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Highly dispersive magnons with spin-gap like features in the frustrated ferromagnetic $S = 1/2$ chain compound $\text{Ca}_2\text{Y}_2\text{Cu}_5\text{O}_{10}$ detected by inelastic neutron scattering

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We report inelastic neutron scattering experiments in $Ca_2Y_2Cu_5O_{10}$ and map out the full onemagnon dispersion which extends up to a record value of 53 meV for frustrated ferromagnetic (FM) edge-sharing $CuO₂$ chain (FFESC) cuprates. A homogeneous spin-1/2 chain model with a FM nearest-neighbor (NN), an antiferromagnetic (AFM) next-nearest-neighbor (NNN) inchain, and two diagonal AFM interchain couplings (ICs) analyzed within linear spin-wave theory (LSWT) reproduces well the observed strong dispersion along the chains and a weak one perpendicularly. The ratio $\alpha = |J_{a2}/J_{a1}|$ of the FM NN and the AFM NNN couplings is found as ∼0.23, close to the critical point $\alpha_c = 1/4$ which separates ferromagnetically and antiferromagnetically correlated spiral magnetic ground states in single chains, whereas $\alpha_c > 0.25$ for coupled chains is considerably upshifted even for relatively weak IC. Although the measured dispersion can be described by homogeneous LSWT, the scattering intensity appears to be considerably reduced at ∼11.5 and ∼28 meV. The gap-like feature at 11.5 meV is attributed to magnon-phonon coupling whereas based on density matrix renormalization group simulations of the dynamical structure factor the gap at 28 meV is considered to stem partly from quantum effects due to the AFM IC. Another contribution to that gap is ascribed to the intrinsic superstructure from the distorting incommensurate pattern of CaY cationic chains adjacent to the $CuO₂$ ones. It gives rise to non-equivalent $CuO₄$ units and $Cu-O-Cu$ bond angles Φ and a resulting distribution of all exchange integrals. The J 's fitted by homogeneous LSWT are regarded as average values. The record value of the FM NN integral $J_1 = 24$ meV among FFESC cuprates can be explained by a non-universal $\Phi(\neq 90^{\circ})$ and Cu-O bond length dependent anisotropic mean direct FM Cu-O exchange \bar{K}_{pd} ∼120 meV, similar to a value of 105 meV for Li2CuO2, in accord with larger values for La2CuO⁴ and CuGeO³ (∼110 meV) reported by Braden et al. [Phys. Rev. B 54, 1105 (1996)] phenomenologically. Enhanced K_{pd} values are also needed to compensate a significant AFM $J_{dd} \geq 6$ meV from the dd channel, generic for FFESC cuprates but ignored so far.

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I. INTRODUCTION

One-dimensional (1D) antiferromagnetic (AFM) spin (S) 1/2 systems have been studied intensively, since they exhibit exotic quantum effects. The spinon is a typical feature generic for the AFM Heisenberg chain. In contrast, 1D ferromagnetic (FM) systems do not show pronounced quantum effects since the FM state is an eigenstate of the spin Hamiltonian. However, frustrating couplings, such as a next-nearest neighbor (NNN) AFM J_2 and/or AFM interchain couplings (ICs), can cause a more interesting ground state [\[1\]](#page-16-0). In particular, they may induce gaps of different nature for excited states, strongly dependent on the sign of the nearest-neighbor (NN) exchange J_1 : well-known for AFM J_1 for $0.241 \le \alpha \stackrel{\le}{\sim} 0.7$ in the context of the spin-Peierls problem [\[2\]](#page-16-1) and recently

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found for FM J_1 at $\alpha > \alpha_c (= 1/4)$ due to quantum fluc-tuations [\[3\]](#page-16-2), where the frustration α reads

$$
\alpha = J_2 / | J_1 | \tag{1}
$$

In $Ca₂Y₂Cu₅O₁₀$ (CYCO) and any other edge-sharing chain cuprates (see Sec. II and Fig. 1) described by the $S = 1/2$ J_1 - J_2 model, $J_2 > 0$ always holds due to the Cu-O-O-Cu superexchange. Then α^{-1} measures the coupling of two interpenetrating ferromagnetically interacting AFM Heisenberg chains, where the J_1-J_2 chain is regarded as a topologically equivalent zigzag chain with different NN couplings. For FM J_1 , the ground state changes from a FM to an AFM spin-liquid with noncollinear spiral fluctuations for $\alpha > \alpha_c$ [\[1\]](#page-16-0). There are only few materials with long edge-sharing $CuO₂$ chains and relatively large J_1 - and J_2 -values near such a critical point. We mention three of them: (i) Li_2CuO_2 $(LICO)$, with FM inchain order below its Néel temperature $T_N \approx 9$ K; (ii) Li₂ZrCuO₄, with a spiral ordering with $\alpha \approx 0.33$ (at $T < 7$ K), predicted in Ref. [4](#page-16-3) and confirmed in Refs. [5](#page-16-4) and [6](#page-16-5) (see also [\[7\]](#page-17-0)); (iii) further candidates near quantum criticality, where the insight gained for CYCO might be helpful to elucidate their exchange interactions and unusual magnetic states. Among them are $La_6Ca_8Cu_{24}O_{41}$ and derivatives which contain besides two-leg spin ladders (TLL) similar frustrated FM edge-sharing $CuO₂$ chains (FFESC).

A recent inelastic neutron scattering (INS) study for LICO [\[9](#page-17-1)[–11\]](#page-17-2) revealed a relatively large $J_1=-19.7$ meV, $\alpha = 0.332$, and a weak but nevertheless decisive AFM IC of 0.78 meV. Although $\alpha > \alpha_c$, a FM arrangement is realized in the chains due to specific AFM ICs. Within a refined linear spin-wave analysis employing the full magnon dispersion up to 53 meV and performing measurements along those scattering directions where the small IC can be separated from the large inchain one, we will show that J_1 of CYCO well exceeds the largest J_1 -values re-ported so far for LICO [\[9\]](#page-17-1) and $Li₂ZrCuO₄$ [\[4,](#page-16-3) [5\]](#page-16-4) among the FFESC family.

As shown in detail below, CYCO with a FM stacking of 2D N \acute{e} el planes along the b axis is the "2D-analogon" of LICO. CYCO has the highest $T_N = 29.5$ K [\[12–](#page-17-3)[14\]](#page-17-4) and together with LICO the largest ordered magnetic moments among all FFESC. The critical point for the system of antiferromagnetically coupled mutually shifted NN chains by half a Cu-Cu distance in the chain direction (Fig. 1) is upshifted to $\alpha > 1/4$, reflecting a stabilization of the FM inchain ordering. On the other hand, the spin-wave dispersion for LICO shows a flat minimum at the magnetic zone center, which reflects also incommensurate correlations along the chains. Here weak AFM O-mediated ICs between adjacent chains are relevant too [\[9–](#page-17-1)[11\]](#page-17-2).

Since the large FM J_1 is the origin for a large magnon dispersion, its microscopic origin is of interest for the cuprate physics in general to be addressed in the framework of multiband Cu-O pd models. Then J_1 depends first of all on the direct FM exchange K_{pd} between two holes on NN Cu and O sites and on Hund's exchange J_H

of two holes in two different O 2p orbitals on the same O site which bridges twice two NN Cu sites. Although being key quantities, neither is precisely known. In particular, the $K_{pd} = 50$ meV suggested in Ref. [15](#page-17-5) for LICO and CYCO and all other FFESC differs by more than 200% from the empirical value for $CuGeO₃$ [\[2\]](#page-16-1) and even by 400% for the corner-sharing La_2CuO_4 , where K_{pd} and its weaker NN O-O counter part, K_{pp} , are known from advanced many-body calculations [\[16,](#page-17-6) [17\]](#page-17-7). We report on complementary quantum chemical (QC) and density functional theory (DFT) computations for LICO, derive also a significant K_{pd} -value and stress its key role for the FM J_1 and the magnon dispersion [\[9,](#page-17-1) [10\]](#page-17-8). We resolve a long-standing puzzle for seemingly AFM or small FM Curie-Weiss temperatures (Θ_{CW}) [\[13,](#page-17-9) [18\]](#page-17-10) at odds with the inchain FM alignment of magnetic moments in the Ne^{\acute{e}}l-state using a high-temperature expansion (HTE) for

FIG. 1. (a) Schematic view of the $CuO₂$ spin chains in the ac plane of $Ca₂R₂Cu₅O₁₀$ (R=Y and Nd) for an averaged idealized structure. Inchain couplings J_{a1} and J_{a2} as well as the two diagonal NN and NNN ICs J_{ac1} , J_{ac2} , and J_c are shown. The spin order in the *ac* plane is also depicted. Spins along $+b$ (−b) directions are shown with " + " (" − "), respectively. (b) A non-ideal $Cu₅O₁₀$ chain due to its misfit with the adjacent cationic $Ca₂R₂$ (R=Nd and Y) chains adopting symmetric distortions for simplicity. The 3 non-equivalent $CuO₄$ plaquettes of this case are depicted by red, green, and blue rectangles. Here a chain has 3 different boundaries (red-red, red-green, and red-blue pairs of bridging O) and three different Cu-O-Cu bond angles, giving rise to 3 different AFM contributions to each NN coupling (see Sec. V). At least 3 different NN and NNN couplings denoted by J_1 , J_1 ', and J_1 " as well as J_2 , J_2 ', and J_2 " [instead of 2 single J_{a1} and J_{a2} shown in (a)] appear. The general asymmetric chain has 5 non-equivalent plaquettes and a couple of 5 NN and NNN inchain couplings, respectively. (c) A distorted single chain according to the model by Thar et al. [\[8\]](#page-17-11) (view along the c axis). Red (blue) spheres denote Cu (O) ions.

the spin susceptibility $\chi(T)$ (Sec. V A). In the SM [\[7\]](#page-17-0) we present a rich collection of cuprates with edge-sharing elements, provide further support for sizable FM NN couplings, and explain special reasons for weak or even AM values.

