

1 **Water Quality Modelling of the Mekong River Basin: Climate Change and**
2 **Socioeconomics Drive Flow and Nutrient Flux Changes to the Mekong Delta**

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24
25 **ABSTRACT**

26 The Mekong delta is recognised as one of the world's most vulnerable mega-deltas, being
27 subject to a range of environmental pressures including sea level rise, increasing population,
28 and changes in flows and nutrients from its upland catchment. With changing climate and
29 socioeconomics there is a need to assess how the Mekong catchment will be affected in
30 terms of the delivery of water and nutrients into the delta system. Here we apply the
31 Integrated Catchment model (INCA) to the whole Mekong River Basin to simulate flow and
32 water quality, including nitrate, ammonia, total phosphorus and soluble reactive phosphorus.
33 The impacts of climate change on all these variables have been assessed across 24 river
34 reaches ranging from the Himalayas down to the delta in Vietnam. We used the UK Met
35 Office PRECIS regionally coupled climate model to downscale precipitation and temperature
36 to the Mekong catchment. This was accomplished using the Global Circulation Model GFDL-
37 CM to provide the boundary conditions under two carbon control strategies, namely
38 representative concentration pathways (RCP) 4.5 and a RCP 8.5 scenario. The RCP 4.5
39 scenario represents the carbon strategy required to meet the Paris Accord, which aims to
40 limit peak global temperatures to below a 2 °C rise while seeking to pursue options that limit
41 temperature rise to 1.5 °C. The RCP 8.5 scenario is associated with a larger 3-4 °C rise. In

42 addition, we also constructed a range of socio-economic scenarios to investigate the
43 potential impacts of changing population, atmospheric pollution, economic growth and land
44 use change up to the 2050s. Results of INCA simulations indicate increases in mean flows
45 of up to 24%, with flood flows in the monsoon period increasing by up to 27%, but with
46 increasing periods of drought up to 2050. A shift in the timing of the monsoon is also
47 simulated, with a 4 week advance in the onset of monsoon flows on average. Decreases in
48 nitrogen and phosphorus concentrations occur primarily due to flow dilution, but fluxes of
49 these nutrients also increase by 5%, which reflects the changing flow, land use change and
50 population changes.

51 **Key Words:** Mekong River, Nutrients, Modelling, Climate Change, Socioeconomic Change,
52 Land Use Change, Vietnam Delta

53 INTRODUCTION

54 The world's deltas are highly productive agricultural regions, but most are under threat from
55 a combination of rising sea levels and ground surface subsidence, with associated impacts
56 on salinization and land degradation (Nicholls et al., 2016, 2015). In addition, there are long-
57 term changes occurring in many of the river basins upstream of deltas as a result of land use
58 and climate change, population increases, and other industrial and socioeconomic changes
59 (Vörösmarty et al., 2010; Whitehead et al., 2015 a, b). These upstream pressures may limit
60 the opportunities to maintain productive agriculture in delta systems through potential
61 reductions in nutrient fluxes, altered flood regimes and changes in land surface levels.

62 Climate change is now confirmed as a problem of global concern (Edenhofer et al., 2014)
63 and the Intergovernmental Panel on Climate Change (IPCC) report (2014) highlights large
64 river basins and delta regions to be under particular threat. Although the Paris accord aims
65 to limit the rise in global temperatures to no more than 2 °C above pre-industrial levels, while
66 seeking to pursue options that limit the rise to 1.5 °C, at the current rate of carbon emissions
67 a 3-4 °C rise looks more likely. Such a significant rise in temperature directly threatens the
68 viability of the world's major deltas.

69 Of the world's major deltas, the Mekong Delta (MD) is one of the most vulnerable, with many
70 poor communities being exposed to threats from floods, changing water quality and land
71 subsidence. The Mekong River's catchment delivers vast quantities of water to the delta and
72 large fluxes of sediments and nutrients. There have been extensive evaluations of water
73 resources in the Mekong driven by the World Bank and the Mekong River Commission (Lu
74 et al, 2014, Xue et al, 2012), but relatively few studies on water quality, although an
75 extensive biophysical study was undertaken to provide empirical data and analysis
76 (Campbell et al. 2009, Fan et al, 2015).

77 Studies in other large rivers systems in Asia, Africa and Europe including the Volta, Ganges,
78 Brahmaputra, Meghna, Hooghly, Thames and the Mahanadi (Jin et al, 2015, 2018a, 2018b,
79 Crossman et al, 2012, Whitehead et al 2012, 2015, 2018, Nicholls et al 2018) show a
80 consistent pattern of change under the twin threats of climate and socioeconomic change.
81 Common results from these studies are altered flow regimes, with increased flows in wet
82 seasons and reduced flows in the dry seasons. These hydrological regime variations
83 generate increased flood risk and extended periods of droughts, threatening catchment
84 infrastructure and water supplies for public use and irrigation. In terms of water quality, there
85 are also consistent patterns emerging, including the effects of reduced dilution during
86 drought, thereby raising pollutant concentrations. The increased flushing of pollutants from
87 both urban areas and rural agricultural areas is also exacerbated, thereby enhancing diffuse
88 runoff of pollutants, such as nutrients, pesticides, emergent chemicals and plastics. In

89 addition, temperature increases affect the reaction kinetics of soil and water
90 biogeochemistry, altering decay rates and this, coupled with altered residence times, alters
91 the mass balance of chemicals in the environment. Whitehead et al (2010) reviewed the
92 impacts of climate change on water quality and all these effects impact large river systems,
93 and are magnified as future socioeconomic changes occur. From a socioeconomic point of
94 view, population increases play a major role, driving up effluent discharges, creating more
95 intensive agriculture and putting pressure on the river systems. This, coupled with enhanced
96 industrial activity, such as highly polluting urban industrial discharges from tanneries,
97 garment manufacturing and chemical plants creates extensive pollution problems, destroying
98 the oxygen balance and ecology of many urban rivers (Whitehead et al, 2018).

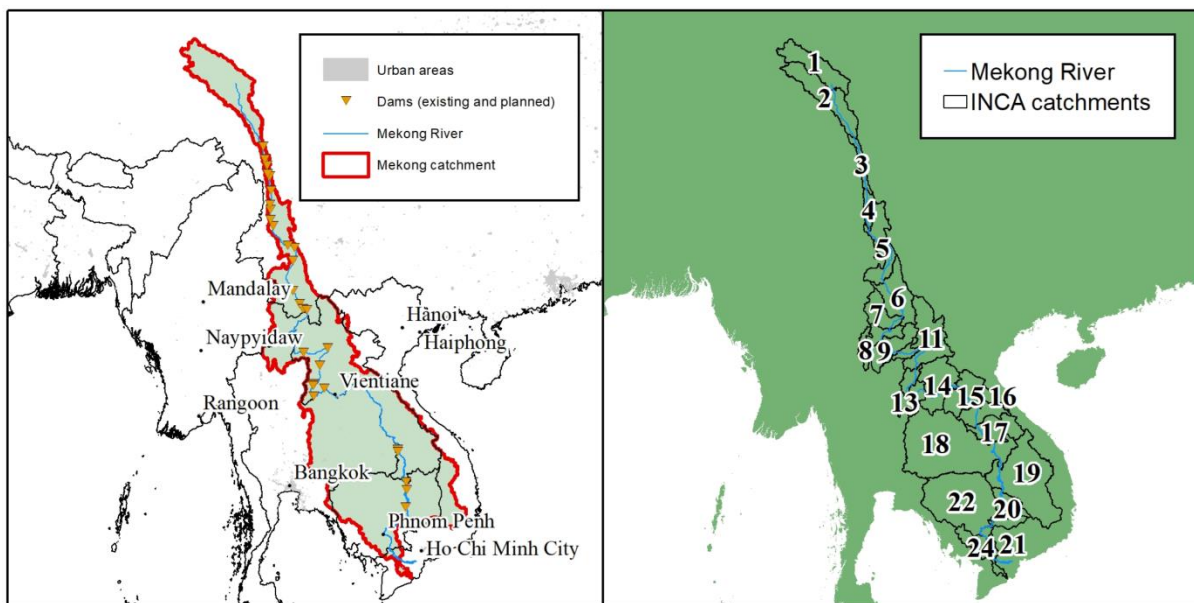
99 In order to consider the impacts on the Mekong, here we apply a dynamic, process-based
100 model of flow and water quality to the entire catchment of the Mekong River system. The
101 Integrated Catchment (INCA) suite of models (Whitehead et al, 2015 a, b, Whitehead et
102 al, 1998 a, b, Wade et al, 2002 a, b) is a dynamic process-based modelling system that
103 accounts for the highly non-linear nature of large, complex rivers, integrating flow, water
104 quality and ecology (Jin et al., 2018a, 2015, Whitehead et al., 2015 a, b Pathak et al, 2018).
105 The main objectives of the study have been to evaluate the impacts of climate change and
106 socioeconomic change on future hydrology and nutrient fluxes in the Mekong River and
107 assess the altered fluxes entering the Vietnam Delta System. Specifically, we use the
108 outputs from a Regional Climate Model (RCM) to downscale precipitation and temperature
109 from a Global Circulation Model (GCM) so as to drive a flow and water quality model for the
110 Mekong under scenarios of future climate and socioeconomic change. These socioeconomic
111 changes include such factors as the intensification of agriculture, deforestation, rising
112 populations and increased industrial development. Moreover, in the Mekong, there have
113 been major developments of dams for hydropower and to support irrigation systems and
114 these dams affect flow regimes and water quality.

