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## Using a local low rank plus sparse reconstruction to accelerate dynamic hyperpolarized $^{13}\text{C}$ imaging using the bSSFP sequence

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### Abstract

Acceleration of dynamic 2D ( $T_2$  Mapping) and 3D hyperpolarized  $^{13}\text{C}$  MRI acquisitions using the balanced steady-state free precession sequence was achieved with a specialized reconstruction method, based on the combination of low rank plus sparse and local low rank reconstructions. Methods were validated using both retrospectively and prospectively undersampled *in vivo* data from normal rats and tumor-bearing mice. Four-fold acceleration of 1–2 mm isotropic 3D dynamic acquisitions with 2–5 s temporal resolution and two-fold acceleration of 0.25–1 mm<sup>2</sup> 2D dynamic acquisitions was achieved. This enabled visualization of the biodistribution of [2- $^{13}\text{C}$ ]pyruvate, [1- $^{13}\text{C}$ ]lactate, [ $^{13}\text{C}$ ,  $^{15}\text{N}_2$ ]urea, and HP001 within heart, kidneys, vasculature, and tumor, as well as calculation of high resolution  $T_2$  maps.

### Keywords

$^{13}\text{C}$ ; Hyperpolarized; SSFP; Local low rank; Sparse

## 1. Introduction

The advent of dissolution dynamic nuclear polarization (dDNP) of  $^{13}\text{C}$  substrates [1], in conjunction with magnetic resonance imaging (MRI), has provided a new approach for studying the metabolic and physiological changes associated with various diseases, including cancer and diabetes, among several other examples [2–7]. Recent successful phase I and phase II clinical trials have demonstrated how dynamic imaging of  $^{13}\text{C}$  substrates can provide several quantifiable biomarkers of disease [8–13]. A key tradeoff in the design of dynamic hyperpolarized  $^{13}\text{C}$  (HP  $^{13}\text{C}$ ) imaging strategies is the balance between spatial and temporal resolution due to the limited lifetime of the hyperpolarized magnetization, which is

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Appendix A. Supplementary material

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consistently depleted due to  $T_1$  and  $T_2$  decay, metabolism, and application of RF pulses. This tradeoff can lead to poor image quality (i.e. with large partial volume effects) and associated difficulties in model fitting of the dynamics. Balanced steady-state free precession (bSSFP) supports high resolution acquisitions by exploiting the long  $T_2$ 's of  $^{13}\text{C}$  substrates, but may be especially affected by early depletion of the HP magnetization due to the high number of RF pulses required to achieve high resolution volume imaging [14–18]. While bSSFP does provide the highest SNR per unit time [19] and has been used for 2D HP  $^{13}\text{C}$   $T_2$  mapping and 3D single time-point acquisitions [14,20,21], it has not been readily applied to 3D dynamic HP  $^{13}\text{C}$  acquisitions [15], especially at clinical field strengths, because of the aforementioned tradeoff in spatial and temporal resolution.

Compressed sensing has been increasingly applied for accelerating MRI by exploiting data sparsity in an appropriate domain [22–26]. Alternatively, global low rank and local low rank matrix completion has also been utilized in reconstruction of undersampled dynamic MRI data by exploiting the spatiotemporal correlations that exist within different tissues [27–30]. Both approaches have been well documented in proton imaging and have been extended to hyperpolarized  $^{13}\text{C}$  imaging to improve both spatial and temporal resolution [31–34]. Recently, Otazo et al. [35] showed the advantage of combining these methods into a low rank plus sparse (L+S) reconstruction in order to increase imaging speed in dynamic proton imaging since the L+S model offered higher compressibility compared to either method alone. Perfusion imaging in particular features local low rank properties that lead to improved reconstructions, since neighboring tissues have similar spatiotemporal dynamics, and these properties have been successfully exploited in initial proton perfusion imaging studies [36,37]. Likewise, combining the L+S model and the local low rank method into a local low rank plus sparse (LLR+S) model also has the potential to improve reconstruction of dynamic imaging of the biodistribution of HP  $^{13}\text{C}$  probes (i.e. for HP  $^{13}\text{C}$  perfusion imaging).

The goal of this study was to accelerate both 2D and 3D  $T_2$  mapping and 3D dynamic high resolution imaging with the bSSFP sequence using a LLR+S algorithm. In-plane resolutions of  $<1\text{ mm}^2$  were achieved for 2D  $T_2$  mapping of multiple HP  $^{13}\text{C}$  compounds, with 3D 1 mm isotropic  $T_2$  map demonstrated as well. Furthermore, 3D 1.5–2 mm isotropic imaging with 2–5 s temporal resolution was achieved in both healthy rats and tumor-bearing mice. Biodistribution of  $[2-^{13}\text{C}]$ pyruvate,  $[1-^{13}\text{C}]$ lactate, HP001, and  $[^{13}\text{C}, ^{15}\text{N}_2]$ urea was visualized within kidneys, vasculature, heart, and tumor.

## 2. Theory

Otazo et al. [35] provided a formal treatment on the L+S decomposition and how it can be applied to  $^1\text{H}$  acquisitions. Dynamic  $^1\text{H}$  MRI can be separated into a low rank component representing the static background and a sparse component representing the rapid changing dynamics. HP  $^{13}\text{C}$  MRI, however, lacks a background signal due to the low signal of any natural abundance  $^{13}\text{C}$ , and the HP signal inherently rapidly decays away towards thermal equilibrium. Therefore, HP  $^{13}\text{C}$  acquisitions cannot truly be represented by a separation of a static background and rapidly changing dynamics since there is no incoherence between the low rank and sparse components. However, as previously mentioned, if only L+S is of

interest, as is the case here, then this algorithm still outperforms a low rank or sparse only reconstruction.

The LLR+S matrix decomposition can be formulated as [35,36]:

$$\min_{L,S} \frac{1}{S^2} \|E(L+S) - d\|_2^2 + \lambda_L \sum_{i=1}^{N_b} \|B_i L\|_* + \lambda_S \|TS\|_1 \quad (1)$$

where  $L$  and  $S$  are the outputted decomposed low rank and sparse matrices, respectively,  $E$  is the encoding operator that performs a spatial partial Fourier transform (FT) for each time-point,  $d$  is the undersampled k-t data,  $B_i$  is an operator that selects an image block  $\mathbf{b}$  and transforms it into a spatiotemporal matrix (assuming the spatiotemporal image data can be divided into  $N_b$  image blocks),  $T$  is the sparsifying transform for  $S$ , and  $\lambda_L$  and  $\lambda_S$  act as tuning parameters controlling the contribution of the nuclear norm and  $L_1$ -norm terms, respectively.

The optimization problem in Eq. (1) was solved using iterative soft thresholding as described in Otazo et al. [35], with slight modifications to account for the local low rank approach. Briefly, for each iteration  $k$ , given the matrix  $M = L + S$ , a soft thresholding operator, defined as

$$\Lambda_\lambda(\chi) = \frac{\chi}{|\chi|} \max(|\chi| - \lambda, 0), \quad (2)$$

was applied to the singular values of  $M_{k-1} - S_{k-1}$  and the sparse transform coefficients of  $M_{k-1} - L_{k-1}$  to calculate  $L_k$  and  $S_k$ , respectively, leading to a calculation of a new  $M_k$  by enforcing data consistency. The algorithm iterated until the relative change in the solution was less than a certain tolerance limit, which depended on the specific acquisition employed. The first ten iterations were performed using the singular value decomposition (SVD) of the full spatiotemporal matrix (Global Low Rank or GLR) [29], while subsequent iterations utilize the SVD of the individual image blocks (local low rank or LLR). This helped speed up the reconstruction and improve the reconstruction time, with the number 10 chosen based on retrospective simulations. Furthermore, a cooling method was used to converge similar to previous work [29], where  $\mu$  was initialized to equal  $\lambda_L$  for the first ten iterations, followed by  $2*\lambda_L$  for the next ten iterations, followed by back to  $\lambda_L$  for the rest of the iterations. The size of the image blocks (bsize) was defined for each dataset based on the acquired matrix size.  $\lambda_L$  and  $\lambda_S$  were selected in an empirical manner [35] for the 3D dynamic and 2D  $T_2$  mapping acquisitions using the retrospectively undersampled datasets (75% undersampling) discussed in Section 3.4. Table 1 summarizes the proposed LLR+S algorithm using pseudo-code, with  $\Omega$  defined as the set of image blocks that a spatiotemporal image can be divided into.

### 3. Methods

#### 3.1. Animal handling

All animal studies were done under protocols approved by the University of California San Francisco Institutional Animal Care and Use Committee (IACUC). Normal Sprague-Dawley rats and transgenic adenocarcinoma of mouse prostate (TRAMP) mice [38] were used in these studies. Isoflurane (1.5%) was used to anesthetize the animals, which were then placed in a supine position on a heated pad throughout the duration of the experiments. After polarization and dissolution, each of the compounds were injected into the animal via tail vein catheters: ~3 mL over 12 s for each rat and ~500  $\mu$ L over 15 s for the mouse.

#### 3.2. Hardware and hyperpolarization

All experiments were performed on a 3 T GE MR750 clinical scanner (GE Healthcare, Waukesha, WI) with multinuclear capability. Dual-tuned  $^1\text{H}/^{13}\text{C}$  transceiver volume radiofrequency coils were used that had either an inner diameter of 8 cm for rats or an inner diameter of 5 cm for mice.

Stock solutions of all compounds used in this study ( $[2-^{13}\text{C}]$  pyruvate,  $[1-^{13}\text{C}]$ lactate,  $[^{13}\text{C}, ^{15}\text{N}_2]$ urea, HP001) were prepared as described previously [14,39]. Each compound was individually polarized in a HyperSense dissolution DNP instrument (Oxford Instruments) operating at 1.35 K and 3.35 T to achieve polarizations of ~15–20% for each compound. The compounds were then dissolved in appropriate media: 4.5 mL of 80 mM NaOH/40 mM Tris buffer for  $[2-^{13}\text{C}]$ pyruvic acid resulting in 80 mM  $[2-^{13}\text{C}]$ pyruvate (hereafter referred to as  $\text{C}_2$ -pyruvate); 4.5 mL of 160 mM NaOH/40 mM Tris buffer for  $[1-^{13}\text{C}]$ lactic acid resulting in 160 mM  $[1-^{13}\text{C}]$ lactate (hereafter referred to as lactate); 5 mL of 1 $\times$  phosphate-buffered saline for  $[^{13}\text{C}, ^{15}\text{N}_2]$ urea resulting in 110 mM  $[^{13}\text{C}, ^{15}\text{N}_2]$ urea (hereafter referred to as urea); and 5 mL of 1 $\times$  phosphate-buffered saline for HP001 resulting in 100 mM HP001.

