

SPAЕ a mode of operation for AES on low-cost hardware

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Abstract. We propose SPAЕ, a single pass, patent free, authenticated encryption with associated data (AEAD) for AES. The algorithm has been developed to address the needs of a growing trend in IoT systems: storing code and data on a low cost flash memory external to the main SOC. Existing AEAD algorithms such as OCB, GCM, CCM, EAX, SIV, provide the required functionality however in practice each of them suffer from various drawbacks for this particular use case. Academic contributions such as ASCON and AEGIS-128 are suitable and efficient however they require the development of new hardware accelerators and they use primitives which are not ‘approved’ by governmental institutions such as NIST, BSI, ANSSI. From a silicon manufacturer point of view, an efficient AEAD which use existing AES hardware is much more enticing: the AES is required already by most industry standards involving symmetric encryption (GSMA, EMVco, FIDO, Bluetooth, ZigBee to name few). This paper expose the properties of an ideal AEAD for external memory encryption, present the SPAЕ algorithm and analyze various security aspects. Performances of SPAЕ on actual hardware are better than OCB, GCM and CCM.

Keywords: authenticated encryption with associated data (AEAD), · Nonce Misuse Resilience · Execute in Place (XIP) · Differential Fault Analysis (DFA) · AES · low-cost hardware

1 Introduction and motivations for AEAD on embedded systems

In the past, most embedded systems would store everything within the internal flash memory of a microcontroller. As performance and memory requirements increase, the trend is to use powerful application processors coupled with discrete flash memory chips. This has great impact on the security of the application and, counter-intuitively, even when physical attacks are not considered a threat. In a connected world, remote attacks are typically the most devastating ones so most manufacturers focus on them. The catch is that remote attacks are possible only once a weakness has been found. As a result attackers sometimes perform a physical attack as a preliminary step for finding an exploit [20].

The firmware was stored in a secure internal flash and its confidentiality was a one time problem: once the firmware was decrypted, it was stored in plain in the internal memory.

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Similarly authenticity had to be verified just once before activating a new version of the firmware. In that setting, the performance of cryptographic operations have no impact on the performance of the application and very little impact on the battery.

Now that the firmware is stored in external flash, guaranteeing its authenticity and confidentiality is much more challenging. Those functionalities are typically provided by the use of an authenticated-encryption with associated-data (AEAD) algorithm and a secret key stored on-chip. Furthermore the code is executed in XIP mode (eXecute In Place). This means that the application processor fetches code from the external memory in much the same way as it would do with an internal memory: it fetches small blocks to fill just one cache line at a time (typically 256 bytes). The performance of the AEAD therefore impact directly the application performance and the battery life time. In this case, the AEAD or at least the underlying block cipher is implemented in hardware.

Besides XIP, a number of microcontroller application also store data in external flash simply because they need more capacity than the internal flash has. In this case the performance of the AEAD is less critical but the need for energy efficiency remains. The AEAD may be implemented fully in software or with some hardware acceleration. In this context it is desirable to use AES as the core function:

- AES is currently the only symmetric encryption primitive ‘approved’ by NIST, BSI and ANSSI (Triple DES will be retired in 2023) [19].
- Numerous microcontrollers have AES hardware accelerator built-in. For example STM32L083RB, LPC18S37JET100, PIC24FJ128GA202, nRF52840.
- In secure elements, the AES accelerator is heavily protected against physical attacks. There is simply no way of achieving a better trade off between performance, security and power consumption on this type of chips.

Remark 1. The statement about the efficiency of AES on secure elements is just an observation of what is typically available on those ICs at the time of writing. For the foreseeable future, low cost means reusing AES as far as smart cards and secure elements are concerned.

Ideally the AEAD would lend itself to efficient protection against side channel attacks. This means to avoid using the addition operation, so ARX schemes shall be avoided [10]. In other words, besides AES, the AEAD shall use only operations which are trivial to mask such as XOR and rotations.

As a result, the properties of the ideal AEAD for external memory encryption are the following:

- Energy efficiency on small message size (full blocks, 256 bytes typically)
- Fast in single thread setting
- Differential Fault Analysis (DFA) resistance at algorithmic level
- Use only AES, XOR and rotate
- Efficient both in software and hardware implementations against comparable AEAD

Remark 2. A common misconception about AEAD algorithms is the belief that they are intrinsically protected against DFA ([11]). This is not the case for GCM for example: the tag is computed from the ciphertext so faults injected on AES during decryption are not detected. One may object that DFA requires the observation of the faulty output and that the plaintext is available only inside the device. This is certainly the general case however one cannot assume that plaintext is never sent outside of the device. For example it is common for firmware to send data such as version numbers unencrypted. This is especially

true of applications with graphic display. We make this point on DFA because the typical countermeasure doubles the execution time and energy consumed by a block cipher. The intrinsic DFA resistance is therefore a desirable property.

The main contribution of this paper is to show that SPAE, which is patent-free, fulfills **all** those properties.

First we present a state of the art (section 2), after a description of SPAE (section 3), a security analysis of the scheme is done (section 4). Then we evaluate its performances (section 5), we conclude with perspectives and future improvements of our work (section 6). Finally in the appendix (section 7) there are some test vectors and some design rationale.

In particular, for the security analysis (section 4), we provide results about differential fault analysis, nonce misuse resilience([3, 4]) authenticity and privacy (theorem 1 and 2) and arguments on the resilience of our scheme against an attack like the one of Inoue *et al.* [24, 35, 25, 23]. (section 4.1.5).

2 State of the art on AEAD mode of operation

An authenticated encryption (AE) scheme is a symmetric-key mechanism with the goal that the ciphertext protect the privacy and the authenticity of the plaintext. In real-world applications, not all data should be privacy-protected and it gave rise to the notion of authenticated-encryption with associated-data (AEAD), which was first introduced by Rogaway [36]. Since then several families of AEAD schemes have been proposed and standardized by NIST, IEEE, IETF and ANSI (C12.22). An overview of the state on AE and AEAD schemes, with discussions of security and privacy aspects, has been given by Vizár [44]. In practice, we distinguish two kinds of AEAD schemes (idem for AE schemes) [6]. In a *two-pass* scheme we make two passes through the data, one aimed at providing privacy and the other, authenticity. In a *one-pass* AEAD or AE scheme we make a single pass through the data, simultaneously doing what is needed to engender both privacy and authenticity. As we can expect, the computational cost is usually about half that of a two-pass scheme.

As part of the standard hypothesis, it is considered to be the responsibility of the sender not to reuse any nonce (often by using a counter). Nevertheless, nonce can still get reused in AE and AEAD schemes, either due to implementation errors or sophisticated attacks. Rogaway and Shrimpton [40], introduced the notion of nonce-misuse resistant AE and AEAD (MRAE) with an associated security model. Their work was seminal for the design of new AE and AEAD schemes that are robust to improper use or implementation errors. In the following we list the most frequent AEAD algorithms used in industry and comment on their energy efficiency and nonce-misuse, assuming the underlying block cipher is AES.

2.1 NIST approved AEAD algorithms

NIST ¹ has approved two AEAD algorithms: AES-CCM (800-38C) and AES-GCM (800-38D).

AES-CCM [18] is a two pass ‘authenticate-then-encrypt’ scheme. As such it is bound to be about twice slower as a single pass scheme [42]. CCM has been criticized as not being ‘on-line’ [6]: the length of the message must be known at the beginning of the processing. This is not a problem in the context of external memory encryption.

AES-GCM [30] is also a two pass scheme however the authentication pass use a dedicated operator ‘GHASH’. As it is shown in section 5 the GHASH operation is typically slower than AES-128 when implemented in software on microcontrollers. As a result, in that use case at least, GCM is less power efficient than CCM.

¹<https://csrc.nist.gov/projects/block-cipher-techniques/bcm/current-modes>

2.2 CAESAR finalists

The CAESAR competition ² produced many alternatives to the standard AEAD algorithms, unfortunately only two among them reuse the full AES: COLM and OCB.

COLM by Andreeva *et al.* [2] consists of two layers of encryption connected by a linear mixing layer. Its energy efficiency is therefore similar or worse than classic two pass AEAD such as CCM.

OCB by Rogaway *et al.* [28] is a single pass scheme (it is the third version there was [38, 37]). As such it is intrinsically more energy efficient than two pass schemes. It has been extensively reviewed by the cryptographic community and has been adopted in few internet standards. It appears as the most suitable algorithm for external memory encryption however a non technical issue hampers its use in the industry: it is patented.

2.3 Other prominent AEAD algorithms

Many other AEAD algorithms have been proposed, two stands out: SIV and CHACHA20-POLY1305. The SIV mode by Rogaway and Shrimpton [41] attracted a lot of attention due to its advantage of keeping strong confidentiality guarantees in the event of nonce reuse. It is nevertheless a two pass scheme and therefore is not suitable with respect to our energy efficiency constraint.

The schemes CHACHA20 and POLY1305 both by Bernstein [8] [7] are often combined to provide authenticated encryption. They are praised for their high efficiency in software implementations. As CHACHA20 is an ARX scheme, it is difficult to protect against side channel attacks [10]. All the efficiency advantage that CHACHA20 has over AES are likely to vanish after the addition of side channel countermeasures so we do not consider it further.

The mode of operation SAEB by Naito *et al.* [31] is a single pass scheme but has recommended parameters that makes it equivalent to a two pass scheme and since it is patented it is not used in the industry.

2.4 Comparison between the AEAD schemes

We summarize below the properties and operations count for the different schemes aforementioned which use AES. We include SPAE and CSPAE, our proposal, for comparison.

Table 1: Comparison of selected AEAD schemes

Name	Non trivial operations count	Consequence of Nonce reuse
EAX [6]	$(2m+a+4)Ek$	Xor of plaintexts revealed
CCM [18]	$(2m+a+2)Ek$	Xor of plaintexts revealed
SAEB [31]	$(2m+a+2)Ek$ (with parameters used in [31, § 6])	Equality of first blocks revealed
SIV [41]	$(2m+a+1)Ek$	Equality of message revealed
CLOC [26]	$(2m+a+1)Ek$	Equality of blocks revealed
GCM [30]	$(m+1)Ek + (m+a+1)GHASH$	<ul style="list-style-type: none"> • Forgeability • Xor of plaintexts revealed
OCB [28]	$(m+a+2)Ek + (m+a+1)Inc$	<ul style="list-style-type: none"> • Forgeability • Equality of blocks revealed
SPAЕ	$(m+a+2)Ek$	Equality of first blocks revealed
CSPAЕ	$(m+a+2)Ek$	Equality of first blocks revealed

²<https://competitions.cr.yp.to/caesar.html>, final portfolio announced February, 20, 2019.

Notations: m (length of message, in blocks), a (length of associated data, in blocks), E_k (the encryption scheme with the key k), GHASH (multiplication in \mathbb{F}_{128}), Inc (the ‘inc’ operation defined in OCB).

In the next section we will present the SPAE and CSPAE schemes and will show that they are the fastest modes in single thread setting. In case of nonce reuse, only SIV provides better confidentiality.

3 The SPAE and CSPAE modes of operations

In order to combine energy efficiency and protection against improper use or implementation errors, our new scheme will need to be one-pass and provide some level of nonce-misuse resistance (no forgeability, no key recovery, see section 4.1.6). We will describe it for a general block cipher even if we have for application AES in mind. Furthermore, only elementary binary operations should be used in order to contain the energy consumption and facilitate the countermeasures. We will start by an outline of the basic operations needed by the scheme.

3.1 Elementary Operations

We denote by \bar{x} the bitwise complement of x , \oplus is the bitwise XOR and \wedge is the bitwise AND. We denote by $a \ll b$ the left shifting of a by b bits, the least significant bits being filled with 0, we denote $a||b$ as the concatenation of a and b . We write integer variable names using this style: *intname*. We write block names using this style: **BLOCKNAME**. $HSWAP(a)$ denotes the swap of the left half with the right half within a block.

3.2 Inputs and outputs of the scheme

We denote by bs the bit size of blocks used in this scheme, it is ≥ 128 . ml and adl represent the message (resp. associated data) length in bits. P is the plaintext, it is composed of $m = \frac{(ml+bs-1)}{bs}$ blocks P_i of size bs . A is the associated data used to authenticate the ciphered text, it is composed of $a = \frac{(adl+bs-1)}{bs}$ blocks A_i of size bs . C is the ciphertext, it is composed of m blocks C_i of size bs . **TAG** is the authentication tag generated for the message, it is of bit size $ts \leq bs$. **TAG_{null}** is the authentication tag generated for an empty message with associated data. **NONCE** is a value used only once for initiating SPAE encryption of size bs . K is the secret key used of size bs . E_K is the cryptographic primitive used in the scheme it should be able to take as input a key K , a block of size bs and outputs a block of size bs .