II. PREVIOUS AND NEW RESULTS IN CYCO

CYCO consists of edge-sharing $CuO₂$ chains [\[19\]](#page-17-12), each $\lbrack CuO_2 \rbrack^{-2}$ unit carries a spin 1/2 [\[20\]](#page-17-13). The chains in the ac plane are shown in Fig. [1\(](#page-2-0)a). CYCO exhibits an AFM order below $T_{\rm N}$ =29.5 K. The spins align ferromagnetically along the a axis (chain direction) and b axis and antiferromagnetically along the c axis [\[12,](#page-17-3) [13\]](#page-17-9). The ordered magnetic moment is $0.9\mu_{\rm B}$. The magnetic structure in the ac plane is shown in Fig. 1(a). CYCO shows commensurate and incommensurate orders of Ca and Y, which gives rise to a supercell with $5\times a$ and $4.11\times c$ in the simplest approximation [\[19\]](#page-17-12). In this supercell, there are 4 Ca/Y and 5 Cu positions along the chain. The alternating Ca^{2+}/Y^{3+} chains cause sizable shifts of the O ions (Figs. 1b and 1c) at variance to chains with equivalent O sites [\[8\]](#page-17-11). However, it is unclear how much this superstructure (SS), affecting mostly the O sites, does modify the long-range magnetic order. The inchain spin arrangement is FM and no magnetic SS has been found so far. Hence, the static magnetic order seems to be hardly affected by the chain distortions, probably due to the few O spins.

The spin-Hamiltonian for CYCO may be written as

$$
\hat{H} = \hat{H}_{ch} + \hat{H}_{IC} + \hat{H}_A,\tag{2}
$$

where $\hat{H}_{ch}(\hat{H}_{IC})$ describes in(inter)-chain isotropic Heisenberg interactions of the form

$$
\hat{H}_{ch} + \hat{H}_{IC} = \frac{1}{2} \sum_{\mathbf{R}, \mathbf{r}} J_{\mathbf{r}} \hat{\mathbf{S}}_{\mathbf{R}} \hat{\mathbf{S}}_{\mathbf{R} + \mathbf{r}},
$$
(3)

 $J_{\mathbf{r}}$ being exchange interaction between a pair of copper spins $\hat{\mathbf{S}}_{\mathbf{R}}$ and $\hat{\mathbf{S}}_{\mathbf{R}+\mathbf{r}}$ in the same (for \hat{H}_{ch}) or in different (for \hat{H}_{IC}) chains; \hat{H}_A denotes uniaxial pseudodipolar

anisotropic interactions:
$$
\hat{H}_A = \frac{1}{2} \sum_{\mathbf{R}, \mathbf{r}} D_{\mathbf{r}} \hat{S}_{\mathbf{R}}^z \hat{S}_{\mathbf{R} + \mathbf{r}}^z
$$
 (4)

In previous works [\[21–](#page-17-14)[24\]](#page-17-15), the INS results were interpreted assuming that all Cu sites are equivalent, i.e. ignoring a lattice modulation. The magnon dispersion curves were fitted by linear spin-wave theory (LSWT):

$$
\omega_q = \sqrt{A_q^2 - B_q^2}, \quad \text{with} \tag{5}
$$

$$
A_q = J_{a1}(\cos q_a - 1) + J_{a2}(\cos 2q_a - 1) + J_b(\cos q_b - 1)
$$

+ $J_c(\cos q_c - 1) + 2J_{ab}(\cos \frac{q_a}{2} \cos \frac{q_b}{2} - 1)$
+ $2(J_{ac1} + J_{ac2}) - D$,
 $B_q = 2J_{ac1} \cos \frac{q_a}{2} \cos \frac{q_c}{2} + 2J_{ac2} \cos \frac{3q_a}{2} \cos \frac{q_c}{2}$,

where J_{a1} , J_{a2} are FM NN and AFM NNN in-chain interactions, J_{ac1} and J_{ac2} are NN and NNN ICs in ac plane (Fig. [1\)](#page-2-0), J_b and J_c are interactions along the b and c directions, respectively; $\mathbf{q} = (q_a, q_b, q_c) = 2\pi (h, k, l)$ is the magnon momentum. Only an averaged value D of the anisotropy parameters $D_{\mathbf{r}_1}, D_{\mathbf{r}_2}$ enters the dispersion

$$
D = \sum_{\mathbf{r}_1} D_{\mathbf{r}_1} - \sum_{\mathbf{r}_2} D_{\mathbf{r}_2},
$$
 (6)

where the vectors $\mathbf{r}_1(\mathbf{r}_2)$ connect sites of the same (different) AFM sublattice.

Let us recall that a FM state is an eigenstate of $\hat{H}_{ch} + \hat{H}_A$ and magnons are its *exact* one-particle excitations. That is why the ordered moment in CYCO is close to 1 μ _B and LSWT provides adequate values of exchange parameters (cf. Sec. [V C 1\)](#page-11-0). The only source of quantum fluctuations in CYCO is the relatively weak \hat{H}_{IC} . It affects the magnon dynamics but does not change the overall shape of the LSWT dispersion, Eq. [\(5\)](#page-3-0) (see Sec[.V A\)](#page-8-0).

In Ref. [21,](#page-17-14) the dispersion along high-symmetry directions starting from the zone center Γ was measured up to $W_E \sim 10$ meV. The full dispersion curves were available for the b and c directions $(0, k, 0)$ and $(0, 0, l)$, thus the IC parameters $J_b = 0.06$, $J_{ab} = 0.03$, $J_c = 0$, and $J_s = J_{ac1} + J_{ac2} \approx 2.24$ meV were established. On the contrary, only a small part of the dispersion was possible to be measured along the chain direction a. Inspection of Eq. [\(5\)](#page-3-0) shows that the dispersion along the line $(h, 0, 0)$ is affected by the ICs. The influence of the tiny J_{ab} can be ignored but $J_{ac1,2}$ do substantially affect the dispersion at small q. Moreover, the dispersion depends not only on the sum J_s , but also on the ratio J_{ac1}/J_{ac2} . That is why the fit of those measurements was ambiguous. Table [I](#page-4-0) shows how the extracted inchain couplings became more and more accurate by including data up to higher energies.

New measurements along the lines $(h, 0, 1.25)$ and $(h, 0, 1.5)$ were performed in Ref. [24.](#page-17-15) The dispersion along the line $(h, 0, 1.5)$ $(q_c = 3\pi)$ is independent of $J_{ac1,2}$, and its curvature near $h = 0$ is determined by α . It reveals a substantial value of J_{a2} and allows a new fit that includes also broad excitation data up to 25 meV. Both J_{a1} and $J_{a2}(4th \text{ row of Table I})$ were found to be much stronger and consistent with theory. As mentioned above, for $\alpha > 1/4$, the ground state is an AFM spiral state. In our previous study [\[24\]](#page-17-15) we found $\alpha \sim 0.19$, below the critical value of a single chain. In order to determine the overall profile of the magnon dispersion and refine also α , we performed INS experiments using a timeof-flight chopper spectrometer. This way, we probed the full dispersion that extends up to \sim 53 meV and α was refined as \sim 0.23, closer to α_c .

As previously observed [\[21\]](#page-17-14), the intensity of the magnons appears to be reduced at ∼11.5 meV. In addition to this, we also found another gap-like behavior at ∼28 meV. We refine the exchange parameters and discuss the origin of the gap-like behavior in the magnon dispersion. The gap at ∼11.5 meV is related to the coupling with a weakly dispersive optical phonon. The gap-like feature at ∼28 meV is ascribed to quantum effects due to the AFM ICs [\[21\]](#page-17-14) and to the SS mentioned above.

III. EXPERIMENTAL METHOD

A CYCO single crystal was grown by the traveling solvent floating zone (TSFZ) method in air. The dimensions of the rod shaped crystal was $\sim6\Phi\times25$ mm³. This crystal was already used in previous INS studies [\[21–](#page-17-14)[24\]](#page-17-15). The present INS experiments were carried out on a hybrid neutron spectrometer HYSPEC [\[25\]](#page-17-16) installed at the Spallation Neutron Source (SNS) and a triple-axis spectrometer HB-1 installed at the High Flux Isotope Reactor (HFIR) at Oak Ridge National Laboratory (ORNL). We utilized two incident energies of 27 and 60 meV on HYSPEC. Energy resolutions at the elastic position are \sim 1.3 and \sim 3.8 meV with $E_i = 27$ and 60 meV, respectively. Neutrons with a final energy of 13.5 meV were used, together with a horizontal collimator sequence of $48^{\prime}-80^{\prime}-S-80^{\prime}-240^{\prime}$ on HB-1. The energy resolution at the elastic position amounts to ∼1.4 meV. Contamination from higher-order beams was effectively eliminated using pyrolytic graphite filters. The single crystal was oriented in the $(H, K, 0)$ scattering plane and mounted in a closed-cycle ⁴He gas refrigerator on HYSPEC. On HB-1, the single crystal was oriented in the $(H, K, 0)$ and $(H, 0, L)$ scattering planes and mounted in a closed-cycle ⁴He gas refrigerator. The visualization of the HYSPEC data were performed using the DAVE software [\[26\]](#page-17-17).

IV. EXPERIMENTAL RESULTS & SPIN-WAVES

A. Interchain couplings (ICs)

As mentioned above, the previously studied dispersion along the $(0, 0, l)$ direction provides only the sum of ICs in the ac-plane $J_s = J_{ac1} + J_{ac2} \approx 2.24$ meV and the anisotropy parameter $D = -0.45$ meV [\[24\]](#page-17-15). As pointed out in Ref. [24,](#page-17-15) the weak ICs can be fitted more accurately from the dispersion relations at (h, k, l) with $h \neq 0$ and any k value, where the inchain couplings do not contribute. Hence, we probed the magnon at $(h,$ 0, l) with $h=0$, 0.025, 0.005, and 0.1 at $T=5.5$ K on HB-1. Its dispersion is shown in Fig. [2.](#page-4-1) The LSWT analysis yields a much larger NNN IC on the two adjacent chains $J_{ac2}=2.26$ meV than the NN counter part J_{ac1} =0.12 meV (cf. the ratio $\tilde{J}_1/\tilde{J}_2 \sim 0.1$ in LICO [\[9\]](#page-17-1)). The average anisotropic exchange parameter D in Eq. [\(6\)](#page-3-1) was found as -0.21 meV.

Analyzing the unusual intensity suppression near 30 meV with the aid of density matrix renormalization group calculations (see Sec. [V A\)](#page-8-0), we find that the ICs would most effectively suppress the intensity when $J_{ac1} \gg J_{ac2}$. This points to the imaginary part of the magnon self-energy $\Im \Sigma$, ignored in LSWT. It might cause

TABLE I. The inchain couplings J_{a1} and J_{a2} from INS data analyzed within LSWT, the maximum energy (W_E) below which the INS data were fitted, and $\alpha = |J_{a2}/J_{a1}|$. Values in the first row represents theoretical predictions from Ref. [15.](#page-17-5)

year	J_{a1}	J_{a2}	W_{E}	α	Ref.
		(meV) (meV) (meV)			
1998	-2.2	4.7		2.2	[15]
2001	-8	0.4	10	0.05	[21]
	-6.9	0.0	10	$\mathbf{0}$	[21]
	$2012 - 19.6$	3.7	25	0.19	[24]
2019	-24	5.5	53		0.23 present work

this different behavior while $\Re\Sigma$, which governs the dispersion, is less sensitive to the ratio J_{ac1}/J_{ac2} .

