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Bactericidal activity of gallium-doped chitosan coatings against staphylococcal infection

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1 **Title**

2 Bactericidal activity of Gallium-doped chitosan coatings against staphylococcal infection

3

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15

16 **Abbreviated running headline**

17 Ga-doped CS coatings against staphylococcal infection

18

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34 **Abstract**

35 **Aims:** This study was to develop a new class of Gallium (Ga)-doped Chitosan (CS) coatings  
36 fabricated by electrophoretic deposition (EPD) that promise new opportunities in  
37 staphylococcal infection therapy.

38 **Methods and Results:** Biofilm formation on EPD CS/Ga coatings by *Staphylococcus*  
39 *epidermidis* and *Staphylococcus aureus*, which are the main strains involved in post-  
40 arthroplasty infections was assessed. The codeposition of antibacterial agent was effective:  
41 Ga loaded into CS matrix reduces biofilm viability by up to 86% and 80% for *S. epidermidis*  
42 and *S. aureus* strains respectively. Lastly, the influence of Pulsed Electromagnetic Field  
43 (PEMF) on the bactericidal activity of CS/Ga coatings was investigated *in vitro*. To this end,  
44 the coatings were incubated with *S. epidermidis* and *S. aureus* and exposed to the PEMF  
45 using two different frequencies and times. Biofilm viability for *S. epidermidis* was decreased  
46 up to an additional 35 to 40% in the presence of low and high frequency PEMF, respectively.  
47 Biofilm viability by *S. aureus* was not further reduced in the presence of low frequency PEMF,  
48 but decreased up to an additional 38% at high frequency PEMF.

49 **Conclusions:** This study has established that a combination of pulsed electromagnetic fields  
50 with the antibacterial agent, improves bactericidal activity of Ga against *S. epidermidis* strain  
51 14990 and *S. aureus* strain 12600.

52 **Significance and Impact of the Study:** The new integrated approach could reduce the  
53 incidence of infection in orthopaedic implant applications. It also clearly demonstrates that the  
54 combination of Ga treatment with PEMF could promise new opportunities in biofilm-associated  
55 infection therapy due to the improved Ga efficiency.

56

57 **Keywords:** electrophoretic deposition (EPD); chitosan (CS); gallium (Ga); *Staphylococcus*  
58 *epidermidis*; *Staphylococcus aureus*; post-arthroplasty infection; Pulsed Electromagnetic Field  
59 PEMF; biofilm.

60 **Introduction**

61 Infections after orthopaedic surgery has increased over the recent years despite the use of  
62 antibiotics and more refined surgical technique (Bozic and Ries 2005; Kurtz et al. 2008). As  
63 the demand for orthopaedic surgery increases with the aging population, the infection cases  
64 will pass 266,000 per year in the United States by 2030 (Bozic and Ries 2005; S. Kurtz et al.  
65 2007; S. M. Kurtz et al. 2007; Kurtz et al. 2008). Bacteria (especially Staphylococci) form  
66 extracellular biofilms on implanted metallic/plastic materials, block penetration of immune cells  
67 and antibiotics, and result in bacterial survival (Darouiche 2004; Zimmerli et al. 2004; Trampuz  
68 and Widmer 2006; Del Pozo and Patel 2009). The surgical removal of all the implanted  
69 materials is necessary after biofilm formation. Near 70% of these kinds of infections are  
70 caused by Staphylococcal species (Fulkerson et al. 2006; Salgado et al. 2007; Walls et al.  
71 2008). The pharmacological treatment of post-arthroplasty infection is difficult due to bacteria  
72 resistant to antibiotics such as methicillin-resistant *S. aureus* (MRSA) (Darouiche 2004;  
73 Zimmerli et al. 2004; Trampuz and Widmer 2006; Pulido et al. 2008; Del Pozo and Patel 2009).  
74 The conventional treatment for post-arthroplasty infection usually involves a two-stage  
75 procedure, first, surgical removal of all prosthetic components and placement of an antibiotic-  
76 impregnated spacer, and after, as the second step, revision arthroplasty after the infection has  
77 been cleared (Jiranek et al. 2006; Cui et al. 2007; Mittal et al. 2007; Diwanji et al. 2008; Chiu  
78 and Lin 2009; Toulson et al. 2009). Together with the patient discomfort, this additional  
79 procedures care result in additional medical costs. All these issues lead to focus on the  
80 prevention of infection (Campoccia et al. 2006; Hetrick and Schoenfisch 2006; Zhao et al.  
81 2009).

82 As a novel method to tackle this issue, we designed Chitosan (CS)/gallium (Ga) composite  
83 coating to be applied to implant surfaces, prepared by electrophoretic deposition (EPD). EPD  
84 is a deposition technique with different advantages such as cost-effectiveness, versatility in  
85 materials that can be processed, reasonable control over the thickness of the coatings and a  
86 high level of homogeneity in terms of microstructure (Besra and Liu 2007). Chitosan is a

87 cationic polysaccharide biopolymer for tissue engineering, as it is a biocompatible coating and  
88 capable of drug delivery (Simchi et al. 2009). According to the particular CS properties such  
89 as biodegradability, biocompatibility, non-toxicity, it is one of the most interesting materials for  
90 tissue engineering ranging from skin, bone, cartilage, and vascular grafts as substrates for cell  
91 culture and drug-delivery systems (Malafaya et al. 2007). Previous studies have shown the  
92 feasibility of cationic EPD of chitosan (Varoni et al. 2016).  
93 Ga(III) can be potentially used as an antibacterial agent. As  $Ga^{3+}$  is similar to  $Fe^{3+}$  in radius,  
94 electronegativity, charge and coordination number (da Silva et al. 2009; Franchini et al. 2012),  
95 it can substitute the iron in its process and act as “Trojan horse” against bacteria, such as  
96 *Pseudomonas aeruginosa* and *Staphylococcus epidermidis* (Kaneko et al. 2007;  
97 Rzhepishevskaya et al. 2011). Ga is sequestered by the bacteria through their iron uptake  
98 systems, by the siderophores. Once inside, the metal blocks iron-dependent process where  
99 there is crucial oxidation of iron ( $Fe^{2+}$  to  $Fe^{3+}$ ) because gallium III cannot be reduced to give  
100 continuity to sequential oxidation and reduction (da Silva et al. 2009). Ga(III) blocks osteoclast  
101 resorption by preventing attachment to the surface of bone without appearing to be cytotoxic  
102 to osteoclasts, nor to inhibit cellular metabolism (Rimondini et al. 2013; Cochis et al. 2016).  
103 In this work, after studying the morphology of the coatings and finding the optimum conditions  
104 for uniform coating, the release profile of Ga in different concentrations were studied, as Ga  
105 results in bactericidal activity. We assessed biofilm formation and cell growth in the presence  
106 of the composite-coated surfaces by *Staphylococcus epidermidis* and *Staphylococcus aureus*,  
107 both Gram-positive bacteria, which are the two main strains that cause post-arthroplasty  
108 infections (Campoccia et al. 2006; Del Pozo and Patel 2009; Lv et al. 2014). For this reason,  
109 this work studied antibacterial activity of EPD of CS/Ga composite coatings against Gram-  
110 positive bacteria.  
111 The electrophoretic deposition of CS/Ga coating on orthopaedic implants show excellent  
112 bactericidal activity as well as biocompatible properties. Furthermore, the polymer-  
113 antibacterial agent (Ga) implant coating evaluated in this study was effective, suggesting the

114 potential for this strategy as a therapeutic intervention to combat post-arthroplasty infections.  
115 This novel coating could reduce the incidence of infection in orthopaedic implant applications.  
116 Finally, the *in vitro* influence of Pulsed Electromagnetic Field (PEMF) is investigated on  
117 modification of bactericidal activity of the CS/Ga composite coatings. The therapeutic efficacy  
118 for the stimulation of bone growth with pulsating electromagnetic fields (PEMF) is already  
119 proven in controlled double-blind studies (Sharrard 1990; Linovitz et al. 2002; Simonis et al.  
120 2003; Griffin et al. 2008). The PEMF resulted in a further decrease in biofilm viability up to  
121 40% for *S. epidermidis* and 38% for *S. aureus* compared to Ga treatment alone.