3.3 Internal data of the scheme

We use a total of 9 internal variables of size bs . Three variables PT_i , CT_i and MT for the encryption part of the scheme. The first two are initialised before the encryption of the message and updated during this one and MT is produced at the end of the encryption of the message. We use the variables AT_i in order to update the processing of associated data. IT and $PADINFO$ are used for the production of the **TAG**, KN is a secret key derived from K used all along the encryption part and the production of the **TAG** and $\mathbf{1}_{bs}$ is a constant with all bits set to 1. As a result $x \oplus \mathbf{1}_{bs} = \bar{x}$

3.4 Diagrammatic description of SPAE and CSPAE

The two schemes only differ by the computation of KN , PT_0 and CT_0 . The below diagrams don’t show those computations and apply equally to both schemes. The figure 1 illustrates

the encryption of a message with three blocks of plaintext and three blocks of associated data. The decryption of such cyphertext is shown in figure 2. In figure 3 is shown the authentication of two blocks of associated data.

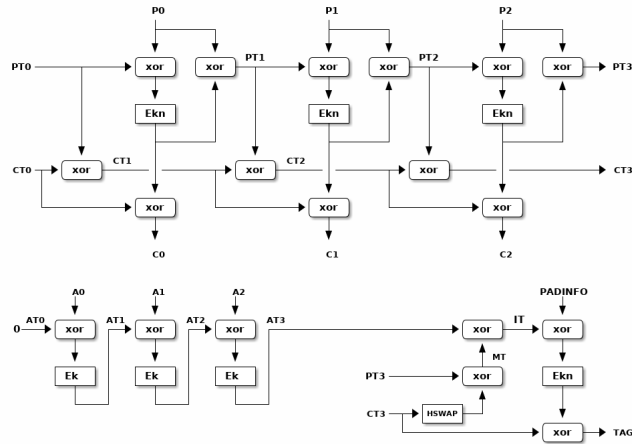


Figure 1: Encryption with SPAE with $a = 3$ and $m = 3$

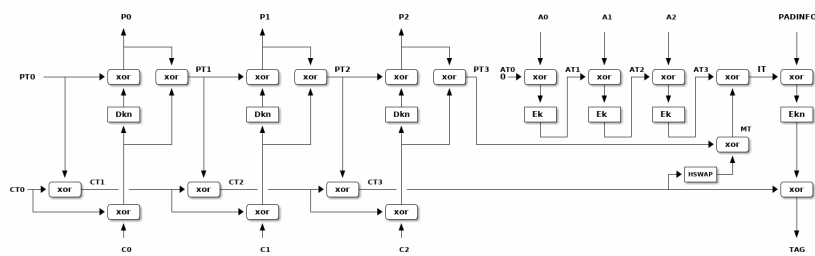


Figure 2: Decryption with SPAE with $a = 3$ and $m = 3$

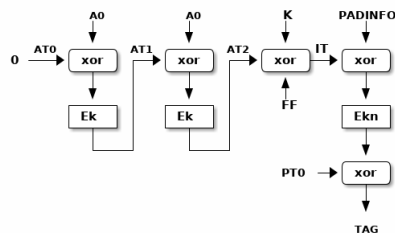


Figure 3: Authentication of two blocks of associated data with SPAE

3.5 Algorithmic description of SPAE and CSPAE

Both algorithms require an initialization state which is different. CSPAE avoids to call E with different keys and could be considered as a tweakable block cipher as defined in

[29] whereas SPAE does not fit this definition. This is done at the expense of turning the static call to E into a dynamic one, i.e. a call which depends on NONCE . Further details on the choices made for the initial values are in section 7.4. Only the ‘Init’ algorithm differs between SPAE (algorithm 1) and CSPAE (algorithm 2).

Algorithm 1 $\text{Init}_{\text{SPAE}}$ (SPAE version)

Require: K the secret key, NONCE a nonce

Ensure: PT_0 and CT_0 and KN

- 1: $\text{CT}_0 \leftarrow E_K(K)$
 - 2: $\text{PT}_0 \leftarrow K \oplus \text{CT}_0$
 - 3: $\text{KN} \leftarrow K \oplus \text{NONCE}$
 - 4: **return** $(\text{PT}_0, \text{CT}_0, \text{KN})$
-

Algorithm 2 $\text{Init}_{\text{CSPAE}}$ (CSPAE version)

Require: K the secret key, NONCE a nonce

Ensure: PT_0 and CT_0 and KN

- 1: $\text{CT}_0 \leftarrow E_K(\text{NONCE} \oplus K)$
 - 2: $\text{PT}_0 \leftarrow \text{NONCE} \oplus K \oplus \text{CT}_0$
 - 3: $\text{KN} \leftarrow K$
 - 4: **return** $(\text{PT}_0, \text{CT}_0, \text{KN})$
-

Now we present algorithms used to process input data and produce output.

Algorithm 3 ComputeTag

Require: $a \geq 0$, $A_0 \dots A_{a-1}$ associated data blocks of size bs , K the secret key, PT_m , CT_m and KN

Ensure: TAG

- 1: $\text{AT} \leftarrow 0$
 - 2: **for** $i \in 0, \dots, a-1$ **do**
 - 3: $\text{AT} \leftarrow E_K(\text{AT} \oplus A_i)$
 - 4: **end for**
 - 5: **if** $m = 0$ **then**
 - 6: $\text{MT} \leftarrow \mathbf{1}_{bs} \oplus K$
 - 7: **else**
 - 8: $\text{MT} \leftarrow \text{HSWAP}(\text{CT}_m) \oplus \text{PT}_m$
 - 9: **end if**
 - 10: $\text{PADINFO} \leftarrow \text{PadInfo}(ml, adl)$
 - 11: $\text{IT} \leftarrow \text{AT} \oplus \text{MT}$
 - 12: $\text{TAG} \leftarrow E_{\text{KN}}(\text{IT} \oplus \text{PADINFO})$
 - 13: **if** $m = 0$ **then**
 - 14: $\text{TAG} \leftarrow \text{TAG} \oplus \text{PT}_m$
 - 15: **else**
 - 16: $\text{TAG} \leftarrow \text{TAG} \oplus \text{CT}_m$
 - 17: **end if**
 - 18: **return** TAG
-

The function $\text{PadInfo}(ml, adl)$ is computed as below (in the applied case of $bs = 128$):

$$\begin{aligned}
(ml) &\mapsto mp = ml \wedge ((1 \ll 64) - 1) \\
(adl) &\mapsto adp = adl \wedge ((1 \ll 64) - 1) \\
(mp) &\mapsto mp_{32} = mp \wedge ((1 \ll 32) - 1) \\
(adp) &\mapsto adp_{32} = adp \wedge ((1 \ll 32) - 1) \\
(mp, adp, adp_{32}) &\mapsto \text{TMP} = ((adp \gg 32) \oplus (adp_{32} \ll 32)) \oplus mp \\
(mp_{32}, adp_{32}, \text{TMP}) &\mapsto \text{PADINFO} = (\text{TMP} \ll 64) \oplus (adp_{32} \ll 32) \oplus mp_{32}
\end{aligned}$$

We now give a description of the two main algorithms 4 and 5 for encryption and decryption. The subscript s denotes the choice between the schemes SPAЕ and CSPAE.

Algorithm 4 $\text{EncryptAndAuthenticate}_s$

Require: P a stream of message blocks, K a secret key, NONCE a nonce, and A a stream of associated data blocks

Ensure: (C, TAG) a stream of ciphered blocks followed by TAG ;

$(\text{PT}, \text{CT}, \text{KN}) \leftarrow \text{Init}_s(\text{NONCE}, K)$

for $i \in 0, \dots, m-1$ **do**

$\text{TMP} \leftarrow E_{\text{KN}}(\text{PT} \oplus P_i)$

$C_i \leftarrow \text{TMP} \oplus \text{CT}$

$\text{CT} \leftarrow \text{CT} \oplus \text{PT}$

$\text{PT} \leftarrow P_i \oplus \text{TMP}$

end for

$\text{TAG} \leftarrow \text{ComputeTag}(A, K, \text{PT}, \text{CT}, \text{KN})$

return $(C_0 || \dots || C_{m-1}, \text{TAG})$

Algorithm 5 $\text{DecryptAndAuthenticate}_s$

Require: C a stream of ciphered blocks, TAG , and the values used to produce them: the key K , the nonce NONCE and the associated data A ;

Ensure: The message P or *Failure*

$(\text{PT}, \text{CT}, \text{KN}) \leftarrow \text{Init}_s(\text{NONCE}, K)$

for $i \in 0, \dots, m-1$ **do**

$\text{TMP} \leftarrow \text{CT} \oplus C_i$

$\text{CT} \leftarrow \text{CT} \oplus \text{PT}$

$P_i \leftarrow \text{PT} \oplus D_{\text{KN}}(\text{TMP})$

$\text{PT} \leftarrow P_i \oplus \text{TMP}$

end for

$\text{TAGTEST} \leftarrow \text{ComputeTag}(A, K, \text{PT}, \text{CT}, \text{KN})$

if $\text{TAGTEST} = \text{TAG}$ **then**

return $(P_0 || \dots || P_{m-1})$

end if

return *Failure*

Like in any AEAD algorithm, the decryption shall check equality of TAGTEST and TAG before returning any P_i . If the test fails, it shall return nothing besides *Failure*.

3.6 Padding

Padding is supported for both the message and the associated data. During encryption, the last block is padded by appending ‘0’ bits until bs is reached. The original length

informations are encoded in **PADINFO** which contributes to the computation of **TAG**. This **TAG** would be the only difference between the processing of one message padded by the algorithm and one by the user. Note that although the length informations are processed by the algorithm, they are not recoverable from the ciphertext. This means that the decryption algorithm expects to be called with correct length informations and that it is up to the user to ensure a method of doing so.

3.7 Design Rationale

The purpose of the *HSWAP* operation and the redundant encoding of **PADINFO** is to prevent DFA attack on the D_{kn} operations during decryption (see 4.2).

The TAG_{null} equation is required in order to avoid the 0 value for the **TAG**. Indeed the *HSWAP* operation is transparent to all symmetric values, in those cases and if $a = 0$ and $m = 0$, the **TAG** equation for the general case would then lead to 0. It is therefore needed to have a special equation for TAG_{null} :

$$\begin{aligned} \text{TAG}_{null} &= \text{PT}_0 \oplus E_{\text{KN}}(\text{AT}_0 \oplus \text{K} \oplus \text{FF} \oplus \text{PADINFO}) \\ &= \text{K} \oplus E_{\text{K}}(\text{K}) \oplus E_{\text{K} \oplus \text{NONCE}}(\text{K} \oplus \text{FF}) && (\text{SPAЕ}) \\ &= \text{NONCE} \oplus \text{K} \oplus E_{\text{K}}(\text{K} \oplus \text{NONCE}) \oplus E_{\text{K} \oplus \text{NONCE}}(\text{K} \oplus \text{FF}) && (\text{CSPAЕ}) \end{aligned}$$

Moreover the value of the TAG_{null} being computed with a secret value used only here ($\bar{k} = \text{K} \oplus \mathbf{1}_{\text{bs}}$), permits to not give to the attacker new relations between the TAG_{null} and other variables of the scheme in other mode.

The recommended value for ts is bs . Implementations are free to reduce ts by selecting any part of the **TAG**, all selections of a given number of bits will result in the same security level.

As in all the usages for AEAD, there is no method of early abort implemented in SPAE. This choice protects SPAE against side channel attacks like the one of [1].

4 Security analysis of SPAE

First we recall some classical definitions. We denote by \mathcal{K} a finite space called the key space, \mathcal{N} a finite space called the nonce space, $\mathcal{M} \subset \{0, 1\}^*$ the message space, $\mathcal{C} \subset \{0, 1\}^*$ the ciphertext space and, $\mathcal{A} \subset \{0, 1\}^*$ the associated data space. A *block cipher* is a deterministic algorithm $E : \mathcal{K} \times \{0, 1\}^{bs} \rightarrow \{0, 1\}^{bs}$ with $bs \geq 1$. We denote $E_{\text{K}}(\cdot) = E(\text{K}, \cdot)$ and we require that it is a permutation for all $\text{K} \in \mathcal{K}$. Let $D = E^{-1}$ be the map from $\mathcal{K} \times \{0, 1\}^{bs}$ to $\{0, 1\}^{bs}$ defined by $D_{\text{K}}(Y) = D(\text{K}, Y) = X$ with X being the unique point such that $E_{\text{K}}(X) = Y$.

