

# Evaluating Cardiovascular Surgical Planning in Mobile Augmented Reality

Haoyang Yang<sup>1</sup> Pratham Darrpan Mehta<sup>1</sup> Jonathan Leo<sup>1</sup> Zhiyan Zhou<sup>1</sup> Megan Dass<sup>1</sup>  
Anish Upadhayay<sup>1</sup> Timothy C. Slesnick<sup>2</sup> Fawwaz Shaw<sup>2</sup> Amanda Randles<sup>3</sup> Duen Horng Chau<sup>1</sup>

## ABSTRACT

Advanced surgical procedures for *congenital heart diseases* (CHDs) require precise planning before the surgeries. The conventional approach utilizes 3D-printing and cutting physical heart models, which is a time and resource intensive process. While rapid advances in augmented reality (AR) technologies have the potential to streamline surgical planning, there is limited research that evaluates such AR approaches with medical experts. This paper presents an evaluation with 6 experts, 4 cardiothoracic surgeons and 2 cardiologists, from *Children’s Healthcare of Atlanta (CHOA) Heart Center* to validate the usability and technical innovations of CARDIACAR, a prototype mobile AR surgical planning application. Potential future improvements based on user feedback are also proposed to further improve the design of CARDIACAR and broaden its access.

**Index Terms:** Human-centered computing—Human computer interaction (HCI)—Interaction paradigms—Augmented reality

## 1 INTRODUCTION

Surgical procedures for *congenital heart diseases* (CHDs) require the surgeons to have a deep understanding of the complex cardiac anatomy, necessitating the process of surgical planning [1]. A typical planning process utilizes a 3D-printed heart model to visualize the morphological features of the patient’s heart [4]. However, producing physical models can be time and resource intensive [2, 6]. While interactive surgical planning tools based on extended reality (XR) technologies, including virtual reality (VR), mixed reality (MR), and AR have the potential expedite planning, there is limited work that evaluates such tools with feedback directly from surgeons and cardiologists [3]. To fill this research gap, we have conducted a usability evaluation with 6 medical experts for CARDIACAR [3] (Fig. 1), an iOS application that enables interactive surgical planning on mobile devices through augmented reality (AR). Built on top of the iOS mobile AR platform, CARDIACAR offers a suite of interactive tools, such as enhanced AR view of the 3D heart models in real-life environments (Fig. 1A), real-time omni-directional slicing of patients’ 3D heart models (Fig. 1B), and virtual annotation to assist surgical planning (Fig. 1C). CARDIACAR is open-source and publicly available at <https://github.com/poloclub/CardiacAR>. Our contributions:

- **First evaluation of mobile AR surgical planning tool with medical experts.** To the best of our knowledge, this research is the first to evaluate the usability of mobile AR technologies with medical experts. We recruited four cardiothoracic surgeons and two cardiologists from *Children’s Healthcare of Atlanta (CHOA) Heart Center*. Their positive feedback highlighted mobile AR’s

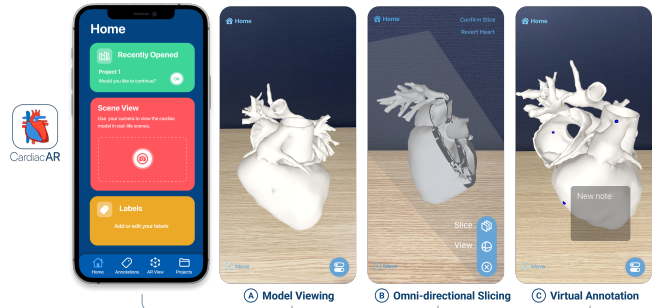


Figure 1: CARDIACAR, an iOS augmented reality application that enables users to perform interactive surgical planning on mobile devices.

strong potential to support cardiovascular surgical planning and benefit real surgery scenarios.

