
What Language Model Architecture and Pretraining Objective Work Best for Zero-Shot Generalization?

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Abstract

Large pretrained Transformer language models have been shown to exhibit *zero-shot generalization*, i.e. they can perform a wide variety of tasks that they were not explicitly trained on. However, the architectures and pretraining objectives used across state-of-the-art models differ significantly, and there has been limited systematic comparison of these factors. In this work, we present a large-scale evaluation of modeling choices and their impact on zero-shot generalization. In particular, we focus on text-to-text models and experiment with three model architectures (causal/non-causal decoder-only and encoder-decoder), trained with two different pretraining objectives (autoregressive and masked language modeling), and evaluated with and without multitask prompted finetuning. We train models with over 5 billion parameters for more than 168 billion tokens, thereby increasing the likelihood that our conclusions will transfer to even larger scales. Our experiments show that causal decoder-only models trained on an autoregressive language modeling objective exhibit the strongest zero-shot generalization after purely self-supervised pretraining. However, models with non-causal visibility on their input trained with a masked language modeling objective followed by multitask finetuning perform the best among our experiments. We therefore consider the adaptation of pretrained models across architectures and objectives. Code and checkpoints are available at <https://github.com/bigscience-workshop/architecture-objective>.

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1. Introduction

Large language models (LLMs) pretrained on unstructured text data have been shown to be capable of performing a wide variety of text processing tasks without additional training or labeled data. This ability has been referred to as **zero-shot generalization** since these models are typically pretrained with a self-supervised objective with no regards to specific downstream tasks. As such, there has been an explosion of work on developing LLMs and training techniques that produce strong zero-shot generalization (Brown et al., 2020; Wang and Komatsuzaki, 2021; Du et al., 2021; Lin et al., 2021; Rae et al., 2021; Hoffmann et al., 2022; Chowdhery et al., 2022).

Modern LLMs are based on the **Transformer architecture** (Vaswani et al., 2017). While the original Transformer included a separate encoder that processes input text and a decoder that generates target text, most recent LLMs are **causal decoder-only (CD)** models trained to autoregressively predict a text sequence (Liu et al., 2018; Radford et al., 2018; Al-Rfou et al., 2019). In contrast with this trend, Raffel et al. (2020) has shown that **encoder-decoder (ED)** models outperform decoder-only LLMs for transfer learning (i.e. where a pretrained model is finetuned on a single downstream task). **Non-causal decoders-only (ND)** (Liu et al., 2018; Dong et al., 2019) use a modified attention mask to bridge the gap between decoder-only and encoder-decoder models. However, they have seen limited adoption. One recent line of work has demonstrated that adding an explicit **multitask finetuning stage** on an ensemble of prompted tasks after pretraining can significantly boost the zero-shot capabilities of LLMs on both encoder-decoder models (Sanh et al., 2021; Xu et al., 2022) and causal decoders-only model (Wei et al., 2021). This begs the question as to which architecture would be a better choice for zero-shot generalization, especially if used in conjunction with multitask finetuning.

Transformer models can be trained with a variety of **self-supervised training objectives**. Typically, causal decoder-only LLMs are pretrained using a **full language modeling (FLM)** objective (Dai and Le, 2015; Radford et al., 2018), and encoder-decoder models with a **masked**

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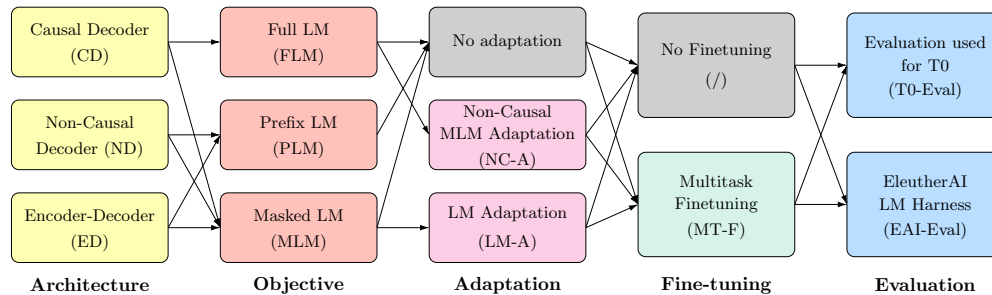


Figure 1. We perform an extensive study of architecture, objective, adaptation, and finetuning impact on zero-shot generalization. With 6 pretrained models of over 5 billion parameters each trained on 168 billion tokens, adaptations on up to 100 billion tokens, multitask finetuning on 13 billion tokens, and evaluation on 30 tasks from 2 different benchmarks with varied prompts, our study is the largest to date on the influence of modeling decisions on zero-shot generalization.

language modeling (MLM) objective (Taylor, 1953; Devlin et al., 2018), such as span corruption (Raffel et al., 2019; Joshi et al., 2020). It has repeatedly been shown that a MLM objective produces a better pretrained model for subsequent supervised finetuning (Devlin et al., 2018; Lample and Conneau, 2019; Voita et al., 2019; Raffel et al., 2020). Straightforward application of the model to many downstream tasks could be the reason for the frequent use of FLM objective (Radford et al., 2019). Still, the effectiveness of MLM in the transfer learning setting suggests it could create LLMs that are better suited to multitask finetuning. Notably, T0 (Sanh et al., 2021) used a MLM pretraining objective, which may have contributed to its strong performance relative to larger models trained with a FLM objective. Recently, Lester et al. (2021) also proposed introducing an **adaptation stage** (i.e. extending pretraining but with a different objective) to enable a MLM model to perform prompted text generation tasks, bridging the gap across objectives.

These results indicate a need for a more systematic analysis of which architecture and pretraining objective pair produces LLMs with the strongest zero-shot generalization capabilities. Past studies on architectures and objectives for language models (e.g. Narang et al., 2021; Raffel et al., 2020) have focused mainly on the transfer learning setting, with models that were orders of magnitude smaller than the current state-of-the-art. Furthermore, recent results demonstrating the effectiveness of multitask finetuning raise the question of which architecture and pretraining objective is best suited to that promising setting.