An almost flat dispersion along the b axis is reproduced (Fig[.2b](#page-4-1)) with the same coupling values as in our previous work [\[21,](#page-17-14) [23,](#page-17-18) [24\]](#page-17-15). The difference of the anisotropy parameter D_b from D was explained in Ref. [21](#page-17-14) by a small deviation of the spin-Hamiltonian's anisotropy from uniaxial symmetry; the deviation does not visibly split the spin wave branches in the ac-plane and is not considered

FIG. 2. (a) The weak magnon dispersion of CYCO perpendicular to the chain $(a \text{ axis})$ direction within the ac plane $[(h,$ 0, l) with $h=0$, 0.025, 0.005, and 0.1, measured at $T=5.5$ K with $E_f=13.5$ meV. Solid curves: the dispersions calculated using LSWT with the two skew ICs J_{ac1} =0.12, J_{ac2} =2.26, and the anisotropy parameter $D=-0.21$ meV. The error bars are smaller than the size of the symbols. (b) The dispersion along the b axis, which is well reproduced with $J_b=-0.0061$, J_{ab} =−0.030, and D_b =−0.37 meV.

here. We mention the couplings as a useful reference for a realistic estimate of the analogous "face to face" interaction of $CuO₄$ plaquettes along the a axis in LICO in view of its role in the FM alignment of magnetic moments along the chains under debate [\[11,](#page-17-2) [27\]](#page-17-19) and an order by disorder scenario [\[28\]](#page-17-20) vs. the AFM IC mechanism based on shifted adjacent chains in Refs. [\[9,](#page-17-1) [29,](#page-17-21) [30\]](#page-17-22). To resolve this problem experiments around α_c would be helpful. In particular, an INS study under pressure and an analysis like ours would be interesting in view of the pressure study of LICO [\[31,](#page-17-23) [32\]](#page-17-24), where above 6 GPa a phase transition to a monoclinic FM phase has been detected. Due to the larger IC coupling in CYCO higher pressures might be necessary for a similar transition. Hence, studies in $La_6Ca_8Cu_{24}O_{41}$ might be easier to perform although any analysis of the dispersive magnon modes could be difficult due to the presence of ladder spinons. Anyhow, pressure is a promising tool (see also the estimate of J_1 and α in Sec. E of SM [\[7\]](#page-17-0)).

B. Inchain interactions

The analysis given above rests on the assumption of flat homogeneous $CuO₂$ chains, practically unaffected by the incommensurate structure of the adjacent cationic CaY chains, as described in Sec. II. The opposite is depicted in Fig. [1\(](#page-2-0)c) for the simplest case when a symmetric quasi-period 5 SS is induced in the cuprate chain. A period 10 or 15 would give an even better approximation for the incommensurate SS induced by the strong Coulomb interaction between the differently charged cations and especially the closer O ions of the $CuO₂$ chains. The latter case might be close to an inhomogeneous "lock-in" structure containing "domains" of period 5 and period 10 units as well. Then the two mechanisms of gap production proposed here would be cooperative, resulting in a maximum experimentally observable effect. Since in a general period-m case the opening of $m - 1$ gaps is allowed, in the present case one is left with 4 gaps for a period-5 model while already 9 gaps for improved approximations of a period-10 (or even 14 gaps for a period-15) are allowed. The simplest lock-in structure "5+10" has 13 gaps. The replacement of the incommensurate SS by a quasi-commensurate one containing an even period component is essential because it allows the opening of a gap just at the wave vector of 1/4 where the gap near 28 meV has been found. The magnitude of all gaps depends on the distributions of the local Φ and of the distances between NN bridging O ions and also on the twisting and/or other deformations away from the flat structure of ideal chains as in LICO. Also the dispersion is slightly affected by the opening of gaps if they are included in the fitting procedure (see Sec. V B and Sec. A in SM [\[7\]](#page-17-0)). Due to the largely increasing number of corresponding couplings probably any distribution of gap amplitudes at the corresponding wave vectors generic for the adopted approximative commensurate SS could be fitted. In this context

we do not exclude the possibility of a lock-in transition of the incommensurate cationic chain into a real long periodic commensurate $5m$ periodic-chain, where $m=2, 3, 4$ Thus, any real progress by convincing fits should rest on a dialog examination of various local structural models compatible with the diffraction patterns from neutron and x-ray scattering. Improved detailed microstructure models in the real space for inhomogeneous long periodic $CuO₂$ chains have not yet been developed. The examination of such alternative microstructure models with increasing complexity is extremely tedious. Therefore, it is for a future study. Anyhow, we believe that the analysis of the gaps reported here is very important to find effective models with a reasonable number of parameters.

The unexpected lacking of Zhang-Rice excitons in a recent resonant inelastic x-ray scattering (RIXS) study [\[33\]](#page-17-25), in sharp contrast to LICO and $CuGeO₃$ with "ideal" chains, is noteworthy. We suggest that the expected peak-like feature could not be resolved experimentally due to a relatively broad distribution (more than 0.5 eV) of different "local" excitation energies (at 4.5 eV in LICO) caused by the SS in CYCO. Further consequences of the composite symmetry of CYCO, such as suggested in Refs. [8,](#page-17-11) [34–](#page-17-26)[36](#page-17-27) or within the approaches proposed here, will be discussed elsewhere.

Figure [3](#page-6-0) shows the INS spectra $S(Q, E)$ from our CYCO single crystal measured at 6 K. Figure [3\(](#page-6-0)a) represents the low-energy excitations measured with $E_i=27$ meV. The intensity is averaged over the range of $1.8 \le$ $K \leq 3.2$ and $-0.1 \leq L \leq 0.1$. The magnon dispersion along K is almost flat and the band width is less than 0.2 meV [\[21\]](#page-17-14). Although the band width of the dispersion along L is about 3 meV, the dispersion in the range $-0.1 \leq L \leq 0.1$ is less than 0.5 meV [\[21\]](#page-17-14). Therefore, the broadening due to the integration should be small. On the other hand, the scattering intensity with $E_i=60$ meV was weak. In order to improve the statistics, the signal was integrated in a wide Q range. In Fig. [3\(](#page-6-0)b), the intensity is averaged over $-0.2 \leq L \leq 0.2$, where the dispersion width is less than 1.5 meV, and entire K range measured. The range of K depends on the excitation energy, e.g., $0.5 \leq K \leq 5.5$ at 5 meV and $3.0 \leq K \leq$ 4.7 at 51 meV. Therefore, the effective magnetic form factor gradually decreases with increasing energy and H value, which reduces the averaged intensity. However, the overall dispersion curve can be generated with reasonably good statistics by this method. Figure [3\(](#page-6-0)b) clearly shows a single branch mode along H . The characteristic feature is that there are gap-like features at ∼11.5 meV and ∼28 meV, as shown in Fig. [3\(](#page-6-0)a) and (b), respectively. The observed magnon dispersion has been analyzed with the help of LSWT (at $T = 0$). For this purpose we have used Eq. (5). We fixed the ICs determined in Sec. III A $(J_{ac1}=0.12, J_{ac2}=2.26, \text{ and } D=-0.21 \text{ meV})$. The small J_c was fixed at 0 meV [\[21\]](#page-17-14) for simplicity, since the dispersion shown in Ref. [21](#page-17-14) yields tiny values of −0.061 and 0.037 meV for the NN and NNN couplings, respectively. J_{a1} and J_{a2} were determined from the dispersion along H. J_{a1} affects the magnon band width and J_{a2} the dispersion shape in the low-energy region. We found that $J_{a1}=-24$ and $J_{a2}=5.5$ meV reproduce the overall dispersion, as shown in Figs. [3\(](#page-6-0)a) and (b). The resulting α =0.23 is close to the critical α _c=1/4 (see also Sec. E in SM [\[7\]](#page-17-0) for the non-criticality of coupled chains).

Noteworthy, the increase by a factor of 3 of $|J_{a1}|$ found over the years (see Table 1). Thereby the NNN inchain exchange $J_{a2} \equiv J_2$ has been strongly raised too, while α shows a more moderate increase. Possible disorder effects on the enhancement of J_2 in CYCO, Li_2ZrCuO_4 , and $LiCu₂O₂$ are shown in Sec. I of SM [\[7\]](#page-17-0). The unusually small α -values in Table 1 reflect the previous nonoptimal fitting due to the large number of involved couplings. This at first glance surprising result is now well understood. The increase of $|J_1| \equiv |J_{1a}|$ by ~100 K as compared to that from a still non-optimal fit [\[24\]](#page-17-15) is very instructive. Good fits can be achieved only by probing the full dispersion, *i.e.* up to energies $E \geq 2|J_1|$, if the 3rd neighbor couplings $(J_3 \equiv J_{3a})$ are reasonably small [\[9\]](#page-17-1).

FIG. 3. Contour maps of the INS intensity $S(Q, E)$ for a CYCO single crystal measured at 6 K with $E_i=27$ meV (a) and 60 meV (b). Energy resolutions at the gap energies are estimated to be ∼0.7 meV at 11.5 meV with 27 meV E_i (a) and \sim 2 meV at 28 meV with 60 meV E_i (b). The resolution volumes projected to the E-Q space are shown around the gap energies with red ellipses. Filled circles: data points reported in Refs. [\[21,](#page-17-14) [22\]](#page-17-28). Solid curves: the dispersion relation calculated using LSWT with $J_1=-24$, $J_2=5.5$, $J_{ac1}=0.12$, $J_{ac2}=2.26$, and $D=-0.21$ meV.

C. Gap-like features

Figure [4](#page-6-1) shows six energy cuts in the $(HK0)$ plane through $S(Q, E)$, measured with $E_i=27$ meV (a)-(c) and $E_i=60$ meV (d)-(f). Since the dispersion is almost flat along K , there are line-shaped dispersions along K , as indicated by red rectangles. Around the gap energies 11.5 and 29 meV, the intensity becomes weak throughout the whole K range, indicating that the structure factor is modified considerably at these specific energies. In particular, the signal is very weak at 29 meV.

