

# Ground Motion Simulations Validation – process and summary of status



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*Many thanx to: N. Abrahamson, P. Somerville, F. Silva, P. Maechling, R. Archuleta, J. Anderson, K. Assatourians, G. Atkinson, J. Bayless, J. Crempien, C. Di Alessandro, R. Graves, T. Hyun, R. Kamai, K. Olsen, W. Silva, R. Takedatsu, F. Wang, K. Wooddell,, D. Dreger, G. Beroza, S. Day, T. Jordan, P. Spudich, J. Stewart and their collaborators...*

# Large collaborative validation of simulations using the SCEC BroadBand Platform

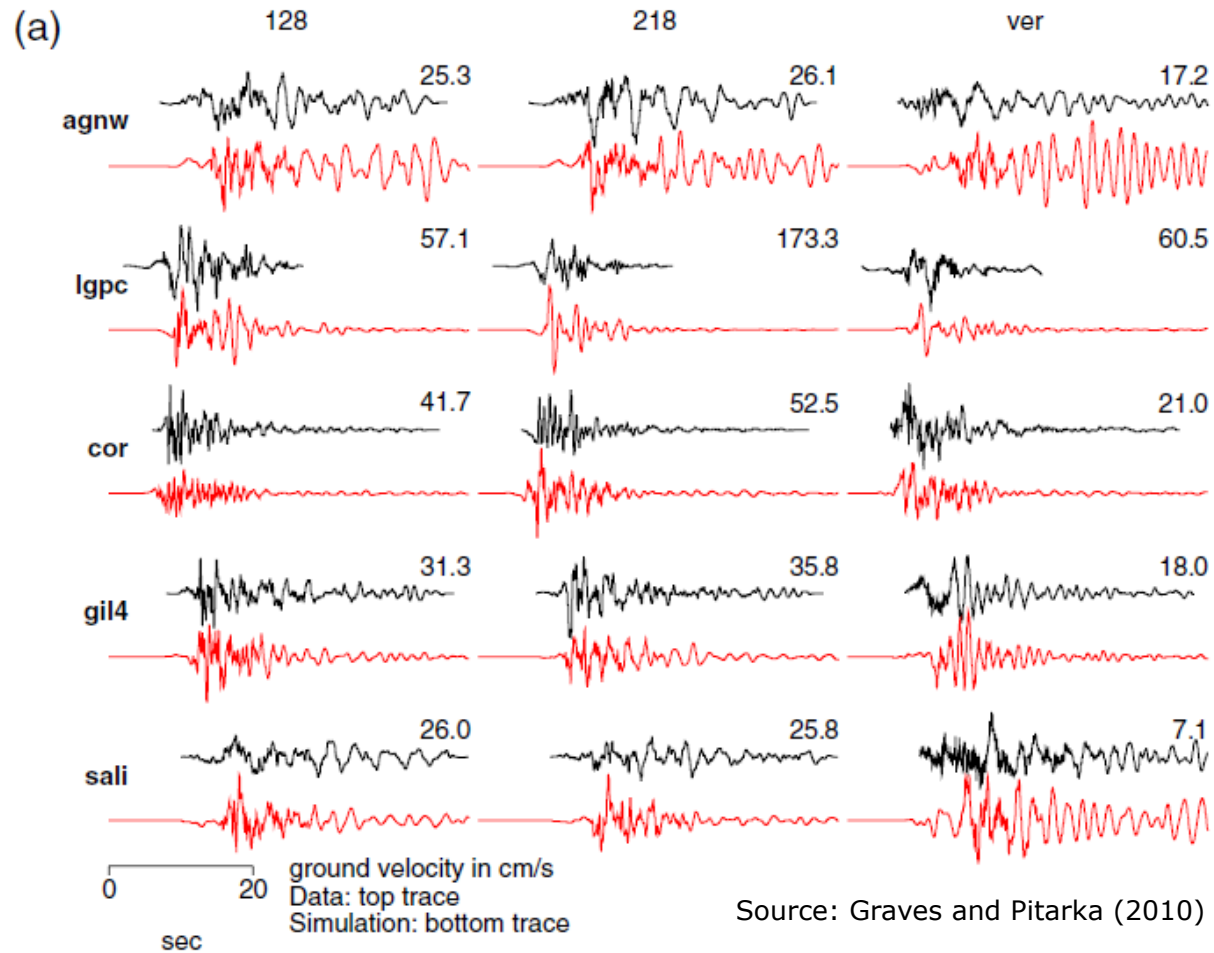
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## **Driven by need of seismic hazard projects to supplement recorded datasets**

- South-Western U.S. utilities (SWUS)
- PEER NGA-East project (new CENA hazard model)
- PEER NGA-West projects
  
- Southern California Earthquake Center (SCEC) BroadBand Platform
  - Set of computational tools for ground motion simulations, including post-processing

Collaboration of SWUS-SCEC-PEER critical to success!!!

# Past validations...



# Objectives

- Quantitative validation for forward simulations in engineering problems
  - Short term goal: supplement recorded data for development of GMPEs
  - Long term goal: develop acceptance of simulations for engineering design
- Key focus: 5% damped elastic “average” PSA ( $f=0.1-100$  Hz/  $T=0.01-10$  s)
  - Correlates well with structural response – basis of design
  - Allows large number of validation evaluations

# Key lessons learned – past validations

- Need more transparency...
- Need to validate against many events
- Need clear documentation of fixed and optimized parameters from modelers for each region
- Need source description that is *consistent* between methods
- Use unique crustal structure (V, Q) for all models
- Consider multiple source realizations
- Run simulations for reference site conditions – correct data with empirical site factors
- Make all validation metrics computation and plots in uniform units/format – implement post-processing pipeline on BBP
- Need to tie-in to specific code/BBP version

# Validation schemes

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- A. Validation against recorded earthquake ground motions
- B. Validation against GMPE for generic scenarios

**Validation allows for development of region-specific rules (source scaling, path)**

# Selection of events and stations

EQ NAME	REGION	# RECORDS <200km (*<1000km)	Mag. (Mw)	Type	# SELECTED RECORDS
El Mayor Cucapah	WUS	134	7.20	SS	40
Northridge	WUS	124	6.69	REV	40
Hector Mine	WUS	103	7.13	SS	40
Landers	WUS	69	7.28	SS	40
Whittier Narrows	WUS	95	5.99	REV OBL	40
Big Bear	WUS	42	6.46	SS	28
Parkfield	WUS	78	6.00	SS	40
Loma Prieta	WUS	59	6.93	REV OBL	40
North Palm Springs	WUS	32	6.06	REV OBL	32
Coalinga	WUS	27	6.36	REV	27
San Simeon	WUS	21	6.50	REV	21
Saguenay	CENA	14*	5.90	REV OBL	14
Riviere-du-Loup	CENA	98*	4.64	REV	40
Mineral, VA	CENA	94*	5.70	REV	40
Tottori	JAPAN	171	6.61	SS	40
Chuetsu-Oki	JAPAN	286	6.80	REV	40
Niigata	JAPAN	246	6.63	REV	40
Iwate	JAPAN	186	6.90	REV	40
Kocaeli	TURKEY	14	7.51	SS	14
Chi-Chi	TAIWAN	257	7.62	REV OBL	40
L' Aquila	ITALY	40	6.30	NML	40
Christchurch	NEW ZEALAND	26	6.20	REV OBL	26
Darfield	NEW ZEALAND	24	7.00	SS	24

- Large dataset (>20 EQs)
- Many regions & tectonic environments
- Span wide magnitude range (Mw 4.64 to 7.62)
- Variety of mechanisms
- Well-recorded (17 EQs with > 40 records)
- Select a large subset of stations (~40) that are consistent with mean and standard deviation PSa of the full dataset.