Large-scale systematic study. We undertake a study of **architecture** and **pretraining objective** combinations for LLMs with a focus on **zero-shot generalization**. We consider decoder-only and encoder-decoder models using full, prefix, and masked language modeling, spanning six ⟨architecture, objective⟩ pairs. We also evaluate performance with and without **multitask finetuning**. In hopes of producing insights that transfer to very large models, we

undertake our experiments at large scale: we train models with 5 billion parameters (11 for encoder-decoder) on 168 billion tokens, and perform multitask finetuning on 13 billion tokens. We base our zero-shot evaluation on **evaluation set from T0 (T0-Eval)** (Sanh et al., 2021) and the **EleutherAI language model evaluation harness (EAI-Eval)** (Gao et al., 2021), totalling 30 different datasets with varied prompts. Figure 1 provides an overview of our study.

Multitask finetuning impacts architecture and objective choice. We find that the popular recipe of a causal decoder model trained with a FLM objective performs best when zero-shot capabilities are measured immediately after pretraining. However, after multitask finetuning, the results are the opposite: models pretrained with MLM perform significantly better and causal decoder models perform worse.

Bridging across architectures and objectives with adaptation. This discrepancy motivates us to explore the practice of adaptation (i.e. extending the pretraining of a model with a different architecture/objective) as a way to efficiently obtain both a model suited to generative use cases and to multitask finetuning. We first consider **full language modeling adaptation**: adapting a MLM-trained non-causal decoder model by converting it to a causal decoder and extending its pretraining with a FLM objective. We find that using a pretrained model in this way speeds up convergence on FLM by a factor 1.6x. We then explore **non-causal MLM adaptation**, starting from a causal decoder trained with a FLM objective, converting it to a non-causal decoder, and expanding its pretraining with a MLM objective. Convergence on the MLM task is sped-up by 3.3x. This form of adaptation produces a new version of the model suited for multitask finetuning, achieving second-best performance across our benchmarks.

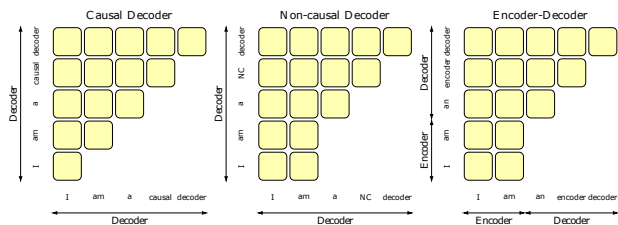


Figure 2. Attention patterns in a causal decoder, non-causal decoder, and encoder-decoder. In a causal decoder, each token attends to the previous tokens only. In both non-causal decoder and encoder-decoder, attention is allowed to be bidirectional on any conditioning information. For the encoder-decoder, that conditioning is fed into the encoder part of the model.

2. Background

2.1. Architectures

Transformer. Virtually all state-of-the-art LLMs are based on the Transformer architecture (Vaswani et al., 2017). Due to its ubiquity, we only highlight a few relevant high-level characteristics. The main architectural unit of the Transformer is a Transformer *block*, which consists of (at minimum) multi-headed self attention (Cheng et al., 2016), layer normalization (Ba et al., 2016), a dense two-layer feedforward network, and residual connections (He et al., 2016). A Transformer *stack* is a sequence of such blocks.

Encoder-decoder. As originally proposed, the Transformer consisted of two stacks: an encoder and a decoder. The encoder encodes an input sequence using bidirectional conditioning, ie input tokens can see all other input tokens. Then, the decoder autoregressively predicts the target sequence, token by token, conditioned on the output of the encoder using a cross-attention layer in each of its blocks. The self-attention layers in the decoder utilize a *causal* masking pattern that prevents the model from attending to future tokens (see Figure 2, on the right). Pre-trained language models using an encoder-decoder architecture include BART (Lewis et al., 2019) and T5 (Raffel et al., 2020).

Causal decoder-only. Although the encoder-decoder is the original Transformer variant, most recent LLMs use a decoder-only architecture. Decoder-only models use as input a single text stream, and, because of the causal masking pattern, conditioning is simply based on past tokens (see Figure 2, on the left). On the one hand, representation for any conditioning text is inherently weaker due to the causal masking; on the other hand, it yields a simpler architecture that is naturally suited to autoregressive next-step-prediction pretraining objective. Most notably, the CD architecture makes up the backbone of the GPT series of models (Radford et al., 2018; 2019; Brown et al., 2020) as well as many other recent record-breaking LLMs (Zeng

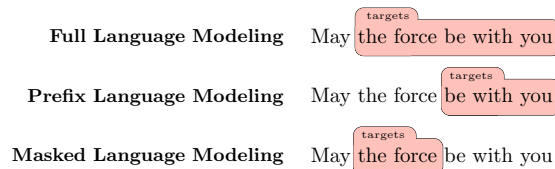


Figure 3. Input and targets tokens in full, prefix, and masked language modeling training objectives. For full language modeling, all tokens in a sequence are used during training. For prefix language modeling, we randomly select a prefix size, and hence only half of the tokens are used on average to derive the loss. Finally, for masked language modeling, we mask 15% of the tokens, in spans of 3 tokens on average.

et al., 2021; Kim et al., 2021; Smith et al., 2022; Thoppilan et al., 2022; Rae et al., 2021; Hoffmann et al., 2022; Chowdhery et al., 2022).

Non-causal decoder-only. To allow decoder-only models to build richer representations of the input/conditioning text, it has been proposed to simply modify the attention mask used. Specifically, the self-attention masking pattern can be changed so that the region of the input sequence has a non-causal mask (i.e. attention in this region is not restricted to past tokens, see middle of Figure 2), as in the encoder of an encoder-decoder architecture. Sometimes called a *prefix* language model, this approach was introduced by Liu et al. (2018) and was later explored as an architectural variant by (Raffel et al., 2020; Wu et al., 2021).

