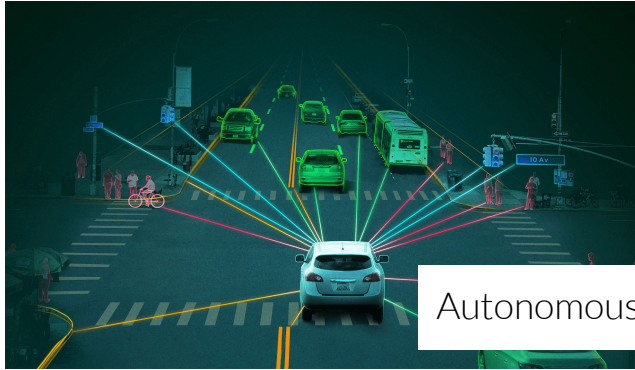


ELIGN: Expectation Alignment as a Multi-agent Intrinsic Reward

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University of Washington
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Many real world applications are **multi-agent systems**.



Autonomous vehicles



Traffic control



Resource management



Rescue robots

Many SOTA multi-agent algorithms make these **assumptions**.

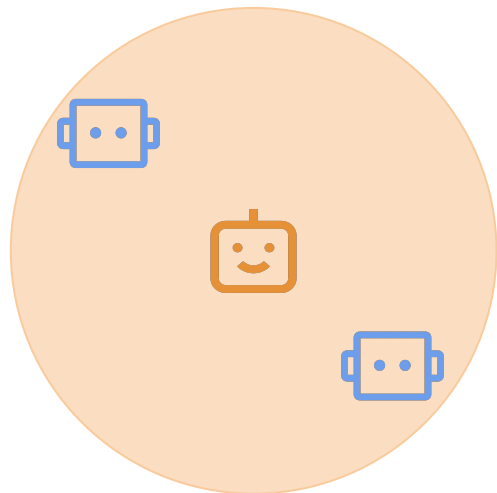
Full observability



 Agent

Many SOTA multi-agent algorithms make these **assumptions**.

Full observability



Agent



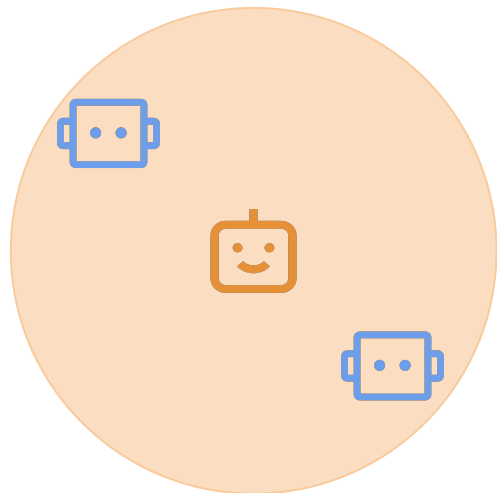
Agent



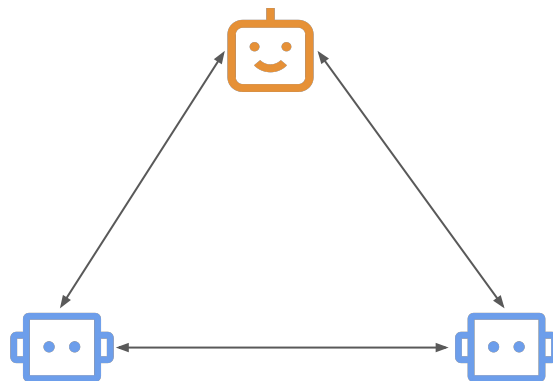
Receptive field

Many SOTA multi-agent algorithms make these **assumptions**.

Full observability



Centralized algorithm

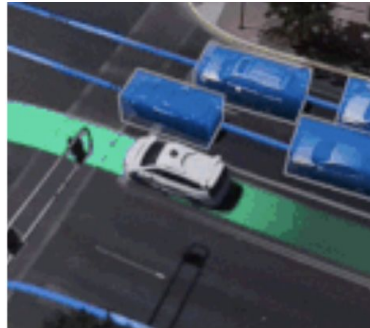


 Agent  Agent  Receptive field

Full observability and centralized algorithms are not ecologically valid.

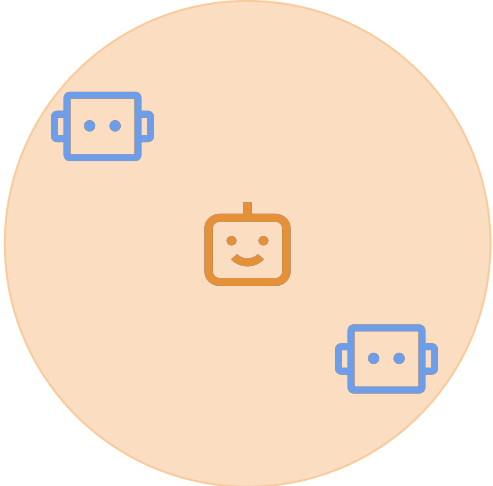


Full observability and centralized algorithms are not ecologically valid.

