on the fact that P_K is successful. There are at most α queries that can be used in TR , since now the key input of BL is fixed. As the ciphertext input of BR is now also fixed by TL , there are at most β possibilities for BR . So the chance of success for the i -th query in this case is $\leq \frac{\beta}{2^n - q} \cdot \Pr[P_K]$. So for q queries the bound becomes $\frac{q\alpha\beta}{(2^n - q)(2^{n/2} - \alpha)}$.

Case 2: Assume Q_i is used in position TL and BR . Then, $K_i = X_i$. The query Q_i can be used in these two positions at the same time if the randomly determined right-half XOR -output Z_i^R is equal to the right-half of the key, $K_i^R = X_i^R$. This event is called O_K and its probability of success can be upper bounded for Q_i by $\Pr[O_K] \leq \frac{1}{2^{n/2}}$.

We now upper bound the number of queries that can be used in TR conditioned on the fact the O_K is successful. There are at most α queries that can be used in TR such that $Z_{TR}^L = K_i^L$ holds. Hence, there are at most α queries that can be used in BL . We denote the chance that $Z_{BL}^L = Z_i^L$ for the i -th query as

$\Pr[Z_i^L]$. This event can thus be upper bounded by $\frac{\alpha}{2^{n/2}-\alpha} \cdot \Pr[O_K] \leq \frac{\alpha}{2^n-2^{n/2}\alpha}$.
For q queries we can upper bound this case by $\frac{q\alpha}{2^n-2^{n/2}\alpha}$. \square

Proposition 3 (CollTopRows(\mathcal{Q})).

$$\Pr[\text{COLLTOPROWS}(\mathcal{Q})] \leq \frac{q\beta}{2^n - q}$$

Proof. In this case we consider a collision in the top row, with $1\text{BL} = 2\text{BL}$ and $1\text{BR} = 2\text{BR}$. This implies $S = S'$ and $T' = T$. Furthermore it implies $M \neq M'$, because otherwise we would have $1\text{TL} = 2\text{TL}$ and $1\text{TR} = 2\text{TR}$. Regarding to this constraints we have to upper bound the probability that the i -th query can be used such that

$$(E_S(M) \oplus M, E_T(M) \oplus M) = (E_{S'}(M') \oplus M', E_{T'}(M') \oplus M').$$

Note, that no internal collision has happened before, *i.e.*, $\neg\text{INTERNALCOLL}(\mathcal{Q})$, and therefore the chaining values are *always* different. First assume that the last query is used twice or more. In order to find a collision in the top-row, the last query must be used in the top-row or otherwise the success probability is zero. The last query cannot be used in 1TL and 2TL or else $1\text{TL} = 2\text{TR}$ and $M = M'$ would follow. The last query also cannot be used in 1TL and 2TR or else $S = T' = S' = T$ would follow.

Now assume that Q_i is used once, WLOG in 1TL . Then there are at most β pairs of queries for $1\text{TR}, 2\text{TR}$ that form a collision. So there are at most β queries that can be used in 2TL that may form a collision with the *XOR*-output of the last query used in 1TL . The success probability for q queries can therefore be upper bounded by $q\beta/(2^n - q)$. \square

Proposition 4 (CollLeftColumns(\mathcal{Q})).

$$\Pr[\text{COLLLEFTCOLUMNS}(\mathcal{Q})] \leq q \left(\frac{\alpha^2(\alpha^2 + 2\gamma + 1) + \alpha\gamma + \alpha}{2^n - q} + \frac{\alpha^3 + 2\alpha^2 + \alpha}{(2^{n/2} - \alpha)^2} \right)$$

The proof can be found in Appendix B.

Proposition 5 (CollRightColumns(\mathcal{Q})).

$$\Pr[\text{COLLRIGHTCOLUMNS}(\mathcal{Q})] \leq q \left(\frac{\alpha^2(\alpha^2 + 2\gamma + 1) + \alpha\gamma + \alpha}{2^n - q} + \frac{\alpha^3 + 2\alpha^2 + 1}{(2^{n/2} - \alpha)^2} \right)$$

Proof. Due to the symmetric structure of MDC-4 this proof is essentially the same as for proposition 4. \square

Proposition 6 (CollBothColumns(\mathcal{Q})).

$$\Pr[\text{COLLBOTHCOLUMNS}(\mathcal{Q})] \leq q \left(\frac{\gamma\alpha^2 + \gamma^2}{2^n - q} + \frac{2\alpha}{(2^{n/2} - \alpha)^2} \right)$$

The proof of Proposition 6 is given in Appendix A.

Proposition 7 (Preimage(\mathcal{Q})).

$$\Pr[\text{PREIMAGE}(\mathcal{Q})] \leq \frac{q(4 + \beta^2)}{2^n - q}$$

Proof. The adversary can use the last query either once or twice. If it is used twice, it is used at least once in the bottom row.