#### 3.3. bSSFP sequence

All acquisitions used a custom bSSFP sequence with either one (2D,  $T_2$  mapping) or two (3D) phase encoding dimensions for dynamic high resolution imaging. A prior publication provides a general description of the  $T_2$  mapping sequence [14]. For all acquisitions k-space was acquired in sequential fashion. For each time-point in the 3D acquisition,  $\alpha/2$ -TR/2 preparation pulses were played for signal stabilization, and  $\alpha$ -TR- $\alpha/2$ -TR/2 flip back pulses were played at the end of each time-point for storing the remaining magnetization on the longitudinal axis.

A basic 1.6 ms time-bandwidth product (TBW) 4 Sinc RF pulse was used for all acquisitions. Frequency and power calibration was performed on a 1 mL enriched  $[^{13}\text{C}]$ urea vial phantom (6.0 M), which was placed on top of the animal. For anatomical reference, 3D bSSFP proton images ( $16 \times 8 \times 4.8$  cm,  $256 \times 128 \times 80$ , 5.1 ms TR,  $50^\circ$  flip angle) were acquired.

### 3.4. Retrospective simulations

Three previously acquired datasets were used for retrospective undersampling simulations of the 3D dynamic acquisition (here-after designated as 3D Dataset 1, 2 and 3, respectively, and shown in Supporting Fig. 1A, B, and C, respectively). Specifically, these consisted of: (1) A 3D bSSFP acquired urea dataset in rat kidneys that was transformed into a 4D dataset by applying signal dynamics based on previously published HP  $^{13}\text{C}$  studies [40,41], (2) An EPI acquired  $[1-^{13}\text{C}]$ pyruvate dataset in rat kidneys [42], and (3) An EPI acquired  $[1-^{13}\text{C}]$ pyruvate dataset in TRAMP tumor. Since the LLR+S reconstruction was performed for each frequency encode ( $\times$  location) individually after an FFT along the frequency encode direction (described further in Section 3.6), one slice corresponding to an  $\times$  location from each 3D dataset (either containing kidneys or tumor) was used for retrospective simulations. This slice would serve as a representation of how the reconstruction would perform when applied to all  $\times$  locations in the prospective acquisitions. Similarly, two previously acquired  $T_2$  mapping datasets, urea [20] and lactate [14], were used for retrospective undersampling and reconstruction with the LLR+S model (hereafter designated as  $T_2$  Mapping Dataset 1 and 2, respectively, and can be seen in Supporting Fig. 1D and E, respectively). Each subsequent retrospective simulation was assessed using the structural similarity index (SSIM) [43] and normalized root mean squared error (nRMSE), which was calculated according to the following formula [32]:

$$nRMSE = \frac{\sqrt{\frac{1}{n} \sum_{i=1}^n (\chi_{recon,i} - \chi_{original,i})^2}}{\sqrt{\frac{1}{n} \sum_{i=1}^n (\chi_{original,i})^2}} \quad (3)$$

where  $\chi_{recon}$  is the reconstructed dataset and  $\chi_{original}$  is the fully sampled original dataset (ground truth).

The variable-density undersampling patterns in this study were designed with a Monte Carlo simulation [22], with a high density in the k-space center. The following function was used to generate the density distribution:  $(1 - r)^p$ , where  $r$  is the radius of the k-space center, and  $p$  is the power of the polynomial. We used the polynomial variable density sampling scripts from the sparseMRI package (<http://people.eecs.berkeley.edu/~mlustig/Software.html>) to generate the sampling patterns for this study. To maximize incoherent aliasing along the spatiotemporal dimensions, a different pattern was designed for each time-point. An example pattern depicting 75% undersampling of  $k_y$ - $k_z$ - $t$  space, which was used in the 3D dynamic imaging, is shown in Fig. 1A and an example pattern depicting 50% undersampling of  $k_y$ - $t$  space, which was used in  $T_2$  mapping, is shown in Fig. 1B.

Initial retrospective simulations focused on testing the proper sparse transform and block sizes needed for reconstruction [24], and the compressibility of each 3D dataset [35]. We tested the following sparsifying transforms: (wavelet along time (Wavelet), principle component analysis (PCA), temporal FFT (TempFFT), and total variation (TV)). To determine an appropriate transform, each sparsifying transform was applied to each dataset, and only the top 10% of the resulting coefficients were retained to compare to the ground truth. In addition to validating the sparsifying transform, the appropriate block size for the

LLR+S reconstruction was tested based on the different matrix sizes used for T<sub>2</sub> mapping and 3D dynamic imaging. For each dataset, different block sizes were applied as part of the LLR+S reconstruction using a 75% undersampling pattern, with the resulting reconstructions again compared to the ground truth using nRMSE and SSIM.

Compressibility of each dataset was tested using five different models: global low rank (GLR) only, local low rank (LLR) only, global low rank plus sparse (L+S), sparse only, and local low rank plus sparse (LLR+S). The data compression was performed based on the method described in Otazo et al. for the low-rank, sparse, and low rank plus sparse models. The LLR and LLR+S models here were compressed using the method for the low rank and low rank plus sparse models, respectively, with the low rank compression applied to different image blocks. After determining the appropriate sparse transform and block size, for all 3D datasets, undersampling patterns using 10–90% of the data were designed and used in retrospective simulations to measure at what point the reconstruction begins to breakdown, in a similar fashion as described previously [32].

For the T<sub>2</sub> mapping datasets, only compressibility was retrospectively simulated as part of these initial studies. PCA was used as the sparsifying transform based on previous accelerated T<sub>2</sub> mapping acquisitions [24], and 50% undersampling was employed to verify that the undersampled data can be properly reconstructed and the subsequently calculated T<sub>2</sub> maps matched up with the ground truth. The block sizes were set to 5 × 5 based on the results of the retrospective simulations.

### 3.5. In vivo hyperpolarized studies

The prospective 3D dynamic imaging was acquired in three normal Sprague-Dawley rats and one TRAMP mouse for C<sub>2</sub>-pyruvate, lactate and urea. The rat acquisition with urea had the following parameters: 12 × 6 × 1.8 cm<sup>3</sup> FOV covering the kidneys, 80 × 40 × 12 matrix size for 1.5 mm isotropic resolution, TR/TE of 7.5 ms/3.75 ms, α = 30° flip angle, 60% undersampling, 13 time-points, 5 s temporal resolution, and the scan starting at the beginning of injection. The rat acquisition with C<sub>2</sub>-pyruvate had the following parameters: 12 × 6 × 1.8 cm<sup>3</sup> FOV covering the kidneys, 60 × 30 × 9 matrix size for 2 mm isotropic resolution, TR/TE of 7.5 ms/3.75 ms, α = 30° flip angle, 60% undersampling, 13 time-points, 5 s temporal resolution, and the scan starting at the beginning of injection. The rat acquisition with lactate had the following parameters: 12 × 6 × 1.8 cm<sup>3</sup> FOV covering the kidneys, 80 × 40 × 12 matrix size for 1.5 mm isotropic resolution, TR/TE of 6.4 ms/3.2 ms, variable flip angle, 75% undersampling, 13 time-points, 3 s temporal resolution, and the scan starting 15 s after the beginning of injection. The mouse acquisition for all three compounds had the following parameters: 6 × 3 × 3 cm<sup>3</sup> FOV covering the tumor, 40 × 20 × 20 matrix size for 1.5 mm isotropic resolution, TR/TE of 6.4 ms/3.2 ms, variable flip angle, 75% undersampling, 16 time-points, 2 s temporal resolution, and the scan starting 15 s after the beginning of injection.

Initial 3D prospectively undersampled T<sub>2</sub> mapping of urea acquisitions had the following parameters: 12 × 6 × 1.8 cm<sup>3</sup> FOV covering the kidneys, 1–2.5 mm isotropic resolution, TR/TE of 6.4 ms/3.2 ms, α = 180° flip angle, 75% undersampling, 20 time-points, and the scan starting 30 s after the beginning of injection.

The 2D prospectively undersampled  $T_2$  mapping was acquired in three normal Sprague-Dawley rats for all compounds. All acquisitions were performed in projection mode (no slice-select gradient).  $C_2$ -pyruvate, lactate, and HP001 acquisitions had the following parameters:  $14 \times 7 \text{ cm}^2$  coronal FOV covering heart and abdomen,  $140 \times 70$  matrix size for  $1 \text{ mm}^2$  in-plane resolution, TR/TE of 8.5 ms/4.25 ms,  $\alpha = 180^\circ$  flip angle, 50% undersampling, 20 time-points, and the scan starting 30 s after the beginning of injection. Urea acquisitions had the following parameters:  $14 \times 7 \text{ cm}^2$  coronal FOV heart and abdomen,  $280 \times 140$  matrix size for  $0.25 \text{ mm}^2$  in-plane resolution, TR/TE of 12.5 ms/6.25 ms,  $\alpha = 180^\circ$  flip angle, 50% undersampling, 20 time-points, and the scan starting 30 s after the beginning of injection. Fully sampled acquisitions of HP001 and urea were acquired at the same time as the undersampled acquisitions for comparison and had the following parameters:  $14 \times 7 \text{ cm}^2$  coronal FOV heart and abdomen,  $140 \times 70$  matrix size for  $1 \text{ mm}^2$  in-plane resolution, TR/TE of 8.5 ms/4.25 ms,  $\alpha = 180^\circ$  flip angle, 20 time-points, and the scan starting 30 s after the beginning of injection.

### 3.6. Image reconstruction

Reconstruction of all datasets used the same sparse transform and block sizes for the LLR+S retrospective reconstructions. For the 3D dynamic and 3D  $T_2$  mapping acquisitions, the data was Fourier transformed along the readout (frequency encode) dimension to  $x$ - $k_y$ - $k_z$ - $t$  and the LLR+S algorithm was subsequently applied to each  $\times$  location separately, while for 2D  $T_2$  mapping the algorithm was applied to  $k_x$ - $k_y$ - $t$ .  $\lambda_L$ ,  $\lambda_S$ , and the tolerance limit for the 3D dynamic and 3D  $T_2$  mapping acquisitions were 0.01, 0.001, and 0.0015, respectively.  $\lambda_L$ ,  $\lambda_S$ , and the tolerance limit for the 2D  $T_2$  mapping acquisitions were 0.1, 0.001, and 0.004, respectively. The total reconstruction time in MATLAB (The MathWorks, Inc., Natick, MA) on a Linux Workstation with a 3.07 GHz quadcore Intel Xeon CPU was approximately 10 min for 3D dynamic acquisitions and approximately 2 min for 2D  $T_2$  mapping acquisitions. The reconstruction of the 3D dynamic acquisitions used the Parallel Computing Toolbox in MATLAB since the reconstruction at each  $\times$  location could be treated independently from one another. Sample MATLAB code showing example retrospective and prospective reconstructions can be found in the recon section of the Hyperpolarized MRI Toolbox (<https://github.com/LarsonLab/hyperpolarized-mri-toolbox>).

