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Thermal Behavior of Unsaturated Silt at High Suction Magnitudes

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Abstract

A triaxial cell was developed to investigate the shear strength of unsaturated silt under elevated temperatures and high suction magnitudes. The results from a series of drained triaxial compression tests on compacted silt specimens are presented in this paper. After anisotropic compression, some specimens were heated before suction was applied while others were heated after suction application. The shear stress-strain curves of the soils under high suction magnitudes showed a brittle failure mechanism, with a clear increase in peak shear strength with net confining stress. Heating after suction application led to a greater peak shear strength than reference tests at ambient temperature, while heating before suction application led to a lower peak shear strength. Despite the observed path effects, a single peak failure envelope was defined when evaluating the data in terms of effective stress. The suction stress concept was used to define the effective stress, and the values of suction stress were found to be linked with a nonisothermal definition of the soil-water retention curve.

Keywords

Unsaturated soil; shear strength; temperature; high suction

List of notation

c	is the apparent cohesion
e_o	is the initial void ratio
M_W	is the molecular mass of water vapour
M_{CSL}	is the slope of the critical state line
M_{peak}	is the slope of the peak failure envelope
N	is a reference specific volume point on the virgin compression line
N_{vG}	is an empirical parameter of the van Genuchten (1980) soil-water retention curve
p	is the mean total stress
p'	is the mean effective stress
R_h	is the relative humidity of the pore air in decimal form
R	is the universal (molar) gas constant
T	is the temperature
T_f	is a final observed temperature
T_r	is a reference temperature
u_w	is the pore water pressure
u_a	is the pore air pressure
v_κ	is a reference specific volume point on the recompression line
α_{GS}	is an empirical parameter of the Grant and Salehzadeh (1996) soil-water retention curve
α_{vG}	is an empirical parameter of the van Genuchten (1980) soil-water retention curve
β_0	is an empirical parameter of the Grant and Salehzadeh (1996) soil-water retention curve
ΔT	is the change in temperature
ε_v^p	is the plastic volumetric strain
ϕ'	is the effective friction angle
λ	is the slope of the virgin compression line
λ_{GS}	is an empirical parameter of the Grant and Salehzadeh (1996) soil-water retention curve
κ	is the slope of the recompression line

1	ρ_w	is the density of water
2	σ_s	is the suction stress
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4	σ'	is the effective stress
5	σ	is the total stress
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7	σ_n	is the net stress
8	ψ	is the suction
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10	ψ_{aev}	is the air entry suction
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12	Ω	is an effective stress scaling parameter in the model of Khalili and Khabbaz (1998)
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1. Introduction

An improved understanding of the thermo-hydro-mechanical behaviour of unsaturated soils during application of elevated temperatures and high suction magnitudes is needed to interpret the behaviour of thermally-active geotechnical systems located above the water table.

Examples of these systems include ground-source heat exchangers (Preene and Powrie 2009), energy foundation systems (Brandl 2006; Laloui et al. 2006; Adam and Markiewicz 2009; Bourne-Webb et al. 2009; Murphy et al. 2015), heat dissipation embankments (McCartney 2012; Coccia and McCartney 2013; Stewart et al. 2014), containment systems for nuclear waste (Gens et al. 1998; Kanno et al. 1999), and backfills for buried electrical cables (Abdel-Hadi and Mitchell 1981; Brandon et al. 1989). When these thermally-active geothermal systems are operated in heating mode, thermally-induced water flow may cause the unsaturated soil surrounding the heat exchangers to dry to the point that very high suction magnitudes may be encountered.

The shear strength and volume change behaviour of unsaturated soils under high suction magnitudes due to changes in temperature remain largely uncertain due to the lack of supporting experimental data in the literature. Although data is available on the thermo-hydro-mechanical response of compacted silt under low suction magnitudes (Uchaipichat and Khalili 2009), the behaviour of compacted silt under high suctions may differ. Specifically, the pore water under very low degrees of saturation (less than 5%) may have different effects on the effective stress state and the thermal volume change response than under higher degrees of saturation. Small changes in volume of the pore water during temperature changes may lead to large changes in suction at the tail-end of the soil-water retention curve (SWRC), and the soil may retain less water under high temperatures (Grant and Salehzadeh 1996; Romero et al. 2003). Further, the role of suction and degree of saturation in the definition of effective stress at high suction magnitudes and nonisothermal conditions is uncertain, as most studies linking these variables involved verification using results from tests involving suction magnitudes less than 500 kPa (Khalili and Khabbaz 1998; Lu et al. 2010). Due to the lack of experimental data on shear strength and volume change under high suctions and nonisothermal conditions, the suitability of extending effective stress-based thermo-elasto-plastic constitutive relationships developed for saturated soils to unsaturated conditions has not been assessed.

The primary objective of this study is to understand the impact of temperature on the shear strength and deformation behaviour of compacted, unsaturated silt specimens under high suction magnitudes. Specifically, this study involves an investigation into the effects of change in temperature on the SWRC, volume change response, shear-stress strain curves, and failure envelopes of unsaturated soils under high suction magnitudes. To accomplish the objective of this study, a new triaxial cell was developed to measure the shear strength of unsaturated soils under elevated temperatures and high suction magnitudes. Specifically, the vapour flow technique developed by Likos and Lu (2003) was employed in the triaxial cell to control the total

1 suction in the specimen, along with a temperature control system to apply elevated
2 temperatures. The details of the triaxial cell were defined based on the experience of
3 Uchaipichat et al. (2011), including the use of a set of resistance heaters within the triaxial cell,
4 a glass pressure cell, cell fluid circulation, and redundant approaches to measure specimen
5 volume change.
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8 9 **2. Background**

10 ***2.1 Influence of Temperature on SWRC***

11 The effect of temperature on the SWRC of compacted soils under high suction magnitudes has
12 been investigated in several studies. This is important to consider as changes in the SWRC
13 may affect the mechanical behaviour of unsaturated soils (Romero et al. 2001; Uchaipichat and
14 Khalili 2009). Studies in the area of soil physics by Grant and Salehzadeh (1996) and She and
15 Sleep (1998) observed that an increase in temperature leads to a decrease in degree of
16 saturation for a given suction. They attributed this shift to changes in the soil-water contact
17 angle, a reduction in the interfacial tension between air and water, and thermal expansion of air
18 entrapped within the soil pores. They observed that the change in contact angle primarily led to
19 a reduction in the air entry suction. A shift in the SWRC to lower degrees of saturation for
20 elevated temperatures was also reported in studies by geotechnical engineers that involved
21 control of the stress state and measurement of volume change, including Romero et al. (2001),
22 Salager et al. (2007), and Uchaipichat and Khalili (2009) for low suction values and Olchitzky
23 (2002), Romero et al. (2003), Imbert et al. (2005), Tang and Cui (2005), and Villar and Gomez
24 (2007) for high suction values. Romero et al. (2003) found that the density of the soil does not
25 affect the SWRC at low degrees of saturation. Olchitzky (2002) compared his results with a
26 prediction based on a reduced interfacial tension, and found that this reduction was not
27 sufficient to explain the change in water retention with temperature. Uchaipichat and Khalili
28 (2009) observed that the SWRC under different temperatures is independent of stress state for
29 low suction magnitudes.
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43 ***2.2 Thermal Volume Change Behaviour of Unsaturated Soils***

44 The volume change behaviour of unsaturated soils during heating has been investigated in
45 several studies (Romero et al. 2003; Francois et al. 2005; Salager et al. 2008; Tang et al. 2008;
46 Uchaipichat and Khalili 2009). Tang et al. (2008) performed thermo-mechanical tests on
47 unsaturated, heavily-compacted MX80 bentonite following a wetting path from an initial suction
48 of 110 MPa to a target suction of 9 MPa. The results from tests at constant confining stress
49 showed that heating led to expansion under low values of confining stress and high suction, and
50 led to contraction at high values of confining stress and low suction. This indicates that stress
51 history plays an important role in the thermal response of unsaturated soils in a similar manner
52 to that observed for saturated soils (Baldi et al. 1988). Uchaipichat and Khalili (2009) performed
53 drained heating tests on silt specimens at matric suctions up to 300 kPa and net confining
54 stresses up to 200 kPa, and found that heavily overconsolidated specimens experienced
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1 reversible thermal expansion with introducing larger irreversible thermal contraction at lower
2 overconsolidation ratio. Further, for a given net mean stress, the amount of thermal contraction
3 increased with increasing suction.
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6 **2.3 Effect of Temperature on the Shear Strength of Soils**

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8 The influence of temperature change on the shear strength and stress-strain characteristics of
9 saturated soils have been studied by several investigators. Houston et al. (1985) performed
10 consolidated undrained tests on saturated, normally consolidated specimens of Illite clay at
11 different temperatures, and observed that the pore water pressure increased and effective
12 stress decreased during heating. However, after drainage of these thermally-induced excess
13 pore water pressures, the peak shear strength of the soil was greater than at low temperatures.
14 Hueckel and Baldi (1990) performed drained triaxial compression tests on overconsolidated
15 specimens of Pontida silty clay, and observed a decrease in peak shear strength with
16 temperature. This was attributed to a reduction in the size of the yield locus (and consequently a
17 reduction in the overconsolidation ratio), but it may also be related to the increase in void ratio
18 during heating of the overconsolidated soil. The uniqueness of the critical state line (CSL) was
19 also investigated and confirmed by Hueckel and Baldi (1990) and Graham et al. (1995). These
20 studies also observed that temperature has a negligible effect on the critical state line.
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29 Uchaipichat and Khalili (2009) evaluated the shear strength and volume change of unsaturated
30 compacted silt under non-isothermal conditions for suction magnitudes less than 300 kPa. For a
31 given suction magnitude, they observed a decrease in peak shear strength with increasing
32 temperature. However, the shear strength at critical state conditions was unaffected by
33 temperature, similar to the conclusions drawn for saturated clays. They observed that changes
34 in matric suction led to greater changes in the peak and critical state strength values than
35 changes in temperature. Similar reductions in peak shear strength and increases in shear
36 strength with suction were observed by Wiebe et al. (1998) and Ghembaza et al. (2007b).
37 Wiebe et al. (1998) prepared specimens of sand-bentonite at different degrees of saturation
38 using compaction at different water content, which could have led to different soil structures, but
39 Ghembaza et al. (2007b) incorporated the vapour equilibrium technique into a triaxial cell to
40 perform triaxial compression tests on normally consolidated, unsaturated sandy clay under a
41 temperature of 80°C and a suction value of 8.5 MPa.
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50 **3. Experimental Technique**

51 **3.1 Testing Apparatus**

52 The new triaxial testing setup consists of three main components: a suction control system, a
53 temperature control system, and a mechanical loading system. A schematic of the triaxial
54 testing setup is shown in Figure 1. The suction control system consists of a gas flow control
55 system with relative humidity feedback, which was designed to permit automated control of the
56 relative humidity of the pore air being supplied to the base of the soil specimen, and
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1 consequently control of the total suction applied to the soil specimen. The total suction in the
2 specimen can be calculated using Kelvin's law, as follows:
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$$\psi = \frac{\rho_w RT}{M_w} \ln(R_h)$$

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The molecular mass of water vapour, M_w , is assumed equal to 18.016 g/mol, and the universal (molar) gas constant, R , is equal to 8.31432 J/molK, ρ_w is the density of water, T is the temperature in kelvin, R_h is the relative humidity in percent. The temperature control system consists of three heating elements impeded inside the triaxial cell, a temperature control unit, a thermocouple installed through the top of the cell, and a pump to circulate the pressurized water inside the cell. The temperature control system were used to control the target elevated temperature applied to the cell water and soil specimen, and to ensure uniform temperature distributions. The mechanical loading system was adapted to operate in load-control conditions during suction application or temperature changes or in displacement-control conditions during shearing of the soil specimen. In either configuration, a load cell is used to record axial loads, and a linearly variable differential transformer (LVDT) is used to track axial displacements. The volume change of the soil specimen during changes in suction, temperature, or shearing is also monitored by recording the water level in a graduated burette connected to the water supply line of the cell pressure using a differential pressure transducer. A detailed description of the testing apparatus can be found in Alsherif and McCartney (2014a; 2014b).

3.2 Materials and Specimen Preparation

Silt obtained from the Bonny dam on the Colorado-Kansas border (Bonny silt) was used in this experimental study. As the behaviour of the silt under compacted conditions was evaluated in this study, modified and standard Proctor compaction tests were performed on Bonny silt at a room temperature of 23 °C. The compaction curves along with the zero air voids (ZAV) line are shown in Figure 2(a). Bonny silt has a specific gravity of 2.65. The drying-path soil water retention curve (SWRC) at a temperature of 23 °C for Bonny silt compacted to a void ratio of 0.68 is shown in Figure 2(b). Data points from saturated conditions until the point at which the water phase became discontinuous (i.e., where water could no longer be extracted from the specimen) were obtained using the flexible-wall permeameter setup developed by McCartney and Znidarčić (2010), which occurred at a degree of saturation of about 0.65. In addition to the low suction data, two data points from high suction magnitudes obtained using the vapour flow technique and corresponding to the conditions investigated in this study are shown in Figure 2(b). The van Genuchten (1980) SWRC model was fitted to the data using the approach of Alsherif and McCartney (2014a), which involved a minor adjustment of the N_{VG} parameter to match the SWRC data while still providing a good prediction of the suction stress characteristic curve (SSCC) at ambient temperature using the model of Lu et al. (2010). The shape of the

1 WRC can be extrapolated by fitting the model to the data at low and high suction values. The
2 compression response of an unsaturated Bonny silt specimen under as-compacted conditions
3 was assessed using a constant water content oedometer test, with loading increments applied
4 until settlement was negligible. The compression curve is shown in Figure 2(c), along with an
5 estimate of the preconsolidation stress. The final water content of the soil specimen indicated
6 negligible evaporation.
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10 To prepare a specimen for testing in the triaxial cell, moisture-conditioned Bonny silt was
11 statically compacted using a press in three lifts having thicknesses of 24 mm in a 35 mm-
12 diameter mould. The interfaces between lifts were scarified. The specimens had a dry unit
13 weight of 15.7 kN/m^3 and initial degree of saturation of 0.41, which corresponds to an initial void
14 ratio of approximately 0.68 and a compaction water content of 10.5% (8% dry of optimum). The
15 low compaction water content was selected so that the initial degree of saturation was low
16 enough to have continuous air voids through the specimen (approximately 40%). In this case,
17 the air permeability is expected to be high enough to permit rapid suction equilibration using the
18 vapour flow technique.
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26 **3.3 Testing Procedures**

27 After assembly of the triaxial cell, all of the tests performed in this study start with application of
28 a confining stress (100, 200 or 300 kPa) and K_0 consolidation under as-compacted conditions,
29 using a value of K_0 of 0.5 that corresponds approximately with the value calculated from Jaky's
30 equation and the friction angle of the compacted silt. The average air pressure in the specimens
31 is approximately 20 kPa, so the net confining stress values were 20 kPa smaller than the cell
32 pressure. Next, tests following four different paths were performed to understand the effects of
33 suction and temperature on the volume change and shear strength behaviour of compacted
34 Bonny silt. The different testing paths are illustrated in Figure 3. The first set of tests was
35 performed by applying a high suction magnitude to soil specimens under ambient laboratory
36 temperature (approximately 23 °C), as shown in Figure 3(a). This set of tests provides a
37 baseline case for the behaviour of Bonny silt under high suction values. The second set of tests
38 were performed by heating soil specimens to a target cell fluid temperature of 65 °C in a single
39 stage under as-compacted conditions, then applying a high suction magnitude as shown in
40 Figure 3(b). Tests following this procedure are referred to as T-S (temperature-suction) path
41 tests. The third set of tests were performed by applying a suction magnitude to the soil
42 specimens under ambient temperature, then heating them in three stages to target cell fluid
43 temperatures of 35, 50, and 65 °C, as shown in Figure 3(c). Tests following this procedure are
44 referred to as S-T (suction-temperature) path tests. Finally, a fourth type of test was performed
45 following the S-T path but was cooled back to ambient temperature after heating, as shown in
46 Figure 3(d). The initial conditions for the specimens evaluated in the triaxial compression testing
47 program are presented in Table 1.
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1 After following any of the testing paths, the soil specimens were sheared at a constant
2 displacement rate of 1.27×10^{-4} m/min. Although this rate is relatively fast for a drained test, as
3 no change in relative humidity at the boundaries was noted during shear it was assumed to
4 correspond to drained conditions. The unsaturated specimens evaluated in this study have
5 degrees of saturation of around 0.06, which is very close to the residual degree of saturation.
6 Accordingly, it is not expected that there is sufficient water in the pores to become pressurized
7 during the shearing process and affects the effective stress state. Further, the relative humidity
8 control system was operated during shearing (i.e., free drainage of air), which ensures that the
9 suction remained constant during shearing. More details on specimen setup and test
10 procedures can be found in Alsherif and McCartney (2014b).
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16 **4. Results**

