



# Bottom-Up and Top-Down Attention for Image Captioning and Visual Question Answering

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# Visual attention

- Vision and language tasks often require fine-grained visual processing, e.g. VQA:

Q: What color is illuminated on the traffic light?

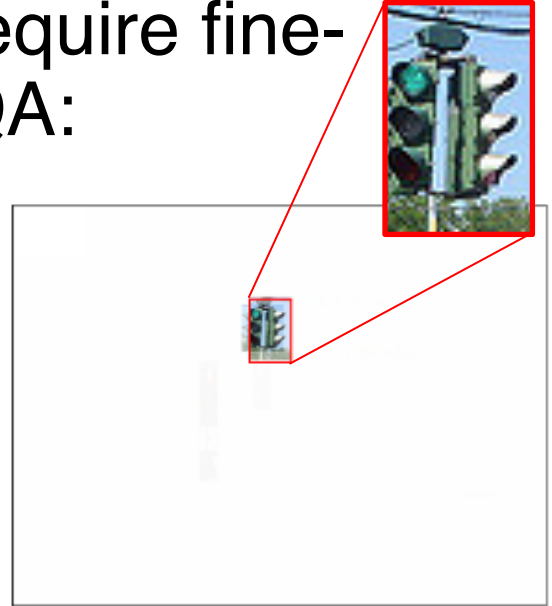


# Visual attention

- Vision and language tasks often require fine-grained visual processing, e.g. VQA:

Q: What color is illuminated on the traffic light?

A: **green**



# Visual attention

- Visual attention mechanisms learn to focus on image regions that are relevant to the task

Q: What is  
the man  
holding?



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Q: What is the man holding?

A: **phone**



# Components of visual attention

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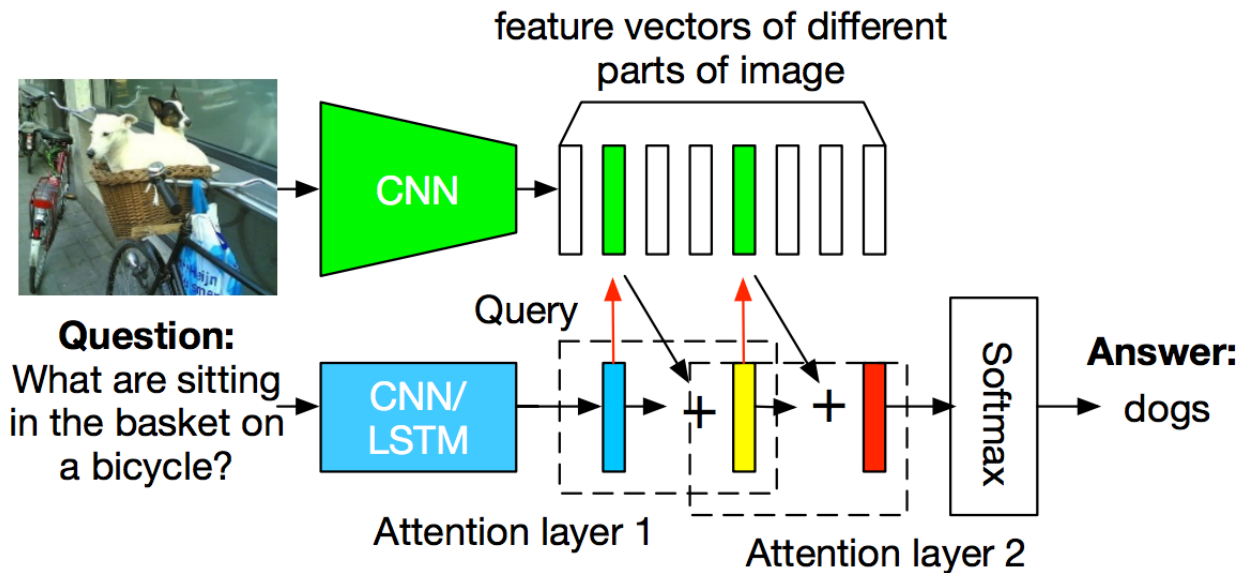
2. task context representation

