

# $D_m^b(X_0, \overline{\mathbb{Q}}_\ell)$ and Weil II

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BBDG seminar

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# Outline

① Weights

② Weil II

③ Applications: ABCDE

④ Descent

# Goals

- Set up weight theory, and  $D_m^b(X_0, \overline{\mathbb{Q}}_\ell)$ .
- Recall Weil II, apply it to show  $D_m^b(X_0, \overline{\mathbb{Q}}_\ell)$  and  $\text{Perv}_m(X_0, \overline{\mathbb{Q}}_\ell)$  have nice properties.
- Prove the key vanishing of higher  $\text{Ext}^i$  (yoga of weights).
- State Frobenius "descent":  $\text{Perv}(X_0) \leftrightarrow \text{Perv}(X, Fr_q)$ .

# Notations

- $(-)_{0/\mathbb{F}_q} \rightsquigarrow (-)/k = \mathbb{F}_q^{alg}$ .
- $\Lambda = \overline{\mathbb{Q}}_\ell$  ( $\ell \neq p$ ).
- a lisse  $\overline{\mathbb{Q}}_\ell$ -sheaf = a continuous finite dim  $\overline{\mathbb{Q}}_\ell$ -rep of  $\pi_1$ .
- $p_{1/2}$  = selfdual perversity i.e  ${}^p D_c^{\leq 0} = \{K | \dim \text{Supp } \mathcal{H}^i(K) \leq -i\}$ .

# What is the Frobenius $Fr_q$ ?

$X_0$  scheme of finite type over  $\mathbb{F}_q$ ,  $\mathcal{F}_0$  a  $\overline{\mathbb{Q}}_\ell$ -sheaf on  $X_0$ .

$\text{Gal}_{\mathbb{F}_q} \cong \widehat{\mathbb{Z}}$  is generated by **geometric Frobenius**  $F = (a \mapsto a^{1/q})$ .

## Example

On  $\text{Spec } \mathbb{F}_q$ , a  $\overline{\mathbb{Q}}_\ell$ -sheaf "=" a vector space  $V$  with a linear automorphism  $F$ .

Easy way:  $x \in X_0(\mathbb{F}_q)$ , pullback of  $\mathcal{F}_0$  along  $x$  gives a vector space  $\mathcal{F}_{\bar{x}}$  with  $\text{Gal}_{\mathbb{F}_q}$ -action.

$$Fr_{q,x}^* := F \curvearrowright \mathcal{F}_{\bar{x}}.$$

# What is the Frobenius $Fr_q$ ?

Another way:

$k$ -linear **relative Frobenius**  $Fr_q = Fr_{X/k} : X \rightarrow X$ , with fixed points  $X(\mathbb{F}_q)$ .  $Fr_X = (Fr_k)_X \circ Fr_q$ .

## Example

$X_0 = \mathbb{A}^1 = \text{Spec } \mathbb{F}_q[t]$ ,  $(Fr_k)_X : a_i t^i \mapsto a_i^q t^i$ ,  $Fr_q : a_i t^i \mapsto a_i t^{iq}$ .

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- Absolute Frob doesn't change etale topology,  $Fr_X^* \mathcal{F} = \mathcal{F}$ .
- $\mathcal{F}$  comes from  $\mathcal{F}_0$ ,  $(Fr_k)_X^* \mathcal{F} \cong \mathcal{F}$ .

$\rightsquigarrow$  a natural isomorphism  $\phi : Fr_q^* \mathcal{F} \cong \mathcal{F}$ .

$\rightsquigarrow Fr_q^* = \phi_x \simeq \mathcal{F}_{\bar{x}}$  for any  $x \in X_0(\mathbb{F}_q)$ .  $Fr_q^* = Fr_{q,x}^*$ .

# Punctual purity

For [BBDG],  $w \in \mathbb{Z}$ .

- weak  $q$ -Weil number of weight  $w$ :  $a \in \overline{\mathbb{Q}}$  s.t  $|\iota(a)| = q^{w/2}$ ,  
 $\forall \iota: \overline{\mathbb{Q}} \hookrightarrow \mathbb{C}$ .