Encoder-only. As an aside, we note another popular architectural variant, BERT (Devlin et al., 2018) and its derivatives, is to only use a Transformer encoder stack. However, its limited generative capabilities limit its evaluation in zero-shot setting (Tamborrino et al., 2020). Consequently we omit it from consideration.

Comparisons across architectures. Decoder-only models process a single sequence consisting of the concatenation of the input and target text. On the other hand, in an encoder-decoder, the encoder processes only the input and the decoder processes only the target. The total *amount of computation* performed by an encoder-decoder will therefore be approximately equivalent to a decoder-only model when the encoder and decoder each have as many parameters as the entire decoder-only model (assuming almost linear complexity and ignoring self-attention blocks’ quadratic complexity), which doubles the memory footprint.

2.2. Pretraining objectives

An important step in building LLMs is pretraining, where the model is trained on a large, unlabeled dataset via self-supervision. We therefore include multiple choice of **pre-training objective** as a factor in our empirical study. Fig-

ure 3 outlines the input and target tokens for the pretraining objectives considered.

Full Language modeling. Since the advent of GPT-2 (Radford et al., 2019), large decoder-only models have generally been pretrained with an autoregressive language modeling objective (Brown et al., 2020; Wu et al., 2021; Rae et al., 2021). Given previous tokens, the model is tasked with predicting the following one.

Prefix language modeling. For encoder-decoder and non-causal decoder-only models to perform language modeling, one can define a prefix where the conditioning is allowed to be non-causal. Similar to full language modeling, the model is tasked to predict each token outside the prefix given all previous tokens. We hereafter refer to this objective as **prefix language modeling (PLM)**.

Masked language modeling. Tokens or spans of tokens in the input text are replaced with a special mask token and the model is trained to predict the missing tokens. A notable example for token-masked language modeling BERT (Devlin et al., 2018). Raffel et al. (2020) introduced a version of this objective adapted to span-masking in the form of *span corruption*: sentinel tokens are used to flag masked spans of short random lengths in the input, the model is tasked to output the sentinels followed by their respective predicted content.

2.3. Model adaptation

Adaptation extends pretraining with a different objective and/or architecture. In contrast with finetuning, no new *downstream* data is used, only additional pretraining data.

Language modeling adaptation (LM-A) takes a model pretrained with MLM and extend its training with PLM or FLM. It has been used to convert encoder-decoder models pretrained with MLM into better generative models. Notably, it is used as a first step before prompt tuning (Lester et al., 2021) and also to prepare the model before multitask finetuning in T0 (Sanh et al., 2021).

2.4. Multitask finetuning

Modern pretraining corpora are typically massive preprocessed generalist webcrawls (Ortiz Suárez et al., 2019; Raffel et al., 2020), although adding curated high-quality cross-domain data has been proposed as a path towards better zero-shot generalization (Gao et al., 2020; Scao et al., 2022). Recently, Sanh et al. (2021) (on an encoder-decoder model trained with MLM) and Wei et al. (2021) (on a causal decoder-only model trained with FLM) explored the potential of explicitly finetuning the model to solve multiple tasks in order to bolster zero-shot generalization. This is done by finetuning the model on a dataset of prompted tasks (i.e. in a natural language format, lever-

aging prompt templates applied over many datasets), which ultimately improves zero-shot performance over purely self-supervised pretraining. We refer to this as **multitask finetuning (MT-F)**, and use the openly available datasets and prompts developed for T0.

2.5. Zero-shot evaluation

Radford et al. (2019) first demonstrated that LLMs display zero-shot capabilities: given sufficient scale, language models are able to perform many tasks without having explicitly accessed any supervised samples. Zero-shot use of language models relies on a technique called *prompting*, where tasks are formulated in a natural language format (in accordance with the pretraining objective). The template applied to each example to convert it to this format is called the prompt. Unfortunately, models can exhibit significant sensitivity to the wording of the prompt (Sanh et al., 2021).

Zero-shot capabilities are of increasing interest in the community, as evidenced by most record-breaking LLMs only reporting zero/few-shot results (Brown et al., 2020; Smith et al., 2022; Rae et al., 2021; Chowdhery et al., 2022). There are many reasons why zero-shot use is gaining such traction: it does not require any labeled examples, it removes the complexity of model finetuning and deployment, and it also tests generalization to unseen tasks.

3. Methods

We pretrain all possible (architecture, objective) pairs on 168 billion tokens from C4, consider multitask finetuning, and finally evaluate zero-shot performance. We also study the possibility of using adaptation to efficiently transfer the benefits from one architecture/objective to another.

Compute budget guideline. Different architectures and objectives come with different compute trade-offs. We aim to make the training budget similar across all models, using ~ 15 petaflops-days for pretraining (for a total of 830,000 TPUv4-hours over the study, see Appendix C.2 for details). We do not take into account memory use: typical use cases are compute-bound by the available GPU/TPU-hours.

Resources and implementation. We run all computation on Google Cloud TPUv4s, using the T5X codebase (Roberts et al., 2022), leveraging JAX (Bradbury et al., 2018) and Flax (Heek et al., 2020).

3.1. Architecture

We consider **causal decoder (CD)**, **encoder-decoder (ED)**, and **non-causal decoder (ND)** architectures. All models share the basic configuration outlined in Table 2. For fair comparison across architectures, we aim to approximately match pretraining compute budget; accordingly,

our encoder-decoder models have twice as many layers as the decoder-only models as detailed in Section 2.1. This results in encoder-decoder models with 11B parameters and decoder-only models with 4.8B parameters. We note that due to the cross-attention layers, encoder-decoder models are approximately $\sim 10\%$ more computationally expensive to run than the decoder-only models we consider.