17 **4.1 Results of Suction Equilibration**

18 Examples of the relative humidity and temperature at the bottom and top of two specimens
19 during suction equilibrium for tests following the T-S and S-T paths are shown in Figures 4(a)
20 and 4(b), respectively. The temperature at the bottom of the specimen (T_{bottom}) was very stable
21 during suction application, and was 64 °C for the test following the T-S path and 23 °C for the
22 test following the S-T path. Although the temperature at the top of the specimen was not
23 measured, the temperature of the gas flowing out of the top of the specimen (T_{outflow}) was
24 measured within the insulated flask. Despite the insulation of the flask, the outflow temperature
25 was sensitive to the room temperature due to the presence of the vent. For the example test
26 shown in Figure 4(a), the outflow temperature stabilized at 61 °C after the relative humidity of
27 the outflow gas stabilized. At this point the outflow rate is also stable. In both tests,
28 approximately two hours were needed for the relative humidity at the bottom ($R_{h \text{ bottom}}$) to reach
29 the target value ($R_{h \text{ target}}$), while an average of one to two weeks was needed for the relative
30 humidity at the top ($R_{h \text{ top}}$) to reach the same target value. Specifically, 90% of the completely
31 dry Nitrogen gas ($N_{2 \text{ dry}}$) was mixed with 10% of the water vapour-saturated Nitrogen gas ($N_{2 \text{ wet}}$)
32 to reach the target relative humidity of 15% at equilibrium conditions in Figure 4(a), while 88% of
33 $N_{2 \text{ dry}}$ was mixed with 12% of $N_{2 \text{ wet}}$ to reach the target relative humidity of 12% in Figure 4(b).
34 The target relative humidity for the tests following the T-S path was slightly different than the
35 tests following the S-T path because the total suction calculated using Kelvin's law is sensitive
36 to temperature. Although the target suction was 291 MPa for all of the nonisothermal tests, the
37 suction in the tests following the T-S path was 317 MPa due to difficulty in reaching the exact
38 target relative humidity that corresponds the target suction. Nonetheless, both suction values
39 are within residual saturation conditions for Bonny silt, so it is assumed that they are close
40 enough for comparison. After the target relative humidity at the top of the soil specimen was
41 attained and the differential pressure across the soil specimen was constant, the suction was
42 maintained for at least six additional hours to ensure uniformity of total suction throughout the
43 specimen.
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4.2 Results of Thermal Volume Change

The volumetric strains as a function of the change in cell fluid temperature for different testing paths and confining stresses are presented in Figure 5. When following the T-S path, the soil specimens were heated in a single stage at a constant heating rate of 10.5 to 11.5 °C/hour. The thermal axial strains for the unsaturated silt specimens following this path shown in Figure 5(a) indicate that the specimens initially expanded during heating before contracting at higher temperatures. Greater contraction with increasing confining stress was observed at the highest temperature evaluated. Uniform contraction was observed in the thermal volumetric strains for these specimens in Figure 5(b). The soil specimens in the tests following the T-S path were heated under as-compacted conditions (initial S_r of 0.41) before application of suction. In this case, heating likely causes generation of excess pore water pressure, which drained and resulted in permanent contraction. The slight axial expansion of the specimens tested under lower confining stresses may have occurred due either transient drainage effects that did not have time to fully dissipate, or heating of the lightly overconsolidated specimens above the contraction threshold temperature observed by Hueckel and Baldi (1990). The difference in the trends in thermal axial and volumetric strains with the change in temperature may also have occurred due to the effects of the anisotropic stress state as observed by Coccia and McCartney (2012). Specifically, the trend in the thermal axial strains is similar to that expected for lightly overconsolidated soils while the trend in the thermal volumetric strain is similar to that expected for normally consolidated soils.

The thermal axial strains following the S-T path shown in Figure 5(c) differ from those following the T-S path, and show expansion during staged heating similar to heavily overconsolidated soils heated below the contraction threshold temperature (Hueckel and Baldi 1990; Delage et al. 2000, 2004; Cekeravac and Laloui 2004). Similar trends in volumetric expansion are also observed for these specimens, as shown in Figure 5(d). After application of high suction magnitudes, these specimens have a degree of saturation of approximately 0.06 prior to heating, so it is unlikely that there is significant generation of excess pore water pressures. Application of high suction magnitudes likely results in an increase in mean effective stress, as well as an increase in mean preconsolidation stress. If the increase in preconsolidation stress is greater than the effective stress, this would lead to an increase in the OCR causing the silt to behave like a heavily overconsolidated soil. Further, application of high suction values lead to a reduction in volume (which will be discussed later). Despite the relatively low water content of the soils following the S-T path, the results in Figures 5(c) and 5(d) indicate that stress history still plays an important role in the thermal volume change of unsaturated soils under high suction magnitudes.

4.3 Results of Triaxial Compression Testing

The stress-strain curves for the soil specimens that had reached thermal equilibrium following different testing paths are shown in Figure 6. The shear stress-strain curves for the specimens

1 tested at different temperatures and suctions following different paths, for cell pressures of 100,
2 200, and 300 kPa are shown in Figures 6(a), 6(b), and 6(c), respectively. A brittle failure mode
3 was observed in the stress-strain curves for all specimens under high suction magnitudes
4 regardless of the temperature. A sharp drop in the applied shear stress was noticed after
5 reaching the peak shear strength. A clear failure plane was observed as well, and the specimen
6 broke into two pieces. This failure mode differed significantly from the relatively ductile failure
7 mode observed in tests on saturated conditions tested in isotropic consolidated-undrained
8 triaxial compression tests reported by Alsherif and McCartney (2014a). Because of the brittle
9 failure mode, it was not possible to shear the specimens tested under high suction magnitudes
10 to critical state conditions. The results in Figure 6 indicate that the peak shear strength of the
11 specimens tested following the T-S path was consistently smaller than those tested under
12 ambient temperature conditions, while the peak shear strength of the specimens tested
13 following the S-T path was greater. A summary of the results from the triaxial compression tests
14 on unsaturated specimens at different high suction magnitudes, temperatures, and testing paths
15 are presented in Table 2. The temperatures measured using the relative humidity probe below
16 the specimen were slightly lower than the target cell fluid temperature, which was 65 °C.

26 The volumetric strains during shearing of the unsaturated specimens at various confining
27 stresses following the T-S path and the S-T path are shown in Figures 7(a) and 7(b),
28 respectively. The black points correspond to the points of peak shear strength. The results
29 indicate that specimens tested following the T-S and S-T paths dilate during shear. Although an
30 unclear trend with confining pressure was observed for the specimens tested following the T-S
31 path, the specimens sheared following the S-T path tests experienced a similar amount of
32 dilation for all three normal stresses.

38 A summary of the void ratios at different stages of tests performed at ambient temperature is
39 shown in Figure 8(a). The void ratio is observed to decrease during application of confining
40 stresses, as well as during application of a suction of 291 MPa. A small amount of dilation is
41 observed after shearing. A summary of the void ratios at different stages of the tests following
42 the T-S path is shown in Figure 8(b). In these tests, a similar reduction in void ratio is observed
43 during application of the confining stress. A slight reduction in void ratio during heating
44 corresponding to the thermal volumetric contraction of less than 1% shown in Figure 5(a) is then
45 observed. The specimens contracted further during application of a suction of 291 MPa, and
46 dilated slightly during shearing. The summary of the void ratio values at different stages of the
47 tests following the S-T path at different confining stresses is shown in Figure 8(c). The results in
48 this figure clearly indicate that the void ratio was sensitive to the confining stress and applied
49 suction, similar to the results in Figure 8(a). However, heating of the specimen after it was in
50 equilibrium at a suction of 291 MPa led to expansion of the soil specimens, which was followed
51 by further expansion due to dilation during shearing. A comparison of the void ratio values prior
52 to shearing in Figures 8(a), 8(b) and 8(c) indicates lower void ratios for the tests following the

1 S-T path than those for the tests at ambient temperature and those following the T-S path. This
2 may partially explain the greater peak shear strength values observed for the tests following the
3 S-T path. The void ratio of the specimen which experienced a heating and cooling cycle after
4 reaching suction equilibration is shown in Figure 8(c). Although there is a slight variability
5 compared to the other test performed at a confining stress of 300 kPa, the void ratio at stage
6 four for this test reflects a net contraction the specimen after cooling back to ambient
7 temperature.
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12 The impact of temperature on the void ratio at failure as a function of the peak principal stress
13 difference for different testing paths is shown in Figure 9. Overall, the data points follow a
14 similar trend, which confirms that the peak shear strength is sensitive to the density of the soil at
15 failure. However, it should be noted that the void ratio at failure for the saturated specimens at
16 ambient temperature were at critical state conditions, while the void ratio at peak failure
17 conditions for the unsaturated specimens at high suction magnitudes were not at critical state
18 conditions due to the brittle failure mode.
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24 **5. Analysis and Discussion**

25 ***5.1 Effective Stress Evaluation of the Peak Shear Strength of Specimens at High Suction*** 26 ***and High Temperature***

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28 The drained failure envelopes for the specimens tested at ambient temperature and those
29 tested following the T-S and S-T paths are shown in Figure 10(a). The peak shear strength
30 increases linearly with net confining stress, and the total friction angle appears to not be
31 affected by temperature or suction. However, the apparent cohesion in this total stress plot was
32 affected by changes in both temperature and suction. Despite having a slightly greater suction
33 magnitude, the specimens following the T-S path consistently have a lower peak shear strength
34 than the specimens tested at ambient temperature and a suction of 291 MPa. This may imply
35 that the softening effect due to heating at a relatively high degree of saturation observed by
36 Uchaipichat and Khalili (2009) was greater than the hardening effect associated with suction
37 application. This behaviour is consistent with the observations of Hueckel and Baldi (1990) for
38 the effects of temperature on the peak shear strength of saturated, heavily-overconsolidated
39 clay. In contrast, the specimens tested following the S-T path consistently have a greater peak
40 shear strength than the specimens tested at ambient temperature, which was not expected.
41 Although the results in Figure 8 indicate that there is some variability in the void ratio at the end
42 of suction application for the ambient temperature tests and those following the S-T path, the
43 specimens tested following the S-T path have a slightly greater void ratio than the specimens
44 tested at ambient temperature due to the thermal expansion. A greater void ratio should have
45 led to a lower shear strength, but this was not the case. Another difference between these two
46 sets of tests was the lower degree of saturation for the tests following the S-T path due to drying
47 during heating.
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1 The greater shear strength values of the specimens tested following the S-T path indicate that
2 the degree of saturation may have the opposite effect on the stress state at high suction
3 magnitudes and high temperatures than that expected at low suction magnitudes. At low
4 suctions, a decrease in the degree of saturation implies that there is less water available to hold
5 the particles together by capillarity. Heating of a soil under relatively dry conditions (high
6 suctions) may cause the water in adsorbed films to expand and collect around the particle
7 contacts leading to greater particle interaction. For the test performed following the S-T path
8 with a heating-cooling cycle, the peak shear strength was found to be slightly higher than that
9 from the test performed at the same confining stress and suction that had not experienced
10 heating. This gain in peak shear strength can be attributed to the slightly greater decrease in
11 void ratio during suction application, as well as the slight permanent contraction upon cooling.
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18 Regardless of the testing path, the compacted silt specimens under high suction magnitudes
19 still show a frictional response in which the peak shear strength increases with the confining
20 pressure. Because of this frictional response, the principle of effective stress may still be valid
21 for these soils despite the high suction magnitude and brittle failure mechanism. The effective
22 stress was defined in this study using the suction stress characteristic curve (SSCC) proposed
23 by Lu and Likos (2006), which is a functional relationship between suction stress and suction for
24 a given soil under a certain stress state. The suction stress σ_s is assumed to represent the net
25 effect of the different inter-particle stresses in unsaturated soils. Assuming that the friction angle
26 is constant, the suction stress can be back-calculated from a linear failure envelope by
27 assuming that it is equal to the apparent tensile strength (Lu and Likos 2006), as follows:
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$$36 \sigma_s = \frac{c}{\tan(\phi')} \\ 37 \\ 38$$

39 2.

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41 where c is the apparent cohesion, ϕ' is the effective friction angle. The mean effective stress
42 can be calculated from the suction stress as follows:
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$$46 p' = (p - u_a) + \sigma_s \\ 47 \\ 48$$

49 3.

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51 where p is the mean total stress, u_a is the pore air pressure. This approach is similar to that of
52 Bishop (1959), where σ_s is the product of the suction ψ and an effective stress parameter χ that
53 describes the impact of suction on the effective stress during changes in degree of saturation.
54 The conceptual advantage of using the SSCC approach is that it shows that the suction stress
55 is a functional relationship governing the mechanical response of unsaturated soils in a similar
56 manner to which the SWRC governs the hydraulic response of unsaturated soils. Lu and Likos
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1 (2006) validated the SSCC concept by re-examining shear strength data of unsaturated soils
2 (up to a suction of 1500 kPa) from the literature in terms of Equation (3), and found that the
3 failure envelopes for unsaturated specimens converge with that for saturated specimens.
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6 The drained failure envelopes for the unsaturated specimens shown in Figure 10(a) were used
7 to back-calculate four different values of suction stress σ_s using Equation (2) for each of the
8 different testing paths evaluated. The different suction stress values, summarised in Table 2,
9 indicate that the SSCC depends on temperature similar to the SWRC, but also on the testing
10 path. Despite the high suction magnitudes considered in this study, the magnitudes of
11 experimental suction stress listed in Table 2 are on the same order of magnitude as the mean
12 net stress at the beginning of shearing, which explains why the soil exhibits the frictional
13 response observed in Figure 10(a). In other words, the high suction magnitude still has an
14 impact on the effective stress, but it is diminished by the small amount of water in the soil.
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21 The peak shear strength values plotted as a function of the mean effective stress calculated
22 using the experimentally-derived suction stress values are shown in Figure 10(b). Regardless of
23 the temperature and testing path, the peak shear strength values define a single peak failure
24 envelope having a slope of $M = 2.1$. Shear strength values from isotropic consolidated
25 undrained tests on saturated specimens (zero suction tests) reported by Alsherif and McCartney
26 (2014b) are also shown in this figure, along with the critical state line (CSL) fitted to these data
27 points. The CSL was extrapolated to higher mean effective stresses for comparison purposes. It
28 is clear that the peak failure envelope is steeper than the CSL, confirming that the brittle failure
29 mechanism prevented the unsaturated specimens from reaching critical state. Nonetheless, the
30 fact that the peak shear strength values for specimens with elevated temperatures and high
31 suction magnitudes converge on a single failure envelope in mean effective stress space
32 supports the validity of the effective stress principle for these relatively extreme conditions. The
33 behaviour of the specimens at high suctions is consistent with that of heavily overconsolidated
34 soils, which reach a peak shear strength value when the effective stress path intersects the
35 steady-state boundary surface.
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46 To better interpret the impact of testing path on the behaviour of unsaturated specimens tested
47 following the T-S and S-T paths, the secant moduli at an axial strain of 0.5% (which are
48 assumed to represent elastic conditions) were calculated from the shear stress-strain curves in
49 Figure 6. The change in secant moduli for the specimens sheared under elevated temperature
50 with respect to the moduli for the specimens tested at a similar net confining stress and ambient
51 temperature is shown in Figure 11. In general, specimens that were heated after application of
52 high suction (S-T path) experienced an increase in secant modulus, while those that were
53 heated under as-compacted conditions followed by application of high suction do not
54 experience a significant change in secant modulus except at low net confining stresses. This
55 increase in secant modulus may be attributed to the further removal of pore water during
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heating in the tests following the S-T path, which had a degree of saturation that was 3% lower than the other tests. This explanation indicates that a reduction in the degree of saturation when heating soils near residual saturation induces hardening and an increase in stiffness.