# Simulation Methodologies

## Broadband using Green's functions

- U. Nevada Reno Composite Source Model (CSM)
- U. California Santa Barbara (UCSB)

## Stochastic methods (e.g. Brune spectrum)

- SMSIM (point source) – not formally evaluated
- EXSIM

## Hybrid - Green's functions LF, Stochastic HF

- Graves and Pitarka (G&P) – sub-fault source spectra
- San Diego State University (SDSU) – scattering functions ( $\kappa$ ,  $Q$ , intrinsic attenuation)

## Deterministic source – simplified stochastic wave propagation

- Irikura recipe – not ready for evaluation



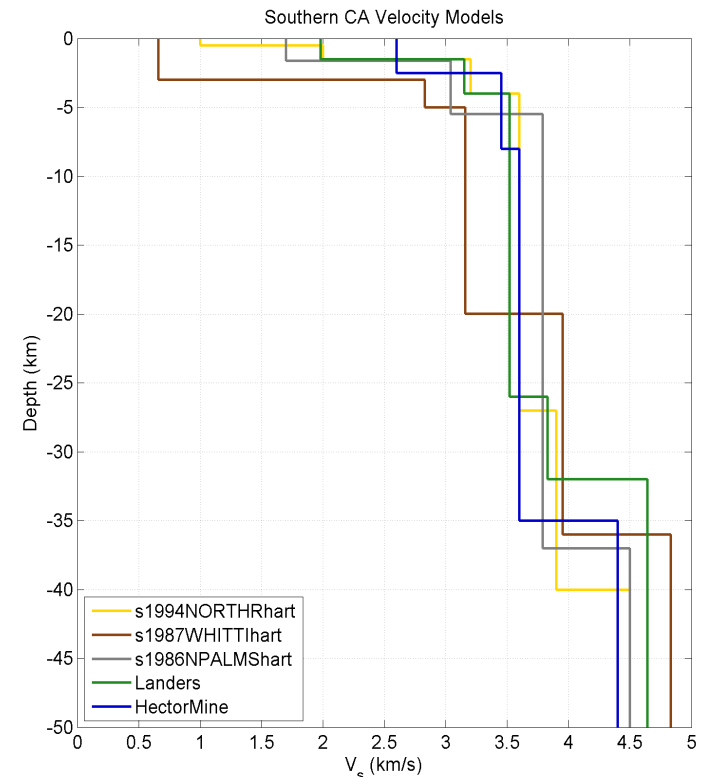
# Input – Source geometry (event-specific)

*src file on SCEC BBP*

- MAGNITUDE
- FAULT\_LENGTH
- DLEN
- FAULT\_WIDTH
- DWID
- DEPTH\_TO\_TOP
- STRIKE
- RAKE
- DIP
- LAT\_TOP\_CENTER
- LON\_TOP\_CENTER
- HYPO\_ALONG\_STK
- HYPO\_DOWN\_DIP
- DT
- SEED
- CORNER\_FREQ
- SEISMIC MOMENT
- HYPO LAT
- HYPO LONG
- HYPO DEPTH

# Input – Path (region-specific)

- For Greens' functions
  - LF: 1D velocity structures:  $V_s$ ,  $V_p$ ,  $\rho$ ,  $Q_s$ ,  $Q_p$
  - UCSB & UNR: Modified "equivalent" profile to account for  $Q(f)$
  - All use a standard shallow velocity profile with  $V_{s30} = 863$  m/s
- Stochastic methods
  - Use region-specific empirical models for  $Q(f)$ , geometrical spreading and duration



# Process and nomenclature

*For each scenario, specification of:*

Source (from src)

- Kinematic models: rules for slip, rise time, rake, etc.
- Stochastic model: sub-faults as point sources with time-dependent  $f_c$

Path (consistent with 1D velocity model)

- Kinematic models: Green's functions computed with velocity models
- Stochastic models: Empirical geometrical spreading,  $Q(f)$  duration

*For each scenario, seismograms generated for:*

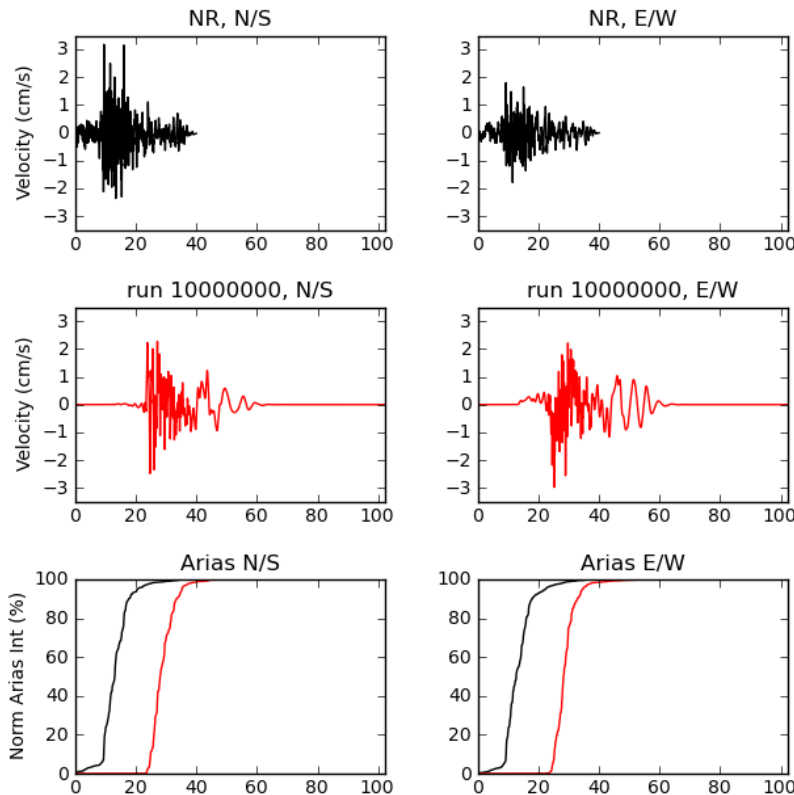
- 50 source realizations X ~ 40 stations X 2 horizontal dir.