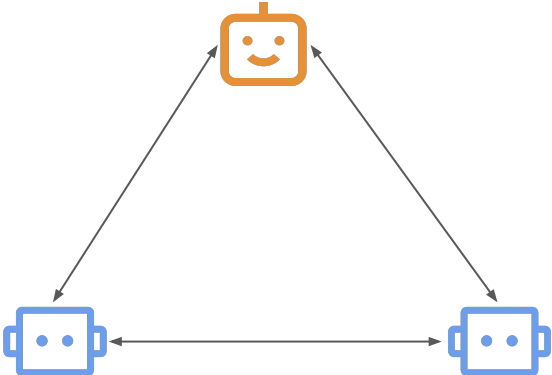


Multi-agent performance struggles without prior assumptions.

Full observability



Centralized algorithm

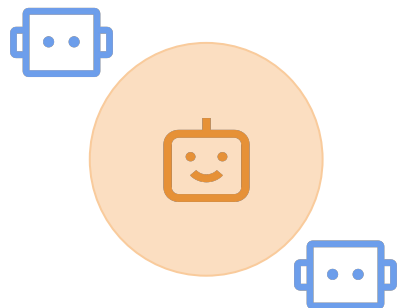


 Agent  Agent  Receptive field

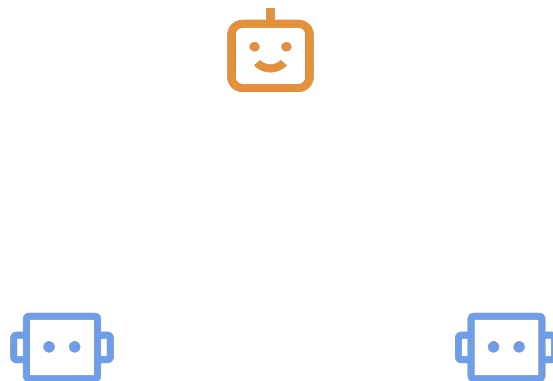
Iqbal and Sha. "Actor-attention-critic for multi-agent reinforcement learning." International conference on machine learning, PMLR, 2019.
Liu et al. "PIC: permutation invariant critic for multi-agent deep reinforcement learning." Conference on Robot Learning, PMLR, 2020.

Multi-agent performance struggles without prior assumptions.