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 $\forall \iota: \overline{\mathbb{Q}} \hookrightarrow \mathbb{C}$ .
- A  $\overline{\mathbb{Q}}_\ell$ -sheaf  $\mathcal{F}_0$  on  $X_0$  is **punctually pure of weight  $w$** , if for any  $n$  and  $x \in X_0(\mathbb{F}_{q^n})$ , the eigenvalues of  $Fr_{q^n}^*$  on  $\mathcal{F}_{\bar{x}}$  are all weak  $q^n$ -Weil numbers of weight  $w$ .

$\overline{\mathbb{Q}}_\ell(n)$  is puncturally pure of weight  $-2n$ .

# Mixedness for a sheaf

$\mathcal{F}_0$  is **mixed**, if  $\exists$  a finite filtration on  $\mathcal{F}_0$  with **punctually pure** successive quotients.

$w(\mathcal{F}_0) :=$  punctual weights of  $\mathcal{F}_0$ .

By definition, mixedness is stable under extensions, subquotients.

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**This mixed condition is geometric and motivic.**

We can also require  $a \in \overline{\mathbb{Z}}$  i.e a  $q$ -Weil number.

[Weil II, Remark 1.2.8] and [KW]:  $\iota$ -mixedness, uses  $\iota : \overline{\mathbb{Q}}_\ell \hookrightarrow \mathbb{C}$ ,  $w \in \mathbb{R}$ .

[Weil II, Conj 1.2.9]: every  $\overline{\mathbb{Q}}_\ell$ -sheaf on  $X_0$  is  $\iota$ -mixed ( $w \in \mathbb{R}$ ). This is known by works of L. Lafforgue, V. Drinfeld.

# Mixedness for a complex: $D_m^b(X_0, \overline{\mathbb{Q}}_\ell)$

$D_m^b(X_0, \overline{\mathbb{Q}}_\ell) \subseteq D_c^b(X_0, \overline{\mathbb{Q}}_\ell)$  consists of  $K_0$  s.t the **cohomology sheaves**  $\mathcal{H}^i K_0$  **are mixed**.

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[BBDG, 5.1.6-5.1.7] (to be proved later)

- $D_m^b(X_0, \overline{\mathbb{Q}}_\ell)$  is stable under  $\mathbb{D}$ .
- $D_m^b(X_0, \overline{\mathbb{Q}}_\ell)$  inherits the perverse t-structure.
- Every sub-quotient of a mixed perverse sheaf is mixed.

Lisse  $\mathcal{F}_0$  on smooth  $X_0$ : ☺☺

Gluing is non-trivial, we need Weil II (e.g  $Rj_*$  for open immersion  $j$ ).

$$D_{\leq w}^b, D_{\geq w}^b \subseteq D_m^b$$

$K_0$  in  $D_m^b$  is of weight  $\leq w$  if punctual weights of  $\mathcal{H}^i K_0$  are  $\leq w + i$  for any  $i$ .

$K_0$  in  $D_m^b$  is of weight  $\geq w$  if its **Verdier's dual**  $\mathbb{D}(K_0)$  of weight  $\leq -w$ .

$K_0$  is **pure of weight**  $w$  if  $K_0 \in D_{\leq w}^b \cap D_{\geq w}^b$ . By duality,

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[BBDG, 5.1.9]

$K_0 \in D_m^b$  is in  $D_{\leq w}^b$  (resp  $D_{\geq w}^b$ ), iff for any closed point  $i : x_0 \hookrightarrow X_0$ ,  $i^* K_0$  (resp  $i^! K_0$ ) is of weight  $\leq w$  (resp  $\geq w$ ).

$D_{\leq w}^b[1] = D_{\leq w+1}^b$ . But  $D_{\leq w}^b \cap D_{\geq w+1}^b = 0$  (to be proved later) is non-trivial. We need Weil II.

