

1ML WITH SPECIAL EFFECTS

Andreas Rossberg

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featuring: type abstraction and monads

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- **Unifies** ML core & module languages

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- A language with first-class modules *and* H/M polymorphism

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- Unifies ML core & module languages
- A language with first-class modules *and* H/M polymorphism
- A syntax for F_{ω} that you actually want to program in

```
type MAP (Key : EQ) =  
{  
  type key = Key.t  
  type map a  
  empty 'a : map a  
  lookup 'a : key → map a → opt a  
  add 'a : key → a → map a → map a  
}
```

```
Map (Key : EQ) :> MAP Key =  
{  
  type key = Key.t  
  type map a = key → opt a  
  empty = fun x ⇒ none  
  lookup x m = m x  
  add x y m = fun z ⇒ if Key.eq z x then some y else m z  
}
```


MAP = **fun** (Key : EQ) \Rightarrow **type**

{

key : (= **type** Key.t)

map : (a : **type**) \rightarrow **type**

empty : '(a : **type**) \rightarrow map a

lookup : '(a : **type**) \rightarrow key \rightarrow map a \rightarrow opt a

add : '(a : **type**) \rightarrow key \rightarrow a \rightarrow map a \rightarrow map a

}

Map (Key : EQ) $:>$ MAP Key =

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key = **type** Key.t

map a = **type** (key \rightarrow opt a)

empty = **fun** x \Rightarrow none

lookup x m = m x

add x y m = **fun** z \Rightarrow **if** Key.eq z x **then** some y **else** m z

}

SEMANTICS

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- Based on [F-ing Modules](#) [Rossberg, Russo, Dreyer 2010/14]

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- Defined by [elaboration](#) into System F_{ω}

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- Based on [F-ing Modules](#) [Rossberg, Russo, Dreyer 2010/14]
- Defined by [elaboration](#) into System F_{ω}
- Main task of elaboration: manage [quantifiers](#) for abstract types

```
{type t = int; f: int → t}
```

{**type** **t** = int; f : int → **t**}

{t : (= int), f : int → **int**}

{type t = int; f: int → t}

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where $(= \tau) := (\tau \rightarrow \tau)$

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[cf. Mitchell, Plotkin 1988]

$\{\mathbf{type\ } t = \mathit{int}; f : \mathit{int} \rightarrow t\}$

$\{t : (= \mathit{int}), f : \mathit{int} \rightarrow \mathit{int}\}$

where $(= \tau) := (\tau \rightarrow \tau)$

$\{\mathbf{type\ } t; f : \mathit{int} \rightarrow t\}$

$\exists \alpha. \{t : (= \alpha), f : \mathit{int} \rightarrow \alpha\}$

[cf. Mitchell, Plotkin 1988]

$(X : \{\mathbf{type\ } t\}) \rightarrow \{f : X.t \rightarrow \mathit{int}\}$

$$\{\mathbf{type} \ t = \text{int}; f : \text{int} \rightarrow t\}$$
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[cf. Russo 1998]

$$\frac{\Gamma \vdash e : \{x : \sigma, \overline{x' : \sigma'}\}}{\Gamma \vdash e.x : \sigma}$$

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$$\frac{\Gamma \vdash b_1 : \exists \bar{\alpha}_1. \{\overline{x_1 : \sigma_1}\} \quad \Gamma, \bar{\alpha}_1, \overline{x_1 : \sigma_1} \vdash b_2 : \exists \bar{\alpha}_2. \{\overline{x_2 : \sigma_2}\} \quad \bar{x}_1 \not\wedge \bar{x}_2}{\Gamma \vdash b_1 ; b_2 : \exists \bar{\alpha}_1 \bar{\alpha}_2. \{\overline{x_1 : \sigma_1}, \overline{x_2 : \sigma_2}\}}$$

$$\frac{\Gamma \vdash e : \mathbf{M}_{\bar{\kappa}}(\lambda\bar{\alpha}. \{x : \sigma, \overline{x' : \sigma'}\})}{\Gamma \vdash e.x : \mathbf{M}_{\bar{\kappa}}(\lambda\bar{\alpha}. \sigma)}$$

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where $\mathbf{M}_{\bar{\kappa}} = \lambda c : (\bar{\kappa} \rightarrow \Omega). \exists \overline{\alpha} : \bar{\kappa}. c \bar{\alpha}$

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$$e.x \quad \rightsquigarrow \quad \text{unpack } \langle \bar{\alpha}, y \rangle = e \text{ in pack } \langle \bar{\alpha}, y.x \rangle$$

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$$b_1 ; b_2 \quad \rightsquigarrow \quad \left[y_1 \# y_2 \mid y_1 \leftarrow b_1, \right. \\ \left. y_2 \leftarrow \text{let } \overline{x_1 = y_1.x_1} \text{ in } b_2 \right]$$

GENERATIVITY

$$(x : t_1) \rightarrow t_2$$

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$$\forall \bar{a}_1. \sigma_1 \rightarrow M(\lambda \bar{a}_2. \sigma_2)$$

GENERATIVITY

A = Map Int

B = Map Int

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m = A.add 1 "foo" (A.add 3 "bar" A.empty)

test = B.lookup 2 m

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$$\forall \bar{\alpha}_1. \sigma_1 \rightarrow \exists \bar{\alpha}_2. \sigma_2 \quad \text{“generative” functor (SML)}$$

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$$M(\lambda \bar{a}_2. \forall \bar{a}_1. \sigma_1 \rightarrow \sigma_2)$$


```
type SYMBOL =  
{  
  type symbol  
  insert : string → symbol  
  lookup : symbol → string  
  eq : symbol → symbol → bool  
}
```

```
Symbol () :> SYMBOL =  
{  
  type symbol = int  
  table = ref []  
  insert s = table := s :: !table; length !table  
  lookup n = nth !table (length !table - n)  
  eq x y = (x == y)  
}
```

[cf. Ahmed, Dreyer, Rossberg 2009]

GENERATIVITY

- We can hoist the abstraction effect out of a function, but only if it contains no other effects.