Case 1: Assume first, that the last query is used once and that it is used in the top row. Assume WLOG that it is used in 1TL. Since there are at most β queries that can be used in 1BL and also at most β queries for 1BR, the success probability is upper bounded for q queries by $q\beta^2/(2^n - q)$.

Now assume that the last query is used once and that it is used in the bottom row. Whether it is used in 1BL or 1BR, the success probability in each case for one query is $\leq 1/(2^n - q)$.

So the total success probability for q queries for this case is upper bounded by $q(2 + \beta^2)/(2^n - q)$.

Case 2: Now, assume that the last query is used twice. So it is used exactly once in the bottom row and the analysis of Case 1 (bottom row) gives an upper bound of $2q/(2^n - q)$.

□

Proposition 8 (Lucky(\mathcal{Q})). Let $n, q \in \mathbb{N}$, $n \geq q$. Let α, β , and γ be as in Theorem 1 with $eq2^{n/2}/(2^n - q) \leq \alpha$ and $eq/(2^n - q) \leq \beta$. Set $\tau = \frac{\alpha(2^n - q)}{q2^{n/2}}$ and $\nu = \frac{\beta(2^n - q)}{q}$. Then

$$\Pr[\text{LUCKY}(\mathcal{Q})] \leq \frac{q^2}{\gamma(2^n - q)} + 2q2^{n/2}e^{q2^{n/2}\tau(1 - \ln \tau)/(2^n - q)} + q2^n e^{q2^n\nu(1 - \ln \nu)/(2^n - q)}.$$

A proof can be found in [32, Appendix B].

4 Conclusion

We have derived the first collision security bound for MDC-4, a double length block cipher based compression function which takes 4 calls to hashing a message block using a (n, n) block-cipher. Although MDC-4 is structurally quite different from MDC-2, it is somewhat surprising that the result given by Steinberger for MDC-2 ($2^{74.91}$) and our result for MDC-4 ($2^{74.76}$) are numerically quite similar – although we have applied much more economical proof techniques. This leads to open questions we have not been able to find satisfying answers for as, *e.g.*, *why are these results so similar?* One possible answer is, that MDC-2 and MDC-4 are security-wise very similar. This would lead to the conclusion that MDC-4 is totally dominated by MDC-2. Another answer might be that the limitations are due to the applied techniques in the proofs. Then it would be interesting and important to find new proof methods that help overcome these.

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A Proof of Proposition 6

In case 1, we discuss the implication if the last query is only used once, the cases 2-4 give bounds if the last query is used at least twice.

Case 1: The last query is used exactly once. We can WLOG assume the it is either used in 1TL or 1BL.

Subcase 1.1: The last query is used in position 1BL. Since $1BR = 2BR$, there are at most γ pairs of queries in the query history that can be used for position 1BR, 2BR. Now, for any one query 2BR, there are at most α matching queries in position 2TL and at most α matching queries in 2TR. Since the queries in 2TL and 2TR uniquely determine the query 2BL, there are at most $\gamma\alpha^2$ queries that can be used for 2BL. Therefore the last query has a chance of being successful of $\leq \gamma\alpha^2/(2^n - q)$. For q queries, the total chance of success in this case is $\leq q\gamma\alpha^2/(2^n - q)$.

Subcase 1.2: The last query is used in position 1TL. There are at most γ possible pairs of queries that can be used for 1BL and 2BL and there are at most γ possible queries that can be used for 1BR and 2BR. We now upper bound the probability that the last query can be used in 1TL assuming a collision. There are at most γ^2 pairs of queries that can be used for 1BL and 1BR. Therefore the success probability of the last query can be upper bounded by $\leq \gamma^2/(2^n - q)$ and for q queries by $q\gamma^2/(2^n - q)$.

Case 2: The last query is only used in the bottom row. Then it is used exactly twice, WLOG in positions 1BL and 2BR. This would imply $U = V'$ which then – in the case of success – implies INTERNALCOLL(\mathcal{Q}).

Case 3: The last query is only used in the top row. We can WLOG assume it is used in 1TL. We can use the same reasoning as in Subcase 1.2 and therefore extend Subcase 1.2 to also handle this slightly more general situation here.

Case 4: The last query is used at least once in the bottom row and at least once in the top row. We can WLOG assume that it is used in position 1TL. Using the same argument as for Case 2, the last query must then appear exactly once in the bottom row. The following four subcases discuss the implications of the last query being also used in 1BL, 1BR, 2BL and 2BR. Note that the adversary may use it also a second time – apart from 1TL – in the top row but this does not change our bounds.