# Purity=punctual purity for lisse $\mathcal{F}_0$ on smooth $X_0$

Assume  $X_0$  is smooth of pure dimension  $d$ , so  $\omega_{X_0} = \overline{\mathbb{Q}}_\ell[2d](d)$ . If  $\mathcal{H}^i K_0$  are all lisse, then

## Proposition

$$\mathcal{H}^i(\mathbb{D}K_0) = (\mathcal{H}^{-2d-i} K_0)^\vee(d).$$

**Proof:**



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**Proof:**

$$\mathbb{D}(\mathcal{H}^i(K_0)) = R\underline{Hom}(\mathcal{H}^i(K_0), \overline{\mathbb{Q}}_\ell[2d](d)) = R\underline{Hom}(\mathcal{H}^i(K_0), \overline{\mathbb{Q}}_\ell)[2d](d)$$

(lisse so higher local Ext sheaves = 0)

$$= \underline{Hom}(\mathcal{H}^i(K_0), \overline{\mathbb{Q}}_\ell)[2d](d) = \mathcal{H}^i(K_0)^\vee[2d](d).$$

$$\rightsquigarrow E_2^{pq} = \mathcal{H}^p(\mathbb{D}(\mathcal{H}^{-q} K_0)) \Rightarrow \mathcal{H}^{p+q}(\mathbb{D}K_0) \text{ degenerates,}$$

$$\mathcal{H}^i(\mathbb{D}K_0) = \mathcal{H}^{-2d} \mathbb{D}(\mathcal{H}^{-2d-i}(K_0)).$$

Purity=punctual purity for lisse  $\mathcal{F}_0$  on smooth  $X_0$

## Proposition

Assumption as above,  $K_0$  is pure of weight  $w$  iff each  $\mathcal{H}^i K_0$  is punctually pure of weight  $w + i$ .

$$-(-w - 2d - i) - 2d = w + i.$$

In general,  $\mathbb{Q}_l$  is punctually pure but may not be pure. If  $X_0$  is proper, and  $\mathbb{Q}_l$  is pure of weight 0, then Frob eigenvalues on  $H^i(X)$  has weights exactly  $i$  by Weil II, which is not true in general.

It can be pure in some singular cases.

[Weil II, 3.3.1, 6.2.3]

If  $f : X_0 \rightarrow Y_0$  is a (separated) morphism between schemes of finite type over  $\mathbb{F}_q$ , then  $Rf_!$  sends  $D_{\leq w}^b$  to  $D_{\leq w}^b \subseteq D_m^b$ .

Corollary [Weil II, 6.1] (induction + proper case + smooth case)

$Rf_*$  sends  $D_m^b$  to  $D_m^b$ .

## Example

$a : X_0 \rightarrow \mathbb{F}_q \rightsquigarrow$  Frob eigenvalues on  $H_c^i(X, \mathcal{F})$  are  $q$ -Weil numbers of weight  $\leq w + i$  for any  $\mathcal{F}_0 \in D_{\leq w}^b(X_0, \overline{\mathbb{Q}}_\ell)$ .

## Example

$X \subseteq \mathbb{P}^{d+1}$  is a smooth geometrically irreducible hypersurface over a finite field  $\mathbb{F}_q$ . Then  $\#X(\mathbb{F}_{q^n}) - \#\mathbb{P}^d(\mathbb{F}_{q^n}) = O(q^{nd/2})$ .

Now it's time for applications to  $D_m^b$ .

# Application A: stabilities of $D_m^b$

By definition,  $f^*$  preserves  $D_{\leq w}^b$ , and  $\otimes$  sends  $D_{\leq w}^b \times D_{\leq w'}^b$  to  $D_{\leq w+w'}^b$ .

## Proposition

$$K_0 \in D_m^b \Leftrightarrow \mathbb{D}(K_0) \in D_m^b.$$

**Proof:** WLOG  $K_0$  is a mixed sheaf. If  $X_0$  is smooth,  $K_0$  is lisse, then  $\mathbb{D}K_0 = K_0^\vee[2d](d)$  by previous computation.

