1 **TITLE**

2 Intercomparison of MODIS albedo retrievals and in situ measurements across the global FLUXNET

3 network.

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Abstract

Surface albedo is a key parameter in the Earth's energy balance since it affects the amount of solar radiation directly absorbed at the planet surface. Its variability in time and space can be globally retrieved through the use of remote sensing products. To evaluate and improve the quality of satellite retrievals, careful intercomparisons with in situ measurements of surface albedo are crucial. For this purpose we compared MODIS albedo retrievals with surface measurements taken at 53 FLUXNET sites that met strict conditions of land cover homogeneity. A good agreement between mean yearly values of satellite retrievals and in situ measurements was found (R^2= 0.82). The mismatch is correlated to the spatial heterogeneity of surface albedo, stressing the relevance of land cover homogeneity when comparing point to pixel data. When the seasonal patterns of MODIS albedo is considered for different plant functional types, the match with surface observation is extremely good at all forest sites. On the contrary, in non-forest sites satellite retrievals underestimate *in situ* measurements across the seasonal cycle. The mismatch observed at grasslands and croplands sites is likely due to the extreme fragmentation of these landscapes , as confirmed by geostatistical attributes derived from high resolution scenes.

Keyword: MODIS, surface albedo, validation, FLUXNET, terrestrial ecosystems, plant functional types, remote sensing,

1. Introduction

Land surface broadband albedo directly affects Earth's climate by determining the fraction of shortwave radiation absorbed at the ground and therefore influencing the surface energy budget (Dickinson, 1983). Surface albedo is a crucial parameter in determining the magnitude of energy fluxes in the soil–plant–atmosphere continuum (Bonan, 2008; Chapin et al., 2008), affecting surface temperature, evaporation and transpiration, cloud formation and precipitation, thus ultimately impacting gross primary productivity (Dickinson, 1983; Lawrence & Slingo, 2004; Ollinger et al.,

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1   2008; Sellers et al., 1997). Several authors have investigated the interplay between albedo and drought

2   (Govaerts & Lattanzio, 2008) or fires (Randerson et al., 2006), and the climate sensitivity to variation

3   in surface albedo caused by major changes in land cover as the expansion of agricultural land in the

4   northern hemisphere during the 18th century (Myhre et al., 2005; Vavrus et al., 2008). Surface albedo is

5   also a key factor in the expected positive feedback between surface temperature and global warming at

6   northern latitudes (Chapin et al., 2005) and may play a relevant role in offsetting the carbon

7   sequestration potential of afforestation programs (Anderson et al., 2010; Betts, 2000; Betts et al., 2007;

8   Bird et al., 2008; Rotenberg & Yakir, 2010).

9   Given the relevance of surface albedo in the Earth's climate system, monitoring this parameter in space

10   and time is fundamental for the development of global climate models (Alton, 2009; Frida A-M et al.,

11   2006; Hollinger et al., 2009; Tian et al., 2004) and for climate change and ecosystem research in

12   general (Betts, 2000; Charlson et al., 2007; Charney et al., 1977; Dirmeyer & Shukla, 1994; Hall & Qu,

13   2006; Henderson-Sellers & Wilson, 1983; Pinty et al., 2011a). An important step toward the

14   availability of global surface spectral albedo has been the launch of NASA's Terra and Aqua satellites

15   and the MODerate-resolution Imaging Spectroradiometer (MODIS) (Lucht et al., 2000b; Salomonson

16   et al., 1989; Schaaf et al., 2002). The MODIS sensor provides global maps of surface albedo

17   reconstructed from retrieved models of reflectance anisotropy at a 500-m gridded spatial resolution

18   every 16 days for the first seven MODIS spectral bands (0.47–2.1 μm) and for three broadband regions

19   (0.3–0.7, 0.7–5.0, and 0.3–5.0, μm) (Lucht et al., 2000b; Moody et al., 2008; Schaaf et al., 2002).

20   Comparing satellite albedo retrievals with surface measurements and with independent satellite

21   products is fundamental in evaluating the accuracy of remote sensing products and improving retrieval

22   algorithms (Liang et al., 2002; Pinty et al., 2011b). Several recent studies have evaluated the

23   consistency of global albedo products using in situ data at various spatial and temporal scales (Chen et

24   al., 2008; Jin et al., 2003a; Jin et al., 2003b; Liang et al., 2002; Liu et al., 2009; Román et al., 2010;

25   Román et al., 2009; Wang et al., 2010) and under specific snow cover conditions (Stroeve et al., 2005).

1   Most of these studies stress that a direct comparison is very challenging because of scale mismatch and

2   heterogeneity of the land surface at the satellite measurement scale that reduces the spatial

3   representativeness of ground point measurements (Liang et al., 2002; Román et al., 2010; Román et al.,

4   2009). As a consequence, a careful selection of ground points and the characterisation of their spatial

5   representativeness are crucial for a meaningful point-to-pixel comparison (Liang et al., 2002; Lucht et

6   al., 2000a; Román et al., 2009).

7   Intercomparisons of surface and satellite albedo have been performed so far at a limited number of

8   locations (Jin et al., 2003a; Liu et al., 2009; Román et al., 2010; Román et al., 2009; Salomon et al.,

9   2006; Wang et al., 2010) and a global analysis across different continents and plant functional types

10   (PFTs) is still lacking. The objective of this work is to provide a comprehensive intercomparison in

11   time and space of in situ measurements and satellite retrievals of snow-free broadband surface albedo.

12   For this purpose we compared MODIS gridded albedo retrievals at the 500-m scale with ground

13   measurements performed across the FLUXNET network (Baldocchi et al., 2001), the largest global

14   data set of energy and mass flux measurements at ecosystem scale.

15   The geographical extent of the terrestrial data set allowed the comparison of several PFTs in a

16   comprehensive and consistent way across the seasonal cycle. In addition, the large number of

17   experimental sites in the network provided an unprecedented opportunity to perform a careful

18   evaluation of the surface heterogeneity at the reference plots, based on a combination of qualitative and

19   quantitative metrics. For this purpose images from Google Earth, MODIS and Enhanced Thematic

20   Mapper Plus (ETM+) have been used at various spatial scales (from 1x1 to 7x7 km). Differences

21   between satellite retrievals and in-situ albedo have been analyzed as a function of surface

22   heterogeneity, PFT and seasonality. Results of the intercomparison have been finally discussed

23   considering the different sources of uncertainty that affect the terrestrial and satellite datasets.

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2. Materials and methods

2.1. Surface data set

In this study, we used in situ radiometric measurements available in the FLUXNET "La Thuile" database (www.fluxdata.org, October 2010) released in December 2007, which includes half hourly observations of ecosystem fluxes and meteorological data from more than 250 sites, for a total of 960 site-years.

Albedo is computed as the ratio of downward and upward global radiation as observed with double pyranometers (e.g. CMA-11, CMA-6 or CNR-1, Kipp&Zonen, Delft, The Netherlands). Surface albedo is typically estimated in the spectral range 280-2800 nm (accounting for more than 98.5% of the surface solar radiation according to ASTM G-173 reference spectra) and is therefore comparable with the broadband MODIS albedo (300-5000nm). Giving that the field of view (FOV) of pyranometers is typically 180 deg, the footprint of surface reflectance measurements is theoretical infinite. However, due to the cosine response of the sensor, 50% of the signal originates in a FOV of 90 deg and 80% in a FOV of 127 deg. The footprint of surface albedo therefore depends on the height of the albedometer above the canopy top (ranging from 5 to 10 m) and typically extends up to 10-20 m from the tower at 80% of the signal.

The uncertainty of surface albedo measurements depends on the absolute accuracy of phyranometers (about 5%) and on the non-ideal cosine response (about 3%). Most of the errors associated with the absolute accuracy of the instrument are similar for upward and downward fluxes and therefore compensate. Overall the expected accuracy is in the order of 4-7% in clear sky and 1-4% in overcast condition (Pirazzini, 2004; Pirazzini et al., 2006).

The geographical distribution of the sites is strongly clustered in Europe and North America (97 and 106 sites corresponding to 38% and 42% of the total), which are the regions with the longest history of continuous ecosystem flux measurements (Baldocchi et al., 2001). Several sites in the database are

located in tropical Amazonia and East Asia, while the coverage in Africa, Central Asia, and Australia

remains sparse and limited in the number of observation years. Despite the uneven geographical

distribution, the "La Thuile" database guarantees a good coverage of the most important plant

functional types, among which evergreen needle leaf forest (ENF), grassland (GRA), deciduous

broadleaf forest (DBF), and cropland (CRO) are the most represented with respectively 28%, 18%,

13% and 12% of the sites.

Out of the 138 FLUXNET sites reporting continuous measurements of incoming and outgoing

shortwave radiation (300-2800 nm; CMA-11, CMA-6 or CNR-1, Kipp&Zonen, Delft, The

Netherlands) 18 have been excluded after a QA/QC analysis of the albedo data series. The QA/QC

procedure was based on the following criteria: occurrence of an offset in the incoming or reflected

radiation (night-time data systematically and significantly different from zero), occurrence of phase lag

between incident and reflected radiation and systematic occurrence of unrealistic values (e.g. reflected

radiation higher than incident radiation).