115 **THE MEKONG RIVER CATCHMENT**

116 The Mekong River is one of the largest in the world with a catchment area of some 730,000
117 km² and a river length of approximately 4300 km, flowing from the Himalayas through China,
118 Myanmar, Laos, Thailand, Cambodia and Vietnam (Figure 1). The river rises in the Himalaya
119 on the Tibetan Plateau and then flows into Yunnan Province in China. As it travels southeast
120 the river forms the border between Myanmar and Laos and then the border between
121 Thailand and Laos, passing the capital of Laos at Vientiane before entering Cambodia. At
122 Phnom Penh, the river is joined by the Tonle Sap River and then divides to generate two
123 main branches that form the apex of the Mekong's delta. The catchment geology is highly
124 variable, ranging from Himalayan bedrock to sedimentary soils in the lower reaches (Carling,
125 2009), with the river being subject to powerful erosional processes driven by the high
126 monsoon rainfalls and flows. Sediment delivery is historically significant but declining (Darby
127 et al., 2016). This sediment flux plays a large role in maintaining the morphology and stability
128 of the downstream delta system. The Mekong recently has been subject to extensive dam
129 building and this process is continuing with many new dams being planned (Kondolf et al.,
130 2014). Many of the dams are used for generating hydropower, while storage dams are also
131 being developed to supply communities and to sustain agriculture via irrigation schemes
132 (Dunn, 2017). The catchment is a large supplier of food crops, including glutinous rice,
133 maize and cassava, and much of this is sustained by the irrigation waters. Drought is by far
134 the major hydrological hazard in this region, but large floods are also a problem, especially in
135 the lower reaches and in the delta (Dung et al., 2015, Lee et al., 2018, Smaijl et al., 2015).

136 A key element of this present study has been to characterise and model the catchment of
 137 the river system, using a 24 sub-catchment model set up, as shown in Figure 1. Table 1
 138 shows the sub-catchment characteristics, including sub-catchment areas, reach length and
 139 the main cities located along the river system. Land use is a key factor determining water
 140 quality and hydrology, as fertilised agricultural crops can deliver excess nutrients into rivers
 141 (Whitehead, 1990) and forests will alter hydrological mass balance (Buendia et al., 2016;
 142 Herrero et al., 2017). Table 2 shows the land use percentages for the multiple reaches
 143 analysed here and Figure 2 shows the mean phosphorus and nitrate-N concentrations for
 144 water quality based on monthly mean data from the Mekong River Commission.
 145 Interestingly, the nitrate-N data show higher levels in the upper reaches of the catchment,
 146 derived from the relatively high populations in Yunnan Province in China, whereas
 147 phosphorus levels tend to build up down the river system, with higher values at the
 148 Vietnamese border. The Tonle Sap Lake exchanges considerable volumes of water with the
 149 lower Mekong and nutrient levels are high from the lake, reflecting the intense agricultural
 150 development around the lake system (Campbell et al., 2009).

151 The Mekong's water and nutrients (N and P) are transported down the catchment from the
 152 headwater into the delta areas. These nutrients and water fluxes drive the dynamics of the
 153 delta system in terms of ecological sustainability, whilst supporting vital ecosystem services
 154 required for food production and fishing, thereby sustaining human livelihoods. However, too
 155 many nutrients can also cause problems such as eutrophication, generating algal blooms
 156 and low oxygen levels. Thus, it is important to know how future changes upstream coupled
 157 with climate change will affect future water flows and nutrient fluxes.

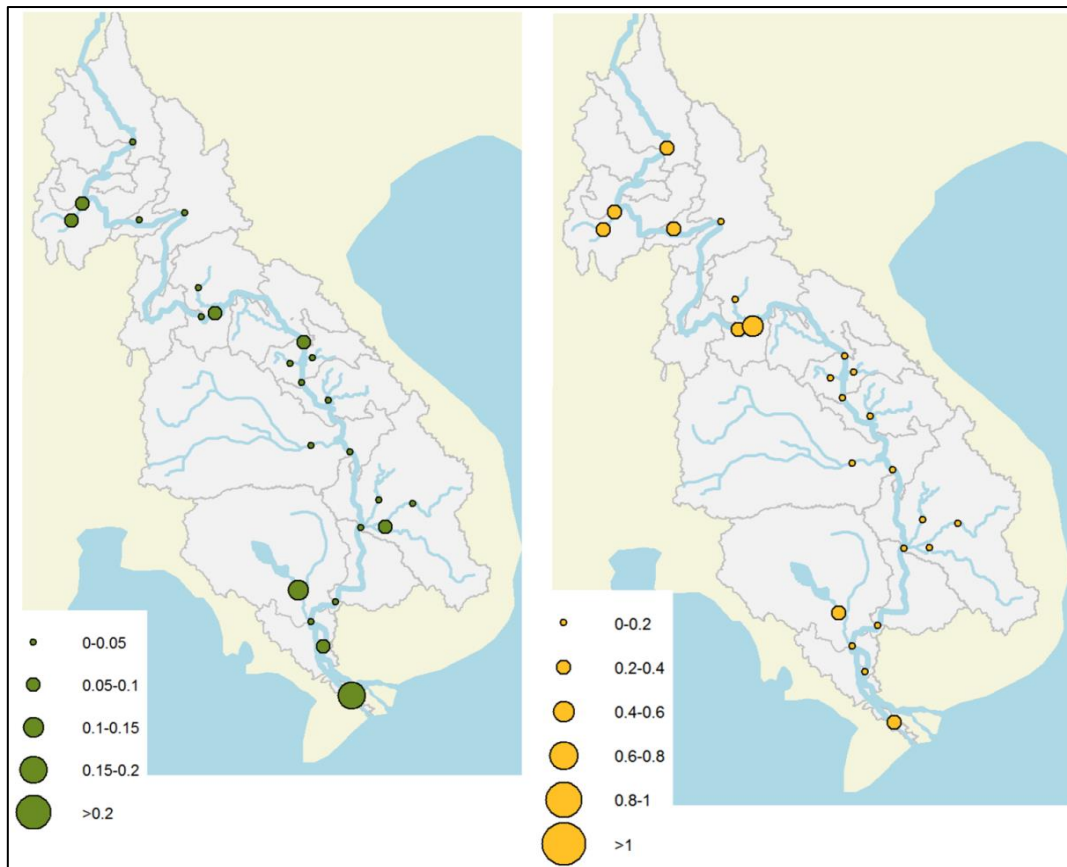


158
 159 Figure 1 Location of the Mekong River system showing major cities (left) and the sub-
 160 catchment and reach structure employed in this study (right).