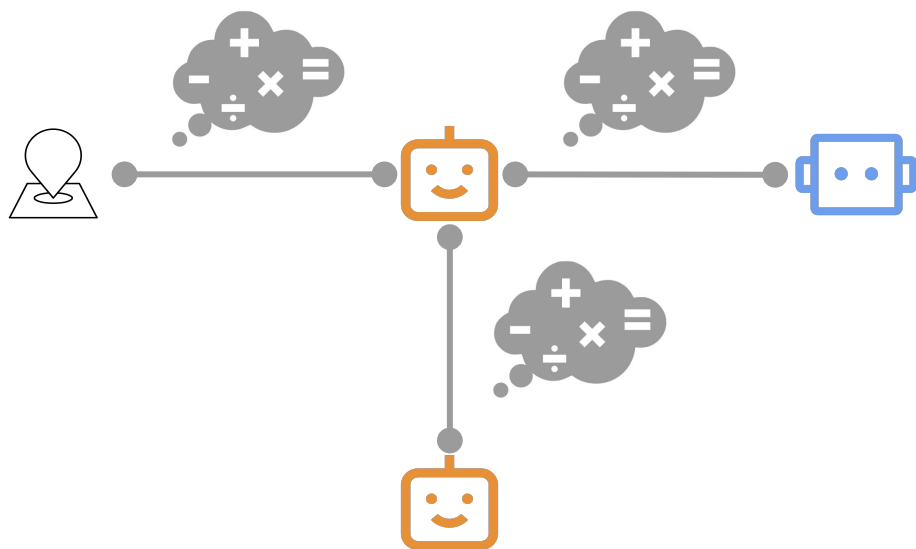
Partial observability



Decentralized algorithm

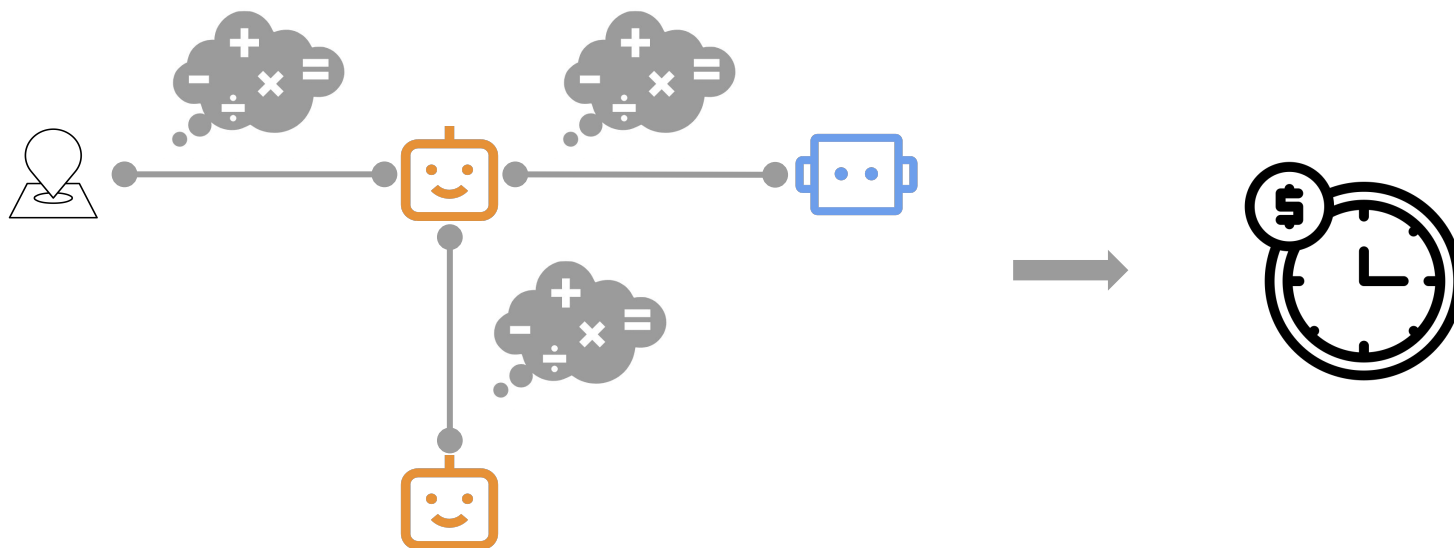


One approach is designing task-specific dense rewards



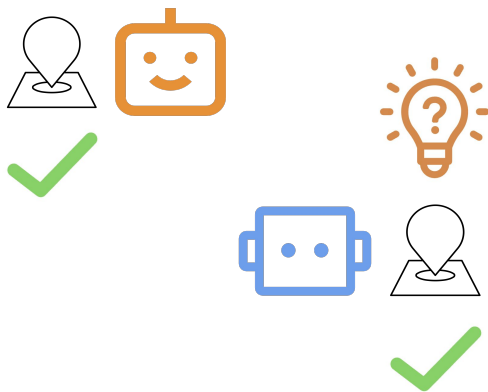
 Goal

One approach is designing task-specific dense rewards, *but it's expensive.*

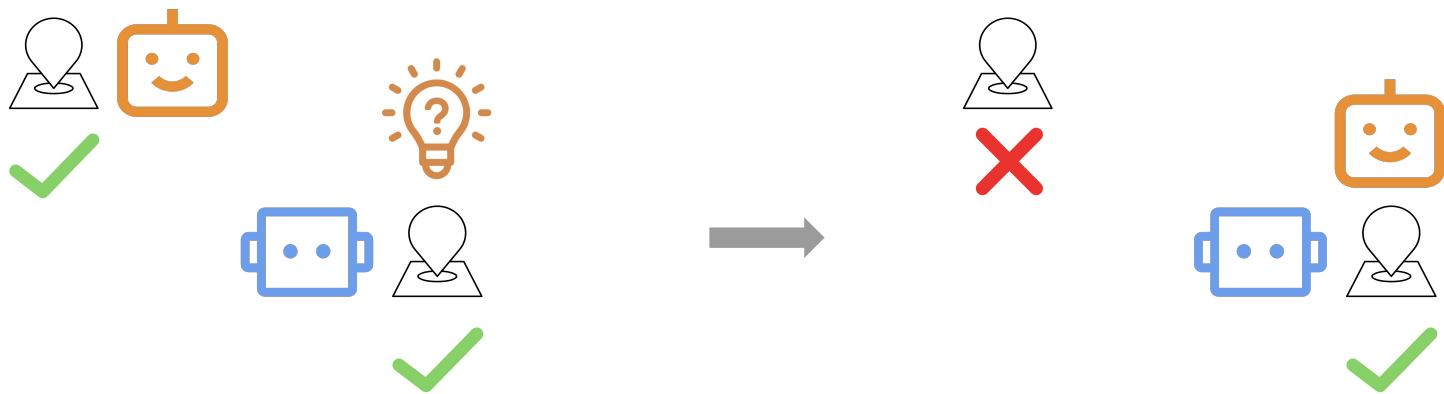


 Goal

Another is adding curiosity-based intrinsic rewards that encourage exploration



Another is adding curiosity-based intrinsic rewards that encourage exploration, **but exploration doesn't solve coordination.**