The land cover characteristics of the remaining 120 sites have been carefully classified using high

resolution satellite images (available via Google Earth™), to identify those matching the requirement

of homogeneity in the area surrounding the measurement tower (Jin et al., 2003b; Román et al., 2010;

Román et al., 2009). Although MODIS albedo is gridded at 500-m resolution the land classification has

been performed at $1km^2$, taking into account the uncertainty in the geospatial registration of satellite

products and the fact that the albedo retrieval algorithm is based on multi-angle observations covering

larger areas at edge of scan.

The classification process was based on the following four steps:

1. visual identification of the number and extension of different PFTs in the $1km^2$ area

   surrounding the tower;

2. verification of the correspondence between the dominant PFT in the $1km^2$ area and the PFT at

   the tower site as reported in the FLUXNET database;

      3. qualitative ranking of landscape heterogeneity in three classes (low, medium, high) based on the

          plant canopy characteristics (tree density, patchiness, etc.);

      4. attribution of a confidence level in the classification of the sites (low, medium, high) based on

          the quality of the image.

To guarantee the highest level of homogeneity and to minimize issues associated with spatial

representativeness in the point-to-pixel comparison, only those sites characterized by the lowest level

of heterogeneity and with only one PFT in the 1km^2 area were included in the analysis.

2.2. MODIS products

The MODIS albedo retrievals at the FLUXNET sites were generated using three MODIS products,

namely, MCD43A1 (BRDF-Albedo Model Parameters 16-Day L3 Global 500m), M*D04 (Aerosol

product daily L2 Global 10km), and MCD43A2 (BRDF-Albedo Quality 16-Day L3 Global 500m). All

these products are from the Collection V005 MODIS reprocessing campaign. The MODIS surface

reflectance anisotropy and albedo product is based on all high quality, cloud-free, atmospherically

corrected surface reflectances that are obtained over a 16-day period. When sufficient observations are

available to adequately sample the surface anisotropy, an appropriate rendition of the

RossThickLiSparseReciprocal Bidirectional Distribution Reflectance Model (BRDF) model is retrieved

(Lucht et al., 2000b; Schaaf et al., 2002). This retrieval is attempted every 8 days at a 500 m gridded

resolution. This retrieval model is used to generate intrinsic values of clear-sky direct surface albedo

(referred to as directional hemispherical reflectance or black-sky albedo) and wholly diffuse albedo

under isotropic illumination (bihemispherical albedo or white-sky albedo). These can be combined

under particular illumination and atmospheric aerosol optical depth conditions (Lucht et al., 2000b;

Román et al., 2010) to provide clear-sky albedos comparable to those measured *in situ* at a flux tower.

Albedo quantities are reported at a 500-m gridded resolution, but all multi-angle observations that

encompass areas are utilized in the retrieval. Therefore, although extended observation coverage is

1   somewhat compensated for in the retrieval process, it is best to consider regions larger than 500 m

2   when comparing observations made from satellite to those made on the ground.

3   The calculation of clear-sky surface albedo at the tower sites involved the following two steps. The first

4   step was the generation of the aerosol optical depth values for each site and each calendar date using

5   the MODIS – Terra (MOD04) and MODIS-Aqua (MYD04) aerosol swath products. To generate the

6   optical depth, the MODIS Adaptive Processing System - MODAPS (Masuoka et al., 2000; Masuoka et

7   al., 2007) was used to prepare M*04 subsets at 50 x 50 km region centered at the site. All pixels that

8   had optical depth values greater than 0.35 or a cloud fraction greater than 0.6 were filtered out and not

9   used in the optical depth generation. All pixels that had fill values for solar zenith angle were also

10   rejected. After the filters were applied, a combined M[OY]D optical depth file was generated for each

11   site, taking valid optical depth values from Terra and Aqua and generating one mean value for the

12   optical depth per site per day. This method of course is not as accurate as having instantaneous sun

13   photometer data (Holben et al., 1998) at the site, but the mean gives an approximation of the aerosol

14   optical depth over the local solar noon.

15   The second step was the calculation of the clear sky surface albedo on the basis of the MODIS-derived

16   550nm aerosol optical depths calculated in the previous step, the local solar zenith angle, the

17   MCD43A1 product, and QA flags from MCD43A2 for each site involved in the analysis and for each

18   date. If a date has no valid MCD43A1 pixels or if the optical depth was a fill value, no albedo was

19   calculated for that date. As far as quality criteria are concerned only "full BRDF inversion" pixels

20   (QA=0 processed, good quality) were included in the calculation, while the "Snow_BRDF_Albedo"

21   band of the MCD43A2 product was used to identify and exclude snow albedo retrievals. Following this

22   procedure clear-sky MODIS albedo at local solar noon were retrieved at each FLUXNET site for all

23   days with available aerosol MODIS product (M*D04) information, snow-free conditions, and solar

24   elevation angles greater than 20 deg. On the same dates, the flux tower measurements of albedo have

25   been averaged for the hour centered at solar noon.

1   To integrate the observations at the FLUXNET sites in the global picture, snow-free global albedo

2   averages per PFT and latitudinal band were computed from the MODIS V005 0.05 degree Climate

3   Modeling Grid (CMG) product and stratified with the MCD12C1 land cover product. Yearly averages

4   have been calculated on each pixel fulfilling the following requirements: QA=0 (majority processed,

5   good quality), snow coverage less than 10% (based on MODIS estimates), and major PFT coverage

6   greater than 70% of the pixel. Note that the 0.05 degree MCD43C1 product is an average of the 500m

7   pixel underlying each 0.05 degree pixel and the quality flag only represents the quality of the majority

8   of the underlying pixels.

9   **2.3. Landscape heterogeneity**

10   One of the key issues in the intercomparison of satellite retrieval and surface observations is the

11   objective and quantitative evaluation of landscape heterogeneity and the representativeness of in situ

12   measurements (Liang et al., 2002; Román et al., 2009; Susaki et al., 2007).

13   For this purpose we applied the methodology presented by Román et al. (2009) and based on the

14   estimation of geostatistical attributes from high resolution scenes (Enhanced Thematic Mapper Plus).

15   The spatial patterns and scales of landscape heterogeneity have been estimated from variogram models

16   fitted at FLUXNET sites over the spatial scales of MODIS observations.

17   In synthesis, the methodology adopted for the estimation of geostatistical indexes is based on the

18   comparison of variogram model parameters retrieved at different spatial resolution (i.e. from 1.0 km^2 to

19   1.5 km^2 squared subsets). By examining the variogram parameters at two scales, the spatial

20   characteristics of a given measurement site is compared against the larger landscapes extending to

21   several MODIS pixels.

22   Four different geostatistical attributes of spatial representativeness have been used to describe the

23   overall variability (R_{CV}), spatial extent (R_{SE}), strength of the spatial correlation (R_{ST}), and

1   spatial structure (R_{ST}) of surface albedo for a given measurement site. Further details on the methods

2   and algorithms used to calculate these attributes are reported in Román et al. (2009).

3   Using a weighted combination of the four geostatistical attributes a comprehensive metric of the

4   landscape heterogeneity (ST_{score}) has been computed to evaluate and compare FLUXNET sites:

$$ST_{score} = \frac{|R| + |R| + |R|}{3} -$$

5   In addition, the landscape heterogeneity at a larger spatial scale (7x7 km) has been quantified as the

6   standard deviation of MODIS albedo (14 x 14, 196 pixels) area centered at the FLUXNET site.

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3. Results and discussion

3.1. The terrestrial data set: spatio-temporal distribution and representativeness

As a result of the visual classification of land cover characteristics, 49% of the 120 sites performing reliable continuous measurements of broadband albedo were rejected for reasons of landscape heterogeneity and 7% because of low confidence in the PFT classification.

Of the remaining 53 sites (among which there were 15 ENF, 8 CRO, 7 DBF, and 7 evergreen broad-leaf forests (EBF)) the largest fraction is located in Europe (19) and North America (15 USA, 7 Canada). The remainder of the sites are located in Africa (4), South America (3), Asia (3) and Australia (2) (Fig. 1, Table 1).

[Figure 1]

[Table 1]

Across all sites, 18666 days of synchronous MODIS retrievals and in situ surface albedo were available. On average, this worked out to 333 and 400 days of data for each forested and non-forested site, respectively (on average about 80 observations per site and year), but there was considerable variability among sites. To date, this is the largest data set that has been used to compare satellite and in situ albedo measurements.

Although the data set spans the years 2000-2007, the vast majority (87%) of observations were made between 2003 and 2006 (Fig. 2a). Because of filtering for snow and cloud cover, and the dominance of Northern hemisphere sites in the data set, the number of observations is lower during winter months (November through February) (Fig. 2b).

[Figure 2]

The seasonal variation of the global average of snow-free albedo is remarkably small for forests, likely because ~70% of the sites are evergreen forests with leaf area index, canopy structure and chemistry not as dynamic as those of deciduous ecosystems. The seasonal trend of snow-free albedo is somewhat

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1   more pronounced for non-forest ecosystems, which include crops and grasslands (Running et al., 1995)

2   (Fig. 2b).