attended feature  $\longrightarrow \hat{v} = f(h, V)$

3. learned attention function

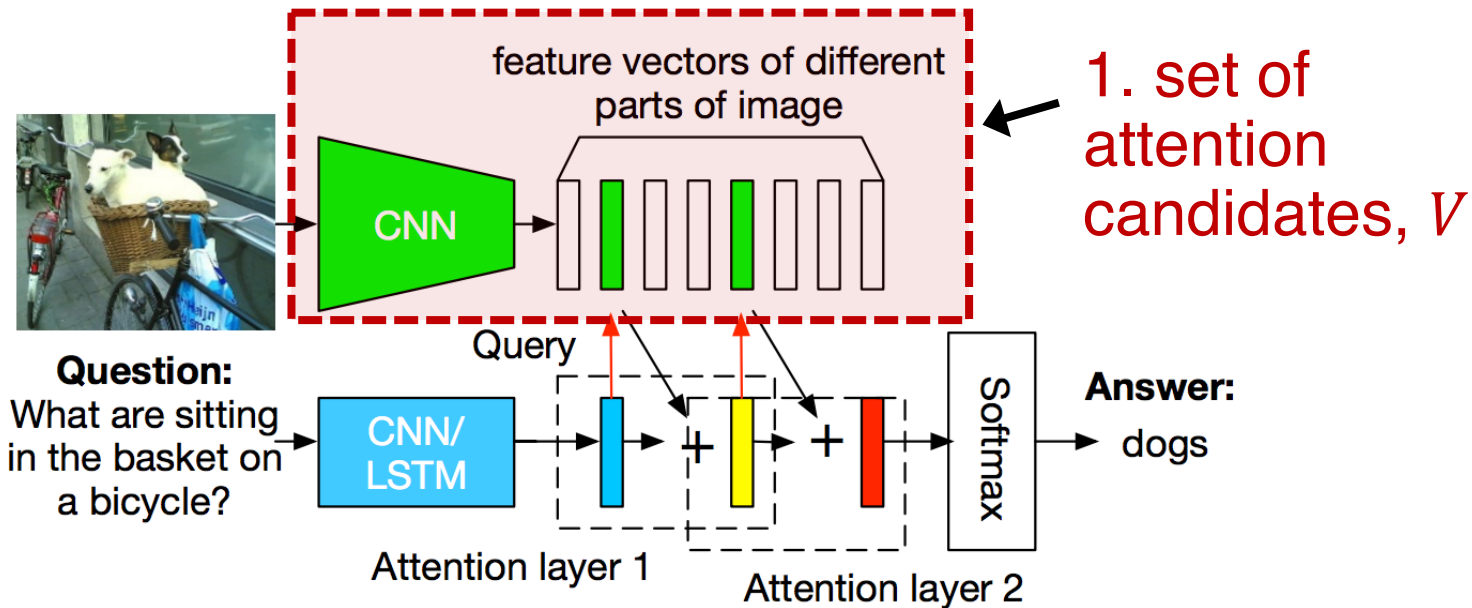
1. set of attention candidates,  $V$

# Example: Stacked attention networks<sup>1</sup>



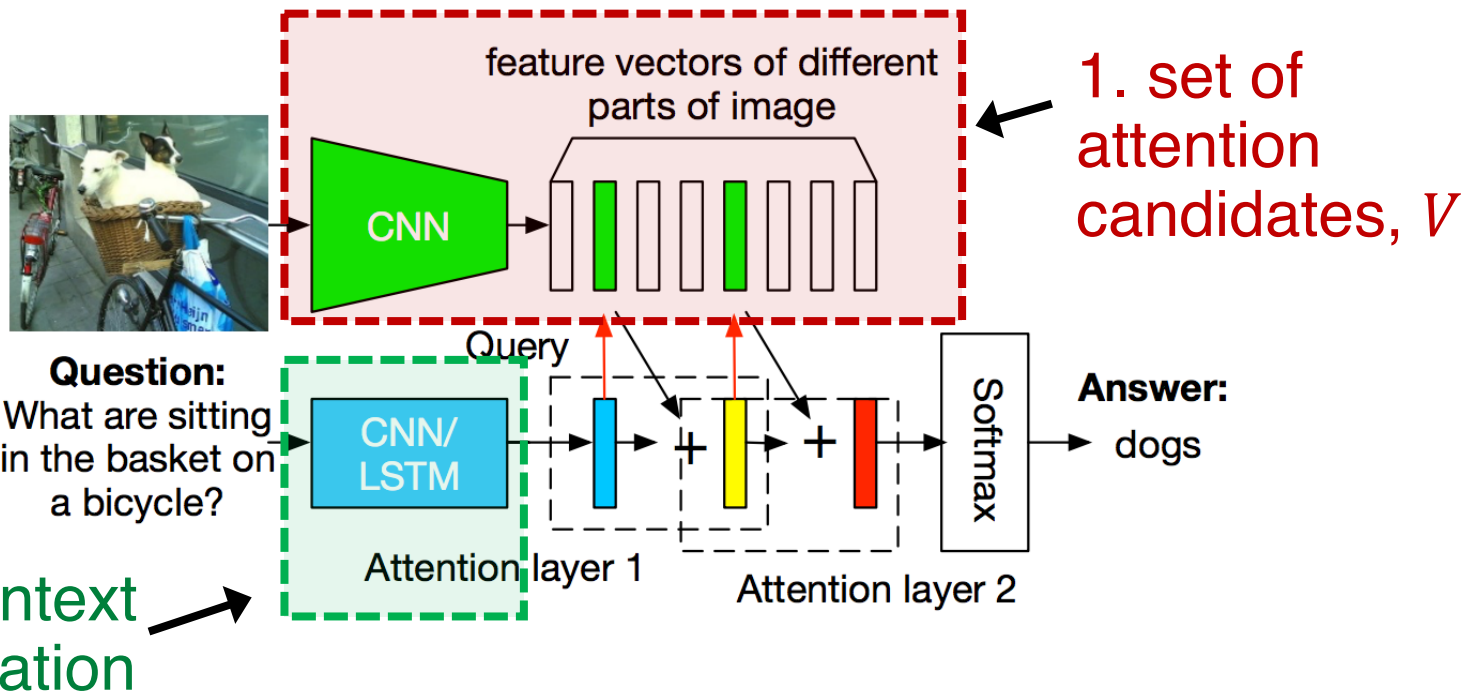