## 4. Results

### 4.1. LLR+S reconstruction of retrospective simulations

**4.1.1. Temporal sparsity and bsize**—Table 2 shows the nRMSE and SSIM of the four different temporal sparse transforms for all three retrospectively undersampled 3D datasets after transformation and retention of the top 10% of coefficients. PCA outperformed the other three for all datasets and was used in subsequent simulations and reconstructions.

Table 3 shows the nRMSE and SSIM for the different block sizes that were tested for each retrospectively undersampled 3D dataset. The block sizes that resulted in the lowest nRMSE were about  $\sim 1/5$ – $1/4$  the size of the matrix for the phase encode direction and were used in subsequent retrospective simulations:  $8 \times 3$  for the  $40 \times 12$  matrix sizes used in 3D Datasets 1 and 2;  $5 \times 5$  for the  $20 \times 20$  matrix sizes used in 3D Dataset 3.

**4.1.2. Comparison of reconstruction algorithms**—The top row of Fig. 2 depicts the nRMSE vs. compression ratio for the retrospectively undersampled 3D datasets with the five different types of reconstruction algorithms. The LLR+S method showed the lowest nRMSE across multiple compression ratios for all the retrospectively undersampled 3D datasets, indicating the highest percent undersampling can be achieved when using that algorithm. The bottom row of Fig. 2 depicts the nRMSE vs. percent undersampling for the retrospectively undersampled 3D datasets reconstructed with LLR+S. Based on the results for all the datasets, >75% undersampling was deemed the point where the reconstruction began to fail as the nRMSE began rising rapidly past that point, indicating severe distortion from the ground truth after reconstruction. Fig. 3 shows an example result from a reconstruction of 3D dataset 1 with 75% undersampling, where one slice of the reconstruction is shown compared to the ground truth slice and zero-filled slice, along with the difference map between the ground truth and reconstruction, and representative dynamic curves from the vasculature and kidneys. The difference map and dynamic curves, along with visual inspection of the slices show that the reconstruction successfully eliminated undersampling artifacts and recovered the original signal.

Fig. 4A shows the resulting  $T_2$  maps after retrospective 50% undersampling and reconstruction of  $T_2$  Mapping Dataset 1. The LLR+S reconstruction matched up well with the ground truth qualitatively, as well as based on the ratio between the two maps being equal  $\sim 1$  and the representative signal decay curves in Fig. 4B. Fig. 4C shows similar results to Fig. 2A–C, whereby the LLR+S method showed the lowest nRMSE across multiple compression ratios for the  $T_2$  Mapping Dataset 1, indicating the highest percent undersampling can be achieved when using that algorithm.

## 4.2. LLR+S reconstruction of prospective 3D dynamic acquisitions

Fig. 5 shows the reconstructions of the prospective *in vivo* urea (A), lactate (B), and  $C_2$ -pyruvate (C) datasets acquired in rats. Each part shows a 3D view of one time-point, all time-points of a representative kidney slice (outlined in black), representative vascular and kidney dynamic curves, and a carbon overlay on the  $^1H$  anatomical image. The LLR+S algorithm successfully reconstructed both 60% and 75% undersampled datasets, with the dynamic curves matching up with expected *in vivo* dynamics [40,41,44,45] for all three compounds in healthy rat kidneys and vasculature. The SNR was high enough for 3D visualization for around 30 s after the start of the scan (2nd–7th time-point) for the urea and  $C_2$ -pyruvate acquisitions, and considerably longer in the lactate acquisition with the use of a variable flip angle scheme and later scan start time.

Fig. 6 shows the reconstructions of the prospective *in vivo* urea (A), lactate (B), and  $C_2$ -pyruvate (C) datasets acquired in a tumor-bearing mouse. As with Fig. 5, each part shows a 3D view of one time-point, all time-points of a representative tumor slice (outlined in black), and a carbon overlay on the  $^1H$  anatomical image. The LLR+S algorithm successfully reconstructed the 75% undersampled datasets, with expected *in vivo* dynamics [14,46,47] seen for all three compounds in a TRAMP tumor. The SNR was high enough for 3D visualization for several time-points for all three compounds, even at 1.5 mm isotropic resolution.



### 4.3. LLR+S reconstruction of prospective T<sub>2</sub> maps

The prospective LLR+S reconstructed 2D T<sub>2</sub> mapping acquisitions of HP001 and urea matched up well with the fully sampled acquisitions, as evidenced by the ratio maps of the fully sampled and accelerated acquisitions for urea (Fig. 7A and B) and HP001 (Fig. 7C), which had an average value of  $0.89 \pm 0.21$  and  $0.95 \pm 0.23$  in the kidneys and vasculature, respectively. Supporting video 1 shows all the time-points of the HP001 T<sub>2</sub> mapping acquisition. The urea acquisition demonstrated the capability of sub-millimeter in-plane resolution acquisitions for HP probes with sufficient acceleration. The average value in the kidneys for C<sub>2</sub>-pyruvate (Fig. 8B),  $0.786 \pm 0.13$ , agreed well with previously acquired, lower resolution T<sub>2</sub> maps [14]. The LLR+S reconstruction allowed ~6-fold improvement in resolution even with C<sub>2</sub>-pyruvate having a relatively short *in vivo* average T<sub>2</sub>. Additionally, both lactate (~2.43 s in kidneys) (Fig. 8A) and 3D urea T<sub>2</sub> maps (~4.84 s in kidneys) (Fig. 8C and D) matched up well with literature values [14,20], with the 3D urea T<sub>2</sub> map demonstrating the capability of 1 mm isotropic dynamic imaging with HP probes. Video 2 shows all the time-points from the 3D urea T<sub>2</sub> mapping acquisition, with the signal decay matching up with the T<sub>2</sub> map whereby the longest lasting signal appearing in the renal pelvis. Supporting videos 3 and 4 shows the all the time-points of the lactate and C<sub>2</sub>-pyruvate T<sub>2</sub> mapping acquisitions, respectively.

## 5. Discussion

With the current desire for high spatiotemporal coverage of hyperpolarized <sup>13</sup>C compounds, especially with recent successful clinical human studies that require large FOVs, the need for accelerated acquisitions with sub-Nyquist sampling strategies and accompanying reconstructions is evident. Low rank plus sparse and local low rank reconstruction strategies have been previously employed in undersampled dynamic <sup>1</sup>H acquisitions. We demonstrated the development and application of the local low rank plus sparse algorithm for reconstruction of undersampled 3D dynamic and 2D/3D T<sub>2</sub> mapping hyperpolarized <sup>13</sup>C acquisitions with the bSSFP sequence. The algorithm allowed for up to ~75% undersampling depending on the particular acquisition, with the acceleration factor providing considerably faster effective echo times for high SNR acquisitions. We were able to achieve previously unseen 2D sub-millimeter and 1–1.5 mm 3D isotropic spatial resolutions with 2–5 s temporal resolutions and considerable temporal windows.

Due to the nonrecoverable nature of the hyperpolarized magnetization, the number of phase encoding steps done in HP <sup>13</sup>C imaging acquisitions is considerably smaller than <sup>1</sup>H imaging acquisitions, which limits the amount of undersampling possible. The bSSFP sequence is an attractive choice for acceleration since the spatial resolution and matrix sizes approach that of 2D high resolution <sup>1</sup>H imaging. Additionally, translating this sequence into the clinic will require much larger matrix sizes to achieve sub-cm spatial resolution due to the larger FOVs, which may allow for higher acceleration factors beyond what has been shown in this study. Based on previous <sup>1</sup>H studies with local low rank and low rank plus sparse reconstructions, the algorithm presented here can be easily combined with parallel imaging and other types of imaging sequences, including echo-planar, spiral, and radial imaging [28,29,35,48]. Other types of reconstructions can be further evaluated and

compared to the LLR+S algorithm, including multi-scale low rank [49] and model-based/dictionary learning [50]. Furthermore, the results presented here depict the LLR+S reconstruction working for different approaches for dynamic acquisitions, which can be optimized in general depending on the type of biological information desired. For example, the 3D dynamic urea and C<sub>2</sub>-pyruvate sequences began at the start of injection and were acquired for 60 s, and represent acquisitions looking at potentially perfusion, biodistribution, and uptake of the injected substrate. The 3D dynamic lactate sequence began after the end of injection and was only acquired for 39 s, but provided higher SNR due to both a shorter acquisition window and the use of a variable flip angle scheme.

There are several potential applications of the developed high spatial resolution 2D T<sub>2</sub> mapping and 3D dynamic acquisitions with regards to hyperpolarized <sup>13</sup>C imaging in general, and specifically with regards to the compounds utilized in this study. First, we believe the T<sub>2</sub> mapping can have multiple roles in both preclinical and clinical studies, including understanding the distribution of T<sub>2</sub>'s within the anatomy of interest for a given compound and distinguishing between healthy and diseased tissue, as is currently performed in <sup>1</sup>H imaging [51]. The distribution of T<sub>2</sub>'s will help sequence development and optimization, as well as analysis, as more and more compounds are developed and translated into humans. Quantitative T<sub>2</sub> mapping has already been performed with HP <sup>13</sup>C compounds [21], and can be readily translated to clinical exams, especially with the LLR+S reconstruction since larger FOVs would be required. Second, we believe the 3D dynamic acquisition can be readily translated into humans for acquisitions featuring the compounds used in this study. Urea and HP001 would allow for high spatial resolution calculations of perfusion [40,46,47] and glomerular filtration rate [52,53]. C<sub>2</sub>-pyruvate and lactate could represent a different approach to looking at metabolism, whereby the distribution and dynamics of each compound would reflect conversion to other metabolites. Furthermore, knowing the biodistribution of these compounds at a high spatial resolution in humans could be informative for developing the acquisitions for clinical studies.

Future work will focus on optimizing the acquisitions presented here with improved flip angle schemes to maximize SNR over the entire temporal window, and incorporate spectrally selective pulses for accelerated metabolic imaging of [1-<sup>13</sup>C]pyruvate. As discussed previously in Otazo et al. [35], the L+S sum represents the image reconstruction and the individual background and dynamic components are less important. In the case of hyperpolarized <sup>13</sup>C imaging, since the signal is always decaying away back to equilibrium due to various processes, there is no explicit separation of slowly-varying background and dynamic components as can be found in cardiac perfusion, for example. However, further evaluation is needed on what information may be obtained from the individual L and S components that result from running the algorithm. Based on the simulations above, the sum of L and S out-performed other reconstructions, and was consequently the focus of this study.