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In general, use Noetherian induction. Choose smooth dense open  $j : U_0 \hookrightarrow X_0$  s.t.  $j^*K_0$  is lisse.

$$\text{Exact triangle } j_!j^*K_0 \rightarrow K_0 \rightarrow i_*i^*K_0.$$

$$\leadsto i_*\mathbb{D}(i^*K_0) \rightarrow \mathbb{D}(K_0) \rightarrow j_*\mathbb{D}(j^*K_0).$$

We're done by induction and Weil II for  $Rj_*$ .

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By duality and Weil II, we get

[BBDG, 5.1.14]

- $\mathbb{D}$  exchanges  $D_{\leq w}^b$  and  $D_{\geq -w}^b$ .
- $f^!, f^*$  sends  $D_{\leq w}^b$  to  $D_{\leq w}^b$ .
- $f^!, f_*$  sends  $D_{\geq w}^b$  to  $D_{\geq w}^b$ .
- $\otimes$  sends  $D_{\leq w}^b \times D_{\leq w'}^b$  to  $D_{\leq w+w'}^b$ .
- $\underline{R}\text{Hom}$  sends  $D_{\leq w}^b \times D_{\geq w'}^b$  to  $D_{\geq -w+w'}^b$ .

$$\underline{R}\text{Hom}(A, \mathbb{D}(B)) = \underline{R}\text{Hom}(A, \underline{R}\text{Hom}(B, \omega_{X_0})) = \underline{R}\text{Hom}(A \otimes B, \omega_{X_0}) = \mathbb{D}(A \otimes B).$$



# Application A: stabilities of $D_m^b$

Therefore, the full subcategory  $D_m^b(X_0, \overline{\mathbb{Q}}_\ell)$  of  $D_c^b(X_0, \overline{\mathbb{Q}}_\ell)$  is stable by all usual operations e.g  $Rf_*$ ,  $Rf_!$ ,  $f^*$ ,  $Rf^!$ ,  $\otimes$ ,  $R\underline{\text{Hom}}$ ,  $\mathbb{D}$ .

# Application B: perverse $t$ -structure on $D_m^b$

[BBDG, 5.1.7. (i)]

$D_m^b$  is stable under  ${}^p\tau_{\leq i}$  and  ${}^p\tau_{\geq i}$ .

$D_m^b$  is stable under  $\tau_{\leq i}$  and  $\tau_{\geq i}$  by definition.

${}^p\tau_{\leq 0}(-)$  is constructed by  $j_*, j^*, i_*, i^*$  plus truncations and taking cones.

More precisely,  $F_1 \rightarrow F \rightarrow Rj_* {}^p\tau_{\geq 1} j^* F$ ,  ${}^p\tau_{\leq 0}(F) \rightarrow F_1 \rightarrow Ri_* {}^p\tau_{\geq 1} i^* F_1$ .

So  ${}^p\tau_{\leq i}, {}^p\tau_{\geq i}$  send  $D_m^b$  to  $D_m^b$ , we're done.

$\leadsto D_m^b$  is stable under  ${}^pH^*$ .

$\leadsto D_m^b$  is stable under  ${}^p j_*, {}^p j_!, {}^p j^*$ .

# Application C: vanishing of higher $Ext^i$

$$K_0, L_0 \in D_m^b(X_0, \overline{\mathbb{Q}}_\ell).$$

[BBDG, 5.1.15]

- If  $K_0 \in D_{\leq w}^b, L_0 \in D_{\geq w}^b$ , then  $\text{Hom}^i(K, L)^F = 0$ , for  $i > 0$ .
- If  $K_0 \in D_{\leq w}^b, L_0 \in D_{> w}^b$ , then  $\text{Hom}^i(K_0, L_0) = 0$ , for  $i > 0$ .

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- If  $K_0 \in D_{\leq w}^b, L_0 \in D_{> w}^b$ , then  $\text{Hom}^i(K_0, L_0) = 0$ , for  $i > 0$ .

Crucial for semi-simpleness and decomposition theorem in [BBDG].

Lack of weight theory  $\rightsquigarrow$  decomposition theorem fails for coefficients like  $\mathbb{F}_\ell$ .