<sup>1</sup>Yang *et al.* CVPR 2016

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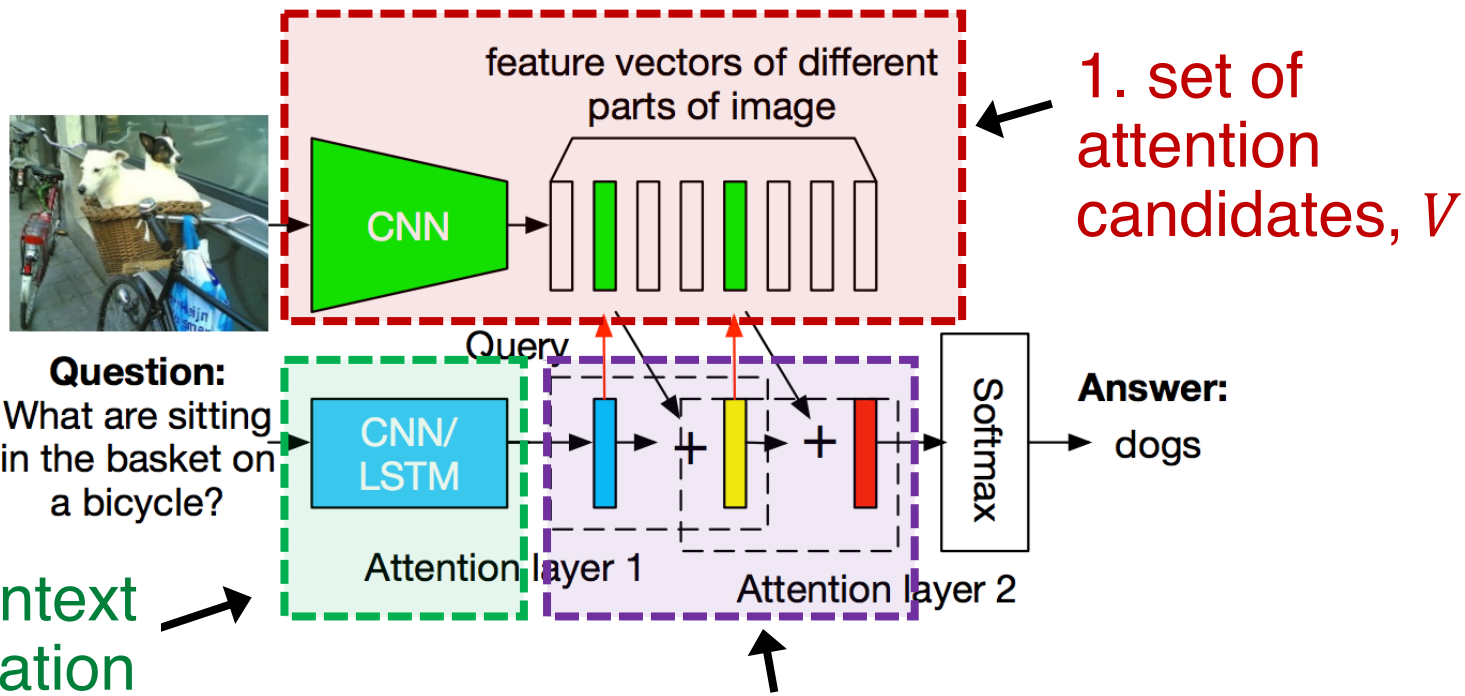
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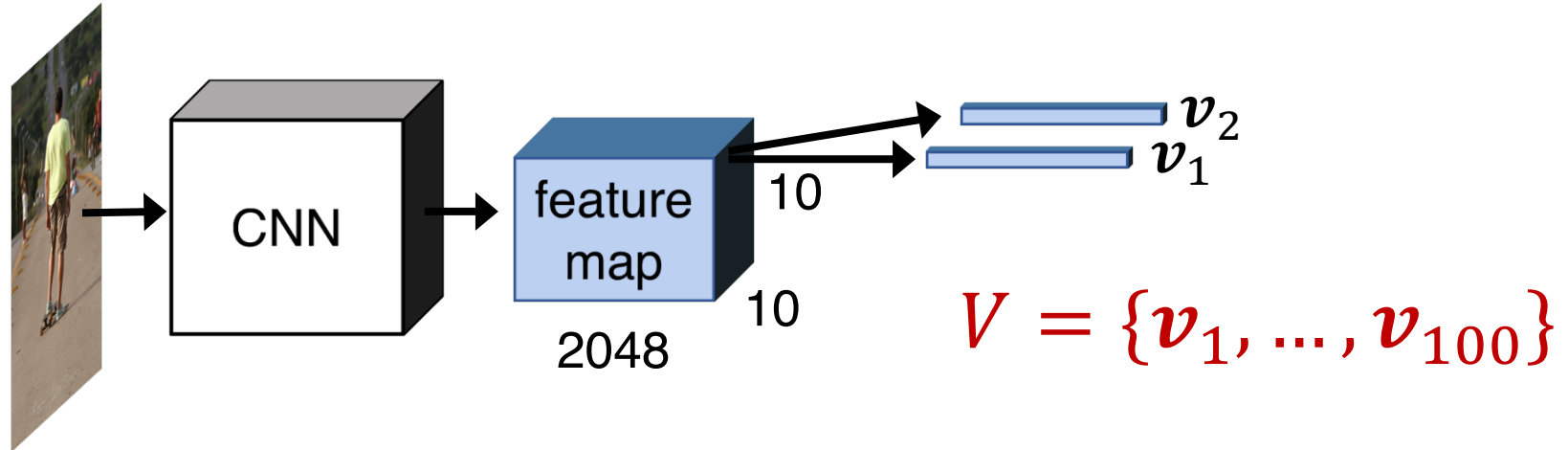