## 6. Conclusion

In this study, we implemented and tested a local low rank plus sparse reconstruction algorithm to accelerate hyperpolarized <sup>13</sup>C imaging with the balanced steady-state free

precession sequence. We were able to acquire 3D images with high spatiotemporal resolution in healthy rat kidneys and tumor-bearing mice, as well as high spatial resolution 2D and 3D  $T_2$  maps of multiple hyperpolarized substrates. Future work will focus on adapting the reconstruction algorithm for parallel imaging and translation into clinical studies.

## Supplementary Material

Refer to Web version on PubMed Central for supplementary material.

## Acknowledgments

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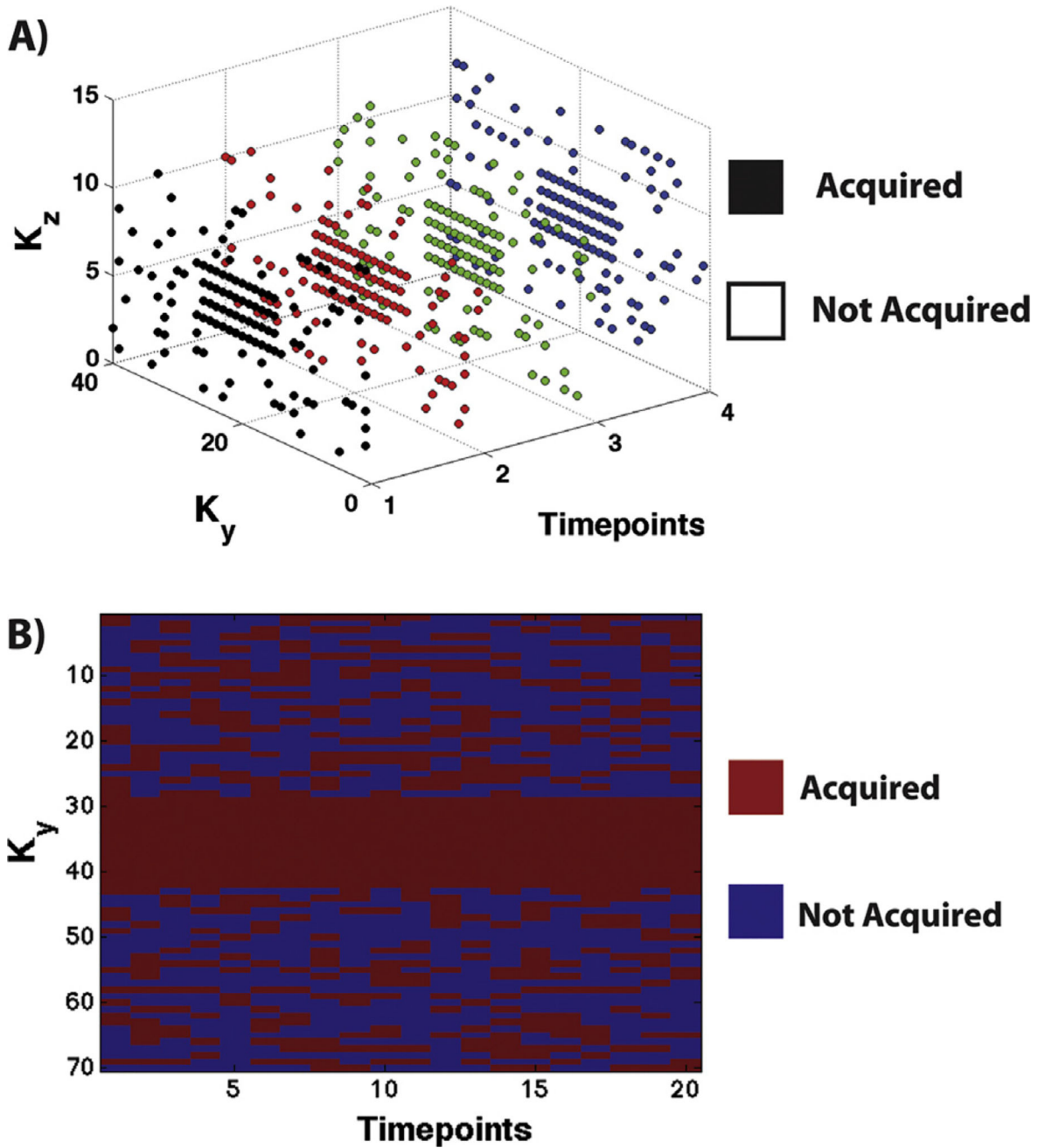
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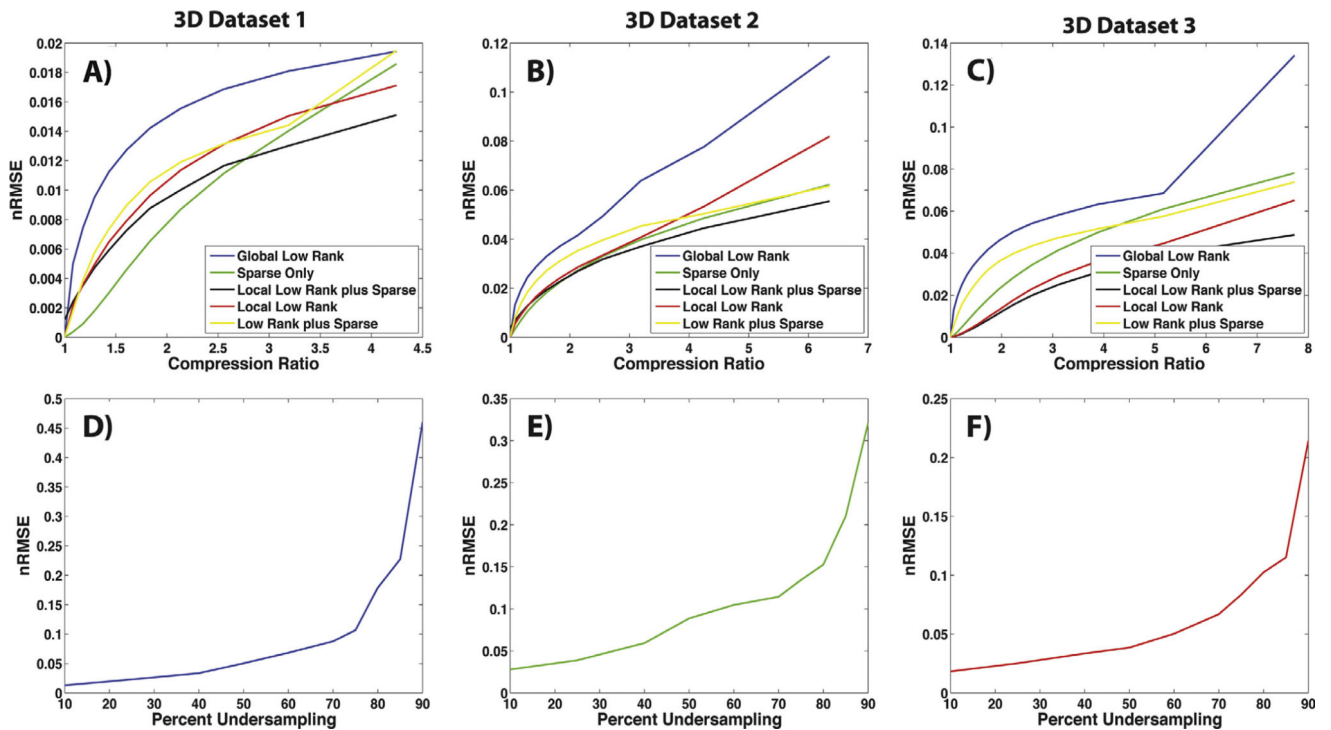
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**Fig. 1.**

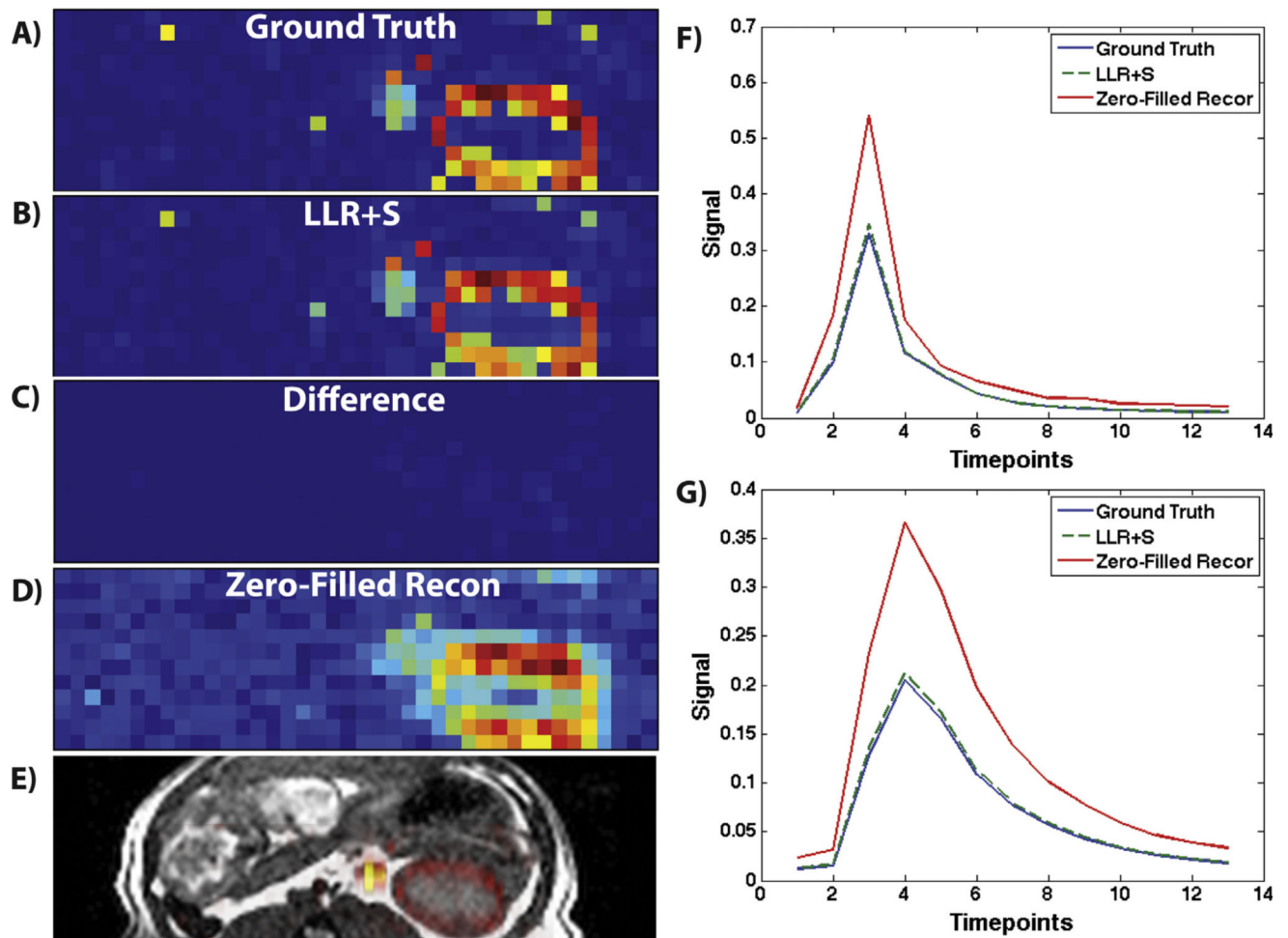
Depiction of undersampling patterns used for the (A) 3D dynamic and (B) 2D dynamic acquisitions. The 3D dynamic acquisitions were undersampled in  $k_y$ - $k_z$ - $t$  space with different variable-density patterns and fully sampled  $k$ -space centers, with 4 time-points shown above (acquired points in black/red/green/blue). The 2D dynamic acquisitions were similarly undersampled, but in  $k_y$ - $t$  space. Each acquired point within the patterns represents a frequency encoded line of  $k$ -space. (For interpretation of the references to color in this figure legend, the reader is referred to the web version of this article.)