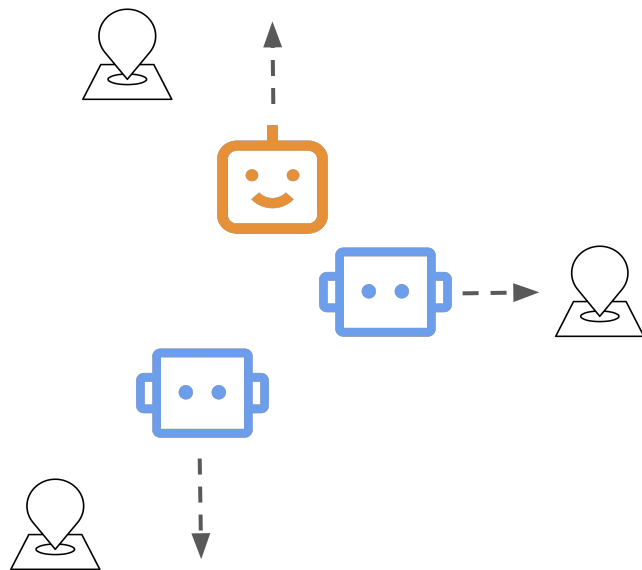
Self-organization: individual animals coordinate by *aligning* their behaviors within a local context.



Emergent alignment in fish

We introduce ELIGN - Expectation Alignment - as a multi-agent intrinsic reward.

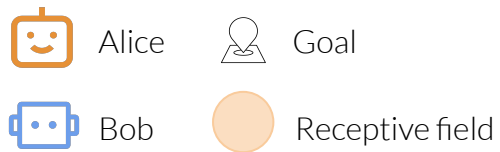
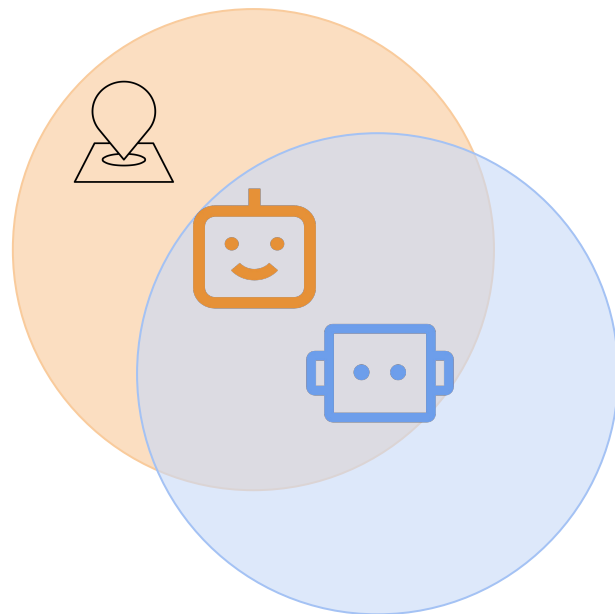
Cooperative navigation