To show the intensity more quantitatively, the scattering intensity was plotted as a function of the excitation energy, as shown in Fig. [5\(](#page-7-0)a). The intensity was obtained by fitting the constant energy cut profile with a Gaussian function. In this plot, the integration ranges are $-0.1 \le L \le 0.1$ and $1.5 \le K \le 2.5$ for 27 meV E_i data and $-0.2 \le L \le 0.2$ and $2.5 \le K \le 3.5$ for 60 meV E_i data. The intensities from the two sets of data are normalized using the data points around 20 meV. The correction of the inverse spin-wave velocity was made to convert from the Q integrated intensity to the energy integrated $S(Q, E)$, plotted in Fig. [5\(](#page-7-0)a). The gap-like behavior is distinct at 11.5 and 28 meV. The dip is broader at 28 meV than at 11.5 meV, probably because of the combined effect of broader energy resolution (∼2 meV) and wider integration range along L , with dispersion width of ∼1.5 meV for the 60 meV E_i data. The broader energy resolution with 60 meV E_i also makes the gap at

FIG. 4. Energy cuts of the INS intensity in the $(HK0)$ plane measured at 6 K. Spectra at 9.5 (a), 11.5 (b), and 13.5 meV (c), measured with $E_i=27$ meV and integrated in the range of -0.1 < L <0.1. Spectra at 23 (d), 29 (e), and 35 meV (f), measured with $E_i=60$ meV and integrated in the range of $-0.2≤ L ≤ 0.2$. Red rectangles: the expected regions for line-shaped magnetic excitations along K.

FIG. 5. Energy (a) and T (b) dependences of the integrated intensities from constant energy cuts and scans. Solid and broken lines: guides to the eye. The thick horizontal bars near 11.5 and 28 meV in (a) are estimated instrumental resolution.

11.5 meV smeared out [Fig. [3\(](#page-6-0)b)], where the energy resolution is \sim 2.5 meV. Except the two dips, the intensity is almost constant throughout the whole energy range, which is expected for ferromagnets. One possibility to explain the gap behavior is the phonon-magnon coupling. Magnons can be interfered when a phonon mode is mixed. A magnon gap due to such an effect was actually reported in UO₂ [\[37](#page-17-29)[–39\]](#page-17-30), FeF₂ [\[40,](#page-17-31) [41\]](#page-17-32), and $\text{La}_{1-x}\text{Ca}_x\text{MnO}_3$ [\[42\]](#page-17-33). A gap behavior in the magnon dispersion was also reported for magnetite $Fe₃O₄$ below the Verwey transition temperature (T) , where charge ordering is expected [\[43\]](#page-17-34). The acoustic magnon mode shows a gap at 43 meV and $q=(0, 0, 1/2)$. The origin of the gap is still unknown, although both a charge-density wave and magnetoelastic coupling are considered as possible causes. We examined the phonon dispersions of CYCO carefully. A weakly modulating optical phonon mode along both H and K directions is observed around 11.5 meV, as shown in Fig. [6.](#page-7-1) This phonon can interfere with the magnon around 11.5 meV. If there exists strong magnon-phonon coupling, a bending of the dispersion curve is usually observed as well as an excitation gap [\[39\]](#page-17-30). No such bending was observed in the present measurements. As mentioned in Sec. III, the magnetic signal from the small magnetic moment $(S=1/2)$ in CYCO is weak so that we need to integrate the signal in a wide range of Q region to clearly show the dispersion curve. This integration is likely to

make the bending unclear since the optical phonon mode around 12 meV is slightly dispersive. In stark contrast, near 28 meV there is no phonon mode which would intersect the magnon dispersion (Fig. [6\)](#page-7-1). Hence, the latter gap cannot be ascribed to phonon-magnon coupling. As shown above, the $CuO₂$ chains are distorted due to the misfit with the Ca-Y layer. Since the O distortions suggested in Ref. [8](#page-17-11) are not so small, some changes of the dynamical structure factor and of the spin-wave dispersion might occur. Our LSWT calculations suggest nevertheless a weak change in the dispersion starting from a homogeneous chain but more pronounced changes in the intensity leading even to the opening of quasi-gaps have been found (see also Sec. A in SM [\[7\]](#page-17-0) and below). Much more systematic studies of inhomogeneous models including also Dzyaloshinskii-Moriya (DM) couplings [allowed in that case] and experimental refinement of the structural model are desired to settle quantitatively this very complex problem. Similar studies for CYCO might be of interest too. As first insights we show in Sec. V B and in Sec. A of SM [\[7\]](#page-17-0) the effect of various simple inhomogeneities. Since J_{a1} amounts to -24 meV (278 K), which is much larger than $T_N=29.5$ K, a steep magnon dispersion is still expected above T_N along the chain. It is also expected that the dominant IC J_{ac2} (=2.26 meV) becomes less effective above T_N . Therefore, the effect of the ICs can be elucidated by checking whether the gap-like behavior persists above T_N . Figure [7](#page-8-1) displays constant- E spectra at 7, 12, 20, 28, 38, and 45 meV,

FIG. 6. Contour maps of the phonon dispersions along $H(a)$ and K directions (b) measured at 300 K with $E_i=60$ meV. The intensities plotted in (a) and (b) are integrated in the range of $-6.5 \le K \le -4.5$ and $-0.5 \le H \le 0.5$, respectively.

measured at 6 and 60 K on HB-1. The magnetic excitations persist even at 60 K, although the peak width becomes broader. The change of intensity depends on energy. The T-dependence of the integrated intensities is shown in Fig. [5\(](#page-7-0)b). Clearly, the intensities at 12 and 28 meV are T-independent. On the other hand, those at other energies decrease with increasing T . The integrated intensities at 6 and 60 K are shown as a function of energy in Fig. [5\(](#page-7-0)b). The intensities at 6 K are consistent with those measured on HYSPEC. Since the intensities at 12 and 28 meV are unchanged and those at other energies are reduced, the gap-like behavior becomes less distinct at 60 K. Hence, the gap-like behavior at 28 meV is affected by the AFM ICs and is in fact a quantum effect specific for FM chains, as suggested above. The gap-like behavior at 11.5 meV may be due to magnon-phonon mixing, as mentioned above. Then, the magnon-phonon coupling strength might be also weakened at $T > T_{\rm N}$.

In view of the recently found strong renormalization of the charge transfer energy Δ_{pd} in the RIXS spectra of LICO by high-frequency O derived modes at 74 meV [\[44\]](#page-17-35) and near 70 meV for $Ca_{2+5x}Y_{2-5x}Cu_5O_{10}$ with $x=0$, 0.3, and 0.33 [\[45\]](#page-17-36) (the latter two being hole (h) -doped derivatives of CYCO), the present observation for another active phonon at much lower energy is interesting and deserves to be analyzed also in the general context of electron-phonon coupling in strongly correlated systems. Here, INS brings a new low-energy scale not resolved in RIXS studies. Since there is no low-energy gap

FIG. 7. Constant energy scans at $(H, -2.8, 0)$ measured at $E=7, 12, 20, 28, 38, \text{ and } 45 \text{ meV at } T=6 \text{ and } 60 \text{ K. Solid}$ lines are the results of fits with a Gaussian function. r.l.u. represents reciprocal lattice units. To emphasize the peak structure, the vertical scale of the 28 meV data is different from the others.

near 11 meV seen in the INS data of Ref. [9](#page-17-1) for LICO, we suggest that it might be an optical phonon derived from the diatomic Ca/Y chain. Then a down shift of that phonon induced gap-like feature might be expected for the sister compound $Ca_2Nd_2Cu_5O_{10}$, having a slightly reduced $T_N = 24$ K [\[46\]](#page-17-37), and even better with nonmagnetic isovalent substitutions of Y with Lu or Sc. The insertion of magnetic rare earth ions provides additional insight into the cuprate magnetism due to the interplay with the high-spin rare earth subsystem and the check of intrinsic quantum effects. Substitution with Pr might also modify the magnetic structure of the chains through a decrease of the O hole numbers n_p due to the competing covalency with Pr 4f electrons, as it happens in $PrBa_2Cu_3O_{7-\delta}[47]$ $PrBa_2Cu_3O_{7-\delta}[47]$, with dramatic consequences for the corresponding pd exchange integrals [Eqs. $(5,6)$ in Ref. [\[47\]](#page-18-0). In particular, a strong decrease of J_1 might occur, if sizable O 2p-Pr 4f covalency is present. An ordering of the rare earth magnetic moments well above few K (typical for dipole-dipole couplings) in the Pr-based quasi-2D cuprates might explain details of the magnetic response in $Ca_2Nd_2Cu_5O_{10}$ [\[46\]](#page-17-37). A systematic study of the whole rare earth series would be interesting. To the best of our knowledge, $Ca₂R₂Cu₅O₁₀$ (R=Dy and Gd) have been synthesized but their physical properties were not studied so far.

V. THEORY

In addressing the main experimental findings, this section consists of three parts. A and B are devoted to two different phenomenological simulations of the detected mid gap, while part C deals with microscopic aspects and consequences of the observed large magnon dispersion.

A. The mid gap-like feature as a quantum effect from diagonal AFM interchain coupling

To understand the gap-like behavior around 28 meV for flat chains, we have first calculated the dynamical spin structure which corresponds to the experimental INS affected also by the form factor. The former is defined as

$$
S(q, E) = \sum_{\nu} |\langle \psi_{\nu} | S_q^{\pm} | \psi_0 \rangle|^2 \delta(E - E_{\nu} + E_0), \quad (7)
$$

where S_q^{\pm} is the Fourier transform of the spin-flip operator S_i^{\pm} at site i while $|\psi_{\nu}\rangle$ and E_{ν} are the *v*-th eigenstate and eigenenergy of the system, respectively $(\nu = 0$ corresponds to the ground state). Two-chain clusters $(32 \times 2 \text{ sites})$ were studied by using the Dynamical Density Matrix Renormalization Group (DDMRG) method [\[48\]](#page-18-1). Open boundary conditions were applied along the chain direction whereas periodic boundary conditions were applied perpendicularly to the chain axis. Then, for an effective two-chain model, ISs are taken to be $2J_{ac1}$ and $2J_{ac2}$ instead of J_{ac1} and J_{ac2} . The obtained spectra for some sets of ICs are shown in Fig. [8.](#page-9-0) The overall dispersion is well described by LSWT with Eqs. (2-6) and $J_{ac1}+J_{ac2} \approx 2.29$ meV [\[24\]](#page-17-15). Especially for $J_{ac1} = 2.29$ meV, $J_{ac2} = 0$, a gap-like behavior around $E = 30$ meV is clearly seen. This gap position is close to the INS-value of 28 meV. The gap-like feature near 28 meV can be understood as a splitting of the excitation levels at an intermediate momentum $q \sim \pi/2$, induced by finite ICs. Let us qualitatively illustrate this by considering the spin configurations at $q = 2\pi/5$. For simplicity, we take two chains coupled by J_{ac1} and employ an Ising-like picture. A representative snapshot of the ground state $|\psi_0\rangle$ is schematically described in Fig. [9\(](#page-9-1)a), where the spins are ferromagnetically aligned along the chain and antiferromagnetically between adjacent chains within the ac-plane. Roughly speaking, the operators $S_{q=2\pi/5}^{\pm}$ flip spins on every 5th site on each chain. Thus, spin configurations like \bf{A} and \bf{B} in Figs. [9\(](#page-9-1)b) and (c) are created in the excited states $|\psi_{\nu}\rangle$ when S_q^{\pm} is applied to the ground state $|\psi_0\rangle$. Note that the energies differ between Figs. [9\(](#page-9-1)b) and (c). The energy difference comes from interchain contributions which depend on the relative positions of the flipped spins. On the other

FIG. 9. Schematic spin configurations of (a) the ground state and (b)(c) excited states with $q = 2\pi/5$. Parallel spins are connected by dotted lines and the numbers of the parallel spin pairs are different between (b) and (c). (d) Energy contributions by IC J_{ac1} and their weights in the excited states. q is in a unit of π .