122 **Materials and methods**

123 **Materials**

124 Chitosan (Deacetylated chitin, Poly(D-glucosamine), medium molecular weight,  
125 Lot#STBG1894V), Gallium(III) nitrate hydrate (crystalline, 99.9% trace metals basis,  
126 Lot#MKBQ1999V), acetic acid (99.7%), Dulbecco's Phosphate Buffered Saline (DPBS)  
127 (Lot#RNBG5989), lysozyme from chicken egg white (Lot#SLBX2243) and water  
128 (CHROMASOLV® Plus, for HPLC) were all supplied by Sigma-Aldrich and used without  
129 further purification. To evaluate the in vitro biological response, human primary osteogenic  
130 sarcoma cell line SAOS-2 (ECACC 89050205) was used.

131 Trypticase soy broth (TSB) (30 g L<sup>-1</sup> in purified water, autoclave at 121 °C for 15 minutes,  
132 Becton, Dickinson and Company) was used for routine growth of bacterial cells, crystal violet  
133 stain was used to quantify biofilm viability (0.41% W/V in ethanol and DI water, Fisher scientific  
134 company), and Wash Buffer was used to change the medium of the bacterial cells (Hamon  
135 and Lazazzera 2002).

136 *Staphylococcus epidermidis* strain 14990 and *Staphylococcus aureus* strain 12600 both from  
137 the American Type Culture Collection (ATCC) were used in the study.

138

139 **Preparation and chemico-physical characterization of CS/Ga composite coating**

140 **EPD of CS/Ga composite coating**

141 Titanium sheets (Ti, grade 2) were used as cathode in an electrophoretic deposition cell:  
142 electrodes were positioned at distance of 10 mm (Isfahani and Ghorbani 2013) in a lab made  
143 EPD cell. Processing conditions have been optimized in order to achieve a uniform,  
144 homogeneous and consistent deposition of coatings; Square waves (75-100 V, duty cycle =  
145 0.17) have been used in water-based bath (pH=3.67, [CS] = 1g L<sup>-1</sup>, [Gallium(III) nitrate hydrate]  
146 = 10 mg L<sup>-1</sup> (LGa) and [Gallium(III) nitrate hydrate] = 100 mg L<sup>-1</sup> (HGa)).

147

148 **Microstructural characterization**

149 In the first phase, the feasibility of coating with Inductively Coupled Plasma - Optical Emission  
150 Spectrometry (ICP-OES, Perkin Elmer Optima 2000DV OES, Wellesley, USA) technique was  
151 evaluated. In order to study the morphology of CS/Ga composite coating prepared by EPD,  
152 scanning electron microscope (SEM) (Zeiss EVO 50EP) was used. To prepare the obtained  
153 samples for SEM, specimens were washed 3 times in de-ionized water before overnight  
154 lyophilizing. The SEM was equipped with an Oxford Instruments INCA energy-dispersive X-  
155 ray spectrometer (EDS) for qualitative elemental analysis of the coatings. To measure the  
156 conductivity of deposition bath, conductivity meter (Crison, CM 35) has been used.

157

158 ***In vitro* degradation**

159 The degradation study was performed by immersing the specimens in Phosphate Buffer  
160 Solution (PBS) (pH 7.4) at 37 °C containing 1.5 µg ml<sup>-1</sup> lysozyme (from chicken egg white).  
161 The lysozyme concentration was selected according to the concentration in human serum  
162 (Brouwer et al. 1984; Porstmann et al. 1989). The dried scaffolds were cut into small circles  
163 specimens (D=7 mm) and weighed (dry weight). At different time points (up to 3 D), specimens  
164 were removed from the solution and carefully dried in 37 °C oven overnight. The specimens  
165 were weighted to measure the weight loss:

166 
$$\text{Weight loss (\%)} = \frac{W_t - W_0}{W_0} * 100 \quad (\text{Equation 1})$$

167 Where the  $w_t$  is the weight of dry specimen at different time points,  $w_0$  is the weight of dry  
168 specimen before immersing in lysozyme solution.

169

170 **Antibacterial agent (Ga) release study**

171 The in vitro release of Ga from the EPD chitosan matrix was studied by incubating composite  
172 coating (20 mm × 20 mm × 0.2 mm) in 7.5 mL of phosphate buffered saline (PBS, Sigma-  
173 Aldrich P4417-50TB) at 37 °C. Inductively Coupled Plasma - Optical Emission Spectrometry



174 (ICP-OES, Perkin Elmer Optima 2000DV OES, Wellesley, USA) analysis was used to  
175 investigate the release of antibacterial agent. To determine release of Ga from the CS matrix,  
176 3 specimens for each treatment at each time point (1, 2, 4, 8, 14, 24 hours and 3, 7 days) were  
177 incubated in PBS. They were fixed vertically in 15 mL falcon tubes with conical end, to allow  
178 release of the antibacterial agent from both sides of the specimen. The tubes were maintained  
179 at 37 °C in a thermostatic oven under constant gentle shaking (75 rpm) (VDRL DIGITAL MOD.  
180 711/D). Aliquots of PBS from three specimens was analyzed by ICP-OES to determine the  
181 concentration of Ga released. The PBS solution was also analyzed by ICP-EOS to standardize  
182 the data.

183

184 Biological and microbiological characterization

#### 185 **Cytotoxicity tests on extract**

186 For cytotoxicity assessment, samples eluates were obtained, according to UNI EN ISO 10993-  
187 5, by incubating the samples in culture medium for 24 h. Cell culture medium was prepared  
188 using McCoy's 5a medium, with 15% fetal bovine serum, 1% [v/v] L-glutamine 2 mM, 1% [v/v]  
189 sodium pyruvate 1 mM and 1% [v/v] penicillin/streptomycin.

190 The extraction ratio (sample surface area/eluates volume) was 3 cm<sup>2</sup> mL<sup>-1</sup>. SAOS-2 cells were  
191 seeded at a density of 10<sup>4</sup> cells cm<sup>-2</sup> in 96-well microtiter plate and cultured with complete  
192 medium until 70% confluent for 24 h. The medium was then replaced with eluates or control  
193 (i.e. 24 hours old medium) and cells were returned to incubator. After 24 h, Alamar Blue™  
194 assay (BioReagent, Sigma-Aldrich R7017) was performed to evaluate cell viability. Plates  
195 fluorescence (530 nm<sub>EX</sub>/590 nm<sub>EM</sub>) was spectrophotometrically read (Tecan, Genios Plus  
196 plate reader) to evaluate possible cytotoxic effects associated to the tested material.

#### 197 **Crystal violet assay and colony-forming units counting**

198 To evaluate biofilm viability by *S. epidermidis* and *S. aureus*, crystal violet assay was  
199 performed. A 1 ml of diluted (1:100) overnight culture of *S. epidermidis* in TSB was added to  
200 each sample in each well of 24 well, flat bottom microtitre plate. All the coatings autoclaved

201 (Tuttnauer cat2007) for 1 hour in 150 °C to be sterilized. The plate was incubated under static  
202 conditions at 37 °C for 24 hours. The growth media removed, and the wells washed 3 times  
203 with 1 ml Wash Buffer. At the next step, 1 ml crystal violet was added to each well and  
204 incubated at room temperature for 15 minutes. Crystal violet was removed, and the wells were  
205 washed twice with 1 ml of distilled water and 1 ml of an 80% ethanol, 20% acetone solution  
206 was added. The liquid was transferred to a fresh 96 well PVC round bottom microtiter plate to  
207 measure the absorbance at 570 nm ( $A_{570}$ ) by plate reader (BMG FLUOstar Omega). Control  
208 is CS coating without any Ga.

209 Biofilm viability (%) =  $\frac{\text{Composite coating } Ab_s}{\text{Control } Ab_s} \times 100$  (Equation 2)

210 To allow the biofilm to detach from the coating surface, coating containing biofilms were  
211 resuspended in 1 mL of TSB, vortexed, and sonicated at 60 Hz (Aquasonic 250HT, VWR  
212 International) for 30 s; this was repeated five times. The suspension was used to prepare six,  
213 ten-fold dilutions. A 100  $\mu$ L volume of each dilution were spotted onto Lysogeny broth (LB)  
214 plates and incubated for 24 h at 37 °C. The following day, the number of CFUs per ml was  
215 counted, working blind, and using the following formula (Kuhn et al. 2003; Harrison et al.  
216 2006; Rivardo et al. 2009):

217  $\text{CFU ml}^{-1} = (\text{no. of colonies} \times \text{dilution factor}) / \text{volume of culture plate.}$  (Equation 3)

218

### 219 **Biofilm morphology**

220 To study the morphology of biofilm which was formed by *S. epidermidis* on the CS/Ga  
221 coatings, Variable-Pressure (VP) Scanning Electron Microscopy (SEM) (Zeiss supra 40VP)  
222 was used. The samples were washed 3 times in de-ionized water and dried in 37 °C oven for  
223 1h.