3   The spatial representativeness of the FLUXNET albedo data set in the global albedo domain has been

4   explored by superimposing the MODIS retrievals at the sites on the global distribution of MODIS

5   albedo (Fig. 3) for the same PFT or latitudinal class. Concerning the latitudinal distribution, 75% of

6   FLUXNET sites are clustered in the 30-55° N band (Fig. 3a), and in this latitude band the surface

7   albedo observed at the sites is substantially lower (20%) than the MODIS latitudinal average. This is

8   due to the non-representative distribution of FLUXNET sites, which are mostly located in dense and

9   productive temperate forests of the Northern Hemisphere. The difference between latitudinal MODIS

10 averages and site-measurements of albedo is less evident in the Southern hemisphere, where also the

11 number of FLUXNET site is remarkably lower.

12 **[Figure 3]**

13 In terms of PFTs (Fig 3b), 79% of sites analyzed here are classified as one of ENF, EBF, CRO, GRA or

14 DBF. When PFT averages are compared with the global average of MODIS albedo, most of the sites

15 fall within the 10-90 percentile intervals of the global MODIS observations, with the exception of EBF

16 for which MODIS retrievals at the sites show lower values of albedo. For the other PFTs (woody

17 savanna (WSA), open shrubland (OSH), savanna (SAV), mixed forest (MF)) the number of sites is too

18 low to speculate on the global representativeness of the FLUXNET dataset.

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20 **3.2. Point to pixel comparison**

21 The comparison of MODIS albedo retrievals with in situ measurements has been limited to the

22 FLUXNET sites with the highest degree of homogeneity in order to minimize the effect of the scale

23 mismatch. Examples of high resolution images (available via Google Earth™) used to evaluate site

24 homogeneity are reported in Fig. 4 for four test sites.

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1   **[Figure 4]**

2   The time series of MODIS retrievals and in situ measurements at these four sites show contrasting

3   results (Fig. 5). At some sites the match is extremely good both in terms of absolute values and

4   seasonal trend (e.g. US-MMS, US-FPe). At other locations MODIS retrievals show a systematic

5   overestimation (e.g. PT-Esp) or underestimation (e.g. ES-LMa) of surface measurements. These biases

6   are probably due to the fine-scale spatial variability of the plant cover and to the representativeness of

7   the tower footprint in the MODIS pixel, since the other sources of uncertainties (sensor calibration,

8   uncertainty of AOD estimates, etc.) cannot explain such large and systematic errors. In particular at

9   sites with discontinuous plant canopies like ES-LMa (Fig. 4), the height from the ground and the spatial

10   location of the albedometer are critical factors, determining the representativeness of the in situ

11   measurements at the resolution of the satellite pixel.

12   **[Figure 5]**

13   A good agreement between satellite and in situ measurements was found when sites were grouped

14   according to PFT (Fig. 6a, type II regression, $R^2 = 0.82$). Forest PFTs (such as ENF, EBF, MF) fall in

15   the lower part of the graph, while non-forest PFTs (such as CRO, GRA, wetlands (WET)) in the upper

16   part. Albedo is correlated to several plant-level traits including leaf albedo, leaf area index, vertical

17   angle of leaves/needles, the degree of foliage and canopy clumping, and the geometric-optical

18   shadowing due to canopy structure. It is well known that the structural canopy traits typical of tall

19   canopies trap more of the incoming radiation, therefore reducing the canopy albedo of forest PFTs

20   (Cescatti, 1998; Davidson & Wang, 2004).

21   **[Figure 6]**

22   Satellite retrievals and surface measurements at the site level (Fig. 6b) show a coefficient of

23   determination of 0.83, very similar to that observed in the comparison by PFT and reported in

24   comparable studies (Wang et al. 2010). Both regressions in Fig. 6 show a slope lower than one (0.88

25   and 0.74 for panel (a) and (b), respectively), primarily due to the different spatial scale of the two

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1 estimates, with the satellite retrievals referring to a considerably larger area than tower measurements.

2 The spatial averaging of surface albedo on the large MODIS pixels dampen the variability when

3 compared with high-resolution imagery or point measurements and ultimately determines the trend

4 (intercept >0 and slope <1) of the regressions in Fig. 6. Similar slope and closer correlations have been

5 observed by comparing early MODIS retrievals with surface measurements using high-resolution

6 remotely sensed imagery (Landsat7 ETM+) to characterize the land cover heterogeneity in the MODIS

7 pixel (Liang et al., 2002). The negative bias of MODIS at the sites with larger albedo has been reported

8 also by Wang et al. (2010) and has been mostly attributed to the underestimation of visible albedo.

9 The mean error and mean absolute error of the MODIS retrievals vs. the in situ observations are

10 reported in Fig. 7a together with the R^2 of the regression computed for the single sites. The mean error

11 is a measure of the retrieval accuracy and is on average negative (-0.004) implying that the magnitude

12 of the MODIS surface albedo is on average slightly lower than in situ observations. It is also interesting

13 to notice that the spread is considerably larger among the sites with a negative error both in terms of

14 mean error and mean absolute error. The R^2 observed at single sites, proportional to dot size in Fig. 7a,

15 is largely independent of the mean error since it mostly depends on the seasonal variability of the

16 measurements. For this reason, sites with a marked seasonality in albedo (i.e. deciduous forests, crops

17 and boreal ecosystems) typically show a higher R^2 than tropical or Mediterranean evergreen forests.

18 The error distribution peaks in the -0.01 class and is skewed to the left, with larger errors at sites where

19 MODIS underestimates surface measurements (Fig. 7b). The mean values of STscore (geostatistical

20 index proportional to the representativity of in-situ measurements in the MODIS pixel) in the different

21 classes of mean error shows several interesting features (Fig. 7b). The highest mean value of the score

22 (3.9) is in the class at zero mean error, confirming the validity of this approach to quantify the spatial

23 representativeness of experimental sites. The values of ST_{score} is considerably lower (1-1.4) at sites

24 characterized by a negative error (MODIS<in situ) and the variability of this index is rather limited

25 between sites. At these sites the towers are probably located in a brighter spot than the average of the

15

1   pixel and typically pertain to the categories of grassland (GRA) or cropland (CRO) (Table 2). On the

2   contrary sites with a positive bias (MODIS>In situ albedo), where the tower spot is located on an area

3   darker than the surroundings, show intermediate value of the score (2.5) and a large variability between

4   sites.

5   **[Figure 7]**

6   **[Table 2]**

7   Giving that the MODIS albedo product is reported at 500 m resolution while the retrieval algorithm is

8   based on multiangular reflectances that extend over this area, landscape heterogeneity at scales larger

9   than 500 m may ultimately affect the uncertainty in the retrievals. To assess the impact of the spatial

10   heterogeneity on the accuracy of MODIS retrievals we computed the mean absolute percentage error

11   for sites ensembles at increasing levels of spatial variability (expressed as standard deviation of

12   MODIS albedo in the 7x7 km^2 area surrounding the site or as ST_{score}). Figure 8 shows that the error

13   between satellite and in situ measurements increases with the spatial variability of albedo, further

14   demonstrating the crucial issue of the spatial variability of surface albedo in the point to pixel

15   intercomparisons.

16   Both MODIS standard deviation and ST_{score} show sharp variations for values of the mean absolute

17   percentage error up to 12%. Above this threshold both indexes are no longer correlated with the error.

18   The trend of the two spatial indexes is remarkably similar though in opposition, since MODIS standard

19   deviation increases with the spatial variability while ST_{score} increases with spatial homogeneity. Despite

20   the different spatial domain (7x7 and 1.5 km) the two indexes are strongly correlated (Fig. 8 inset) with

21   an R^2 of 0.90. These results demonstrate that a close match between surface measurements and satellite

22   retrievals is achievable only at the most spatially homogenous sites, where the canopy optical

23   properties are scale-invariant. These golden sites can be effectively detected with both statistical

24   analysis of MODIS albedo or with higher resolution images and detailed geostatistical indexes.

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2   **[Figure 8]**

3   **3.3. Seasonal trends**

4   Seasonal patterns in the relationship between site-level averages of MODIS and FLUXNET albedo are

5   reported in Figure 9. In all seasons, the relationship between MODIS (y axis) and in situ (x axis) albedo

6   has a slope ranging from 0.71 to 0.74 and positive intercept, meaning that MODIS over-estimates

7   surface albedo of low-albedo sites, and under-estimates albedo of high-albedo sites. The lowest

8   correlation (R^2=0.73) is observed for winter values (from December to February) and the highest

9   (R^2=0.82) for fall values (from September to November). Similarly, larger differences between in situ

10 and satellite based estimation of surface albedo in winter season were observed in Jin et al. (2003), who

11 suggested that this was the result of the increased heterogeneity of surface reflectivity due to the

12 presence of residual snow and canopy heterogeneity.

13 **[Figure 9]**

14 The inset in Fig. 9a shows the correlation matrix of the errors observed in the different seasons. All

15 correlation coefficients are positive, meaning that sites with surface measurements larger than satellite

16 retrievals in one season tend to have the same behaviour in the other seasons. Thus, site-specific biases

17 are coherent over time, possibly indicating mismatches between the footprint of tower radiometric

18 instruments and the corresponding MODIS pixel, calibration errors of the tower radiometric

19 instruments, inappropriate estimation of aerosol optical depths or systematic errors in MODIS retrieval.