The encryption algorithm \mathcal{E} takes a tuple $(\text{K}, N, A, M) \in \mathcal{K} \times \mathcal{N} \times \mathcal{A} \times \mathcal{M}$ and returns deterministically, either a ciphertext $C = \mathcal{E}_{\text{K}}^{N,A}(M) \in \mathcal{C} \subset \{0, 1\}^*$ or the distinguished value *Failure*. We denote by a the number of blocks \mathbf{A}_i of associated data and by m the number of blocks \mathbf{P}_i of message.

The decryption algorithm \mathcal{D} takes a tuple $(\text{K}, N, A, C) \in \mathcal{K} \times \mathcal{N} \times \mathcal{A} \times \mathcal{C}$ and returns deterministically, either *Failure* or a string $M = \mathcal{D}_{\text{K}}^{N,A}(C) \in \mathcal{M} \subset \{0, 1\}^*$. We require that $\mathcal{D}_{\text{K}}^{N,A}(C) = M$ for any string $C = \mathcal{E}_{\text{K}}^{N,A}(M)$ and that \mathcal{E} and \mathcal{D} return *Failure* if they are provided an input outside of $\mathcal{K} \times \mathcal{N} \times \mathcal{A} \times \mathcal{M}$ or $\mathcal{K} \times \mathcal{N} \times \mathcal{A} \times \mathcal{C}$. We require that $|\mathcal{E}_{\text{K}}^{N,A}(M)| = |\mathcal{E}_{\text{K}}^{N,A}(M')|$ when $|M| = |M'|$, when the value of $|\mathcal{E}_{\text{K}}^{N,A}(M)| = |M| + \tau$ we call τ the tag length of the scheme.

We denote $\Pi = (\mathcal{K}, \mathcal{E}, \mathcal{D})$ an AE scheme. Given an adversary \mathcal{A} , we denote, following [29, 2.2], [3, Definition 1,2] and [4], the resilience advantage $\text{Adv}_{\Pi}^{\text{priv}}(\mathcal{A}) = \Pr[K \xleftarrow{\$} \mathcal{K} : \mathcal{A}^{\mathcal{E}_{\text{K}}(\cdot, \cdot)} \Rightarrow 1] - \Pr[\mathcal{A}^{\$(\cdot, \cdot)} \Rightarrow 1]$ where queries of $\$(N, A, M)$ return a uniformly random

string of length $|\mathcal{E}_K^{N,A}(M)|$. \mathcal{A} is allowed to asks queries with the same NONCE (i.e. the same first component) but the attacker should respect the NONCE uniqueness only when the attacker tries to distinguish \mathcal{E}_K from $\$$. The attacker is also not allowed to ask a query outside of $\mathcal{N} \times \mathcal{A} \times \mathcal{M}$. Repeating a query isn't allowed too. Authenticity resilience, is denoted by $\text{Adv}_{\Pi}^{\text{auth}} = \Pr[K \leftarrow \mathcal{K} : \mathcal{A}^{\mathcal{E}_K(\cdot, \cdot, \cdot)} \text{ forges}]$ where *forges* means that the adversary outputs a value $(N, A, C) \in \mathcal{N} \times \mathcal{A} \times \mathcal{C}$ such that $\mathcal{D}_K^{N,A}(C) \neq \text{Failure}$ and there was no prior request (N, A, M) such that it returned C and N has not been used twice with $\mathcal{E}_K(\cdot, \cdot, \cdot)$.

The nonce resilience is a notion to ensure that encryption/decryption done with different nonces are independant sucht that the information obtained with nonce reused for a certain NONCE is not useful for another NONCE.

Remark 3. We will make the assumption all along this document that the NONCE used are known and chosed by the attacker, the reuse of NONCE are limited as stated above.

We denote by σ_e the total number of request to E_{KN} in the scheme to process message blocks, σ_a the total number of request to E_K in the scheme to process associated data blokcs. We have for SPAЕ/CSPAЕ $\sigma_a + \sigma_e + 2$ requests for the encryption functions E_{KN}, E_K for the requests (N^i, A^i, M^i) . The two extra calculations are the computation for the TAG and CT_0 , this last one is always the same for SPAЕ and could be computed only once.

First we do a structural analysis of SPAЕ (4.1) to show that the schemes reveals no information about the blockcipher and we evaluate its authenticity(theorem 1). Then we give a Differential Fault Analysis (4.2) and we conclude with an analysis of the privacy (4.4).

4.1 Structural analysis of SPAЕ

A cryptanalysis of the scheme would start by trying to get the key K which is direct from KN . Therefore having the more information available about the use of E_{KN} is a prerequisite to cryptanalyse this scheme who could present some flaw since it uses only XOR operations outside of the usage of a blockcipher E .

We will therefore show what information we could get from the structure of the scheme and the relation between the variables used.

4.1.1 Analysis of the internal variables (Passive attacks)

Since the internal variables PT_i, CT_i are used to mask the inputs and outputs of E_{KN} , it is relevant to see how they are related, how we can get their values and what information we could get from them.

This analysis is made in a context of some passive attack where the attacker just put in input plaintexts (P_i) and associated datas (A_i) and observe the outputs C_i, TAG .

Proposition 1. *Under the assumption that the attacker has access to all the P_i, C_i ;*

- *the knowledge of PT_j and CT_{j-1} are equivalent,*
- *the knowledge of PT_j and CT_{j-1} does not bring more knowledge about the internal variables of the scheme.*

Proof. The first claim is a consequence of the following equalities $\text{CT}_{j-1} = C_{j-1} \oplus E_{KN}(P_{j-1} \oplus \text{PT}_{j-1}) = C_{j-1} \oplus \text{PT}_j \oplus P_{j-1}$.

The proof is straightforward and consists to explore the relations we have between the internal variables and show that we got to know at some points internal variables such as $\text{CT}_{j-3}, \text{CT}_{j+1}, \text{PT}_{j+2}, \text{PT}_{j-2}$ which we could not have a hint on their values from the assumptions made. \square

Remark 4. If we know PT_j, CT_{j+1} then we can compute $CT_j = PT_j \oplus CT_{j+1}$.

Therefore we study here the knowledge of a pair of values PT_i, CT_i .

Proposition 2. *Under the assumption that the attacker knows all the P_i, C_i for $i \in [0, m]$, if the attacker knows PT_r, CT_r for some $r \in [0, m]$ then the attacker can deduce all possible value PT_j, CT_j . Therefore the attacker is able to compute $CT_1 = KN \oplus NONCE$.*

Proof. From $C_r = CT_r \oplus E_{KN}(P_r \oplus PT_r)$ we get the value $E_{KN}(P_r \oplus PT_r)$ from it we deduce $PT_{r+1} = E_{KN}(P_r \oplus PT_r) \oplus P_r$, we get $CT_{r+1} = PT_r \oplus CT_r$. At this point we get the pair of values PT_{r+1}, CT_{r+1} . Now we look for the preceding values, we got $E_{KN}(P_{r-1} \oplus PT_{r-1}) = PT_r \oplus P_{r-1}$, we then deduce $CT_{r-1} = C_{r-1} \oplus E_{KN}(P_{r-1} \oplus PT_{r-1})$, we now got the preceding couple $PT_{r-1} = CT_{r-1} \oplus CT_r, CT_{r-1}$. We apply those knowledge recursively to get all the pairs PT_ℓ, CT_ℓ . \square

4.1.2 Analysis of collection of tuples $(X, E_K(X))$

Since any attack against the key K would start with collecting images of the application of E_{KN} on some constants known and sometimes chosen, we first start with some remarks studying the security of the cryptosystem against some attacks on E_{KN} . Moreover the recent attacks on OCB2[37] by Inoue *et al.* [24, 35, 25, 23] show that it is important to hide tuple $X, E_{KN}(X)$.

Corollary 1. *The knowledge of a pair $X, E_{KN}(X)$ from a direct observation of $P_i, C_i, TAG, TAG_{null}$ is protected by the knowledge of pairs PT_i, CT_i .*

Proof. There are two usages of E_{KN} along the schemes, the ones in the encryption part and the ones in the creation of the TAG. In the encryption part we got $C_i = CT_i \oplus E_{KN}(P_i \oplus PT_i)$, thus the knowledge of a pair $(X, E_{KN}(X))$ is protected by the knowledge of PT_i, CT_i .

For the authentication part, we have $TAG = CT_m \oplus E_{KN}(PADINFO \oplus AT_a \oplus PT_m \oplus HSWAP(CT_m))$, thus to know a pair of values $(X, E_{KN}(X))$ the attacker has to know the couple of PT_m, CT_m making the assumption that $AT_a = AT_0 = 0$, otherwise the attacker would have to know $E_K(X)$ for some block X .

Finally we have $TAG_{null} = PT_0 \oplus E_{KN}(AT_a \oplus \bar{K} \oplus PADINFO)$ here again the attacker has to know values which imply to know PT_0, CT_0 among other values to be able to extract a pair of values $(X, E_{KN}(X))$. \square

Corollary 2. *Under the assumptions that the attacker knows all the P_i, C_i for $i \in [0, m]$, respect the NONCE uniqueness and format of the inputs. Then the attacker could not predict a computation of $E_{KN}(X)$ on a block X before a direct output of E_{KN} in the scheme except if the attacker knows one of the pair PT_i, CT_i .*

Proof. Since the change of NONCE affects the key KN in SPAE and the internal variables used in the application of E_{KN} of SPAE and CSPAE we work with a single NONCE usage. If the attacker is able to make an application of E_{KN} on same unknown blocks this means that in the encryption part he is able to compute P_i, P_j for $i, j \in [0, m]$ such that $P_i \oplus PT_i = P_j \oplus PT_j$ if we develop this equality, supposing without loss of generality that $j > i$, then we got some equality:

$$PT_i \oplus P_i \oplus P_j = P_{j-1} \oplus E_{KN}(P_{j-1} \oplus E_{KN}(\dots P_i \oplus E_{KN}(PT_i \oplus P_i))).$$

Solving this equality means that the attacker is able to compute successive preimages of PT_i xored with some data. Therefore such an attack is not possible even with knowing PT_i since the attacker has not been given knowledge on E_{KN} (corollary 1).

For the usage of E_{KN} in producing the TAG there is in addition to the secrecy provided by the unique use of KN the secrecy coming from the internal variables PT, CT (depending

of the value of m) moreover there are operations such as *HSWAP* which are exclusive to TAG computation.

For known block X since for the inputs of the applications of E_{KN} before a direct output there is always an internal variable (dependant of the NONCE) used it is not possible to determine the inputs on which E_{KN} is applied.

From proposition 2, knowing a pair PT_i, CT_i imply to know every pair of values PT_j, CT_j and KN. \square

Remark 5. If an attacker knows all the P_i, C_i for $i \in [0, m]$ and a pair $E_{KN}(PT_j \oplus P_j), PT_j \oplus P_j$ then the attacker is able to deduce the value of KN from PT_j, CT_j using proposition 2.

4.1.3 Attack with reuse of outputs

Now we look at some attacks that would replay some output of the scheme (i.e. some C_i) in the inputs (i.e. some P_i) such attack should be taken into account since it could imply some serious security break.

Proposition 3. *Under the assumption that the attacker knows all the $P_i, C_i, TAG, TAG_{null}$ the attacker is not able to adapt the values of the P_j, P_{j+1} to get one of the PT_i or CT_i .*

Proof. Since it is equivalent to know every couple of PT_i, CT_i by proposition 2, we study the ability of an attacker to adapt the values of P_0, P_1 to get some valuable knowledge on the scheme using also the knowledge of TAG. The proof is a straightforward analysis of the different variables: TAG, TAG_{null}, CT₀, CT₁ and their eventual links with the blocs P_i , with the aim to get in the end a couple of internal variables PT_i, CT_i . \square

4.1.4 Authenticity

In this section we will present bounds for the advantage an adversary has against the scheme. To simplify this analysis we make the assumptions that PADINFO = 0. We first look at all the values used to compute the TAG.

Proposition 4. *By the design of the scheme AT_a takes all the possible value.*

Proof. Since E_K is supposed to be a permutation then $E_K(AT_{a-1} \oplus A_a) = AT_a$ should take all the possible values. \square

Therefore we will make the assumption that there is no additional data since it does not bring restriction to the values taken by the TAG. We study now the values taken by CT_m, PT_m .

Lemma 1. *The values taken by CT_{m+1} and PT_{m+1} are in a set of size lower bounded by the codomain of the function $x \mapsto x \oplus E_{KN}(x)$.*

Proof. Since the PT_i are made recursively by the formula $PT_i = P_{i-1} \oplus E_{KN}(PT_{i-1} \oplus P_{i-1})$ we have the values taken by PT_i which are in a set of size of this codomain. The following values, taking PT_{i-1} as a constant value, are thus in a set of at least of size of the codomain. Since $CT_{m+1} = PT_m \oplus CT_m$ we get the result. \square

We now analyze the possible values for the TAG = $E_{KN}(PT_m \oplus HSWAP(CT_m) \oplus PADINFO) \oplus CT_m$.