5.2 Constitutive Modelling of Temperature Effects on the SWRC and SSCC

As the suction stress concept was found to be useful in interpreting the shear strength of the specimens tested under different temperatures and following different paths, linkages between the SWRC and SSCC for high suctions and temperatures were explored. The SSCC can be defined using many different approaches, including the product of the degree of saturation and suction (Bishop 1959), the product of effective saturation and suction (Lu et al. 2010), and empirical relationships involving characteristic points on the SWRC like the air entry suction (Khalili and Khabbaz 1998). Lu et al. (2010) found that defining the SSCC as the product of the effective saturation and suction can represent the behaviour of both sand and clay, and the use of the effective saturation permits many SWRC models to be directly integrated into the SSCC. Accordingly, the first linkage between the SSCC and the SWRC was performed using the nonisothermal SWRC model of Grant and Salehzadeh (1996), which is given as follows:

$$S_e = \frac{S_r - S_{r,res}}{1 - S_{r,res}} = \left\{ \frac{1}{\left[\alpha_{GS} \psi_{(T=T_r)} \left(\frac{\beta_0 + T_r}{\beta_0 + T_f} \right) \right]^{\lambda_{GS}} + 1} \right\}^{\frac{(\lambda_{GS}-1)}{\lambda_{GS}}}$$

4.

The values of T_r and T_f in this equation are the reference and final temperatures before and after heating, respectively and should be defined in Kelvin. A value of the empirical parameter β_0 of -400 K was used in this analysis as Grant and Salehzadeh (1996) and She and Sleep (2008) observed that this was a reasonable value for silts during drainage. The results of this model for an initial temperature of $T_r = 296$ K and final temperatures of $T_f = 296$ K (ambient temperature tests) and 337 K (elevated temperature tests) are shown in Figure 12(a). The Grant and Salehzadeh (1996) model corresponds well with the SWRC points at ambient temperature from Figure 2. For elevated temperatures, the Grant and Salehzadeh (1996) model predicts a decrease in the air entry suction, and an overall downward shift in the SWRC in the direction of lower degrees of saturation. One issue with the model is that it cannot capture the decrease in residual water content with temperature that is observed in the data. The SSCC defined from the Grant and Salehzadeh (1996) SWRC is given as follows:

$$\sigma_s = (u_a - u_w) S_e = (u_a - u_w) \left\{ \frac{1}{\left[\alpha_{GS} \psi_{(T=T_r)} \left(\frac{\beta_0 + T_r}{\beta_0 + T_f} \right) \right]^{\lambda_{GS}} + 1} \right\}^{\frac{(\lambda_{GS}-1)}{\lambda_{GS}}}$$

5.

The SSCCs predicted using the parameters of the Grant and Salehzadeh (1996) SWRC model reported in Figure 12(a) are presented in Figure 12(b). The experimental suction stress points from Table 2 are also shown in this figure for comparison. The SSCC predicted from the Grant and Salehzadeh (1996) model correspond well with the points from the ambient temperature tests and the T-S path tests. The lower values of degree of saturation predicted using the Grant and Salehzadeh (1996) model at elevated temperatures lead to a decrease in suction stress, albeit by a greater amount than that observed in the experiments. Alternatively, the SSCC can also be characterized using the suction stress defined using the model of Khalili and Khabbaz (1998), given as follows:

$$\sigma_s = \psi \left\{ \frac{\psi}{\psi_{aev}} \right\}^{-\Omega}$$

6.

where ψ is the suction, ψ_{aev} is the air entry suction, and Ω is an effective stress scaling parameter. Khalili and Khabbaz (1998) found that a value of $\Omega = -0.55$ fits well for most experimental data. The SSCCs predicted with Equation 6 using the air entry suction values from the Grant and Salehzadeh (1996) SWRC curves shown in Figure 12(a) are also shown in Figure 12(b). This model has a slightly different trend in suction stress with increasing suction, and generally provides a better fit to the ambient temperature and T-S path data. A comparison between the experimental suction stress values with those predicted using Equation 6 with the air entry suction values from Figure 12(a) is shown in Figure 12(c).

Although Equation 6 provides a good match for the specimens tested at ambient temperature and following the T-S path, it is clear that it underestimates the suction stress for the specimens tested following the S-T path. A possible explanation is that the specimens tested following the S-T path may have experienced a reduction in the pore size distribution during the volumetric contraction associated with application of high suction magnitudes, leading to an increase in the air entry suction (Ng and Pang 2000). Further changes may have occurred due to drying during heating, leading to a greater air entry suction than at ambient temperature. A greater value of air entry suction of 1.17 kPa was found to provide a good fit to the experimental suction stress

1 value for the S-T path tests using Equation 6. This data point is also plotted in Figure 12(c).
2 More research is required to fully understand the effects that the nonisothermal S-T testing path
3 may have on the shape of the SWRC, and in how transitions in the shape of the SWRC relate to
4 changes in effective stress.
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7 **6. Conclusion**

8 Drained triaxial compression tests were performed on specimens of compacted silt using a new
9 triaxial cell following different testing paths to investigate the influence of temperature on the
10 shear strength and volume change behaviour of unsaturated, compacted silt. The specific
11 conclusions regarding the behaviour of compacted silt under high temperatures and suctions
12 that can be drawn from this study are as follows:
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- 15 • The thermal volume change was found to depend on the initial overconsolidation ratio of the
16 unsaturated silt specimens prior to heating. Unsaturated silt specimens heated prior to
17 suction implementation (T-S path) had an initially low overconsolidation ratio and
18 experienced thermal contraction during heating. Unsaturated silt specimens heated after
19 reaching suction equilibrium (S-T path) had a high overconsolidation ratio prior to heating,
20 and experienced thermal expansion during heating.
- 21 • The shear stress-strain curves for all of the specimens tested at high suction magnitudes
22 show a brittle failure mechanism that differed significantly from the shear stress-strains of
23 the same soil sheared under saturated conditions. Because of this brittle failure mechanism,
24 the unsaturated soil specimens could not be sheared until reaching critical state conditions.
- 25 • If a suction value was imposed after heating, the shear strength was observed to decrease
26 by 10% during a change in temperature of approximately 41 °C. If a suction value was
27 imposed before heating, the shear strength was observed to increase by 20% during the
28 same change in temperature.
- 29 • Silt specimens heated under as-compacted conditions before application of a high suction
30 value experienced thermal softening that led to lower peak shear strength values than those
31 measured in tests on specimens at high suction magnitudes performed under ambient
32 temperature. This behavior was consistent with that expected in the literature for
33 overconsolidated soils.
- 34 • Greater peak shear strength values and greater secant moduli values were observed for
35 specimens that were heated under high suction magnitudes than those observed for tests
36 on soils at high suction magnitudes under room temperature conditions. This unexpected
37 behaviour was attributed to the lower degree of saturation of the specimens tested following
38 the S-T path, and it is hypothesized that the degree of saturation has a different effect on
39 the stress state at high suction magnitudes near residual saturation conditions than for
40 nearly-saturated soils.
- 41 • The suction stress components of the effective stress were interpreted from the peak failure
42 envelopes in mean net stress space, which permitted definition of a single peak failure
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1 envelope in effective stress space that represented the shear strength behaviour of the
2 compacted silt at different temperatures, suctions, and following different testing paths. The
3 slope of this peak failure envelope was greater than the slope of the critical state line
4 defined from tests on saturated specimens.
5

- 6 • The data obtained from tests on specimens at high suction magnitudes indicated a shift in
7 the SWRC to a lower degree of saturation with elevated temperatures. The nonisothermal
8 SWRC model of Grant and Salehzadeh (1996) also indicated a shift in the SWRC to a lower
9 degree of saturation, although not at high suction magnitudes. A reduction in the air entry
10 suction with temperature was observed, which has an important effect on the effective
11 stress.
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- 13 • Different approaches were used to predict the change in suction stress with temperature.
14 The approach of Khalili and Khabbaz (1998) that uses the air entry suction as a key
15 parameter to define the suction stress was observed to provide a good fit to the suction
16 stress for the specimens sheared at ambient temperature and following the T-S path. The
17 approach using the product of the suction and effective saturation to define the suction
18 stress also provided a good fit. Neither approach was suitable to predict the increase in
19 suction stress for specimens following the S-T path. However, a possible explanation is that
20 suction application at ambient temperature followed by further drying during heating may
21 have led to a change in the pore size distribution that caused an increase in the air entry
22 suction compared to the ambient temperature tests.
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- 15 Figure 3. Testing paths followed in this study: (a) Suction application under ambient
16 temperature; (b) Heating then suction application (T-S path); (c) Suction application
17 then heating (S-T path); (d) Suction application followed by a heating-cooling cycle
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- 20 Figure 4. Vapour flow measurements during equilibration: (a) Relative humidity and temperature
21 for a specimen under a confining stress of 300 kPa for T-S path; (b) Relative humidity
22 and temperature under a confining stress of 200 kPa for S-T path
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- 25 Figure 5. Thermal strains as a function of temperature change at various net confining stresses
26 for tests following the: (a) Thermal axial strains for the T-S path; (b) Thermal volumetric
27 strains for the T-S path; (c) Thermal axial strains for the S-T path; (d) Thermal
28 volumetric strains for the S-T path
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- 31 Figure 6. Shear stress-strain curves for unsaturated Bonny silt specimens tested at various
32 suction values and temperatures for confining stresses of (a) 100 kPa; (b) 200 kPa; (c)
33 300 kPa
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- 35 Figure 7. Volumetric strain as a function of axial strain measured during triaxial compression
36 tests at various net confining stresses (a) T-S path tests; (b) S-T path tests
37
- 38 Figure 8. Summary of void ratios during different stages of testing: (a) Ambient temperature
39 tests; (b) T-S path tests; (c) S-T path tests
40
- 41 Figure 9. Void ratio at failure versus principal stress difference for different suction magnitudes
42 and temperatures following different testing paths
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- 44 Figure 10. Triaxial compression results for specimens following different testing paths: (a) Total
45 stress analysis of peak failure envelopes (not to scale); (b) Effective stress analysis of
46 peak shear strength (Note: critical state line defined from tests on saturated soil
47 specimens)
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- 50 Figure 11. Influence of temperature changes on the change in secant modulus from the value at
51 ambient temperature for tests following different paths
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- 53 Figure 12. (a) Comparison of measured SWRC data with the Grant and Salehzadeh (1996)
54 SWRC model; (b) Measured SSCC data and predicted SSCC curves; (c) Comparison
55 of measured suction stress values and values predicted from the model of Khalili and
56 Khabbaz (1998)
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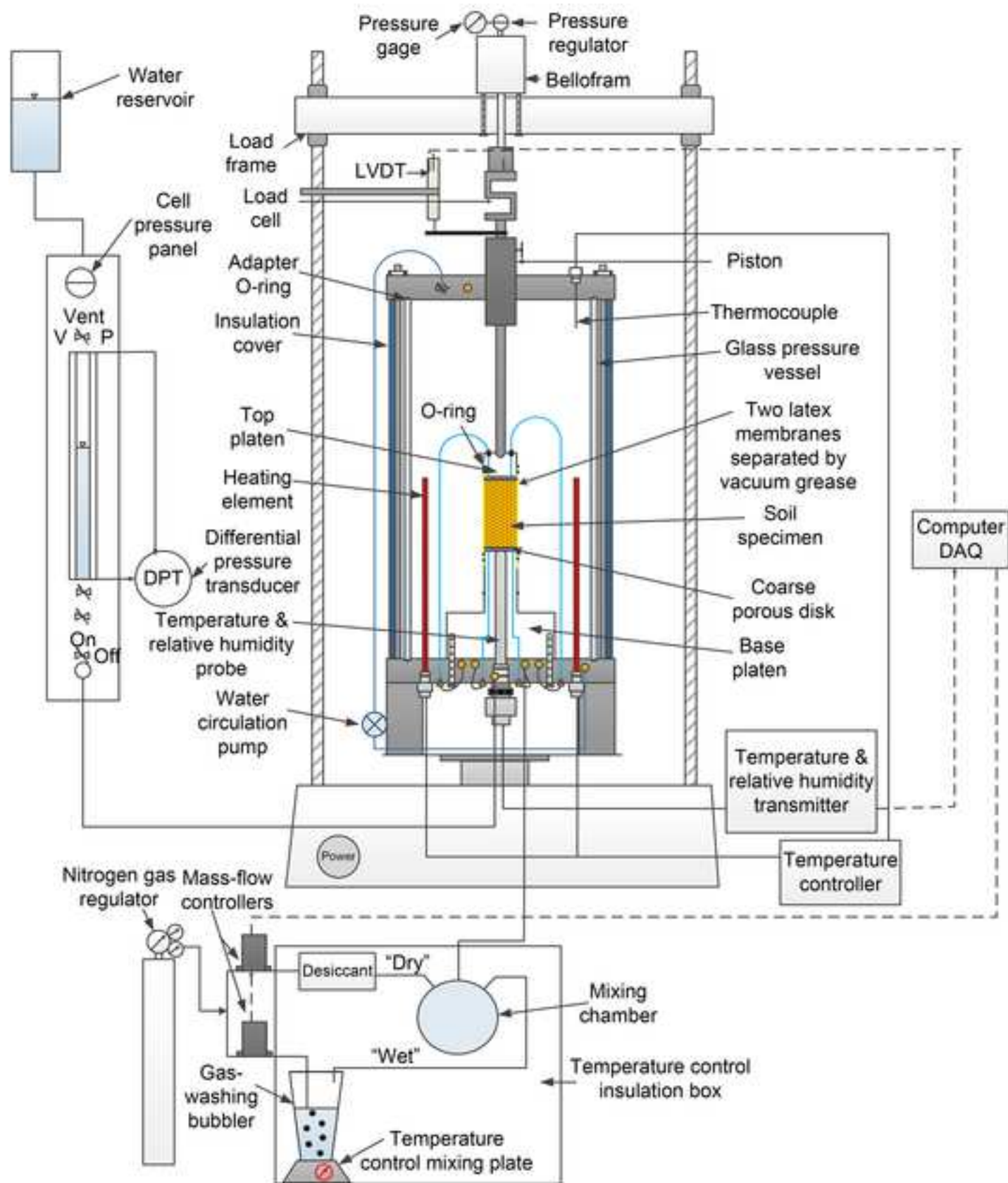
Table 1. Summary of the initial conditions from the compression triaxial tests on unsaturated specimens at ambient and elevated temperatures

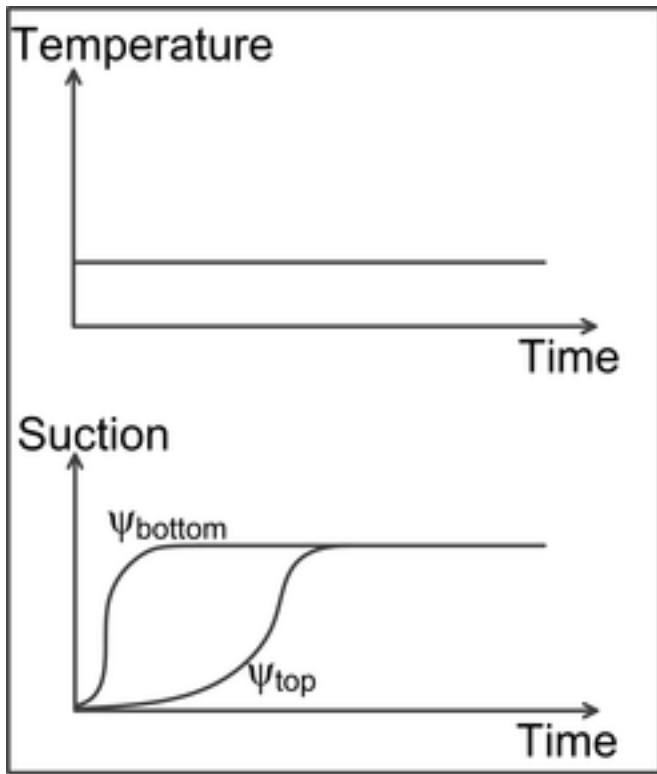
Test path	Initial Temp. at bottom T (°C)	Initial degree of sat. S_r	Initial Void ratio e_0	Average air pressure u_a (kPa)	Conf. stress at consol. σ_3 (kPa)	Axial stress at consol. σ_a (kPa)	Initial mean net stress p_{net} (kPa)	Rel. hum. at bottom R_h (%)	Suction ψ (MPa)	Temp. at bottom shearing T (°C)
Amb.	22.7	0.41	0.68	20	100	200	113	30.5	162	22.7
	22.8	0.41	0.68	20	200	400	247	30.6	161	22.8
	23.8	0.41	0.68	20	300	600	380	29.8	165	23.8
Amb.	22.9	0.40	0.69	20	100	200	113	12.0	289	22.9
	23.2	0.42	0.66	20	200	400	247	11.8	292	23.2
	22.6	0.41	0.68	20	300	600	380	12.0	289	22.6
T-S	23.1	0.41	0.67	20	100	200	113	12.5	317	64.0
	23.0	0.41	0.67	20	200	400	247	12.5	317	64.0
	23.8	0.42	0.67	20	300	600	380	13.0	311	63.9
S-T	24.1	0.42	0.67	20	100	200	113	14.9	291	63.9
	23.5	0.41	0.68	20	200	400	247	15.0	290	63.9
	23.1	0.41	0.68	20	300	600	380	15.1	289	63.9
S-T Cycle	23.7	0.42	0.67	20	300	600	380	18.0	235	25.2