- **Technical discoveries enabling mobile AR for surgical planning.** Through developing CARDIACAR and planning for its evaluation with mobile devices that surgeons commonly use (e.g., iPhones, iPads), we made two important technical discoveries that enable the study:

1. **Innovative real-time omni-directional slicing functionality.** Conventional method of uni-directionally slicing the 3D physical model is only able to produce a few cross-sectional views per physical artifact [5]. In contrast, CARDIACAR supports **preview slicing**, which provides real-time visualization of the slicing plane’s orientation and highlights the planar cross section with surface shaders. Model slicing is not natively supported within ARKit or SceneKit. While planar slicing for simpler solids using software like Unity is possible, the approach is not compatible with 3D heart models, as they contain hollow chambers and tubes. We experimented with approaches such as Boolean operations for 3D solids and voxel arrays but they are too slow (e.g., seconds per operation) and do not support hollow geometries like the 3D hearts (forcibly applying such operations on a heart model often led to anomalous surfaces in the resulting model geometry). Thus, we developed our novel approach that enables real-time omni-directional slicing (Fig. 1B) using surface shader to paint the cross section in a non-reflective dark color, highlighting the inner surfaces of the heart model’s geometry and achieving the correct visual effects.
2. **Streamlining deployment process and increasing accessibility of the application.** CARDIACAR is developed natively in XCode and is open-sourced under the MIT license. The ubiquity of iOS mobile AR platform allows us to design interaction gestures that are familiar and easy to use. CARDIACAR is released on TestFlight, a widely-available platform that enables easy distribution and testing over the iterative development process. Through collaborating with medical experts, we have discovered that TestFlight’s asynchronous testing capabilities greatly facilitate the testing and feedback collection process, essential when working with doctors with busy schedules.

<sup>1</sup>Georgia Institute of Technology. {alexanderyang|pratham|jpleo122|zzhou406|mdass3|aupadhayay3|polo}@gatech.edu

<sup>2</sup>Children’s Healthcare of Atlanta. SlesnickT@kidsheart.com, Fawwaz.Shaw@choa.org

<sup>3</sup>Duke University. amanda.randles@duke.edu

## 2 USABILITY EVALUATION WITH MEDICAL EXPERTS

In collaboration with *Children’s Healthcare of Atlanta (CHOA)*, we recruited six medical experts who had extensive knowledge in cardiovascular surgeries to evaluate the usability of *CARDIACAR*—four were cardiovascular surgeons, and two were cardiologists. *CHOA* provided the 3D heart model used in the study; the model was constructed by *CHOA* using a patient’s de-identified medical imaging data. The study was approved by Georgia Tech’s IRB, with data collection in accordance with the official institute policies.

### 2.1 Procedure

We conducted the user study in person in a quiet room at *CHOA*, the participants’ work premises. The study was conducted across two group sessions. The first session was with the four cardiovascular surgeons, and the second one with the two cardiologists. We originally planned to conduct the study with each participant individually but we had adapted our study design to a group-based one due to their limited availability. Both sessions were recorded using a video camera, and the devices used were also screen recorded. Before commencing the study, each participant was asked to review and sign a consent form. We gave each participant an iOS device (iPhone or iPad) with the latest iOS version and *CARDIACAR* installed. Then, we provided a brief tutorial of the *CARDIACAR*’s four features, which they would then try in sequence: (1) *importing model*: import a 3D heart model into *CARDIACAR*, and reposition it using the “Move” button; (2) *model viewing*: rotate and resize the model using finger gestures; (3) *model slicing*: switch to slicing mode, rotate and translate the slicing plane to preview possible slicing angles, and then confirm a slice; (4) *virtual annotation*: tap on the model to create a note. Lastly, the participants had an additional five minutes to freely explore the application.

### 2.2 Results and Key Findings

For each feature, we asked the participants to rate its usability and usefulness using a 5-point Likert scale (5 being “best”). We also asked the participants to elaborate on how the feature may help with cardiovascular surgery use cases and how they may want the feature improved. We summarize the participants’ feedback and our discoveries into the following main categories.

**Omni-directional slicing makes visualization of cardiovascular anatomy easier.** Using *preview slicing*, participants were able to visualize specific cross-sections and angles of the heart. Both participant groups—surgeons and cardiologists—strongly agreed that this feature would benefit real-life scenarios of surgical planning, such as helping them gain a better understanding of the geometry of the heart than the conventional approach using 3D-printed physical heart models. They gave an average Likert rating of 4.5 for usability across the two groups. They found it helpful that *CARDIACAR* allowed them to easily preview possible slicing angles and translations before confirming the slice, enabling them to find the best perspective to perform such a slice; one cardiologist commented that they could then pass the sliced model to their colleagues for further inspection and additional slicing. The surgeons suggested that the gesture sensitivity should be lowered as they initially found it to be high, which resulted in rapid movements of the model.