Corollary 3. *Under the assumption that there is no additional data the values taken by the TAG are lower bounded by the codomain of the function $x \mapsto x \oplus E_{KN}(x)$.*

We remind that we work with the notion of authenticity resilience following [3, Definition 2] and [4].

Theorem 1. *If the adversary asks q queries then $\text{Adv}_{\Pi}^{\text{auth}} \leq \frac{1}{\Gamma-q}$ with Γ the size of the codomain of the function $x \mapsto x \oplus E_{\text{KN}}(x)$ for TAG and $\text{Adv}_{\Pi}^{\text{auth}} \leq \frac{1}{2^{ts}-q}$ for TAG_{null}.*

Proof. We analyze the ability of the adversary to forge a TAG that will pass the verification. We remind that $\text{TAG} = E_{\text{KN}}(\text{IT} \oplus \text{PADINFO}) \oplus \text{CT}_m$.

Thus even if the attacker knows IT, the attacker needs to know the image of E_{KN} applied to IT which is not possible in this setting by the corollary 1. The analysis of the knowledge of IT has been made in the proof of corollary 1 and is not possible in this context. We conclude with the corollary 3.

For TAG_{null} = $\text{PT}_0 \oplus E_{\text{KN}}(\text{AT}_a \oplus \bar{K} \oplus \text{PADINFO})$ since the attacker has not been given any pair of $(X, E_{\text{KN}}(X))$ by corollary 1 then the attacker is not able to compute such a value on a new null message even if the attacker would know PT_0 and $\text{AT}_a \oplus \bar{K}$.

Thus the attacker has a chance of success of $\frac{1}{\Gamma-q}$ for forging a TAG and $\frac{1}{2^{ts}-q}$ for forging a TAG_{null}. \square

A good resilience of the scheme against TAG forgery is important to avoid attack in decryption mode. Indeed a decryption of $(\text{NONCE}, C, A, \text{TAG})$ will give substantial informations only if the computed TAG with the data in input C, A will match the TAG in input, otherwise the algorithm will output the distinguished value **Failure**.

The only interest to do an attack on the decryption part of the scheme would be to make some NONCE replay and get some new pair (P_i, C_i) in addition to the ones obtained in encryption mode.

Such an attack need to get at the end a valid TAG. Thus at some point it is needed that some intermediate PT_i get the same value that the one used to get the original TAG. Thus such an attack reduce to the ones in proof of corollary 2 in term of complexity for the attacker.

An attack like the one in [43] is not possible in this context since any value in the message is always xored with internal values before and after the application of the block encryption function.

4.1.5 Resilience against an attack like the one of Inoue *et al.* [24, 35, 25, 23]

In this subsection we highlight the properties that permit to say that this scheme should be resilient against an attack like the one of [24, 35, 25, 23].

A good interaction between XEX and XE As stated in [23] "the vulnerabilities of OCB2 stem from a bad interaction of the XE and XEX components" here in our scheme we always use a XEX (that is to say a Xor Encrypt Xor) on the messages blocks and on the TAG.

Difficulties to increase knowledge In the attack of [24, 35, 25, 23] there was the possibility to increase the knowledge of pairs $X, E_K(X)$ through repeated use of the schemes. Here there are two cases to analyse the values PT, CT used to mask the encryption function.

- In SPAE they are derived from the function $x \mapsto E_x(x)$ therefore there is only the pair $K, E_K(K)$ of interest in the scheme and moreover E_K is only used (except PT_0, CT_0 obviously) for the associated data for which their applications are internal data (AT).
- In CSPAE the use of E_K is done through all the scheme however to know a pair of PT, CT associated to a particular NONCE they need to know the key K to use the couple $(X \oplus K, E_K(X))$.

TAG forgery In [24, 35, 25, 23] they manage to forge a TAG since the operations used for the TAG were done earlier in the scheme, here *HSWAP* and $\oplus \bar{K}$ are used just for the production of the TAG therefore to produce a valid TAG the attacker should be able to predict the application of those functions on unknown internal variables. Moreover (in a NONCE respecting setting) the attacker should not be able to predict a replay of an input of E_k (corollary 2).

4.1.6 NONCE misuse

Our scheme is not MRAE secure as in [41, Definition 5]. It fails this definition because of online encryption as stated in [22]. In effect our scheme ciphering $(N, P_0 || \dots || P_i || P_{i+1} || \dots || P_m, A)$ and ciphering $(N, P_0 || \dots || P_i || P'_{i+1} || \dots || P'_m, A)$ will output for those inputs the same first $C_0 || \dots || C_i$ but a different TAG.

Proposition 5. *The NONCE replay does not permit the attacker to know the input/output of some E_{KN} in the scheme.*

Proof. There are two cases to analyse, the message processing and the production of the TAG. For the message processing by design of the scheme we are not able to get the input/output of E_{KN} since for the input there is the adding of internal variable PT_i and for the output there is the adding of the internal variable CT_i , the NONCE replays only permits to repeat those values. The reasoning is similar for the TAG. \square

We remind that the NONCE resilience (following [3, 4]) is the ability of the schemes SPAЕ and CSPAE to do not reveal informations on the scheme with NONCE reuse. Therefore a consequence of proposition 5 is the NONCE resilience of the schemes since the NONCE reuse will not bring information on the key K which is the only relevant information for an analysis on encryption with other NONCE.

Remark 6. The adversary can do some differential attack on E_K using NONCE replay with the differentiated input P_{i_0} observing the resulting differential output $C_{i_0} = CT_{i_0} \oplus E_{KN}(PT_{i_0} \oplus P_{i_0})$.

Those differential attacks have some limitations due to the fact that the scheme is a propagating scheme. We could use this differential attack only on one encryption of block, the following operations of the scheme would be very difficult to analyze since they would imply at least the composition of several applications of E_{KN} on the differentiated inputs.

The same can be done for the TAG by doing input differences on the PADINFO.

By design of the scheme the NONCE replay/misuse does not affect the processing of associated data.

Remark 7. The computation of $KN = K \oplus \text{NONCE}$ in the design of the SPAЕ scheme forces the user to choose an encryption function E resilient against related key attacks like the ones of [13, 12]. Therefore, as stated in the work of Biryukov and Nikolic [14] : "this also means that AES-128 is secure against straightforward related-key attacks after 6 rounds", AES-128 is a good choice for block cipher in SPAЕ.

4.2 Differential Fault Analysis

A differential fault analysis ([11]) consists in the production of a pair of output produced from the same inputs and key of E (or D) with one of the output produced with a faulty execution the other one in a normal execution. DFA attacks are relevant to smart card and other highly secure chips. In this context it is assumed that the implementation has the means to enforce nonce uniqueness for encryption.

Remark 8. This section discuss only DFA, other kind of fault attacks have been published but are not avoided by SPAЕ and CPAЕ [16] [17].

4.2.1 Associated data processing

Associated data blocks are processed using E_K . Both in encryption and decryption, those computation can be done without DFA countermeasures for the simple reason that their outputs, the AT_i values, are kept as internal variables.

4.2.2 Initialisation

The internal variables PT_0 and CT_0 can be attacked in SPAE, since there is no usage of the **NONCE** for their computation. The aim of such an attack is to observe those faulty computations directly, but in our scheme those computations are either xored with other data such as $E_{KN}(P_0 \oplus PT_0)$ (or $D_{KN}(P_0 \oplus PT_0)$ in decryption) or processed through E_{KN} (or D_{KN} in decryption). Therefore it is not necessary (relatively to DFA) to protect the E_K operation producing PT_0 and CT_0 .

In CSPAE the internal variables PT_0 and CT_0 cannot be attacked since they use different **NONCE** for their computation.

4.2.3 SPAE Encryption

Each AEAD encryption use a different **NONCE** and therefore a different **KN**. As all outputs are produced using E_{KN} , DFA is not possible using outputs belonging to two different calls to the encryption.

The final E_{KN} used to compute **TAG** is a special case: a kind of advanced DFA is possible on it. For example a fault injected on the last round of AES will typically impact only a single byte. The attacker can guess the error pattern by submitting variations of the faulty **TAG** to the decryption. A successful guess will result in a successful decryption while all other guesses will result in **Failure**. We nevertheless consider it a likely attack scenario since the guess can be limited to 8 bits. To avoid such attack, an implementation need to protect the final E_{KN} step used to compute **TAG**.

To conclude in SPAE encryption only the call to E used for the production of the **TAG** need DFA countermeasure.

4.2.4 CSPAE Encryption

In CSPAE, $KN = K$ so the reasoning done for SPAE does not hold. As all inputs to E_{KN} are secret values depending on **NONCE**, the attacker cannot get a faulty output and a fault free output of E_{KN} with the same input. Within the same AEAD encryption, the attacker cannot attempt a DFA because the attacker is not able to get two E_{KN} calls with the same input as discussed in corollary 2.

To conclude in CSPAE encryption only the call to E used for the production of the **TAG** need DFA countermeasure.

4.2.5 SPAE and CSPAE Decryption

In decryption the nonce is in the control of the attacker, as a result the reasoning used for the encryption does not hold. The decryption protects E_K , E_{KN} and D_{KN} computations nevertheless by leveraging the tag verification.

The approach is to compute **TAG** from all the outputs of E_K , E_{KN} and D_{KN} computations. Thanks to this approach, a fault injected in E_K , E_{KN} or D_{KN} computations always propagates to **TAG** and therefore the decryption outputs only **Failure** rather than faulty plain-text.

This approach has some limitations as seen in 4.2.3, 4.2.4 an implementation needs to protect the final E_{KN} step used to compute **TAG**.

Now we focus on more sophisticated DFA attacks where the attacker wants to cancel out in the scheme the errors induced before the production of the **TAG** while getting faulty plaintexts. A fault on the first D_{KN} propagates to the tag via the PT_i and P_i , and, depending on the number of blocks, via CT_m . Propagation via CT_m depends on the number of blocks because the error cancels out every two blocks. For example the error applied on the first computation of D_{KN} would be in CT_2 but would disappear in CT_3 since it is also present in PT_2 . At this point it will still propagate further via PT_2 to P_2 and then PT_3 .

This error cancellation phenomenon is the reason for the introduction of the *HSWAP* operation. Thanks to the *HSWAP* operation, any non symmetrical error present in both PT_m and CT_m does not cancel out and propagates to IT . Even if there is a cancellation of error, there is the xor of CT_m just before the output of the TAG , in this case the attacker could try to guess the fault by brute force on the faulty value of TAG . The propagation of DFA errors to IT is crucial: it ensures that the resulting faulty TAG cannot be easily guessed. Since IT goes through a call to E_{KN} , even if a single bit is corrupted the resulting TAG will be impractical to guess.

Faults injected on the penultimate D_{KN} operation propagates only via PT_m . On its way to TAG , the error pattern is xored with $PADINFO$. An attacker could attempt to manipulate $PADINFO$ in such a way that it would cancel out the error pattern. We mitigate this by formatting $PADINFO$ in a redundant way that it is unable to cancel typical fault patterns on the late AES rounds. Appendix 7.3 gives more details.

To conclude, in SPAЕ and CSPAE decryption, at most one call to E need DFA countermeasures, all other computations of E_K , E_{KN} and D_{KN} do not.

4.3 Side channel analysis

SPAЕ and CSPAE do not provide side channel attacks resistance however they are easy to protect when the AES implementation is already protected, which is the typical situation on secure element or secure subsystems. By ‘easy to protect’ we mean that boolean masking can be used without significantly impacting performances.

4.4 Analysis of the privacy

Now that we have studied different possibilities to attack the scheme we study the resilience privacy of the cryptosystem (following [3, Definition 1] and [4]).

First we state a result concerning the number of queries of E we have to take into account for this analysis of the privacy.

Remark 9. The relevant number of queries of E_K/E_{KN} in *SPAЕ* and *CSPAЕ* for the processing of associated data blocks for an analysis of the privacy is dependant of the equality between E_K and E_{KN} . Therefore, in this analysis we will work with them as different functions when $K \neq KN$.

Lemma 2. *The relevant number of queries of E in SPAЕ for the processing of message blocks for an analysis of the privacy is the number of queries with the same NONCE since the functions E_{KN}, D_{KN} have their key changed with the NONCE.*

As mentioned as a consequence of proposition 5 the ability for the attacker to do some NONCE replay except for the one attacked won’t give advantages to the attacker however we will mention it’s usage in the theorem with the mention "NONCE resilience".