**Fig. 2.**

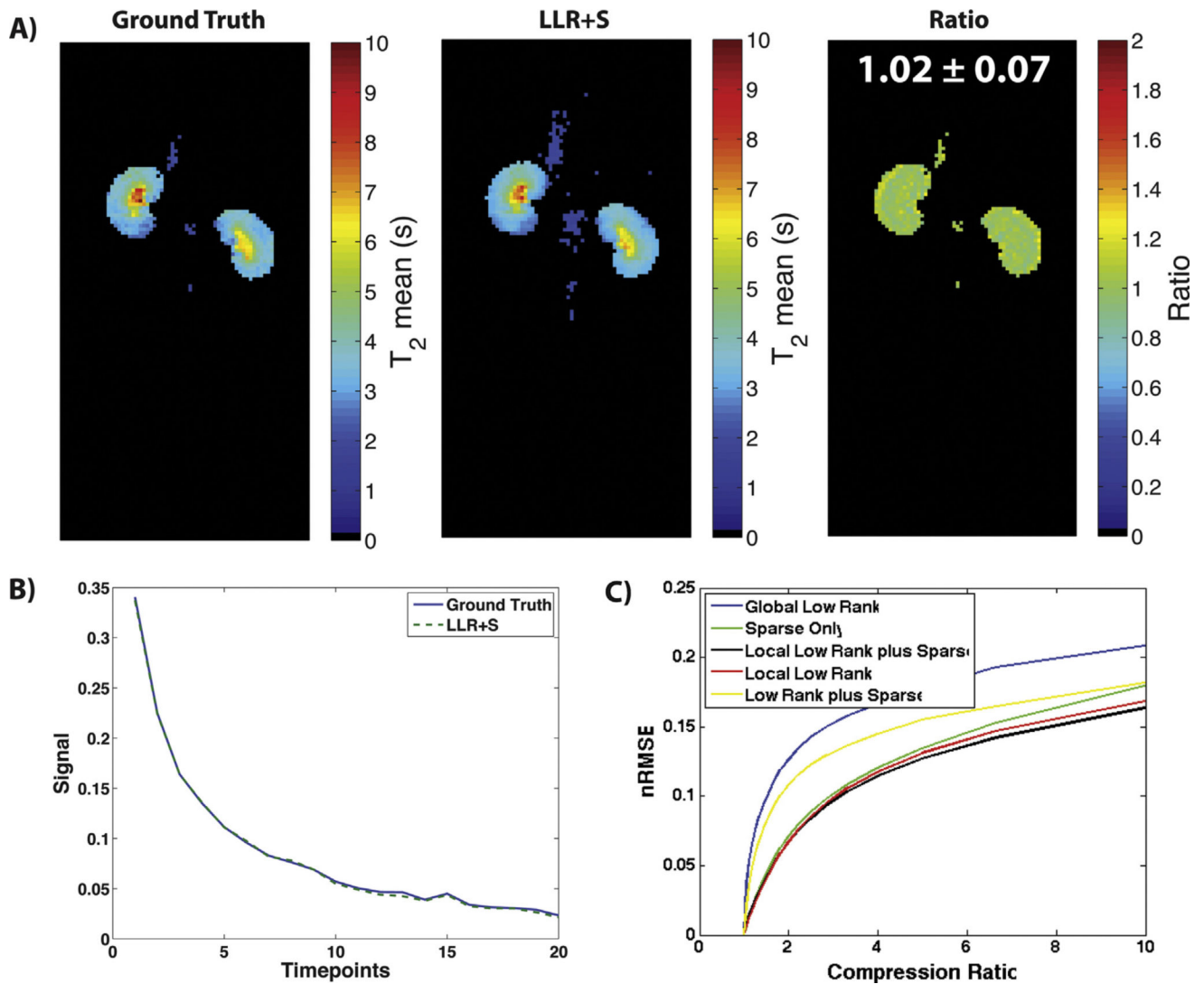
Different undersampling factors were used to test the capability of the LLR+S reconstruction for all three retrospectively undersampled 3D datasets. Parts A–C depict the nRMSE vs. compression ratio for the three retrospectively undersampled 3D datasets, respectively, with the five different types of reconstruction algorithms. For all three datasets, the LLR+S method showed the lowest nRMSE across multiple compression ratios, indicating the highest percent undersampling can be achieved when using that algorithm. Parts D–F depict the nRMSE vs. percent undersampling for all three retrospectively undersampled 3D datasets reconstructed with LLR+S, respectively, with  $> \sim 75\%$  undersampling being the point where the reconstruction begins to considerably breakdown.





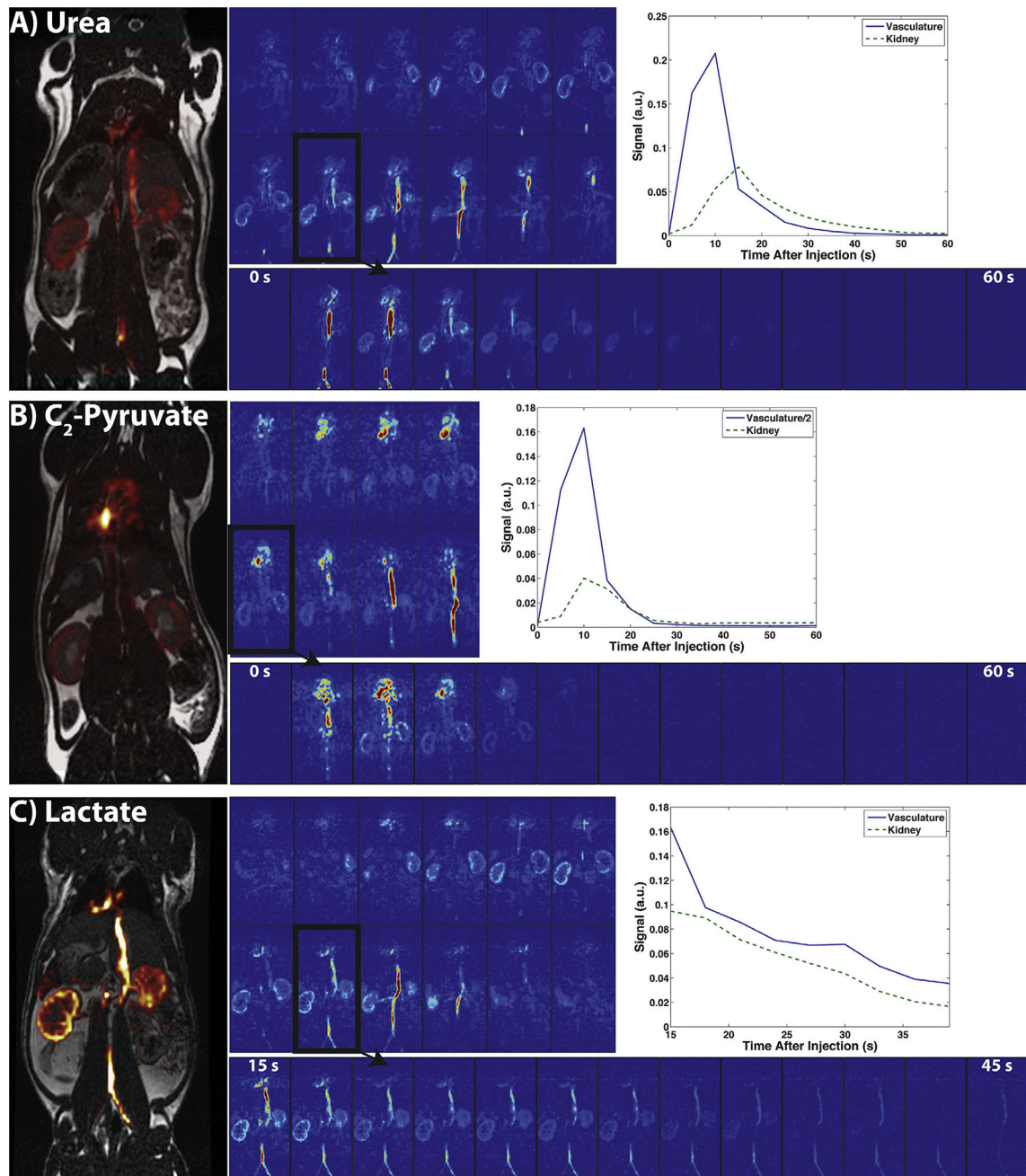
**Fig. 3.**

An example reconstruction of 3D dataset 1 (B) with 75% undersampling can be seen here compared to the ground truth (A), along with the difference image (C), zero-filled reconstruction (D), overlay of depicted carbon slice onto a  $^1\text{H}$  image (E), and comparison of dynamic curves between the ground truth, LLR+S reconstruction, and the zero-filled reconstruction for the vasculature (F) and kidney (G). The LLR+S reconstruction matches up closely with the ground truth based on the difference image, qualitative observation and the dynamic curves, while the zero-filled reconstruction has severe aliasing. All images were scaled from 0 to 1.