# Evaluation products

- Qualitative evaluation of velocity time series and Husid plot based on Arias intensity

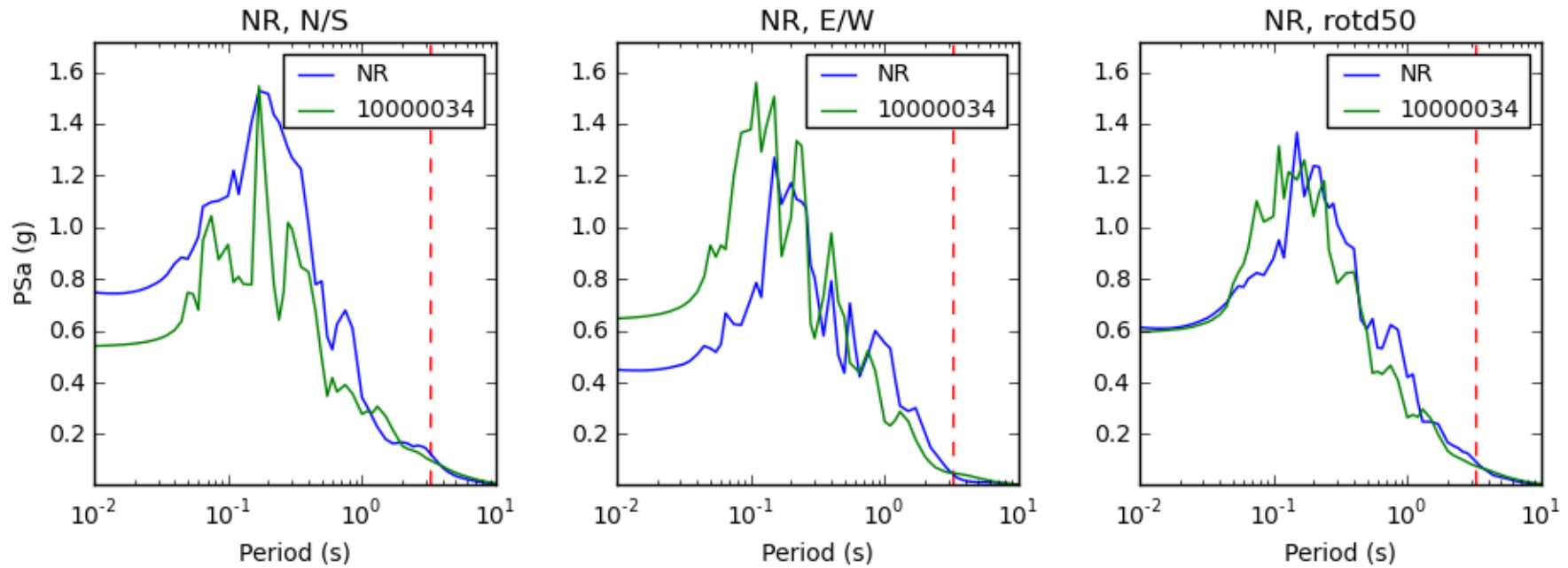
*RECORDED*  
 $V_{s30} = 822 \text{ m/s}$

*SIMULATED*  
 $V_{s30} = 863 \text{ m/s}$



# Evaluation products

PSa for station 2001-SCE, NR vs 10000034

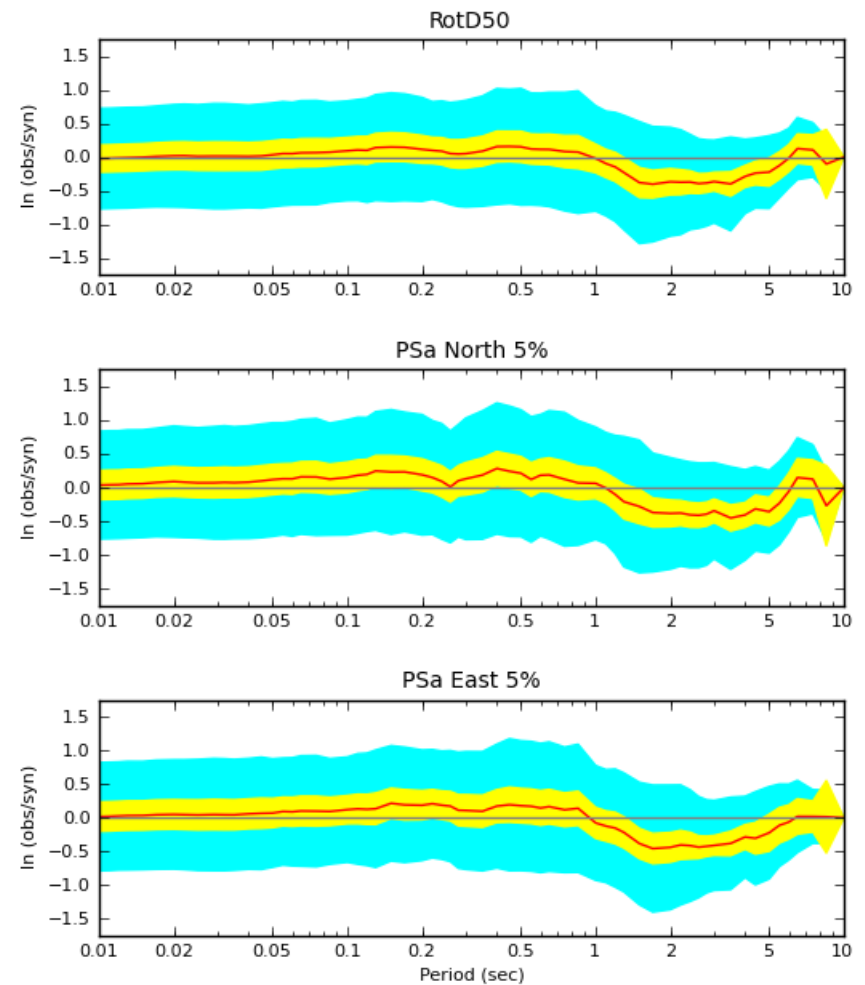


# Evaluation products

- Goodness-of-fit measures for PSA and PGA
  - Average *GOF* with *T* for all stations within an event



GOF Comparison between LOMAP and simulation 10000021  
R < 85 km

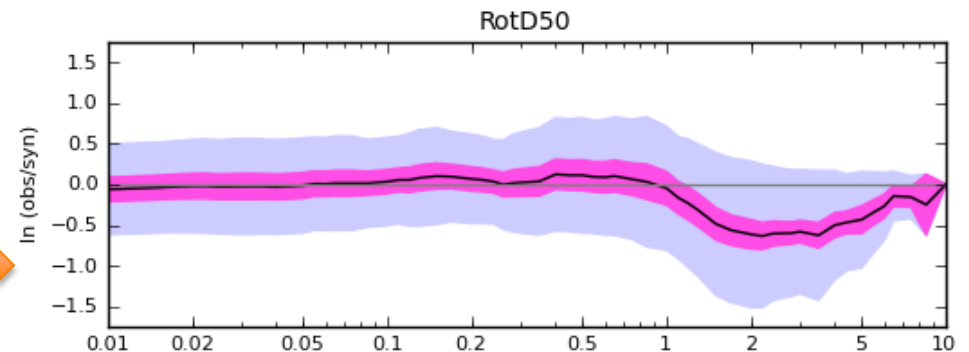


# Evaluation products

- Goodness-of-fit measures for PSa and PGA
  - *Average GOF with  $T$  for all stations within an event*
  - *Average GOF for all realizations (all stations)*