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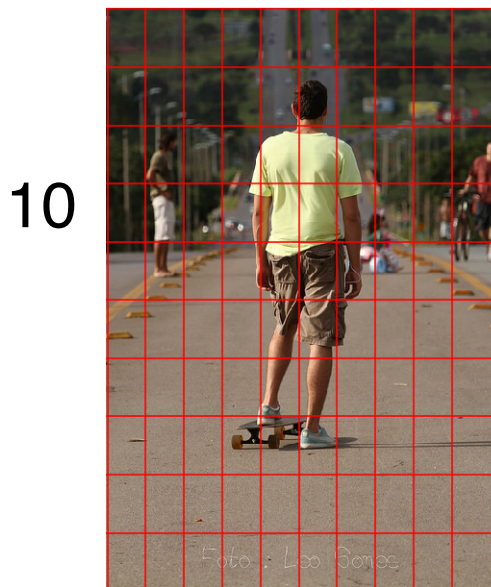
3. learned attention function

# Attention candidates, $V$

**Standard approach:** use the spatial output of a CNN to extract vectors for each position in a grid

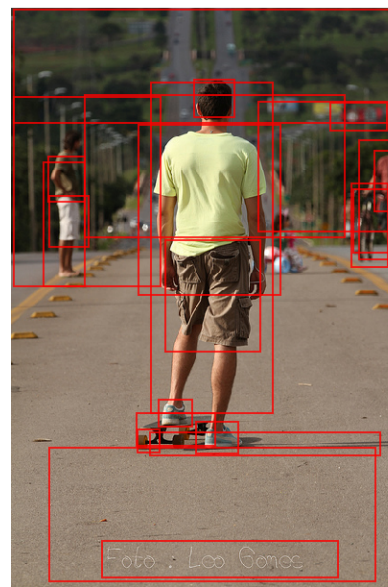


# Attention candidates, $V$



10

Standard approach:  
spatial output of a CNN



$k$  regions

Our approach:  
object-based attention

# Objects are a natural basis for attention

- Human visual attention can select discrete objects, not just spatial regions<sup>1</sup>

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- Image captioning and VQA are concerned with objects



A young man on a skateboard looking down street with people watching.

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**Q:** Is the boy in the yellow shirt wearing head protective gear?      **A:** No

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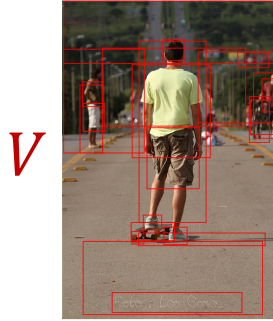
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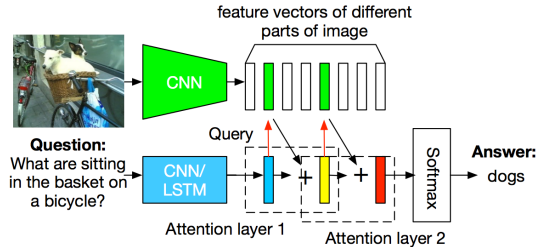
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# Bottom-up and top-down attention