Table 2. Summary of the results from compression triaxial tests on unsaturated specimens at ambient and elevated temperatures

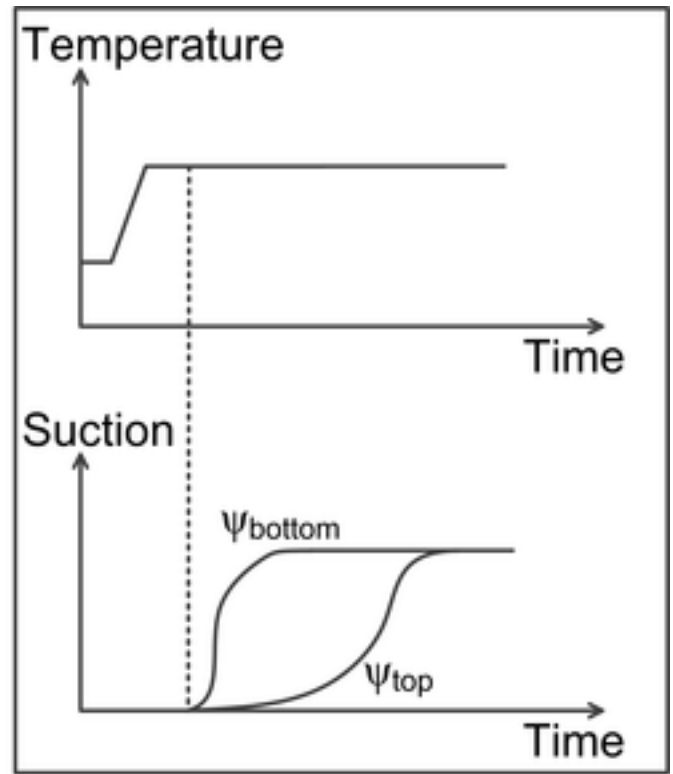
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Test path	Suction ψ (MPa)	Temp. at bottom shearing T (°C)	Void ratio at shear e_s	Princ. stress diff. $\sigma_1 - \sigma_3$ (kPa)	Exp. suction stress σ_s (kPa)	Initial shear stress q_0 (kPa)	Initial mean effective stress p'_0 (kPa)	Mean net stress at peak p_n (kPa)	Mean effective stress at peak p' (kPa)	Final void ratio e_f	Final degree of sat. S_r
Room	162	22.7	0.572	1965	202	100	128	735	937	0.576	0.10
	161	22.8	0.503	2679	202	200	261	1073	1275	0.507	0.11
	165	23.8	0.508	3364	202	300	390	1401	1603	0.513	0.12
Room	289	22.9	0.48	2392	263	100	128	877	1140	0.49	0.05
	292	23.2	0.49	3142	263	200	261	1227	1490	0.51	0.08
	289	22.6	0.39	3563	263	300	390	1468	1731	0.40	0.06
T-S	317	64.0	0.56	2235	235	100	128	825	1060	0.56	0.06
	317	64.0	0.52	2986	235	200	261	1175	1410	0.53	0.06
	311	63.9	0.48	3408	235	300	390	1416	1651	0.49	0.06
S-T	291	63.9	0.53	2707	313	100	128	982	1295	0.54	0.04
	290	63.9	0.468	3480	313	200	261	1340	1653	0.469	0.03
	289	63.9	0.36	4135	313	300	390	1658	1971	0.37	0.04
S-T Cycle	235	25.2	0.39	3699	263	300	390	1513	1776	0.39	0.07

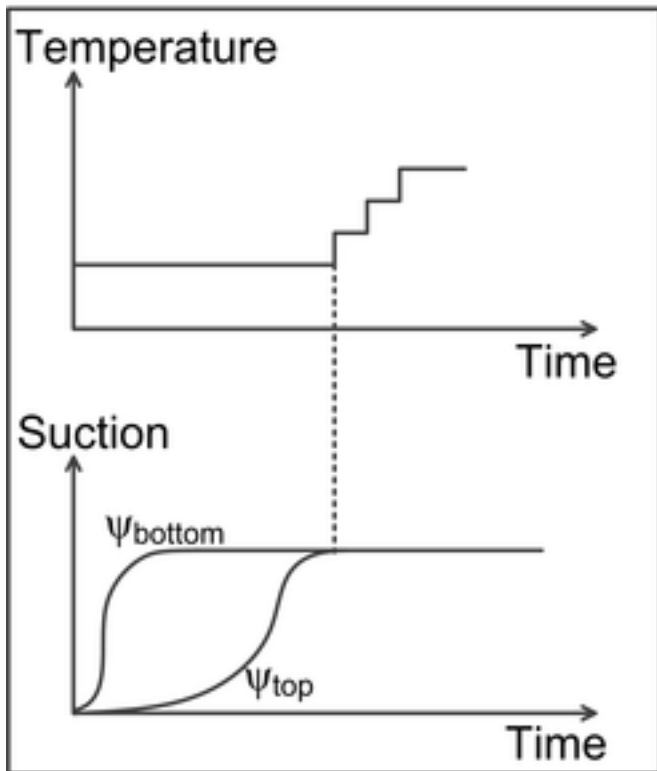




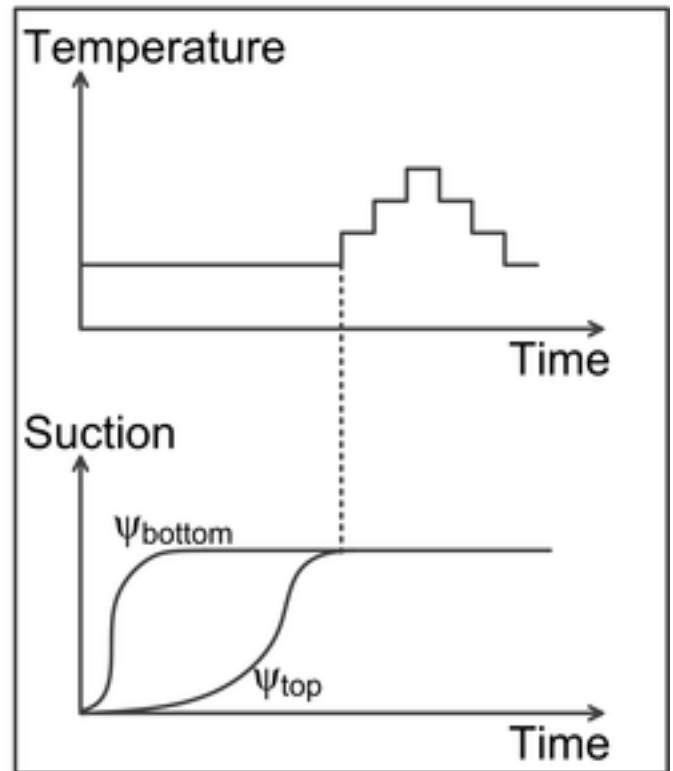
(a)



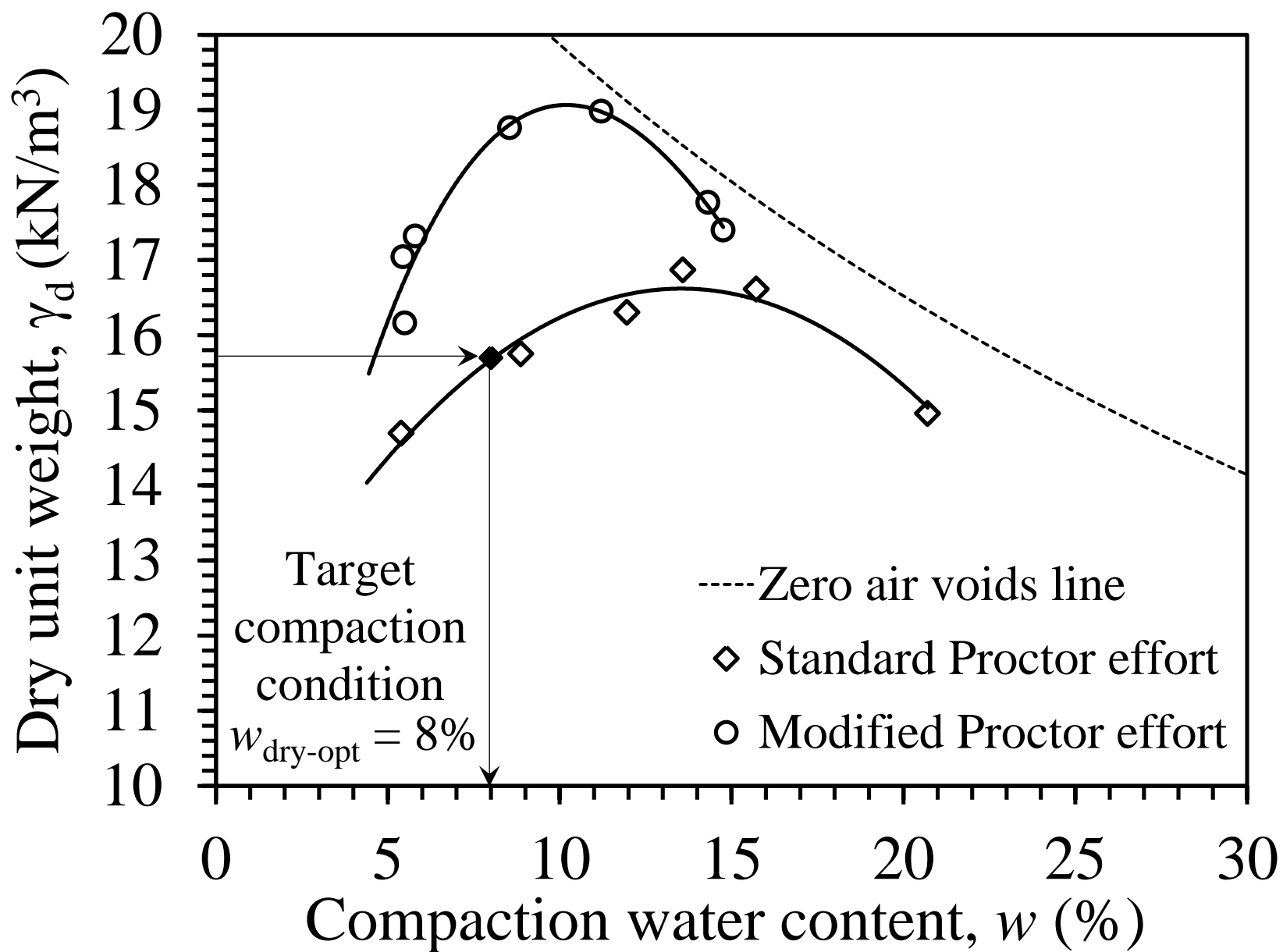
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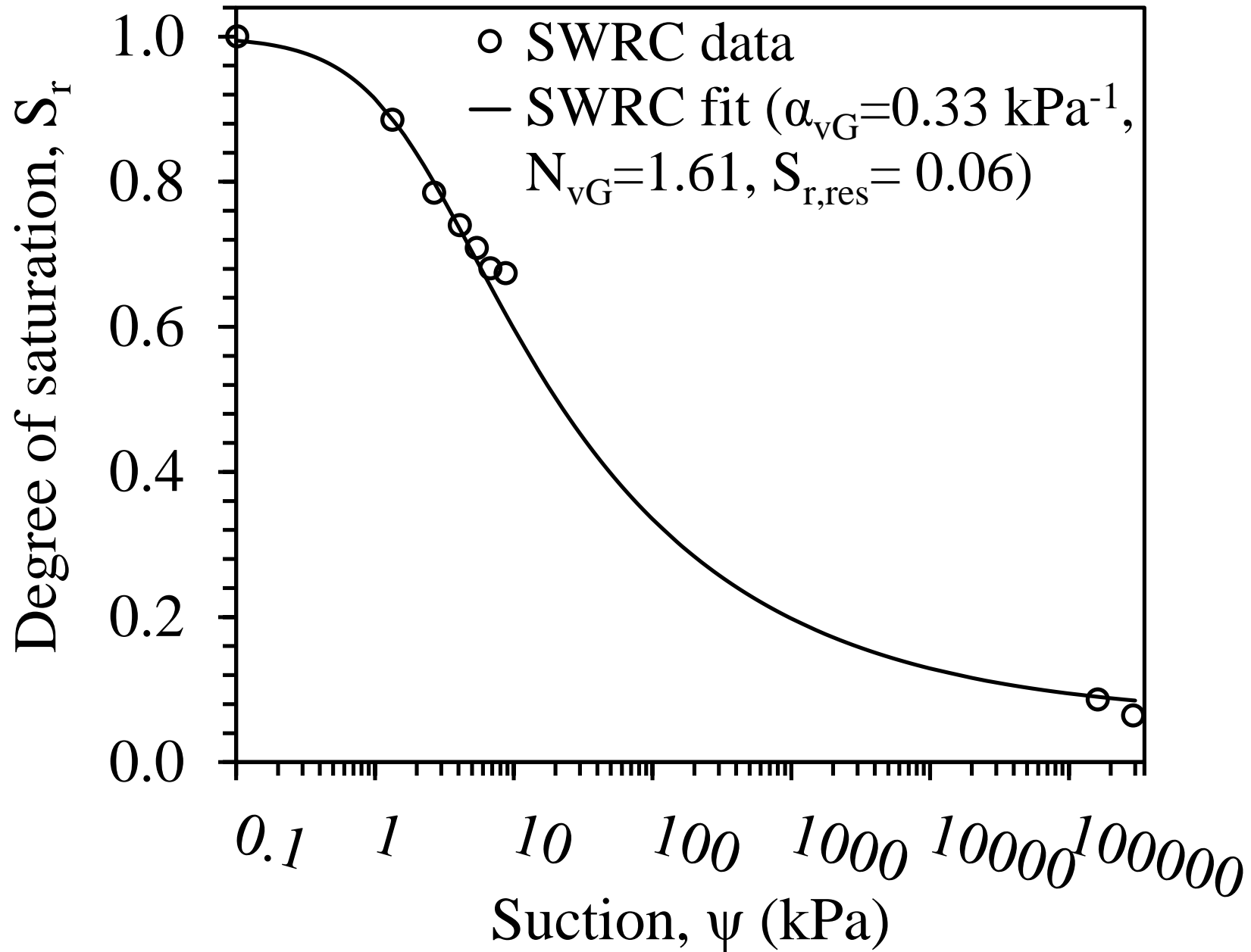