### 224 **Pulsed electromagnetic field (PEMF)**

225 To investigate the in vitro effect of a pulsed electromagnetic field (PEMF) on the efficacy of  
226 antibacterial agent (Ga) in the treatment of coated orthopaedic implants infection, two different  
227 frequencies, 40,850 Hz as the high frequency and 3,846 Hz as the low frequency, were used

228 to expose the specimens to the PEMF. PEMF was applied for 15 minutes and 4 hours to the  
229 coatings which were incubated into *S. epidermidis* strain 14990 and *S. aureus* strain 12600 in  
230 24 well microtiter rack. The rack was located in the incubator. The Dial-A-Stim IV (DAS-IV) in  
231 vitro system used to generate PEMF for the experiments.

232 The Dial-A-Stim IV (DAS-IV) in vitro system used to generate PEMF for the experiments. It  
233 consists of equipment and a treatment rack capable of providing low frequency (LF) and high  
234 frequency (HF) Physio-Stim treatment for different levels of in vitro test samples. The system  
235 components are treatment rack, control box, arbitrary waveform generator and treatment timer  
236 and reset/start button. Treatment rack which consists of four levels is shown in Figure 2. The  
237 purpose of arbitrary waveform generator is to configure the control signals used to generate  
238 the PEMF waveform by the module driver. The signals will be sent to the treatment rack by  
239 the control box.

240

#### 241 **Statistical data analysis**

242 All results are reported as mean  $\pm$  standard deviation. Significant differences between two  
243 sets of data were determined by one-way ANOVA followed by Tukey post-hoc test for pairwise  
244 comparisons and  $p < 0.05$  was considered statistically significant. The Statistical Package for  
245 Social Science was used for the calculations (Minitab Express™ Version 1.4.0).

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253

## 254 **Results**

### 255 **Feasibility of EPD CS/Ga composite coating**

256 Figure 3 shows the SEM images of (a) pure CS and (b,c) CS/Ga composite coating with  
257 different Ga concentrations ([Gallium(III) nitrate hydrate] = 10 and 100 mg L<sup>-1</sup>): a porous  
258 structure in pure CS coating is evident. Ga-doped coatings show a different morphology and  
259 an homogeneous presence of bright spots. Energy-dispersive X-ray (EDX) analysis allow the  
260 identification of such clusters, mainly deposited on the pore borders (Figure 3 d,e). The EDX  
261 spectrum (Figure 3(f,g)) contains peaks associated with Ga atoms.

262

263 Before studying the Ga release rate, the total amount of Ga loaded in the chitosan matrix  
264 during EPD was determined as a function of Ga content in the suspension by ICP-OES  
265 analysis (Figure 4a). As seen, the efficiency during EPD was approximately 66-55% which is  
266 quite acceptable (Figure 4a) (supporting information).

267

268 The release of Ga from CS matrix, measured by ICP-EOS, occurred during the first 7 days.  
269 The released Ga was detected in 7.5mL of PBS with a maximum of 0.0137 and 0.0013 mg  
270 cm<sup>-2</sup> from high Ga concentration ([Gallium(III) nitrate hydrate] = 100 mg L<sup>-1</sup>) and low Ga  
271 concentration ([Gallium(III) nitrate hydrate] = 10 mg L<sup>-1</sup>) deposition baths, respectively (Figure  
272 4b).

273 To evaluate whether the release rate is controlled by degradation of the chitosan matrix in the  
274 PBS solution over long incubation, the cumulative weight loss of the CS coating in PBS was  
275 also determined (Figure 4c). As it can be observed, the amount of chitosan weight loss in PBS  
276 was noticeable only after a long period of incubation.

277

### 278 **Degradation**

279 Figure (5) summarized the biodegradation results. An increasing weight loss observed for CS  
280 and CS/Ga composite coatings in the course of time. CS sample showed 34.2% degradation

281 whitin 3 days. This number is 21.4% and 19.8% for CS/LGa and CS/HGa composite structure  
282 respectively.

283

## 284 **Cytotoxicity assessment and bactericidal activity**

### 285 **Cytotoxicity - test on extracts**

286 Figure (6) shows the results of cell viability for SAOS-2 cells cultured with pure (100%) or  
287 diluted (50% and 10% in fresh medium) eluates from CS and CS/Ga composite coatings. No  
288 evidence of cytotoxicity was detected in any of the examined samples. The cell viability (%)  
289 vs. control was 109.76%, 107.77% and 96.05% for CS, CS/LGa and CS/HGa composite  
290 coatings, respectively.

291

### 292 **Biofilm viability**

293 Figure 7 a and 8 a summarizes the biofilm viability results assayed by the crystal violet method  
294 on *S. epidermidis* strain 14990 and *S. aureus* strain 12600, cultured in TSB medium. After  
295 24h, all Ga-doped specimens differed significantly from untreated pure CS coating ( $p < 0.05$ ,  
296 figure 7 and 8, indicated by \*) resulting in a significant bacterial inhibition. For *S. epidermidis*,  
297 both CS/LGa and CS/HGa coatings caused a reduction in biofilm viability of about 15% and  
298 60%, respectively, after 24 hrs, and a reduction of 82% and 86%, respectively, after 3 days  
299 (Figure 7a). For *S. aureus*, both CS/LGa and CS/HGa coatings caused a reduction in biofilm  
300 viability of about 10% and 55%, respectively, after 24 hrs, and a reduction of 40% and 80%,  
301 respectively, after 3 days (Figure 8a) due to the Ga released amount increasement.

302 This assay was repeated with TSB media adjusted to different pHs; regardless of the medium  
303 pH the CS/Ga coated reduced in the number of viable bacterial cells (Figure 7b and 8b).

304 The effect of the CS/Ga composite coating on cell viability of *S. epidermidis* was measured as  
305 the total CFU present in the planktonic phase of the cultures incubated with the coatings (Figure  
306 9). The composite coating with a high Ga concentration (HGa) gave the lowest number of  
307 planktonic CFU, yeilding. 8.3% and 4.5% of the population on untreated CS after 24 hours

308 and 3 days, respectively. The effect of the CS/Ga composite coating of the viability of cells in  
309 the biofilm phase of the culture was measured as the total CFU presence in the medium after  
310 detachment of the cells from the surface. The coatings with highest Ga concentration resulted  
311 in the lowest CFU, yielding 27% and 37% of the population on untreated CS for *S. epidermidis*  
312 strain 14990 and *S. aureus* strain 12600, respectively (Figure 10).

313

#### 314 **Biofilm morphology**

315 At different Ga concentration, colonies were attached on the surface of the coating specimen  
316 over 24h incubation. These results were substantiated by the VP-SEM images (Figure 11 and  
317 12). The SEM micrographs revealed reduced biofilm formation by *S. epidermidis* and *S.*  
318 *aureus* grown on CS/Ga composite coatings compared with the pure CS control (Figure 11a  
319 and 12a). The biofilm formed on Ga were poorly structured, very thin, arrested at the  
320 microcolony stage, and had reduced surface area coverage. It is also evident that the biofilm  
321 structure on the CS/HGa composite coating (Figure 11c and 12c) is only a single-layer of cells  
322 compare to CS/LGa composite structure biofilm (Figure 11b and 12b).

323

#### 324 **PEMF effect on bactericidal activity of the coatings**

325 Four separate experimental setups were used to expose coatings incubated in bacterial  
326 cultures of *S. epidermidis* and *S. aureus* in TSB media, to (1) a low-frequency PEMF, 3,846  
327 Hz for 15 minutes and 4 h and (2) a hi-frequency PEMF, 40,850 Hz for 15 minutes and 4 h as  
328 well. In each of the four applied fields showed a biofilm viability reduction of *S. epidermidis*  
329 *and S. aureus* in the presence of Ga within 24 h of the experiment (Figure 13 and 14). The  
330 best results were obtained by low frequency for 4 h in both strains. Exposure to a PEMF  
331 increased the effectiveness of Ga against the one-day biofilms of *S. epidermidis* and *S.*  
332 *aureus*.