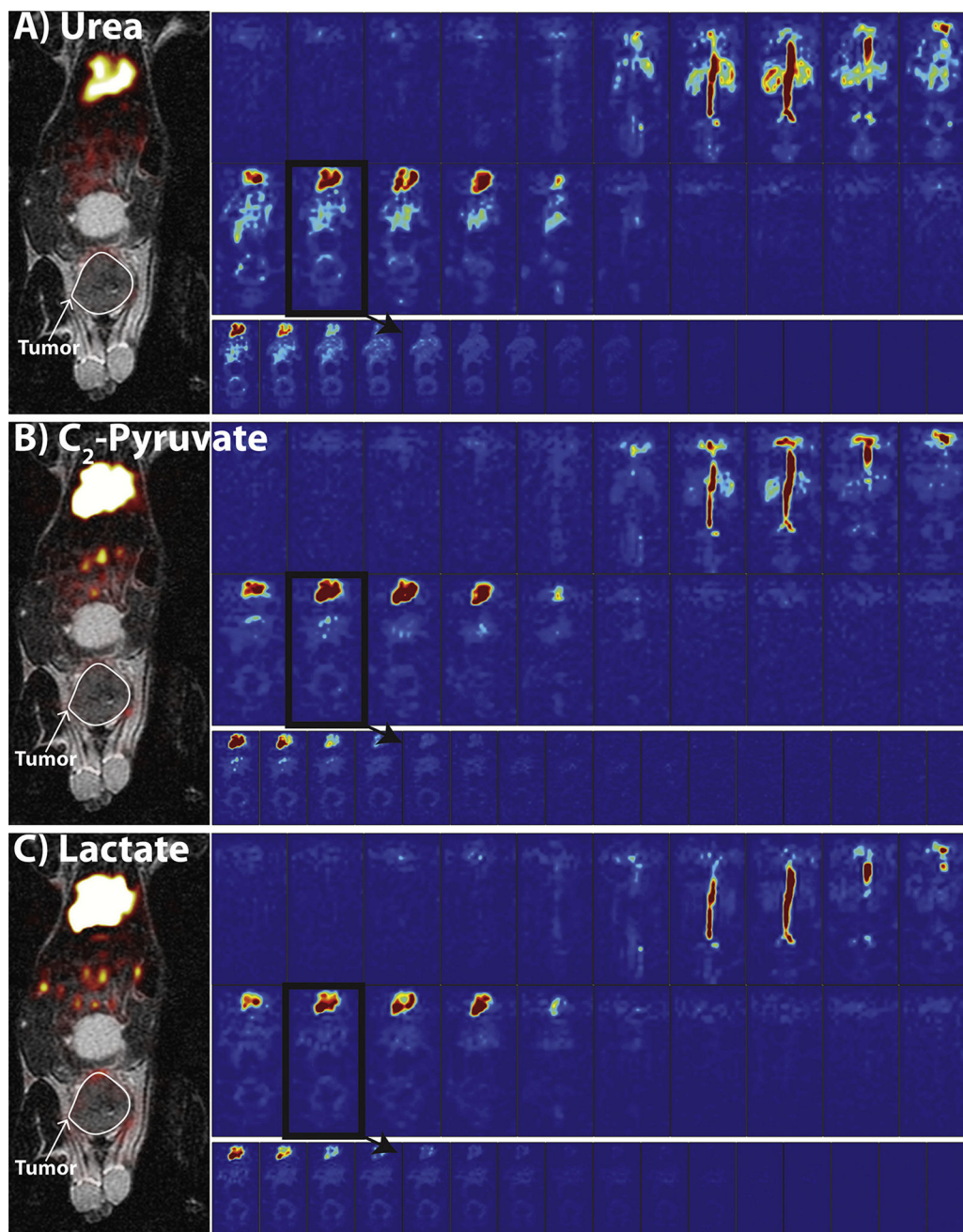


**Fig. 4.**

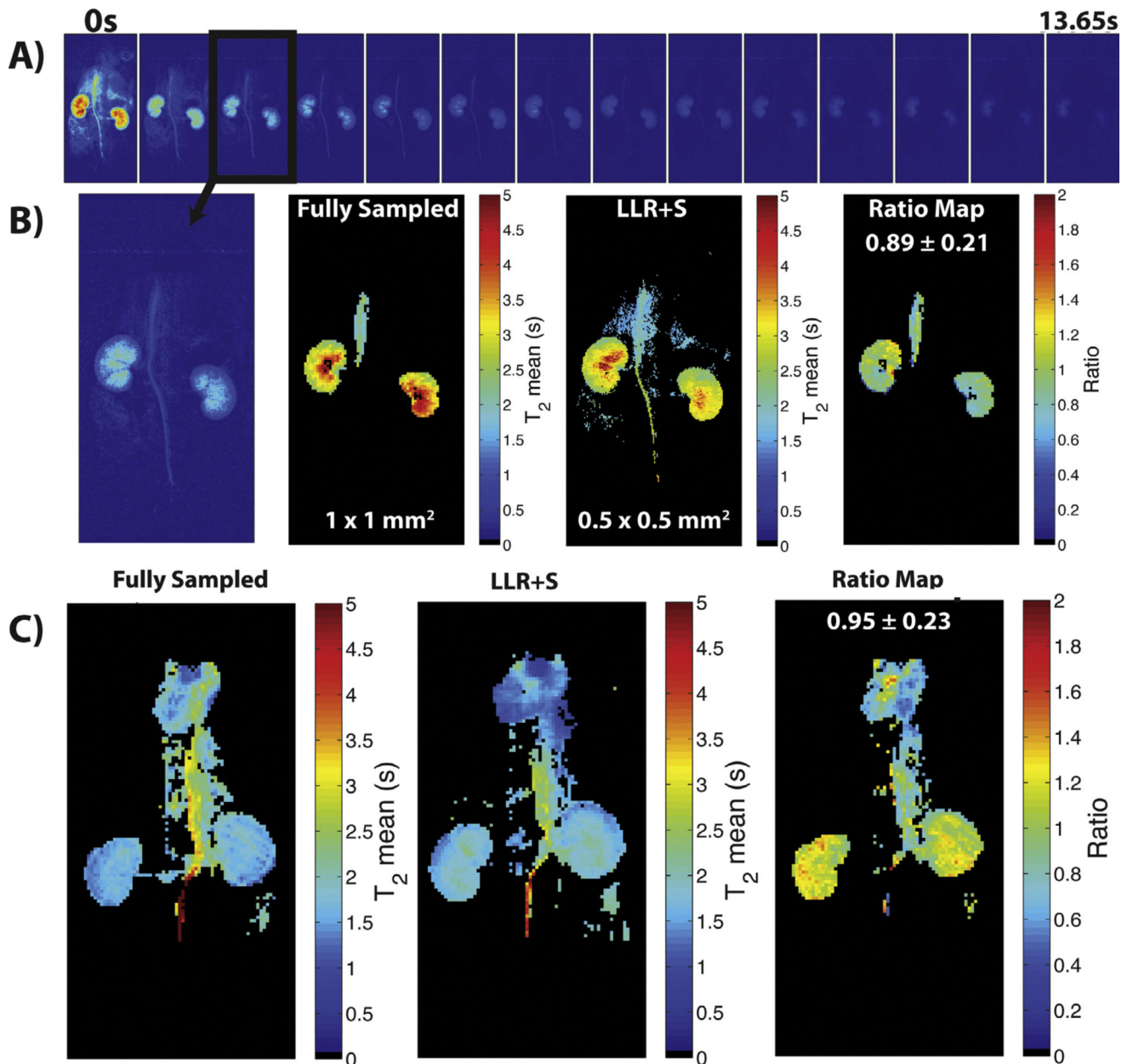
The LLR+S reconstruction was tested on retrospective T<sub>2</sub> Mapping Dataset 1. The LLR+S reconstruction matches up with the fully sampled ground truth as evidenced by the ratio map being equal  $\sim 1$  (A). Furthermore, representative decay curves (B) from the ground truth and LLR+S match up very well. Part C shows the nRMSE vs. compression ratio for T<sub>2</sub> Mapping Dataset 1, with the LLR+S reconstruction showing better compressibility compared to other reconstruction algorithms.



**Fig. 5.** Depiction of the urea (A), C<sub>2</sub>-pyruvate (B), and lactate (C) uptake and biodistribution of the 3D dynamic acquisition in one Sprague-Dawley rat. The full 3D view of each compound at 15 s and in one slice (outlined in black) dynamically from 0 to 60 s (15–54 s in lactate) indicates uptake in vasculature, kidneys, and heart. The dynamics in the vasculature and the kidneys can also be seen on the top right of each part, along with carbon overlays of the outlined slice on top of anatomical <sup>1</sup>H images on the left.



**Fig. 6.** Depiction of the urea (A), C<sub>2</sub>-pyruvate (B), and lactate (C) uptake and biodistribution of the 3D dynamic acquisition in a tumor-bearing mouse. The full 3D view of each compound at 15 s and in one slice (outlined in black) dynamically from 15 to 45 s indicates uptake in vasculature, tumor periphery, kidneys, and heart. The carbon overlays of the outlined slice on top of anatomical <sup>1</sup>H images are on the left, along with the outline of the tumor in white.



**Fig. 7.** 2-fold accelerated acquisitions of  $0.5 \times 0.5 \text{ mm}^2$  urea and  $1 \times 1 \text{ mm}^2$  HP001 T<sub>2</sub> mapping are presented here. Part A shows the slices of the urea acquisition, with the signal lasting longest in the kidneys due to the long T<sub>2</sub>'s. Part B shows a zoomed-in version of the outlined slice in part A, which shows the resolution being high enough to accurately visualize the different kidney compartments. Additionally, comparison of the LLR+S reconstructed T<sub>2</sub> map to the fully sampled  $1 \sim 1 \text{ mm}^2$  T<sub>2</sub> map revealed a good agreement in calculated T<sub>2</sub> values. The ratio map had an average of  $0.89 \pm 0.21$  (mean  $\pm$  intra-image standard deviation) within the kidneys and vasculature. Part C shows the results of the HP001 T<sub>2</sub> mapping. Similar to the urea results, comparison to the fully sampled  $1 \sim 1 \text{ mm}^2$  acquisition revealed a good