Theorem 2. *For an adversary, respecting the NONCE resilience, who asks 1 (respectively q) query (N^0, A^0, M^0) (respectively (N^i, A^i, M^i)) that entails σ_e (respectively σ_e^c) blockcipher calls of E_{KN} on message blocks, σ_a blockcipher calls of E_K with non null associated data, then $\text{Adv}_{\text{SPAЕ}}^{\text{priv}} \leq \max\left(\frac{1.5(\sigma_e+1)(\sigma_e)}{2^{bs}}, \frac{1.5(\sigma_a)(\sigma_a-1)}{2^{bs}}\right)$ (respectively $\text{Adv}_{\text{CSPAЕ}}^{\text{priv}} \leq \frac{1.5(\sigma_e^c+\sigma_a+q)(\sigma_e^c+\sigma_a+q-1)}{2^{bs}}$).*

Proof. This proof involves a game playing argument followed by a case-analysis of some collision probabilities as in the proof of [28, lemma 3].

- Game 1: the adversary \mathcal{A} asks the encryption of q queries (N^i, A^i, M^i) , the response to each uses of E_{KN} is stored;

- Game 2: we return a response to each internal query to E_{KN} by a randomly and uniformly chosen element from \mathbb{F}_2^n with $n = bs$;
- Game 3: we simulate a perfect 2^{bs} cipher.

For SPAE there are some separations since E_{KN} and E_{K} are different functions. The advantage of \mathcal{A} in distinguishing game 2 and 3 is at most $\max(0.5(\sigma_e + 1)(\sigma_e)/2^{bs}, 0.5(\sigma_a)(\sigma_a - 1)/2^{bs})$ for SPAE and $0.5(\sigma_e^c + \sigma_a^c + q)(\sigma_e^c + \sigma_a^c + q - 1)/2^{bs}$ for CSPAE. We have thus to focus on the distinction between game 1 and game 2 because we want the attacker to not being able to distinguish game 1 and game 3.

In game 1, we propose to respond to the internal queries (implied by the choice of the adversary) by uniform and random elements of \mathbb{F}_2^n . If we have already given an output to another previous input asked then we should sample a new output, a majoration for the probability of this occurring is $\max(0.5(\sigma_e + 1)(\sigma_e)/2^{bs}, 0.5(\sigma_a + 1)(\sigma_a)/2^{bs})$ for SPAE and $0.5(\sigma_e^c + \sigma_a^c + q)(\sigma_e^c + \sigma_a^c + q - 1)/2^{bs}$ for CSPAE.

Another bad setting is when we have to produce an output to a previously asked input we must in this case answer according to the previous output produced we are here in a bad setting where we couldn't just sample uniformly as in game 2 a random element of \mathbb{F}_2^n .

We have to majorate the probability of this occurrence with the inputs of game 1 which are not directly related to the input of E_k . Without loss of generality we suppose that $\text{PADINFO} = 0$. We can not have predictable collisions for the attackers in the inputs of $E_{\text{KN}}, E_{\text{K}}$ by the corollary 2 (we exclude the obvious cases with associated data). There are 2 cases to analyze the ones in the computation of $E_{\text{K}}(\text{P}_i \oplus \text{PT}_i)$, $E_{\text{K}}(\text{AT}_i \oplus \text{A}_i)$ and the computation of $E_{\text{K}}(\text{IT}) = E_k(\text{AT}_a \oplus \text{PT}_m \oplus \text{HSWAP}(\text{CT}_m))$ which we simplify for the analysis by $E_{\text{K}}(\text{IT}) = E_k(\text{AT} \oplus \text{PT} \oplus \text{CT})$.

The first one corresponds to a case where the values A_i, P_i are chosen freely. We remind that $\text{PT}_j = E_{\text{KN}}(\text{PT}_{j-1} \oplus \text{P}_{j-1}) \oplus \text{P}_{j-1}$ ($\text{AT}_i = E_{\text{K}}(\text{AT}_{i-1} \oplus \text{A}_{i-1})$) thus their values are determined by the adversary and the choices made by the oracle. We want therefore to majorate the probability that $\text{P}_i = \text{PT}_j \oplus \text{P}_j \oplus \text{PT}_i$ there are σ_e such values. We have also to take into account possible equalities with $\text{AT}_i \oplus \text{A}_i$. For SPAE the choices made for AT_i are taken into account separately. For CSPAE we have to take into account that the equality $\text{P}_i \oplus \text{PT}_i = \text{AT}_j \oplus \text{A}_j$ could occurs therefore we work with a set of size $\sigma_e^c + \sigma_a^c$ values.

The second one (the values $\text{PT}^i, \text{AT}^i, \text{CT}^i$) corresponds to the case where the inputs PT, CT values are all results of previously random chosen images of E_{K} . Therefore we majorate the probability of $E_{\text{K}}, E_{\text{KN}}$ called on the same inputs of $\max(\frac{\sigma_e + 1(\sigma_e)}{2}, \frac{\sigma_a(\sigma_a - 1)}{2}) \times \frac{1}{2^{bs}}$ for SPAE and $\frac{\sigma_e^c + \sigma_a^c + q(\sigma_e^c + \sigma_a^c + q - 1)}{2} \times \frac{1}{2^{bs}}$ for CSPAE.

The case of the TAG_{null} has been excluded for obvious reasons. \square

Corollary 4. *To obtain a level of security of 2^{80} with a bs of 128 for SPAE we should limit the usage of a NONCE to 2^{32} blocks. We obtain a similar result with CSPAE but for the key K .*

Therefore if a lot of data should be used with a single key, more than 2^{39} bytes, then it is safer to use SPAE with different NONCE otherwise CSPAE can be used. For example in CAESAR[9] the number of bytes should be greater than 2^{16} and for NIST competition on lightweight cryptography [33] it should be greater than $2^{50} - 1$.

5 Performances

In this section we will present performances of SPAE and compare it to other AE schemes. All implementations are released as an open source project.

5.1 Benchmark within MbedTLS on ARM-CortexM4

We inserted SPAE code within the MbedTLS benchmarking project hosted on github.com/wolfeidau/mbedtls. We included three versions:

- **SMALL**: uses a compact AES implementation, the same used in the ARM-CortexM0 benchmark, see next section.
- **FAST**: uses the AES from mbedtls, it is a Tbox implementation. This is the implementation which shall be compared against GCM and CCM in this benchmark since they all use the same AES implementation.
- **MMCAU**: uses the ‘MMCAU’ accelerator present in K64F MCUs [34]. The SPAE part remains C code, so there is still room for improvement.

This benchmark uses 1024 bytes message without associated data. This confirms that in software implementations on MCUs, SPAE performances are much higher than GCM and CCM. ASCON128 is faster than SPAE in pure software however SPAE can leverage existing AES accelerators to perform even faster.

Table 2: MbedTLS benchmark on FRDM-K64F board, 1024 bytes messages

Algorithm	AES implementation	Kbytes/s	cycles/byte
AES-SPAE-128	MMCAU	3101	37.8
ASCON128	-	1760	66.6
AES-SPAE-128	FAST	1141	102.9
AES-SPAE-128	SMALL	546	215.1
AES-CCM-128	FAST	476	246.8
AES-GCM-128	FAST	401	293.0

5.2 Benchmark on ARM-CortexM0

We used the cifra library (github.com/ctz/cifra) to get performances of GCM, CCM and OCB mode on ARM-CortexM0 (STM32L011 more precisely). This library uses an AES based on byte oriented ‘Sbox’ instead of the 32 bit oriented ‘Tbox’ implementation (like in the CortexM4 benchmark). Due to this the cycles per byte reported here are rather high. Note however that ‘Tbox’ implementation is rarely used on those devices due to the size of the look up tables: 8KB (STM32L011 has 16KB of flash). All software have been compiled using gcc 7.3.1 and ‘-Os’ optimization level.

Table 3: Performance to encrypt and authenticate a 16 bytes message

	clock cycles	cycles/byte
SPAE	18.2K	1140
CCM	42.0K	2627
OCB	43.0K	2689
GCM	65.6K	4100

The results are unusual but make sense once we take into account the following:

- GCM’s GHASH is slower in software than AES128 on ARM-CortexM0.
- The benchmark is on a message of 16 bytes, as a result the efficiency of OCB compared to CCM is not apparent.

6 Conclusion and perspectives

We described SPAE and CSPAE, two AEAD algorithms highly suitable for usage in low-cost embedded systems as shown by their performances against other comparables AEAD. We analyzed their security and established proofs for the most common use cases in nonce resilience setting for its security and authenticity.

It would be interesting to see the effective resilience of the scheme against physical attacks and against deep learning based attacks. It is a future work to propose an evaluation for nonce-based authenticated encryption following the security definition in [21, 32, 39].

A follow up work would be to see if SPAE could be used with other block ciphers, SM4[15] for example. This cipher is also hardware accelerated and side channel protected on some secure element. Its security against related key attacks has been analyzed in [45] and therefore it is known to repeat remark 7.

The performance benchmark could be completed by including other AEAD based on AES such as SAEB [31].

An interesting work is to take into account in the security analysis the various implementation practices for NONCE generation as exposed in [5] and which violate remark 3. The evaluation of the security bounds if a fresh random number is used instead of a true NONCE is also something worth investigating since this is a popular practice in real world implementations.

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7 Appendix

7.1 SPAE-AES128 test vectors

```

m=0,a=0
SPAE - encryption
key           = b'00000000000000000000000000000001'
nonce        = b'00000000000000000000000000000002'
message      =
associated data =
                PTO = b'a17e9f69e4f25a8b8620b4af78eefd6e'
                CTO = b'a17e9f69e4f25a8b8620b4af78eefd6f'
                MT  = b'ffffffffffffffffffffffffffffffffffffe'
                IT  = b'ffffffffffffffffffffffffffffffffffffe'
                PADINFO = b'00000000000000000000000000000000'
authentication tag = b'6b52a86d2741165af5ad9b4694d978e7'
out          = b'6b52a86d2741165af5ad9b4694d978e7'

```

```

m=0,a=1
SPAE - encryption
key           = b'00000000000000000000000000000001'
nonce        = b'00000000000000000000000000000002'
message      =
associated data = b'00000000000000000000000000000006'
                PTO = b'a17e9f69e4f25a8b8620b4af78eefd6e'
                CTO = b'a17e9f69e4f25a8b8620b4af78eefd6f'
                AT1 = b'131527259dc7975992e4bbfd0510e9fa'
                MT  = b'ffffffffffffffffffffffffffffffffffffe'
                IT  = b'ecad8da623868a66d1b4402faef1604'
                PADINFO = b'00000000800000000000000080000000'
authentication tag = b'840fa2e1542e22a1146b8ccb4f98410f'
out          = b'840fa2e1542e22a1146b8ccb4f98410f'

```

```

m=1,a=0
SPAE - encryption
key           = b'00000000000000000000000000000001'
nonce        = b'00000000000000000000000000000002'
message      = b'00000000000000000000000000000003'
associated data =
                PTO = b'a17e9f69e4f25a8b8620b4af78eefd6e'
                CTO = b'a17e9f69e4f25a8b8620b4af78eefd6f'
                PO  = b'00000000000000000000000000000003'
                CO  = b'731bdd384f415c11081d08ecdc3efe5d'
                PT1 = b'd2654251abb3069a8e3dbc43a4d00331'
                CT1 = b'00000000000000000000000000000001'
                MT  = b'd2654251abb3069b8e3dbc43a4d00331'
                IT  = b'd2654251abb3069b8e3dbc43a4d00331'
                PADINFO = b'80000000000000008000000000000000'
authentication tag = b'8f11c2f7f934270ebbd7c3033fbbabef'
out          = b'731bdd384f415c11081d08ecdc3efe5d8f11c2f7f934270
                ↪ ebbd7c3033fbbabef'

```

```

m=2,a=0
SPAE - encryption
key           = b'00000000000000000000000000000001'
nonce        = b'00000000000000000000000000000002'
message      = b'0000000000000000000000000000000030000000000000000
↳ 0000000000000004'
associated data =
    PT0 = b'a17e9f69e4f25a8b8620b4af78eefd6e'
    CT0 = b'a17e9f69e4f25a8b8620b4af78eefd6f'
    P0  = b'0000000000000000000000000000000003'
    C0  = b'731bdd384f415c11081d08ecdc3efe5d'
    PT1 = b'd2654251abb3069a8e3dbc43a4d00331'
    CT1 = b'0000000000000000000000000000000001'
    P1  = b'0000000000000000000000000000000004'
    C1  = b'd454792a75871ce616511d13983f9681'
    PT2 = b'd454792a75871ce616511d13983f9684'
    CT2 = b'd2654251abb3069a8e3dbc43a4d00330'
    MT  = b'5a69c569d1571fd6c4345f42338c901e'
    IT  = b'5a69c569d1571fd6c4345f42338c901e'
    PADINFO = b'0010000000000000000001000000000000'
authentication tag = b'773ff95c3282ff9ea8794295685191ea'
out              = b'731bdd384f415c11081d08ecdc3efe5dd454792a75871ce61
↳ 6511d13983f9681773ff95c3282ff9ea8794295685191ea'