**Proof:**  $a : X_0 \rightarrow \text{Spec } \mathbb{F}_q$ , apply Weil II to

$$M_0 := Ra_* R\text{Hom}(K_0, L_0) \in D_c^b(\text{Spec } \mathbb{F}_q).$$

Application D:  $D_{\leq w}^b \cap D_{\geq w+1}^b = 0$

$$id_K \in \text{Hom}(K, K)^F = \text{Hom}^1(K, K[-1])^F = 0.$$

$$M_0 \in D_c^b(\mathrm{Spec} \mathbb{F}_q)$$

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Global section / fixed points  $R\Gamma : D_c^b(\mathrm{Spec} \mathbb{F}_q, \overline{\mathbb{Q}}_\ell) \rightarrow D_c^b(\overline{\mathbb{Q}}_\ell)$ .

[BBDG, 5.1.2]

Short exact sequence  $0 \rightarrow (H^{n-1} M)_F \rightarrow H^n R\Gamma M_0 \rightarrow (H^n M)^F \rightarrow 0$ .

$$E_2^{pq} = H^p(\mathrm{Spec} \mathbb{F}_q, \mathcal{H}^q M_0) = H^p(\mathrm{Gal}_{\mathbb{F}_q}, H^q M) \Rightarrow H^{p+q} R\Gamma M_0.$$

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$\mathrm{Gal}_{\mathbb{F}_q} \cong \widehat{\mathbb{Z}}$ , generated by the geometric Frobenius  $F$ .

$$H^p(\mathrm{Gal}_{\mathbb{F}_q}, -) = \begin{cases} (-)^F, p = 0 \\ (-)_F, p = 1 \\ 0, \text{ else} \end{cases} .$$

$$M_0 := Ra_* R\underline{\text{Hom}}(K_0, L_0) \in D_c^b(\text{Spec } \mathbb{F}_q)$$

$$a : X_0 \rightarrow \text{Spec } \mathbb{F}_q. \quad M_0 = Ra_* R\underline{\text{Hom}}(K_0, L_0), \quad M = i^* M_0.$$

## Proposition

$$M = R\text{Hom}(K, L) \text{ (smooth base change).}$$

$$R\Gamma M_0 = R\text{Hom}(K_0, L_0) \text{ (} R\Gamma Ra_* = R\Gamma_{X_0}\text{).}$$



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From above,

$$0 \rightarrow (\mathrm{Hom}^{i-1}(K, L))_F \rightarrow \mathrm{Hom}^i(K_0, L_0) \rightarrow \mathrm{Hom}^i(K, L)^F \rightarrow 0.$$

- $K_0 \in D_{\leq w}^b, L_0 \in D_{\geq w}^b \rightsquigarrow M_0 \in D_{\geq 0}^b \rightsquigarrow w(\mathrm{Hom}^i(K, L)) \geq i,$   
 $\mathrm{Hom}^i(K, L)^F = 0, \text{ for } i > 0.$
- If  $K_0 \in D_{\leq w}^b, L_0 \in D_{> w}^b,$  then  $M_0 \in D_{> 0}^b.$   $\mathrm{Hom}^i(K_0, L_0) = 0, \text{ for } i > 0.$

Application  $C$  is proved.

## Application E: $\text{Perv}_m(X_0)$ is stable under subquotient

$$\text{Perv}_m(X_0) = \text{Perv}(X_0) \cap D_m^b.$$

[BBDG, 5.1.7. (ii)]

$$A_0 \subseteq B_0 \in \text{Perv}(X_0), B_0 \in \text{Perv}_m(X_0) \Rightarrow A_0, B_0/A_0 \in \text{Perv}_m(X_0).$$

**Proof:** If  $A_0, B_0$  are concentrated in one degree, this is obvious.

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In general, choose smooth dense open  $j : U_0 \hookrightarrow X_0$  s.t.  $j^* A_0 \hookrightarrow j^* B_0$  are lisse (up to shift). Then  $j^* A_0 = {}^p j^* A_0$  is mixed and perverse.