**Mobile nature of tool facilitates portability.** The participants appreciated that *CARDIACAR* was designed for mobile devices. With the portability provided by the iPhone and iPad, the participants were comfortable moving the device across the physical space to “enter” the model and obtain an “inside” view of the heart model while viewing and manipulating the model. Both participant groups rated the ease of use of the *model viewing* feature positively with rating of 4. All participants rated the ease of use of *CARDIACAR*’s annotation feature highly, with a rating of 5. The cardiologists commented that this feature would be very helpful in practical scenarios for labelling

and demarcating important regions. Both groups suggested that the annotation feature could be further developed and offered in its own dedicated “mode” (e.g., similar to the *slicing* and *viewing* modes), so to help separate the annotation interactions from those of the other features. Finally, all participants commented that *CARDIACAR*’s portability and annotation feature would make it a convenient tool to use in educational settings.

**Easy model import supports patient-specific analysis.** The model import feature was very well received across all participants, with an average Likert rating of 4.5 for usability across both groups. The participants believed that this feature would allow them to explore different heart models from a variety of patients in an efficient manner. This would facilitate patient-specific heart diagnosis and allow surgeons and cardiologists to effectively examine anomalies present in the heart. The cardiologists added that the ability for *CARDIACAR* to directly import models from HIPAA-compliant<sup>1</sup> cloud storage solutions, such as Microsoft OneDrive, facilitate collaboration with other medical professionals situated in different locations.

**Participants’ technical needs inform different levels of AR immersion.** An interesting observation was the difference in AR-related requirements across both groups. Throughout the study, the surgeons preferred that the physical world “background” (captured by the camera) behind the model not be visible so as to maintain focus on the model. However, the cardiologists had a different opinion in that they believed that viewing the background helped them better anchor the model in the physical space, so they can more easily examine and interact with it.

## 3 CONCLUSION AND ONGOING WORK

The paper presents a follow-up usability evaluation of *CARDIACAR* with medical experts. The user feedback from the evaluation demonstrates the efficacy of *CARDIACAR* in helping users improve spatial understanding of the model while making interactive planning more convenient and efficient. Based on feedback from the medical experts, we have proposed several improvements to the project. Certain gesture movements and user interface for the slicing plane and panning feature can be refined. A larger-scale evaluation with more participants and types of surgeries can also help assess its usability in different scenarios.

## REFERENCES

- [1] T. Bartel, A. L. Rivard, A. Jimenez, C. A. Mestres, and S. Müller. Medical three-dimensional printing opens up new opportunities in cardiology and cardiac surgery. *European Heart Journal*, 39:1246–1254, 2018.
- [2] M. Kappanayil, N. R. Koneti, R. R. Kannan, B. P. Kottayil, and K. Kumar. Three-dimensional-printed cardiac prototypes aid surgical decision-making and preoperative planning in selected cases of complex congenital heart diseases: Early experience and proof of concept in a resource-limited environment. *Annals of Pediatric Cardiology*, 10:117 – 125, 2017.
- [3] J. Leo, Z. Zhou, H. Yang, M. Dass, A. Upadhayay, T. C. Slesnick, F. Shaw, and D. H. Chau. Interactive cardiovascular surgical planning via augmented reality. *Asian CHI Symposium 2021*, 2021.
- [4] K. W. Riggs, G. Dsouza, J. T. Broderick, R. A. Moore, and D. L. S. Morales. 3D-printed models optimize preoperative planning for pediatric cardiac tumor debulking. *Translational Pediatrics*, 7(3):196–202, July 2018. doi: 10.21037/tp.2018.06.01
- [5] Z. Sun, I. W. W. Lau, Y. H. Wong, and C. H. Yeong. Personalized three-dimensional printed models in congenital heart disease. *Journal of Clinical Medicine*, 8, 2019.
- [6] S. J. Yoo, N. Hussein, B. Peel, J. Coles, G. S. v. Arsdell, O. Honjo, C. Haller, C. Z. Lam, M. Seed, and D. Barron. 3D Modeling and Printing in Congenital Heart Surgery: Entering the Stage of Maturation. *Frontiers in Pediatrics*, 9, 2021.

<sup>1</sup>Health Insurance Portability and Accountability Act