20 **[Figure 10]**

21 In Figure 10 the seasonal trends of satellite and in situ data for a set of PFTs are reported with their

22 standard deviation, and superimposed on the range of MODIS albedo observed at the global scale for

23 the same PFTs (grey bands represent the 10-90 percentile interval). An exceptionally good agreement

24 between the two independent albedo estimates is observed for forest PFTs, both in terms of absolute

17

1 albedo values and seasonal patterns. In contrast, for non-forest PFTs (CRO and GRA) MODIS

2 retrievals are systematically smaller than surface measurements, while their respective seasonal

3 patterns are in agreement as observed also by Davidson & Wang (2004). The mismatch observed at the

4 non-forest sites is likely due to the extreme fragmentation of these landscapes and to the very limited

5 spatial footprint of surface radiometric measurements performed at these sites (i.e. over short

6 vegetation albedometers are typically installed few meters above ground). Wang et al. (2010) reported

7 that the average negative bias of MODIS albedo is probably due to the underestimation of visible

8 surface reflectance and that this phenomenon may be more pronounced for herbaceous canopies with

9 larger albedo in the visible bands.

10 The large variability of CRO and GRA surface albedo (Fig. 10) can be ascribed to the spatial variability

11 in LAI, to the variable amount of exposed soil and soil moisture content, to the rapid temporal dynamic

12 of the canopy in response to climatic drivers like temperature and water status (Gao et al., 2005) as well

13 as to management practices (e.g. planting, harvesting, grazing, crop type, etc.) (Tittebrand et al., 2009).

14 Given the large spatial variability of GRA and CRO albedo, future intercomparison of satellite and in

15 situ observation for these PFTs should be performed preferentially at selected sites with homogenous

16 crop cover and management practices at the MODIS spatial resolution.

17 ENF and EBF snow-free albedo do not show any significant seasonal trend and monthly values are

18 around 0.1. EBF do not show seasonal variation also at the global scale, while larger variability is

19 observed for ENF in winter months. A clear seasonality is shown by DBF+MF and CRO, with higher

20 values at the peak of the growing season, in line with the results of other in situ studies (Sellers et al.,

21 1997). The seasonal pattern of GRA is the opposite, with a winter maximum in albedo. The increase of

22 GRA albedo from August, observed both in MODIS and FLUXNET data, is probably due to vegetation

23 senescence, with the increase of exposed soil and dead biomass and the drying of stalks and seeds

24 during summer months.

25

4. Conclusions

Surface albedo is a key parameter in the Earth's energy balance since it affects the amount of solar radiation directly absorbed at the planet surface. Its variability in time and space can be retrieved through the use of remote sensing products, available nowadays at high temporal and spatial resolution from different satellite platforms (e.g. Terra and Aqua MODIS observations are used to retrieve albedo every 16 days at a spatial resolution of 500 x 500 m starting March 2000).

Careful intercomparisons with in situ measurements of surface albedo are crucial to evaluate and improve the quality of remote sensing products. In this context the "La Thuile" FLUXNET dataset offers an unprecedented opportunity to select sites according to strict conditions of landscape homogeneity and across a wide range of PFTs and geographical areas. When compared to the latitudinal distribution of surface albedo, the FLUXNET data set clearly shows a bias towards darker vegetated areas in the Northern hemisphere. In this respect, the biased distribution of the terrestrial dataset in the global albedo domain should be taken into account when using in situ FLUXNET albedo data in the parameterization of land surface models.

The key issue in the intercomparison of satellite and in situ measurements is the spatial representativeness of the latter in the satellite pixel. The MODIS pixel can be 100-1000 times larger than the footprint of in situ observations, depending on the height of the albedometer above the canopy top. For this reason a careful classification of FLUXNET sites has been performed to select only those sites with the lower level of heterogeneity. Albedo measurements at the sites passing the selection are in excellent agreement for most forest sites, while satellite retrievals underestimate *in situ* measurements for PFTs with larger values of albedo (typically non-forest ecosystems as CRO and GRA).

When seasonal patterns of MODIS albedo are compared, the match is extremely good for all forest PFTs. These results quantitatively document the quality achieved with satellite retrievals of surface

albedo over a range of forest ecosystems. On the contrary, in non-forest sites satellite retrievals

systematically underestimate *in situ* measurements across the whole seasonal cycle with a minimum

error at the peak of the growing season. However, in the interpretation of these results it should be

considered that the footprint of the surface radiometric measurements is extremely limited in these

ecosystems, since sensors are generally installed few meters above the ground. In addition the temporal

and fine-scale spatial variability of the canopy reflectance can be extremely large in non-forest PFTs

due to management practices and rapid LAI dynamics (Tittebrand et al., 2009).

The intercomparison of surface measurements and satellite retrievals is affected by the surface

heterogeneity at various spatial scale. The sub-pixel variability affects the difference between the signal

in the footprint of the albedometer and the 500 m MODIS pixel, while the between pixels variability

affects the accuracy of the inversion algorithm. At smaller scale, the combination of quantitative

indexes based on variogram analysis of high resolution images confirms that FLUXNET sites in

herbaceous ecosystems (CRO and GRA) are the less homogenous and should therefore be carefully

evaluated in point to pixel intercomparisons. At a coarser scale, the variability of the MODIS albedo in

the 7x7 Km^2 area is related to the mismatch of MODIS retrievals and in situ measurements, further

corroborating the relevance of large scale landscape homogeneity in the comparison of surface

measurements and satellite retrievals.

The results of this investigation clearly show the need for the future to characterize the spatial

heterogeneity of reference sites using a combination of surface measurements, airborne and finer scale

satellite imagery (Román et al., 2009). To overcome the limitations of the point to pixel albedo inter-

comparisons, future field and airborne surveys should be planned to address the issue of spatial scales

and landscape heterogeneity. Current approaches for measuring in-situ albedo are not adequate to

describe mixed or highly heterogeneous landscapes such as mixed forests, open shrublands, savannas

and croplands. For this purpose only spatially-distributed measurements (i.e., airborne laser scanning,

network of tall towers) could produce observations at the required scale. For albedo in particular, multi-

angle airborne instruments such as AirMISR (Diner et al., 1998), AirPOLDER (Chen et al., 1997),

NASA's Cloud Absorption Radiometer (Gatebe et al., 2003) and the Compact Airborne Spectrographic

Imager (CASI) data (Chen et al., 1999) could be effectively used to retrieve surface-level bidirectional

reflectance measurements at landscape scale and therefore effectively complement moderate resolution

satellite systems like MODIS and MISR.

Acknowledgments

Figure captions

Figure 1 – Spatial distribution of the 120 FLUXNET sites for which albedo measurements are available. Green dots represent sites selected for the analysis according to plant cover homogeneity at 1 Km^2 scale (n=53, visual classification based on high resolution Google Earth™ images, e.g. Fig 2).

Figure 2 – Frequency distribution of synchronous MODIS retrievals and in situ measurements classified according to year (panel a) and month (panel b) of observation. The vegetation is coded according to the IGBP classification: CRO, croplands; CSH, closed shrublands; DBF, deciduous broad-leaf forests; EBF, evergreen broad-leaf forests; ENF, evergreen needle-leaf forests; GRA, grassland; MF, mixed forests; OSH, open shrublands; SAV, savannas; WET, permanent wetlands; WSA, woody savannas. Validation sites are separated into forest (ENF, EBF, DBF, MF, SAV and WSA) and non forest (OSH, CSH, CRO, GRA, WET).

Figure 3 – Global distribution of MODIS albedo (0.05° res.) categorized for latitudinal bands (panel a) and Plant Functional Types (panel b). In both panels black dots represent global MODIS averages (grey bars show the 10-90 percentile intervals) and white dots represent MODIS retrievals at the 53 FLUXNET sites reported in Table 1.

Figure 4 – Examples of high resolution Google Earth™ images used to visually classify the FLUXNET sites according to land cover homogeneity. Images cover an area of 1km^2 area centered at the tower coordinates.

Figure 5 – Time series of synchronous in situ observations (open dots) and MODIS retrievals (black dots) at the four sites shown in Fig. 4.

Figure 6 – MODIS retrievals *versus* in situ observations of clear-sky and snow-free albedo. a) Averages and standard deviations of retrievals (temporal and spatial variability are accounted for)

1   grouped by Plant Functional Types (PFT), b) Average ground measurements and retrievals at

2   individual sites classified by PFT.

3   Figure 7 – Mean error and mean absolute error of MODIS albedo retrievals at FLUXNET sites. Site

4   numbers are reported in Table1; dot size is proportional to the R^2 of the regression of daily

5   MODIS retrievals *versus* in situ measurements.

6   Figure 8 – Mean absolute percentage error of MODIS retrievals versus in situ measurements as a

7   function of the standard deviation of MODIS retrievals in the 7x7 Km grid centered at the site.

8   Sites located in a more homogeneous landscape (lower spatial variability of albedo) are

9   characterized by a lower discrepancy between in situ observations and satellite retrievals.

10   Figure 9 – Seasonal average values of clear-sky snow-free albedo at the 53 homogeneous sites as

11   measured at the FLUXNET sites or retrieved from MODIS. Winter values (DJF) show the

12   lowest correlation. The first panel includes the correlation matrix of the residuals (MODIS

13   retrievals- in situ albedo) in the four seasons.

