




# Development and validation of a risk score for predicting pericardial effusion in patients undergoing leadless pacemaker implantation: experience with the Micra transcatheter pacemaker

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## Aims

There is limited information on what clinical factors are associated with the development of pericardial effusion after leadless pacemaker implantation. We sought to determine predictors of and to develop a risk score for pericardial effusion in patients undergoing Micra leadless pacemaker implantation attempt.

## Methods and results

Patients ( $n = 2817$ ) undergoing implant attempt from the Micra global trials were analysed. Characteristics were compared between patients with and without pericardial effusion (including cardiac perforation and tamponade). A risk score for pericardial effusion was developed from 18 pre-procedural clinical variables using lasso logistic regression. Internal validation and future prediction performance were estimated using bootstrap resampling. The scoring system was also externally validated using data from the Micra Acute Performance European and Middle East (MAP EMEA) registry. There were 32 patients with a pericardial effusion [1.1%, 95% confidence interval (CI): 0.8–1.6%]. Following lasso logistic regression, 11 of 18 variables remained in the model from which point values were assigned. The C-index was 0.79 (95% CI: 0.71–0.88). Patient risk score profile ranged from –4 (lowest risk) to 5 (highest risk) with 71.8% patients considered low risk (risk score  $\leq 0$ ), 16.6% considered medium risk (risk score = 1), and 11.7% considered high risk (risk score  $\geq 2$ ) for effusion. The median C-index following bootstrap validation was 0.73 (interquartile range: 0.70–0.75). The C-index based on 9 pericardial effusions from the 928 patients in the MAP EMEA registry was 0.68 (95% CI: 0.52–0.83). The pericardial effusion rate increased significantly with additional Micra deployments in medium-risk ( $P = 0.034$ ) and high-risk ( $P < 0.001$ ) patients.

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**Conclusion**

The overall rate of pericardial effusion following Micra implantation attempt is 1.1% and has decreased over time. The risk of pericardial effusion after Micra implant attempt can be predicted using pre-procedural clinical characteristics with reasonable discrimination.

**Clinical trial registration**

The Micra Post-Approval Registry (ClinicalTrials.gov identifier: NCT02536118), Micra Continued Access Study (ClinicalTrials.gov identifier: NCT02488681), and Micra Transcatheter Pacing Study (ClinicalTrials.gov identifier: NCT02004873).

**Keywords**

Leadless pacing • Pericardial effusion • Perforation

**What's new?**

- The overall rate of pericardial effusion after Micra implantation attempt is low (1.1%) and risk factors for effusion are similar to those previously reported for transvenous pacemakers.
- The risk of pericardial effusion after a Micra implant attempt can be predicted using routinely obtained clinical characteristics with reasonable discrimination.
- Importantly, repeated attempts at Micra deployment appear to be associated with increased risk of pericardial effusion particularly in patients with elevated risk at baseline.
- The proposed risk score model may be helpful in guiding greater care with implantation technique that not only focuses on septal implants but also one that minimizes the number of deployments in moderate- to high-risk candidates.

**Introduction**

Leadless pacing is now an established alternative to traditional transvenous pacemakers, especially in patients who only require brief periods of pacing or only require ventricular pacing.<sup>1–3</sup> Leadless pacing also avoids complications associated with subclavian or axillary lead access and pocket formation, including pneumothorax, acute pacemaker pocket infection, and chronic lead and pocket complications. Moreover, the Micra leadless pacemaker has been associated with lower rates of complications, particularly lead and pocket related complications, when compared with traditional single-chamber ventricular pacing.<sup>1,4</sup> The device has advantages in patients who have limited vascular access options and appears to be associated with a lower risk of device infection.<sup>4–7</sup> However, like all pacemakers, the Micra leadless pacemaker does carry a risk of infrequent but known complications.<sup>1,3</sup>

One of the complications that can occur during Micra implantation is myocardial perforation and the development of pericardial effusion. While low, the rates of pericardial effusion may be higher than those observed with traditional pacemaker implant procedures.<sup>3,8–11</sup> The complication remains a concern, especially since leadless pacemaker candidates are often older and have higher rates of comorbid illness and frailty. There is limited information on the risk factors associated with an increased risk of pericardial effusion after Micra implantation. Therefore, the goal of this analysis was to determine predictors of pericardial effusion in patients undergoing attempts at Micra

pacemaker implantation and develop a simple risk score that physicians may use to assess a patient's risk for pericardial effusion prior to implant.