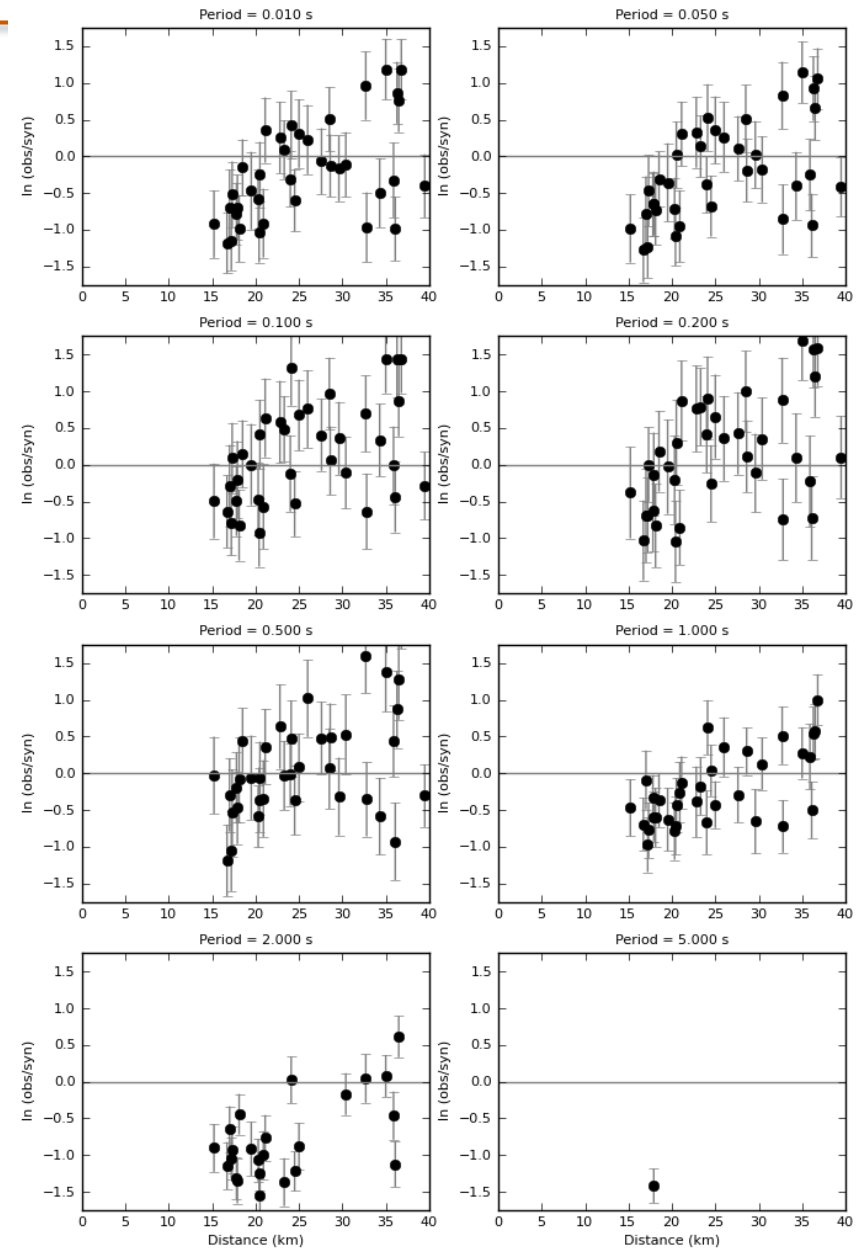
Combined GOF Plot for LOMAP  
50 Realizations  
SDSU Method



# Evaluation products

- Goodness-of-fit measures for PSa and PGA
  - Average GOF with  $T$  for all stations within an event
  - Average GOF for all realizations (all stations)
  - Average GOF with distance (all realizations)

GOF Comparison for WHITTIER  
50 Realizations  
CSM Method

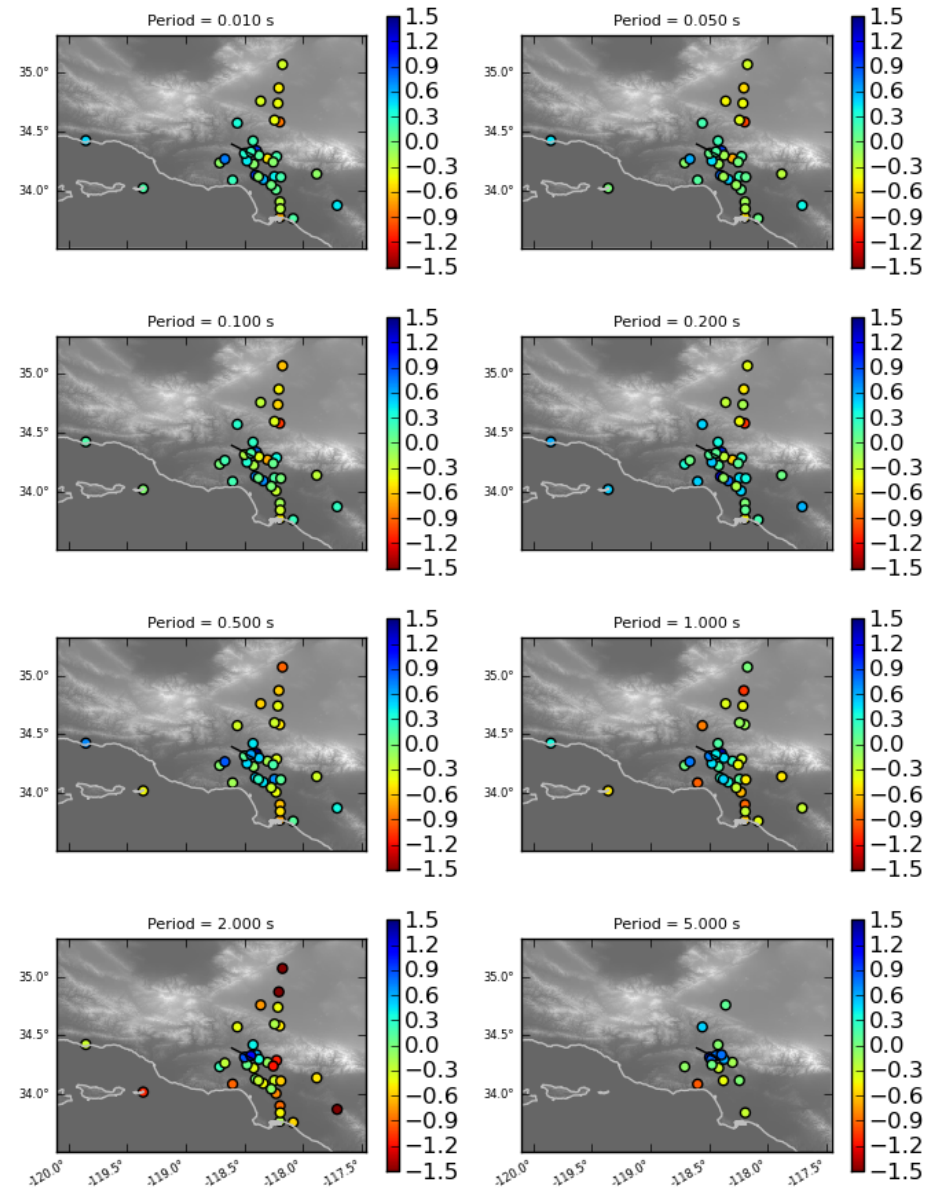




# Evaluation products

- Goodness-of-fit measures for PSa and PGA
  - Average *GOF with T* for all stations within an event
  - Average *GOF* for all realizations (all stations)
  - Average *GOF* with distance (all realizations)
  - Map of *GOF* (all realizations)