3.2. Pretraining

We consider **full language modeling (FLM)**, **prefix language modeling (PLM)**, and **masked language modeling (MLM)**, specifically the span corruption objective of Raffel et al. (2020). The choice of language modeling objective depends on the architecture: the causal decoder uses either FLM or MLM, while the non-causal decoder and the encoder-decoder use either PLM or MLM.

All of our models are pretrained on 168 billion tokens of the C4 dataset from Raffel et al. (2020). We use the Adafactor (Shazeer and Stern, 2018) optimizer with an inverse square root learning rate schedule, training on batches of 2,048 sequences of length 626 tokens. Detailed pretraining hyperparameters can be found in Table 3: we based elements of our pretraining setup (such as Adafactor, GEGLU, and the use of an auxiliary Z loss $\mathcal{L}(Z) = 10^{-4} * \log^2(Z)$ to stabilize training (Chowdhery et al., 2022)) on the popular T5.1.1 recipe.

To operate with a fixed compute budget, we match the amount of tokens seen during pretraining, not the number of tokens trained on (i.e. on which a loss is calculated). Full language modeling computes a loss on all the tokens it sees, whereas prefix language modeling cannot train on the tokens in its prefix: on average, it will train on half as many tokens as full language modeling. We consider these to be inherent trade-offs in efficiency between training objectives, an illustration is available in Figure 3. We concatenated and sampled text from documents in such a way that there was virtually no padding during pretraining. More specifically to each objective:

Full language modeling. the loss is computed for all 626 token in each sequence in parallel, making for the most efficient configuration (100% of tokens are trained on).

Prefix language modeling. we select a random split point in $[1, 626]$, which we use as the prefix length of one example and the suffix length for another, packing them together to avoid padding (using appropriately masked attention), and computing the loss only on the suffixes as prefix can attend to their targets (50% of tokens on average). See Appendix D for implementation details on TPUs.

Masked language modeling. 15% of input tokens are masked with an average span length of 3 (as used by Raffel et al. (2020)), such that there are approximately 512 input

and 114 target tokens, with the loss computed only on the targets (18% of tokens on average).

3.3. Multitask finetuning

Drawing from recent work demonstrating that multitask finetuning improves zero-shot performance, we also evaluate our models after **multitask finetuning (MT-F)**, following T0 procedure (Sanh et al., 2021). Our goal is to better disambiguate the influence of architecture and objective in this relatively nascent practice. For example, we note that T0 and FLAN are significantly different in their architecture and objective, encoder-decoder with MLM and causal decoder with FLM, respectively.

After pretraining, we create multitask versions of our models by finetuning on the T0 training dataset mixture from Sanh et al. (2021) (not T0+ or T0++) for 13 billion tokens. Our finetuning configurations follow those used for T0 (see Table 3 for details), and note that we found dropout to significantly impact zero-shot generalization (see Appendix F.3 for a comparison with and without dropout). We refer readers to Sanh et al. (2021) for further information about this multitask finetuning procedure.

One significant departure to note from the approach of Sanh et al. (2021) is that we do not perform language modeling adaptation first before multitask finetuning. Preliminary results (see Appendix F.1) did not show any systematic improvement from performing language modeling adaptation, so we omitted this step. This is consistent with the finding from Lester et al. (2021) that language modeling adaptation is not necessary before prompt tuning for large models.

3.4. Evaluation

We use two zero-shot evaluation benchmarks to assess our models. First, we use the **same set of tasks, datasets, and prompts as was used to evaluate T0 (T0-Eval)** (Sanh et al., 2021), and second, the **EleutherAI LM evaluation harness (EAI-Eval)** (Gao et al., 2021). The EAI prompts attempt to replicate the evaluation set of Brown et al. (2020). The prompts of T0 were built to be “human understandable”. See Appendix E for a detailed list of tasks, and the overlap between T0-Eval, EAI-Eval, and T0-Train.

T0-Eval provides multiple prompts per task, whereas EAI-Eval provides only one prompt per task. Accordingly, for T0-Eval, we take the median accuracy over all prompts for each task and then average across all 11 datasets. For EAI-Eval we simply average the accuracy obtained on each of the 31 datasets. Hence, when reporting performance on T0-Eval, we report a spread across prompts, giving an indication of the impact of the choice of prompt. Note that because these are aggregated zero-shot benchmarks, varia-

tions of even a percent can hide significant differences on a single task.

All but one task in T0-Eval (StoryCloze) are also in EAI-Eval. Some of the datasets in EAI-Eval are also in the T0 training datasets: GLUE-MRPC, GLUE-QQP, and SciQ. We also did not check for contamination from C4, but given the fact that all models would have the opportunity to memorize the tasks leaked in C4, we believe it does not impact our evaluation. For additional discussion of the overlap between T0 and C4, we refer readers to the original T0 paper Sanh et al. (2021).

We perform evaluation of model checkpoints at 42B, 84B, and 168B tokens. We note that the random baselines are ~ 33% for EAI-Eval and ~ 42% for T0-Eval. The complete set of results across all checkpoints obtained through this study is made available in Appendix F.2.

4. Experiments

4.1. After self-supervised pretraining only

We are first interested in the architecture and objective achieving the best zero-shot performance after self-supervised pretraining only. For this, we only consider the full/prefix language modeling objectives since masked language modeling does not yield a model appropriate for our zero-shot prompted evaluation. This is validated with early checkpoints in Appendix F.1.

We present our results in Table 1. On both our evaluation benchmarks, the causal decoder architecture systematically outperforms the other architectures when using language modeling pretraining alone. The non-causal decoder remains within a percent of the causal decoder performance, but the encoder-decoder performance lags far behind. Finally, we note that the performances on T0-Eval are close to the random baseline, while performance differences on EAI-Eval are significant enough to make comparison across experiments.

Finding 1. **Causal decoder-only** models pretrained with a **full language modeling** objective achieve best zero-shot generalization when evaluated immediately after **self-supervised pretraining**, in line with current common practices for large language models.