Cooperative navigation



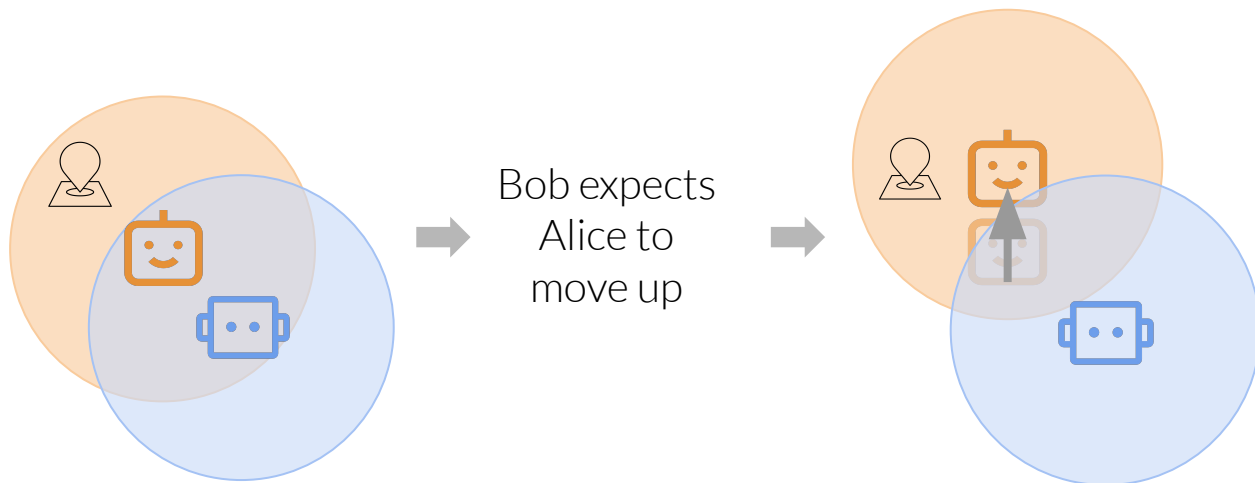
ELIGN in cooperative navigation



ELIGN in cooperative navigation

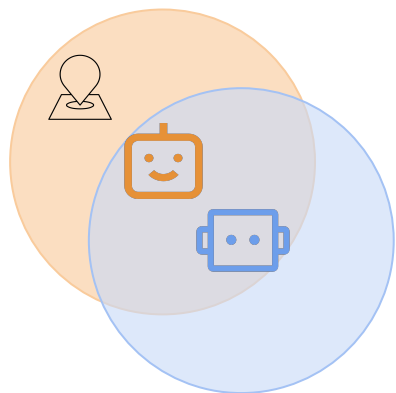
Alice's current state

Bob's prediction of Alice's next state



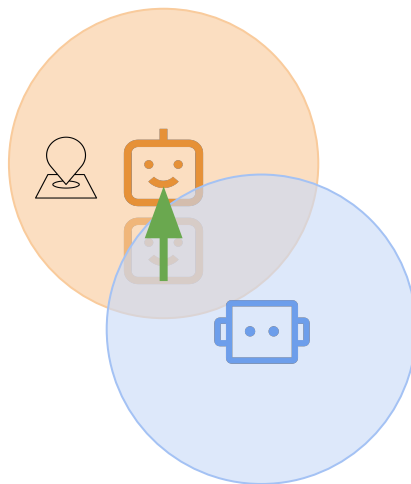
ELIGN in cooperative navigation

Alice's current state



Bob expects
Alice to
move up

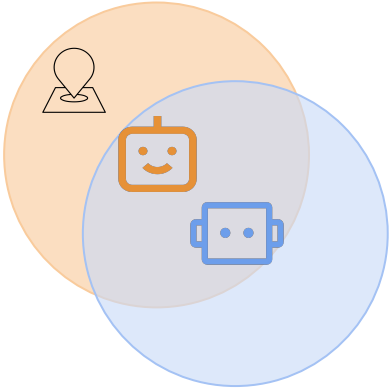
Alice's next state



(a) Aligned \rightarrow high reward

ELIGN in cooperative navigation

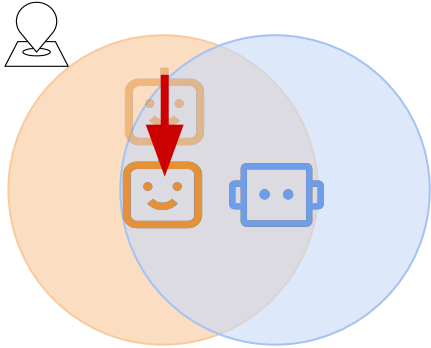
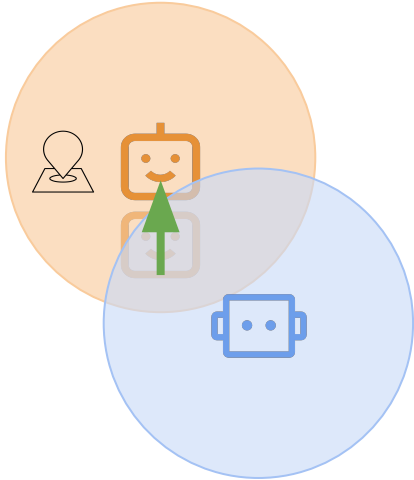
Alice's current state



Bob expects
Alice to
move up



Alice's next state



(a) Aligned → high reward (b) Misaligned → low reward

ELIGN intrinsic reward

$$\text{Ideal form: } r_{\text{in}}(o_i, a_i) = -\frac{1}{|\mathcal{N}(i)|} \sum_{j \in \mathcal{N}(i)} \|o'_i - f_{\theta_j}(o_i, a_i)\|$$

ELIGN intrinsic reward

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$$\text{Decentralized ELIGN}_{\text{team}}: r_{\text{in}}(o_i, a_i) = -\frac{1}{|\mathcal{N}(i)|} \sum_{j \in \mathcal{N}(i)} \|o'_{i \cap j} - f_{\theta_i}(o_{i \cap j}, a_i)\|$$

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$$\text{ELIGN}_{\text{adv}}: r_{\text{in}}(o_i, a_i) = +\frac{1}{|\mathcal{N}_{\text{adv}}(i)|} \sum_{k \in \mathcal{N}_{\text{adv}}(i)} \|o'_{i \cap k} - f_{\theta_i}(o_{i \cap k}, a_i)\|$$

ELIGN intrinsic reward

$$\text{Ideal form: } r_{\text{in}}(o_i, a_i) = -\frac{1}{|\mathcal{N}(i)|} \sum_{j \in \mathcal{N}(i)} \|o'_i - f_{\theta_j}(o_i, a_i)\|$$