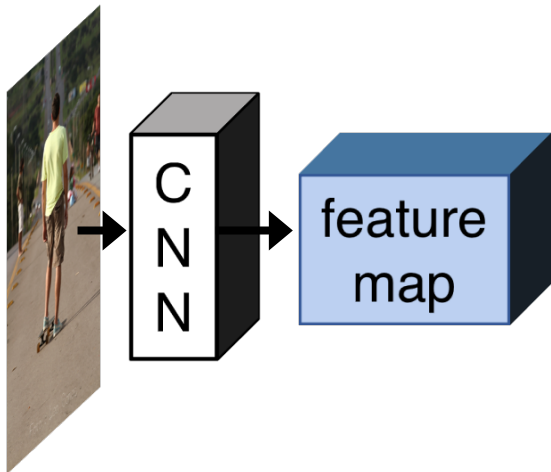
**Bottom-up process:** Extract all objects and other salient regions from the image (independent of the question / partially-completed caption)



**Top-down process:** Given task context, weight the attention candidates (i.e., use existing VQA / captioning models)

# Attention candidates, $V$

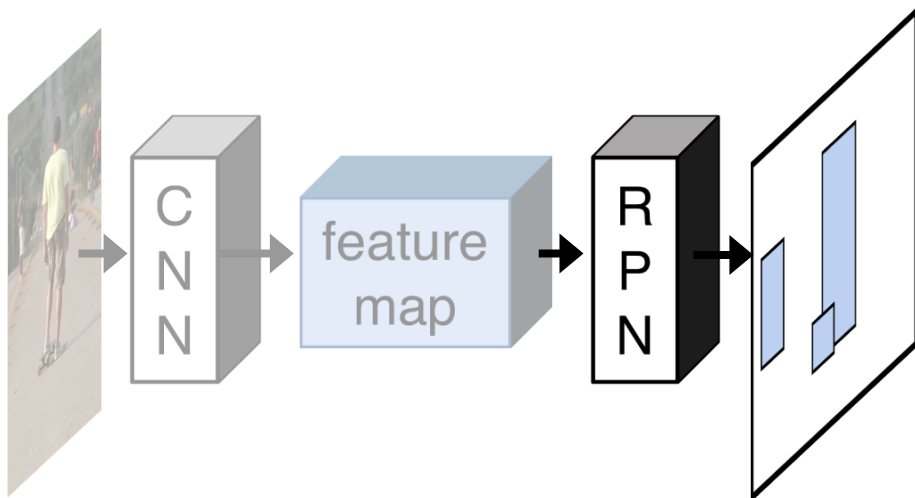
**Our approach:** bottom-up attention (using Faster R-CNN<sup>2</sup>)



<sup>2</sup>Ren *et al.* NIPS, 2015

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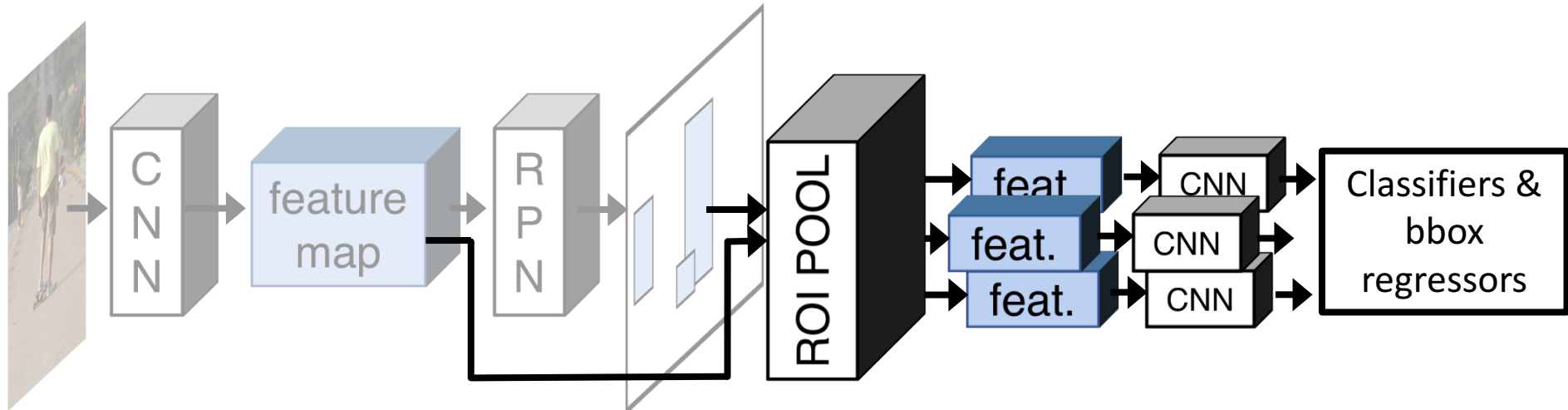
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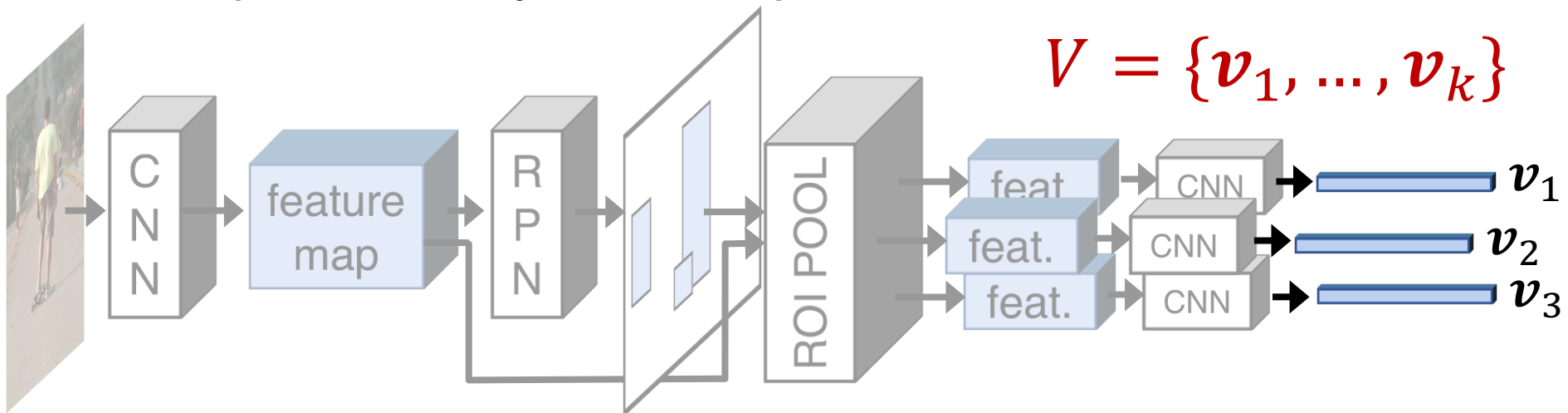