GOF Comparison for NR  
50 Realizations  
EXSIM Method



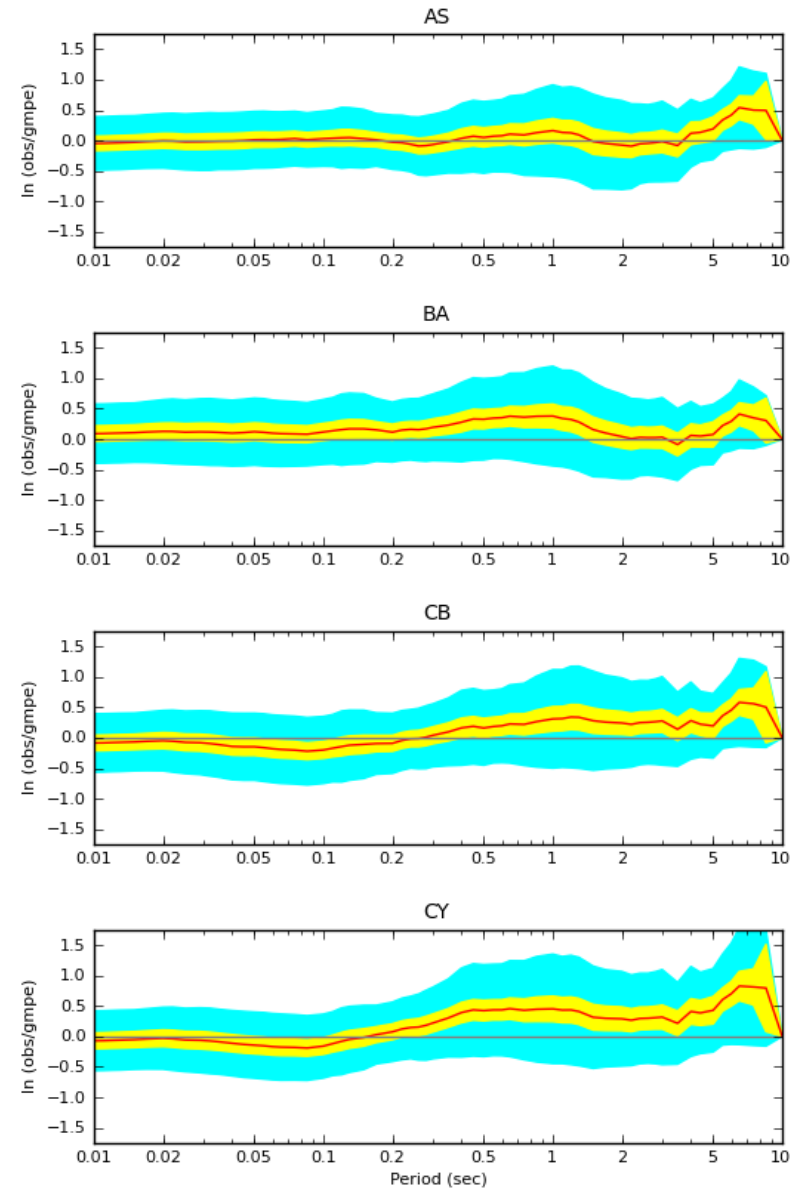
# Evaluation products

- GOF plots also developed for
  - NGA-West1 (2008) GMPEs
  - SMSIM

*Allows to see trends/event terms*

## Part A (comparison with recordings)

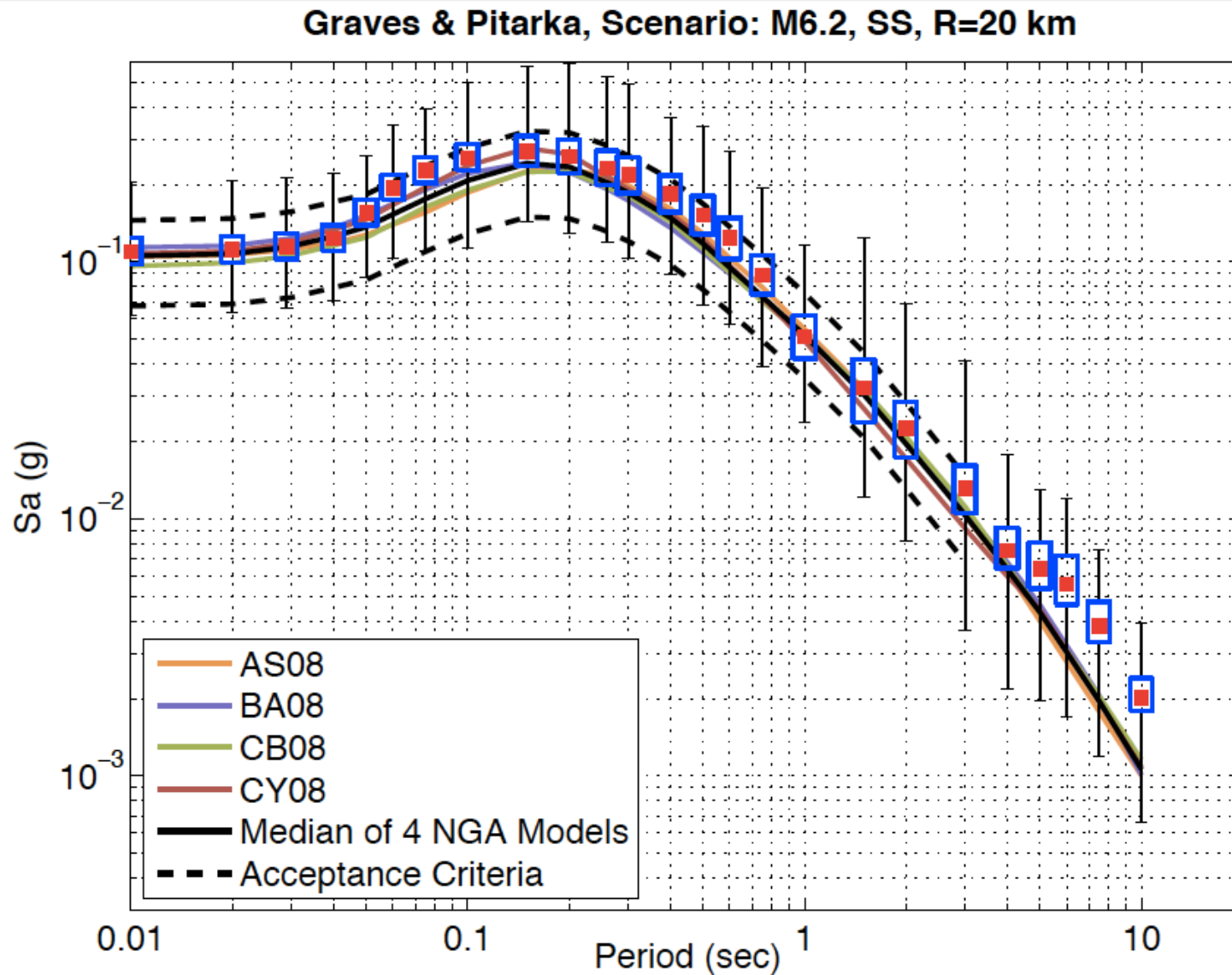
Comparison between GMPEs and LOMAP  
Number of stations: 40