4.2. After multitask finetuning

We now focus on the relatively new practice of multitask finetuning, where there has not yet been any systematic study of the influence of the architecture and training objective. Notably, the two main papers advocating this practice use completely different approaches: Sanh et al. (2021) finetunes an encoder-decoder model pretrained with span

corruption, whereas Wei et al. (2021) finetunes a decoder-only pretrained with full language modeling. It is not immediately clear which approach is more natural: while decoder-only models trained with full language modeling are better at zero-shot generalization (as evidenced in Section 4.1), encoder-decoder models and masked language modeling pretraining have been shown to perform significantly better after finetuning (Raffel et al., 2020). We therefore evaluate every architecture and objective combination after multitask finetuning.

Our results are outlined in Figure 4. The encoder-decoder pretrained with span corruption offers the best performance after multitask finetuning. Specifically, on EAI-Eval, the best performance is achieved by the encoder-decoder with MLM, and the non-causal decoder with MLM comes in a close second. However, the difference is more significant on T0-Eval, where the encoder-decoder with MLM pretraining outperforms other models by a large margin. Finally, encoder-decoder pretrained with PLM and causal decoder with MLM achieve significantly worse performance than other models. These results are consistent across all levels of pretraining (see early checkpoints in Appendix E).

Finding 2. **Encoder-decoder** models pretrained with **masked language modeling** achieve the best zero-shot performance after **multitask finetuning**. More broadly, approaches that perform well in the single-task finetuning setting perform well on multitask finetuning.

4.3. Influence of the tasks and prompts used for zero-shot evaluation

Although the datasets considered in EAI-Eval and T0-Eval have significant overlap (10 out of 11 T0 tasks are in EAI-Eval), the prompts are always different between the two benchmarks. The EAI prompts for these datasets were

Table 1. After language modeling pretraining, the causal decoder (FLM) exhibits the best zero-shot performances, followed closely by the non-causal decoder (PLM). Average accuracy on EAI-Eval and T0-Eval after pretraining for 168B tokens. MLM pretraining is not considered here, as the models produced are not suitable for direct use in our zero-shot setting. Note that performance on T0-Eval remains close to random baseline. **Best for each benchmark.**

	EAI-EVAL	T0-EVAL
Causal decoder	44.2	42.4
Non-causal decoder	43.5	41.8
Encoder-decoder	39.9	41.7
Random baseline	32.9	41.7

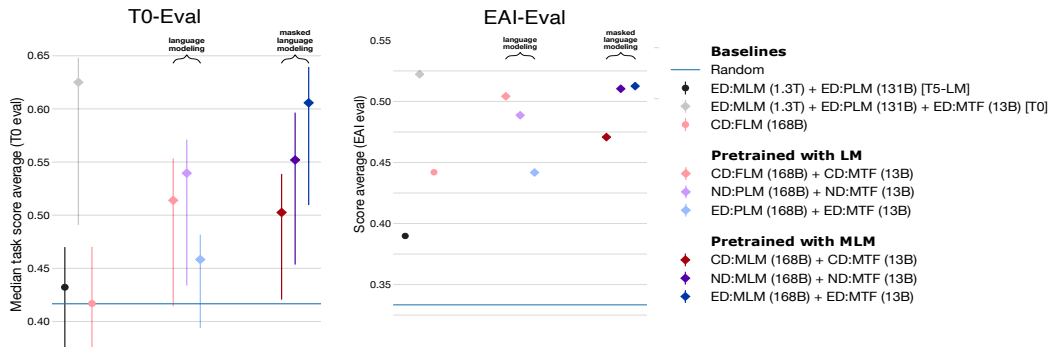


Figure 4. When considering multitask finetuning, the encoder-decoder pretrained with MLM significantly outperforms other models, with the non-causal decoder pretrained with MLM a close second on EAI-Eval. Detailed mean performance and spread compared to baselines on T0-Eval (left) and EAI-Eval (right) after multitask finetuning on T0-train set. The T5-LM and T0 results are taken from Sanh et al. (2021) and utilize T5.1.1-XXL model, which was pretrained for $7.6\times$ the number of tokens used in this study.

taken from Brown et al. (2020), who hand-tuned them to maximize performance of the GPT-3 models. In contrast, the T0-Eval prompts were sourced through a community effort with prompt diversity and naturalness as primary goals (Sanh et al., 2021). Consequently, on each task, the EAI prompt has higher performance than the average T0 prompt for all models and tends to be on par with the best T0 prompt. The difference is most pronounced for causal decoder language models without multitask finetuning, likely because this is a similar setting to GPT-3. This is reflected in the structure of the prompts, which tend not to explain the task to the reader like T0 evaluation prompts do, but instead, attempt to reformulate it as a language modeling task.

In addition to this base performance discrepancy, EAI-Eval has less discrepancy between encoder-decoder models and the rest, and better performance for autoregressive decoder models. We untangle the effect of the difference in prompts and the different task sets by separately comparing performance on tasks that are in T0-Eval and those that are not, while always using EAI-Eval prompts, as shown in Figure 5. The set of EAI-Eval tasks considered in T0-Eval seems to lend itself better to encoder-decoder models than the rest. On non-T0-Eval tasks, in contrast, causal decoder performance shoots up dramatically, although a lot of the difference is driven by LAMBADA (Paperno et al., 2016a), a language modeling task. Nevertheless, we note that when considering wide and varied task aggregates, our high-level findings are mostly consistent across evaluation settings

5. Can models be adapted from one architecture/objective to another?

Our experimental study has led us to conclude the optimal architecture and objective choice for zero-shot performance depends on whether or not the model will ultimately un-

dergo multitask finetuning. While a decoder-only model pretrained with FLM achieves the best zero-shot performance if not, an encoder-decoder with MLM is best if multitask finetuning is applied. This is inconvenient, as the multitask finetuned encoder-decoder model may not be suitable for open-ended generative tasks that the decoder-only model excels at, while the decoder-only model will not be the best at many zero-shot tasks.