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# Attention candidates, $V$

**Our approach:** bottom-up attention (using Faster R-CNN<sup>2</sup>)

- Each salient object / image region is detected and represented by its mean-pooled feature vector

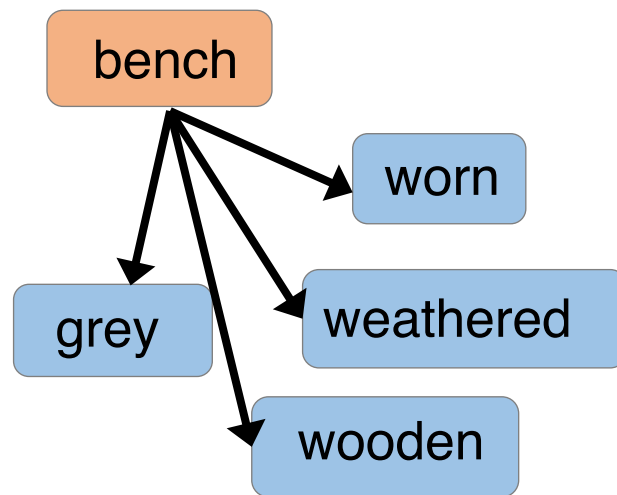


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# Faster R-CNN pre-training

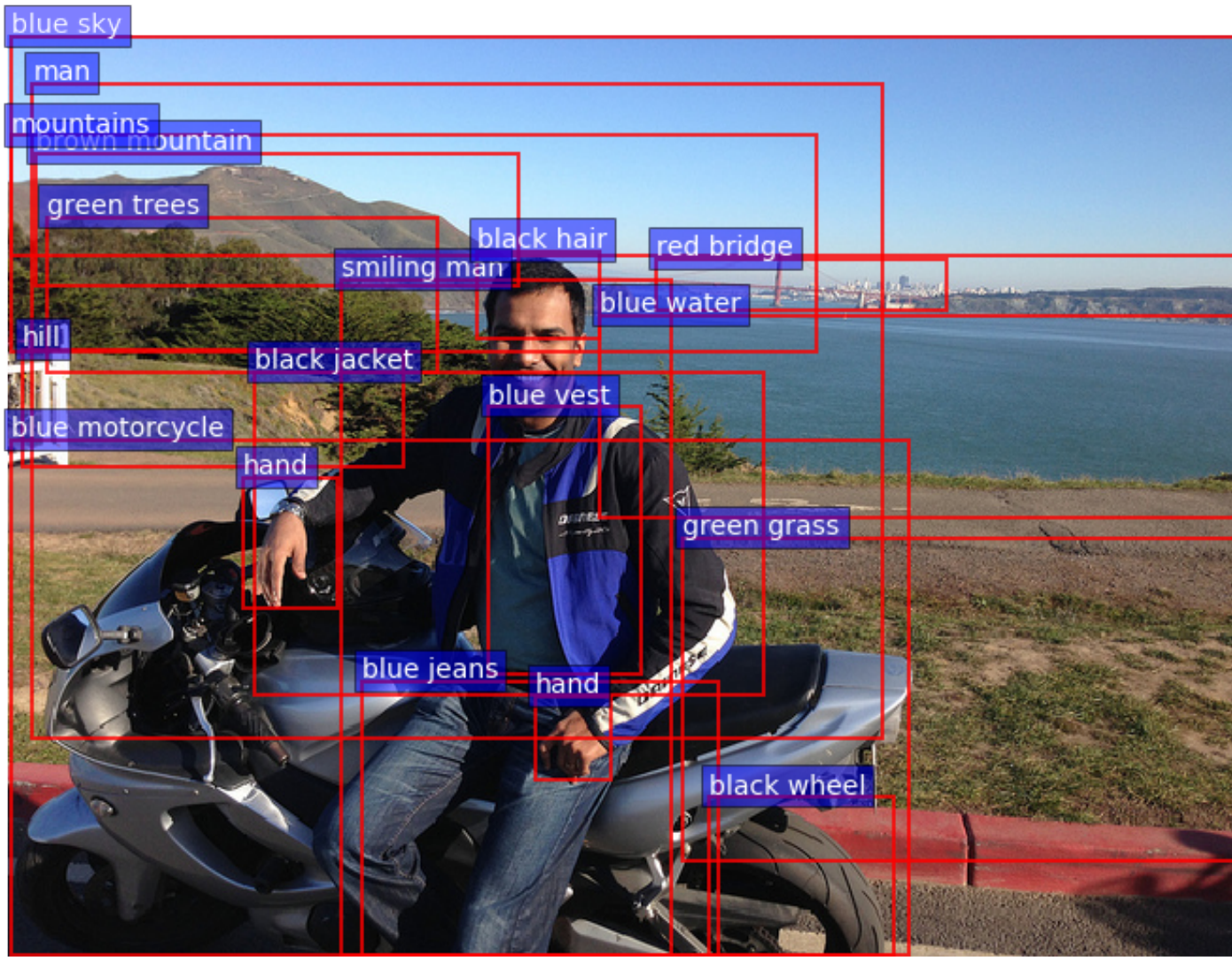
Using Visual Genome<sup>3</sup> with:

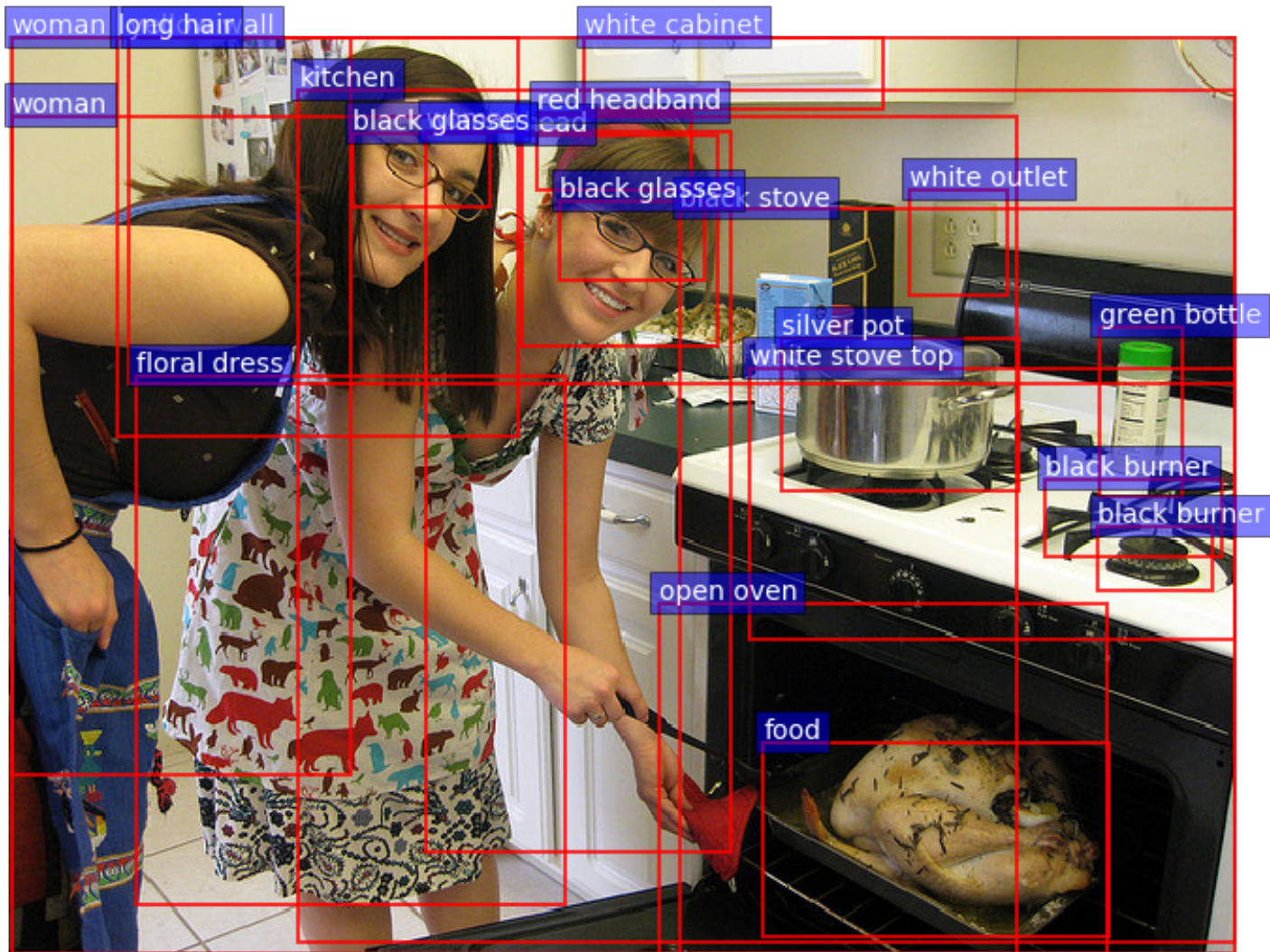
- 1600 filtered object classes
- 400 filtered attribute classes