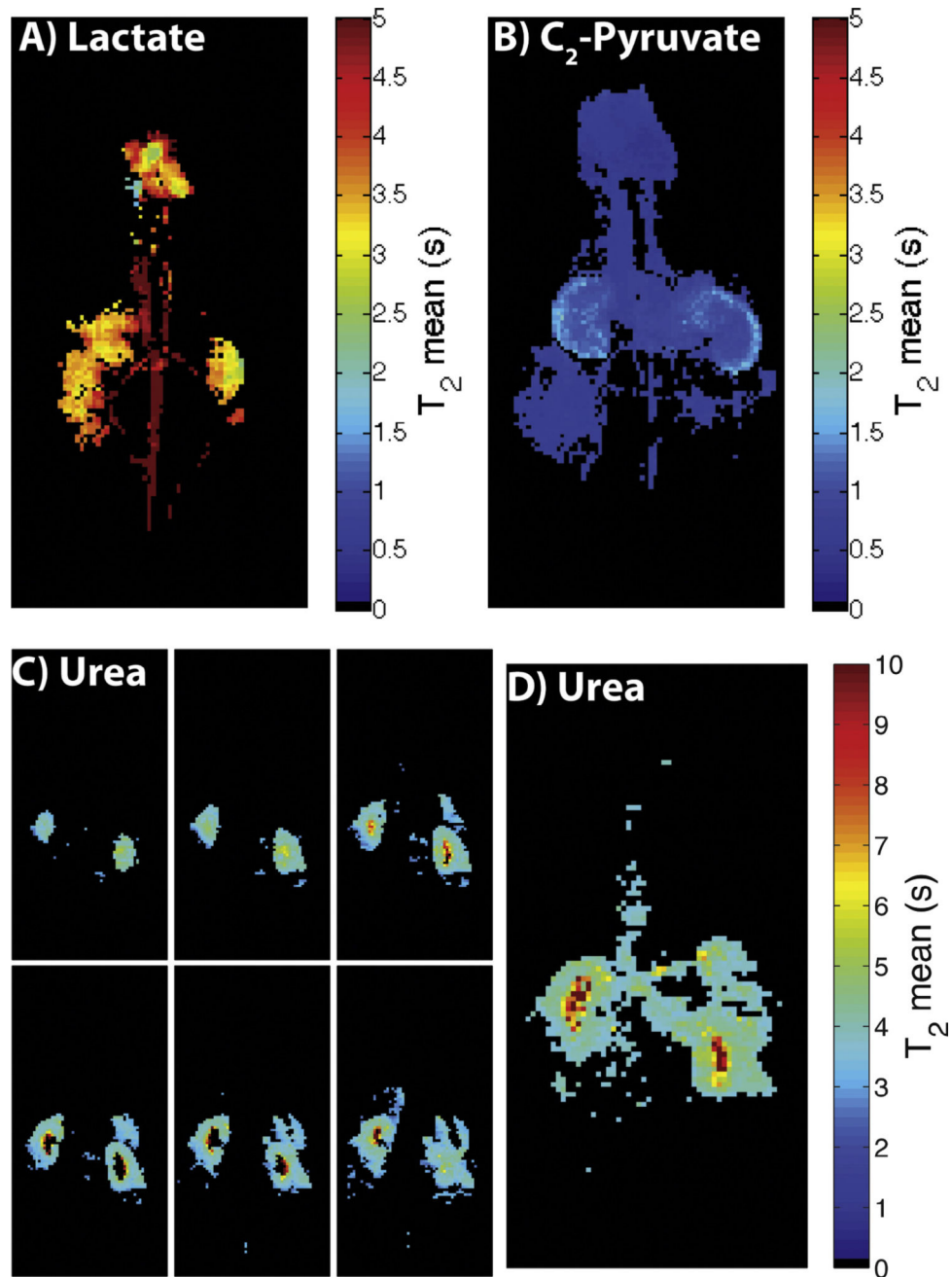
agreement in calculated  $T_2$  values. The ratio map had an average of  $0.95 \pm 0.23$  (mean  $\pm$  intra-image standard deviation) within the kidneys and vasculature.

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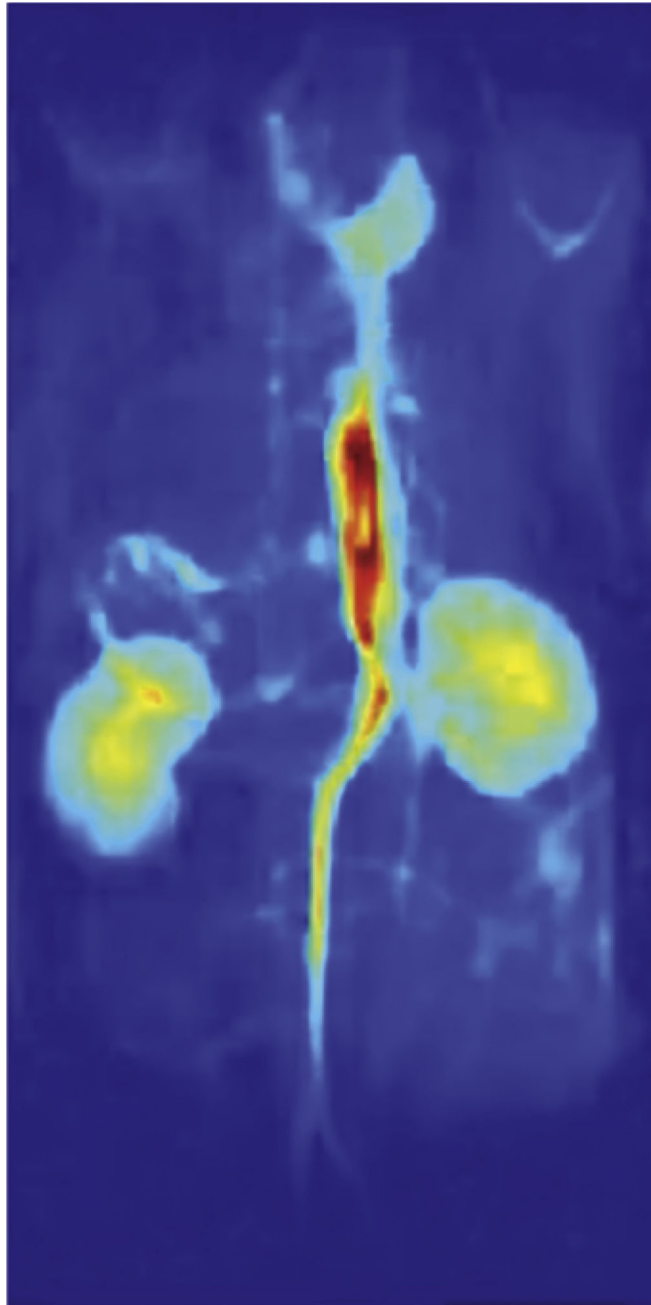
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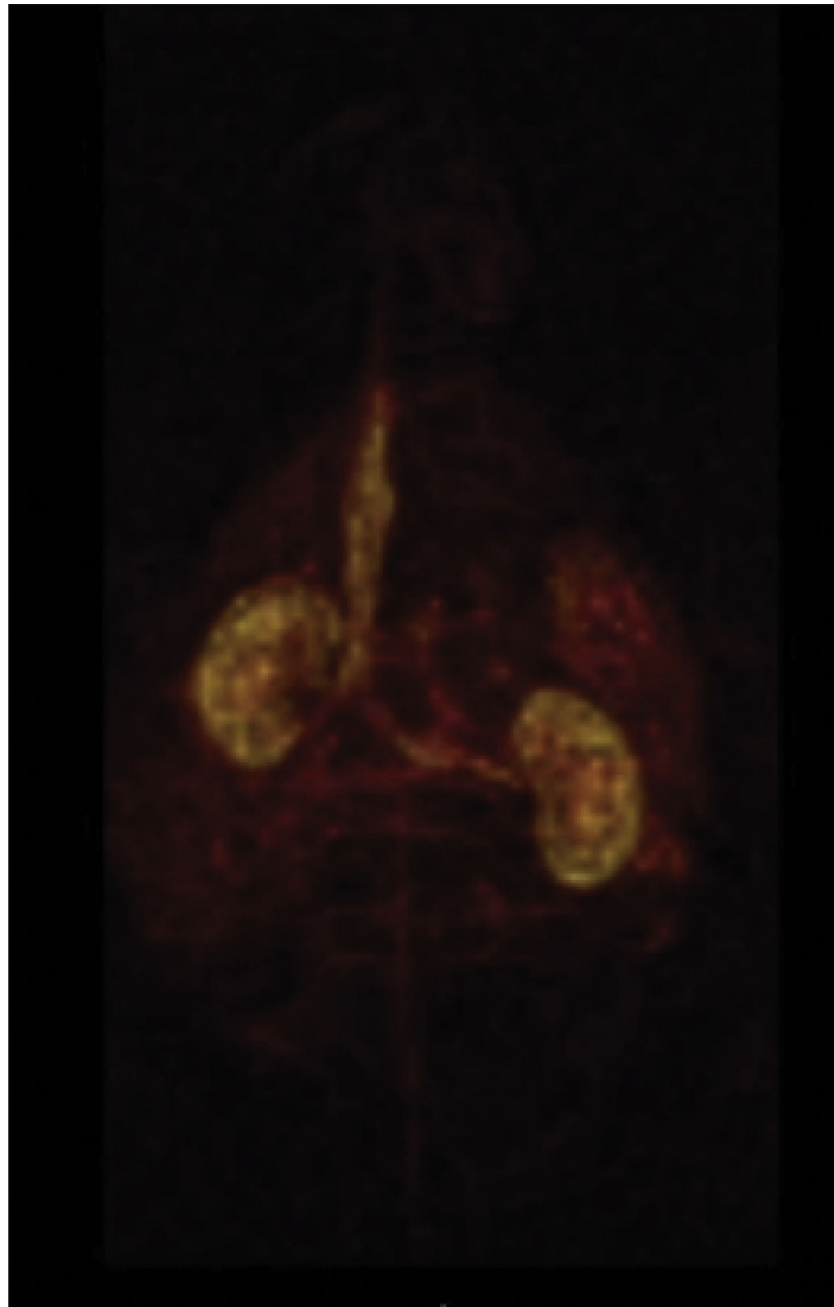


**Fig. 8.** High resolution T<sub>2</sub> maps for other substrates, such as lactate (A) and C<sub>2</sub>-pyruvate (B), are shown here. The accelerated acquisitions had high enough spatial resolution to visualize the substrate in kidney, heart, and vasculature, with the mean T<sub>2</sub> values matching up well with previously acquired T<sub>2</sub> maps. The slice by slice T<sub>2</sub> map (C) and maximum intensity projection representation (D) from the urea 3D 1 mm isotropic T<sub>2</sub> mapping acquisition show clear delineation of renal cortex, medulla, pelvis, and vasculature, with the T<sub>2</sub> distribution matching up with literature values. Only 6 representative slices (out of 18) are shown in part C, while the maximum intensity projection in part D is from all slices.