**Methods****Study design and oversight**

In order to better characterize the incidence, predictors, and outcomes of pericardial effusion following Micra leadless pacemaker implantation, we included patients with an attempted Micra implant in the Micra Investigational Device Exemption (IDE) study, Micra Continued Access (CA) study, and Micra Post-Approval Registry (PAR) in this analysis. The design and results of the Micra IDE and Micra PAR have been previously reported.<sup>1,3</sup> The Micra IDE study enrolled 726 patients between December 2013 and May 2015 and was used to obtain regulatory approval of the Micra Transcatheter Pacing System (TPS) (Medtronic, Mounds View, MN, USA). The Micra CA study enrolled 276 patients between June 2015 and May 2016 allowing patients continued access to the Micra system during regulatory review in the USA. The Micra PAR study was designed to evaluate the short- and long-term safety and performance of the Micra system in real-world clinical practice following commercial release. The Micra PAR enrolled 1815 patients between July 2015 and March 2018 with a 9-year follow-up ongoing.

All three studies were prospective, nonrandomized, and enrolled patients that met class I or II guideline recommendations for ventricular pacing with no co-morbidity restrictions with the exception that patients with an existing pacemaker or implantable cardioverter-defibrillator were excluded from the Micra IDE study. All three studies were sponsored by Medtronic and the protocols for each study were approved by the ethics committee at each centre. All patients provided written informed consent. Adverse events, including all cardiac perforations and pericardial effusions, were adjudicated by a clinical events committee, comprised of independent physicians, for their relationship to the Micra system and implant procedure. However, the decision to perform a pre- or post-implant echocardiogram was left to the implanting clinician. Pericardial effusion severity was classified by their most severe therapeutic consequence; specifically, those resulting in death, surgery, pericardial drainage, and those resolving without surgery or pericardial drain.<sup>12</sup>

**Objective**

The objective of this analysis was to characterize the rate of pericardial effusion (including cardiac perforation and tamponade) and outcomes in patients undergoing Micra implantation attempt. Another aim of this analysis was to develop a model to predict the risk of pericardial effusion based on readily available and simple to obtain patient characteristics. Pericardial effusions were defined as the occurrence of any pericardial

**Table 1** Univariate association with pericardial effusion

Characteristic	Effusion (N = 32)	No effusion (N = 2785)	Odds ratio (95% CI)	P-value
Body mass index	25.7 ± 6.0 (32)	27.8 ± 5.7 (2741)	0.92 (0.86–1.00)	0.038
BMI <20 kg/m <sup>2</sup>	15.6% (5/32)	4.3% (117/2741)	4.15 (1.57–10.98)	0.004
Age (years)	78.4 ± 13.6 (32)	75.7 ± 12.7 (2782)	1.02 (0.99–1.06)	0.229
Age ≥75	65.6% (21/32)	65.0% (1809/2782)	1.03 (0.49–2.14)	0.944
Age ≥85	46.9% (15/32)	23.2% (646/2782)	2.92 (1.45–5.87)	0.003
Female	62.5% (20/32)	39.6% (1103/2783)	2.54 (1.24–5.21)	0.011
Cardiomyopathy	15.6% (5/32)	15.3% (427/2783)	1.02 (0.39–2.67)	0.965
Congestive heart failure	28.1% (9/32)	15.6% (433/2783)	2.12 (0.98–4.62)	0.058
Coronary artery disease	15.6% (5/32)	25.2% (702/2783)	0.55 (0.21–1.43)	0.220
Myocardial infarction	15.6% (5/32)	8.8% (246/2783)	1.91 (0.73–5.00)	0.188
Hypertension	68.8% (22/32)	69.8% (1942/2783)	0.95 (0.45–2.02)	0.900
Pulmonary hypertension	12.5% (4/32)	7.9% (220/2783)	1.66 (0.58–4.79)	0.345
Coronary artery intervention	18.8% (6/32)	14.8% (411/2783)	1.33 (0.55–3.26)	0.529
COPD	28.1% (9/32)	11.2% (313/2783)	3.09 (1.42–6.73)	0.005
Diabetes	28.1% (9/32)	27.5% (764/2783)	1.03 (0.48–2.25)	0.932
Preclusion for transvenous pacing	18.8% (6/32)	19.4% (540/2782)	0.96 (0.39–2.34)	0.925
AF history	50.0% (16/32)	72.9% (2028/2783)	0.37 (0.19–0.75)	0.006
Prior cardiac surgery	12.5% (4/32)	24.8% (690/2783)	0.43 (0.15–1.24)	0.119
On OAC	67.7% (21/31)	73.5% (2036/2769)	0.76 (0.35–1.61)	0.469
Renal dysfunction (any)	25.0% (8/32)	21.5% (597/2783)	1.22 (0.55–2.73)	0.627
Renal dysfunction (dialysis required)	12.5% (4/32)	7.0% (195/2783)	1.90 (0.66–5.46)	0.236

AF, atrial fibrillation; BMI, body mass index; CI, confidence interval; COPD, chronic obstructive pulmonary disease; OAC, oral anticoagulation.

effusion reported as an adverse event during the study (including perforation or tamponade) regardless of severity or outcome.