333

334

335 **Discussion**

336 After fabricating CS/Ga composite coating by EPD, its feasibility was confirmed by X-ray map  
337 and EDX spectrum techniques and due to hydrolysis of water during EPD process (Varoni et  
338 al. 2016), a porous structure in pure CS is evident. Ga appears distributed mainly on pore  
339 borders, probably due to the higher current density in such area (Lanzi 1990; Zhitomirsky and  
340 Gal-or 1997; Besra and Liu 2007). From EDX analysis a difference is evident in the relative  
341 peak of Ga according to the different bath concentrations.

342 EDX analysis performed on chitosan/Ga substrates proved the presence of gallium (Figure 3f  
343 – 3g) as well as the ICP-OES investigation detected the Ga release from the prepared  
344 materials (Figure 4a). This result highlights that gallium could be physically bonded to chitosan  
345 macromolecules (e.g., weak bonds) or entrapped into the chitosan macromolecules  
346 (supporting information S.2- Figure S.1).

347 The degradation of chitosan has already been studied carefully before (Pangburn et al. 1982;  
348 Lee et al. 1995; Tomihata and Ikada 1997; Vårum et al. 1997). In general, polysaccharides  
349 are degraded by enzymatic hydrolysis. It is well established that, in human serum, chitosan is  
350 depolymerized enzymatically by lysozyme (Vårum et al. 1997). The enzyme hydrolyzes the  
351 glycosidic bonds of polysaccharide which results in biodegradation. Lysozyme contains a  
352 hexameric binding site (Pangburn et al. 1982), and hexasaccharide chains containing  
353 acetylated units lead to initial degradation of the CS and CS/Ga composite coatings (Nordtveit  
354 et al. 1994).

355 The critical period to inhibit biofilm formation after the implantation surgery is 6 h (Zilberman  
356 and Elsner 2008). However, even at longer term, certain species of adhered bacteria are  
357 capable of forming a biofilm at the implant–tissue interface (Hetrick and Schoenfisch 2006;  
358 Zilberman and Elsner 2008; Simchi et al. 2011). Therefore, we performed the drug release  
359 studies for an extended time period. Two release phases can be highlighted. In the early  
360 phase, a burst of release was observed (after 2h). A second phase follows, in which very little  
361 additional release was detected. Significant CS weight loss was observed only after a long

362 period of incubation in PBS (Ordikhani et al. 2014), suggesting that water molecules destroy  
363 the hydrogen bond among chitosan fibers, disordering the macromolecule alignments that  
364 may lead to dissolution/degradation.

365 As a prerequisite, the cytotoxicity assessment suggests that the prepared composite coatings  
366 are not toxic to human osteoblast-like cells in good agreement with previous studies (Cochis  
367 et al. 2016).

368 To evaluate bactericidal activity of CS/Ga coatings different aspects should be considered.

369 First of all, CS inherently shows remarkable antibacterial activity against a broad spectrum of  
370 bacteria due to the interaction between positively charged CS and negatively charged  
371 microbial cell wall, which leads to the leakage of intracellular constituents (Aimin et al. 1999;  
372 Kim et al. 2008). Another phenomenon that should be considered is pH-responsive swelling  
373 behavior of chitosan which makes CS to be a proper matrix for controlled release of  
374 pharmaceuticals (Aimin et al. 1999). In the following, the biofilm viability assay in different pHs  
375 on *S. epidermidis* strain 14990 and *S. aureus* strain 12600 is discussed. Generally, the pH  
376 drops in presence of bacteria (Bernthal et al. 2010) CS matrix was confirmed to release Ga  
377 both passively and actively, in response to lowering pH. At lower pH, the amide groups on the  
378 chitosan can become protonated, forming the hydrophilic  $NH_3^+$  group. The resulting  
379 electrostatic repulsion between the protonated amino groups weakened the intermolecular  
380 and intramolecular hydrogen bonding interaction of chitosan molecules, as a result, the buffer  
381 solution can diffuse into the network easily which would facilitate the equilibrium swelling ratios  
382 to increase. According to the swelling, the diffusion rate increases from matrix to the exterior.  
383 As a consequence the embedded agents in the matrix will be released easier and faster (Zou  
384 et al. 2015).

385 Besides affecting planktonic bacteria, Ga seems to be efficient to reduce biofilm cells (Figure  
386 10). Consequently, Ga, can be effective against either planktonic or biofilm cells. Gallium is  
387 metabolically very similar to  $Fe^{3+}$ , acting as an iron substitute in several biological pathways.  
388 Respect to its chemical similarity to  $Fe^{3+}$  in terms of charge, ionic radius, electronic



389 configuration, and coordination number, Ga can substitute iron in siderophore dependent  
390 biological systems; this capability underlies its antibacterial action. Since  $\text{Ga}^{3+}$  cannot be  
391 reduced under the same conditions as  $\text{Fe}^{3+}$ , sequential redox reactions critical for the  
392 biological functions by  $\text{Fe}^{3+}$  are impaired when iron is replaced: Ga thus inhibits  $\text{Fe}^{3+}$  by a  
393 “Trojan horse” strategy (Kaneko et al. 2007; García-Contreras et al. 2014; Modarresi et al.  
394 2015).

395 As previously mentioned, the therapeutic efficacy for the stimulation of bone growth with  
396 pulsating electromagnetic fields (PEMF) is already proven. Recently, enhancing the  
397 eradication of biofilms in the clinical infection of implants is being viewed with more interest.  
398 For the first time, Khoury et al, demonstrated that antibiotics efficacy would be increased  
399 against biofilm bacteria in the presence of very weak electric field (Khoury et al. 1992).

400 Growth inhibition of *S. aureus* induced by low-frequency electric and electromagnetic fields  
401 also reported by Obermeier et al (Obermeier et al. 2009).

402 The PEMF resulted in a further decrease in biofilm viability up to 40% for *S. epidermidis* and  
403 38% for *S. aureus* compared to Ga treatment alone.

404 However, Reactive oxygen and Nitrogen Species (ROS/RNS) were not measured in the  
405 medium after magnetic treatment, according to the PEMF effect on bactericidal activity of the  
406 obtained CS/Ga coatings results, hydroxyl and oxygen radicals are known to destroy cell  
407 membranes of bacteria and may be present with the application of an electromagnetic field.  
408 This is the so-called bioelectric effect (Benson et al. 1994; Costerton et al. 1994; McLeod et  
409 al. 1999; Pickering et al. 2003). This may facilitate the penetration of antibacterial agents into  
410 the biofilm and subsequently in the cells and could be an explanation for the detected  
411 modification of Ga efficacy.

412 In this study, we have demonstrated that a combination of pulsed electromagnetic fields with  
413 the antibacterial agent, improves bactericidal activity of Ga against *S. epidermidis* strain 14990  
414 and *S. aureus* strain 12600. We conclude that the combination of Ga treatment with low-

415 frequency PEMF could promise new opportunities in biofilm-associated infection therapy due  
416 to the improved Ga efficiency.

417 Taken together, an animal model could be potentially be used to provide important information  
418 about *in vivo* clinical efficacy of preclinical preventative or therapeutic modalities against post-  
419 arthroplasty infections before more extensive studies in human subjects. Furthermore, the  
420 therapeutic efficacy of the Ga under the used fields, should be proven in well-designed,  
421 evidence-based, randomized clinical studies in the future. PEMF can be developed into a  
422 device that can be applied externally to patients. This novel modification could result in the  
423 lower antibacterial agent use which decreases the health side effects.

424

#### 425 **Acknowledgement**

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427 micrographs.

428

#### 429 **Conflict of Interest**

430 The authors of the study declare there are no known competing interests associated with this  
431 study or the data contained within.

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576 **Figure Legends**

577 **Figure 1.** Schematic of Chitosan (CS)-based coatings that were prepared using EPD.

578 **Figure 2.** Pulsed ElectroMagnetic Field (PEMF) setup.

579 **Figure 3.** (a) SEM image of EPD of CS, (b,c) SEM images of EPD of CS/Ga composite coating  
580 ((b) [Gallium(III) nitrate hydrate] = 10 mg L<sup>-1</sup> and (c) [Gallium(III) nitrate hydrate] = 100 mg L<sup>-1</sup>), (d,e)  
581 corresponding X-ray map; (f,g) corresponding EDX spectrum.