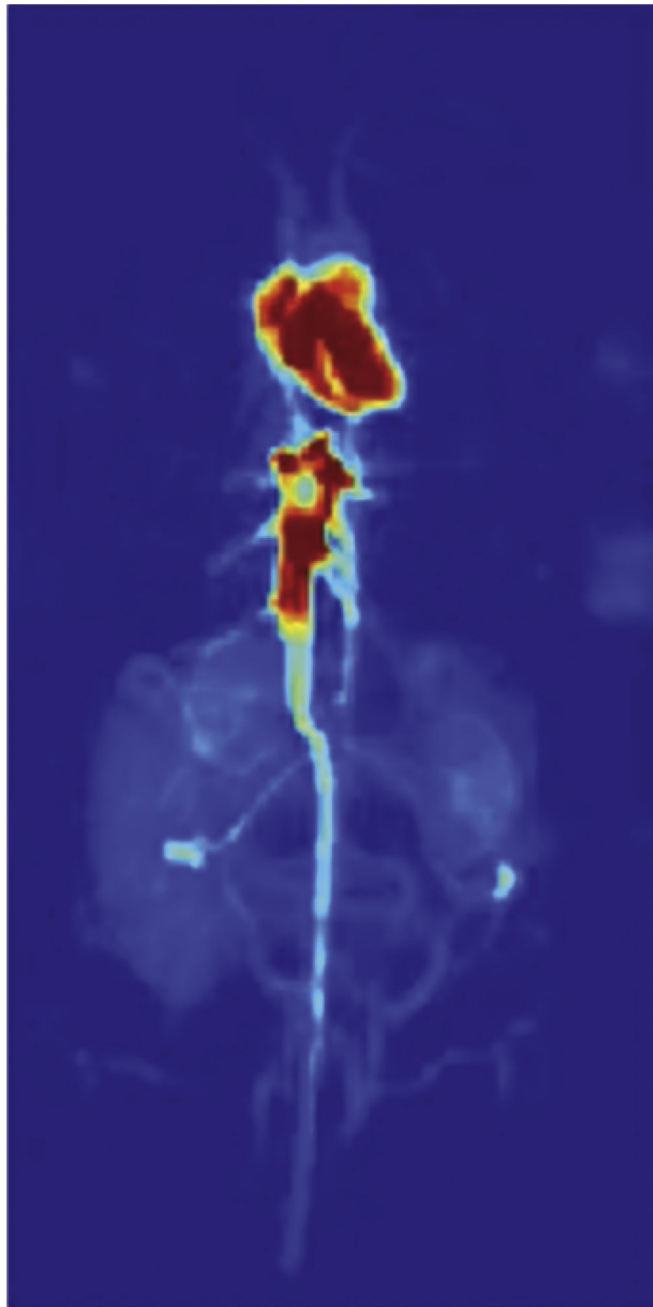


**Video 1.**  
All time-points from the 2-fold accelerated  $1 \times 1 \text{ mm}^2$  HP001 T<sub>2</sub> Mapping acquisition used in the analysis (some cutoff due signal over-ranging).

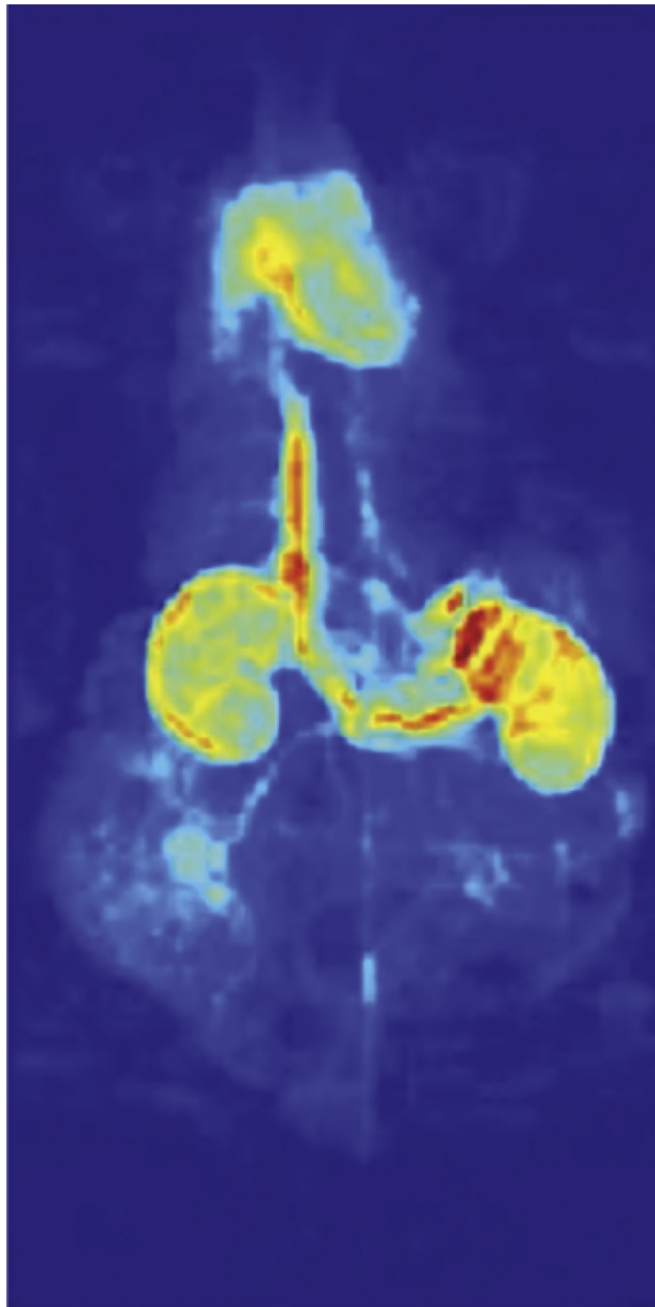


**Video 2.**

The dynamics of the urea over the course of the 3D 1 mm isotropic  $T_2$  mapping acquisition can be seen in the accompanying video. Each  $360^\circ$  rotation signifies one time-point, with the temporal resolution of the acquisition being 2.3 s. The signal decays rapidly outside the kidneys, and lasts the longest within the renal pelvis, which is known to have the longest  $T_2$  values.



**Video 3.**  
All time-points from the 2-fold accelerated  $1 \times 1\text{mm}^2$  lactate  $T_2$  Mapping acquisition used in the analysis (some cutoff due signal over-ranging).



**Video 4.**  
All time-points from the 2-fold accelerated  $1 \times 1\text{mm}^2$   $\text{C}_2$ -pyruvate  $T_2$  Mapping acquisition used in the analysis (some cutoff due signal over-ranging).

**Table 1**

Pseudo-code for LLR+S reconstruction algorithm.

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|  |   |
|--|---|
| <b>Inputs:</b>                                     | y: undersampled k-t data<br>E: partial spatial Fourier transform operator based on undersampling mask<br>T: sparsifying transform<br>$\lambda_L$ : singular value threshold<br>$\lambda_S$ : sparsity threshold<br>b: image block in $\Omega$ for local low rank soft thresholding<br>Tol: relative change of solution  |
| <b>Outputs:</b>                                    | L, S: solutions to Eq. (1); low rank and sparse components of reconstructed data  |
| <b>Algorithm:</b>                                  | $M_0 = E^*y$ , $S_0 = 0$ % Initialize data<br>do {<br>% Singular Value Soft Thresholding<br>$L_k = \bigcup_{b \in \Omega} SVT_{\lambda_L}(Cb(M_{k-1} - S_{k-1}))$<br>% Soft Thresholding in T domain<br>$S_k = T^{-1}(\Lambda_{\lambda_S}(T(M_{k-1} - L_{k-1})))$<br>% Data consistency: subtract residual<br>$M_k = L_k + S_k - E^*(EL_k + S_k) - y$<br>$err = \frac{\ L_k + S_k - (L_{k-1} + S_{k-1})\ _2}{\ L_{k-1} + S_{k-1}\ _2}$<br>} while err > Tol |
| <b>Soft Thresholding Operator:</b>                 | $\Lambda_\lambda(\chi) = \frac{\chi}{ \chi } \max( \chi  - \lambda, 0)$   |
| <b>Singular Value Thresholding (SVT) Operator:</b> | $SVT_\lambda(M) = U\Lambda_\lambda(\Sigma)V$ , where $[U, \Sigma, V] = SVD(M)$  |

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**Table 2**

Comparison of the different sparsifying transforms on all three 3D retrospectively undersampled datasets. Comparison to the ground truth was done after retaining only the top 10% of sparsifying coefficients.

|                     | <b>TempFFT</b> | <b>Wavelet</b> | <b>TV</b> | <b>PCA</b> |
|---------------------|----------------|----------------|-----------|------------|
| <i>3D Dataset 1</i> |                |                |           |            |
| nRMSE               | 0.3638         | 0.2968         | 0.4983    | 0.2712     |
| SSIM                | 0.7053         | 0.7486         | 0.6484    | 0.7586     |
| <i>3D Dataset 2</i> |                |                |           |            |
| nRMSE               | 0.1944         | 0.1562         | 0.3016    | 0.092      |
| SSIM                | 0.6772         | 0.6618         | 0.6138    | 0.8941     |
| <i>3D Dataset 3</i> |                |                |           |            |
| nRMSE               | 0.1497         | 0.1777         | 0.3614    | 0.0631     |
| SSIM                | 0.7581         | 0.7177         | 0.5875    | 0.88       |

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Comparison of different block sizes (bsize) used in the LLR+S reconstruction for all three 3D retrospectively undersampled datasets. The PCA transform was used for all comparisons.

**Table 3**

| bsize               | 4,2    | 5,3    | 6,3    | 8,3    | 10,3   | 20,6   |
|---------------------|--------|--------|--------|--------|--------|--------|
| <i>3D Dataset 1</i> |        |        |        |        |        |        |
| nRMSE               | 0.1177 | 0.0995 | 0.1129 | 0.0908 | 0.1095 | 0.2702 |
| SSIM                | 0.9329 | 0.9475 | 0.9368 | 0.9543 | 0.9455 | 0.8788 |
| bsize               | 4,2    | 5,3    | 6,3    | 8,3    | 10,3   | 20,6   |
| <i>3D Dataset 2</i> |        |        |        |        |        |        |
| nRMSE               | 0.1502 | 0.1392 | 0.1429 | 0.1344 | 0.1385 | 0.2161 |
| SSIM                | 0.9126 | 0.9187 | 0.9176 | 0.9239 | 0.9215 | 0.9047 |
| bsize               | 2,2    | 4,4    | 5,5    | 10,10  |        |        |
| <i>3D Dataset 3</i> |        |        |        |        |        |        |
| nRMSE               | 0.1327 | 0.0913 | 0.0848 | 0.1301 |        |        |
| SSIM                | 0.954  | 0.9631 | 0.9643 | 0.9482 |        |        |