161
 162

Table 1 Sub-Catchment lengths, areas and main locations

Sub-basin	Reach Name	Length (km)	Area (km ²)
1	Source	310.58	24844.50
2	Reach 2	363.60	77035.97
3	Reach 3	277.78	11262.04
4	Reach 4	244.00	11643.59
5	Reach 5	396.03	39783.31
6	Reach 6	234.02	41949.68
7	Reach 7	123.07	23883.08
8	Chiang Saen	101.88	11805.85
9	Reach 9	140.49	37539.86
10	Reach 10	133.28	7640.31
11	Luang Prabang	141.91	52557.53
12	Chiang Khan	158.35	9570.42
13	Vientiane	159.30	17484.84
14	Nong-Khai	199.63	42020.11
15	Nakhon-Phanom	141.17	37592.89
16	Mukdahan	95.95	20773.42
17	Savannakhet	137.87	29848.73
18	Pakse	180.95	141707.32
19	Stoeng Treng	131.07	93042.48
20	Kampong Cham	234.47	26541.39
21	Kandall	68.75	1049.66
22	Phnom Penn	46.91	92681.80
23	Vietnam border	80.16	3840.82
24	Delta	218.82	17100.44



165

166 Figure 2 Mean monthly distributions of phosphorus (mg/l) (left) and nitrate-N (mg/l) (right)
 167 across the Mekong Catchment (data from the Mekong River Commission- monthly data from
 168 1980 to present).

169

170 INCA FLOW AND WATER QUALITY MODELLING

171 The INCA (Integrated Catchment) Model is a dynamic daily simulation model that predicts
 172 flows and water quality in rivers and catchments (Whitehead et al., 1998 a, b; Wade et al.,
 173 2002 a, b). As shown in Figure 3, the primary aim of INCA is to represent the complex flow
 174 paths, interactions and connections operating in catchments of any scale from small plots or
 175 headwater catchments to very large river basins such as the Mekong. The philosophy
 176 underpinning the INCA model is to provide a process-based representation of the key factors
 177 and kinetics controlling flow and water quality dynamics in both the land and in-stream
 178 components of river catchments, whilst minimising data requirements and model structural
 179 complexity, as proposed by Young (2003). As such, the INCA model produces daily
 180 estimates of discharge, as well as stream water quality concentrations and fluxes, at discrete
 181 points along a river's main channel (the Mekong sub-catchments are shown in Figure 1).
 182 The model is semi-distributed, so that spatial variations in land use and management can be
 183 taken into account. The hydrological and nutrient fluxes from different land use classes and
 184 sub-catchment boundaries are modelled simultaneously and information fed sequentially into
 185 a multi-reach river model.

186 INCA was originally tested on 20 catchments in the UK, and has since been applied to over
 187 50 catchments around the world. Of particular relevance to this study is that the model has
 188 been used to assess the impacts of climate and land use change on large river systems
 189 including the Ganga, Brahmaputra, Mahanadi and Meghna River systems in India and

190 Bangladesh (Jin et al., 2015, 2018a; Whitehead et al., 2015b, 2018b), as well as highly
 191 polluted rivers in Dhaka, Bangladesh (Whitehead et al., 2018a). The major applications of
 192 INCA have been published to date in two special volumes of International Journals, namely,
 193 Hydrology and Earth System Sciences, 2002, 6, (3) and Science of the Total Environment,
 194 2006, 365, (1-3) and there are many other publications.

195

196 Table 2 Land Use percentages in the Sub-Catchments

Sub-basin	Snow and ice	Forest	Grasslands - shrub	Irrigated crops	Rain-fed crops	Urban
1	2.6	1.4	29.4	8.1	58.5	0
2	3.4	10.2	32.6	3.3	50.5	0
3	4.2	51	24	0.4	20.4	0
4	0.2	52.2	17.8	0.9	28.9	0
5	0	36.7	26.7	2.2	34.3	0.1
6	0	45.7	40.7	0.3	13.2	0.1
7	0	41	46.7	0.4	11.9	0
8	0	43.8	45.1	0.3	10.8	0
9	0	33.7	41.6	2.8	21.9	0
10	0	34.3	59.6	0.1	6	0
11	0	40.4	56.7	0	2.9	0
12	0	41.2	51.8	0.1	6.9	0
13	0	28.9	28.4	2.3	40.4	0
14	0	30.2	34	4.8	31	0
15	0	41.4	17.7	6	34.9	0
16	0	38.1	22.4	4	35.5	0
17	0	35.4	26.3	2.7	35.6	0
18	0	10.4	8.1	10.1	71.4	0
19	0	47.8	36.8	0.7	14.7	0
20	0	40.1	32.8	0.7	26.4	0
21	0	19.4	6.6	26	48	0
22	0	30.3	16.9	13.7	39.1	0
23	0	15.4	5.3	34.1	45.2	0
24	0	12.9	8.4	39.2	39.5	0

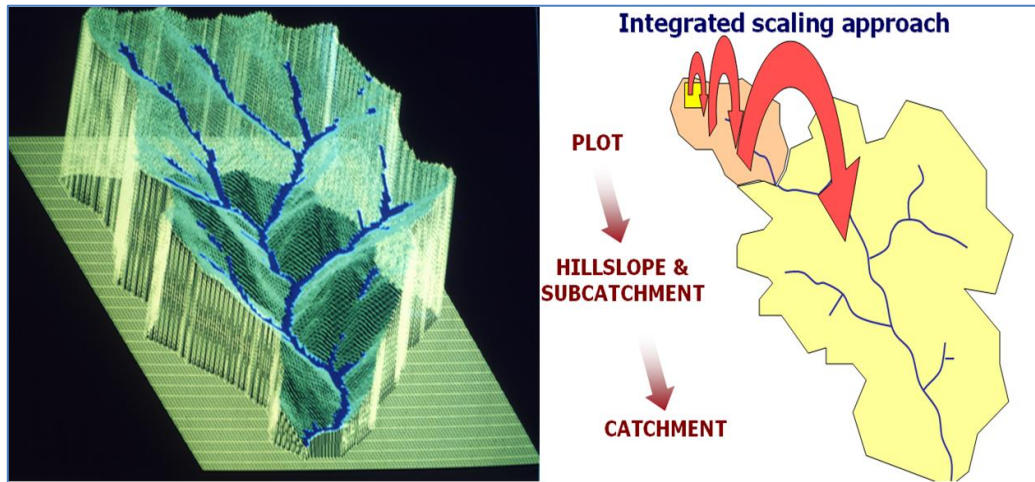
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198 INCA simulates flow pathways and tracks fluxes of solutes and particulates on a daily time
 199 step in both the terrestrial and aquatic portions of catchments. The model system allows the
 200 user to specify the spatial nature of a river basin or catchment, to alter reach lengths, rate
 201 coefficients, land use, velocity-flow relationships and to vary input pollutant deposition loads.
 202 In this paper we have used two versions of INCA: (i) the INCA N version that simulates flow,
 203 nitrate-N and ammonia-N, and (ii) the INCA P version that simulates flow, total phosphorus
 204 (TP) and soluble reactive phosphorus (SRP). The models solve a series of interconnected
 205 differential equations for flow and water quality, as described elsewhere (Wade et al., 2002a,
 206 b; Whitehead et al., 1998 a, b). The equations are solved using the numerical integration
 207 method based on the fourth-order numerical integration Runge-Kutta routine (Whitehead et
 208 al., 1998a). The advantage of this technique is that it allows all equations to be solved

209 simultaneously within a fast and stable algorithm, thereby minimizing numerical instability
210 problems.