FIG. 8. DDMRG results of the dynamical structure factor $S(q, E)$ with $J_1 = -24$, $J_2 = 5.5$ meV for (a) $J_{ac1} = J_{ac2} = 0$ meV, (b) $J_{ac1} = 2.29, J_{ac2} = 0$ meV, (c) $J_{ac1} = 0, J_{ac2} = 2.29$ meV, and (d) $J_{ac1} = 1.537, J_{ac2} = 0.763$ meV. The dotted lines denote the magnon dispersions ω_q .

FIG. 10. DDMRG results of the dynamical correlation functions $S(q, E)$ at (a) $q = 0.15\pi$, (b) 0.67π , and (c) 0.97π . Insets display the entire range of the intensity.

hand, the intrachain contributions are the same. For Figs. [9\(](#page-9-1)b) and (c) the interchain contributions per site are $E_{ac1}(\mathbf{I}) = -\frac{2}{3}J_{ac1}$ and $E_{ac1}(\mathbf{II}) = -\frac{1}{3}J_{ac1}$, respectively. This gives a splitting of the excited energy levels E_{ν} in Eq. [\(7\)](#page-8-2). The ratio of the probability weights is 2:1 since it is proportional to the number of possible combinations of ICs. For arbitrary q we obtain $E_{ac1}(I) = \left(\frac{q}{\pi} - 1\right) J_{ac1}$ and $E_{ac1}(\text{II}) = \left(\frac{2q}{\pi} - 1\right) J_{ac1}$, weighted by $w(\text{I}) = \frac{q}{\pi}$ and $w(\text{II}) = 1 - \frac{q}{\pi}$, respectively. In Fig. [9\(](#page-9-1)d) we plot E_{ac1} and w vs. q. The splitting is zero at $q = 0$ and increases with increasing q. Although the splitting is largest near $q = \pi$, it would be less represented in the spectral functions due to the polarized weights, i.e., $w(I) \gg w(II)$. As a result, such a splitting is most visible around intermediate q . To confirm this, we plot the dynamical correlation functions $S(q, E)$ at $q = 0.15\pi$, 0.67π , and 0.97π for several sets of the ICs in Fig. [10.](#page-9-2) Without ICs $(J_{ac1} = J_{ac2} = 0)$ no splitting is seen for any q [Fig. [8\(](#page-9-0)a)]. But for finite ICs, the splitting is clearly confirmed at the intermediate momentum $q = 0.67\pi$ [Fig. [10\(](#page-9-2)b)]. This feature is most obvious for $J_{ac1} = 2.29$ meV and $J_{ac2} = 0$ [Fig. [8\(](#page-9-0)b)]. The splitting causes a continuum by quantum fluctuations and it appears as a broadening of the intensity in the spectrum. At lower $(q \approx 0)$ and higher $(q \approx \pi)$ momenta, the peak height is reduced by the ICs, but they are still sharp, only with a slight broadening $[Figs. 10(a)]$ $[Figs. 10(a)]$ $[Figs. 10(a)]$ and (c)]. The significant broadening at the intermediate momenta $(q \approx \pi/2)$ provides a lack of q integrated intensities at intermediate energies E, which corresponds to the experimental dip of the Q integrated intensity. The broadening is less pronounced for other ICs, pointing to a gap-like behavior most pronounced for a larger J_{ac1}/J_{ac2} ratio. In fact, the gap-like feature is less obvious for $J_{ac2} > J_{ac1}$ [Figs. [8\(](#page-9-0)c) and (d)].

B. Gaps from inhomogeneous $CuO₂$ chains

Here we briefly illustrate where gaps in the magnon curve can appear within the adopted period-10 scenario for the cationic Ca/Y chain system, ignoring thereby ICs for simplicity. Let us assume that the lattice modulation leads to small deviations from the ion positions in the flat homogeneous chain, i.e. $R+s \approx na$ "in average". We consider a single chain where the structural modulations cause an alternation of the exchange couplings. The spin-Hamiltonian of a chain with a basis reads

$$
\hat{H}_{ch} = \frac{1}{2} \sum_{R,s,r_s} \left[J_{r_s} \hat{\mathbf{S}}_{R+s} \hat{\mathbf{S}}_{R+s+r_s} + D_{r_s} \hat{S}_{R+s}^z \hat{S}_{R+s+r_s}^z \right],
$$
\n
$$
\approx \sum_{R,s} \left[\varepsilon_{R+s} a_{R+s}^\dagger a_{R+s} + \frac{1}{2} \sum_{r_s} J_{r_s} a_{R+s}^\dagger a_{R+s+r_s} \right],
$$
\n(8)

$$
\varepsilon_s \equiv \frac{1}{2} \sum_{r_s} \left(J_{r_s} + D_{r_s} \right). \tag{10}
$$

where R counts the cells and s the sites within the cell, r_s defines the neighbors coupled with the site s ; in Eq. (9) , we expressed the spin-Hamiltonian in terms of spin deviation operators using the Holstein-Primakoff bosonization assuming a FM ground state of the chain.

We have calculated the structure factor in the large Brillouin zone $-\pi/a < q \equiv 2\pi h < \pi/a$

$$
S(q,\omega) \propto -\mathrm{Im}\left(\left\langle \left\langle \hat{S}_q^x | \hat{S}_{-q}^x \right\rangle \right\rangle_{\omega} + \left\langle \left\langle \hat{S}_q^y | \hat{S}_{-q}^y \right\rangle \right\rangle_{\omega}\right) (11)
$$

$$
\approx -\frac{1}{2}\mathrm{Im}\left(\left\langle \left\langle a_q | a_q^\dagger \right\rangle \right\rangle_{\omega} + \left\langle \left\langle a_q | a_q^\dagger \right\rangle \right\rangle_{-\omega}\right),
$$

$$
\text{where, } a_q = \frac{1}{\sqrt{Nn}} \sum_{R,s} e^{-iq(R+s)} a_{R+s} \equiv \frac{1}{\sqrt{n}} \sum_s e^{-iqs} a_{q,s},
$$

where N is the number of cells, n is that of sites per cell.

In Fig. [11](#page-10-1) we show how a two-fold SS of the J_1 -values (compatible with a period-10 SS) affects the intensity of the calculated LSWT dynamical spin structure factor $S(q,\omega)$. Notice the absence of shadow bands and the slightly changed dispersion visible in the height of the maxima (lowered here by ∼5 meV) probably due to the omitted ICs. In order to "fit" the observed dispersion and the main gap near 28 meV, the remaining couplings have to be changed too. Thus, the SS does not only open gaps as expected, but it also changes the dispersion also far from the gap [\[49\]](#page-18-2).

C. Theoretical aspects of large FM J_1 -values

Despite some exceptions, including $CuGeO₃$ (all due to large Φ and the presence of strong crystal fields), J_1 is usually FM (see Table I in SM [\[7\]](#page-17-0)). J_1 = -24 meV in CYCO is remarkable. It exceeds J_1 of LICO

FIG. 11. (Color online) The dynamic structure factor $S(q,\omega)$ for the J_1 - J_1' - J_2 model. $J = -26.38$, $J_2 = 5.5$, $\delta = 3$, and $D = -1.5$ meV. Thin line: the two-fold supercell with $\delta = 0$ (see analogous features in Fig. S1 of SM [\[7\]](#page-17-0) for the case of a five-fold supercell).

 $(-19.6 \pm 0.4 \text{ meV})$ and also that of Li₂ZrCuO₄ slightly (−23.5 meV) [\[4\]](#page-16-3). Since a highly dispersive magnon gives dynamical evidence for a strong FM NN J_1 , it deserves a phenomenological and microscopical analysis and verification by other data. We start with an analysis of the magnetic susceptibility $\chi(T)$ and then continue with microscopic aspects of the closely related LICO with a simpler but similar averaged structure as CYCO. The validity of the large J_1 -regime is also confirmed by several DFT+ U calculations for LICO [\[9\]](#page-17-1).

