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THE NUMBER OF TOPOLOGIES

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ABSTRACT. By means of  $T_0$ -identification spaces a formula is derived for the number of nonhomeomorphic topologies on a finite set. As a result of proving that special families of nonprincipal ultratopologies on an infinite set X have cardinality  $2^{2|X|}$ , it follows that the number of nonhomeomorphic  $T_5$ -topologies on X is  $2^{2|X|}$ .

1. Introduction. Motivated by Sharp's question [6, page 1347] to find a formula for the number of nonhomeomorphic topologies on a finite set, an investigation of T<sub>0</sub>-identification spaces has led us to a new procedure for counting these topologies. Our procedure is to count in subclasses of T<sub>0</sub>-topologies on partitions of the set. An earlier answer [1, Theorem 7(ii)] to Sharp's question sums over a combinatorial arrangement based on connected topologies. In the course of our development, a new proof is given for the known formula for the number of topologies on a finite set.

The family of nonprincipal ultratopologies on an infinite set X is partitioned into |X| classes and it is shown that each class contains  $2^{2|X|}$  nonhomeomorphic ultratopologies. Since a nonprincipal ultraspace is known to be a  $T_5$ -space, it then follows that the number of nonhomeomorphic  $T_5$ -topologies on X is  $2^{2|X|}$ .

2.  $T_0$ -identification spaces. These spaces were originated by Stone [8] and were expounded by Thron [9, pages 91, 92] whose notation we use.

THEOREM 2.1. If X is a set, Y is a partition of X and V is a  $T_0$ -topology on Y, then there is a unique topology T on X such that (Y,V) is the  $T_0$ -identification space of (X,T).

PROOF. Since Y is a collection of disjoint subsets of X which covers X, for each  $x \in X$  there is exactly one  $D_X \in Y$  such that  $x \in D_X$ . Let  $f: X \to Y$  by  $f(x) = D_X$ . By Theorem 10.10 in [9] the family  $T = \{ f^{-1}(B) : B \in V \}$  is the weakest topology on X

such that f is continuous. We shall show that (Y, V) is the  $T_0$ -identification of (X, T).

Let  $x,y \in X$ . If  $y \in D_X$  and  $x \in f^{-1}(B)$  where  $B \in V$ , then since  $f^{-1}(B) = \bigcup \{D_X : D_X \in B\}$ , it follows that  $y \in f^{-1}(B)$ , i.e., each member of  $D_X$  is in every open subset of X which contains X. On the other hand, if  $y \notin D_X$ , then  $D_Y \cap D_X = \emptyset$ . Since (Y,V) is  $T_0$ , there exists  $B \in V$  which contains  $D_Y$  or  $D_X$ , but not both. Hence  $f^{-1}(B)$  contains X or Y, but not both. Therefore the members of Y are exactly the classes which are determined by the equivalence relation on X where  $X \approx Y$  iff  $X \in Y$ .

Let U be the quotient topology on Y determined by f. Since U is the strongest topology on Y such that f is continuous,  $V \subset U$ . If  $G \in U$ , then  $f^{-1}(G) \in T$  and there is  $B \in V$  such that  $f^{-1}(B) = f^{-1}(G)$ . Since f is onto, B = G.

To see that T is unique, let R be a topology on X such that (Y,V) is the  $T_0$ -identification of (X,R). Since T is the weakest topology on X such that f is continuous,  $T \subseteq R$  Suppose  $S \in R \setminus T$ . Since f is an open map,  $f(S) \in V$ . So  $f^{-1}(f(S)) \in T$  and there is  $f \in f^{-1}(f(S)) \setminus S$ . Now  $f \in D_S$  for some  $f \in S$ . Thus  $f \in S$  is a member of a set in  $f \in S$  not containing  $f \in S$ , which contradicts the equivalence relation  $f \in S$ .

COROLLARY. For any nonempty set there is a 1-1 correspondence between the family of all topologies on the set and the family of all T<sub>0</sub>-topologies on partitions of the set.

THEOREM 2.2. Let T and S be topologies on X. Let Y (respectively, Z) be the  $T_0$ -identification space of (X,T) (respectively (X,S)). Then (X,T) and (X,S) are homeomorphic iff there is a homeomorphism k from Y onto Z such that  $|k(D_X)| = |D_X|$  for each  $D_X \in Y$ .

PROOF. Let f (respectively g) be the  $T_0$ -identification map from (X,T) (respectively (X,S)) onto Y (respectively Z). For  $x \in X$ , let  $D_X$  (respectively [x]) be the member of Y (respectively Z) containing x.

 $(\Rightarrow)$  Let h be a homeomorphism from (X,T) onto (X,S). We shall show that  $k=ghf^{-1}$  satisfies the theorem. It is easily verified that  $h(D_X)=[h(x)]$  for each  $x\in X$ .

Since  $g(h(f^{-1}(D_X))) = [h(x)]$ , the map k is onto. To see that k is 1-1, let  $k(D_X) = k(D_Y)$ . Then [h(x)] = [h(y)], and therefore  $\overline{h(x)} = \overline{h(y)}$ . It follows that  $\{\overline{x}\} = \{\overline{y}\}$  and thus  $D_X = D_Y$ . Clearly, k and  $k^{-1}$  are continuous. Furthermore, h is a 1-1 map of  $D_X$  onto  $[h(x)] = k(D_X)$ , so that  $|k(D_X)| = |D_X|$ .