In this section, we attempt a compromise between the two options above. We study the practice of adaptation: extending pretraining with a different architecture and/or objective. Our end-goal is to efficiently obtain two distinct models: one that leverages multitask finetuning to maximize zero-shot performance, and another that can be used for high-quality language generation.

Language modeling adaptation (LM-A). First, we propose to pretrain a non-causal decoder model with a MLM objective and then further train the model as a causal decoder with a FLM objective. This conversion is simple, as the parameters and overall architecture can be kept the same, and only the attention mask needs to be switched. We note that we also attempted this adaptation from the decoder portion of an encoder-decoder model, but it performed significantly worse than training from scratch, as discussed in Appendix F.4.

Validation losses are plotted in Figure 6, on the left. To achieve a loss comparable to the one achieved after 168B tokens of FLM pretraining, language modeling adaptation requires 105B additional tokens (a $1.6\times$ speed-up).

Non-causal masked language modeling adaptation (NC-A). To investigate alternative avenues for adaptation, we now introduce *non-causal masked language modeling adaptation*: starting from a causal decoder model pretrained with FLM, we then continue training the model as a non-causal decoder using a MLM objective. This is es-

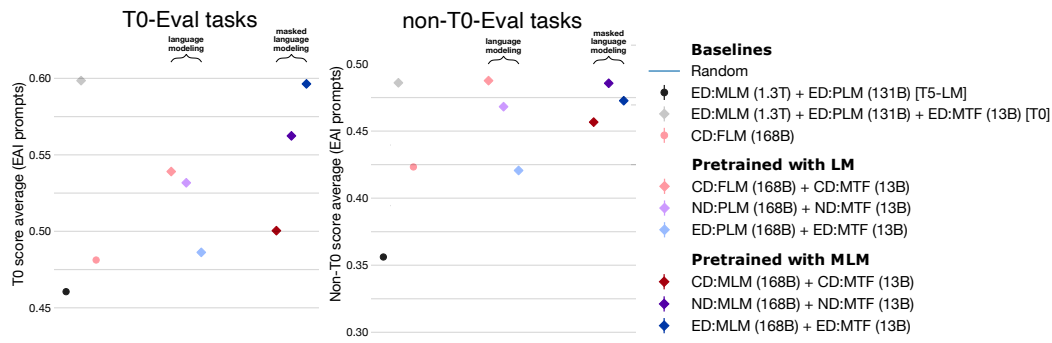


Figure 5. Decoder-only models perform better on EAI-Eval because of specific tasks, not because of differences in prompts used. Zero-shot performance on T0-Eval tasks (left) and non-T0-Eval tasks (right), both using EAI-Eval prompts to control for the influence of T0-Eval prompts.

essentially the reverse of the language modeling adaptation setup, and the conversion is as easily undertaken by switching the attention mask as well.

Validation losses are plotted in Figure 6, on the right. Convergence on the MLM pretraining objective is significantly accelerated: by a factor of $3.3\times$ compared to training a non-causal decoder from scratch, and up to a factor $9.1\times$ compared to training a causal decoder from scratch (both with MLM). This enables one to obtain both a zero-shot model and an excellent generative model for only $1.3\times$ the cost of training a single model.

Finally, we confirm that the improvement in validation loss also transfer to an improvement in zero-shot generalization. We evaluate the non-causal MLM adapted model, and check that it is better than the original causal decoder model pretrained with full language modeling, and control for the total number of training tokens. Specifically, we evaluate zero-shot performance after multitask finetuning in three settings: first, a causal decoder model pretrained with FLM for 219 billion tokens before multitask finetuning; second, a causal decoder model pretrained with FLM for 219 billion tokens and then multitask finetuned as a non-causal decoder model; and, third, a causal decoder model first trained with FLM for 168 billion tokens, then MLM-adapted as a non-causal model for 51 billion tokens, and finally multitask finetuned. All three variants are multitask finetuned for 13 billion tokens. Results are presented Figure 7. We find that the MLM-adapted model performs best by a significant margin and outperforms every other model we considered on EAI-Eval. Furthermore, the measured zero-shot generalization is in line with the MLM-pretrained non-causal decoder reported in Figure 4, though it still lags behind the MLM-pretrained encoder-decoder, despite the adapted models having seen 51 billion additional tokens.

Finding 3. Decoder-only models can be efficiently adapted from one architecture/objective prior to the other. Specifically, we recommend starting with a **causal decoder-only** model, pretraining it with a **full language modeling** objective, and then using **non-causal masked language modeling adaptation** before taking it through **multitask finetuning**.

6. Conclusion

In this paper, we systematically studied the effects of pre-training objective and architecture choices on the zero-shot generalization abilities of large language models. Specifically, we compared language modeling and masked language modeling objectives applied to causal/non-causal decoder-only and encoder-decoder architectures with and without multitask finetuning. Notably, we found that the best objective and architecture is the *opposite* in these two settings when considering multitask finetuning or not: a causal decoder-only pretrained with full language modeling performs best without, whereas when adding a multitask finetuning step, an encoder-decoder pretrained with masked language modeling performs best. We therefore evaluate the practice of adaptation, to convert models across architectures and objectives. We found that a causal decoder-only model pretrained with full language modeling with additional masked language model training as a non-causal decoder-only model yields significant speedup in convergence over starting from scratch. This enables practitioners to get both an excellent generative model and a model that delivers good performance after multitask finetuning. In the future, we are interested in work producing architectures and objectives that perform well on both. We also hope to explore whether our insights apply to other architectural variants like sparsely-gated mixture-of-experts (Shazeer et al., 2017; Fedus et al.,