1. Consequences for the magnetic susceptibility

Ignoring the tiny FM couplings along the b axis, CYCO is a 2D Néel system with a relatively large FM Curie-Weiss (CW) temperature:

$$
\Theta_{\text{cw}} \approx -\frac{1}{2} \left[J_{a1}(1-\alpha) + z(J_{ac,1} + J_{ac,2}) \right] \approx 80 \text{ K}, (12)
$$

where 2z measures the number of NN and NNN sites on the adjacent chains. Without the ICs one would arrive at $\Theta_{\text{cw}} \approx 107$ K. To show that the exchange values determined from our refined INS measurements are fully compatible with the $\chi(T)$ -data, we reproduce in Fig. [12](#page-11-1) the data from Ref. [50.](#page-18-3) Similarly to our recent analysis of $\chi(T)$ for LICO [\[11\]](#page-17-2), we have fitted the data in the range $240 < T < 300$ K with the expression

$$
\chi(T) = \frac{5N_A g^2 \mu_B^2}{k_B} \chi_{10}(T), \quad \chi_{10}(T) = \sum_{n=1}^{10} \frac{c_n}{T^n}, \quad (13)
$$

where $\chi_{10}(T)$ is the 10th-order HTE [\[51,](#page-18-4) [52\]](#page-18-5). N_A is the Avogadro number (one mole of CYCO contains $5N_A$ spins), μ_B is the Bohr magneton, and g is the gyromagnetic ratio. A small anisotropy of the couplings as well as the tiny inter-plane couplings $(J_b/k_B, J_{ab}/k_B < 1 \text{ K})$ is

FIG. 12. The inverse spin susceptibility for a magnetic field along the $a(x)$, $b (+)$, and $c(0)$ axes of CYCO (from Ref. [\[50\]](#page-18-3)). Dashed double-dotted line: fit by the 10th-order HTE Eq. (13) ; solid line: $[5,5]$ Padé approximation. Short-dashed line: the exact CW asymptotic curve. Inset: Extended Trange up to 1000 K.

unimportant for the $\chi(T)$ -analysis and was ignored here. Evidently, the HTE series, Eq. [\(13\)](#page-11-2), fits well the data for $g_a \approx 2.04$, $g_b \approx 2.28$, $g_c \approx 2.02$ above $T \sim 240$ K. We recall that an ESR study on powder samples of CYCO reports $g_b \approx 2.31$ and $g_{\perp} \approx 2.03$ [\[53\]](#page-18-6). The [5,5] Padé approximation fits the curve down to $T \gtrsim T_N$. Fig. [12](#page-11-1) also shows the CW asymptotic curve, reached only at $T \geq 1000$ K, as shown in the inset. From this comparison the strong IC in CYCO is evident, explaining the absence of criticality, which manifests itself in a strong upshift of α_c (see SM [\[7\]](#page-17-0)) and in the large moments seen in neutron diffraction in the quasi-2D Néel state below the high $T_N \approx 30$ K. In contrast, LICO, $Ca_2Nd_2Cu_5O_{10}$, and CuAs₂O₄ might be closer to the 1D α_c due to $\alpha > 1/4$ and a much weaker AFM IC, which is even there necessary to stabilize a FM alignment. For LICO the analogous AFM IC $J_{bc,1} + J_{bc,2}$ is only 9 K (0.8 meV) per bond, where the b axis is the chain direction.

To avoid such strange results found often in the literature from improper $\chi(T)$ fits (e.g. $\Theta_{\rm CW}=2$ K [\[14\]](#page-17-4) for CYCO), low-T INS (or RIXS) probing the magnon dispersion, combined with magnetization data up to the saturation field, allows to extract more reliable couplings. The former should be used to cross-check any exchange set derived from $\chi(T)$, if multiple J's are involved. Noteworthy, for $\text{La}_6\text{Ca}_8\text{Cu}_{24}\text{O}_{41}$ [\[54\]](#page-18-7) containing both undoped TLL and $CuO₂$ chains just like in CYCO but with a smaller Φ , a tiny $\Theta_{\rm CW}$ =21±1 K has been reported [\[54\]](#page-18-7) from linear fits of $1/\chi(T)$ data up to 300 K only. But from that $\Phi \approx 91.6^{\circ}$ K, according to Eqs. (14,16,18), even a *larger* FM J_1 is expected. Then, with a smaller AFM IC due to the low $T_{\text{N}} \approx 12.5 \text{ K}$ and a similar misfit from La³⁺ replacing Y^{3+} , as in CYCO, a much *larger* $\Theta_{\rm CW} \sim 100 \gg 21$ K is requested. Another related issue is the incorrectly predicted critical h-doping when $\Theta_{\rm CW}$ changes its sign, i.e., for weak h-doping a sizable magnon dispersion and hence $\Theta_{\text{CW}} > 0$ are still expected, at odds with the opposite sign provided so far in the literature from improperly fitted asymptotics. Our dispersionlaw (with a generalization for frustration perpendicular to the AFM 2nd adjacent chain [\[55\]](#page-18-8) as proposed for $La₅Ca₉Cu₂₄O₄₁$ allows to separate chains from ladders dominant above their spin gap [\[56\]](#page-18-9).

Since frustrated FM systems are of general interest in the field of quantum magnetism and statistical physics [\[57–](#page-18-10)[59\]](#page-18-11), hopefully, our work will initiate further work. In particular, systematic studies of critical systems like CuAs₂O₄ with $\alpha \approx 0.27$ [\[60–](#page-18-12)[62\]](#page-18-13) will give insight into the role of quantum fluctuations (see Table I in SM [\[7\]](#page-17-0)). In this context the study of other thermodynamic properties as the specific heat and thermal conductivity might be useful to check the coupling constants derived here. Other examples of weakly h-doped compounds [\[34,](#page-17-26) [63\]](#page-18-14) will be addressed elsewhere.

2. QC and DFT analysis for LICO – comparison with Mizuno et al. [\[15\]](#page-17-5)

The most notable theoretical finding of the present work with respect to an empirically large FM J_1 value is several microscopic FM intersite couplings behind the spin-chain model, obtained by QC and DFT-based analysis. Given the complex real structure of CYCO, we will present theoretical studies for LICO since its structure is very close to the averaged idealized structure of CYCO. In fact, their C-O-Cu bond angles Φ differ by $\approx 0.1^{\circ}$, whereas the Cu-Cu distances $d_{\rm Cu-Cu}$ by 0.04 Å and the Cu-O bond length d_{Cu-O} by ≈ 0.025 Å only [\[35,](#page-17-38) [64\]](#page-18-15). We therefore believe that the NN results for LICO can be transferred to CYCO with an uncertainty of only a few percents. A semi-quantitative general analysis including several cuprates will be given elsewhere. Providing refined theoretical results for LICO very much simplifies the modeling and allows a critical check of the parameters adopted in Ref. [15](#page-17-5) for LICO. It is convenient to decompose the total J_1 into a FM and an AFM contribution:

$$
J_1 = J_1^{\text{FM}} + J_1^{\text{AFM}}, \qquad \text{with} \qquad (14)
$$

$$
J_1^{\text{FM}} \approx J_1(K_{pd}, K_{pp}) + J_1(J_H) - K_{dd}
$$
 and (15)

$$
J_1^{\text{AFM}} \approx J_{\Phi} + \frac{4t_{dd}^2}{U_d - V_{dd}},\tag{16}
$$

where K_{pd} , K_{pp} , and K_{dd} denote direct FM *intersite* Coulombic (Heisenberg) exchange integrals and J_H is the indirect onsite Hund's-rule coupling from each of the bridging O's. For the corresponding pd-Hamiltonian, see e.g. Refs. [15,](#page-17-5) [44,](#page-17-35) [65,](#page-18-16) and [66](#page-18-17) and Fig. 13. The generalization including also the Cu-Cu intersite terms K_{dd} ,

FIG. 13. p and d orbitals and transfer integrals of a $CuO₂$ cluster treated exactly within a planar Cu 3d O 2p five-band Hubbard model: Cu $3d_{xy}$ 1 (left) and 6 (right); intermediate O $2p_{x,y}$ 2,3 (upper) 4,5 (lower). The chain is along the horizontal direction $(x \text{ axis})$. For the sake of clarity the hopping t_{dd} between two Cu-sites along the x axis is not shown.

FIG. 14. Superexchange from the dd channel J_{dd} vs. the direct intersite FM exchange K_{dd} for various direct Cu-Cu hoppings $| t_{dd} |, e.g. t_{dd} = -144 (-160)$ meV by LDA (QC) mapping (both ignored in Ref. 15; for details, see Sec. C in SM [\[7\]](#page-17-0)) (left) and adopted onsite Hubbard U_d values (right). Vertical d

ashed-dotted lines are the QC result for LICO.

 V_{dd} , and the hopping t_{dd} (all ignored there) is straightforward. For enlarged K_{pd} and K_{pp} in the 2D geometry of La_2CuO_4 , see Refs. [\[16,](#page-17-6) [17\]](#page-17-7).

Turning to the various FM sources in J_1^{FM} entering Eq.[\(15\)](#page-12-0), we note that in general all fundamental FM exchange couplings are known by order of magnitude only: $1 \text{ meV} < K_{dd} \ll K_{pp} \ll K_{pd} \ll J_H < 1.5 \text{ eV}$. K_{pd} , K_{dd} , and K_{pp} are reported here for the first time for chain cuprates. Refining the estimates given above, our QC result is $K_{dd} \approx 4.2$ meV, indeed much smaller than various $K_{pp} < 20$ meV, and $K_{p_xd} \approx K_{p_yd} \approx 105$ meV (for details see Sec. C in SM [\[7\]](#page-17-0)). J_H somewhat exceeds the value of 600 meV adopted in Ref. [15.](#page-17-5) According to direct calculations and various empirical estimates, in particular, for related superoxides [\[67\]](#page-18-18), a bit enhanced slightly screened J_H -value ∼0.7 eV is even more realistic [\[68\]](#page-18-19). K_{pd} turns out to be the most important FM microscopic interaction for J_1 since a weak enhancement of a moderate J_H cannot explain the more than twice as large J_1 at fixed $K_{pd} = 50$ meV universally adopted by Mizuno et al. [\[15\]](#page-17-5) (including also CYCO) who found $J_1 = 100$ K for LICO at odds with $|J_1| \geq 230$ K derived without fully probed by INS [\[9\]](#page-17-1) magnon-dispersion leaving therefore some room for further refinements in future if the same sophisticated intensity analysis as in Sec. IV for CYCO could be applied there too.

Our DFT and QC analysis succeeded also in the determination of a remarkable direct dd transfer integral $\mid t_{dd} \mid$, 144 and 160 meV, respectively, due to the short NN Cu-Cu distance $d_{\text{Cu}-\text{Cu}} \approx 2.86$ Å. A finite t_{dd} (ignored in Ref. [15\)](#page-17-5) provides an additional AFM contribution if

$$
|t_{dd}^c| > 0.5\sqrt{(U_d - V_{dd})K_{dd}}.
$$
 (17)

Typically t_{dd} is of the order of $+5$ meV (Fig. 14), yielding further arguments for a larger K_{pd} . With this AFM dd channel alone, $J_1 \approx 165$ K would be achieved when fixing K_{pd} . The precise value of U_d (actually unknown) affects strongly the efficiency of the dd AFM superexchange, as shown in Fig. 14, especically for FFESC cuprates with a short Cu-Cu distance. It markedly exceeds that for typical charge transfer insulators $(U_d \gg \Delta_{pd})$, where it is negligible due to the tiny t_{dd} . Also a realistic intersite Cu-Cu Coulomb interaction V_{dd} of 0.3 to 0.5 eV [\[69](#page-18-20)[–72\]](#page-18-21) might be relevant (compared to Eqs. [\(23\)](#page-16-6), [\(24\)](#page-16-7), and (S24) and Sec. J in SM [\[7\]](#page-17-0)). The dd channel is of interest for further theoretical studies since it gives a new Cu-Cu-O-Cu exchange path in addition to the known Cu-O-O-Cu one, with possible modifications of J_2 .