## Statistical methods

Summary statistics were obtained and reported using mean ± standard deviation for continuous variables and frequencies and percentages for categorical variables. Exact binomial confidence intervals (CIs) were used to compute 95% CIs for pericardial effusion rates by study and overall.

A multivariable risk prediction model was developed and internally validated in accordance with the TRIPOD statement.<sup>13</sup> Based upon clinical factors associated with complications in traditional pacemaker candidates, we identified a candidate set of 18 prognostic variables (Table 1) to include as candidate variables in the multivariable model. Patients missing values for >10 candidate variables were excluded from the model. Logistic regression models were used to assess the univariate association between each candidate prognostic variable and effusion status. To facilitate the construction of a simple scoring system, body mass index (BMI) was dichotomized (<20 vs. ≥20 kg/m<sup>2</sup>) and age was categorized (<75, 75 to <85, and >85) based on graphical exploration of the data prior to model fitting and BMI <20 kg/m<sup>2</sup> being associated with physical frailty in the elderly.<sup>14</sup> Due to the presence of missing data, 20 imputed datasets were constructed using multivariate imputation by chained equations.<sup>15</sup> Variable selection and parameter estimation were accomplished using weighted lasso logistic regression utilizing the combined imputed datasets.<sup>16</sup> Lasso is a regression and variable selection technique that selects variables maximizing prediction accuracy while penalizing overfitting rather than performing variable selection based on traditional measures of statistical significance.<sup>17</sup>

To develop a practical risk score, variables selected by the lasso model were assigned points by increasing (or decreasing)  $\beta$  coefficients

up or down to the next higher positive or lower negative integer, respectively. A risk score was then computed for each patient, and the population was divided into three categories: low risk relative to the entire analysis cohort (risk score ≤0), medium risk relative to the entire analysis cohort (risk score = 1), or high risk relative to the entire analysis cohort (risk score ≥2) for pericardial effusion. Discrimination ability for both the lasso regression model and risk score was assessed using the C-index. Model calibration was assessed by regressing the observed effusion rate on predicted pericardial effusion rate for each risk status (low, medium, or high) and weighting by the proportion of patients within each risk status.

Due to the small number of pericardial effusion events across all datasets, internal validation and future prediction performance of the modeling process were assessed using 1000 bootstrap samples.<sup>18</sup> Specifically, for each bootstrap iteration, the entire model development process was repeated (missing data imputation, variable selection and estimation, and risk score development) and the model developed was used to predict the probability of effusion for patients both in and out of the bootstrap sample (i.e. those observations not selected in the bootstrap sample). Future prediction performance was assessed for each sample using the C-index and the calibration slope using Efron's 0.632 estimator.<sup>18</sup> Similarly, CIs for the final model coefficients as well as variable selection probability were generated using the bootstrap samples.

As an external assessment of future discrimination performance of the risk score, the C-index was estimated based on outcomes from the Micra Acute Performance European and Middle East (MAP EMEA) registry.

The association between the number of Micra deployments required during the implant procedure and pericardial effusion rate was assessed using the Jonckheere–Terpstra test within patients with low, medium, and high predicted baseline risk.

All statistical analyses were performed using SAS v9.4 (SAS Institute, Cary, NC, USA) or using the mice,<sup>15</sup> glmnet,<sup>19</sup> and ROCR,<sup>20</sup> packages in R v4.0.2.<sup>21</sup>

## Results

### Incidence and outcomes of pericardial effusion

Among 2817 patients who underwent Micra implant attempt, there were 32 patients with a symptomatic pericardial effusion identified from adverse event reports for an overall rate of 1.1% (95% CI: 0.8–1.6%). The pericardial effusion rate ranged from 0.8% in the Micra PAR to 1.8% in the Micra IDE study (Figure 1). Of the 32 pericardial effusions, 2 resulted in death (1 following surgery), 5 required surgical intervention, 15 required pericardiocentesis, and 10 resolved without the need for pericardial drainage or surgery (Table 2). Of the 26 patients requiring surgical intervention or pericardiocentesis, 3 died within 30 days of their procedure (1 died on the day of implant following surgical intervention, 1 died 16 days post-implant due to septic shock resulting from an infected gallbladder, and 1 died 11 days post-procedure from respiratory failure).