14   Figure 10 – Seasonal trends of albedo for the different plant functional types as derived from in situ

15   observations (white dots) and MODIS retrievals (black dots). The grey bands show the

16   observed variability (10-90 percentiles) of the MODIS retrieved albedo at global scale for each

17   plant functional type.

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Table 1 - Site characteristics derived from the FLUXNET database. *N obs* indicates the number of days of synchronous recordings of MODIS and in situ albedo. The averages of MODIS retrievals and in situ observations are reported in the two rightmost columns.

N	SITE ID	COUNTRY	PFT	Lat. [deg]	Long. [deg]	N obs	MODIS albedo	In situ albedo	ST_{score}
1	AU Tum	Australia	EBF	-35.66	148.15	733	0.11	0.11	9.75
2	AU Wac	Australia	EBF	-37.43	145.19	275	0.09	0.10	11.30
3	BR Cax	Brazil	EBF	-1.72	-51.46	67	0.12	0.12	5.33
4	BR Sa3	Brazil	EBF	-3.02	-54.97	79	0.13	0.12	6.23
5	BW Ghg	Botswana	SAV	-21.51	21.74	28	0.16	0.18	0.67
6	BW Ghm	Botswana	WSA	-21.20	21.75	28	0.18	0.17	NA
7	BW Ma1	Botswana	WSA	-19.92	23.56	252	0.16	0.14	5.42
8	CA Ca1	Canada	ENF	49.87	-125.33	580	0.09	0.09	5.99
9	CA Ca3	Canada	ENF	49.53	-124.90	552	0.13	0.14	0.64
10	CA NS6	Canada	OSH	55.92	-98.96	353	0.10	0.12	NA
11	CA SF2	Canada	ENF	54.25	-105.88	363	0.11	0.11	1.72
12	CA SF3	Canada	ENF	54.09	-106.01	362	0.10	0.10	3.14
13	CA WP1	Canada	MF	54.95	-112.47	353	0.11	0.13	1.65
14	CZ BK1	Czech Republic	ENF	49.50	18.54	148	0.09	0.10	3.18
15	DE Geb	Germany	CRO	51.10	10.91	328	0.17	0.18	0.90
16	DE Hai	Germany	DBF	51.08	10.45	451	0.13	0.13	1.97
17	DE Kli	Germany	CRO	50.89	13.52	256	0.16	0.19	0.62
18	DE Tha	Germany	ENF	50.96	13.57	477	0.10	0.07	5.99
19	DE Wet	Germany	ENF	50.45	11.46	375	0.07	0.05	1.55
20	ES ES2	Spain	CRO	39.28	-0.32	298	0.14	0.13	0.91
21	ES LMa	Spain	SAV	39.94	-5.77	455	0.16	0.19	1.89
22	FR Fon	France	DBF	48.48	2.78	162	0.14	0.13	0.51
23	FR Hes	France	DBF	48.67	7.07	474	0.15	0.14	1.44
24	FR Pue	France	EBF	43.74	3.60	401	0.11	0.12	0.87
25	GF Guy	French Guyana	EBF	5.28	-52.93	216	0.12	0.10	1.92
26	HU Bug	Hungary	GRA	46.69	19.60	523	0.16	0.20	1.74
27	IE Dri	Ireland	GRA	51.99	-8.75	160	0.20	0.22	1.05
28	IT Bon	Italy	ENF	39.48	16.54	174	0.10	0.08	2.43
29	IT Col	Italy	DBF	41.85	13.59	183	0.14	0.15	2.60
30	IT SRo	Italy	ENF	43.73	10.28	512	0.08	0.09	3.10
31	JP Mas	Japan	CRO	36.05	140.03	177	0.12	0.13	1.15
32	KR Kw1	Korea	MF	37.75	127.16	216	0.09	0.09	3.81
33	NL Ca1	Netherlands	GRA	51.97	4.93	369	0.17	0.22	1.03
34	NL Lan	Netherlands	CRO	51.95	4.90	108	0.17	0.18	1.44
35	NL Loo	Netherlands	ENF	52.17	5.74	404	0.11	0.09	1.40
36	PT Esp	Portugal	EBF	38.64	-8.60	437	0.13	0.11	0.86
37	RU Che	Russia	MF	68.61	161.34	104	0.15	0.16	0.54
38	SE Nor	Sweden	ENF	60.09	17.48	234	0.10	0.09	3.84
39	UK Gri	UK	ENF	56.61	-3.80	15	0.10	0.09	1.48
40	US Aud	USA	GRA	31.59	-110.51	1244	0.18	0.21	0.62
41	US Bn1	USA	ENF	63.92	-145.38	104	0.11	0.09	0.56
42	US Bo1	USA	CRO	40.01	-88.29	721	0.16	0.19	NA
43	US Bo2	USA	CRO	40.01	-88.29	289	0.16	0.19	NA
44	US Fmf	USA	ENF	35.14	-111.73	247	0.10	0.12	3.08
45	US FPe	USA	GRA	48.31	-105.10	775	0.15	0.16	1.05
46	US Fuf	USA	ENF	35.09	-111.76	231	0.10	0.13	1.72
47	US IB1	USA	CRO	41.86	-88.22	349	0.15	0.16	0.76
48	US Ivo	USA	WET	68.49	-155.75	62	0.18	0.19	NA
49	US MMS	USA	DBF	39.32	-86.41	639	0.13	0.13	8.75
50	US MOz	USA	DBF	38.74	-92.20	563	0.12	0.11	2.17
51	US SRM	USA	WSA	31.82	-110.87	826	0.17	0.16	2.13
52	US WCr	USA	DBF	45.81	-90.08	487	0.14	0.15	1.82
53	ZA Kru	South Africa	SAV	-25.02	31.50	447	0.15	0.15	1.34

Table 2 – PFT averages of mean albedo (ground observation and satellite retrievals) and geostatistical indexes of landscape heterogeneity based on Landsat images. The analysis is limited to the 48 sites with available Landsat7 images. Records are shown in descending order of ST_{score}.