```

```

m=3,a=0
SPAE - encryption
key           = b'00000000000000000000000000000001'
nonce        = b'00000000000000000000000000000002'
message      = b'0000000000000000000000000000000030000000000000000
↳ 000000000000004000000000000000000000000000005'
associated data =
    PT0 = b'a17e9f69e4f25a8b8620b4af78eefd6e'
    CT0 = b'a17e9f69e4f25a8b8620b4af78eefd6f'
    P0  = b'0000000000000000000000000000000003'
    C0  = b'731bdd384f415c11081d08ecdc3efe5d'
    PT1 = b'd2654251abb3069a8e3dbc43a4d00331'
    CT1 = b'0000000000000000000000000000000001'
    P1  = b'0000000000000000000000000000000004'
    C1  = b'd454792a75871ce616511d13983f9681'
    PT2 = b'd454792a75871ce616511d13983f9684'
    CT2 = b'd2654251abb3069a8e3dbc43a4d00330'
    P2  = b'0000000000000000000000000000000005'
    C2  = b'406d307c0f1f9a95878e7bb968108aaa'
    PT3 = b'9208722da4ac9c0f09b3c7facc0899f'
    CT3 = b'06313b7bde341a7c986ca1503cef95b4'
    MT  = b'0a64d37d984309bb0f82fc8112f493e3'
    IT  = b'0a64d37d984309bb0f82fc8112f493e3'
    PADINFO = b'80010000000000008001000000000000'
authentication tag = b'a4d864382672b6abbfeb80563bbfefa1'
out              = b'731bdd384f415c11081d08ecdc3efe5dd454792a75871ce61
↳ 6511d13983f9681406d307c0f1f9a95878e7bb968108aaaa4d864382672b6abbfeb
↳ 80563bbfefa1'

```



```

m=3,a=1
SPAE - encryption
key           = b'00000000000000000000000000000001'
nonce        = b'00000000000000000000000000000002'
message      = b'0000000000000000000000000000000300000000000000000000'
               ↳ 0000000000000040000000000000000000000000000000005'
associated data = b'00000000000000000000000000000006'
               PT0 = b'a17e9f69e4f25a8b8620b4af78eefd6e'
               CT0 = b'a17e9f69e4f25a8b8620b4af78eefd6f'
               P0  = b'00000000000000000000000000000003'
               C0  = b'731bdd384f415c11081d08ecdc3efe5d'
               PT1 = b'd2654251abb3069a8e3dbc43a4d00331'
               CT1 = b'00000000000000000000000000000001'
               P1  = b'00000000000000000000000000000004'
               C1  = b'd454792a75871ce616511d13983f9681'
               PT2 = b'd454792a75871ce616511d13983f9684'
               CT2 = b'd2654251abb3069a8e3dbc43a4d00330'
               P2  = b'00000000000000000000000000000005'
               C2  = b'406d307c0f1f9a95878e7bb968108aaa'
               PT3 = b'9208722da4ac9c0f09b3c7faccc0899f'
               CT3 = b'06313b7bde341a7c986ca1503cef95b4'
               AT1 = b'131527259dc7975992e4bbfd0510e9fa'
               MT  = b'0a64d37d984309bb0f82fc8112f493e3'
               IT  = b'1971f45805849ee29d66477c17e47a19'
               PADINFO = b'80010000800000008001000080000000'
authentication tag = b'b2d2286e176bbe8120af02dd378a22f0'
out              = b'731bdd384f415c11081d08ecdc3efe5dd454792a75871ce61
               ↳ 6511d13983f9681406d307c0f1f9a95878e7bb968108aaab2d2286e176bbe8120af
               ↳ 02dd378a22f0'

```

```

m=3,a=2
SPAE - encryption
key           = b'00000000000000000000000000000001'
nonce        = b'00000000000000000000000000000002'
message      = b'0000000000000000000000000000000300000000000000000000'
               ↳ 0000000000000040000000000000000000000000000000005'
associated data = b'0000000000000000000000000000000600000000000000000'
               ↳ 000000000000007'
               PT0 = b'a17e9f69e4f25a8b8620b4af78eefd6e'
               CT0 = b'a17e9f69e4f25a8b8620b4af78eefd6f'
               P0  = b'00000000000000000000000000000003'
               C0  = b'731bdd384f415c11081d08ecdc3efe5d'
               PT1 = b'd2654251abb3069a8e3dbc43a4d00331'
               CT1 = b'00000000000000000000000000000001'
               P1  = b'00000000000000000000000000000004'
               C1  = b'd454792a75871ce616511d13983f9681'
               PT2 = b'd454792a75871ce616511d13983f9684'
               CT2 = b'd2654251abb3069a8e3dbc43a4d00330'
               P2  = b'00000000000000000000000000000005'
               C2  = b'406d307c0f1f9a95878e7bb968108aaa'
               PT3 = b'9208722da4ac9c0f09b3c7faccc0899f'

```

```

CT3 = b'06313b7bde341a7c986ca1503cef95b4'
AT1 = b'131527259dc7975992e4bbfd0510e9fa'
AT2 = b'dba2c410fd3d0a66d02984fc6e85d61a'
MT = b'0a64d37d984309bb0f82fc8112f493e3'
IT = b'd1c6176d657e03dddffab787d7c7145f9'
PADINFO = b'80010000000100008001000000010000'
authentication tag = b'baf2944c6cf3b3a0883a024b23f34fec'
out = b'731bdd384f415c11081d08ecdc3efe5dd454792a75871ce61
    ↪ 6511d13983f9681406d307c0f1f9a95878e7bb968108aaabaf2944c6cf3b3a0883a
    ↪ 024b23f34fec'

```

```

m=3,a=3
SPAE - encryption
key = b'00000000000000000000000000000001'
nonce = b'00000000000000000000000000000002'
message = b'0000000000000000000000000000000300000000000000000000'
    ↪ 0000000000000400000000000000000000000000000000005'
associated data = b'0000000000000000000000000000000600000000000000000000'
    ↪ 0000000000000700000000000000000000000000000000008'
    PT0 = b'a17e9f69e4f25a8b8620b4af78eefd6e'
    CT0 = b'a17e9f69e4f25a8b8620b4af78eefd6f'
    PO = b'00000000000000000000000000000003'
    CO = b'731bdd384f415c11081d08ecdc3efe5d'
    PT1 = b'd2654251abb3069a8e3dbc43a4d00331'
    CT1 = b'00000000000000000000000000000001'
    P1 = b'00000000000000000000000000000004'
    C1 = b'd454792a75871ce616511d13983f9681'
    PT2 = b'd454792a75871ce616511d13983f9684'
    CT2 = b'd2654251abb3069a8e3dbc43a4d00330'
    P2 = b'00000000000000000000000000000005'
    C2 = b'406d307c0f1f9a95878e7bb968108aaa'
    PT3 = b'9208722da4ac9c0f09b3c7facc0899f'
    CT3 = b'06313b7bde341a7c986ca1503cef95b4'
    AT1 = b'131527259dc7975992e4bbfd0510e9fa'
    AT2 = b'dba2c410fd3d0a66d02984fc6e85d61a'
    AT3 = b'7152d55728d8c03e547ab64cd21531fa'
    MT = b'0a64d37d984309bb0f82fc8112f493e3'
    IT = b'7b36062ab09bc9855bf84acdc0e1a219'
    PADINFO = b'80010000800100008001000080010000'
authentication tag = b'6606f31a266516b3f3c57529ef402421'
out = b'731bdd384f415c11081d08ecdc3efe5dd454792a75871ce61
    ↪ 6511d13983f9681406d307c0f1f9a95878e7bb968108aaa6606f31a266516b3f3c5
    ↪ 7529ef402421'
SPAE - decryption
key = b'00000000000000000000000000000001'
nonce = b'00000000000000000000000000000002'
message = b'731bdd384f415c11081d08ecdc3efe5dd454792a75871ce61
    ↪ 6511d13983f9681406d307c0f1f9a95878e7bb968108aaa'
associated data = b'0000000000000000000000000000000600000000000000000000'
    ↪ 0000000000000700000000000000000000000000000000008'
    PT0 = b'a17e9f69e4f25a8b8620b4af78eefd6e'
    CT0 = b'a17e9f69e4f25a8b8620b4af78eefd6f'

```



```

out = b'731bdd384f415c11081d08ecdc3efe5dd454792a75871ce61
↳ 6511d13983f9681804fcc83143603242c36fe10cab4de855c2209f570ef626cb211
↳ 725de2a9af06'
SPAE - decryption
key = b'00000000000000000000000000000001'
nonce = b'00000000000000000000000000000002'
message = b'731bdd384f415c11081d08ecdc3efe5dd454792a75871ce61
↳ 6511d13983f9681804fcc83143603242c36fe10cab4de85'
associated data = b'0000000000000000000000000000000600000000000000000
↳ 000000000000070a0b'
    PT0 = b'a17e9f69e4f25a8b8620b4af78eefd6e'
    CT0 = b'a17e9f69e4f25a8b8620b4af78eefd6f'
    P0 = b'00000000000000000000000000000003'
    C0 = b'731bdd384f415c11081d08ecdc3efe5d'
    PT1 = b'd2654251abb3069a8e3dbc43a4d00331'
    CT1 = b'00000000000000000000000000000001'
    P1 = b'00000000000000000000000000000004'
    C1 = b'd454792a75871ce616511d13983f9681'
    PT2 = b'd454792a75871ce616511d13983f9684'
    CT2 = b'd2654251abb3069a8e3dbc43a4d00330'
    P2 = b'09000000000000000000000000000000'
    C2 = b'804fcc83143603242c36fe10cab4de85'
    PT3 = b'5b2a8ed2bf8505bea20b42536e64ddb5'
    CT3 = b'06313b7bde341a7c986ca1503cef95b4'
    AT1 = b'131527259dc7975992e4bbfd0510e9fa'
    AT2 = b'dba2c410fd3d0a66d02984fc6e85d61a'
    AT3 = b'd23963e8cabeaa4a76899b5f5e3ee58d'
    MT = b'c3462f82836a900aa43a7928b050c7c9'
    IT = b'117f4c6a49d43a40d2b3e277ee6e2244'
    PADINFO = b'08010000100100000801000010010000'
authentication tag = b'5c2209f570ef626cb211725de2a9af06'
provided tag = b'5c2209f570ef626cb211725de2a9af06'
out = b'0000000000000000000000000000000300000000000000000
↳ 0000000000000409'

```

```

SPAE - encryption
key = b'000102030405060708090a0b0c0d0e0f'
nonce = b'000102030405060708090a0b0c0d0e0f'
message =
associated data =
    PT0 = b'0a9509b6456bf642f9ca9e53ca5ee455'
    CT0 = b'0a940bb5416ef045f1c39458c653ea5a'
    MT = b'fffefdfcfbfaf9f8f7f6f5f4f3f2f1f0'
    IT = b'fffefdfcfbfaf9f8f7f6f5f4f3f2f1f0'
    PADINFO = b'00000000000000000000000000000000'
authentication tag = b'0a52cf639cf84370fe50b76d60eff179'
out = b'0a52cf639cf84370fe50b76d60eff179'

```

```

SPAE - encryption
key = b'000102030405060708090a0b0c0d0e0f'
nonce = b'000102030405060708090a0b0c0d0e0f'
message = b'000102030405060708090a0b0c0d0e0f'

```

```

associated data = b'000102030405060708090a0b0c0d0e0f'
                PT0 = b'0a9509b6456bf642f9ca9e53ca5ee455'
                CT0 = b'0a940bb5416ef045f1c39458c653ea5a'
                PO = b'000102030405060708090a0b0c0d0e0f'
                CO = b'9f7562a92c45ee0719ef6b6586554360'
                PT1 = b'95e06b1f692e1845e025f5364c0ba735'
                CT1 = b'000102030405060708090a0b0c0d0e0f'
                AT1 = b'0a940bb5416ef045f1c39458c653ea5a'
                MT = b'9de961146523164ae024f735480ea132'
                IT = b'977d6aa1244de60f11e7636d8e5d4b68'
                PADINFO = b'80000000800000008000000080000000'
authentication tag = b'b524324d75cef37f1f2bc1ad2b242db8'
out = b'9f7562a92c45ee0719ef6b6586554360b524324d75cef37f1
      ↪ f2bc1ad2b242db8'