582 **Figure 4.** (a) Effect of the Ga concentration in the suspension on the loading efficiency of the  
583 EPD process, (b) Release of Ga from the composite coatings; (●) [Gallium(III) nitrate hydrate]  
584 = 100 mg L<sup>-1</sup> and (■) [Gallium(III) nitrate hydrate] = 10 mg L<sup>-1</sup>, (c) Chitosan degradation test in  
585 PBS.

586 **Figure 5.** Weight loss of CS and CS/Ga composite coatings immersed in PBS (pH 7.4) at 37  
587 °C containing 1.5 µg ml<sup>-1</sup> lysozyme: (▲) CS, (■) [Gallium(III) nitrate hydrate] = 10 mg L<sup>-1</sup>, (●)  
588 [Gallium(III) nitrate hydrate] = 100 mg L<sup>-1</sup>.

589 **Figure 6.** Cell viability (% vs. control) of SAOS-2 cells cultured with coatings extracts as  
590 determined by a Alamar Blue™ assay (p < 0.05, indicated by \*). For 50% and 10%: cultures  
591 diluted, 50% and 90% (w%), into fresh SAOS-2 media.

592 **Figure 7.** Crystal violet assay indicates in vitro biofilm viability for *S. epidermidis*, (a) after 24h  
593 and 3 days (3D) in comparison with control (CS) (p < 0.05, indicated by \*), (b) after 24h in  
594 different pH (all the absorbance values normalized with CS value at pH 7.3).

595 **Figure 8.** Crystal violet assay indicates in vitro biofilm viability for *S. aureus*, (a) after 24h and  
596 3 days (3D) in comparison with control (CS) (p < 0.05, indicated by \*), (b) after 24h in different  
597 pH (all the absorbance values normalized with CS value at pH=7.3).

598 **Figure 9.** Total CFU of *S. epidermidis* incubated in LB medium, (planktonic cells).

599 **Figure 10.** Total CFU of bacteria cells incubated in LB medium for 24 h and then biofilm cells  
600 were detached from the coatings; (a) *S. epidermidis*, (b) *S. aureus*.

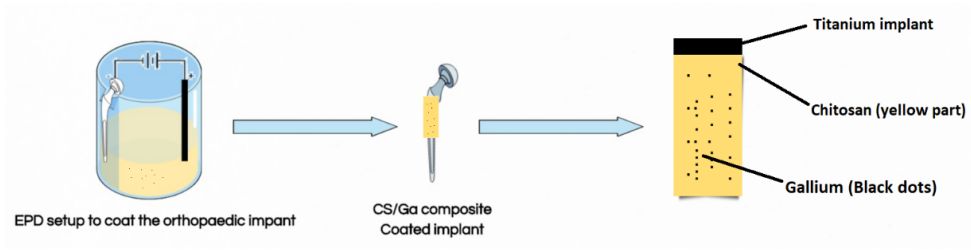
601 **Figure 11.** Representative VP-SEM images of the EPD CS/Ga coatings incubated with *S.*  
602 *epidermidis* for 24h, (a) pure CS, (b) CS/LGa composite coating ([Gallium(III) nitrate hydrate]=  
603 10 mg L<sup>-1</sup>) and (c) CS/HGa composite coating ([Gallium(III) nitrate hydrate]= 100 mg L<sup>-1</sup>).

604 **Figure 12.** Representative VP-SEM images of the EPD CS/Ga coatings incubated with *S.*  
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606 mg L<sup>-1</sup>) and (c) CS/HGa composite coating ([Gallium(III) nitrate hydrate]= 100 mg L<sup>-1</sup>).

607 **Figure 13.** PEMF impact on biofilm viability of *S. epidermidis* strain 14990 in EPD CS/Ga  
608 composite orthopaedic coating; after 24h; (a) at low frequency, (b) at high frequency (all the  
609 absorbance values are normalized to no PEMF CS value).

610 **Figure 14.** PEMF impact on biofilm viability of *S. aureus* strain 12600 in EPD CS/Ga composite  
611 orthopaedic coating; after 24h; (a) at low frequency, (b) at high frequency (all the absorbance  
612 values are normalized to no PEMF CS value).

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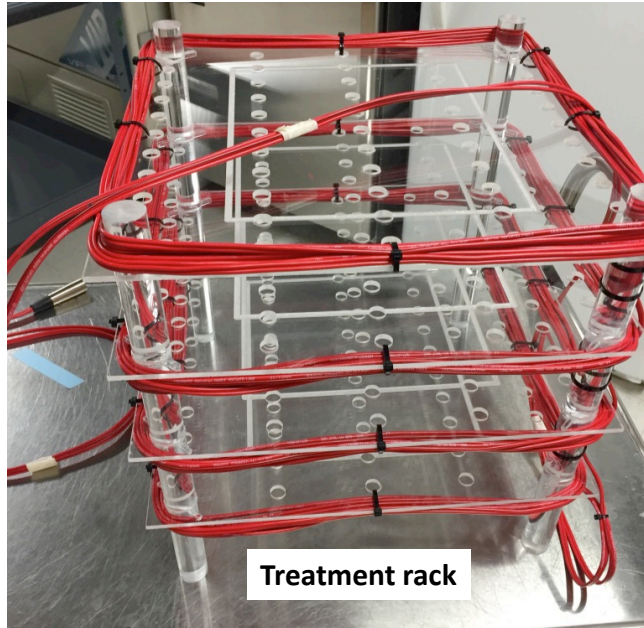
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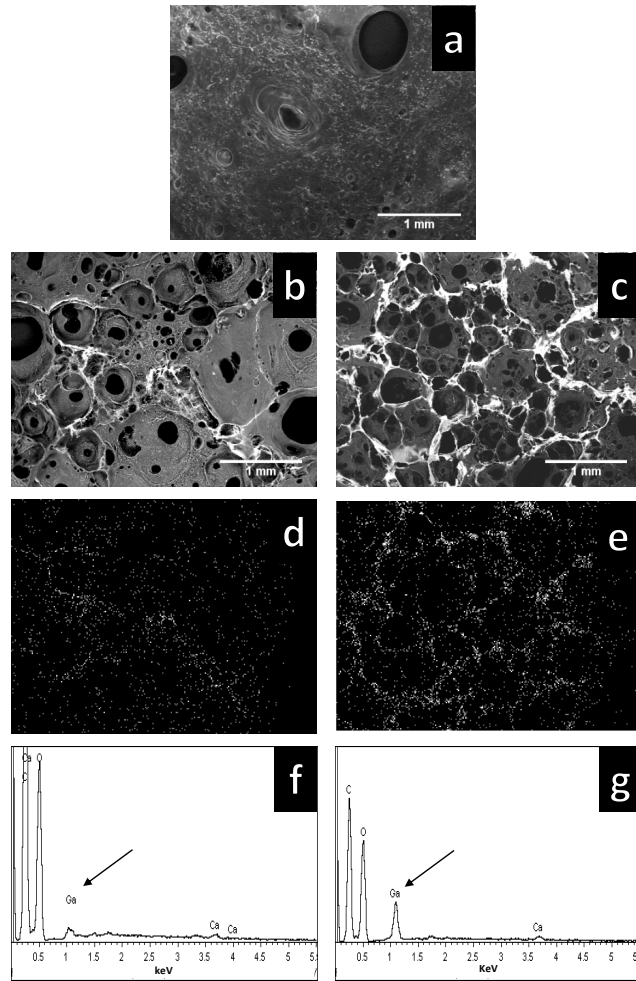
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Figure 2. Pulsed ElectroMagnetic Field (PEMF) setup.