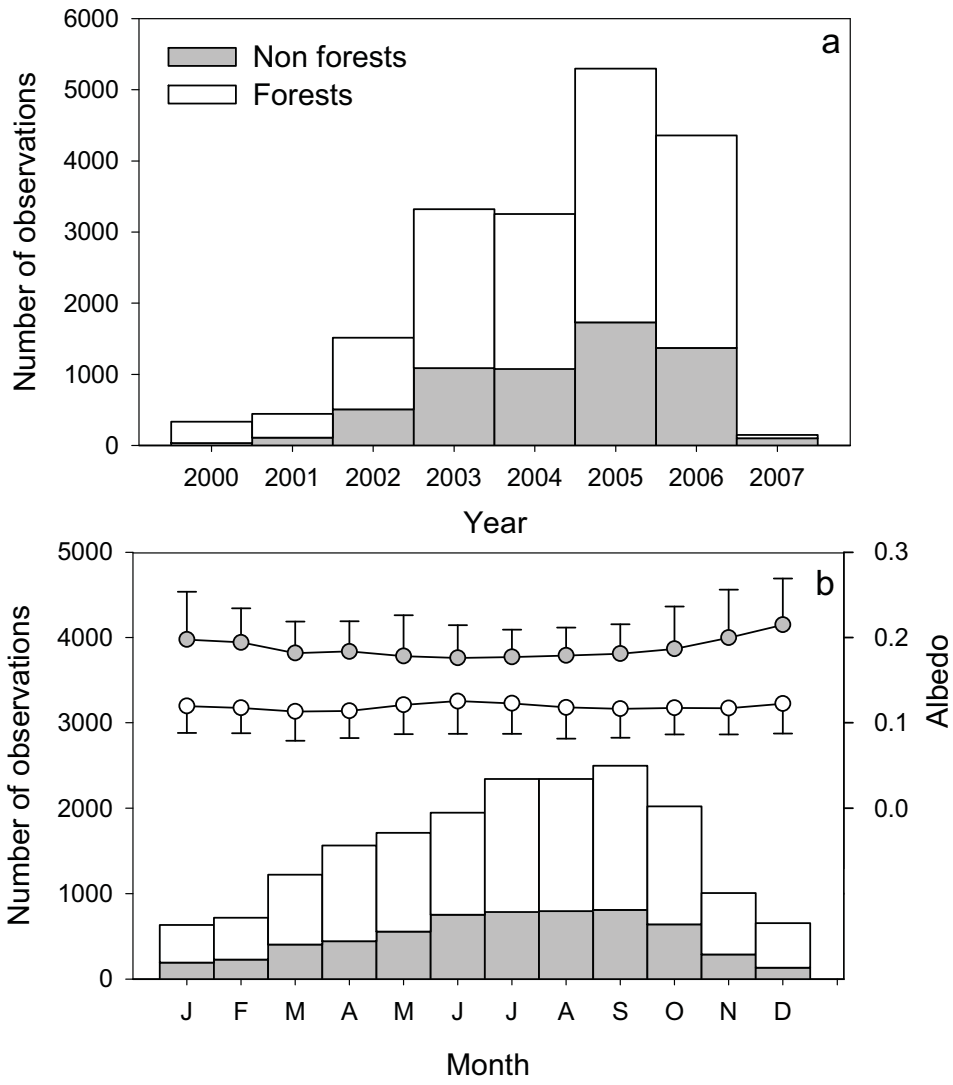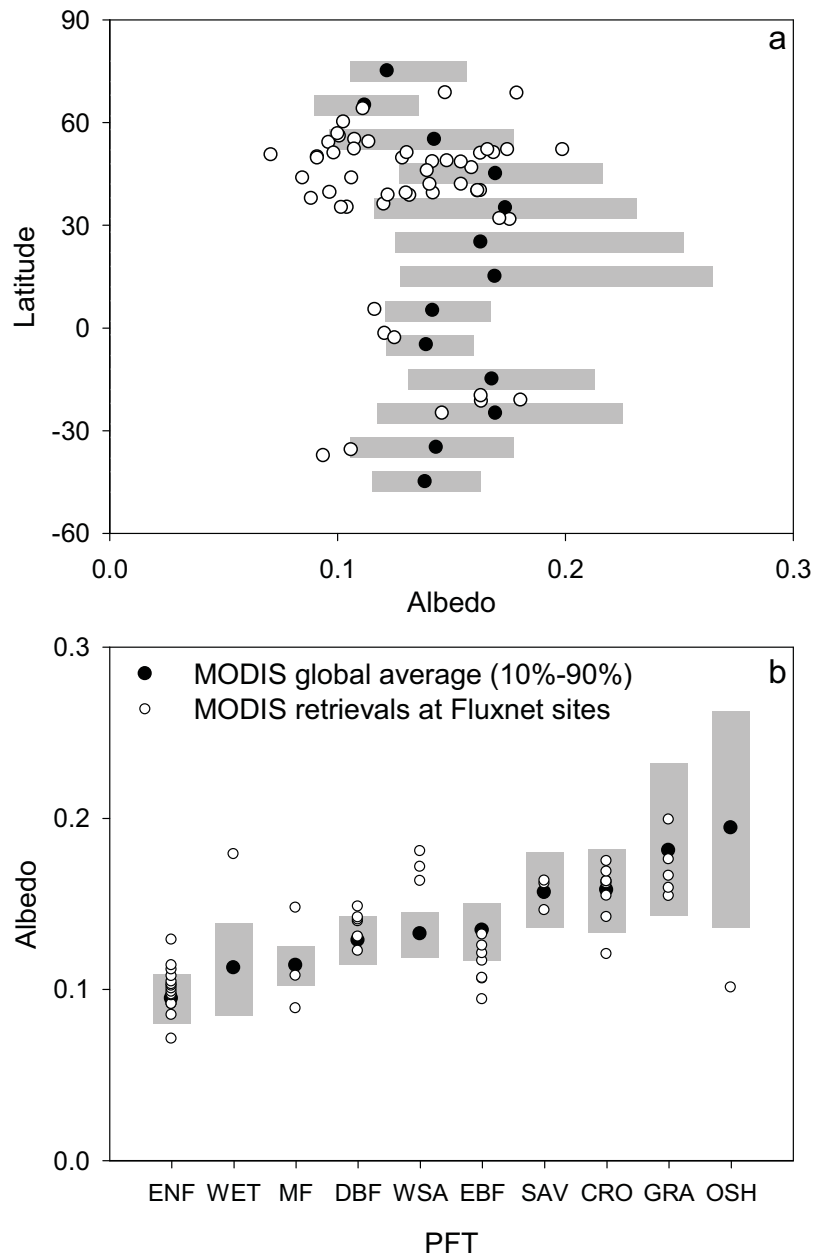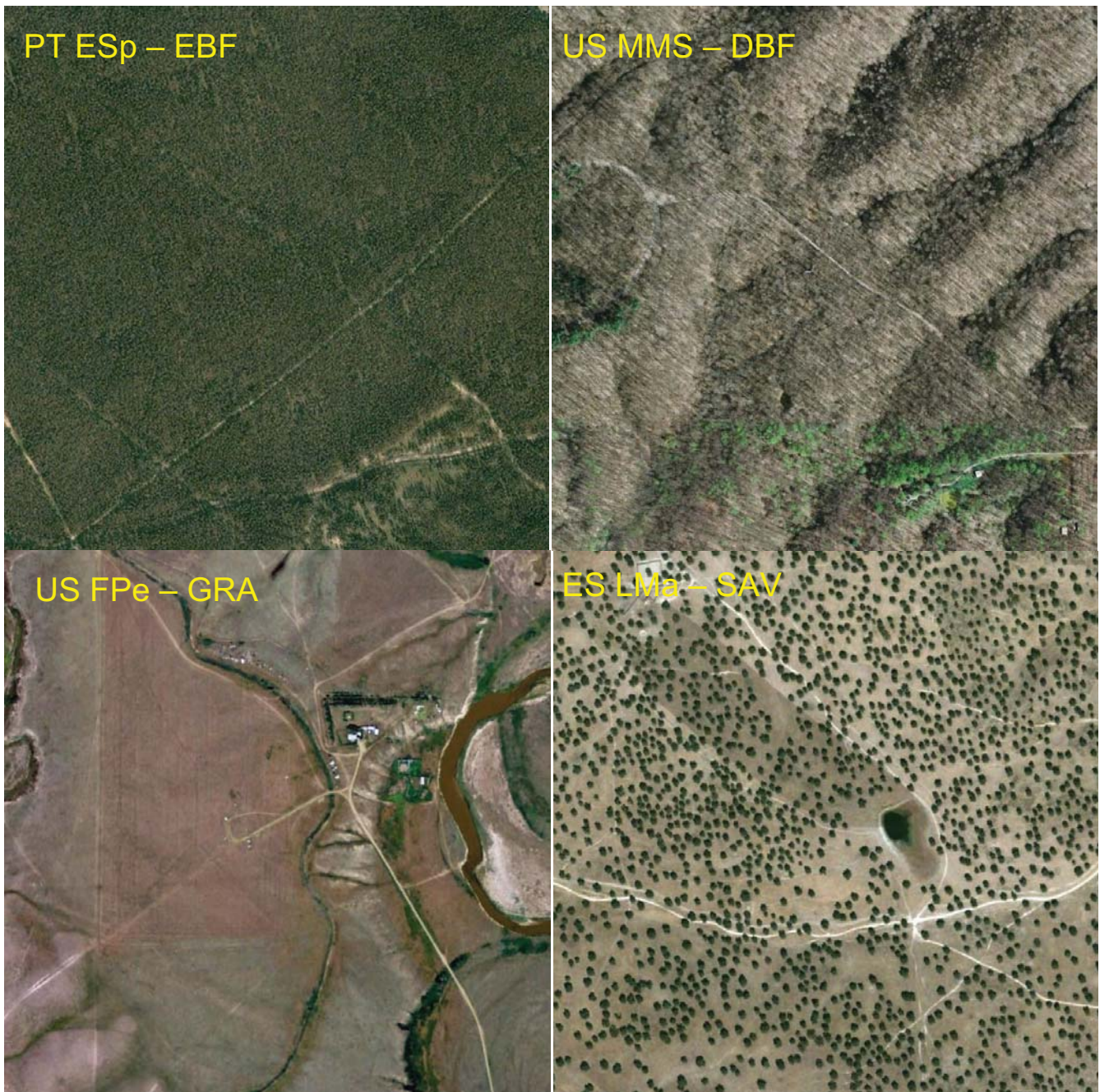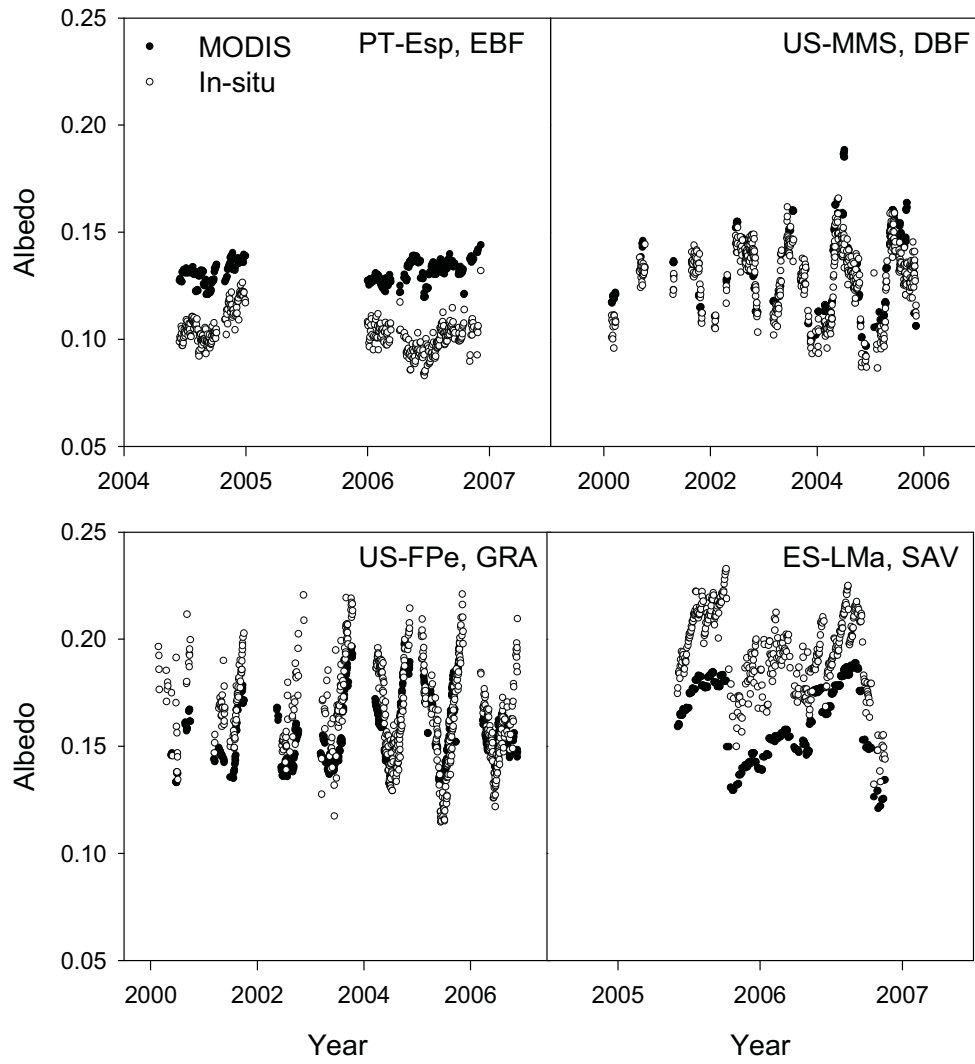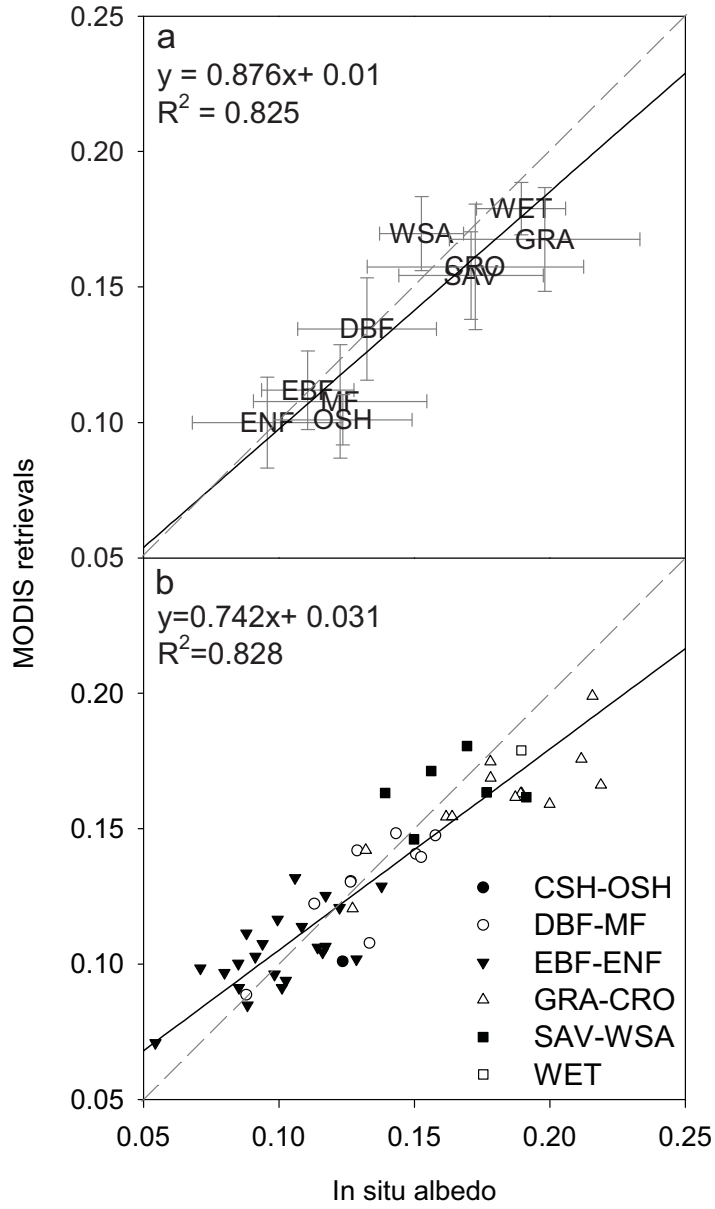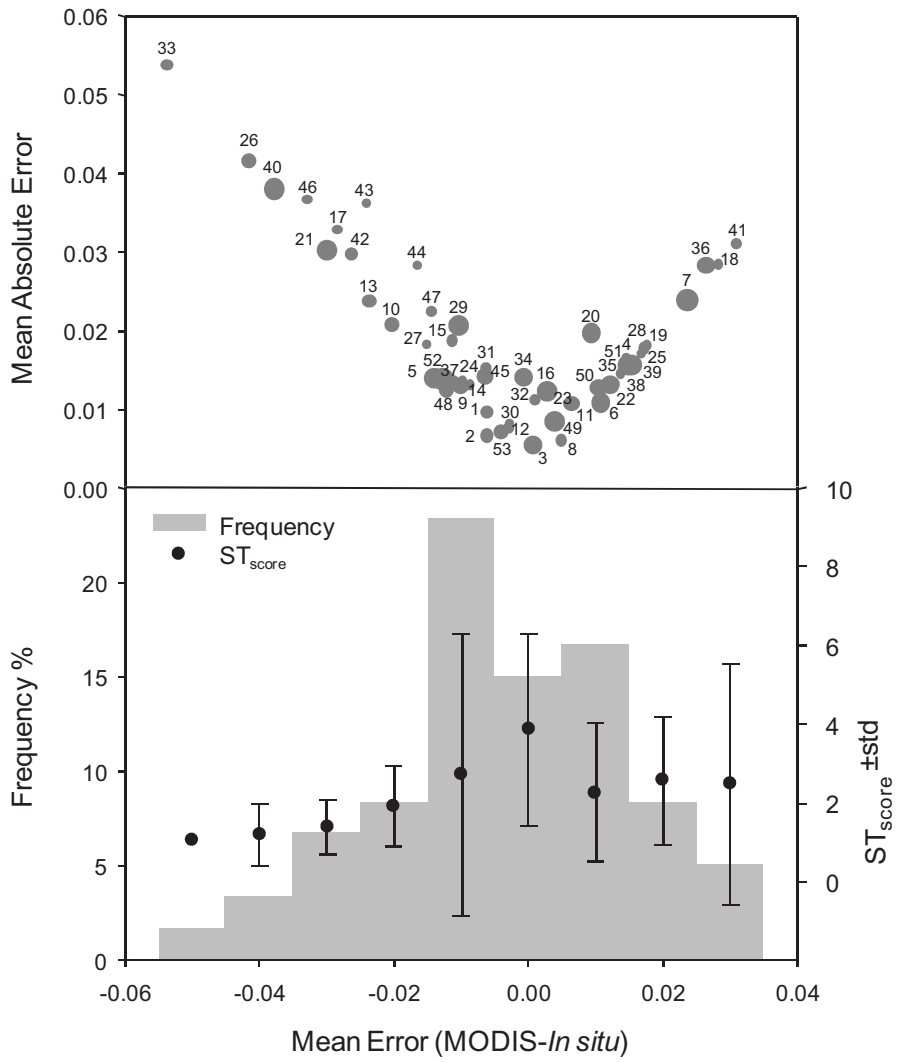| PFT	N sites	MODIS	*In-situ*	MAE	R_{CV}	R_{SE}	R_{SV}	R_{ST}	ST_{score}
EBF	7	0.115	0.109	0.014	17%	20%	-3%	48%	5.18
WSA	2	0.167	0.148	0.020	14%	52%	31%	-3%	3.77
DBF	7	0.138	0.136	0.013	42%	15%	78%	10%	2.75
ENF	15	0.100	0.095	0.017	24%	23%	44%	17%	2.65
MF	3	0.115	0.126	0.016	41%	39%	41%	56%	2.00
SAV	3	0.158	0.174	0.017	9%	58%	61%	-13%	1.30
GRA	5	0.172	0.203	0.033	13%	82%	63%	-1%	1.10
CRO	6	0.155	0.164	0.020	11%	85%	7%	24%	0.96