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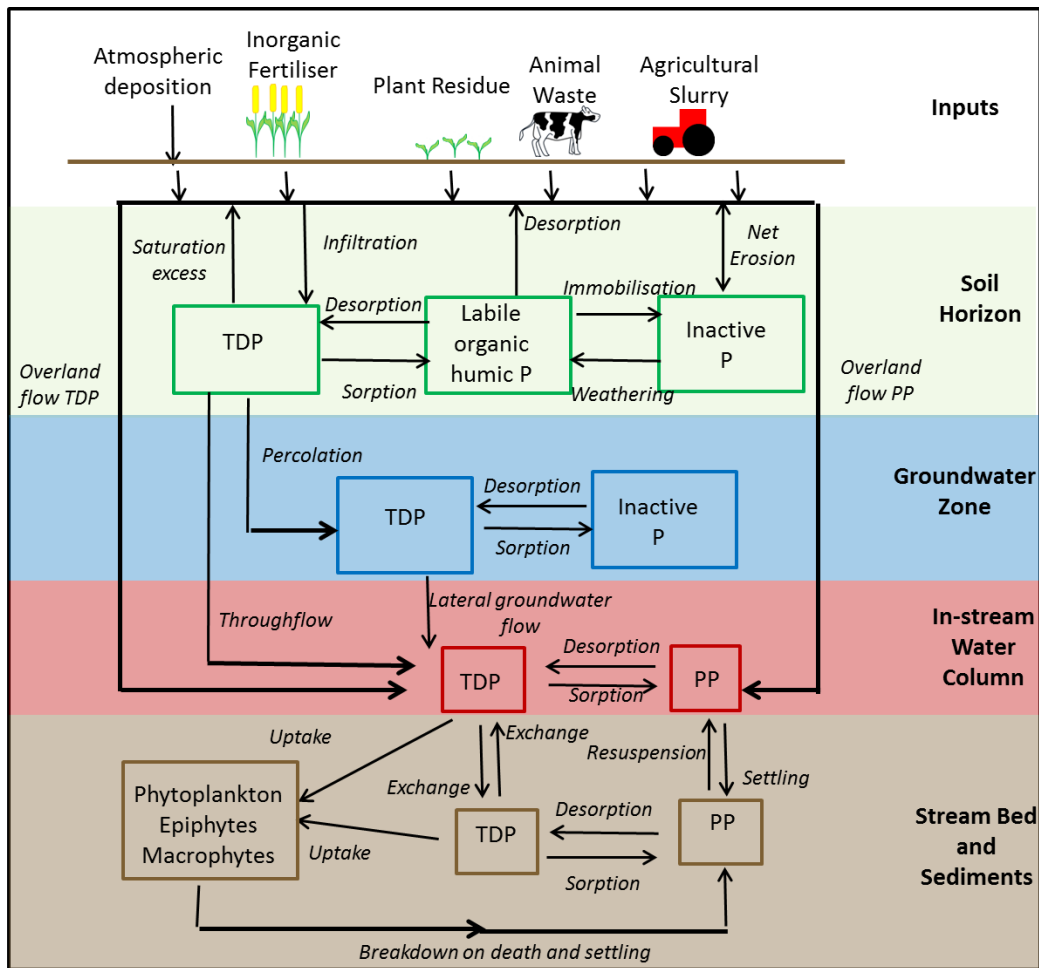


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214 Figure 3 Catchment linkages, connectivity and scaling issues

215 INCA requires input data including the river network topology, reach characteristics, sub-
216 catchment areas, land use, hydrological parameters including rainfall, temperature,
217 hydrologically effective rainfall (HER), and soil moisture deficit (SMD). HER and SMD are
218 generated by the PERSiST model (Futter et al., 2014), which is a watershed-scale
219 hydrological model and is a conceptual, daily time-step, semi-distributed model designed
220 primarily for use with the INCA models.

221 Figure 4 shows the main flow paths and processes in INCA-P for the stream water column,
222 the stream bed, the groundwater system and the soils system. The input fluxes that INCA-P
223 takes into account include atmospheric deposition, inorganic fertilizer, plant residue, and
224 livestock waste and manure application. Stream output is calculated by subtracting various
225 output fluxes such as plant uptake and movement to firmly bound P from these inputs (Wade
226 et al., 2009). Both inputs and outputs are affected by different land use type and
227 environmental conditions such as soil moisture and temperature. INCA-P accounts for
228 stocks of inorganic and organic P in the soil (in readily available and firmly bound forms),
229 and TP in the groundwater and in the stream reaches (Wade et al., 2009). INCA-P accounts
230 for reaction kinetics, nutrient recycling, exchange with the sediments and sediment
231 diagenesis. Thus, phosphorus can build up in the soils and the sediments where they may
232 also become bioavailable depending on soil hydrology, river velocity and temperature
233 conditions.

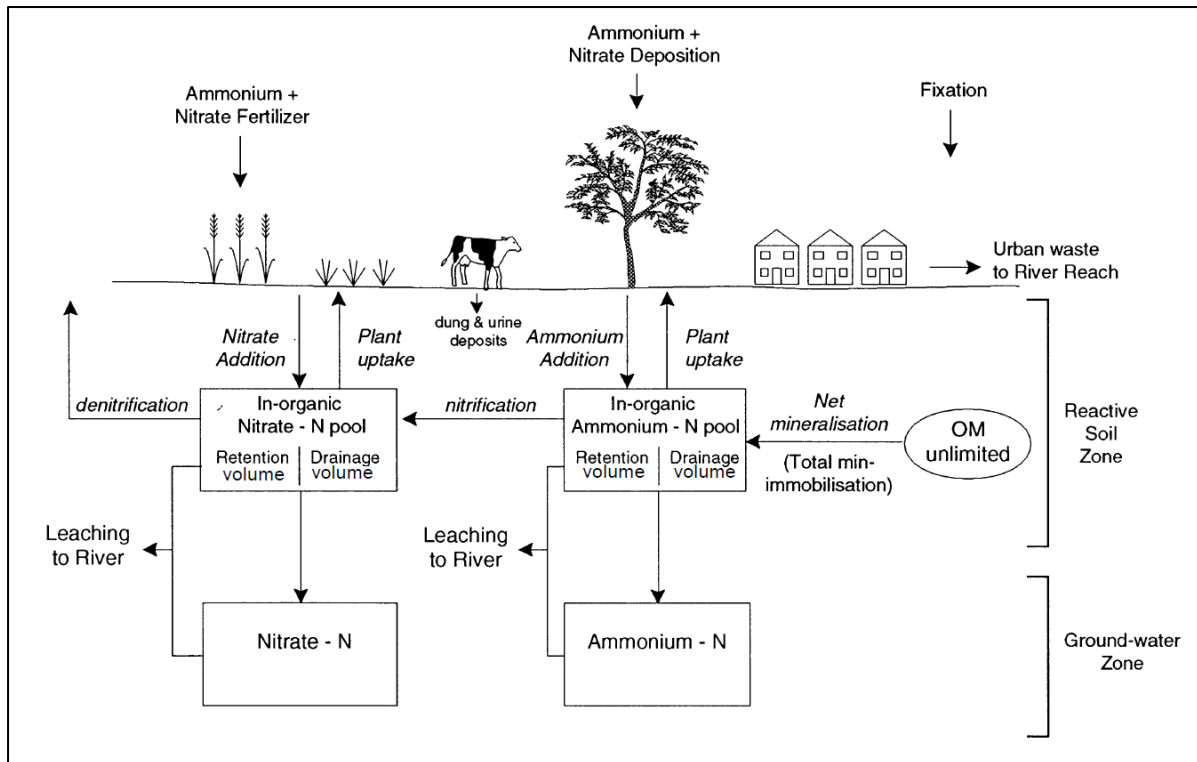


234

235 Figure 4 Description of Phosphorus processes in the INCA-P model including inputs to
 236 catchments and dynamics in soil zone, groundwater, in-stream column and streambed. TDP
 237 and PP stand for total dissolved phosphorus and particulate phosphorus, respectively
 238 (Crossman et al, 2013).

239 For nitrogen, the INCA-N model uses a similar approach as INCA-P with a mass balance
 240 over the soil system and the river system, as shown in Figure 5. The hydrological model
 241 provides information on the flow moving through the soil zone, the groundwater zone and the
 242 river system. Simultaneously, whilst solving the flow equations, INCA-N solves the mass
 243 balance equations for nitrate-N and ammonium-N in both the soil and groundwater zones.
 244 The key processes that require modelling in the soil zone, as shown in Figure 5, are plant
 245 uptake for nitrate and ammonia, ammonia nitrification, denitrification of nitrate, ammonia
 246 mineralisation, ammonia immobilisation and nitrogen fixation. All of these processes will vary
 247 from land use to land use and a generalised set of equations is employed, for which
 248 parameter sets are derived for different land uses. The land phase model also accounts for
 249 all the inputs affecting each land use, including dry and wet deposition of ammonia and
 250 nitrate and fertiliser addition for both (e.g. as ammonium nitrate). Also, temperature and soil
 251 moisture control certain processes so that, for example, nitrification reaction kinetics is
 252 temperature dependent and denitrification and mineralisation are both temperature and soil
 253 moisture-dependent. Along the river system, effluents can be added and processes such as
 254 denitrification of nitrate to nitrous oxide and nitrogen gas and nitrification of ammonia to
 255 nitrate are also accounted for.