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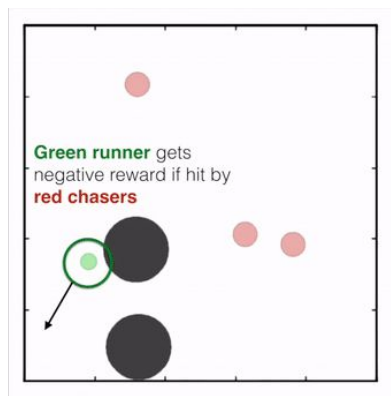
$$\text{ELIGN}_{\text{adv}}: r_{\text{in}}(o_i, a_i) = +\frac{1}{|\mathcal{N}_{\text{adv}}(i)|} \sum_{k \in \mathcal{N}_{\text{adv}}(i)} \|o'_{i \cap k} - f_{\theta_i}(o_{i \cap k}, a_i)\|$$

$$\text{ELIGN}_{\text{self}}: r_{\text{in}}(o_i, a_i) = -\|o'_i - f_{\theta_i}(o_i, a_i)\|$$

We include these two environments in our experiments.

Multi-agent particle environment (MAP)

- 2D
- Continuous states
- 5 actions



Google research football

- 3D
- Continuous states
- 10 actions



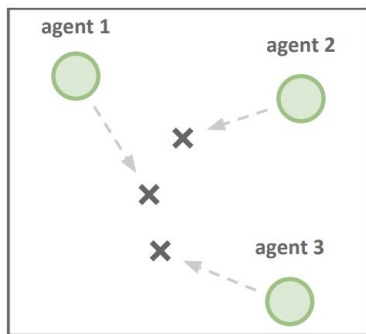
Lowe et al. "Multi-agent actor-critic for mixed cooperative-competitive environments." Advances in neural information processing systems. 2017.

Kurach et al. "Google research football: A novel reinforcement learning environment." In Proceedings of the AAAI Conference on Artificial Intelligence 2020

We train and evaluate our method across cooperative and competitive tasks.

Cooperative

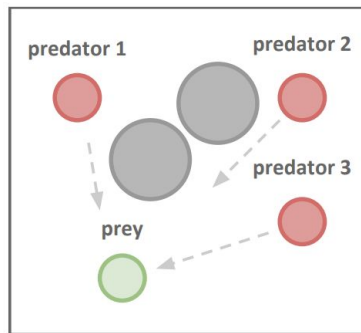
- Cooperative navigation
- Heterogeneous navigation



Cooperative Navigation

Competitive

- Keep-away
- Physical deception
- Predator-prey
- Academy 3vs1 with keeper (football)



Predator-prey

We follow these training setups.

- We use the decentralized Soft Actor-Critic for policy optimization.
- We train all algorithms across 5 random seeds
 - until convergence* in MAP;
 - for 5M timesteps in the Google football environment.

*the best test episode reward remains the same for 100 epochs (i.e. 400K episodes of 25 timesteps)

We evaluate our method against three baselines on both test episode reward and task-specific metrics.

- We evaluate ELIGN against three baseline rewards:
 - SPARSE
 - SPARSE + CURIOsity intrinsic rewards
 - CURIOself and CURIOteam
- Our evaluation metrics include:
 - average episode reward across 1K test episodes of 25 timesteps
 - task-specific metrics
 - agent-goal occupancy
 - agent-adversary collision count in the Predator-prey task
- We report the mean value of each metric and its standard error across 5 random seeds.

Results in **partially** observable environments with
decentralized training

In cooperative tasks, **ELIGN_{self,team}** outperform SPARSE and both curiosity-based intrinsic rewards on test episode rewards.

Task	Cooperative nav. 3v0	Heterogenous nav. 4v0
SPARSE ¹	139.07 ± 13.63	284.42 ± 12.83
CURIO _{self} ²	133.93 ± 7.66	286.22 ± 9.97
CURIO _{team} ³	125.42 ± 11.95	262.28 ± 22.59
ELIGN_{self}	155.88 ± 5.11	292.34 ± 9.24
ELIGN_{team}	141.04 ± 8.04	311.67 ± 10.88

¹Lowe et al. "Multi-agent actor-critic for mixed cooperative-competitive environments." Advances in neural information processing systems. 2017.

²Stadie et al. Incentivizing Exploration In Reinforcement Learning With Deep Predictive Models. CoRR 2015.

³Iqbal and Sha. Coordinated exploration via intrinsic rewards for multi-agent reinforcement learning. 2019.

In competitive tasks, **ELIGN_{adv}** achieves the best performance except for Physical deception, where **ELIGN_{team}** is the best.