Subcase 4.1: The last query is also used in 1BL. The left half of the *XOR*-output of 1TL has a chance of being equal to its key input (*i.e.*, the key input of 1BL) of $\leq 1/(2^{n/2} - \alpha)$. The following analysis is now based on the fact the the left half of the *XOR*-output has matched the left half of the key input. Since we now also know the left half of the *XOR*-output of 2BL, there are at most α queries that can be used in 2BL. The chance that the right half of the *XOR*-output of 2BL matches the right half of the *XOR*-output of 1BL is therefore $\leq \alpha/(2^{n/2} - \alpha)$. So for q queries the total chance of success is $\leq q\alpha/(2^{n/2} - \alpha)^2$.

Subcase 4.2: The last query is also used in 1BR. The same arguing as for Subcase 4.1 can be used (apart from exchanging 'left' and 'right') and the bound for q queries is again $\leq \alpha/(2^{n/2} - \alpha)^2$.

Subcase 4.3 The last query is also used in position 2BL. There are at most γ possible pairs of query in the query history that can be used for the pair 1BR, 2BR that form a collision. The probability that the right half of the *XOR*-output of 1TL matches the right half of its key input (*i.e.*, for the last query being also used in 1BR) is $\leq 1/(2^{n/2} - \alpha)$. Conditioned on the fact that the right half of the *XOR*-output is now fixed there are at most α queries that can be used in 1BL such that the *XOR*-outputs of 1BL and 2BL collides. The probability that the left half of the *XOR*-output of 1TL is equal to the left half of the key of 1BL is therefore $\leq \alpha/(2^{n/2} - \alpha)$ and the total chance of success for q queries is $\leq q\alpha/(2^{n/2} - \alpha)^2$.

Subcase 4.4 The last query is also used in 2BR. The same arguing as for Subcase 4.3 can be used (apart from exchanging 'left' and 'right') and the bound for q queries is again $\leq q\alpha/(2^{n/2} - \alpha)^2$. \square

B Proof of Proposition 4

Proof. Since $1\text{TL} \neq 1\text{TR}$ and $2\text{TL} \neq 2\text{TR}$ always, a query can never be used more than twice in the top row. First assume that a query is used twice in the top row. Then, either $1\text{TL} = 2\text{TL}$ or $1\text{TR} = 2\text{TR}$. If $1\text{TR} = 2\text{TR}$ and – by precondition – $1\text{BR} = 2\text{BR}$, it follows that $1\text{TL} = 2\text{TL}$, *i.e.* the success probability of this case is zero since this would not lead to a collision (or we would have given the collision to the adversary before). The case $1\text{TL} = 2\text{TL}$ is upper bounded by Lemma 3. The remaining case, *i.e.* all queries used in the top row are pairwise different, is upper bounded by Lemma 4. Since no cases are left, a union bound gives our claim. \square

Lemma 3. *Let $S \neq T$, $S' \neq T'$, $1\text{BL} \neq 2\text{BL}$ and $1\text{BR} = 2\text{BR}$. Assume that $1\text{TL} = 2\text{TL}$. Then,*

$$\Pr[H^{\text{MDC-4}}(M, S, T) = H^{\text{MDC-4}}(M', S', T')] \leq q \cdot \left(\frac{\gamma\alpha + \alpha^2}{N - q} + 2 \frac{\alpha^2 + 1}{N^{1/2} - \alpha} \right)$$

Proof. Since $1\text{TL} = 2\text{TL}$, it follows that $1\text{TR} \neq 2\text{TR}$. It suffices to analyze the disposition of the last query in in 1TR, 1BL, 2TR, and 2BL since a usage of the last query in 1TL and 2TL reverts to this case. The same is true for the usage of the last query in 1BR and 2BR.

Case 1: The last query is used exactly once in either 1TR, 1BL, 2TR, or 2BL; we WLOG assume that it is used in 1TR or 1BL.

Subcase 1.1 The last query is used in position 1TR. There are at most γ queries that can be used in 1BL, 2BL that form a collision. So there are at most $\gamma\alpha$ queries for the pair (1BL, 2TR). The output of the last query is completely determined by that pair so the last query has a chance of success of $\leq \gamma\alpha/N'$ and for q queries $\leq q\gamma\alpha/(N - q)$.

Subcase 1.2 The last query is used in position 1BL. The key input of the last query admits at most α possible queries for 1TR. Since the left half of the XORoutput of 1TR is equal to the left half of the XORoutput of 2TR, there are at most α^2 queries that can be used for 2TR. Since the last query together with 2TR uniquely determines the number of possible queries in 2BL, the probability of success of the last query is upper bounded, for q queries, by $\leq q\alpha^2/(N - q)$.