256



257

258 Figure 5 The nitrogen cycles operating in the INCA-N model (from Wade et al, 2002 a)

259

260 **APPLICATION OF THE INCA MODELS TO THE MEKONG RIVER**

261 The INCA-N and INCA-P models have been applied to the Mekong River system using
 262 observed flow and water quality data to calibrate and validate the models. Flow data for the
 263 period 1980-2010 at five stations, namely Jinghong (China), Mukdahan (Lao/Thailand
 264 border), Vientiane (Laos/Cambodia), Kratie (Cambodia) and Kampong Cham (Cambodia)
 265 were obtained from the Mekong River Commission (MRC). The 30 years of flow data covers
 266 a wide range of hydrological conditions, including floods and droughts, cyclones and typical
 267 river dynamics and is therefore suitable for the modelling studies.

268 Monthly time-series water quality data were provided by the Mekong River Commission
 269 (MRC), Technical Support Division. Physical and chemical monitoring parameters from 48
 270 monitoring stations located on the main stream and tributaries were used. The water quality
 271 variables considered in this study were nitrate, ammonia, total phosphorus (TP) and soluble
 272 reactive phosphorus (SRP). The MRC water quality records start in the 1980s and continue
 273 to the present day, albeit with only a mean monthly data value available from the MRC.

274 The models were calibrated by adjusting the INCA model parameters such as flow velocity
 275 parameters, nitrogen process parameters including denitrification and nitrification,
 276 phosphorus process parameters to fit the flow and water quality records for the time period
 277 from 1980 to 1985. There has been extensive uncertainty analysis testing of the INCA
 278 models, and Wade et al. (2002 a, b) report on Monte Carlo analysis utilising the Spear and
 279 Hornberger (1980, 1981) Generalised Sensitivity Analysis Techniques to identify the key
 280 parameters controlling system behaviour. Rankinen et al. (2006) applied the GLUE
 281 (Generalized Likelihood Uncertainty Estimation) methodology, combined with quantitative
 282 experimental knowledge, to estimate the INCA-N parameters. Similarly, after performing
 283 uncertainty analysis for INCA-P using GLUE, Dean et al. (2009) concluded that the

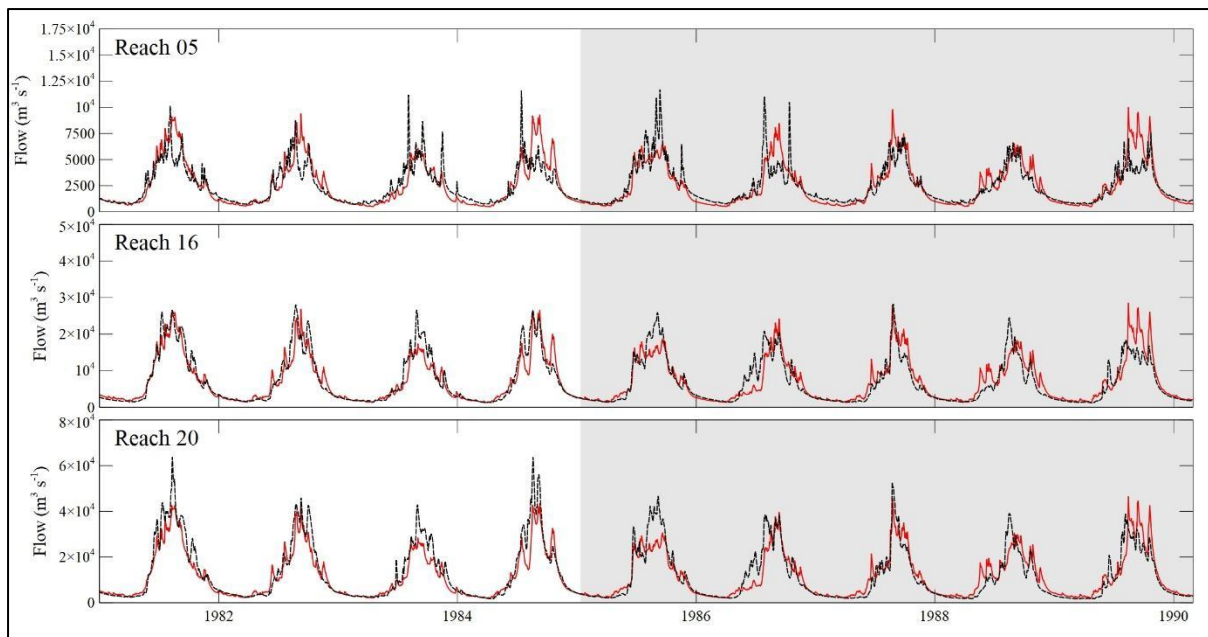
284 uncertainties in the observed values and the uncertainty associated with the model structure
 285 and parameters are similar.

286 The model was subsequently validated for the time period 1985 to 1990. Nash-Sutcliffe
 287 values (NSE) (Nash and Sutcliffe, 1970) and R^2 statistics were used to assess the model
 288 performance. Table 3 shows flow model fit indices for the flow calibration, and Figure 6
 289 shows the observed and modelled flow time series at Reaches 5, 16 and 20, representing
 290 the upper, middle and lower sections of the Mekong. Both model skill parameters show that
 291 a very good fit to the flow data is achieved. Low flows and peak flows are well simulated,
 292 which is remarkable given the size and complexity of the Mekong River system. Figure 7
 293 shows the water quality for the simulated and observed fluxes at reaches 11 and 19 along
 294 the river system. The R^2 values obtained are both over 0.63, indicating that the model is
 295 creating reasonable flux estimates down the river.

296 Table 3 Modelled River Flow Nash Sutcliffe Estimate (NSE) and R^2 Indices using INCA

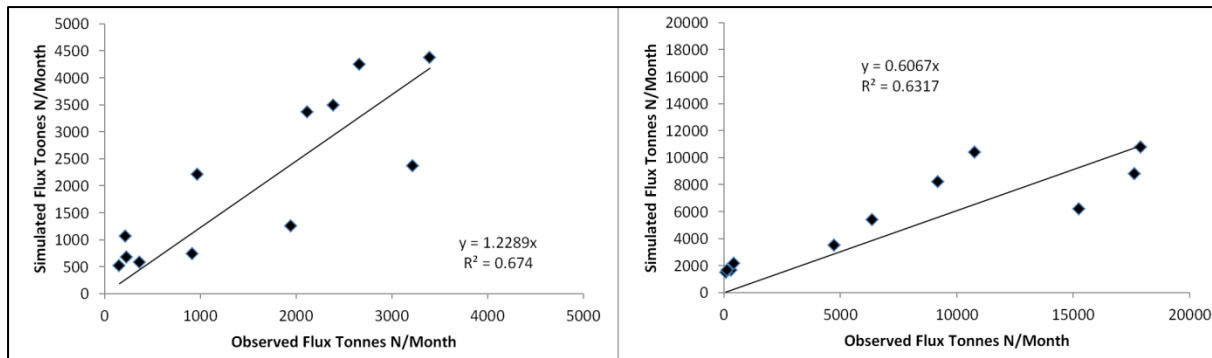
Catchment Reach	Calibration (1980-1985)		Validation (1985-1990)	
	NSE	R^2	NSE	R^2
5	0.57	0.69	0.61	0.69
16	0.87	0.88	0.76	0.78
20	0.85	0.86	0.80	0.80

297



298

299 Figure 6 Flow calibration (white area) and validation (grey area) at three reaches of the
 300 Mekong River. The broken black line is the observed flow and the red line is the simulated
 301 flow.



302

303

304 Figure 7 Monthly mean simulated and observed nitrogen fluxes at Luang-Prabang (Reach
 305 11- left) and Stoeng-Treng (Reach 19 - right) for the period 1990 to 2010.

306

307 **CLIMATE AND SOCIOECONOMIC SCENARIOS FOR THE MEKONG CATCHMENT**

308 In order to apply INCA-N and INCA- P to make projections about the potential impacts of
 309 future environmental change on flow and nutrient fluxes a wealth of data and information is
 310 required, not just on climate but on future socioeconomic changes that might affect the flow
 311 and water quality. Here we describe the climate and socioeconomic scenarios that have
 312 been used for this purpose. Whilst the climate models can run to 2100, predicting
 313 socioeconomic change up to 2100 is subject to too much uncertainty and country
 314 development plans do not extend to this time horizon. In this paper we assume a set of
 315 socioeconomic conditions pertaining to the 2050s covering damming and reservoir
 316 construction alongside changes in climate, population, land use, agriculture, and
 317 industrialisation, as detailed in the sub-sections below.