# Scenario selection

- Selected 3 scenarios for which NGA-West1&2 GMPEs are well constrained by data:
  - M6.2 SS, 20 and 50 km
  - M6.6 SS, 20 and 50 km
  - M6.6 REV, 20 and 50 km
- 50 realizations of the source, WITH randomized hypocenter location for each
- Simulations for two velocity models: NorCal and SoCal

# Evaluation criteria



# From validation to forward simulations

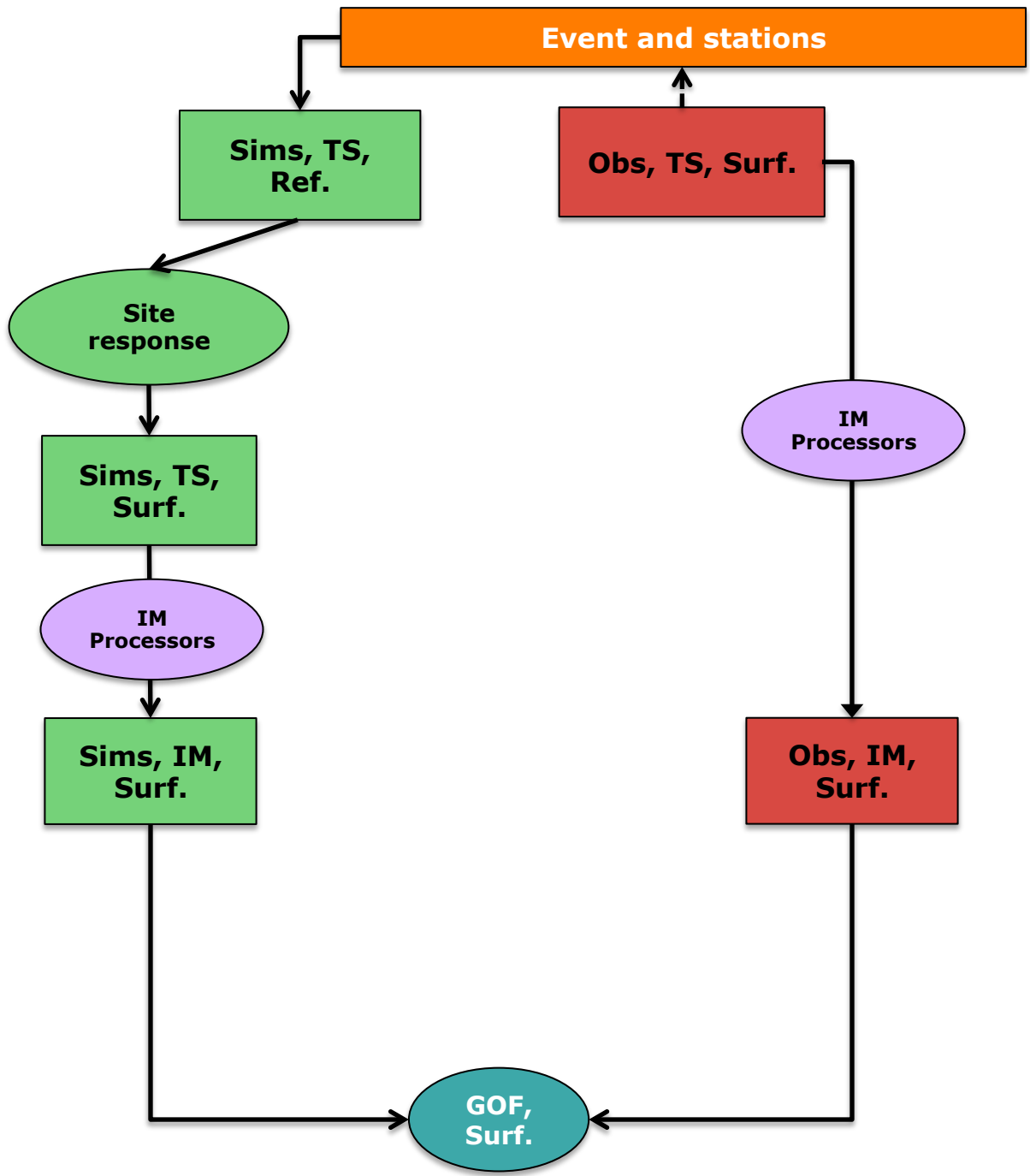
- Modelers to select best fitting realization(s) and path forward:

## PATH 1

- Find the best fitting source (srf) realization
- Use its goodness of fit to represent modeling uncertainty
- Include uncertainty in srf specification when forward modeling future scenarios