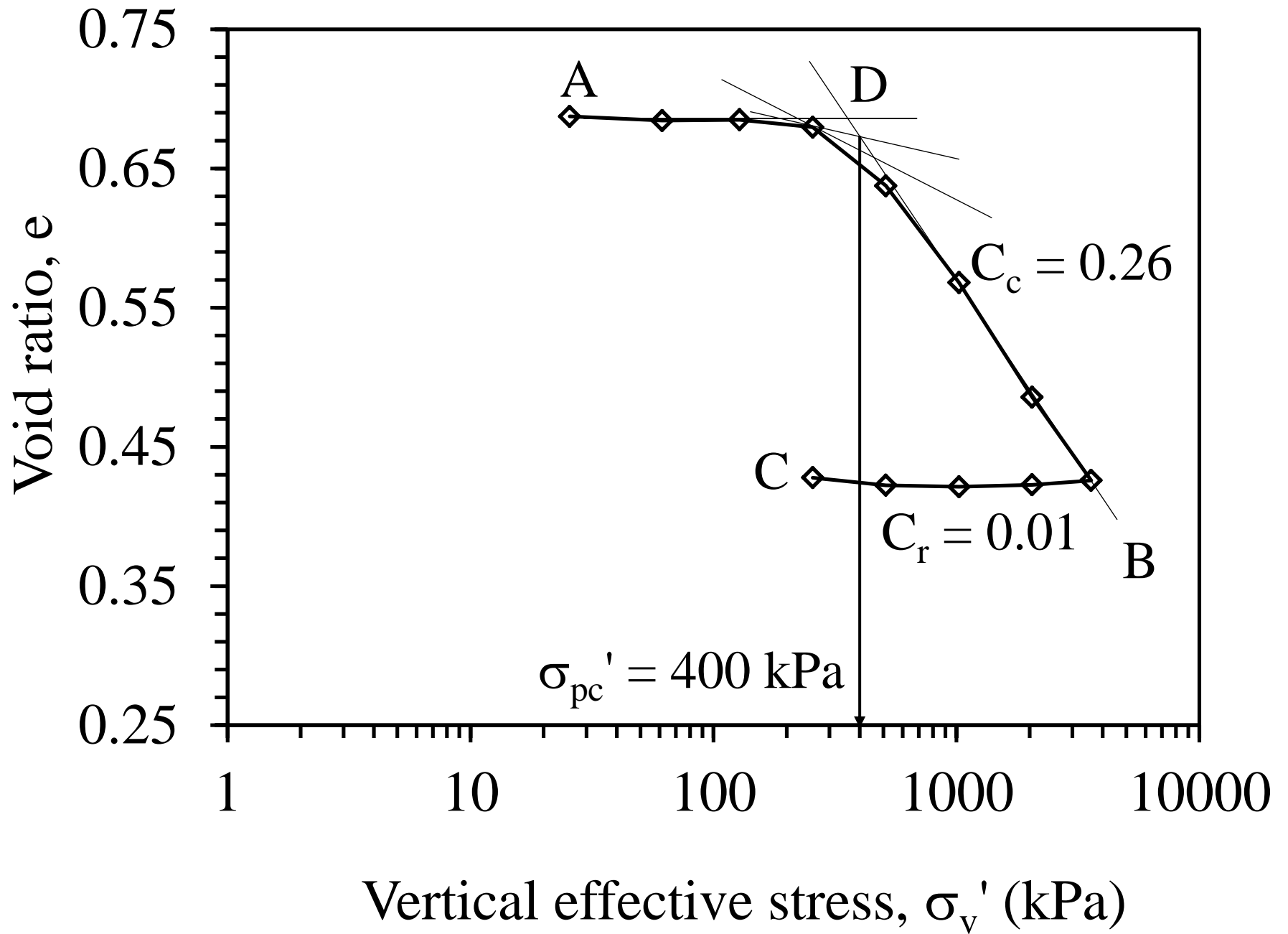
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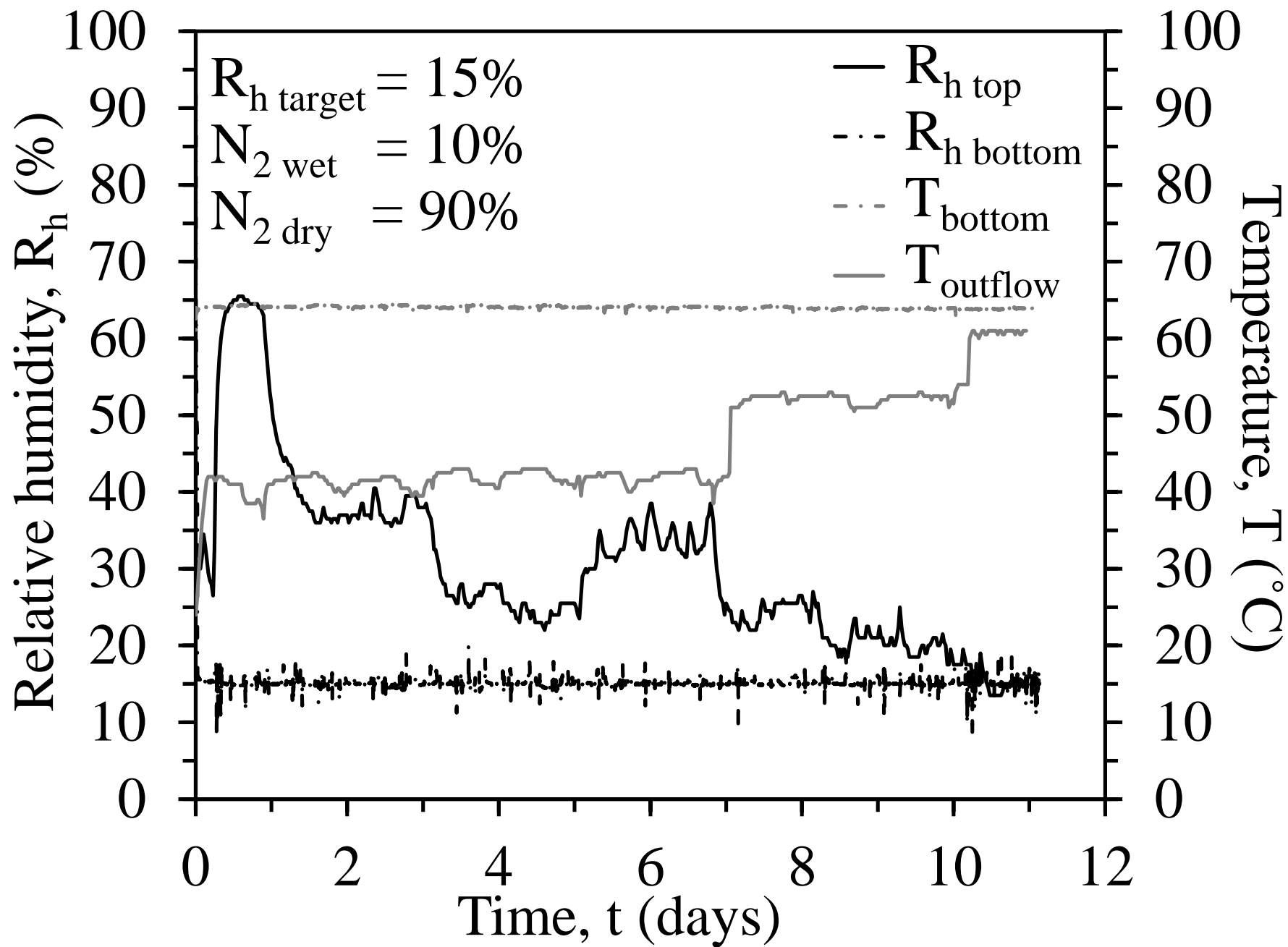


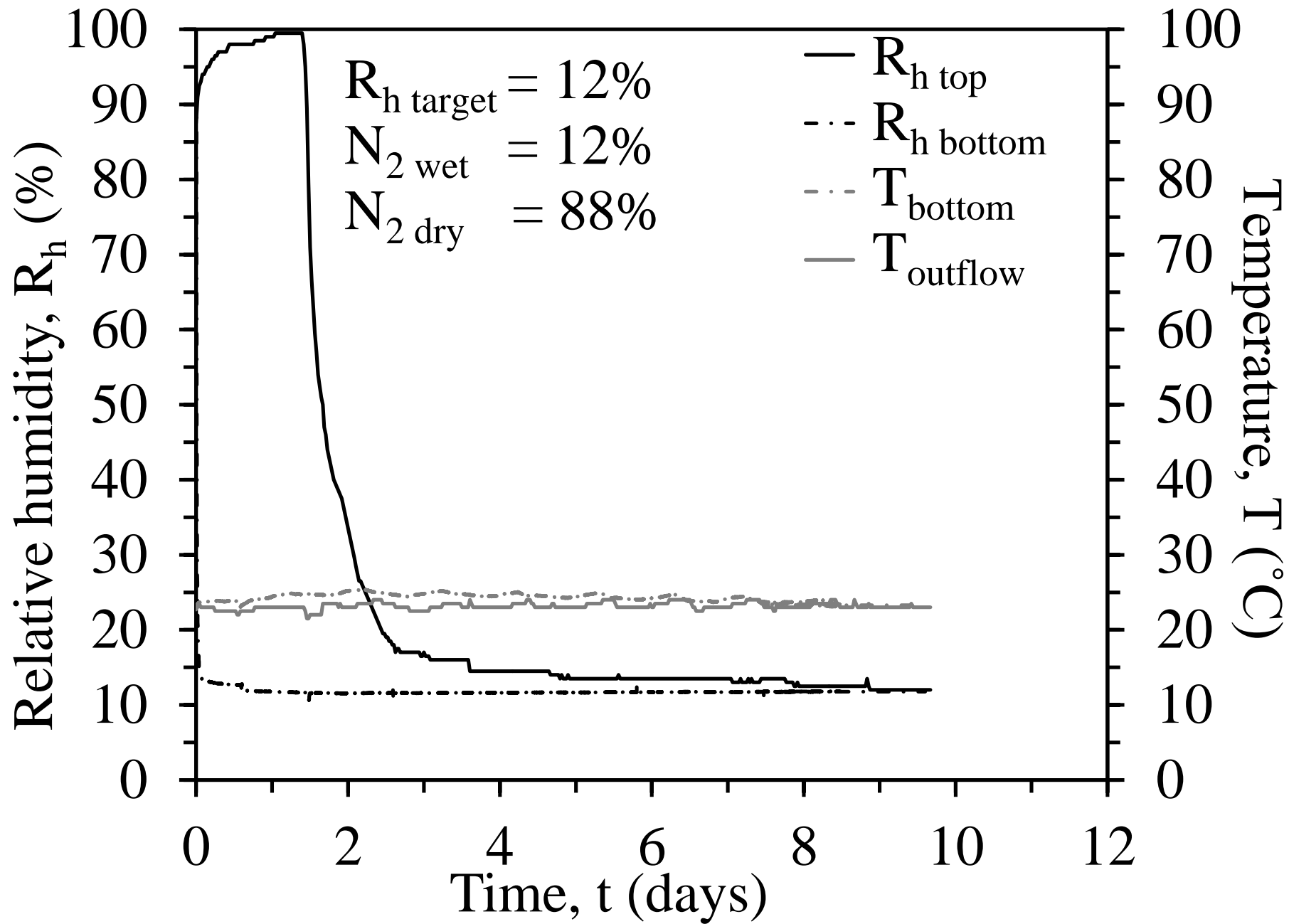
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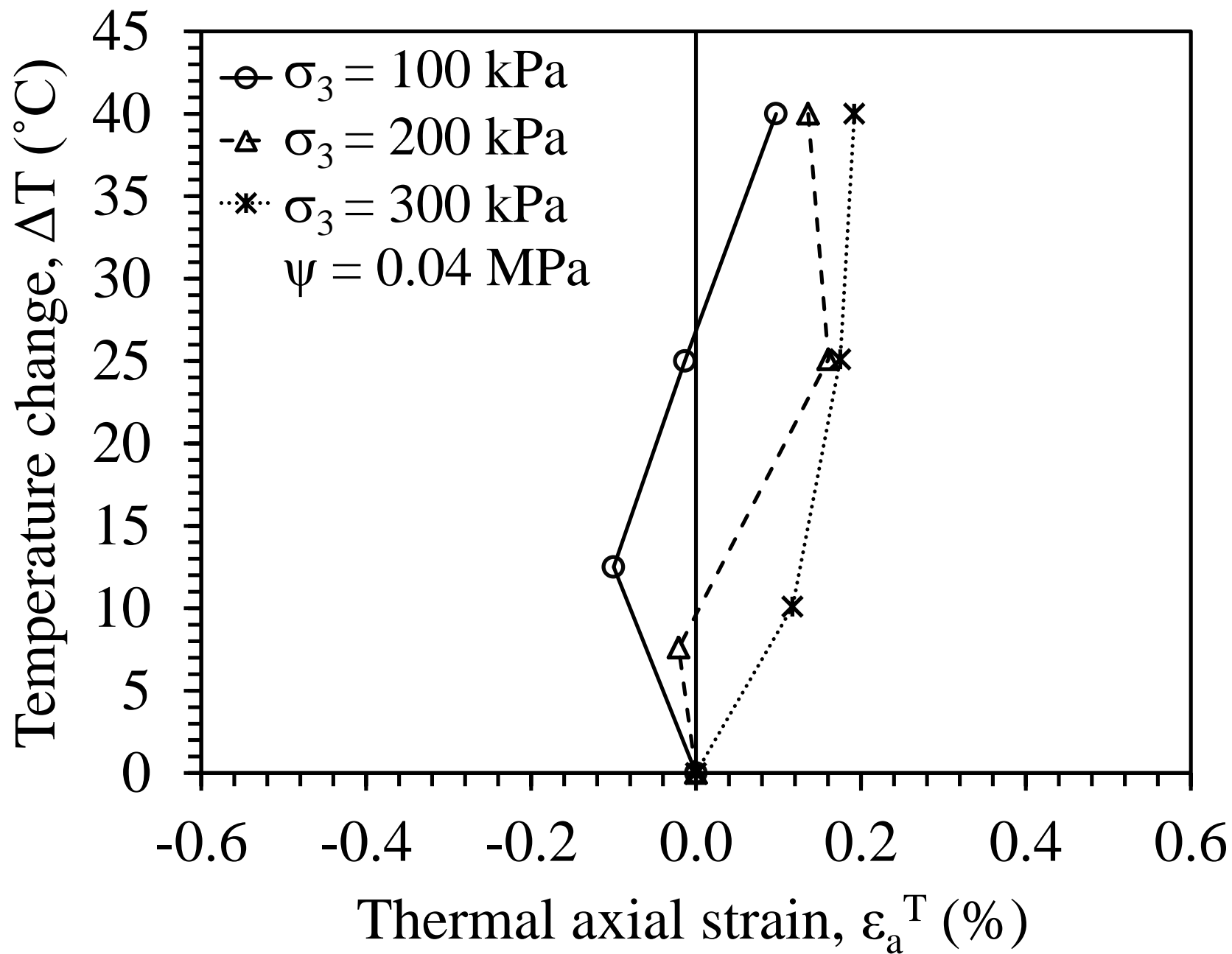