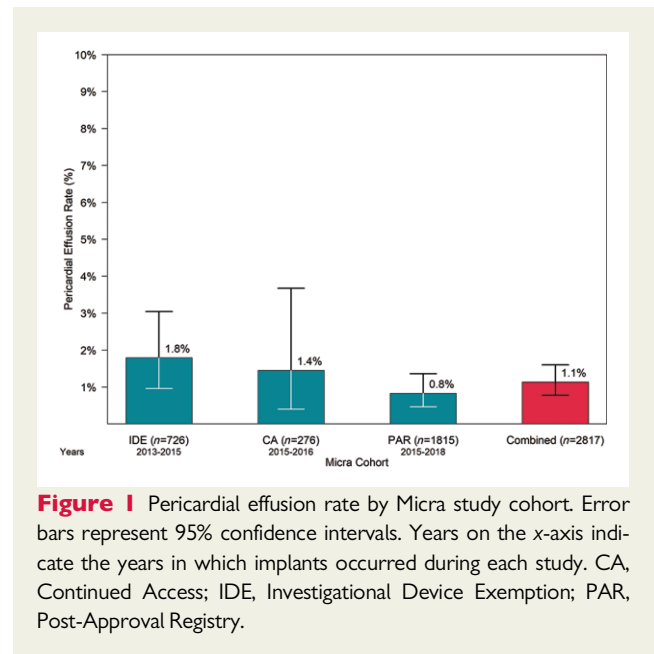
### Univariate predictors of pericardial effusion

Univariate analyses among all 2817 patients demonstrated that age  $\geq 85$  years, BMI (dichotomized at  $< 20 \text{ kg/m}^2$ ), female sex, and chronic obstructive pulmonary disease (COPD) were all positively associated with increased occurrence of pericardial effusion. In contrast, increasing BMI on a continuous basis and a history of atrial fibrillation were associated with a lower occurrence of pericardial effusion at the  $P < 0.05$  level (Table 1).

### Multivariable modelling

There were two patients without pericardial effusion who were missing  $> 10$  candidate predictor variables and excluded from the model development process. In the remaining 2815 patients, 2760 (98.0%) were missing no candidate predictor variables, while the most common missing variables were BMI and oral anticoagulation status (Supplementary material online, Figure S1). Of the 32 patients with pericardial effusion, 31 had complete data and 1 was missing oral anticoagulation status.

Following lasso logistic regression, 11 of the candidate variables remained in the model from which point values were assigned proportional to their regression coefficients (Table 3). Patient risk score profile ranged from  $-4$  (lowest risk) to 5 (highest risk) among the 2760 patients with complete data with 1981 (71.8%) patients considered low risk (risk score  $\leq 0$ ), 457 (16.6%) considered medium risk (risk score = 1), and 322 (11.7%) considered high risk (risk score  $\geq 2$ ) for pericardial effusion (Supplementary material online, Figure S2). Predicted perforation risk was 0.4%, 1.5%, and 4.8% for low-, medium-, and high-risk patients (Figure 2). Both the lasso model and the model based on risk scores discriminated well with C-index values of 0.80 (95% CI: 0.73–0.88) and 0.79 (95% CI: 0.71–0.88), respectively (Supplementary material online, Figure S3). Model calibration slopes were 1.31 for the lasso model and 0.951 for the risk scoring system.



**Figure 1** Pericardial effusion rate by Micra study cohort. Error bars represent 95% confidence intervals. Years on the x-axis indicate the years in which implants occurred during each study. CA, Continued Access; IDE, Investigational Device Exemption; PAR, Post-Approval Registry.

### Internal model validation and assessment of future prediction performance

Following bootstrap validation, the median C-index was 0.73 [interquartile range (IQR): 0.71–0.76] for the lasso logistic model and 0.73 (IQR: 0.70–0.76) for the scoring system (Supplementary material online, Figure S4). Median calibration slope was 0.79 (IQR: 0.64–0.96) for the lasso logistic model and 1.02 (IQR: 0.87–1.19) for the scoring system (Supplementary material online, Figure S5).

### External assessment of discrimination performance

A total of nine pericardial effusion events (0.97%) were observed in 928 patients undergoing implant in the MAP EMEA registry. None of the nine events required surgical intervention, while five required pericardiocentesis of which one died of multiple organ failure 11 days post-procedure. Despite differences in some characteristics used to construct the risk scoring system, the distribution of risk score values was similar between the Micra IDE, CA, and PAR patients used to develop the risk scoring system and patients in the MAP EMEA cohort (Supplementary material online, Table S1). The C-index based on the scoring system was 0.68 (95% CI: 0.52–0.83) in this data source.