(⇐) Since k is 1-1, onto and  $|k(D_X)| = |D_X|$ , there is a map h: X → X such that  $h|_{D_X}$  is a 1-1 map onto  $k(D_X)$  for each  $D_X \in Y$ . Clearly, h is a 1-1, onto map. If  $G \in S$ , then  $G = \bigcup\{\{x\}: x \in G\}$  and thus  $h^{-1}(G) = f^{-1}(k^{-1}(g(G)))$ . Therefore h is continuous. Similarly,  $h^{-1}$  is continuous.

3. Finite case. By S(n,k) we denote the Sterling numbers of the second kind. From [5, page 99] S(n,k) is the number of partitions of a set of n points into k pieces.

THEOREM 3.1. [2] If  $\tau_n$  is the number of topologies on a set of n points and if  $\gamma_k$  is the number of  $T_0$ -topologies on a set of k points, then

$$\tau_n = \sum_{k=1}^n S(n,k) \gamma_k$$

PROOF. Noting that a topology on a partition composed of k subsets is a topology on a set of k points, the formula is a consequence of the Corollary to Theorem 2.1.

Motivated by Theorem 2.2, partitions L and P of X are said to be akin if there is a map  $f: L \to P$  which is 1-1, onto and |f(A)| = |A| for each  $A \in L$ . Also, L and P with topologies are called p-homeomorphic if there is a homeomorphism from P onto L such that |f(A)| = |A| for each  $A \in L$ . Then akin is an equivalence relation on the family of partitions of X, the set of equivalent classes  $\widetilde{X}$  corresponds naturally with the set of unordered partitions of |X|, and p-homeomorphic partitions are akin. The following temmas are easily proved by using a map from the definition of akin partitions.

LEMMA 3.1. Akin partitions of a finite set have the same number of nonp-homeomorphic  $T_0$ -topologies.

LEMMA 3.2. Let L and P be akin partitions of a finite set. For each topology on L there is a topology on P such that the spaces are p-homeomorphic.

THEOREM 3.2. Let |X| = n and let  $\alpha_n$  be the number of nonhomeomorphic topologies on X. Then

$$\alpha_n = \sum_{i \in X} \widetilde{\lambda}(n,i)$$

where  $\lambda(n,i)$  is the number of nonp-homeomorphic  $T_0$ -topologies on any partition in class i.

PROOF. Consider a class i of akin partitions and a representative L from the class. By Lemma 3.1 the number  $\lambda(n,i)$  is independent of the choice of representative.

By Theorems 2.1 and 2.2 the nonp-homeomorphic  $T_0$ -topologies on L correspond to unique topologies on X which are not homeomorphic. By Lemma 3.2 and Theorem 2.2 each  $T_0$ -topology on any partition akin to L corresponds to a topology on X which is homeomorphic to a topology on X corresponding to one on L, i.e., no new nonhomeomorphic topologies on X are formed from other members of class i. Since  $T_0$ -topologies on representatives from different akin classes cannot be p-homeomorphic, the topologies on X, to which they correspond, cannot be homeomorphic. Thus there is a 1-1 correspondence between a maximal family of nonhomeomorphic topologies on a finite set and the family of all nonp-homeomorphic  $T_0$ -topologies on a representative from each akin class.

EXAMPLE. We shall illustrate the counting procedure in Theorem 3.2 for n = 4. There are 5 equivalence classes of akin partitions of X. Let  $X = \{a,b,c,d\}$ .

Representative from class		λ(4,i)
{ <b>X</b> }		1
$\{\{a,b\},\{c,d\}\}$		2
$\{\{a\},\{b,c,d\}\}$		3
$\{ \{a\}, \{b\}, \{c,d\} \}$		11
$\{\{a\},\{b\},\{c\},\{d\}\}\$		16
	Total	$33 = \alpha_4$

4. Infinite case. If X is infinite, then there are  $2^{2^{|X|}}$  topologies on X and  $2^{2^{|X|}}$  nonprincipal ultratopologies on X [3]. A nonprincipal ultratopology is  $T_5$  (i.e.,  $T_1$  and completely normal) and is denoted by  $\tau(x,U)$  where  $x \in X$  and U is a nonprincipal ultrafilter on X [7]. For each  $x \in X$ , we designate  $\Theta_X = \{\tau(x,U): U \text{ is nonprincipal }\}$ .

THEOREM 4.1. If X is infinite, then  $\Theta_X$  contains  $2^{2^{|X|}}$  nonhomeomorphic topologies.

PROOF. If U and V are distinct nonprincipal ultrafilters on X, then there exists  $R \in U \setminus V$ . Therefore  $R \cup \{x\} \in U \setminus V$ , so that  $R \cup \{x\} \in \tau(x,U) \setminus \tau(x,V)$ . Since the number of nonprincipal ultrafilters on X is  $2^{2|X|}$ , it follows that  $|\Theta_x| = 2^{2|X|}$ .

If f:  $X \to X$  is 1-1 and onto and if U is a nonprincipal ultrafilter on X, then f(U) =

 $\{f(A): A \in U\}$  is a nonprincipal ultrafilter on X. Since there are at most  $2^{|X|}$  such functions f, each topology  $\tau(x,U)$  is homeomorphic to at most  $2^{|X|}$  other topologies in  $\Theta_X$ . From cardinal arithmetic it follows that there are  $2^{2^{|X|}}$  nonhomeomorphic topologies in  $\Theta_X$ .

COROLLARY. The number of nonhomeomorphic  $T_5\text{-topologies}$  on an infinite set X is  $2^{2^{\left|X\right|}}.$ 

Hodel [4] has shown that the number of nonhomeomorphic metrizable topologies on X is  $2^{|X|}$  and that the number of nonhomeomorphic connected paracompact Hausdorff topologies on X is  $2^{2^{|X|}}$ .

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