```

```

SPAE - encryption
key = b'000102030405060708090a0b0c0d0e0f'
nonce = b'000102030405060708090a0b0c0d0e0f'
message = b'000102030405060708090a0b0c0d0e0f10111213141516171
          ↪ 8191a1b1c1d1e1f'
associated data = b'000102030405060708090a0b0c0d0e0f10111213141516171
                ↪ 8191a1b1c1d1e'
                PT0 = b'0a9509b6456bf642f9ca9e53ca5ee455'
                CT0 = b'0a940bb5416ef045f1c39458c653ea5a'
                PO = b'000102030405060708090a0b0c0d0e0f'
                CO = b'9f7562a92c45ee0719ef6b6586554360'
                PT1 = b'95e06b1f692e1845e025f5364c0ba735'
                CT1 = b'000102030405060708090a0b0c0d0e0f'
                P1 = b'101112131415161718191a1b1c1d1e1f'
                C1 = b'80df406383afdf4ef689443e2c82916b'
                PT2 = b'90cf507393bfcf5ee699542e3c92817b'
                CT2 = b'95e1691c6d2b1e42e82cff3d4006a93a'
                AT1 = b'0a940bb5416ef045f1c39458c653ea5a'
                AT2 = b'68e2c19fa9096698ae35d29b54b0c601'
                MT = b'78e3af4ed3b9666473783d3251b99f39'
                IT = b'10016ed17ab000fcdd4defa905095938'
                PADINFO = b'00010000f800000000010000f8000000'
authentication tag = b'60dc7498e5e41a0ad07bd975ed5e97a3'
out = b'9f7562a92c45ee0719ef6b658655436080df406383afdf4ef
      ↪ 689443e2c82916b60dc7498e5e41a0ad07bd975ed5e97a3'

```

```

SPAE - encryption
key = b'000102030405060708090a0b0c0d0e0f'
nonce = b'000102030405060708090a0b0c0d0e0f'
message = b'000102030405060708090a0b0c0d0e0f10111213141516171
          ↪ 8191a1b1c1d1e1f'
associated data = b'000102030405060708090a0b0c0d0e0f10111213141516171
                ↪ 8191a1b1c1d1e1f'
                PT0 = b'0a9509b6456bf642f9ca9e53ca5ee455'
                CT0 = b'0a940bb5416ef045f1c39458c653ea5a'
                PO = b'000102030405060708090a0b0c0d0e0f'
                CO = b'9f7562a92c45ee0719ef6b6586554360'

```

```

PT1 = b'95e06b1f692e1845e025f5364c0ba735'
CT1 = b'000102030405060708090a0b0c0d0e0f'
P1 = b'101112131415161718191a1b1c1d1e1f'
C1 = b'80df406383afdf4ef689443e2c82916b'
PT2 = b'90cf507393bfcf5ee699542e3c92817b'
CT2 = b'95e1691c6d2b1e42e82cff3d4006a93a'
AT1 = b'0a940bb5416ef045f1c39458c653ea5a'
AT2 = b'3cf456b4ca488aa383c79c98b34797cb'
MT = b'78e3af4ed3b9666473783d3251b99f39'
IT = b'4417f9fa19f1ecc7f0bfa1aae2fe08f2'
PADINFO = b'00010000000100000001000000010000'
authentication tag = b'697844f03d7e73f226d888d556f53058'
out = b'9f7562a92c45ee0719ef6b658655436080df406383afdf4ef
    ↪ 689443e2c82916b697844f03d7e73f226d888d556f53058'

```

7.2 CSPAE-AES128 test vectors

```

m=0,a=0
CSPAE - encryption
key = b'00000000000000000000000000000001'
nonce = b'00000000000000000000000000000002'
message =
associated data =
    PTO = b'd0017f493d6d576c9ea7d5683209ff1b'
    CTO = b'd0017f493d6d576c9ea7d5683209ff18'
    MT = b'ffffffffffffffffffffffffffffffffffffe'
    IT = b'ffffffffffffffffffffffffffffffffffffe'
    PADINFO = b'00000000000000000000000000000000'
authentication tag = b'0bec7271c5d3f69c28d934da38f0ac8c'
out = b'0bec7271c5d3f69c28d934da38f0ac8c'

```

```

m=0,a=1
CSPAE - encryption
key = b'00000000000000000000000000000001'
nonce = b'00000000000000000000000000000002'
message =
associated data = b'00000000000000000000000000000006'
    PTO = b'd0017f493d6d576c9ea7d5683209ff1b'
    CTO = b'd0017f493d6d576c9ea7d5683209ff18'
    AT1 = b'131527259dc7975992e4bbfd0510e9fa'
    MT = b'ffffffffffffffffffffffffffffffffffffe'
    IT = b'ecad8da623868a66d1b4402faef1604'
    PADINFO = b'00000000800000000000000080000000'
authentication tag = b'74600b9d86873ce2999a6928ed9ac152'
out = b'74600b9d86873ce2999a6928ed9ac152'

```

```

m=1,a=0
CSPAE - encryption
key = b'00000000000000000000000000000001'
nonce = b'00000000000000000000000000000002'
message = b'00000000000000000000000000000003'

```

```

associated data =
    PT0 = b'd0017f493d6d576c9ea7d5683209ff1b'
    CT0 = b'd0017f493d6d576c9ea7d5683209ff18'
    PO = b'00000000000000000000000000000003'
    CO = b'af06863bfe5ab6f4d07ef32afba1baea'
    PT1 = b'7f07f972c337e1984ed92642c9a845f1'
    CT1 = b'00000000000000000000000000000003'
    MT = b'7f07f972c337e19b4ed92642c9a845f1'
    IT = b'7f07f972c337e19b4ed92642c9a845f1'
    PADINFO = b'80000000000000008000000000000000'
authentication tag = b'69d6f0bbc6c56a135b4cb34b6752c7bd'
out = b'af06863bfe5ab6f4d07ef32afba1baea69d6f0bbc6c56a135
    ↪ b4cb34b6752c7bd'

```

```

m=2,a=0
CSPAE - encryption
key = b'00000000000000000000000000000001'
nonce = b'00000000000000000000000000000002'
message = b'0000000000000000000000000000000300000000000000000000000000000004'
    ↪ 0000000000000004'
associated data =
    PT0 = b'd0017f493d6d576c9ea7d5683209ff1b'
    CT0 = b'd0017f493d6d576c9ea7d5683209ff18'
    PO = b'00000000000000000000000000000003'
    CO = b'af06863bfe5ab6f4d07ef32afba1baea'
    PT1 = b'7f07f972c337e1984ed92642c9a845f1'
    CT1 = b'00000000000000000000000000000003'
    P1 = b'00000000000000000000000000000004'
    C1 = b'ecd2adc6b87c84f9a9f079b100f5bc96'
    PT2 = b'ecd2adc6b87c84f9a9f079b100f5bc91'
    CT2 = b'7f07f972c337e1984ed92642c9a845f2'
    MT = b'a20b8b8471d4c10bd6f780c3c3c25d09'
    IT = b'a20b8b8471d4c10bd6f780c3c3c25d09'
    PADINFO = b'00010000000000000001000000000000'
authentication tag = b'de39ac5f602ef05afc8729933de7b8be'
out = b'af06863bfe5ab6f4d07ef32afba1baeaecd2adc6b87c84f9a
    ↪ 9f079b100f5bc96de39ac5f602ef05afc8729933de7b8be'

```

```

m=3,a=0
CSPAE - encryption
key = b'00000000000000000000000000000001'
nonce = b'00000000000000000000000000000002'
message = b'00000000000000000000000000000003000000000000000000000000000000040000000000000000000000000000005'
    ↪ 00000000000004000000000000000000000000000000000005'
associated data =
    PT0 = b'd0017f493d6d576c9ea7d5683209ff1b'
    CT0 = b'd0017f493d6d576c9ea7d5683209ff18'
    PO = b'00000000000000000000000000000003'
    CO = b'af06863bfe5ab6f4d07ef32afba1baea'
    PT1 = b'7f07f972c337e1984ed92642c9a845f1'
    CT1 = b'00000000000000000000000000000003'
    P1 = b'00000000000000000000000000000004'

```



```

        PADINFO = b'80010000800100008001000080010000'
authentication tag = b'1b2c40d4b921b5fea3a2c773367276b3'
out                = b'af06863bfe5ab6f4d07ef32afba1baeaecd2adc6b87c84f9a
    ↪ 9f079b100f5bc9638d4e578462b696ca7aed596e3fd14e31b2c40d4b921b5fea3a2
    ↪ c773367276b3'
CSPAЕ - decryption
key                = b'00000000000000000000000000000001'
nonce              = b'00000000000000000000000000000002'
message            = b'af06863bfe5ab6f4d07ef32afba1baeaecd2adc6b87c84f9a
    ↪ 9f079b100f5bc9638d4e578462b696ca7aed596e3fd14e3'
associated data    = b'00000000000000000000000000000006000000000000000000
    ↪ 000000000000007000000000000000000000000000000008'
        PT0 = b'd0017f493d6d576c9ea7d5683209ff1b'
        CT0 = b'd0017f493d6d576c9ea7d5683209ff18'
        P0  = b'000000000000000000000000000000003'
        C0  = b'af06863bfe5ab6f4d07ef32afba1baea'
        PT1 = b'7f07f972c337e1984ed92642c9a845f1'
        CT1 = b'000000000000000000000000000000003'
        P1  = b'000000000000000000000000000000004'
        C1  = b'ecd2adc6b87c84f9a9f079b100f5bc96'
        PT2 = b'ecd2adc6b87c84f9a9f079b100f5bc91'
        CT2 = b'7f07f972c337e1984ed92642c9a845f2'
        P2  = b'000000000000000000000000000000005'
        C2  = b'38d4e578462b696ca7aed596e3fd14e3'
        PT3 = b'47d31c0a851c88f4e977f3d42a555114'
        CT3 = b'93d554b47b4b6561e7295ff3c95df963'
        AT1 = b'131527259dc7975992e4bbfd0510e9fa'
        AT2 = b'dba2c410fd3d0a66d02984fc6e85d61a'
        AT3 = b'7152d55728d8c03e547ab64cd21531fa'
        MT  = b'a0fa43f94c4171977aa2a760511e3475'
        IT  = b'd1a896ae6499b1a92ed8112c830b058f'
        PADINFO = b'80010000800100008001000080010000'
authentication tag = b'1b2c40d4b921b5fea3a2c773367276b3'
provided tag       = b'1b2c40d4b921b5fea3a2c773367276b3'
out                = b'00000000000000000000000000000003000000000000000000
    ↪ 000000000000040000000000000000000000000000000005'

```

```

m=3,a=3 padded
CSPAЕ - encryption
key                = b'00000000000000000000000000000001'
nonce              = b'00000000000000000000000000000002'
message            = b'00000000000000000000000000000003000000000000000000
    ↪ 00000000000000409'
associated data    = b'0000000000000000000000000000000600000000000000000
    ↪ 0000000000000070a0b'
        PT0 = b'd0017f493d6d576c9ea7d5683209ff1b'
        CT0 = b'd0017f493d6d576c9ea7d5683209ff18'
        P0  = b'000000000000000000000000000000003'
        C0  = b'af06863bfe5ab6f4d07ef32afba1baea'
        PT1 = b'7f07f972c337e1984ed92642c9a845f1'
        CT1 = b'000000000000000000000000000000003'
        P1  = b'000000000000000000000000000000004'