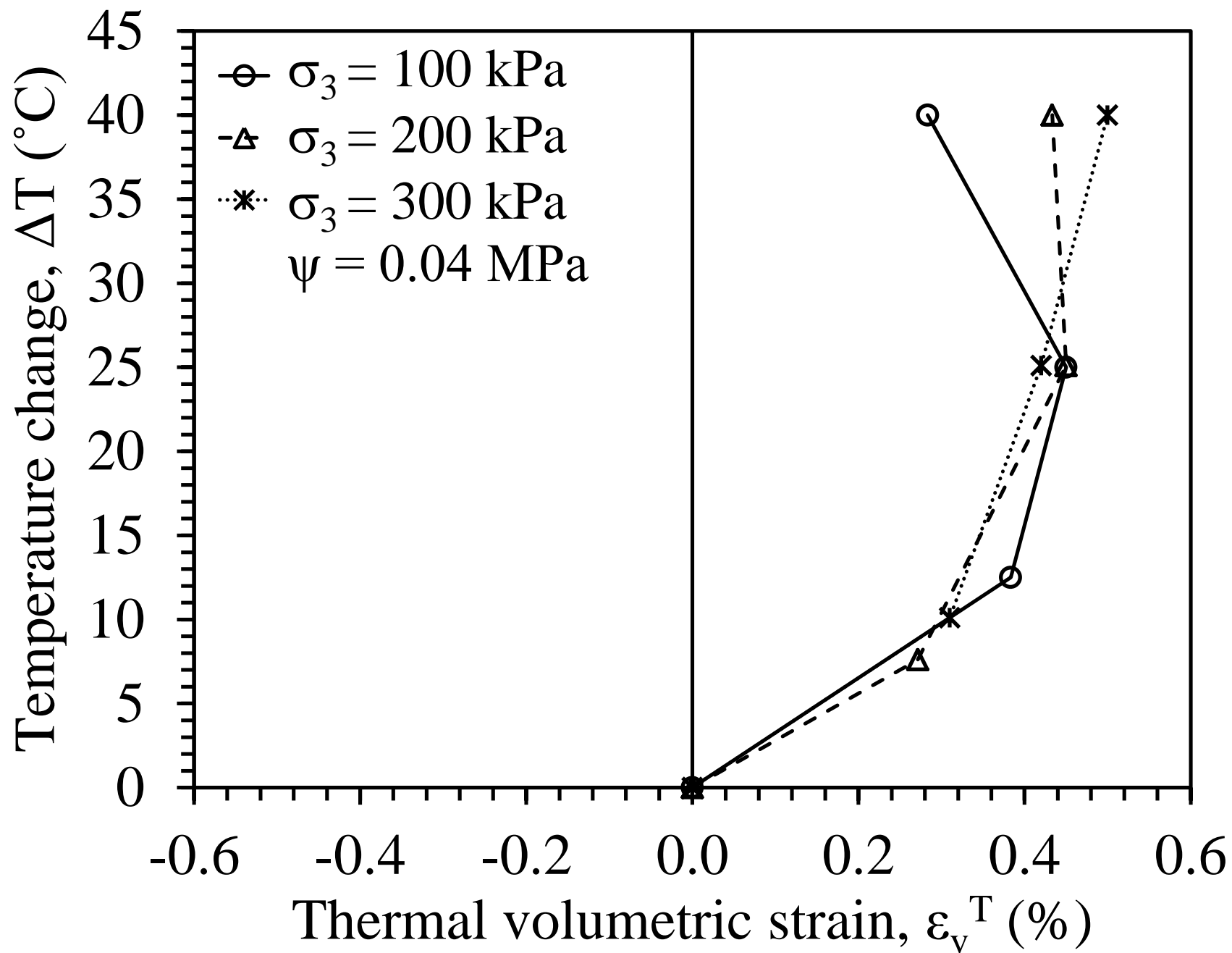


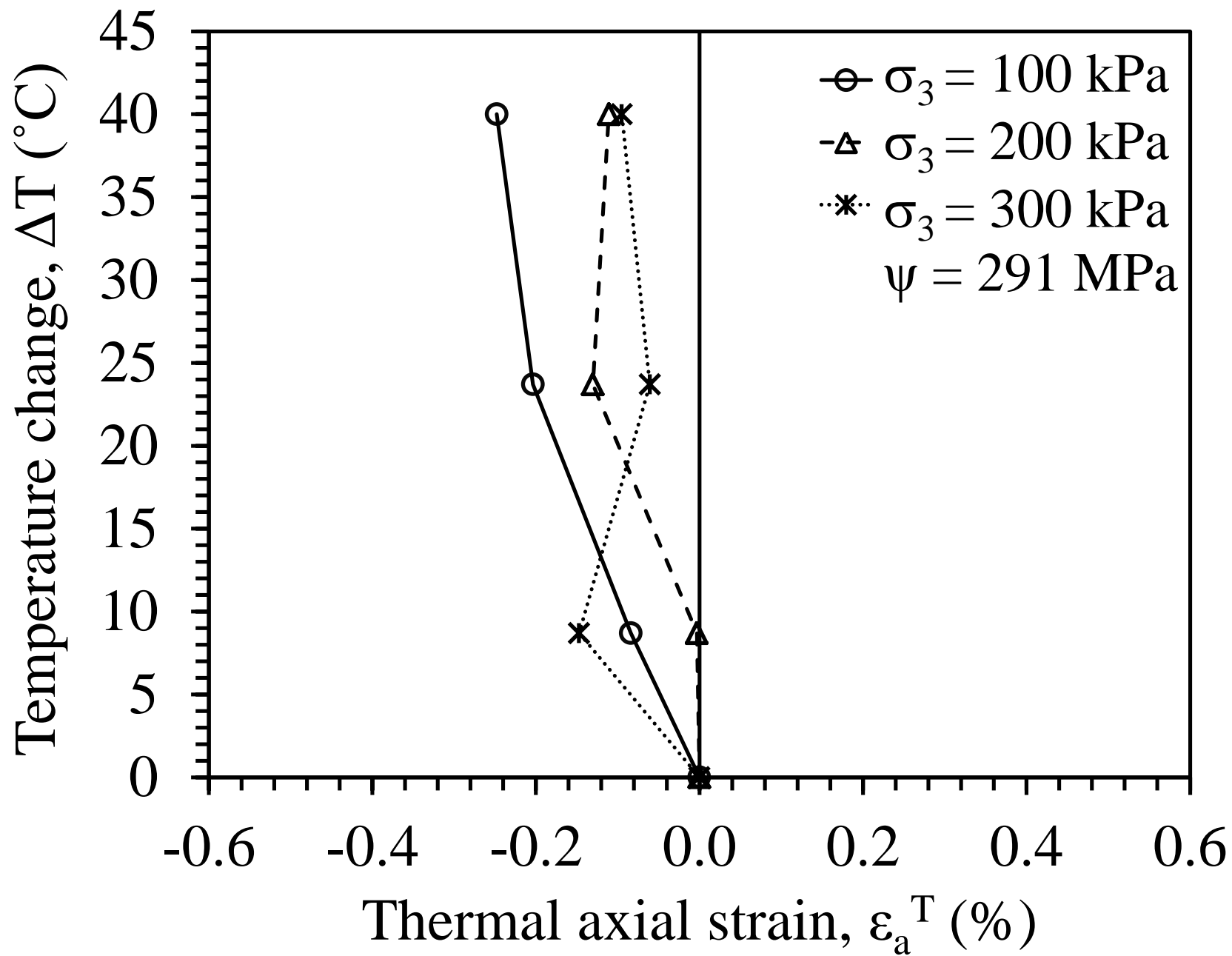


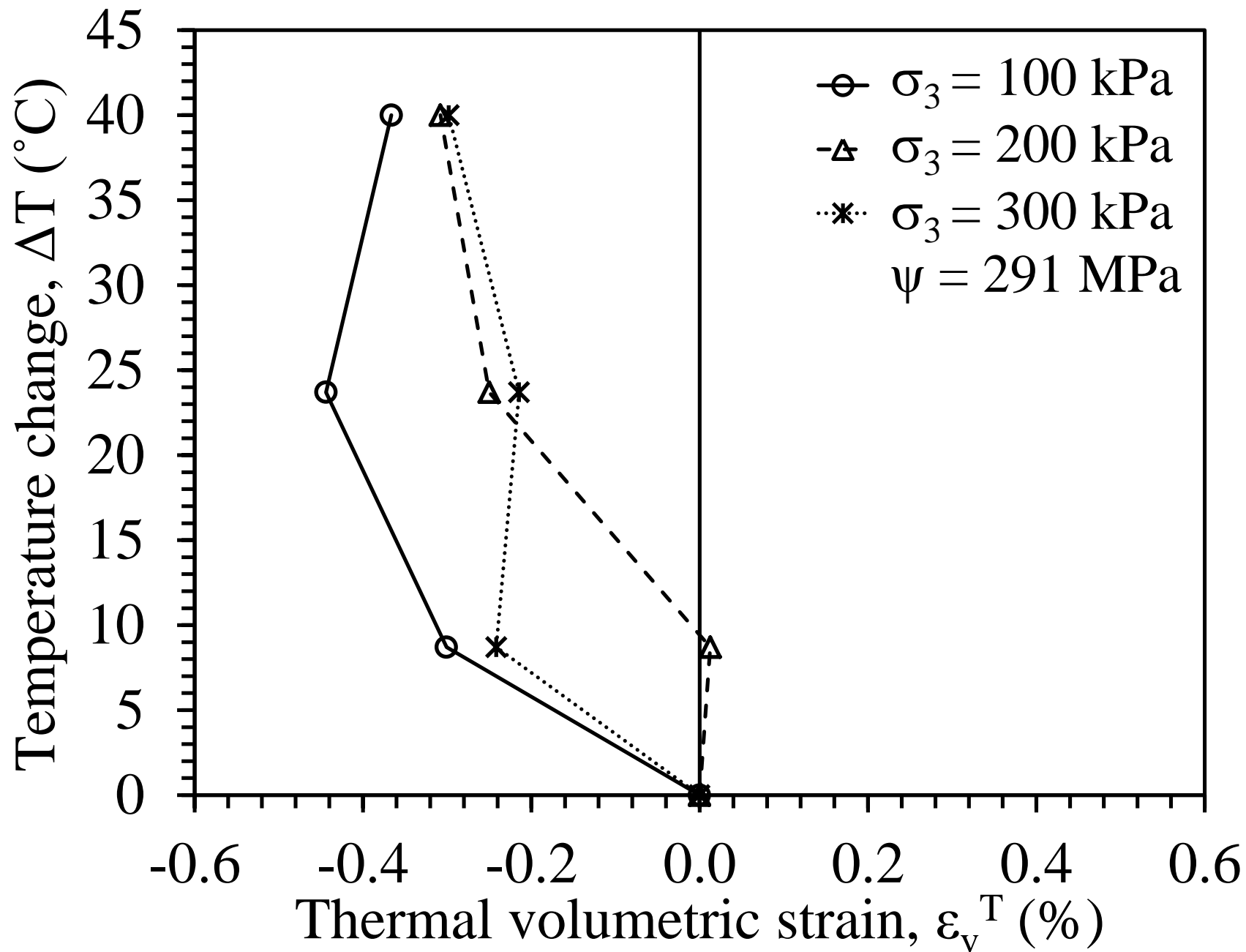


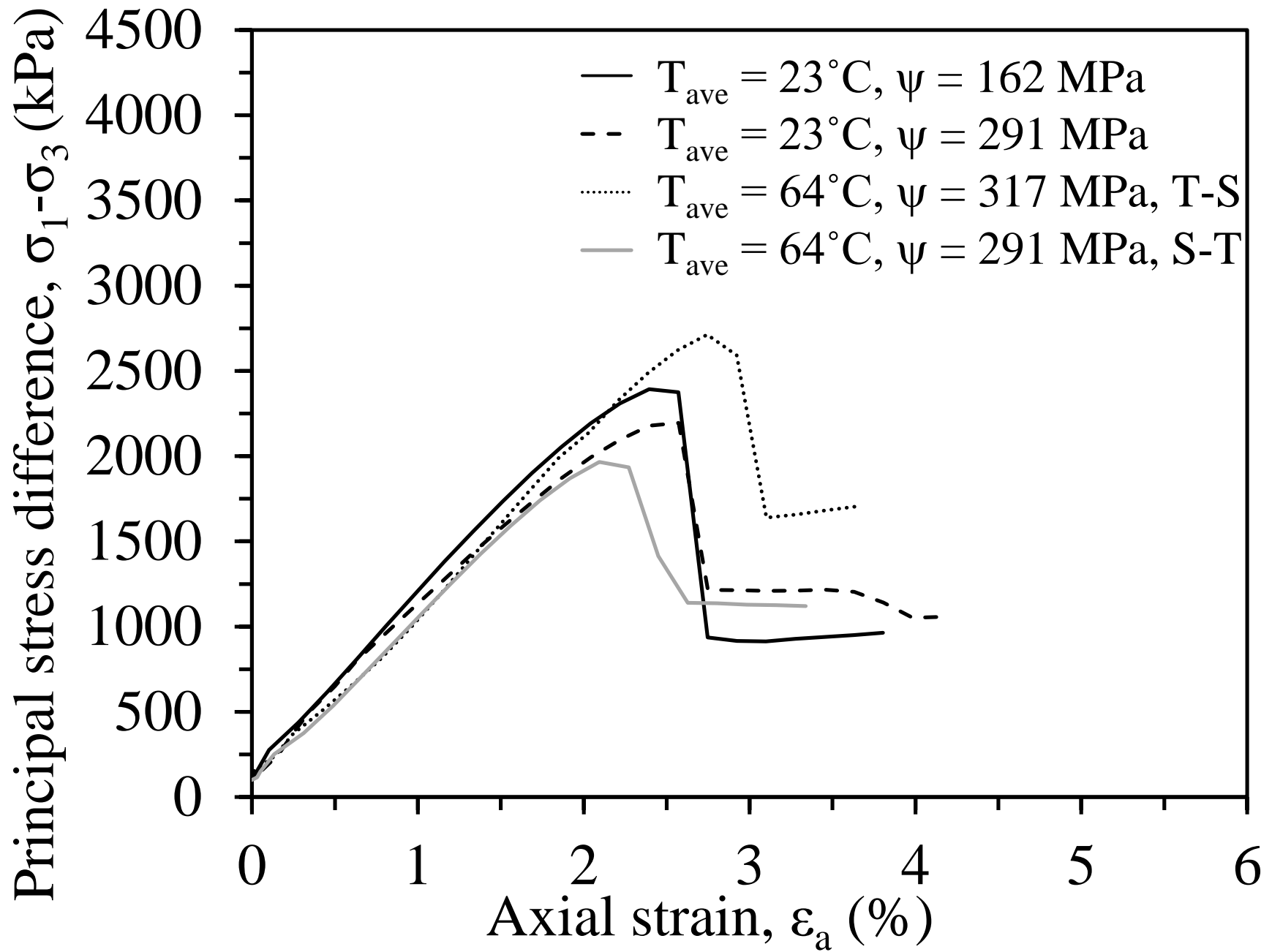


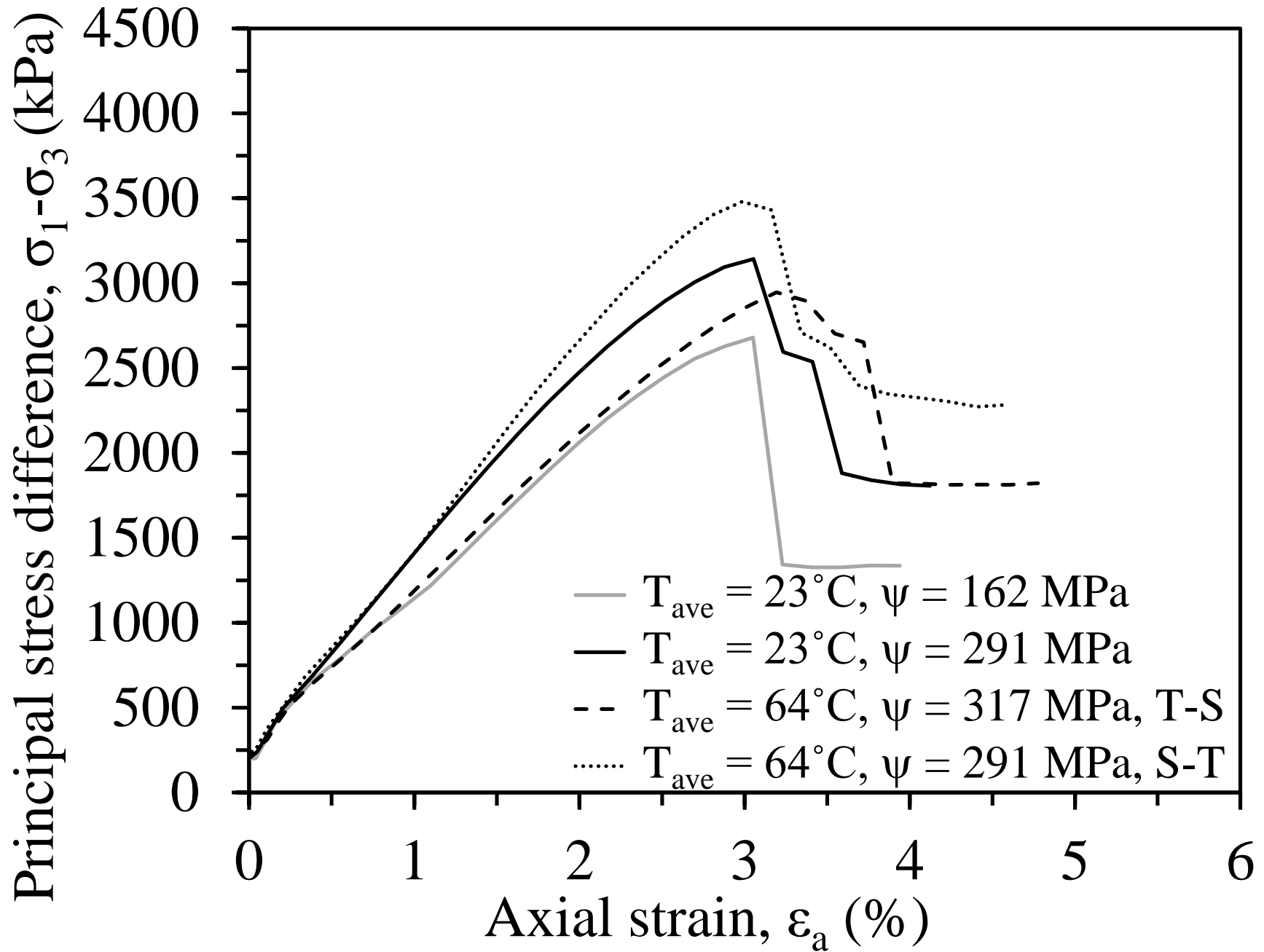