318 ***Climate***

319 Global Circulation Models (GCMs) typically have coarse spatial resolutions with horizontal
 320 grid boxes of a few hundred kilometres in size, and cannot provide the high-resolution
 321 climate information that is often required for climate impact and adaptation studies. The use
 322 of a regionally coupled model (RCM), which dynamically downscales the GCM simulations
 323 with the RCM being driven using GCM boundary conditions, can provide higher resolution
 324 grids (typically 25km or finer) and is better able to represent features such as local
 325 topography and coast lines and their effects on the regional climate. There have been
 326 relatively few climate impact studies focused upon the Mekong River Basin that have used
 327 RCM outputs (Thuc et al., 2016). Whitehead et al. (2015a) used a 25 km RCM resolution
 328 model over south Asia for the period 1971-2099, downscaled by the Met Office using the
 329 PRECIS RCM system, and applied the model to the Ganges, Brahmaputra and Meghna
 330 River Systems (Whitehead et al., 2015a, 2018b). The RCM was based on the atmospheric
 331 component of the HadCM3 GCM (Gordon et al., 2000) with substantial modifications to the
 332 model physics (Jones et al., 2004). For the Mekong we also employ the PRECIS RCM
 333 model using the Global Circulation Model GFDL-CM to provide the boundary conditions
 334 (Thuc et al., 2016). The combined modelling system generates daily simulations for the
 335 period 1971-2098. The climate modelling system has also been set up by the UK Met Office
 336 to produce the Representative Concentration Pathways (RCP) 4.5 and 8.5 simulations as
 337 these represent the latest Intergovernmental Panel on Climate Change (IPCC) and Paris
 338 Accord recommendations for 2 climate futures (Edenhofer et al., 2014; Janes et al., 2019;
 339 Thuc et al., 2016). RCP 8.5 is consistent with greenhouse gas emissions continuing to rise

340 throughout the 21st century and represents a relatively challenging situation for climate
341 change adaptation, but one that does not appear unrealistic given recent trends in carbon
342 emissions. RCP 4.5 is considered the greenhouse gas release strategy required to meet the
343 2.0 °C aspiration for the Paris Accord.

344 **Socio-economics**

345 Population change, dam development, industrial development, agricultural fertiliser use and
346 land use change have the strong potential to affect flows and water quality in river systems
347 and hence impact downstream coastal environments (Kebede et al., 2018; Whitehead et al.,
348 2015b). In terms of the socio-economic scenarios for the Mekong, there are many possible
349 futures and so we defined a narrative based on the IPCC Shared Socio-economic Pathways
350 or SSPs (Edenhofer et al., 2014). In this study the SSP scenarios selected are based on

- 351 1. A high and a moderate economic growth scenario including industrial development,
352 dam development and land use change up to the 2050s, with these changes
353 constant beyond 2050.
- 354 2. A high and low population growth profile up to the 2050s, with these changes
355 constant beyond 2050.

356 These 2 by 2 economic and population growth scenarios therefore produce 4 combinations
357 and when combined with the RCP 4.5 and 8.5 climate scenarios give a total of 8
358 combinations that are explored herein. The following sub-sections present a brief description
359 of the factors involved and how we have changed parameters in the model to reflect these
360 scenarios.

361 *Future Population and Effluent Discharges*

362 Population forecasts for Mekong River countries (China, Laos, Thailand, Cambodia and
363 Vietnam) vary widely depending on assumptions about fertility rate and economic well-being.
364 UNDP population projections (UNDP, 2018) for the 2050s indicate average population
365 increases of 18.7% under a moderate fertility rate, and a 27.3% increase under a higher
366 growth rate, across the Mekong catchment. Population increases drive demand for food and
367 hence agricultural and land use change. They also drive domestic effluent discharges and
368 Table 4 shows the main areas of population and the likely point urban effluent generated
369 under current conditions, assuming 150l/day of water usage (Chapra, 2018). As the
370 population rises, effluents will rise proportionally and these changes can be incorporated into
371 the models to reflect future conditions. These effluent discharges directly affect water quality
372 as N and P concentrations in domestic effluents are quite high and of the order of 19 mg/l of
373 ammonium-N, 15 mg/l of nitrate-N and 8 mg/l of phosphorus (Chapra, 2018). Construction of
374 sewerage systems and sewage treatment plants are important in controlling effluent
375 discharges and it is assumed here that only primary treatment is provided and not secondary
376 or tertiary treatment to remove nutrients.

377

378 Table 4 Current Urban Population Numbers along the Mekong and Effluent Discharges

Reach	Location	Population	Effluent m ³ /s
3	Yunnan	2000000	3.11
9	Chiang Saen	53500	0.09
11	Luang Prabang	56000	0.1
12	Chiang Khan	59,000	0.1
14	Vientiane	196000	0.34
14	Nong Khai	48000	0.08
15	Nakhon Phanom	27000	0.05
16	Mukdahan	180600	0.31
17	Savannakhet	66553	0.12
18	Pakse	88332	0.15
20	Stung Treng	29665	0.05
20	Kratié	19975	0.03
22	Phnom Penh	1500000	2.6

379

380 *Future Water demand for irrigation and public supply*

381 The demand for public water supply will increase with population growth and changes in
 382 irrigation water demand reflect changes in agriculture and land use. Increased dam
 383 developments will also affect water supply and water velocity as both run of river hydro-
 384 electric schemes will be developed, as will storage dams for irrigation purposes and public
 385 water supply. However, agricultural changes in the Mekong countries are difficult to predict
 386 as any changes will depend on factors such as world food prices, which are driven by
 387 increasing global population, potential food scarcity, and how farmers react to changing crop
 388 prices. Other key influential factors include technological developments, such as the
 389 introduction of new crop varieties adapted to changing local environmental conditions. A
 390 detailed assessment of historical and future dam development by Dunn (2017) provides an
 391 up to date analysis of dam capacity. Table 5 shows the historic and future irrigation dam
 392 volumes and flow equivalents down the Mekong for each reach along the river system.
 393 These will be the primary dams that affect river flows as they extract water from the rivers
 394 and supply the water to irrigation systems. It is generally the case that dams are rarely
 395 completely drawn down for reasons of dam safety, and so we have assumed that dams are
 396 drawn down on average by 30% (Manley, 2018, personal communication). The other type of
 397 dams on the Mekong are for hydropower and the 'run of river' dams do not remove water
 398 from the river system but just use the water temporarily to generate hydroelectricity.

399

400

401 Table 5 Historic and Future Irrigation Dam Volumes

INCA Reach	Historical Dams		Future Additional Dams	
	Dam Volume (MCM-10 ⁶ m ³)	Daily Flow Equivalent m ³ /sec	Dam Volume (MCM-10 ⁶ m ³)	Daily Flow Equivalent m ³ /sec
3			75	2
4	316	10		
5	16525	524		
6	25263	801		
7			1479	46
10	3611	114	2909	92
11	2082	66	8582	272
12			4210	133
13			1378	43
14	9230	292	4057	128
15	6500	206	2772	87
16	165	5		
18	7163	227	4186	132
19	15694	497	5149	163
20			3794	120

402

403 *Future Land use change*

404 The Mekong River Commission and the UN Food and Agriculture Organisation (FAO) (World
 405 Agriculture Report 2013) have reviewed projected changes in agriculture in the Mekong
 406 Catchment and associated countries. Agriculture is vital to raising standards of living, to
 407 improving livelihoods and to poverty reduction in the basin. There are over 12,500 irrigation
 408 schemes, with farmers producing enough rice to feed 300 million people per year (Mekong
 409 River Commission, 2010). The predicted changes in agriculture include a significant
 410 expansion of irrigated and rain-fed agriculture, with consequent reductions in forest cover,
 411 resulting in an increased area of double/triple crops to meet enhanced food demands. There
 412 is also predicted to be increased use of fertilizers to boost crop yields, resulting in increases
 413 in agricultural production estimated to be between 30% and 45%, according to the FAO
 414 World Agriculture Report 2013. Interestingly, these changes match the projected increases
 415 in dam capacity for irrigation (Table 5). In order to account for such change in the INCA
 416 modelling we use the 45% and 30% increases in agriculture as a high growth rate and as a
 417 moderate growth rate, respectively, with consequential reductions in forest cover.