```

```

C1 = b'ecd2adc6b87c84f9a9f079b100f5bc96'
PT2 = b'ecd2adc6b87c84f9a9f079b100f5bc91'
CT2 = b'7f07f972c337e1984ed92642c9a845f2'
P2 = b'09000000000000000000000000000000'
C2 = b'a5405b16f5db2622e1c90deba9f25963'
PT3 = b'd347a26436ecc7baaf102ba9605a1c91'
CT3 = b'93d554b47b4b6561e7295ff3c95df963'
AT1 = b'131527259dc7975992e4bbfd0510e9fa'
AT2 = b'dba2c410fd3d0a66d02984fc6e85d61a'
AT3 = b'd23963e8cabeaa4a76899b5f5e3ee58d'
MT = b'346efd97ffb13ed93cc57f1d1b1179f0'
IT = b'e6579e7f350f94934a4ce442452f9c7d'
PADINFO = b'08010000100100000801000010010000'
authentication tag = b'e6b45ced002704ca27ac396b78007bd9'
out = b'af06863bfe5ab6f4d07ef32afba1baeaecd2adc6b87c84f9a
↳ 9f079b100f5bc96a5405b16f5db2622e1c90deba9f25963e6b45ced002704ca27ac
↳ 396b78007bd9'
CSPA - decryption
key = b'00000000000000000000000000000001'
nonce = b'00000000000000000000000000000002'
message = b'af06863bfe5ab6f4d07ef32afba1baeaecd2adc6b87c84f9a
↳ 9f079b100f5bc96a5405b16f5db2622e1c90deba9f25963'
associated data = b'0000000000000000000000000000000600000000000000000
↳ 0000000000000070a0b'
PT0 = b'd0017f493d6d576c9ea7d5683209ff1b'
CT0 = b'd0017f493d6d576c9ea7d5683209ff18'
P0 = b'00000000000000000000000000000003'
C0 = b'af06863bfe5ab6f4d07ef32afba1baea'
PT1 = b'7f07f972c337e1984ed92642c9a845f1'
CT1 = b'00000000000000000000000000000003'
P1 = b'00000000000000000000000000000004'
C1 = b'ecd2adc6b87c84f9a9f079b100f5bc96'
PT2 = b'ecd2adc6b87c84f9a9f079b100f5bc91'
CT2 = b'7f07f972c337e1984ed92642c9a845f2'
P2 = b'09000000000000000000000000000000'
C2 = b'a5405b16f5db2622e1c90deba9f25963'
PT3 = b'd347a26436ecc7baaf102ba9605a1c91'
CT3 = b'93d554b47b4b6561e7295ff3c95df963'
AT1 = b'131527259dc7975992e4bbfd0510e9fa'
AT2 = b'dba2c410fd3d0a66d02984fc6e85d61a'
AT3 = b'd23963e8cabeaa4a76899b5f5e3ee58d'
MT = b'346efd97ffb13ed93cc57f1d1b1179f0'
IT = b'e6579e7f350f94934a4ce442452f9c7d'
PADINFO = b'08010000100100000801000010010000'
authentication tag = b'e6b45ced002704ca27ac396b78007bd9'
provided tag = b'e6b45ced002704ca27ac396b78007bd9'
out = b'0000000000000000000000000000000300000000000000000
↳ 0000000000000409'

```

```

CSPA - encryption
key = b'000102030405060708090a0b0c0d0e0f'
nonce = b'000102030405060708090a0b0c0d0e0f'

```

```

message          =
associated data  =
    PTO = b'c6a13b37878f5b826f4f8162a1c8d879'
    CTO = b'c6a13b37878f5b826f4f8162a1c8d879'
    MT  = b'fffefdfcfbfaf9f8f7f6f5f4f3f2f1f0'
    IT  = b'fffefdfcfbfaf9f8f7f6f5f4f3f2f1f0'
    PADINFO = b'00000000000000000000000000000000'
authentication tag = b'7525d79334164e254cba038b814d9c20'
out             = b'7525d79334164e254cba038b814d9c20'

```

```

CSPAЕ - encryption
key          = b'000102030405060708090a0b0c0d0e0f'
nonce       = b'000102030405060708090a0b0c0d0e0f'
message     = b'000102030405060708090a0b0c0d0e0f'
associated data = b'000102030405060708090a0b0c0d0e0f'
    PTO = b'c6a13b37878f5b826f4f8162a1c8d879'
    CTO = b'c6a13b37878f5b826f4f8162a1c8d879'
    PO  = b'000102030405060708090a0b0c0d0e0f'
    CO  = b'732b2b535f23f219b6ffc139248d2dc2'
    PT1 = b'b58b1267dca9af9cd1b94a508948fbb4'
    CT1 = b'00000000000000000000000000000000'
    AT1 = b'0a940bb5416ef045f1c39458c653ea5a'
    MT  = b'b58b1267dca9af9cd1b94a508948fbb4'
    IT  = b'bf1f19d29dc75fd9207ade084f1b11ee'
    PADINFO = b'80000000800000008000000080000000'
authentication tag = b'0a1315ef625aedc8e354116928defef3'
out             = b'732b2b535f23f219b6ffc139248d2dc20a1315ef625aedc8e
    ↪ 354116928defef3'

```

```

CSPAЕ - encryption
key          = b'000102030405060708090a0b0c0d0e0f'
nonce       = b'000102030405060708090a0b0c0d0e0f'
message     = b'000102030405060708090a0b0c0d0e0f10111213141516171
    ↪ 8191a1b1c1d1e1f'
associated data = b'000102030405060708090a0b0c0d0e0f10111213141516171
    ↪ 8191a1b1c1d1e1f'
    PTO = b'c6a13b37878f5b826f4f8162a1c8d879'
    CTO = b'c6a13b37878f5b826f4f8162a1c8d879'
    PO  = b'000102030405060708090a0b0c0d0e0f'
    CO  = b'732b2b535f23f219b6ffc139248d2dc2'
    PT1 = b'b58b1267dca9af9cd1b94a508948fbb4'
    CT1 = b'00000000000000000000000000000000'
    P1  = b'101112131415161718191a1b1c1d1e1f'
    C1  = b'b85c0d5fa953bf572a125c9479b2e862'
    PT2 = b'a84d1f4cbd46a940320b468f65aff67d'
    CT2 = b'b58b1267dca9af9cd1b94a508948fbb4'
    AT1 = b'0a940bb5416ef045f1c39458c653ea5a'
    AT2 = b'68e2c19fa9096698ae35d29b54b0c601'
    MT  = b'79f4551c340e52f4878054e8b90659e1'
    IT  = b'111694839d07346c29b58673edb69fe0'
    PADINFO = b'00010000f80000000010000f80000000'
authentication tag = b'6918ce72c046c8e5159254cfe2065600'

```

```
out = b'732b2b535f23f219b6ffc139248d2dc2b85c0d5fa953bf572
↳ a125c9479b2e8626918ce72c046c8e5159254cfe2065600'
```

CSPAЕ - encryption

```
key = b'000102030405060708090a0b0c0d0e0f'
nonce = b'000102030405060708090a0b0c0d0e0f'
message = b'000102030405060708090a0b0c0d0e0f10111213141516171
↳ 8191a1b1c1d1e1f'
associated data = b'000102030405060708090a0b0c0d0e0f10111213141516171
↳ 8191a1b1c1d1e1f'
    PT0 = b'c6a13b37878f5b826f4f8162a1c8d879'
    CT0 = b'c6a13b37878f5b826f4f8162a1c8d879'
    P0 = b'000102030405060708090a0b0c0d0e0f'
    C0 = b'732b2b535f23f219b6ffc139248d2dc2'
    PT1 = b'b58b1267dca9af9cd1b94a508948fbb4'
    CT1 = b'00000000000000000000000000000000'
    P1 = b'101112131415161718191a1b1c1d1e1f'
    C1 = b'b85c0d5fa953bf572a125c9479b2e862'
    PT2 = b'a84d1f4cbd46a940320b468f65aff67d'
    CT2 = b'b58b1267dca9af9cd1b94a508948fbb4'
    AT1 = b'0a940bb5416ef045f1c39458c653ea5a'
    AT2 = b'3cf456b4ca488aa383c79c98b34797cb'
    MT = b'79f4551c340e52f4878054e8b90659e1'
    IT = b'450003a8fe46d8570447c8700a41ce2a'
    PADINFO = b'00010000000100000001000000010000'
authentication tag = b'5135faad34fa275762e1dc2399a40705'
out = b'732b2b535f23f219b6ffc139248d2dc2b85c0d5fa953bf572
↳ a125c9479b2e8625135faad34fa275762e1dc2399a40705'
```

7.3 Rational behind the encoding of PADINFO

As explained in 4.2, the redundant encoding of PADINFO has been made to prevent the use of it to cancel out an error pattern introduced by a fault injection before it reaches the final E_{KN} operation. We made the choice of the encoding assuming that E is *AES*. It does not mean that this encoding is inefficient for other block ciphers, it just means that it is adequate for *AES*.

7.3.1 Faults on the penultimate round of *AES*

A fault in the sbox 0 in the penultimate round of *AES* could disturb byte 0 and 5 which are easy to cancel out via PADINFO, but the fault would also disturb bytes 10 and 15. Setting a non zero value on byte 15 using PADINFO means feeding between 2^{56} and 2^{64} bits to the algorithm. Clearly such approach is impractical on embedded systems.

7.3.2 Faults on the last round of *AES*

A fault on the last round of *AES* could disturb a single byte. The encoding of PADINFO make also such single byte modification difficult: changing the length of the message changes at least two bytes, the same goes for associated data. Changing both the message and the associated data length can achieve a single byte change however it requires feeding 2^{32} bits. This is a costly proposition for a guess trial of a fault pattern which provide only limited information about the key. High value targets can choose to limit both the ml and adl to 2^{32} , in this case it is simply not possible to achieve single byte change in PADINFO.

7.4 Rational behind the initial values

The security proofs of the scheme rely on the secrecy of PT_0 and CT_0 . Clearly PT_0 and CT_0 shall be different since $CT_1 = PT_0 \oplus CT_0$ and that the security proofs of the scheme also rely on CT_1 being secret (see for example proposition 1). To follow Kerckhoffs's principle [27], the only option is to derive them from the secret key input.

One easy solution would be to simply consider them as additional key bits, so for SPAE-AES-128 we would have a 3×128 bits key (the implementation shall then reject to execute when $PT_0 == CT_0$). System architect may well make this choice in the end however we felt it is desirable to limit the key material to the block cipher key so that SPAE can be used as a drop in replacement of some other AEAD in existing applications.

Another easy solution is to encrypt a constant, the firsts digit of π for example, two times:

$$\begin{aligned} CST &= 0x243f6a8885a308d313198a2e03707344 \\ CT_0 &= E_K(CST) \\ PT_0 &= E_K(E_K(CST)) \end{aligned} \tag{1}$$

Since the calls to E do not depends on NONCE, they can therefore be precomputed. With $NONCE = 0$ and $a = 0$, this would give the following equations for C_0 , PT_1 , CT_1 and TAG_{null} :

$$\begin{aligned} C_0 &= E_{KN}(E_K(E_K(CST)) \oplus P_0) \oplus E_K(CST) \\ CT_1 &= E_K(E_K(CST)) \oplus E_K(CST) \\ PT_1 &= E_{KN}(E_K(E_K(CST)) \oplus P_0) \oplus P_0 \\ TAG_{null} &= E_K(E_K(CST)) \oplus E_K(K \oplus FF) \end{aligned} \tag{2}$$

Nothing cancels out completely so this is a fine solution.

Even though the calls to E can be precomputed, in practice the application may not do so. For example if the only permanent on-chip storage is a small OTP memory in which the bits are counted, PT_0 and CT_0 will be computed at least at each boot or even at each AEAD call. This makes this solution not ideally suited for low cost applications. We therefore searched for a solution with a single call to E .

As a reminder, the chosen equations for PT_0 and CT_0 are the following:

$$\begin{aligned} CT_0 &= E_K(K) \\ PT_0 &= E_K(K) \oplus K \end{aligned} \tag{3}$$

Since the call to E does not depends on NONCE, it can therefore be precomputed. With $NONCE = 0$ and $a = 0$, this gives the following equations for C_0 , PT_1 , CT_1 and TAG_{null} :

$$\begin{aligned} C_0 &= E_{KN}(E_K(K) \oplus K \oplus P_0) \oplus E_K(K) \\ CT_1 &= E_K(K) \oplus K \oplus E_K(K) \\ &= K \\ PT_1 &= E_{KN}(E_K(K) \oplus K \oplus P_0) \oplus P_0 \\ TAG_{null} &= PT_0 \oplus E_K(AT_0 \oplus K \oplus FF \oplus PADINFO) \\ &= K \oplus E_K(K) \oplus E_K(K \oplus FF) \end{aligned} \tag{4}$$

Nothing cancels out completely so this is a fine solution. The fact that $CT_1 = K$ is somewhat unfortunate but we did not find any way to exploit this so far. If there is an attack exploiting this relation, SPAE and CSPAE could still be used with the more expensive alternatives for initial values that we described in this section.

Remark 10. It can be pointed out that in the computation of $E_K(K)$ with $E = AES_{128}$ we always have at the end of the first round of AES the null constant. In this case this is

not a problem because we use *AES* here merely to derive a secret from another one. The full security level of *AES* is not required for this operation, we could have used *GCM*'s *GHASH* or even a *CRC* instead of *AES*. For the performance of the scheme we highlight the fact that we choose to present a scheme which uses an optimised primitive and thus using another function to derive those constants would have impact on the performances or the cost of the implementation. The storage of constants (such as π) which could be a solution to this fact has also drawbacks in the context of memory constrained embedded systems.

Nonce Misuse Resilience, Execute in Place (XIP), Differential Fault Analysis (DFA),
AES, low-cost hardware,,