Case 2: The last query is used twice or more. By symmetry we can assume that the last query is used exactly twice, either in positions 1TR and 1BL or in positions 1TR, 2BL.

Subcase 2.1 The last query is used in positions 1TR and 1BL. The left output of the query has chance of $\leq 1/(N^{1/2} - \alpha)$ of succeeding since it must match the left half of the key. Conditioned on the success, there are at most α possible queries in 2BL that share the same left *XOR*output. Now, for any query in 2BL, there are at most α queries that can be used in 2TR. Since the left half of the *XOR*output of 2TR must match the left half of the *XOR*output of the last query in 1TR, the left half has a chance $\leq \alpha^2/(N^{1/2} - \alpha)$ of succeeding. The total success probability for q queries is $\leq (\alpha^2 + 1)/(N^{1/2} - \alpha)$.

Subcase 2.2 The last query is used in position 1TR and 2BL. There are at most α possible choices for 2TR given the key input of the last query. Since the left halves of the *XOR*outputs of 1TR and 2TR must be equal, the right half of the last query has a chance of success of $\leq q/(N^{1/2} - \alpha)$. If the left half is successful, there are at most α possible queries for 1BL (with that right half *XOR*output), so the left half has a chance of success of $\leq \alpha/(N^{1/2} - \alpha)$. For q queries, the total probability of success is $\leq q(\alpha^2 + 1)/(N^{1/2} - \alpha)$.

Adding up Case 1 and Case 2, the overall chance of success is

$$\leq q \cdot \left(\gamma\alpha/(N - q) + \alpha^2/(N - q) + 2(\alpha^2 + 1)/(N^{1/2} - \alpha) \right)$$

□

Lemma 4. *Let $S \neq T$, $S' \neq T'$, $1BL \neq 2BL$ and $1BR = 2BR$. Assume that $1TL \neq 2TL$ and $1TR \neq 2TR$. Then,*

$$\Pr[H^{\text{MDC-4}}(M, S, T) = H^{\text{MDC-4}}(M', S', T')] \leq q \cdot \left(\frac{\alpha^4 + 2\alpha\gamma + 2\gamma}{N - q} + 4 \frac{\alpha^2 + 1}{N^{1/2} - q} \right).$$

Proof. Case 1: The last query is only used once, WLOG in either 1BL, 1TL, or 1TR.

Subcase 1.1 The last query is used in position 1BL. There are at most α possible choices for query in 1TL, and at most α possible queries for 1TR. Then, since $1BR = 2BR$, there are at most α^2 possible queries for 2TL and at most α^2 possible queries for 2TR. So there are at most α^4 possible choices for 2BL. So the probability of success for q queries is $\leq q\alpha^4/(N - q)$.

Subcase 1.2 The last query is used in position 1TL. There are at most γ possible pairs for queries for 1BL and 2BL. For any query in 2BL, there are at most α queries in 2TL. Since the output of the last query is completely determined by the queries (1BL, 2TL) the probability of success for q queries is $\leq q\alpha\gamma/(N - q)$.

Subcase 1.3 The last query is used in position 1TR. The analysis is the same as for 1TL giving the same bound of $\leq q\alpha\gamma/(N - q)$.

Case 2: The last query is used twice or more. Then it is used at least once in the top-row, WLOG at least in 1TL or 1TR. Our analysis assumes that the last

query is used in 1TL, since the case where it is used in 1TR is essentially the same (we adjust for this second case by doubling our probabilities of success for the 1TL case).

Subcase 2.1 The last query is also used in 1BL. The left half of the *XOR*output of the last query has chance $\leq 1/(N^{1/2} - \alpha)$ of being successful. If the left output half is successful, there are at most α different queries 2BL with that left *XOR*output and for each of them there are at most α possible choices for 2TL. So the right output half has a chance of success of $\leq \alpha^2/(N^{1/2} - q)$. For q queries, the chance of success is $\leq (\alpha^2 + 1)/(N^{1/2} - q)$.

Subcase 2.2 The last query is also used in 2BL. Given the key input of the last query in 2BL, the right half of the *XOR*output has a chance of success of $\leq 1/(N^{1/2} - \alpha)$. If the right half is successful, then there are at most α queries for 1BL so the left half of the *XOR*output has a chance of success of $\leq \alpha/(N^{1/2} - \alpha)$ of being successful. The total chance for q queries is therefore $\leq (\alpha^2 + 1)/(N^{1/2} - q)$.

Subcase 2.3 The last query is also used in 2TR. It does not appear in 1BL or 2BL (or our analysis of the prior subcases would hold). There are at most γ pairs for 1BL and 2BL, so the last query has a chance $\leq \gamma/(N - q)$ of success and for q queries $\leq q\gamma/(N - q)$.

□