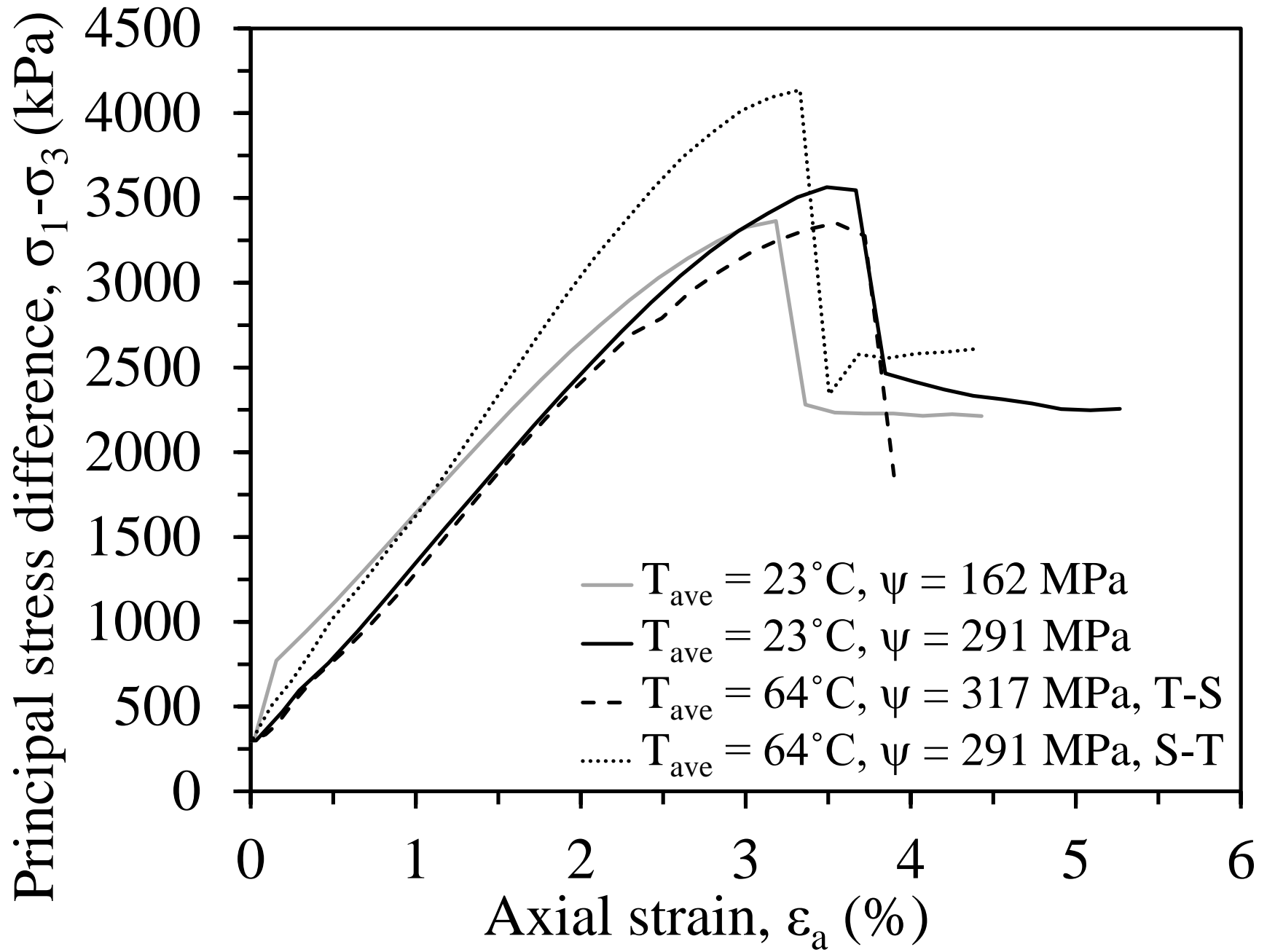


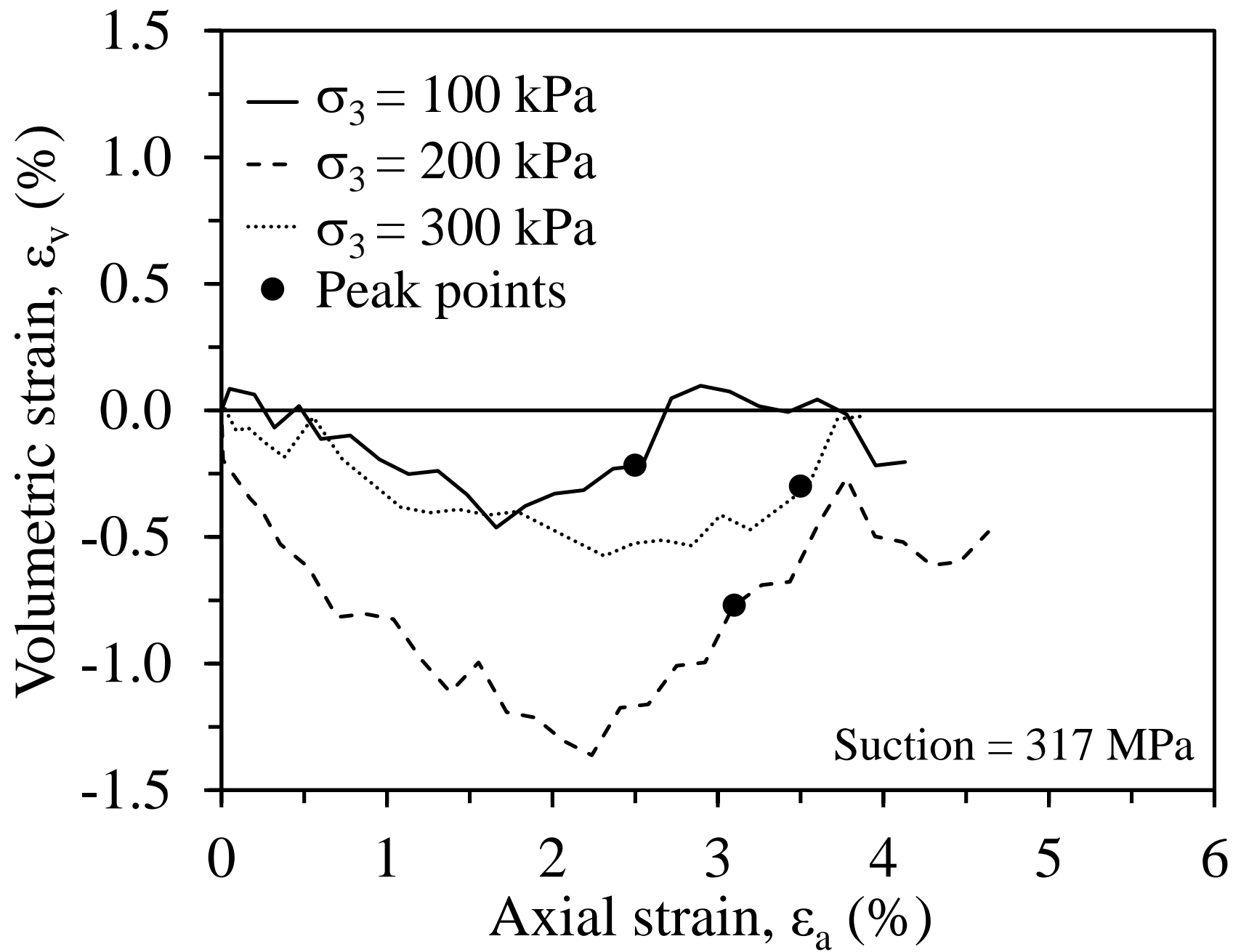


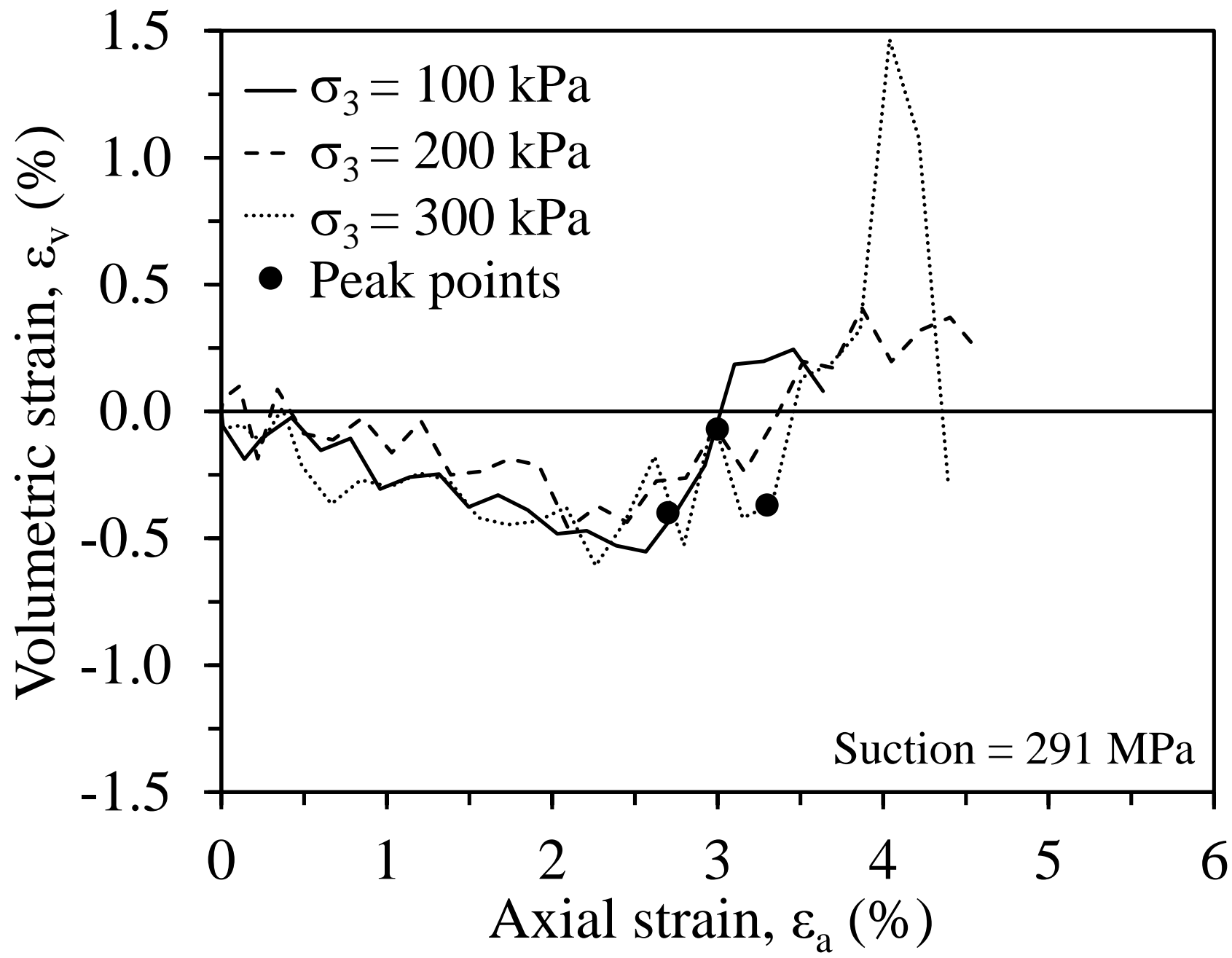


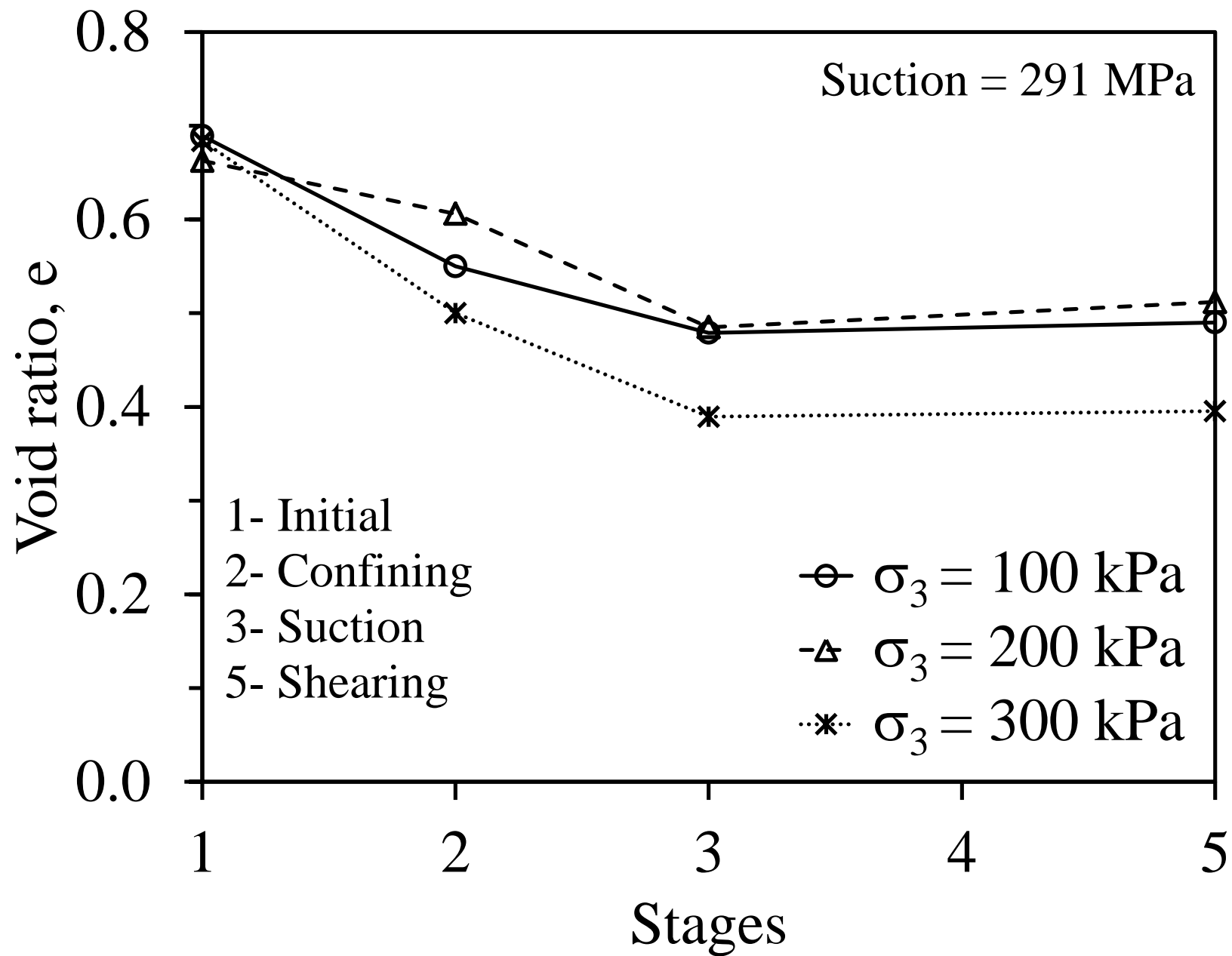


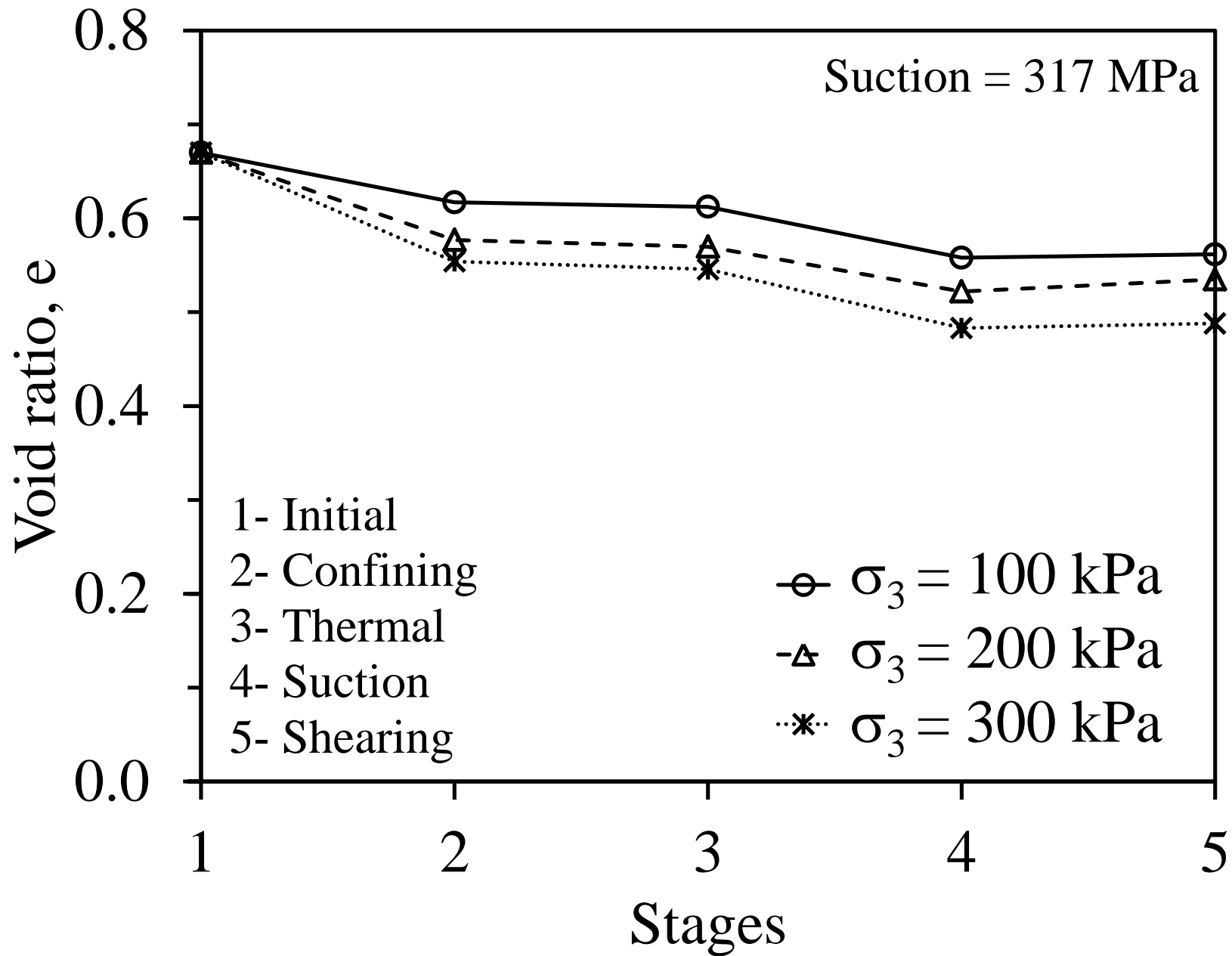


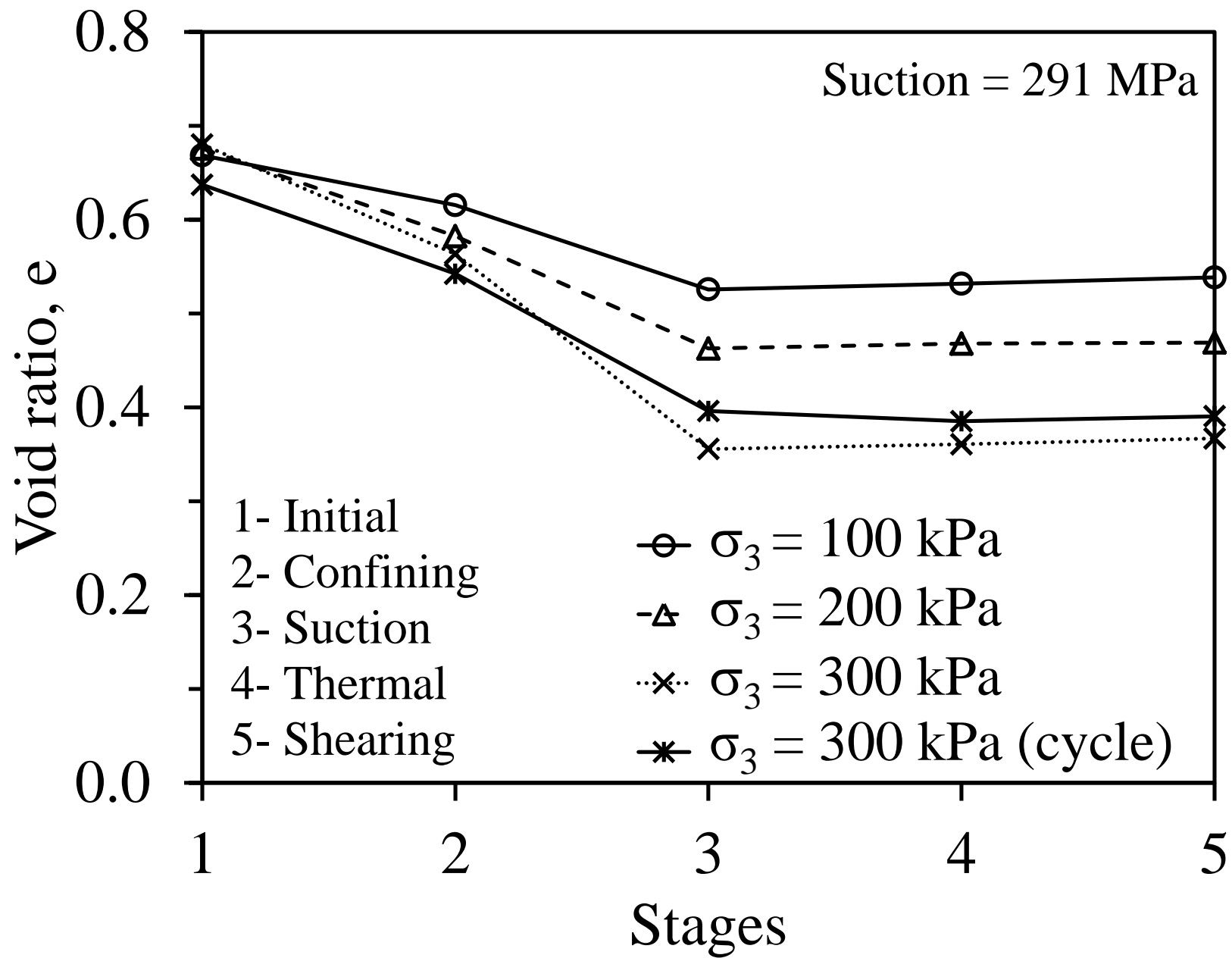