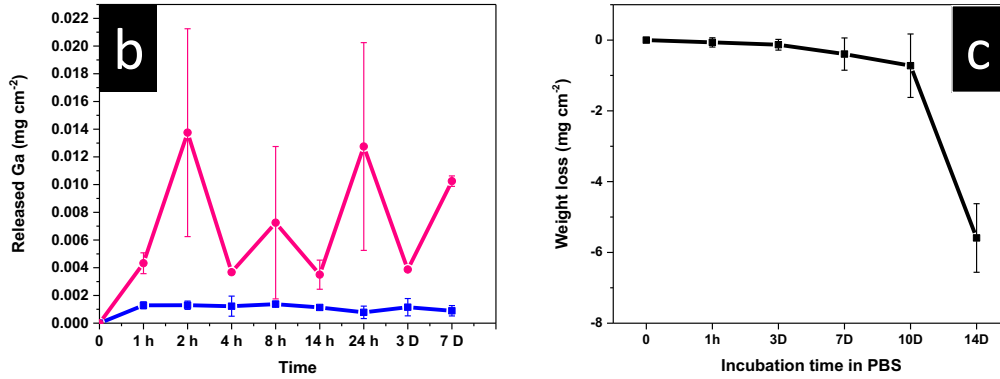


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636 Figure 3. (a) SEM image of EPD of CS, (b,c) SEM images of EPD of CS/Ga composite coating  
 637 ((b) [Gallium(III) nitrate hydrate] = 10 mg L<sup>-1</sup> and (c) [Gallium(III) nitrate hydrate] = 100 mg L<sup>-1</sup>), (d,e) corresponding X-ray map; (f,g) corresponding EDX spectrum.

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<b>a</b>	[Ga]/[CS] in EPD bath (%)	[Ga]/[CS] in the coating (%)	Ga in the coating (mg)
LGa	0.27	0.18 ± 0.05	0.054
HGa	2.7	1.5 ± 0.08	0.34



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641 Figure 4. (a) Effect of the Ga concentration in the suspension on the loading efficiency of the

642 EPD process, (b) Release of Ga from the composite coatings; (●) [Gallium(III) nitrate hydrate]

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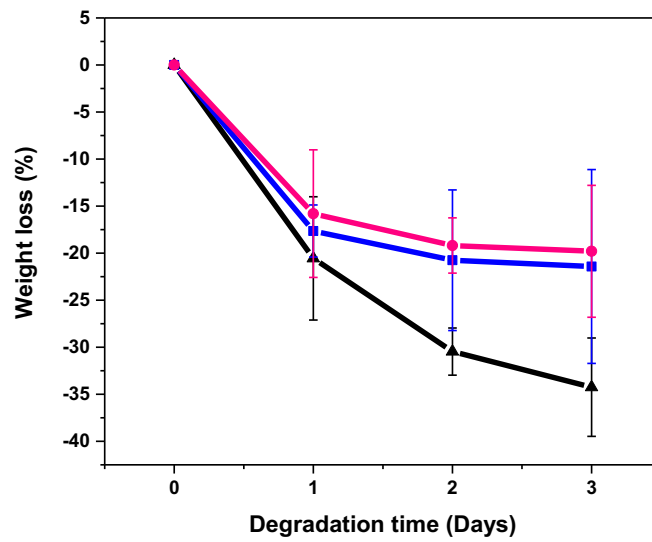
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659 Figure 5. Weight loss of CS and CS/Ga composite coatings immersed in PBS (pH 7.4) at 37

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661 [Gallium(III) nitrate hydrate] =  $100 \text{ mg L}^{-1}$ .

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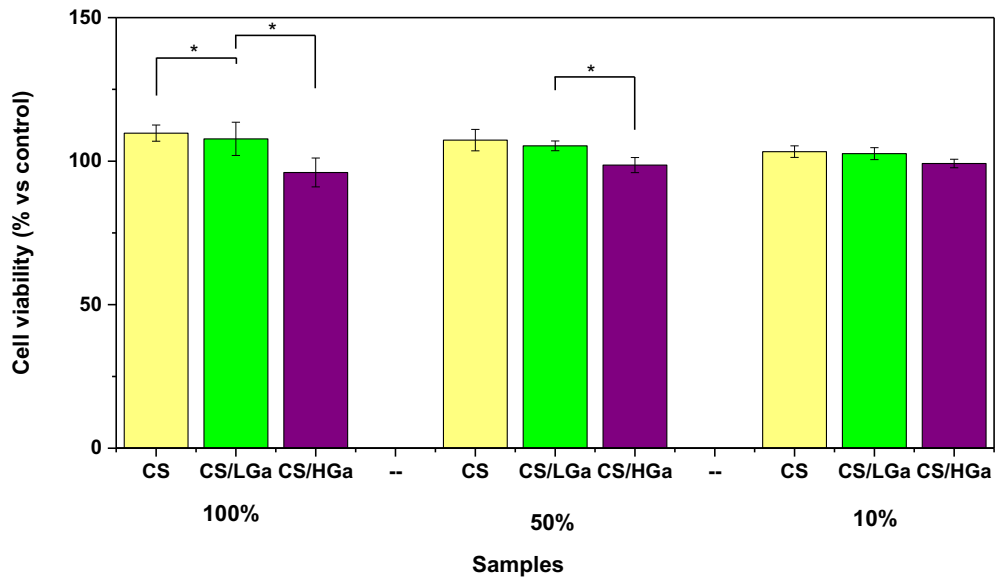
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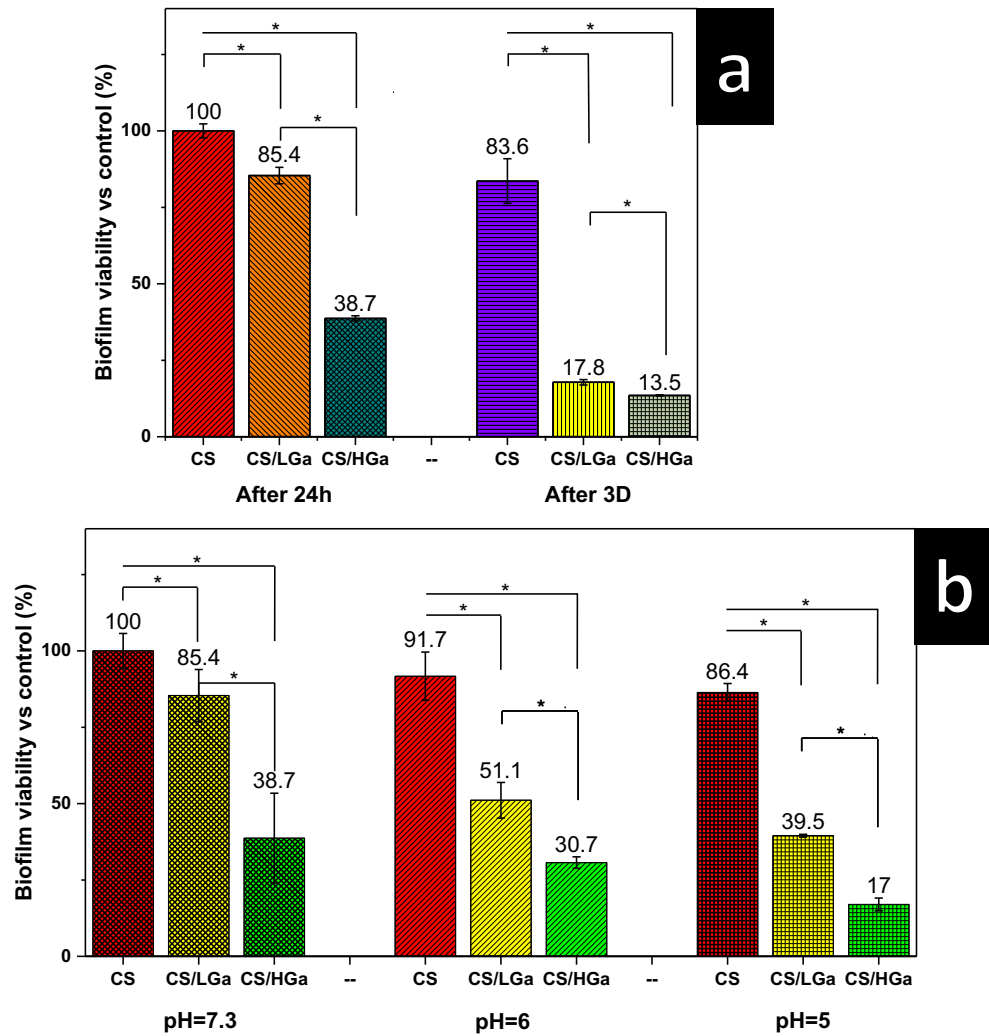
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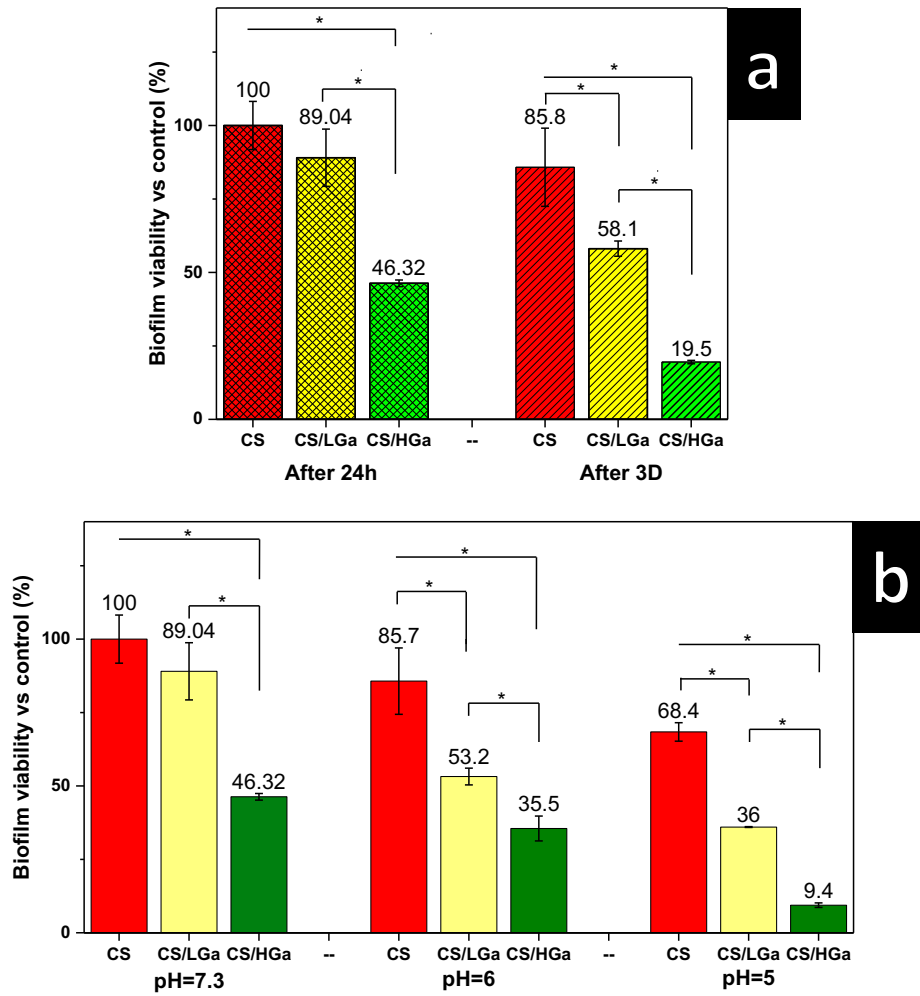
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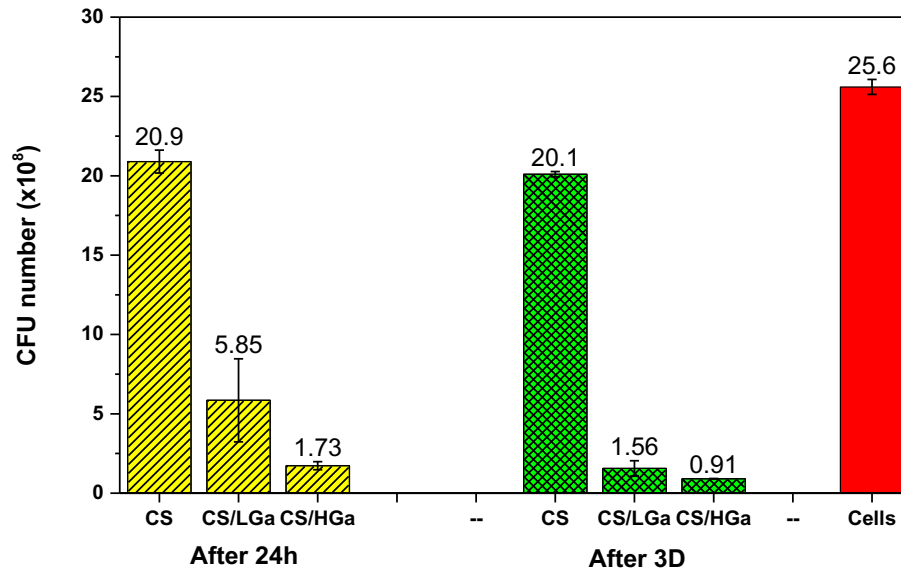
692 Figure 8. Crystal violet assay indicates in vitro biofilm viability for *S. aureus*, (a) after 24h and