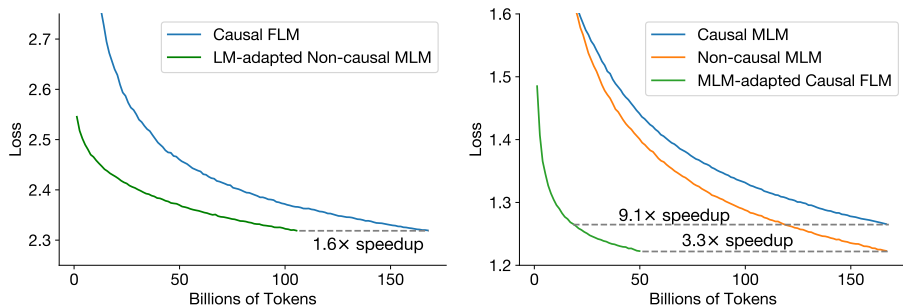


Figure 6. Adaptation can efficiently convert non-causal decoder-only models pretrained with MLM into causal decoder-only models with FLM (left), and vice-versa (right). Validation loss when adapting decoder-only models to different architecture/objectives following pretraining. Left: A causal decoder-only pretrained with FLM from scratch compared to one initialised with weights from a non-causal pretrained with MLM for 168B tokens. Right: Causal and non-causal decoder-only models pretrained with MLM trained from scratch compared to a non-causal decoder-only model initialised with weights from a causal decoder-only model pretrained with FLM for 168B tokens and adapted with MLM.

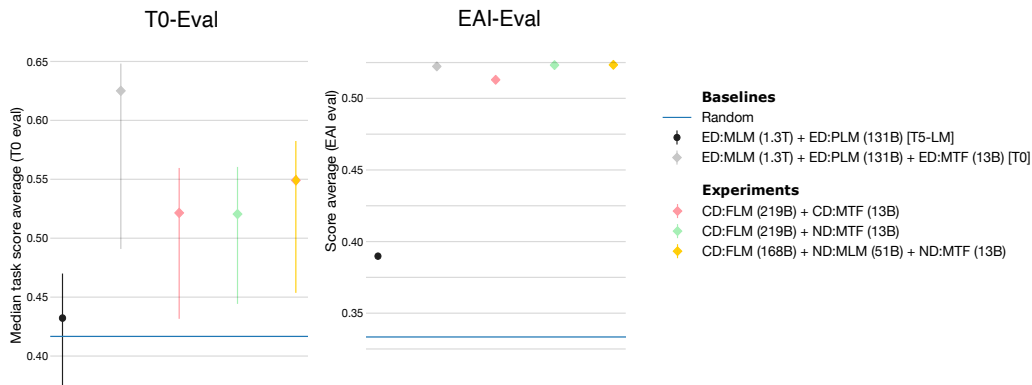


Figure 7. Applying non-causal MLM adaptation to a causal decoder-only FLM before multitask finetuning improves zero-shot performances Zero-shot generalization on T0-Eval (left) and EAI-Eval (right), for the T5-LM and T0 baselines, and for models from our study. Converting the model into a non-causal decoder for multitask finetuning only does not improve performance on T0-Eval.

2021; Lewis et al., 2021; Zoph et al., 2022), retrieval modules (Guu et al., 2020; Lewis et al., 2020), or parameter sharing (Lan et al., 2019; Dehghani et al., 2018). To facilitate future work, we release all models, code, and data used in our study.

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A. Experiment configurations

A.1. Architecture configurations

Table 2. **Shared architecture for all models trained.** Encoder-decoder architectures are doubled in size to obtain a pretraining compute budget similar to the decoder-only architecture.

	MODELS ARCHITECTURE	
	Decoder-only	Encoder-decoder
Parameters	4.8B	11.0B
Vocabulary		32,128
Positional embed.		T5 relative
Embedding dim.		4,096
Attention heads		64
Feedforward dim.		10,240
Activation	GEGLU (Shazeer, 2020)	
Layers	24	48
Tied embeddings	True	
Precision	bfloat16	

A.2. Training configurations

Table 3. **Pretraining and multitask finetuning configurations for all models trained.** Pretraining lasts for 168 billion tokens, while multitask finetuning is done for 13 billion tokens.

	PRETRAINING	MULTITASK FINE-TUNING
Dataset	C4	T0-Train
Steps	131,072	10,000
Batch size in tokens	1,282,048	1,310,720
Optimizer	Adafactor(decay_rate=0.8)	
LR schedule	$\frac{1}{\sqrt{\max(n, 10^4)}}$	fixed, 0.001
Dropout	0.0	0.1
z loss	0.0001	
Precision	bfloat16	

B. Contributions

Thomas Wang wrote code, ran experiments, performed evaluation, generated plots, and helped with paper writing. Adam Roberts led the creation of the codebase used in this project, proposed some experiments, ran all of the final experiments, generated plots, and helped with paper writing. Daniel Hesslow made it possible to evaluate models with the EleutherAI harness, created diagrams, and helped with paper writing. Teven Le Scao ran evaluations and plotted results. Hyung Won Chung implemented infrastructure code for different architectural and objective variants. Iz Beltagy co-chaired the BigScience Architecture & Scaling Group and helped with paper editing. Julien Launay co-chaired the BigScience Architecture & Scaling Group and had the largest role in paper writing. Colin Raffel proposed

the project, the experiments, and the adaptation methods and wrote portions of the paper.

C. Broader impacts

C.1. Societal impact

The risks and societal challenges raised by large language models have been discussed extensively in the literature (Solaiman et al., 2019; Bommasani et al., 2021). Our research is strictly oriented on benchmarking modeling aspects, and thus does not introduce any novel challenge beside those already identified. Notably, many similarly capable models have already been released publicly in the past (Raffel et al., 2020; Wang and Komatsuzaki, 2021; Sanh et al., 2021; Black et al., 2022).