### Relationship between number of Micra deployments and pericardial effusion rate

Of the 2815 patients, there were 2638 (including 30 of the 32 patients with pericardial effusion) with complete data that were known to have the device deployed during the implant procedure at least once. For patients with a low risk for pericardial effusion (risk score  $\leq 0$ ), there was no association between the number of Micra deployments and observed rate of pericardial effusion (Figure 3A).

**Table 2** Patients with pericardial effusion (n = 32)

Patient	Study	Day diagnosed <sup>a</sup>	Outcome/actions	Deployments	Age	BMI	Sex	CHF	CAD	MI	COPD	AF	Prior cardiac surgery	Dialysis	Risk score
1	PAS	0	Death	2	65	17.6	Female	-	-	-	-	-	-	+	3
2	PAS	0	Death	1	76	35.9	Female	-	-	-	-	-	-	+	1
3	IDE	0	Surgery	3-5	74	27.9	Female	-	-	-	-	+	-	-	1
4	IDE	0	Surgery	3-5	91	20.7	Female	-	-	-	-	-	-	-	2
5	CA	0	Surgery	NR	69	26.6	Female	-	-	-	-	-	-	-	1
6	CA	0	Surgery	3-5	79	24.8	Male	-	-	-	-	-	+	-	-2
7	PAS	0	Surgery	3-5	86	27.3	Female	-	-	-	-	+	-	-	1
8	IDE	0	Pericardiocentesis	1	85	25.2	Female	+	-	-	+	+	-	-	5
9	IDE	0	Pericardiocentesis	2	88	23.5	Male	+	+	+	+	+	-	-	3
10	IDE	0	Pericardiocentesis	3-5	88	26.9	Male	-	+	-	-	-	+	-	-1
11	IDE	0	Pericardiocentesis	ND	84	22.8	Female	+	+	+	+	+	-	-	3
12	IDE	1	Pericardiocentesis	>5	90	30.9	Female	-	-	-	+	-	-	-	4
13	IDE	0	Pericardiocentesis	1	83	24.8	Female	-	-	-	-	-	+	-	-1
14	IDE	0	Pericardiocentesis	>5	86	18.3	Male	+	+	+	+	+	-	+	5
15	CA	0	Pericardiocentesis	1	88	23.4	Male	-	-	-	-	-	-	-	1
16	PAS	0	Pericardiocentesis	2	87	28.2	Female	-	-	-	-	+	-	-	1
17	PAS	0	Pericardiocentesis	2	97	25.9	Female	+	-	-	-	+	-	-	2
18	PAS	0	Pericardiocentesis	2	65	32.2	Male	+	-	+	-	-	-	-	2
19	PAS	0	Pericardiocentesis	>5	89	23.9	Male	-	-	-	-	+	-	-	0
20	PAS	0	Pericardiocentesis	1	69	49.5	Female	-	-	-	-	-	-	-	1
21	PAS	0	Pericardiocentesis	1	69	23.1	Male	-	-	-	-	-	-	-	-1
22	PAS	0	Pericardiocentesis	3-5	88	30.1	Female	+	-	-	-	+	-	-	3
23	IDE	0	Observation	2	67	28.6	Female	-	-	+	-	+	-	-	1
24	IDE	16	Observation	3-5	85	22.1	Male	-	-	-	-	-	-	-	1
25	IDE	0	Observation	1	77	28.0	Female	-	-	-	-	+	-	-	-1
26	IDE	36	Observation	1	64	18.4	Female	-	-	-	-	-	-	-	2
27	CA	5	Observation	>5	77	27.8	Male	+	-	-	+	+	-	-	1
28	PAS	1	Observation	3-5	72	20.0	Female	-	-	-	+	+	-	-	3
29	PAS	0	Observation	1	90	25.0	Male	-	-	-	-	+	-	+	1
30	PAS	0	Observation	2	72	22.4	Female	-	-	-	+	-	-	-	3
31	PAS	0	Observation	3-5	23	21.5	Female	-	-	-	-	-	-	-	1
32	PAS	1	Observation	3-5	85	19.7	Male	+	+	-	+	-	+	-	3

Observation means the event resolved without the need for surgical intervention or pericardial drain.

CA, Continued Access; IDE, Investigational Device Exemption; PAR, Post-Approval Registry.

<sup>a</sup>Days relative to implant procedure (Day 0 is day of implant).