<sup>3</sup>Krishna *et al.* arXiv 1602.07332, 2016







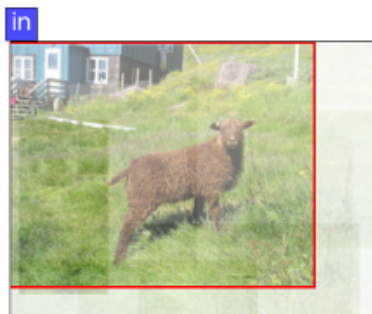
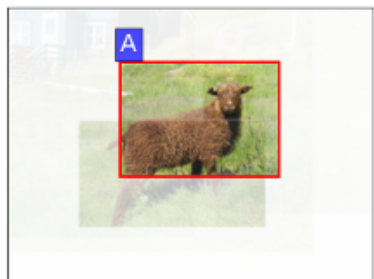
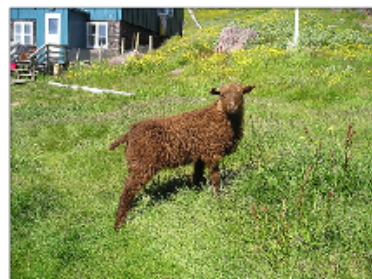
ResNet (10×10): A man sitting on a **toilet** in a bathroom.



Up-Down (Ours): A man sitting on a **couch** in a bathroom.



Up-Down (Ours): A brown sheep standing in a field of grass.



# COCO Captions results

**1<sup>st</sup> COCO Captions leaderboard** (July 2017)

COCO Captions “Karpathy” test set (single-model):

	BLEU-4	METEOR	CIDEr	SPICE
ResNet (10×10)	34.0	26.5	111.1	20.2
<b>Up-Down (Ours)</b>	<b>36.3</b>	<b>27.7</b>	<b>120.1</b>	<b>21.4</b>

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			<b>+8%</b>	<b>+6%</b>

# VQA examples

Q: What room are they  
in?

A: **kitchen**





# VQA examples - counting

Q: How many oranges are on pedestals?

A: ~~two~~



# VQA examples - reading

Q: What is the name of the realty company?

A: **none**



# VQA results

- **1<sup>st</sup> 2017 VQA Challenge** (June 2017)
- Top three 2018 Challenge entries used our approach

VQA v2 val set (single-model):

	Yes/No	Number	Other	Overall
ResNet (1×1)	76.0	36.5	46.8	56.3
ResNet (14×14)	76.6	36.2	49.5	57.9
ResNet (7×7)	77.6	37.7	51.5	59.4
<b>Up-Down (Ours)</b>	<b>80.3</b>	<b>42.8</b>	<b>55.8</b>	<b>63.2</b>

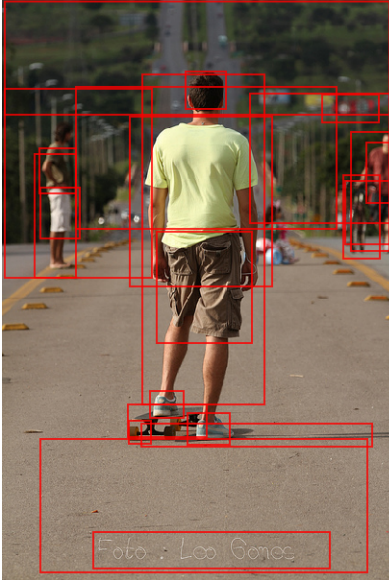
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# Benefits of 'Up-Down' attention



- Natural approach
- Unifies vision & language tasks with object detection models
- Transfer learning by pre-training on object detection datasets
- Complementary to other models (just swap attention candidates)
- Can be fine-tuned
- More interpretable attention weights
- Significant improvements on multiple tasks

# Poster C12

Code, models and drop-in pre-trained COCO image features available at:

<http://www.panderson.me/up-down-attention>

**Related Work:** ‘Tips and Tricks for Visual Question Answering: Learnings From the 2017 Challenge’, also at CVPR 2018, Poster J21