$$\rightsquigarrow {}^p j_! j^* A_0, {}^p j_* j^* (B_0/A_0) \in \text{Perv}_m(X_0).$$

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$$\rightsquigarrow {}^p j_! j^* A_0, {}^p j_* j^* (B_0/A_0) \in \text{Perv}_m(X_0).$$

$$\rightsquigarrow I = \text{Im}({}^p j_! j^* A_0 \rightarrow B_0), J = \text{Ker}(B_0 \rightarrow {}^p j_* j^* (B_0/A_0)) \in \text{Perv}_m(X_0).$$

$I \subseteq A_0 \subseteq J \subseteq B_0$ .  $J/I \in \text{Perv}_m(Z_0)$  gives  $A/I \in \text{Perv}_m(Z_0)$  by induction.

$A_0$  is extension of  $A_0/I$  by  $I$ , hence also mixed.

# Descent

Let  $\text{Perv}(X, Fr_q)$  be the category of perverse sheaves  $\mathcal{F}$  on  $X$  equipped with an isomorphism  $\phi : Fr_q^* \mathcal{F} \rightarrow \mathcal{F}$ . Now  $\Lambda$  is any suitable coefficient.

# Descent

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## [BBDG, 5.1.2]

- 1 The functor  $\text{Perv}(X_0) \rightarrow \text{Perv}(X, Fr_q), \mathcal{F}_0 \mapsto (\mathcal{F}, Fr_q)$  is fully faithful.
- 2 The category of essential images is stable by extensions and by sub-quotients.

As perversity gives a  $t$ -structure,  $\text{Hom}^{-1}(K_0, L_0) = 0$ . So  $\text{Hom}_{D_c^b(X_0)}(K_0, L_0) = \text{Hom}_{D_c^b(X)}(K, L)^F$ , part (1) follows.

*Thank you!*

# Stable under extensions

(\*)  $0 \rightarrow (\text{Hom}(K, L))_F \rightarrow \text{Ext}^1(K_0, L_0) \rightarrow \text{Ext}^1(K, L)^F \rightarrow 0$ , is exact, where  $\text{Ext}^1(A, B) = \{0 \rightarrow B \rightarrow C \rightarrow A \rightarrow 0\} / \simeq$ .

Recall  $0 \rightarrow B \rightarrow C_1 \rightarrow A \rightarrow 0 \simeq 0 \rightarrow B \rightarrow C_2 \rightarrow A \rightarrow 0$  iff there is a  $f : C_1 \cong C_2$  such that  $f|_A = id_A, f|_B = id_B$ .

The Frob action  $F$  on  $\text{Ext}^1(K, L)$  is via pullback along  $Fr_q^*$ .



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## [BBDG, 5.1.2]

There is another short exact sequence (\*\*)

$$0 \rightarrow (\text{Hom}(K, L))_F \rightarrow \text{EXT}^1((K, \phi_K), (L, \phi_L)) \xrightarrow{\text{forget}} \text{Ext}^1(K, L)^F \rightarrow 0,$$

where  $\text{EXT}^1 =$  extensions in  $\text{Perv}(X, Fr_q)$ .

# Stable under extensions

**Proof:**

kernel of forget is given by  $(L \oplus K, \begin{pmatrix} \phi_L & U\phi \\ 0 & \phi_K \end{pmatrix})$ , where the class is determined by  $U$  modulo  $\phi Fr_q^*(V)\phi^{-1} - V$  for  $V : K \rightarrow L$ . Hence the kernel is the coinvariant.

So  $Ext^1(K_0, L_0) \cong EXT^1((K, \phi), (L, \phi))$  by  $(*)$  and  $(**)$ .

# Stable under subquotients

Do induction as in application E.

# A singular example

# References

- Section 5.1 of [BBDG] Beilinson, Bernstein, Deligne, Gabber: Faisceaux pervers (book).
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- P. Deligne, Weil II.
- V. Drinfeld, On a conjecture of Deligne, Moscow Math. J. 12 (2012), 515-542.