AF, atrial fibrillation; CHF, congestive heart failure; CAD, coronary artery disease; COPD, chronic obstructive pulmonary disorder; NR, not reported; ND, no deployments.

However, the observed rate of pericardial effusion increased significantly for those patients at medium (risk score = 1;  $P=0.034$ ; Figure 3B) and high baseline risk for effusion (risk score  $\geq 2$ ;  $P<0.001$ ; Figure 3C).

## Discussion

In this analysis of >2800 patients undergoing Micra leadless pacemaker implant attempt, there are several clinically relevant and important findings. First, the rate of pericardial effusion following Micra implantation attempt was 1.1% overall and appears to have decreased over time in subsequent clinical studies. Second, risk factors for pericardial effusion are similar to those observed for traditional

pacemaker implantation including increasing age, BMI <20, female sex, heart failure, prior myocardial infarction, COPD, absence of prior cardiothoracic surgery, and dialysis. Third, a predictive model with these clinical factors discriminated risk well (C-index 0.80) with reasonable predicted future discrimination performance based on bootstrap resampling (C-index 0.73) and an external data source (C-index 0.68) despite a small number of pericardial effusion events ( $n=9$ ) reported in the MAP EMEA registry. Finally, repeated attempts at deployment appear to be associated with increased risk of pericardial effusion in patients with elevated risk at baseline.

Using data from the Truven Health MarketScan, Cantillon *et al.*<sup>22</sup> found that patients undergoing leadless pacemaker implantation in clinical practice had a higher rate of pericardial effusion at 1.53% compared with 0.35% in those undergoing single-chamber transvenous



**Table 3** Multivariable lasso logistic regression analysis and scoring system

Variable	Selection probability <sup>a</sup>	Odds ratio	$\beta$ regression coefficient (95% CI) <sup>b</sup>	Points <sup>c</sup>
Age (years)	0.984			
<75		Reference		
≥75 to <85		0.77	-0.26 (-1.44-0.0)	-1
>85		2.08	0.73 (0.0-1.49)	1
Body mass index < 20 (kg/m <sup>2</sup> )	0.864	2.39	0.87 (0.0-1.75)	1
Female	0.918	1.70	0.53 (0.0-1.40)	1
Heart failure	0.765	1.52	0.42 (0.0-1.29)	1
Coronary artery disease	0.809	0.77	-0.27 (-1.66-0.0)	-1
Prior myocardial infarction	0.731	1.65	0.50 (0.0-1.60)	1
Pulmonary hypertension	0.596	1.09	0.08 (-0.31-1.19)	1
COPD	0.944	2.83	1.04 (0.0-1.91)	2
Atrial fibrillation	0.979	0.41	-0.89 (-1.68 to -0.05)	-1
Prior cardiac surgery	0.761	0.74	-0.31 (-1.51-0.0)	-1
Dialysis	0.664	1.30	0.26 (-0.21-1.79)	1

CI, confidence interval; COPD, chronic obstructive pulmonary disease.

<sup>a</sup>Proportion of 1000 bootstrap samples in which the variable was included in the lasso logistic model.

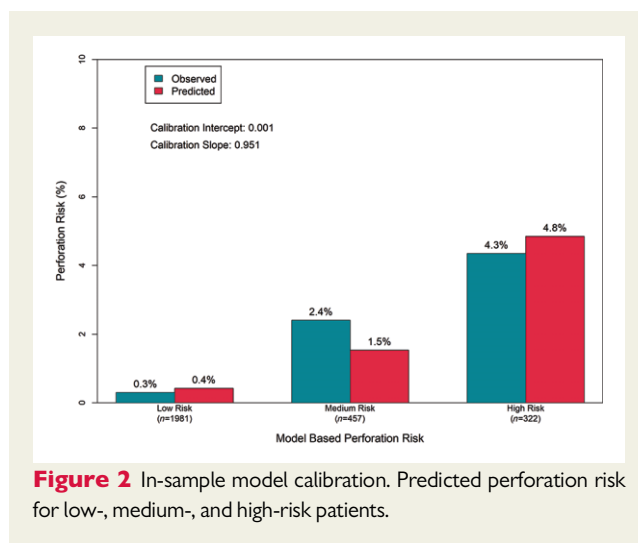
<sup>b</sup>Confidence intervals derived from the 2.5th and 97.5th percentile from the distribution of each coefficient across 1000 bootstrap samples. If the variable was not selected in the model for a particular bootstrap sample it was included as having a value of zero.