Figure 1
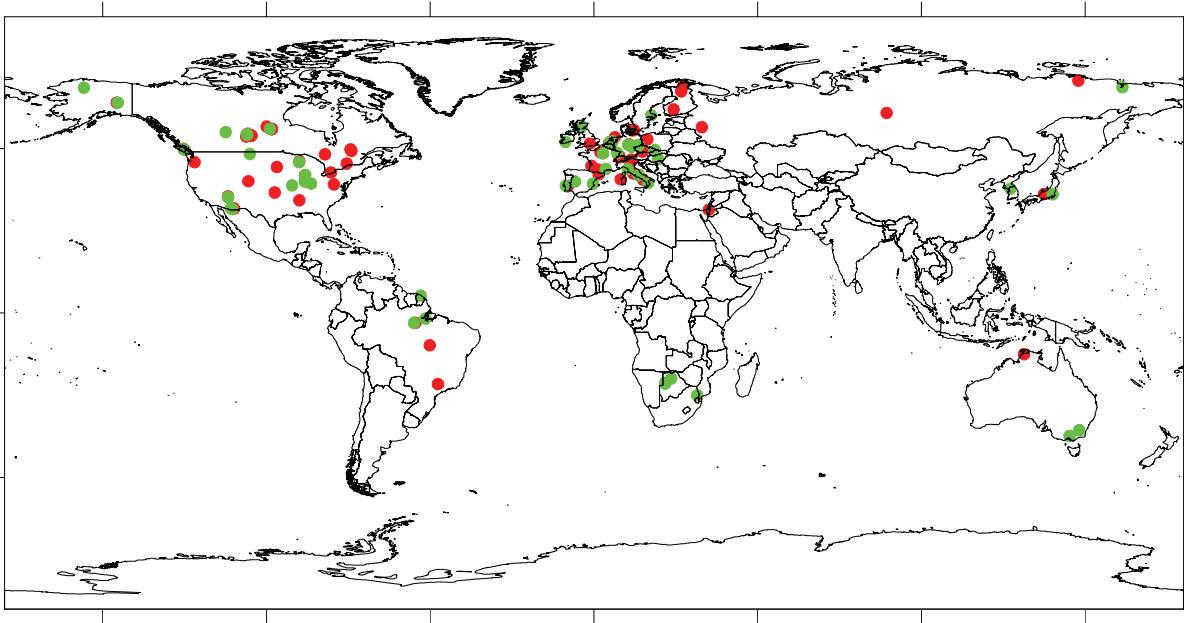
Figure 2

Figure 3

Figure 4

Figure 5

Figure 6

Figure 7

Figure 8

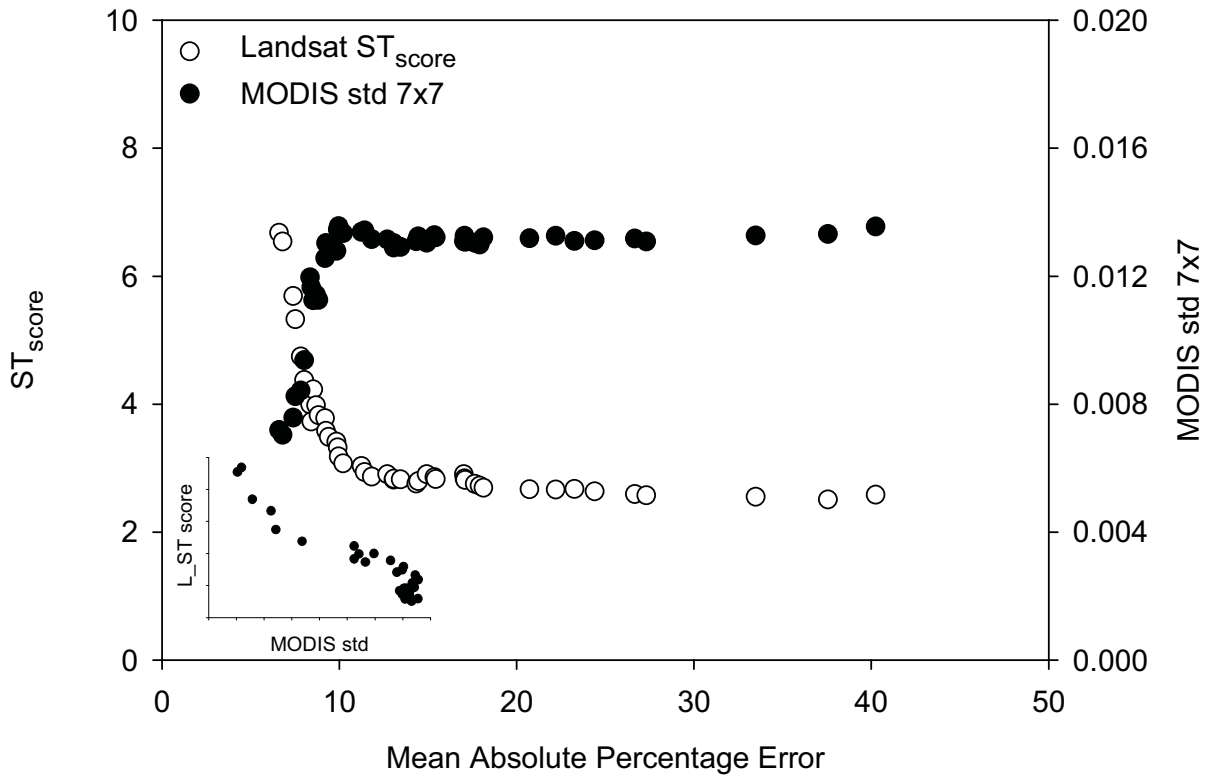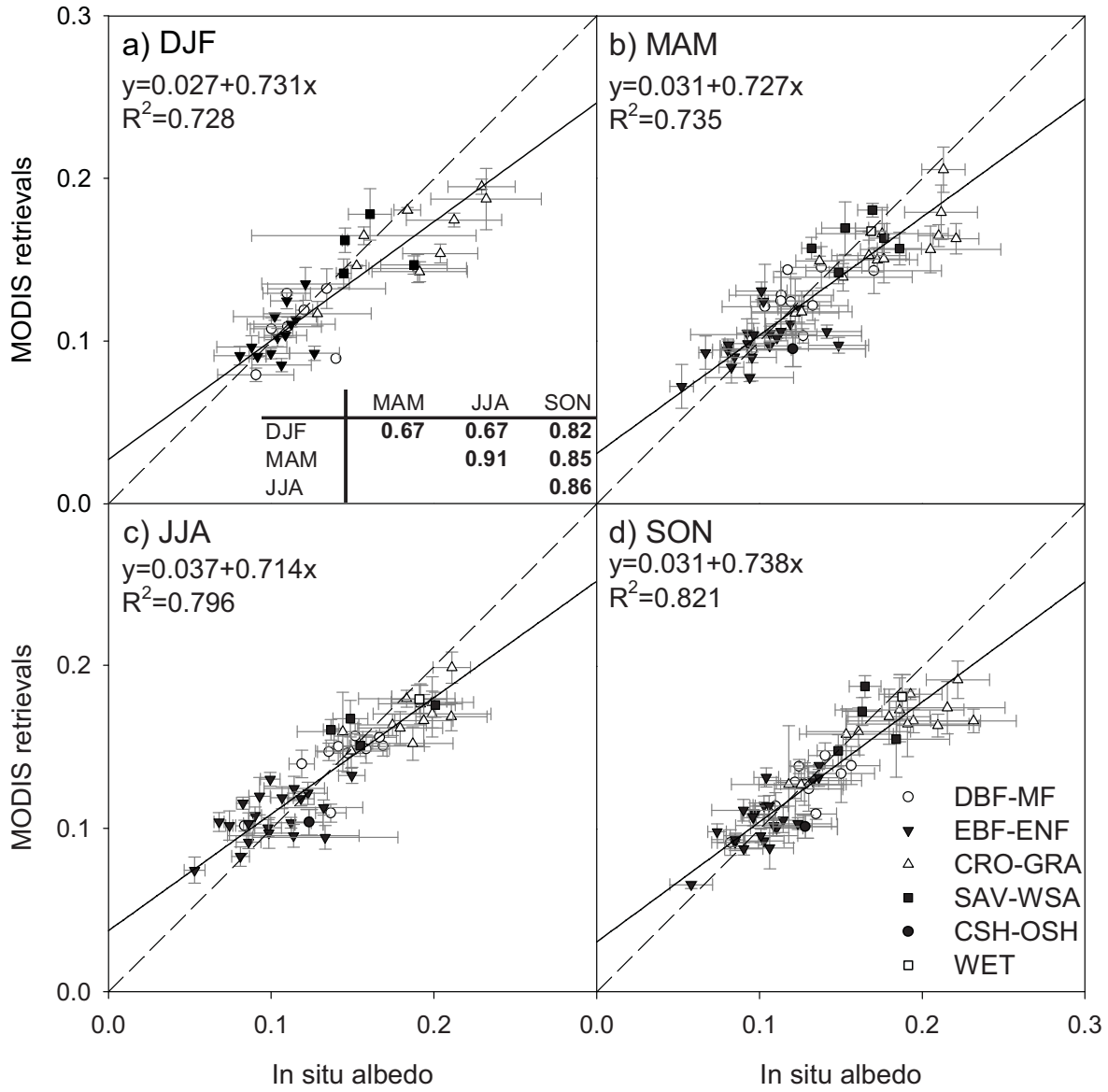Figure 9

a) DJF
y=0.027+0.731x
R^2=0.728

b) MAM
y=0.031+0.727x
R^2=0.735

	MAM	JJA	SON
DJF	0.67	0.67	0.82
MAM		0.91	0.85
JJA			0.86

c) JJA
y=0.037+0.714x
R^2=0.796

d) SON
y=0.031+0.738x
R^2=0.821

○ DBF-MF
▼ EBF-ENF
△ CRO-GRA
■ SAV-WSA
● CSH-OSH
□ WET

MODIS retrievals

In situ albedo

Figure 10