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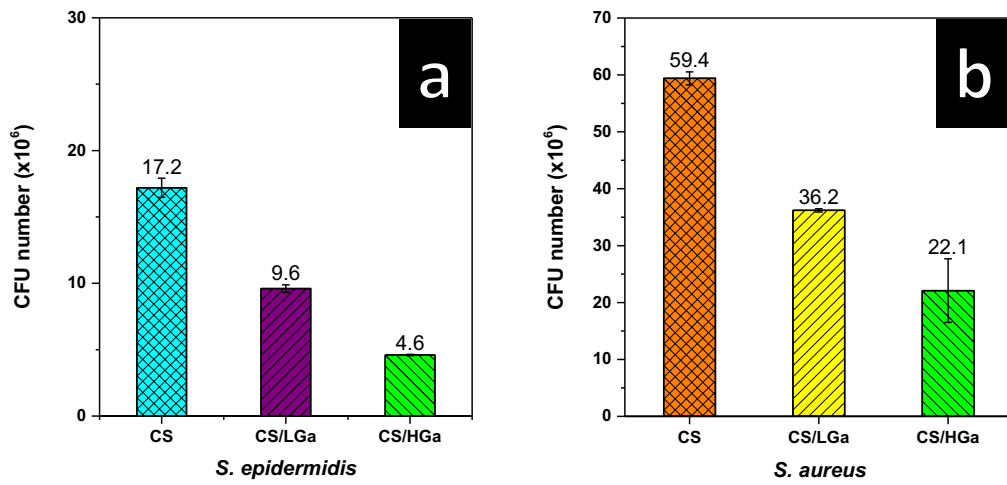
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706 Figure 10. Total CFU of bacteria cells incubated in LB medium for 24 h and then biofilm cells

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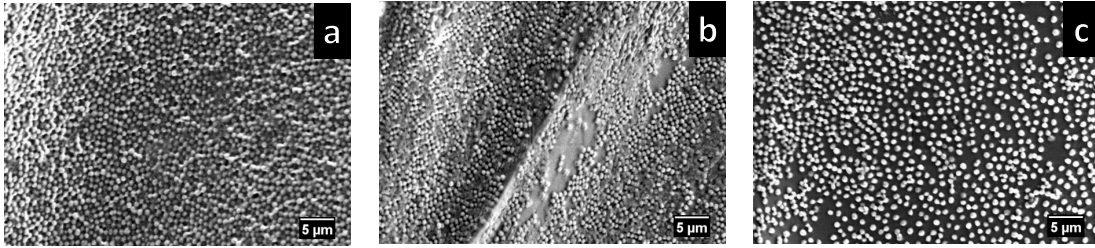
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721 Figure 11. Representative VP-SEM images of the EPD CS/Ga coatings incubated with *S.*