<sup>c</sup>Points were assigned by increasing (or decreasing)  $\beta$  coefficients up or down to the next higher positive or lower negative integer, respectively.

pacemaker implantation. There are several potential explanations for the higher rate of observed pericardial effusions in the Cantillon study, including but not limited to true intrinsic higher rates of effusion, higher rates of effusion due to the learning curve, or residual confounding in sicker leadless pacemaker patients. The most significant finding in this analysis of the Micra IDE trial, CA trial, and PAR ( $n=1815$ ) is that the incidence of pericardial effusion following attempted Micra leadless pacemaker implantation was low at 1.1%. Evaluation of reports of pericardial effusion in MAUDE suggested that pericardial injury due to leadless pacemakers may have greater morbidity and mortality.<sup>23</sup> In our analysis, 2 of the 32 pericardial effusions resulted in death, which is similar to mortality following tamponade due to transvenous pacemaker implantation (6.8%)<sup>24</sup> and cardiac implanted electronic device procedures (4%).<sup>25</sup>

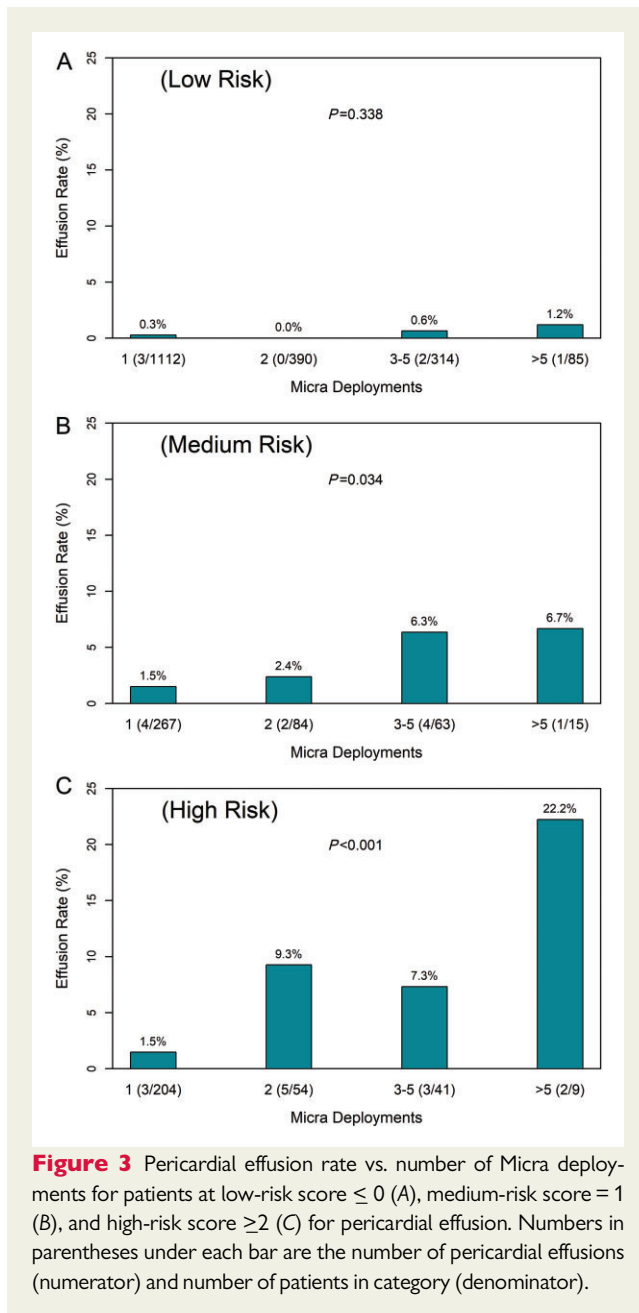
The risk of pericardial effusion, regardless of severity, complicating Micra implantation has decreased over time from a rate of 1.8% in the initial investigational device exemption study to 0.8% in the Micra PAR.<sup>1,3</sup> The decreased rate of pericardial effusion is likely due to several improvements in procedural technique, including targeting implantation to the septum (as opposed to the right ventricular apex) and the use of contrast injection to confirm device location prior to deployment. Thus, while pericardial effusion is an important complication that implanting physicians must be prepared for, it is infrequent and continues to become less frequent over time.