418 *Future Atmospheric Nitrogen Deposition*

419 Atmospheric nitrogen pollution has become an increasing problem around the world, as
 420 industrial development, power generation and ammonia release from intensive agriculture
 421 has expanded. For example, across Europe, a set of Nitrogen Protocols have been
 422 established by the UN/ECE Commission of Transboundary Pollution and these protocols
 423 have been agreed and implemented by all EU countries. Deposition can be high, with 25 kg
 424 N per hectare per year being deposited in certain parts of Europe, such as the UK. Across
 425 Asia, nitrogen deposition is also of concern and there have been empirical studies as well as
 426 critical load assessments (Duan et al., 2016; Hettelingh et al., 1995).

427 The effect of high atmospheric N is to alter the terrestrial ecology of plants and natural
428 vegetation, and provide a baseline source of N to groundwaters and streams, which can
429 then affect aquatic ecology. Research in the Himalayas (Collins et al., 1999), in which INCA
430 N was applied to a range of basins, suggests generally low concentrations of atmospheric N.
431 Across India, however, levels are likely to be much higher, with greater urban and industrial
432 sources of atmospheric N (Whitehead et al., 2018b, 2015b). In the future, in the Mekong
433 catchment, increased industrial development and more intensive farming methods will cause
434 atmospheric N concentrations to increase. INCA N can incorporate these effects as
435 deposition loads to the sub-basins, and thus N levels are altered in the projections showed in
436 this paper to reflect the different socio-economic scenarios into the future. It has been
437 assumed that current N deposition rates are in line with those reported by Adon et al. (2015)
438 of 5 kg/ha/year of wet deposition and 3.8 kg/ha/year of dry deposition. Under the moderate
439 growth scenario, we assume that these deposition rates will increase to 7 kg/ha/year and 5
440 kg/ha/year for wet and dry deposition respectively, by the 2050s, generating a total N
441 deposition of 12 kg/ha/year. Under the high growth rate scenario, we assume that total N
442 deposition will reach 15 kg/ha/year by the 2050s.

443

444 **RESULTS OF SCENARIO ASSESSMENT**

445 In this section we discuss the results of the model projections under the various scenarios
446 described above.

- 447 1. Two climate scenarios (RCP 4.5 and 8.5, representing the Paris Accord 1.5°C rise
448 and a 3-4 °C rise, respectively).
- 449 2. Two socioeconomic scenarios representing a high growth future and a moderate
450 growth future.
- 451 3. Two population scenarios with a high population growth and a lower sustainable
452 population growth.

453 ***Climate Change Impacts***

454 Figure 8 shows the model decadal projections for altered river flows through to the end of
455 the century under each of the two climate change scenarios. A rising trend in mean, low and
456 high flows is observed in Figure 8. These results are summarised in Table 6, where the
457 projected percentage changes in mean, Q5 and Q95 river flows from the 1998-2017
458 conditions are shown. Q5 and Q95 represent the extremes of flow with Q5 representing the
459 flow rate that is equalled or exceeded for 95% of the time and Q95 the flow rate that is
460 equalled or exceeded for 5% of the time. Thus Q5 characterises the high flow or flood
461 conditions with Q95 representing the low flow condition. The RCP 4.5 results suggest that
462 there will not be large changes in mean flow conditions by the 2050s but that mean flows will
463 increase substantially by 24% towards the end of the century. High flow conditions (Q5) will
464 increase by 27% by the end of the century under both scenarios and these changes in high
465 flows suggest increased flooding events along the Mekong. Interestingly the low flows (Q95)
466 decline slightly by the 2050s, suggesting increased low flow periods or enhanced droughts
467 by the mid-century.

468

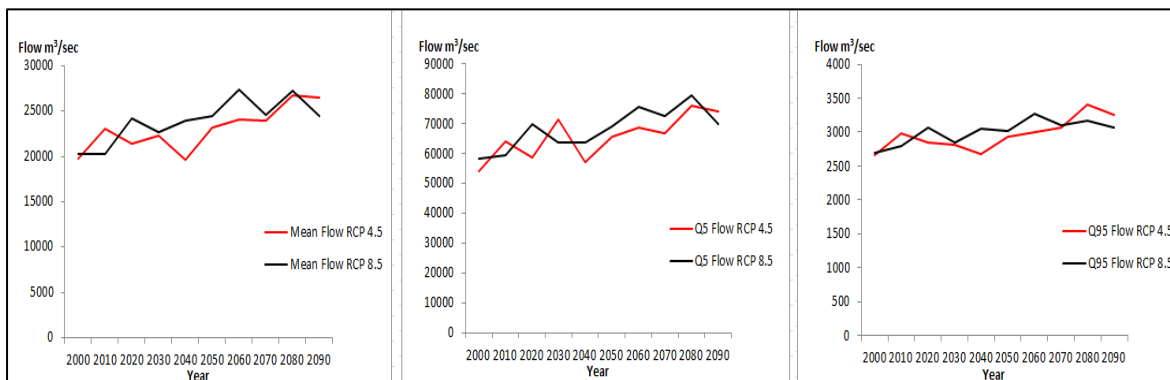
469 Table 6 Projected percentage changes in flow for the 2050s and 2090s from current (1998-
 470 2017) conditions under the RCP 4.5 and RCP 8.5 scenarios

	Climate Scenario GFDL 4.5			Climate Scenario GFDL 8.5		
	Mean	Q5	Q95	Mean	Q5	Q95
2040-2060	-0.04	4.22	-0.74	19.00	12.72	10.71
2080-2100	24.11	27.19	17.88	27.39	26.63	13.73

471

472 The results are also interesting when considered from a monthly or seasonal perspective.
 473 Figure 9 shows the monthly flows and water quality for current conditions for the 2050s and
 474 the 2090s under the RCP 4.5 and the RCP 8.5 climate scenarios. The peak flow clearly
 475 increases, but there is also a shift in the distribution of the monthly flows, with the monsoon
 476 flows arriving almost one month earlier than current conditions by the 2050s. This shift in
 477 timing is also seen in the RCP 8.5 scenario. This is quite a dramatic result and could mean
 478 flooding issues will arise earlier in the year and also suggests a longer monsoon period.

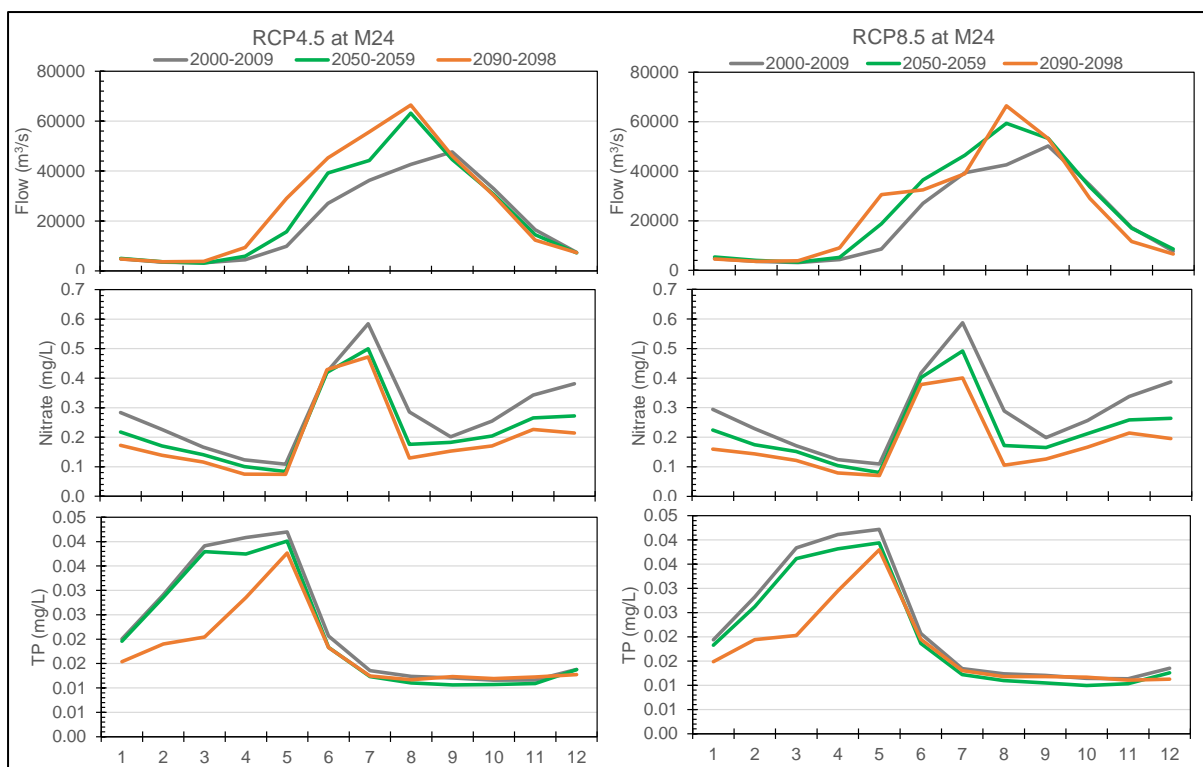
479 The water quality results as shown in Figure 9 tend to reflect the rising flow conditions. The
 480 nitrate-N results show reduced concentrations in the low flow conditions, reflecting the
 481 denitrification processes operating in the river, but increases in nitrate-N in the monsoon
 482 flows. This suggests a flushing of N out of the soils and the catchments driven by the wetter
 483 conditions and higher soil water flows. This behaviour is seen elsewhere after dry or drought
 484 conditions (Whitehead 1990). Phosphorus shows a very different pattern, with high
 485 concentrations in the low flow periods and substantial reductions in the monsoon flows. Both
 486 these patterns are consistent with point source pollution for towns and cities along the river
 487 with limited dilution of effluents in low flows, thereby generating higher phosphorus
 488 concentrations. The monsoon flows then dilute the effluents and thus create lower
 489 concentrations.