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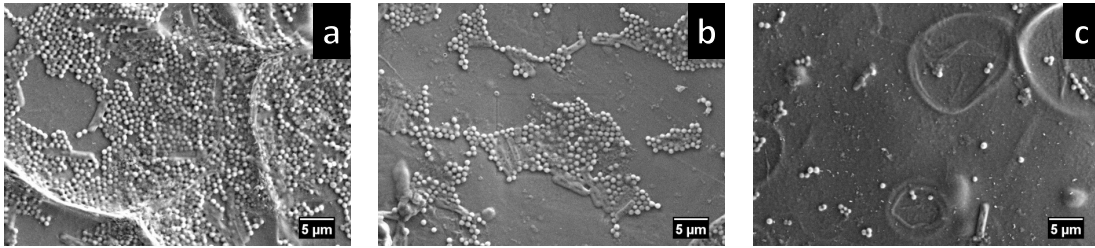
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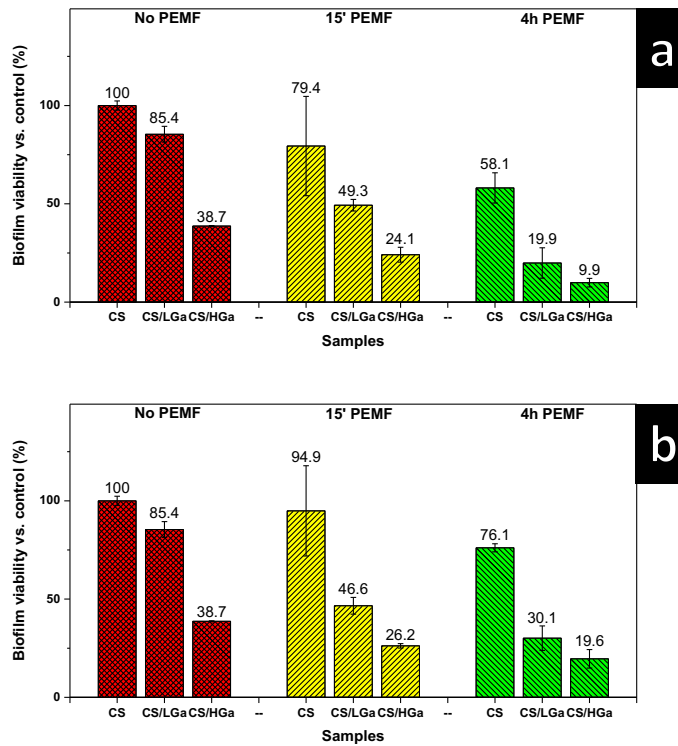
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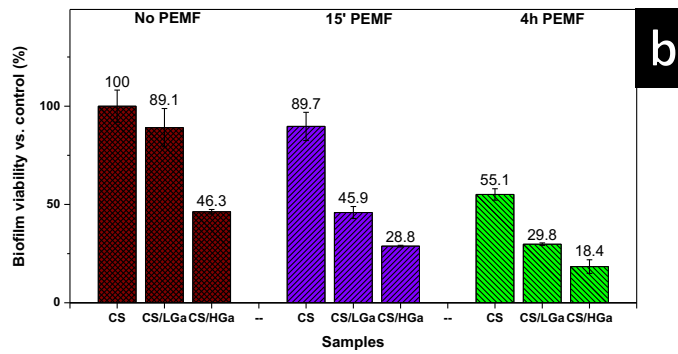
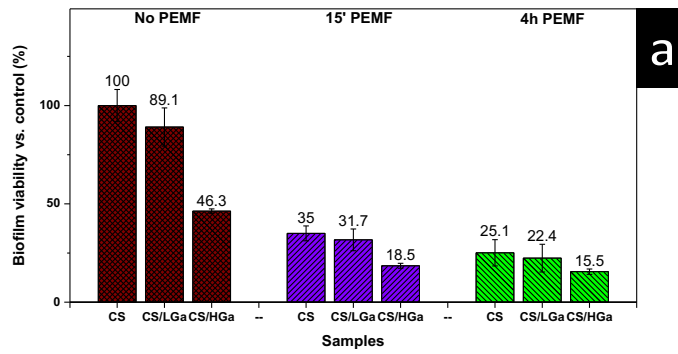
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753 Figure 13. PEMF impact on biofilm viability of *S. epidermidis* strain 14990 in EPD CS/Ga  
 754 composite orthopaedic coating; after 24h; (a) at low frequency, (b) at high frequency (all the  
 755 absorbance values are normalized to no PEMF CS value).

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758 Figure 14. PEMF impact on biofilm viability of *S. aureus* strain 12600 in EPD CS/Ga composite  
 759 orthopaedic coating; after 24h; (a) at low frequency, (b) at high frequency (all the absorbance  
 760 values are normalized to no PEMF CS value).

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771 **Supporting information:**

772 **S.1 Electrophoretic deposition efficiency during Gallium-doped chitosan coatings**

773 **fabrication**

774 In the case of HGa (high gallium concentration):

775 We know that:  $[\text{Ga}(\text{NO}_3)_3 \cdot x\text{H}_2\text{O}]/[\text{CS}] = 1/10$  and according to atomic weight:

776  $(\text{Ga})/\text{Ga}(\text{NO}_3)_3 \cdot x\text{H}_2\text{O} = 70/255.74 = 0.27$

777

778 Then:  $[\text{Ga}]/[\text{CS}] = 0.027$  (2.7%) (Weight % of Ga in the deposition bath)

779 ICP result: 1.5 % (Weight % of deposited Ga in the scaffold)

780 Then:  $1.5/2.7 = 55\%$  (EPD Efficiency).

781

782 **S.2 FTIR spectroscopy**

783 Figure S.1 shows Fourier Transform Infrared (FTIR) spectra -obtained in transmission mode

784 of CS film and CS/Ga composite films. The main peaks of chitosan can be detected in the

785 spectra and are attributed to C=O stretching (amide I) at  $1650 \text{ cm}^{-1}$ , to N-H bending (amide II)

786 at  $1558 \text{ cm}^{-1}$ , and to C-N stretching (amide III) at  $1320 \text{ cm}^{-1}$  (Leceta et al. 2013). By a

787 qualitative analysis of the spectra, no peaks related to a chemical bond between chitosan and

788 gallium, have been observed. This result highlights that gallium could be physically bonded to

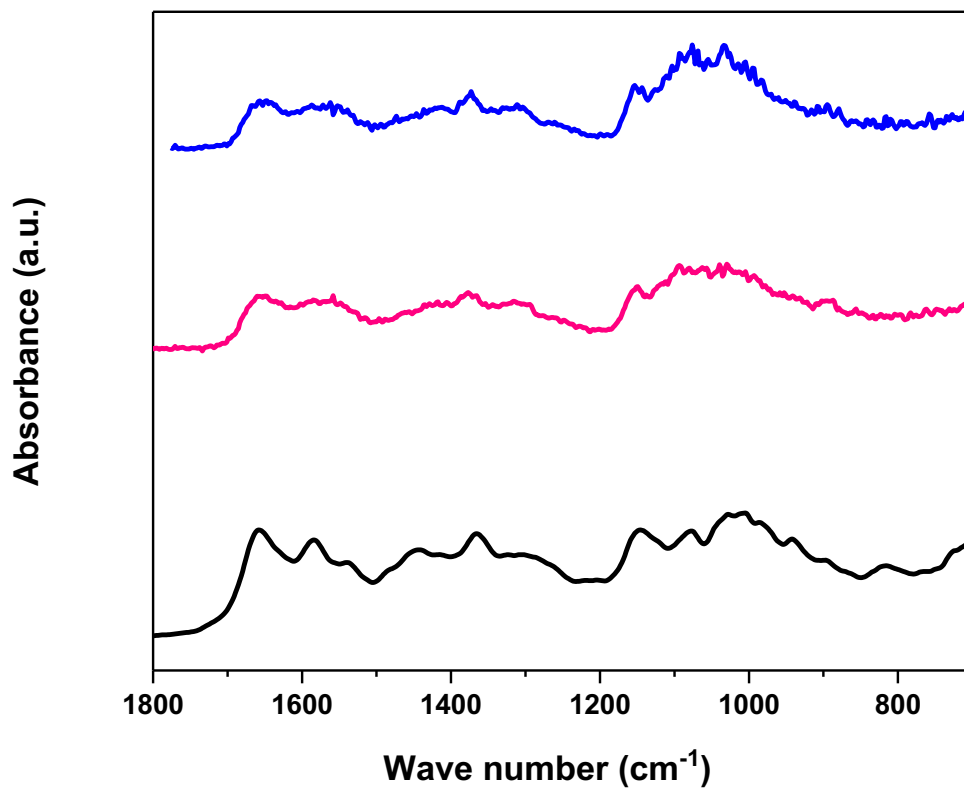
789 chitosan macromolecules (e.g., weak bonds) or entrapped into the chitosan macromolecules.

790 In fact, Energy Dispersive X-ray (EDX) analyses performed on chitosan/Ga substrates prove

791 the presence of gallium (Figure 2f – 2g) as well as the Inductive Coupled Plasma – Optical

792 Emission Spectrometry (ICP-OES) investigation detects the Ga release from the prepared

793 materials (Figure 4a).



794

795 Figure S.1. Infrared spectra for CS and CS/Ga composite coatings, (—) CS, (—) [Gallium (III)

796 nitrate hydrate] = 10 mg L<sup>-1</sup>, (—) [Gallium (III) nitrate hydrate] = 100 mg L<sup>-1</sup>.

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