Task	Phy decep. 2v1	Predator-prey 2v2	Keep-away 2v2	Football 3v1 w/ keeper
SPARSE ¹	93.60 ± 8.61	-4.72 ± 2.4	4.58 ± 3.27	0.020 ± 0.001
CURIOself ²	68.80 ± 7.93	-6.50 ± 2.18	11.88 ± 2.88	0.024 ± 0.004
CURIOteam ³	85.31 ± 11.93	-3.57 ± 1.75	9.54 ± 5.04	0.021 ± 0.002
ELIGNself	69.91 ± 4.51	-7.58 ± 2.55	12.84 ± 4.29	0.003 ± 0.018
ELIGNteam	101.72 ± 6.31	-7.69 ± 2.69	2.96 ± 4.03	0.022 ± 0.001
ELIGNadv	92.20 ± 4.23	-2.51 ± 1.70	19.46 ± 5.05	0.025 ± 0.001

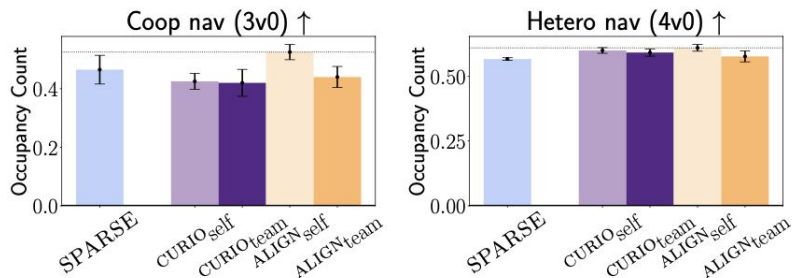
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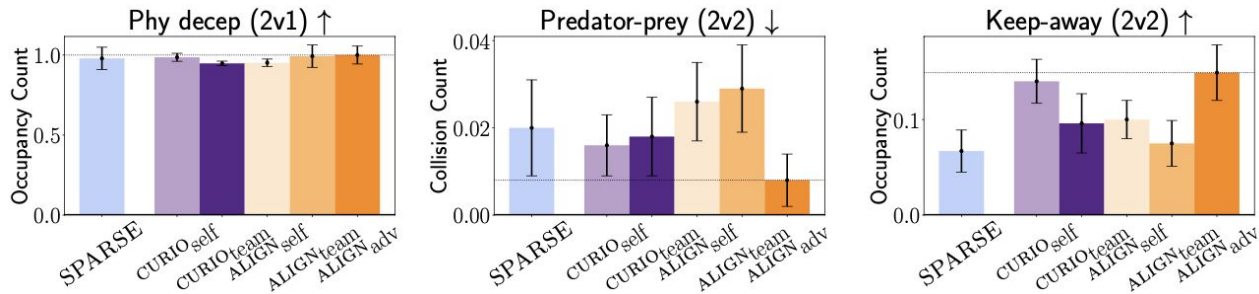
³Iqbal and Sha. Coordinated exploration via intrinsic rewards for multi-agent reinforcement learning. 2019.

On task-specific metrics, **ELIGN_{self}** and **ELIGN_{adv}** perform the best in cooperative and competitive tasks respectively.

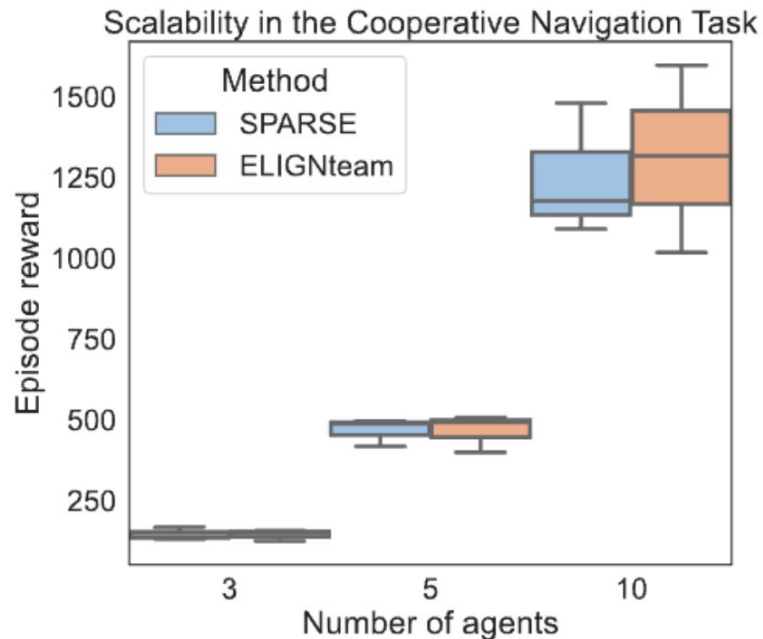
Cooperative



Competitive

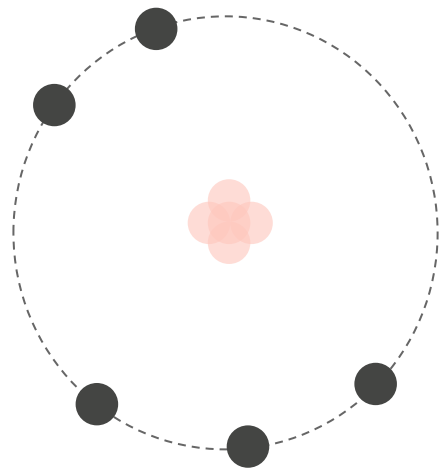


ELIGNteam scales well even when the number of agents increases to 10 in Cooperative navigation.