490

491 Figure 8 Future decadal changes in flow (Mean, Q5 and Q95) under RCP 4.5 and 8.5
 492 carbon emission scenarios.

493



494

495 Figure 9 Mekong monthly flow and nitrate-N and total phosphorus (TP) concentrations at the
 496 Mekong delta apex for the baseline period, and the 2050s and 2090s for the RCP 4.5 and
 497 RCP 8.5 carbon scenarios.

498

499 **Combined Climate and Socioeconomic Change**

500 The combined results of the climate change and socio-economic changes are presented in
 501 Tables 7 and 8 and show the percentage changes for river flows and water quality from the
 502 baseline conditions as well as the flux transport of nutrients. The results suggest that socio-
 503 economic change as modelled here do not result in a major response of the flows, partly
 504 because of the sheer volume of monsoon flows and the overwhelming impact of increased
 505 precipitation, although dam development may affect low flows. The water quality trajectories
 506 are also quite consistent and show that the socioeconomics do not alter water quality
 507 significantly but that climate change and the increased flows dominate, generating reduced
 508 nutrient concentrations due to the dilution effects of increased monsoon flows. The total
 509 phosphorus increases in the high growth and high population simulations, but falls in the low
 510 population scenarios reflecting the reduced effluent discharges. These concentration
 511 changes are reflected in the flux calculations. There is a large load of nitrogen and
 512 phosphorus delivered to the Mekong delta, of the order of 180,000 tonnes of N and 12,000
 513 tonnes of P per year. These fluxes help to provide key nutrients for the delta's agricultural
 514 system, but will also contribute to elevated eutrophication risk in the delta, coastal and ocean
 515 system. Eutrophication could be a very important problem in the future, as excessive nutrient
 516 loads will increase algal blooms. These blooms eventually die and decay generating a high
 517 organic load on the water system which will reduce dissolved oxygen levels. Low oxygen
 518 levels can damage fisheries and livelihoods in coastal and delta systems.

519 Future fluxes look likely to change slightly, with decreases of 8.3% and 0.7% for N and P
 520 respectively under the RCP 4.5 scenario by the 2050s and increasing by 5% under the RCP
 521 8.5 scenario for N, with P further falling by 2.0%. These changes are not large and reflect the

522 myriad of factors controlling nutrient behaviour, including changing flows (and hence
 523 residence times), dam development, temperatures, agriculture and land use and population.

524 Table 7 Flow and Water Quality Percentage Changes from Baseline Conditions under
 525 Combined Climate and Socio-economic Scenarios for the 2050s (pop = population number)

Scenario	Flow % Change			Water Quality % Change	
	Mean	Q5	Q95	Nitrate	TP
RCP 4.5 High Growth High Pop.	-0.1	5.9	1.6	-7.2	1.59
RCP 4.5 High Growth Low Pop.	-0.1	5.9	1.5	-7.62	-2.69
RCP 4.5 Medium Growth High Pop.	-0.1	5.9	1.6	-8.98	1.53
RCP 4.5 Medium Growth Low Pop.	-0.1	5.9	1.5	-9.4	-2.96
RCP 8.5 High Growth High Pop.	13.4	14.7	10.8	-7.35	-11.87
RCP 8.5 High Growth Low Pop.	13.4	14.7	10.8	-7.73	-15.29
RCP 8.5 Medium Growth High Pop.	13.4	14.7	10.8	-9.16	-11.84
RCP 8.5 Medium Growth Low Pop.	13.4	14.7	10.8	-9.54	-15.25

526

527 Table 8 Nitrogen and Phosphorus Fluxes at Baseline Conditions and under Combined
 528 Climate and Socio-economic Scenarios for the 2050s (pop = population number,
 529 Tonnes/year = 10³ kg/year)

	Flux Tonnes/year		Flux % Change	
	Nitrate	Phosphorus	Nitrate	Phosphorus
Baseline 1998-2017	180651	11824		
RCP 4.5 High Growth High Pop.	167559	12006	-7.2	1.5
RCP 4.5 High Growth Low Pop.	166795	11500	-7.7	-2.7
RCP 4.5 Medium Growth High Pop.	164346	11999	-9.0	1.5
RCP 4.5 Medium Growth Low Pop.	163581	11468	-9.4	-3.0
RCP 8.5 High Growth High Pop.	189852	11820	5.1	0.0
RCP 8.5 High Growth Low Pop.	189066	11361	4.7	-3.9
RCP 8.5 Medium Growth High Pop.	186143	11824	3.0	0.0
RCP 8.5 Medium Growth Low Pop.	185358	11366	2.6	-3.9

530

531

532 **CONCLUSIONS**

533 This study is one of the first water quality impact studies for the whole Mekong catchment
 534 with the combined assessment of climate change and socioeconomic change. Also, for the
 535 first time a process based dynamic model of flow and water quality has been applied to the
 536 entire Mekong catchment from the Himalaya to the delta in Vietnam. The model projections
 537 provide valuable insights into how water quality and flow might change into the future,
 538 knowledge that is invaluable for planners and local stakeholders to assess impacts of
 539 environmental change and can be used to support planning and strategic decision making in
 540 the Mekong River System.

541 There are many uncertainties associated with simulating water quality in river basins, with
 542 extensive information being required about the catchment physical and chemical
 543 characteristics. Fortunately, in the case of the Mekong, the Mekong River Commission has
 544 some excellent data sets for flow and water quality and there are now many digital terrain
 545 and land use maps online that can be accessed. One area that seems always difficult to
 546 estimate is the type and amount of fertilizers that farmers are using (and will use in the
 547 future) and only generic information is often available. In the Mekong it is anticipated that
 548 agriculture will become more intensive over time, so that nutrient levels may increase.

549 From this study, it appears that climate change will substantially affect river flow and water
550 quality into the future, with larger mean flows and a strong likelihood of enhanced flooding
551 and also extended drought periods. The low flows will also be exacerbated by the myriad of
552 dams being built in the upstream catchment and over time these will reduce low flows in the
553 dry periods. Moreover, a shift in the seasonal patterns is projected, with flow with the
554 monsoon arriving a month earlier than at present, which could have an impact on agriculture
555 and livelihoods, requiring new policy and planning strategies. These changing flows will alter
556 water quality conditions by dilution during the monsoon floods and thus will alter the fluxes of
557 nutrients entering the delta system in Vietnam. The nutrients will have positive and negative
558 effects, perhaps enhancing agriculture as higher nutrients will increase crop production, but
559 with a potential negative effect of exacerbating eutrophication in the estuary and in coastal
560 waters. This could generate excessive algal blooms and also create low oxygen
561 concentrations, thereby damaging ecological diversity.

562 **ACKNOWLEDGMENTS**

563 The authors are grateful for support from the project BRAGS, Building Resilient Agricultural
564 Systems: Sustainable Livelihoods in Mega Deltas under Environmental Change. The project
565 has been funded by the Biotechnology and Biological Sciences Research Council under a
566 Global Challenges Research Foundation Award for Global Agriculture and Food Systems
567 Research (BB/P022693/1)

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