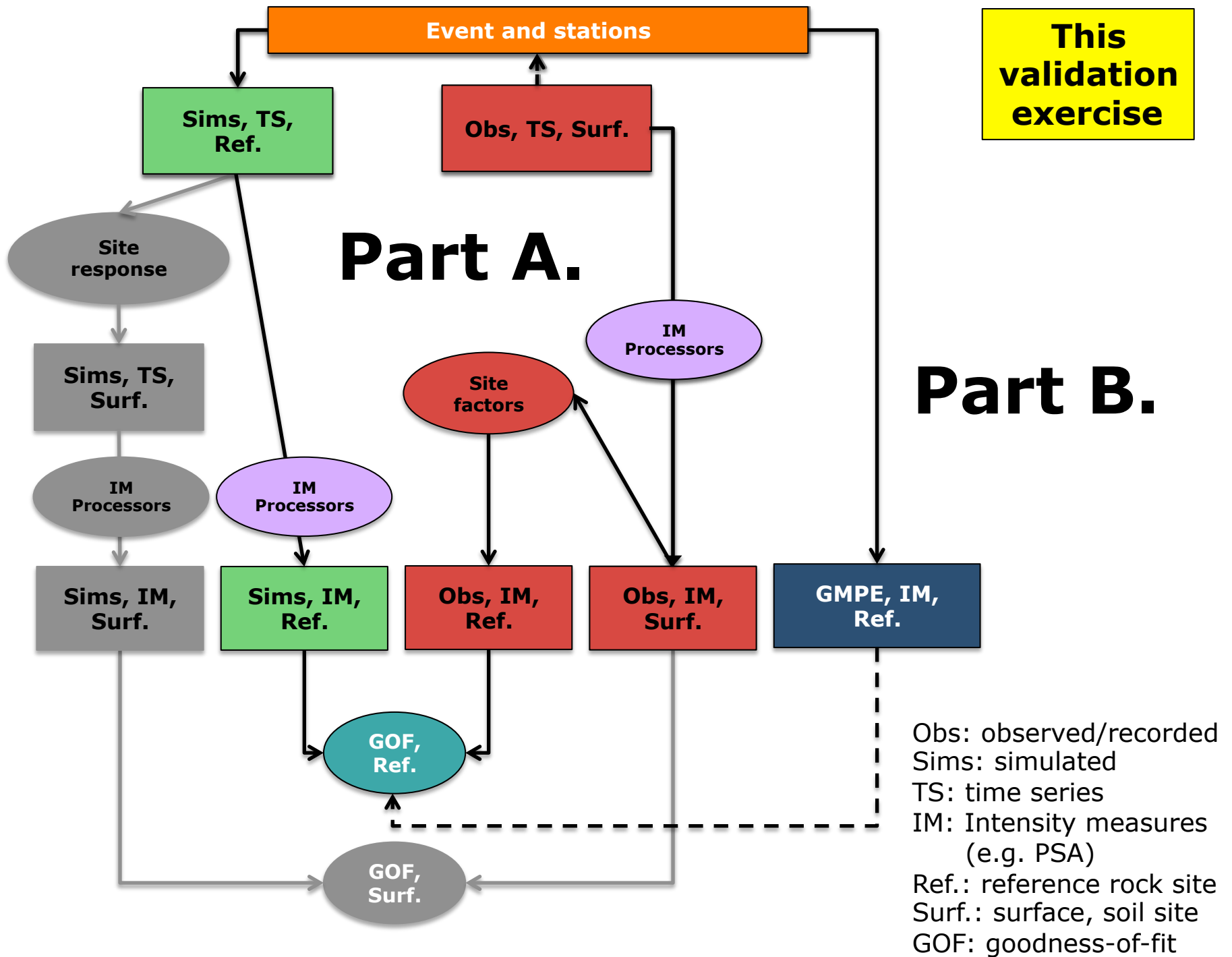
## PATH 2

- Use the average goodness of fit of 50 srf's to represent modeling uncertainty
- No need to include uncertainty in srf specification when forward modeling future scenarios



**Previous validation exercises**

Obs: observed/recorded  
 Sims: simulated  
 TS: time series  
 IM: Intensity measures (e.g. PSA)  
 Ref.: reference rock site  
 Surf.: surface, soil site  
 GOF: goodness-of-fit



# Menu du jour

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- Introduction
- Validation framework and schemes
- Overview of simulation methods
- Sample results and evaluation tools
- Path forward to forward simulations
- Next steps

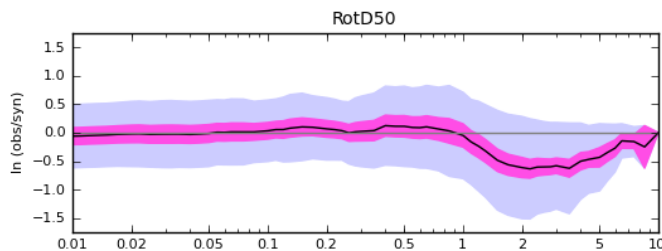


# Evaluation products

Part A (comparison with recordings)

- Summary table for GOF
  - T bins
  - R bins
  - Events/M bins
  - Mechanism

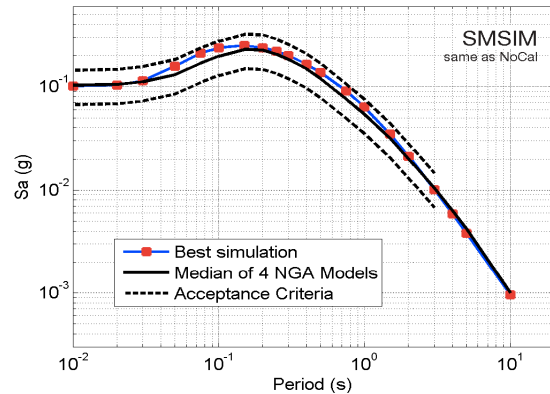
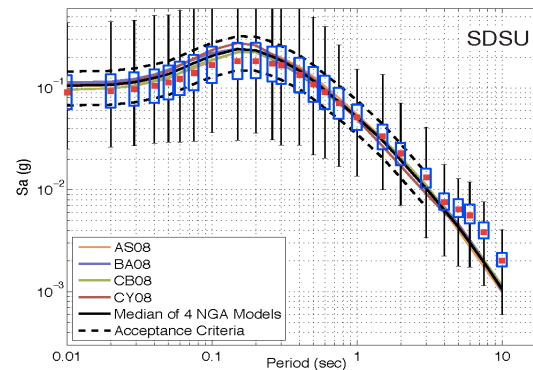
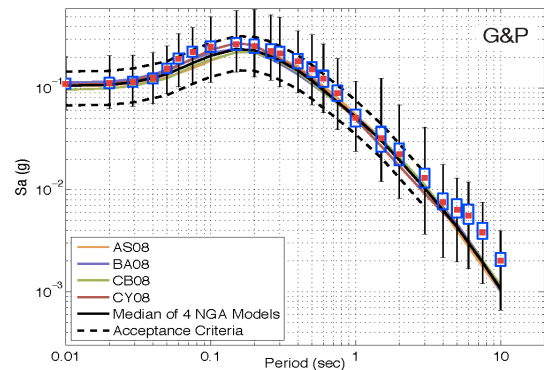
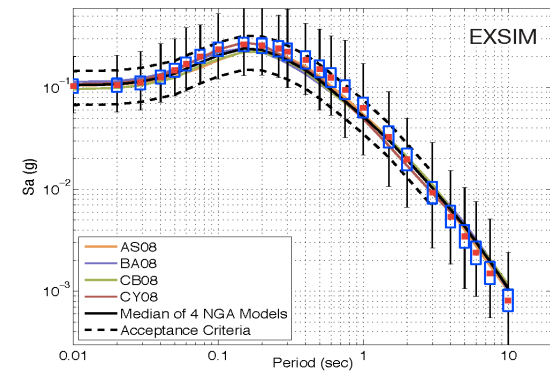
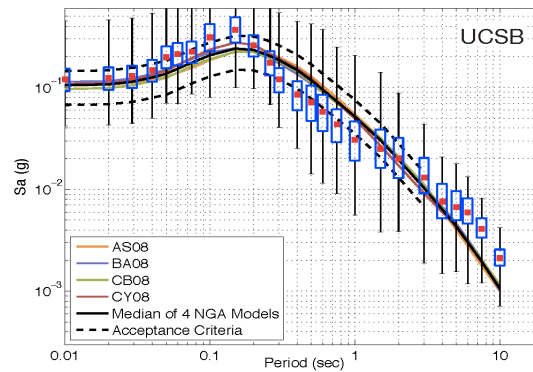
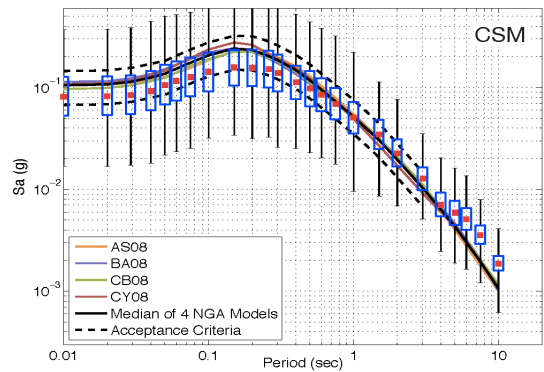
Combined GOF Plot for LOMAP  
50 Realizations  
SDSU Method