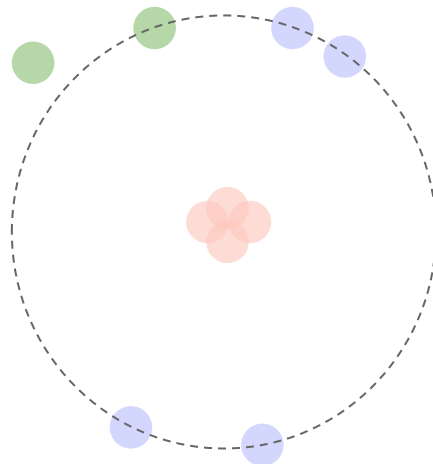


Investigating **how** Expectation Alignment helps

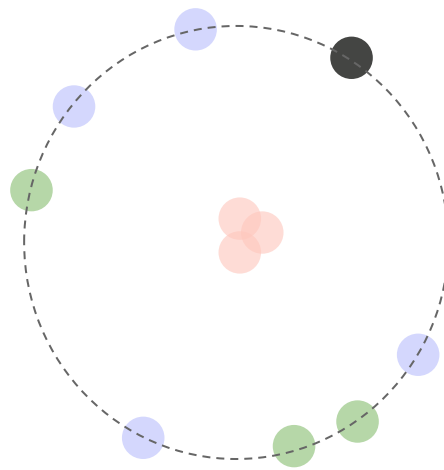
We initialize agents in states without an optimal sub-task allocation, necessitating symmetry-breaking.



Coop navigation 5v0



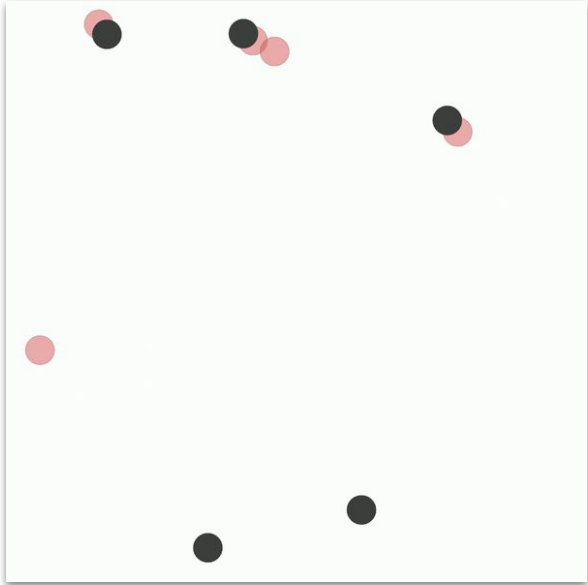
Predator-prey 4v4



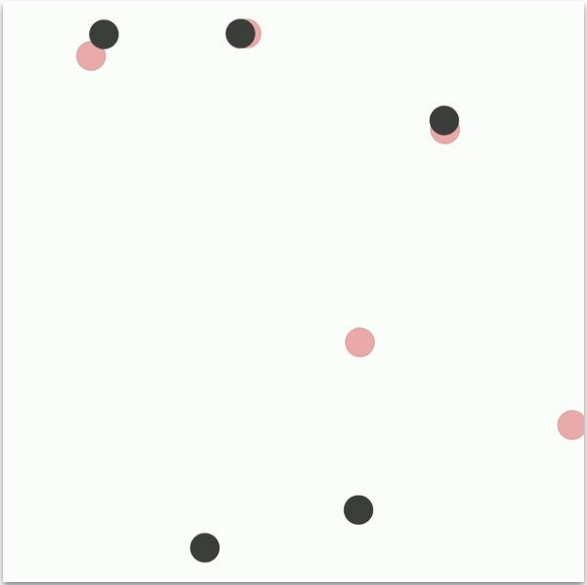
Keep-away 4v4

- Agent
- Adversary
- Landmark
- Goal

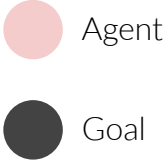
Expectation alignment helps agents divide tasks.



With SPARSE only, agents cluster and cover few goals.



With ELIGN, agents **spread out to cover more goals.**



Conclusions

- Inspired by the self-organizing principle in Zoology, we formulate Expectation Alignment - ELIGN - as an multi-agent intrinsic reward.
- ELIGN rewards agents when they act predictably to their teammates and unpredictably to their adversaries.
- ELIGN improves multi-agent performance across cooperative and competitive tasks in the MAP and Google football environments.
- It also scales well, and helps agents break symmetries.

ELIGN: Expectation Alignment as a Multi-agent Intrinsic Reward

ELIGN is a simple, task-agnostic, and self-supervised multi-agent intrinsic reward, and it can be added to any multi-agent algorithm.

For more details, please refer to our paper from the QR code.

Code: <https://github.com/StanfordVL/alignment>

Contact: zixianma@cs.stanford.edu.