3. Comparison with other cuprates and general trends

Looking for an empirical support, we will compare our J_1 -values with those of other cuprates and provide thereby a critical analysis for the exclusive attempt to present a general description of edge-sharing chain cuprates and ladders performed 20 years ago [\[15\]](#page-17-5), when almost no detailed microscopic studies were known. We will show that it is timely to reconsider not only LICO and CYCO since our criticism concerns the unjustified use of a systematically underestimated, universal, and isotropic direct FM Cu-O exchange $K_{pd} = 50$ meV [\[15\]](#page-17-5) with serious consequences for the FM J_1 . It is in fact of general interest for all cuprates with edge-sharing elements, including infinite $CuO₂$ chains, ladders, coupled Cu_nO_{n+2} $(n = 2, 3, 4, ...)$, and other finite edge-sharing $CuO₄$ units (see Table I of SM [\[7\]](#page-17-0)). This provides the basic picture of the interactions in cuprates and the minimal stage with the 5-band Hubbard pd-model in terms of which their fundamental physical properties must be discussed.

The magnitude of the NN exchange is important for any quantum magnet, in particular, for cuprates with edge-sharing elements present in single and double (zigzag) chains, since it determines or strongly affects the role of frustration measured here by α in Eq. (1). As mentioned above, $\alpha \gg 1$ can be treated as an effective AFM system with slightly renormalized J_2 -values ignoring the finite α^{-1} -value at all. Unbiased QC and DFT studies allow insight into the magnitude of J_1 , despite some uncertainty due to certain correlation and spin-orbital effects ignored here. With this in mind, we select and comment on available data for various cuprates in Table I of SM [\[7\]](#page-17-0). Naturally, Φ near 90°, the Cu-Cu $d_{\text{Cu}-\text{Cu}}$ and the Cu-O distances within the generic $CuO₄$ plaquettes as well as the strength of the crystal field, strongly affected by the charge and position of the surrounding cations near the bridging O, are important physical ingredients.

Similar or even larger J_1 -values have been observed or predicted by theoretical studies [\[73–](#page-18-22)[75\]](#page-18-23) so far only in (i) ladder compounds (J_1) corresponding to the interladder couplings), (ii) double-corner-sharing (zigzag) chain compounds with predicted J_1 values of -28 to 55 meV, and

(iii) alternating FM-AFM chain systems $Li_3Cu_2SbO_6$ [\[76\]](#page-18-24) and $\text{Na}_3\text{Cu}_2\text{Sb(Te)}\text{O}_6$ [\[73\]](#page-18-22) with $J_1 = -23.56$ meV. $Li_3Cu_2SbO_6$ [\[76\]](#page-18-24) and $Na_3Cu_2SbO_6$ [\[73,](#page-18-22) [77,](#page-18-25) [78\]](#page-18-26), with similar AFM couplings but very different relatively large FM J_1 values, are particularly striking. In view of its large $\Phi = 95.27$ °, an AFM or very weak FM coupling would be expected according to Ref. [15.](#page-17-5) Hence, an enlarged FM interaction and/or a strongly suppressed AFM exchange via the bridging O must be responsible for the resulting FM $J_1 = -17.8$ meV, twice of -8.6 meV estimated for $\Phi = 93.97^{\circ}$ (the case of LICO) [\[15\]](#page-17-5). The smaller value of −12.7 meV derived from INS data [\[78\]](#page-18-26) is probably due to the too small limiting energy of 14.7 meV probed there, similarly as for CYCO previously. There the $CuO₂$ chains are distorted by a similar cationic misfit, as in CYCO, in the combined TLL and chain system La₆Ca₈Cu₂₄O₄₁. Due to the Φ closer to 90° also somewhat larger J_1 values are expected. Unfortunately, its chain magnon component is not yet fully understood, hampered by the dominant two-spinon contribution and the h-doping in some cases [\[79\]](#page-18-27). Our present J_1 -value strongly exceeds an earlier estimate of −2.15 meV for CYCO and that of −8.6 meV for LICO [\[15\]](#page-17-5). Furthermore, it is at odds with $\alpha = 2.2$ [\[15\]](#page-17-5) and puts it close to α_c . The results from QC analysis for LICO show a markedly enlarged J_1 by 42% as compared with Ref. [15](#page-17-5) but not enough when compared with the empirical and DFT-derived values with a still larger 130% enhancement. For the TLL $SrCu₂O₃$ a less dramatic but also enhanced value by about 15% was predicted which however is caused by the smaller Φ (see also Ref. [79\)](#page-18-27). For $\Phi = 90^{\circ}$, generalizing an expression for J_2 by the account of a moderately enhanced direct FM pd intersite h-exchange K_{pd} , $\alpha \approx 3$ to 4 is estimated, as shown below. Then based on the empirical $J_2 \approx 166$ meV, $J_1 \approx -55$ to -41.5 meV can be estimated for SrCu₂O₃ as an upper bound and we would arrive at $J_1 \approx -38 \pm 1$ meV as a realistic estimate. Note that again it exceeds the value from Ref. [\[15\]](#page-17-5), now by $\approx 25\%$ and $J_1^{\text{FM}} = -38 \text{ meV}$ like in LICO. Thus, one has enough reasons to doubt the results given there for various chains and ladders. Then one may ask: "What is the reason for the material dependent systematic underestimates given in Ref. [\[15\]](#page-17-5)?". The numerous examples discussed above require a strong material dependence which is unlikely for J_H . But its efficiency is lowered for split O onsite energies due to strong crystal field effects. In addition the usual indirect superexchange J_{Φ} via the bridging O increases and one can easily arrive at small J¹ values ∼ 80-100 K, as realized e.g. in linarite with a moderate $\Phi \approx 93^{\circ}$. Then K_{pd} remains the main microscopic source for FM J_1 values in cases as LICO since only a weak material dependence of J_H (governed mainly by U_p) is expected. The two interacting bridging O ions cause a slower convergency of standard perturbation theory, used previously to the effect of K_{pd} and J_H . Hence, exact diagonalizations on small clusters are used to study this point, as shown in Figs. 15 and 16.

Now we will show semi-quantitatively that a realistic microscopic scenario for such large FM J_1 -values well exceeding −200 K can be proposed. Our arguments will be expressed in terms of the most natural and vivid multiband Cu 3d O2p Hubbard model with five magnetically active orbitals in the xy-plane containing ideally flat $CuO₂$ chains, namely, the single Cu $3d_{xy}$ orbital and

the two O $2p_x$ and $2p_y$ orbitals for each of the two bridging O (Fig. 13), where x is the chain-axis (a axis in Fig. 1) and the y-axis corresponds to the crystallographic c axis (see also SM [\[7\]](#page-17-0)). Since this model contains already a large set of partly not precisely known interactions, we consider below also an effective single-band model with a reduced number of parameters. The first pd term of the AFM contribution according to standard 4th-order perturbation theory [\[80,](#page-18-28) [81\]](#page-18-29) reads

$$
J_{\Phi} \approx \frac{4t_{p_x d}^2}{\left(\Delta_{p_x d} + V_{p_x d}\right)^2} \left[\frac{2t_{p_x d}^2}{\Delta_{p_x d} + V_{p_x d}} + \frac{1}{U_d}\right] - \frac{4t_{p_y d}^2}{\left(\Delta_{p_y d} + V_{p_y d}\right)^2} \left[\frac{2t_{p_y d}^2}{\Delta_{p_y d} + V_{p_y d}} + \frac{1}{U_d}\right],
$$
\n
$$
\approx \frac{4t_{p d}^2 \sin^2 \Phi/2}{\left(\Delta_{p d} + V_{p d}\right)^2} \left[\frac{2t_{p d}^2 \sin^2 \Phi/2}{\Delta_{p_x d} + U_p} + \frac{1}{U_d}\right] - \frac{4t_{p d}^2 \cos^2 \Phi/2}{\left(\Delta_{p_y d} + V_{p d}\right)^2} \left[\frac{2t_{p d}^2 \cos^2 \Phi/2}{\Delta_{p_x d} + U_p} + \frac{1}{U_d}\right],
$$
\n
$$
\approx -\frac{4t_{p d}^2}{\left(\Delta_{p d} + V_{p d}\right)^2} \left[\frac{2t_{p d}^2}{\Delta_{p d} + U_p} + \frac{1}{U_d}\right] \cos \Phi,
$$
\n(18)

ignoring for shortness the O-O NN hopping terms (compare to Eqs. (S46) and (S47) in SM [\[7\]](#page-17-0) for the case $\Phi = 180^\circ$, where the last Eq. is obeyed in the isotropic limit). It vanishes for $\Phi = 90^{\circ}$, if one ignores the slightly different O $2p_x$ and $2p_y$ onsite energies due to the weak crystal field [\[72\]](#page-18-21). In contrast, in cases of strong crystal fields or the presence of ligands, even at $\Phi = 90^{\circ}$ there is a significant AFM contribution that reduces the total value of J_1 . For the experimental value of Φ and by adopting the parameters of Ref. [15,](#page-17-5) i.e. ignoring first of all the intersite Coulomb interaction V_{pd} , one has $J_{\Phi} \approx 200$ K.

Since J_1^{AFM} depends markedly on Φ , the total J_1 may change its sign at Φ values far enough from 90 $^{\circ}$, which happens in fact in several cases different from LICO and CYCO (see Table I in SM [\[7\]](#page-17-0)).

 K_{pd} and J_H occur in reverse orders of the (t_{pd}/Δ_{pd}) perturbation theory affecting their weight and n_p on the two O sites which interact by hoppings and FM K_{nn} . In the spirit of this approach for the five-band Hubbard model sketched in Refs. [81](#page-18-29) and [82](#page-18-30) for the case of edgesharing plaquettes with two common O, Eq. [\(15\)](#page-12-0) can be approximated by

$$
J_1^{\text{FM}} \approx -K_{dd} - 8Z \left(\frac{t_{pd}}{\Delta_{pd}}\right)^2 K_{pd} - \frac{4Z^2 J_H}{(1 + U_{pp}/\Delta_{pd})} \left(\frac{t_{pd}}{\Delta_{pd}}\right)^4 \approx -K_{dd} - 4\left(\frac{t_{pd}}{\Delta_{pd}}\right)^2 K_{pd} - \frac{J_H}{(1 + U_{pp}/\Delta_{pd})} \left(\frac{t_{pd}}{\Delta_{pd}}\right)^4. \tag{19}
$$

where the renormalization factor $Z(t_{pp}^2/U_p, K_{pp}, \Delta_{pd})$ < 1 has been introduced. It contains higher-order corrections due to various O-O hoppings and direct FM couplings K_{pp} taken from our DFT and QC analysis.