Event (Mw, Mech.)		PSA period range							
		[0.01-0.1] s		[0.1-1] s		[1-3] s		> 3s	
Rrup=[0-20] km	Whittier Narrows (5.89, ROBL)	-0.67	0.74	-0.36	0.60	-0.86	0.87	-1.25	1.25
	North Palm Springs (6.12, ROBL)	-0.32	0.77	-0.22	0.67	-0.24	0.58	-0.09	0.35
	Tottori (6.59, SS)	-0.55	0.69	-0.06	0.61	-0.24	0.59	-0.11	0.48
	Niigata (6.65, REV)	-0.15	0.73	0.08	0.66	-0.55	0.74	-0.62	0.79
	Northridge (6.73, REV)	-0.24	0.58	0.15	0.57	-0.13	0.51	-0.06	0.44
	Loma Prieta (6.94, ROBL)	-0.25	0.53	-0.09	0.55	-0.37	0.66	-0.28	0.44
	Landers (7.22, SS)	-0.45	0.84	-0.14	0.66	-0.19	0.51	-0.03	0.78
	Average CA	-0.36	0.65	-0.10	0.60	-0.34	0.62	-0.18	0.52
	Average ALL	-0.37	0.66	-0.08	0.60	-0.34	0.63	-0.23	0.55
Rrup=[20-70] km	Whittier Narrows (5.89, ROBL)	0.06	0.63	0.24	0.70	-0.45	0.71	-0.73	0.73
	North Palm Springs (6.12, ROBL)	0.77	0.98	0.54	0.82	0.02	0.48	-0.48	0.49
	Tottori (6.59, SS)	0.37	0.66	-0.14	0.82	-0.92	1.02	-0.66	0.75
	Niigata (6.65, REV)	0.59	0.86	0.31	0.97	-0.80	1.04	-1.11	1.18
	Northridge (6.73, REV)	0.11	0.48	0.35	0.60	-0.38	0.58	-0.57	0.67
	Loma Prieta (6.94, ROBL)	-0.39	0.54	-0.26	0.56	-0.41	0.63	-0.07	0.40
	Landers (7.22, SS)	-0.21	0.38	-0.17	0.41	-0.63	0.74	-0.67	0.81
	Average CA	0.08	0.61	0.15	0.63	-0.42	0.65	-0.47	0.65
	Average ALL	0.18	0.65	0.14	0.69	-0.55	0.76	-0.70	0.83
Rrup=[70-200] km	Whittier Narrows (5.89, ROBL)								
	North Palm Springs (6.12, ROBL)	-0.30	0.41	-0.48	0.56	-0.13	0.40		
	Tottori (6.59, SS)	0.05	0.66	-0.24	0.78	-0.83	1.06	-0.56	0.76
	Niigata (6.65, REV)	-0.51	0.77	-1.04	1.18	-1.47	1.52	-1.56	1.57
	Northridge (6.73, REV)	0.24	0.66	0.38	0.79	-0.52	0.71	-0.16	0.30
	Loma Prieta (6.94, ROBL)	0.41	0.54	0.46	0.63	0.37	0.87	0.05	0.64
	Landers (7.22, SS)	-0.40	0.56	-0.55	0.71	-0.38	0.54	0.00	0.52
	Average CA	-0.14	0.55	-0.22	0.69	-0.21	0.62	0.01	0.53
	Average ALL	-0.19	0.64	-0.46	0.85	-0.74	1.00	-0.85	1.04
Mechanism	Reverse (REV)	0.00	0.68	-0.02	0.82	-0.69	0.90	-1.03	1.13
	Reverse-Oblique (ROBL)	-0.01	0.68	0.07	0.66	-0.33	0.67	-0.21	0.46
	Strike-Slip (SS)	-0.13	0.58	-0.24	0.66	-0.62	0.80	-0.46	0.71
	Normal (NM)								
Total	Average CA	-0.08	0.61	0.03	0.63	-0.36	0.64	-0.30	0.59
	Average ALL	-0.04	0.65	-0.05	0.71	-0.55	0.79	-0.64	0.83

# Sample results

## Part B. Southern California (M6.2, SS, $Z_{tor}=4$ km, $R_{jb}=20$ km)



# Evaluation

## 1. Self-assessment from Modelers – based on technical basis behind method

		PSA period range			
Magnitude		[0.01-0.1] s	[0.1-1] s	[1-3] s	> 3s
Rrup=[0-20] km	5-6	Expected to work	Expected to work	Expected to work	Expected to work
	6-7	Expected to work	Expected to work	Expected to work	Expected to work
	7-8	Expected to work	Expected to work	Expected to work	Expected to work
	>8	Not sure / potential issues	Not sure / potential issues	Not sure / potential issues	Not sure / potential issues
Rrup=[20-70] km	5-6	Expected to work	Expected to work	Expected to work	Expected to work
	6-7	Expected to work	Expected to work	Expected to work	Expected to work
	7-8	Expected to work	Expected to work	Expected to work	Expected to work
	>8	Not sure / potential issues	Not sure / potential issues	Not sure / potential issues	Not sure / potential issues
Rrup=[70-200] km	5-6	Expected to work	Expected to work	Expected to work	Expected to work
	6-7	Expected to work	Expected to work	Expected to work	Expected to work
	7-8	Expected to work	Expected to work	Expected to work	Expected to work
	>8	Not sure / potential issues	Not sure / potential issues	Not sure / potential issues	Not sure / potential issues
Mechanism	Reverse (REV)	Expected to work	Expected to work	Expected to work	Expected to work
	Reverse-Oblique (ROBL)	Expected to work	Expected to work	Expected to work	Expected to work
	Strike-Slip (SS)	Expected to work	Expected to work	Expected to work	Expected to work
	Normal (NM)	Expected to work	Expected to work	Expected to work	Expected to work

**Expected Performance Level**

- Expected to work
- Not sure / potential issues
- Definite issues

# Evaluation

## 2. Evaluation committee

- Evaluate the method developer's self-assessments
- Evaluate the GOF for part A and B
  - PSA controlling factor in evaluation
  - Various numerical criteria for bins of M, R, T: (e.g. improvement relative to GMPEs, trends with distance)
  - "Verdict" for each methodology
    - Applicable NOW for a given region, distance, bandwidth?
    - Limitations (close R, large M, etc.)?
    - Method needs refinement?

# Next steps

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- Validation of methods for CENA scenarios (second round)
  - Requires appropriate regionalization
  - Requires site correction factors
- Forward simulations

# Thank you!



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