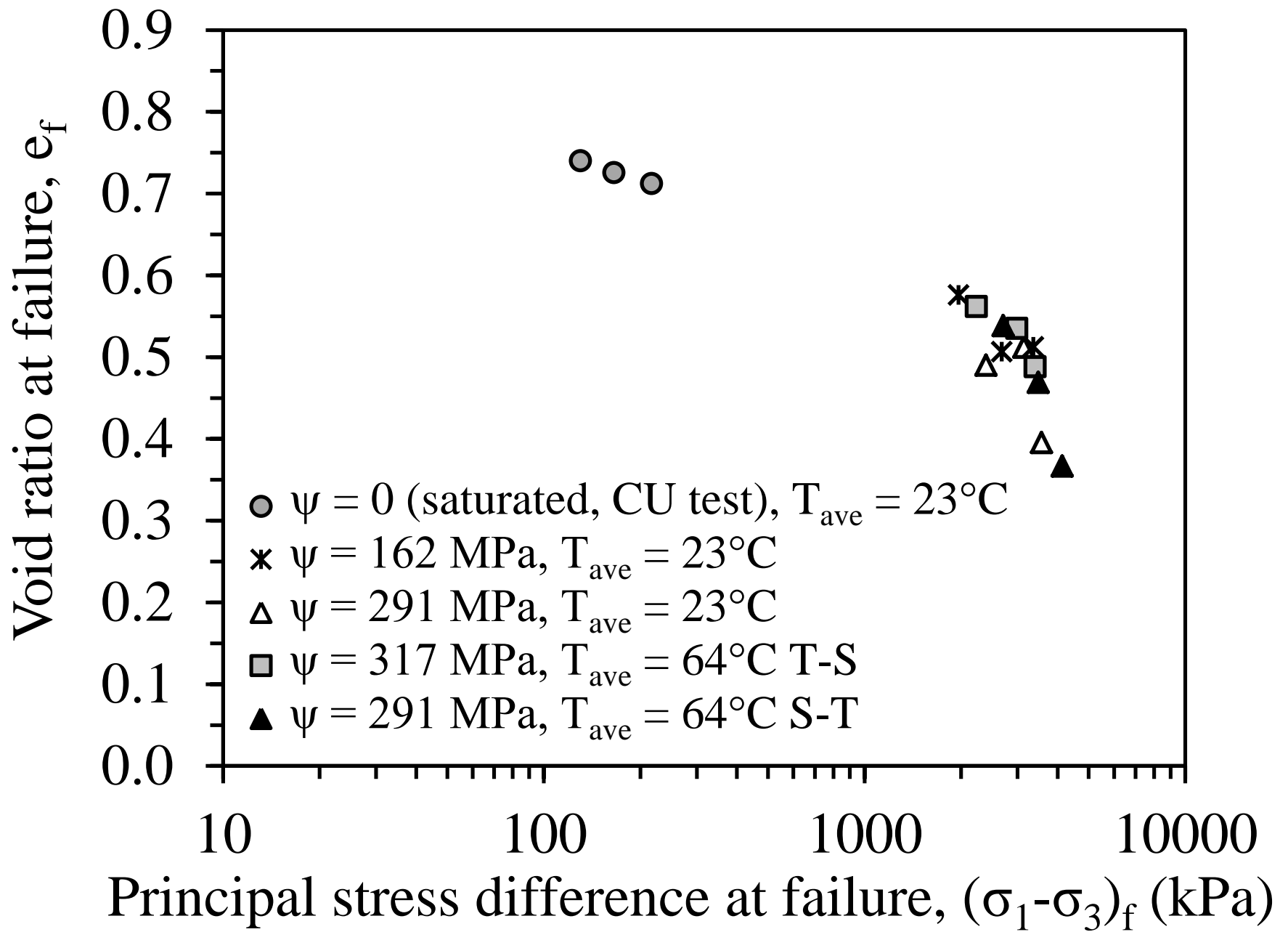


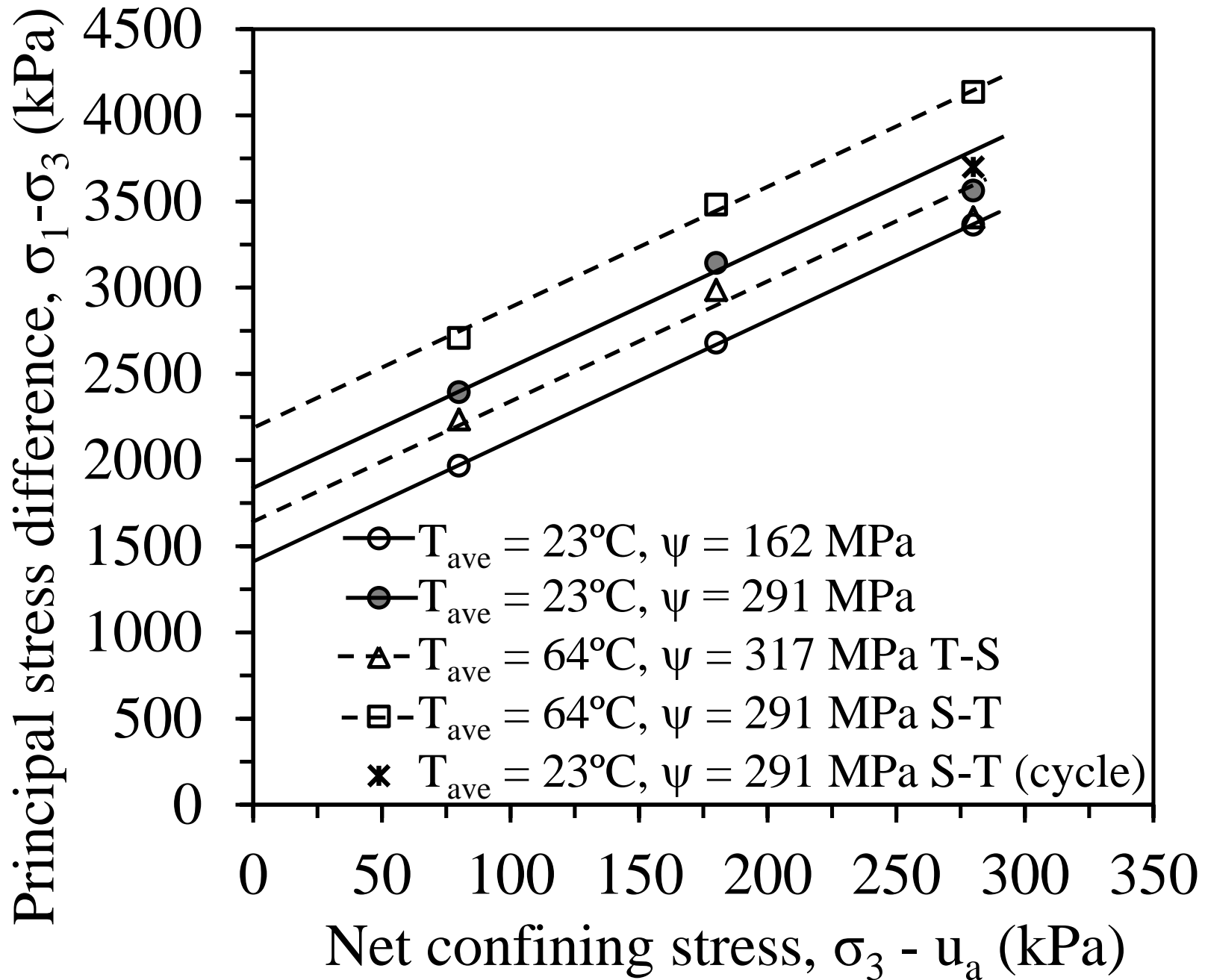


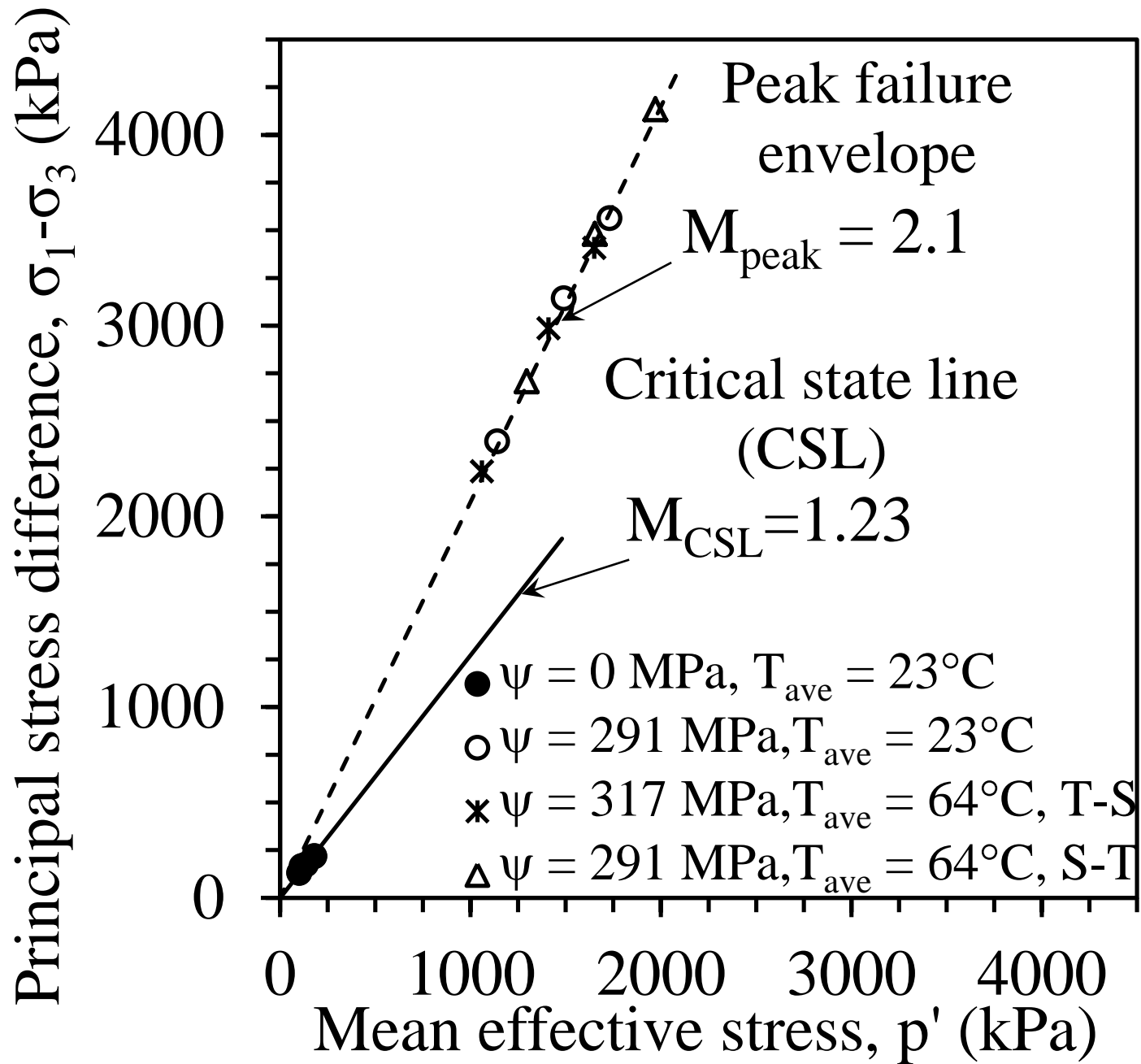












Difference in secant modulus
from ambient temperature tests
(MPa)