For $\Delta_{pd} = 3.5$ eV, $Z \approx 0.48$. A quasi-linear law for J_1 , K_{pd} , and J_H , like in Eq. [\(19\)](#page-14-0) (with slightly changed 2nd and 3rd coefficients due to additional interactions) holds, also beyond (t_{pd}/Δ_{pd}) -perturbation theory as confirmed by the exact treatment of $Cu-O₂-Cu$ dimers (see Fig. [16](#page-15-0)) and SM [\[7\]](#page-17-0)) as well as for larger clusters with small finite size effects within the effective single-band Hubbard model. Then the estimated ratio ρ_{HK} of the FM on- and intersite contributions to J_1 reads [\[81\]](#page-18-29)

$$
\rho_{HK} = \frac{J_1^H}{J_1^{K_{pd}}} \approx \frac{J_1^H}{2K_{pd} (1 + (U_p - 2J_H)/\Delta_{pd}))} \left(\frac{t_{pd}}{\Delta_{pd}}\right)^2,
$$
\n(20)

where an often used aproximation defines J_H :

$$
J_H = 0.5 \left(U_p - U_{pp} \right). \tag{21}
$$

In case of ideal two-leg ladders, i.e. $\Phi = 90^{\circ}$ and $U_p =$ 4.4 eV, Rice *et al.* [\[56\]](#page-18-9) ignoring K_{pd} , derived a useful expression for the FM interladder coupling:

$$
J_1^H = \frac{2t_{pd}^4}{\Delta_{pd}^2} \left[\frac{1}{E_T + 2\Delta_{pd}} - \frac{1}{E_S + 2\Delta_{pd}} \right] , \qquad (22)
$$

where $E_T = 7.3$ eV $(E_S = 1.8$ eV) denote the energy of the Zhang-Rice triplet (singlet) state, respectively, for $t_{pd} = 1.3$ and $\Delta_{pd} = 3.3$ eV. Inserting these num-bers into Eq. [\(22\)](#page-14-1) one arrives at J_1^H =24.7 meV and a frustation ratio of 6.7 using the experimental value $J_2 = J_{\text{leg}} = 166 \text{ meV}$. The QC result can be confirmed if the direct FM coupling and the residual AFM couplings from $\Phi \neq 90^{\circ}$ and that from the dd channel are

FIG. 15. Lowest singlet and triplet energies of a $\Phi = 90^\circ$ $CuO₂Cu$ cluster as in Fig. 13 vs. the main FM exchanges for a set close to Ref. [15,](#page-17-5) where a O_2 -Cu O_2 Cu- O_2 cluster was used. $(t_{p_xd} = t_{p_yd} = 0.7155, t_{dd} = 0, t_{p_x=0.17}$ $t_{p_y} = 0.69, V_{pd} = V_{dd} = J_{dd} = 0, \Delta_{pd} = 3.2, E_{p_x} = 1.75, E_{p_y} = 1.45,$ $U_d=8.5, U_p=4.1, K_{pd}=0.05,$ and $J_H=0.6$; all in hole notation and in units of $t \equiv t_{pd} \approx 1$ eV) $J=-K_{pd}$ (upper plot for $J_H=0.6$) and $J_{pp}=-J_H$ at $K_{pd}=0.05$ (lower plot).

taken into account assuming equal leg and rung AFM exchange integrals. Experimentally, however, they differ slightly: $J_{\text{leg}}/J_{\text{rung}} \approx 1.1$ for SrCu₂O₃, which is caused by different O 2p onsite energies. We ignore this small difference ∼ 15 meV and use for the double-chain problem the experimental value of $J_{leg} = J_2$. From Eq. [\(22\)](#page-14-1) one estimates $-J_1^H \approx J_2/7 \approx 0.629$ eV. Using Eq. [\(20\)](#page-14-2) one obtains 1/3 for the set in Ref. [\[15\]](#page-17-5) and $\approx 1/4$ for $K_{pd} \sim 100$ meV. Adopting nearly the same value for the ladder compound value as for LICO obtained here, one would arrive at $\alpha < 4$ to 5 in accord with a QC prediction for $SrCu₂O₃$ [\[83\]](#page-18-31) (see also SM [\[7\]](#page-17-0)). High-energy spectroscopy and more theoretical studies are desirable to put material specific upper limits on important U_d and J_H . Without the dd chanel, the INS data [\[9\]](#page-17-1) were described at $J_H=0.6$ eV by already enlarged values $K_{pd}=81$ and 96 meV, in accord with our optical conductivity, EELS, and RIXS spectra for LICO [\[66,](#page-18-17) [71,](#page-18-32) [84,](#page-18-33) [85\]](#page-19-0). Figs. 15 and [16](#page-15-0) clearly show the larger sensitivity of the singlettriplet separation to K_{pd} than to J_H , where only the triplet state is slightly affected. This confirms K_{pd} as the key FM source.

To summarize, a precise general microscopic assignment of the origin of the observed large J_1 -values is still difficult since K_{pd} , J_H , and the AFM J_{dd} are involved.

FIG. 16. The NN exchange $J_1=E_T-E_S$ from exact diagonalizations similarly to Eq. [\(19\)](#page-14-0). The suppression of the intersite interaction between the "upper" and lower O for $U_p = \infty$ (green curve) and $t_{p_yp_y} = t_{p_xp_x} = 0$ (red curve) as compared to the set described above (black curve). Dotted curve: including also the weak FM direct exchange K_{pp} ($K_{p_0p_y} = 18.4$, $K_{p_xp_y} = 13.4$, and $K_{p_xp_x} = 8.7$ meV).

But without doubt K_{pd} is the leading FM term. The short $d_{\text{Cu}-\text{Cu}}$ leads to a sizable direct intersite AFM superexchange, negligible in corner-sharing cuprates with $\approx \sqrt{2}$ larger $d_{\text{Cu}-\text{Cu}}$. The FM K_{pp} somewhat reduces the generic AFM J_2 . Cuprates are usually classified as charge transfer insulators, which is not strictly valid here since the J_1 is certainly affected by the additional superexchange governed by the Cu U_d and the hopping t_{dd} [Eqs. (13-15)] as in standard Mott insulators.

Within a much simpler effective single-band extended Hubbard model, larger clusters can be treated exactly. Here we include, in addition to the NN transfer integral $t \equiv t_1$, the Hubbard onsite repulsion U, and a NNN counter part t_2 to the former, the NN and NNN intersite Coulomb interactions V_1 and V_2 , respectively, two

external exchange couplings \tilde{J}_1 (to allow for a FM NN exchange) and \tilde{J}_2 to account for the corresponding FM contribution to J_2 arising from K_{pp} between O sites (see SM [\[7\]](#page-17-0)). For a dimer, an exact analytical expression is available [\[86\]](#page-19-1) beyond the Hubbard-model:

$$
J_1 = \frac{\sqrt{16t^2 + \tilde{U}^2} - \tilde{U}}{2} + \tilde{J}_1 \quad , \tag{23}
$$

where $\tilde{U} = U - V + \frac{3}{4}\tilde{J}_1$. For $U \gg |t|, |\tilde{J}_1|$ in Eq. [\(23\)](#page-16-6) simplifies to [used in Eqs. $(14,15)$ for the dd channel]

$$
J_1 \approx \frac{4t^2}{\tilde{U}} + \tilde{J}_1 \quad . \tag{24}
$$

The 2nd, "external", term on the right hand side is FM and it overcompensates the first one, which represents the non-negligible AFM superexchange. The dimer model provides also a direct tool for materials like $Li₃Cu₂SbO₆$, $Na₃Cu₂Sb(Te)O₆$, and other alternating FM-AFM chain compounds with a dominant FM NN exchange.

VI. SUMMARY

A large magnon dispersion up to 53 meV was observed in CYCO. It is caused mainly by a large extracted FM NN coupling $J_{a1} = -24$ meV exceeding that for LICO [\[9\]](#page-17-1) and represents the highest value detected so far for any FFESC cuprate. From our experience with CYCO, a successful search of the full dispersion, up to ∼45 meV would refine the J_1 and J_2 in LICO and Li(Na)Cu₂O₂. The NNN AFM $J_{a2}=5.5$ meV puts CYCO near to criticality (α ∼0.23) for a 1D chain but not for strongly enough AFM coupled NN chains shifted by half a Cu-Cu distance. This chain structure causes an O mediated stable FM alignment of magnetic moments along the chain in a stacked structure of quasi-2D-Néel commensurate collinear magnetic ordering. From our analysis and microscopic arguments for systems with similar chains, we expect highly dispersive magnons for $La_6Ca_8Cu_{24}O_{41}$, $Ca_2Nd(Gd)_2Cu_5O_{10}$, and $SrCa_{13}Cu_{24}O_{41}$ including the slightly h-doped systems. Then the available magnon dispersion-law should be helpful.

Gap-like features are observed at ~ 11.5 meV and \sim 28 meV. The smaller gap at 11.5 meV is ascribed to phonon-magnon coupling while the gap at 28 meV is ascribed to quantum effects due to the AFM IC as well

as to the non-negligible inhomogeneous cuprate chain structure caused by the misfit with the NN Ca/Y chains generic for the composite symmetry of its two subsystems in CYCO and in the cases mentioned above. Both effects are most cooperative for a lock-in SS consisting of $Ca₂Y₂Cu₅O₁₀$ and $Ca₄Y₄Cu₁₀O₂₀$ domains.

The large J_1 values in CYCO and LICO provide deep insight into the microscopic exchange pointing to a dominant direct FM interaction $K_{pd} \sim 105$ meV, more important than the indirect O $2p_xp_y$ exchange mediated by the Hund's coupling J_H . Noteworthy, we found that the usually ignored direct Cu-Cu superexchange may somewhat reduce the effect of FM couplings. J_H and U_d should be studied systematically [\[68\]](#page-18-19), especially in view of smaller empirical values of $J_H \leq 0.6$ eV reported for superox-ides [\[67\]](#page-18-18). Although the issue of a large FM J_1 -value is now almost unraveled, we are still left with a new question: given such natural $K_{pd} \sim 100$ meV, what is the reason for the markedly lower values for linarite and some FFESC materials? Presumably, ligand effects lowering the efficiency of J_H and raising the O mediated superexchange. Thus, seemingly well understood "classical" systems, studied already for many years, are still sources of surprises, deserved to be studied in more detail to elucidate the interactions behind the exchanges described by various spin-Hamiltonians and their interplay with structural details.

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