We found that the risk factors for pericardial effusion are similar to those observed for traditional pacemaker implantation including increasing age, BMI <20, female sex, heart failure, prior myocardial infarction, COPD, absence of prior cardiothoracic surgery, and dialysis.<sup>26-28</sup> In this cohort, the presence of COPD and low BMI exhibited particularly strong association with the risk of effusion. Similar to prior observations in lead extraction and catheter ablation, prior cardiothoracic surgery was protective against perforation as prior scar



**Figure 2** In-sample model calibration. Predicted perforation risk for low-, medium-, and high-risk patients.

formation and obliteration of the pericardial space is protective against pericardial bleeding.<sup>29,30</sup> The apparent lower risk observed with atrial fibrillation may reflect the increased risk associated with other reasons patients get a single-chamber VVI pacemaker, including limited vascular access. The risk of pericardial effusion increased with the number of risk factors such that the predicted pericardial effusion risk was 0.4%, 1.5%, and 4.8% for low-, medium-, and high-risk patients. While many of these risk factors are common in patients who may be preferred candidates for leadless pacemakers (e.g. limited vascular access options, high infection risk, etc.), estimation of risk can be useful for pre-procedural planning, risk counselling, and device selection for patients eligible for transvenous pacing therapy. A predictive model with these pre-procedural risk factors



**Figure 3** Pericardial effusion rate vs. number of Micra deployments for patients at low-risk score  $\leq 0$  (A), medium-risk score = 1 (B), and high-risk score  $\geq 2$  (C) for pericardial effusion. Numbers in parentheses under each bar are the number of pericardial effusions (numerator) and number of patients in category (denominator).

discriminated risk well with a C-index of 0.80. The risk model is designed to help estimate risk. It may be helpful in guiding greater care with implantation technique that not only focuses on septal implants but also one that minimizes the number of deployments in moderate- to high-risk candidates. The overall risk of pericardial effusion in the high-risk group was notable with an estimated risk of 4.8%. Careful pre-procedural counselling and caution is required when implanting leadless pacemakers in these patients. However, each patient and implant decision needs to be evaluated on a case-by-case basis for several reasons. First, patients at higher risk for complications may also have the most compelling indications for leadless pacing, including lack of vascular access or lack of candidacy for a transvenous device. Moreover, the models have reasonable but not

perfect discrimination. Thus, while caution is required when discussing and approaching leadless pacing in these high-risk patients, it should not be considered prohibitory. This is especially true since the Micra leadless pacemaker has been associated with lower rates of overall complications compared with transvenous pacing.<sup>1,3</sup>

We also evaluated procedural characteristics associated with pericardial effusion. As repeated engagement of the delivery catheter/cup and engagement of the four nitinol tines could increase the risk of myocardial perforation, we examined the association between the number of Micra device deployments and the occurrence of pericardial effusion. Interestingly, we found among patients with no risk factors for pericardial effusion (risk score  $\leq 0$ ), there was no evidence for an association between the number of Micra deployments and pericardial effusion. However, in patients with moderate to high ( $\geq 1$  risk factors), there was a clear association between the number of deployments and the risk of effusion. Notably, in moderate- to high-risk patients, five or more deployments were associated with a risk of effusion in excess of 5%. These data suggest that implanting physicians should exercise greater caution in situations where moderate- to high-risk patients require multiple deployments, particularly when the tines appear well-engaged and with repeatedly elevated capture thresholds  $>2$  V.<sup>31</sup>

## Limitations

There are several limitations that should be kept in mind when considering the results from this study. First, as with any observational analysis, the modelling may be subject to confounding. Second, we were unable to evaluate the location of attempted deployments and the risk of perforation. However, in our attempt to build a predictive model, we intentionally focused on clinical information available to clinicians when they are making the decision about what type of pacemaker to implant (as opposed to intraoperative data). While our analysis included a large number of patients from across three different clinical studies, the results may not be generalizable to populations with characteristics significantly different from this cohort. Moreover, consecutive patients may not have been enrolled at the registry sites. Echocardiograms were performed at the discretion of the treating physicians and were not protocol-driven or systematic. Finally, given the small number of perforations we were unable to divide the data into a training and validation set, though the scoring system provided adequate discrimination in an external dataset with a small number of perforations.

## Conclusion

The rate of pericardial effusion following Micra implantation attempt is 1.1%, similar to that observed in transvenous pacemaker implantation. The risk factors for pericardial effusion are also similar to those observed for traditional pacemaker implantation including increasing age, BMI  $<20$ , female sex, heart failure, prior myocardial infarction, COPD, absence of prior cardiothoracic surgery, and haemodialysis. The risk of pericardial effusion after a Micra implant attempt can be predicted using routinely obtained clinical characteristics with reasonable discrimination. Importantly, repeated attempts at Micra deployment appear to be associated with increased risk of pericardial effusion particularly in patients with elevated risk at baseline.

## Supplementary material

Supplementary material is available at *Europace* online.

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### Data availability

Reasonable requests will be considered by the authors.

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