GENERATIVITY IN 1ML

$$(x : T_1) \rightsquigarrow T_2$$

impure function

$$(x : T_1) \rightarrow T_2$$

pure function

GENERATIVITY IN 1ML

$$(x : T_1) \rightsquigarrow T_2 \quad \rightsquigarrow \quad \forall \bar{a}_1. \sigma_1 \rightarrow \exists \bar{a}_2. \sigma_2$$

impure function

$$\forall \bar{a}_1. \sigma_1 \rightarrow M(\lambda \bar{a}_2. \sigma_2)$$

$$(x : T_1) \rightarrow T_2 \quad \rightsquigarrow \quad \exists \bar{a}_2. \forall \bar{a}_1. \sigma_1 \rightarrow \sigma_2$$

pure function

$$M(\lambda \bar{a}_2. \forall \bar{a}_1. \sigma_1 \rightarrow \sigma_2)$$

EFFECTS

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$$P \leq I$$

EFFECT POLYMORPHISM

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WHY?

- In IML, **functions = functors**, so *all* functions are effect-typed
- Natural desire to refine the effect algebra
- ...but many notions of effect don't scale without effect polymorphism
- Plus, here it implies something new: **generativity polymorphism**
- ...and that allows us to recover MacQueens notion of “true higher-order” modules [MacQueen, Tofte 1994]

EXTENDING THE LANGUAGE

(types) $t ::= \dots \mid \mathbf{effect} \mid (x : t) \rightarrow f / t$

(effects) $f ::= \mathbf{pure} \mid \mathbf{impure} \mid x \mid f, f$

EXTENDING THE LANGUAGE

(types) $t ::= \dots \mid \mathbf{effect} \mid (x : t) \rightarrow f / t$

(effects) $f ::= \mathbf{pure} \mid \mathbf{impure} \mid x \mid f, f$

$(x : t_1) \rightarrow t_2 \quad ::= \quad (x : t_1) \rightarrow \mathbf{pure} / t_1$

$(x : t_1) \rightsquigarrow t_2 \quad ::= \quad (x : t_1) \rightarrow \mathbf{impure} / t_2$

$\text{map} : (a : \mathbf{type}) \rightarrow (b : \mathbf{type}) \rightarrow (e : \mathbf{effect}) \rightarrow (a \rightarrow e/b) \rightarrow \text{list } a \rightarrow e/\text{list } b$

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$\text{compose } (a : \mathbf{type}) (b : \mathbf{type}) (c : \mathbf{type}) (e1 : \mathbf{effect}) (e2 : \mathbf{effect})$
 $(f : b \rightarrow e2/c) (g : a \rightarrow e1/b) (x : a) =$
 $f (g x)$

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 $(b \rightarrow e1/c) \rightarrow (a \rightarrow e2/b) \rightarrow (a \rightarrow (e1,e2)/c)$

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$\text{compose } 'a \ 'b \ 'c \ 'e1 \ 'e2 : (b \rightarrow e1/c) \rightarrow (a \rightarrow e1/b) \rightarrow (a \rightarrow (e1,e2)/c)$

GENERATIVITY POLYMORPHISM

GENERATIVITY POLYMORPHISM

Const = **fun** (a : **type**) \Rightarrow int ;; : (a : **type**) \rightarrow (= int)
Id = **fun** (a : **type**) \Rightarrow a ;; : (a : **type**) \rightarrow (= a)
Appl = Id :> **type** \rightarrow **type**
Gen = Id :> **type** \rightsquigarrow **type**

GENERATIVITY POLYMORPHISM

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[cf. Kuan, MacQueen, 2009]

t = Apply **pure** Const bool ;; = int
u = Apply **pure** Id bool ;; = bool
v = Apply **pure** Appl bool ;; = Apply **pure** Appl bool
w = Apply **impure** Gen bool ;; *fresh*

POLYMORPHIC GENERATIVITY

$(x : T_1) \rightsquigarrow T_2$ \rightsquigarrow $\forall \bar{\alpha}_1. \sigma_1 \rightarrow \exists \bar{\alpha}_2. \sigma_2$
impure function $\forall \bar{\alpha}_1. \sigma_1 \rightarrow M(\lambda \bar{\alpha}_2. \sigma_2)$

$(x : T_1) \rightarrow T_2$ \rightsquigarrow $\exists \bar{\alpha}_2. \forall \bar{\alpha}_1. \sigma_1 \rightarrow \sigma_2$
pure function $M(\lambda \bar{\alpha}_2. \forall \bar{\alpha}_1. \sigma_1 \rightarrow \sigma_2)$

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effect-polymorphic
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effect-polymorphic
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$$M(\lambda \bar{\alpha}_2. \forall \bar{\alpha}_1. \sigma_1 \rightarrow M'(\lambda \bar{\alpha}_2. \sigma_2))$$

THE “POLY-GENERATIVITY POLYMONAD”

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- Elaborating it into existential types forms a **(poly)monad**
- An effect system allows multiple modes of **type generativity**
- Effect polymorphism gives rise to **generativity polymorphism**
- Monads are a great abstraction, even in places you don't expect!

THANK YOU.