In the spirit of reproducibility and openness, we release all artefacts produced during this study: the configs necessary to reproduce our results from scratch, checkpoints of all of the models trained, and detailed evaluation results. These artefacts are intended for research only: we did not evaluate the potential biases of the models trained, and cannot guarantee they won’t produce harmful content. Accordingly, **these models should not be used in production or exposed to the public.**

We also highlight that algorithmic choices can introduce biases on their own (Hooker, 2021): one limitation of our study is that we did not explore whether specific architectures and objectives had an impact on the toxicity and biases of a given model. However, the public availability of all the models trained for this study enables researchers to conduct such a follow-up study at minimal compute cost.

C.2. Environmental impact

Across all experiments undertaken over the course of this study (including unreported preliminary and failed experiments), we performed training for 1,854 hours on 64 chips (TPUv4-128) and 1,395 hours on 512 TPUv4 chips (TPUv4-1024) for a total of 832,896 chip-hours. Recently, Chowdhery et al. (2022) presented the results of training a 540 billion parameter language model on TPUv4 chips in the same datacenter where we ran our experiments. Their model was trained for 1,200 hours on 6,144 TPUv4 chips and 336 hours on 3,072 TPUv4 chips, for a total of 8,404,992 chip-hours. Chowdhery et al. (2022) estimates the carbon emissions of their model training to be 240.5 tCO₂e based on the net tCO₂e per MWh of the datacenter during training and the energy usage of TPUv4 chips. We therefore estimate our carbon emissions to be approximately 23.8 tCO₂e, which is approximately half of what (Patterson et al., 2021) report for the original T5 model training (46.7 tCO₂).

D. Implementation: prefix language modeling for encoder-decoder on TPU

Due to constant size constraints, for encoder-decoder with prefix language modeling, we have to concatenate two examples of 626 tokens into one. We randomly sample an index i between 0 and 626, and use i and $626 - i$ as prefix indices in the two examples. We use masking to keep them independent throughout training. The encoder-decoder thus has a 1,252 sequence length, and we train it with a batch size of 1,024 sequences instead of 2,048 to keep the number of tokens constant.

E. Evaluation: benchmarks composition and baselines

We detail the split across EAI-Eval and T0-Eval in Table 4, and provide random baselines in Table 5.

F. Additional results

F.1. Preliminary results and evolution throughout pretraining

Leveraging early pretraining results at 42B and 84B tokens, we motivate in this section two special design decisions in our study:

- **Not considering span corruption for evaluation after pretraining only.** In Table 1, we only report zero-shot generalization results immediately after pretraining for the full and prefix language modeling objectives. We choose not to report results when using a masked language modeling objective, as Table 6 demonstrates that after 84B tokens of pretraining, models pretrained with this objective still achieve close to random performance, and severely underperform models pretrained with prefix or full language modeling.
- **Not systematically performing LM adaptation before multitask finetuning.** Sanh et al. (2021) originally perform LM adaptation before multitask finetuning. As outlined in Table 7, using early models pretrained for 42B tokens, we found this practice did not consistently improve zero-shot generalization, and in fact worsened it in most cases. Accordingly, results in Figure 4 do not use LM adaptation before multitask finetuning. This is in line with the findings of Lester et al. (2021) that larger models (of the same scale that we are considering in our study) do not benefit from performing LM adaptation before prompt tuning.

F.2. Complete Results

We report results for all intermediary checkpoints produced in Table 8, and specifically for all multitask finetuned checkpoints on T0-Eval in Figure 8.

F.3. Impact of dropout on multitask finetuning

We also performed multitask finetuning without using dropout, with results in Figure 9. We find that using dropout as originally suggested by Sanh et al. (2021) significantly boosts zero-shot generalization. Results are consistent across architectures and pretraining objectives.

F.4. Adaptation from an encoder-decoder

When studying adaptation and the conversion from one architecture to another, we also considered converting to and from encoder-decoder models. Conversion across causal and non-causal decoder-only models is straightforward, simply by switching the attention mask; for encoder-decoder, parameters have to be either pruned or added for both the entire encoder, and for the cross-attention in the decoder. Results from one of our attempt to convert an encoder-decoder into a causal decoder are reported in Figure 10. While converting across causal/non-causal decoder provides an improvement over training from scratch, this is not the case here.

Table 4. Tasks used for zero-shot evaluation within EAI-Eval and T0-Eval, as well as tasks included in the T0 training set for multitask finetuning. Note that T0-Eval/Training tasks include multiple prompts, while EAI-Eval tasks have a single prompt. Although tasks are shared between the two, there are no shared prompts between EAI-Eval and T0-Eval.

	TASK	TYPE	DATASET		
			T0 Training	EAI-Eval	T0-Eval
ANLI		Natural Language Inference		✓	✓
ARC (Clark et al., 2018)	Challenge	Closed-Book Question Answering		✓	
	Easy	Closed-Book Question Answering		✓	
GLUE	MRPC (Dolan and Brockett, 2005)	Paraphrase Identification	✓	✓	
	QQP (Iyer et al., 2017)	Paraphrase Identification	✓	✓	
HEAD-QA		Multiple-Choice Question Answering		✓	
HellaSwag (Zellers et al., 2019)		Sentence Completion		✓	✓
LAMBADA (Paperno et al., 2016b)		Sentence Completion		✓	
LogiQA (Liu et al., 2020)		Multiple-Choice Question Answering		✓	
MathQA (Amini et al., 2019)		Multiple-Choice Question Answering		✓	
OpenBookQA (Mihaylov et al., 2018)		Multiple-Choice Question Answering		✓	
PIQA (Bisk et al., 2020)		Multiple-Choice Question Answering		✓	
PROST (Aroca-Ouellette et al., 2021)		Multiple-Choice Question Answering		✓	
PudMedQA (Jin et al., 2019)		Multiple-Choice Question Answering		✓	
QNLI (Rajpurkar et al., 2016; Wang et al., 2019)		Sentence Completion		✓	
Race (Lai et al., 2017)		Multiple-Choice Question Answering		✓	
SciQ (Welbl et al., 2017)		Multiple-Choice Question Answering	✓	✓	
SST (Socher et al., 2013) (Socher et al., 2013)		Sentiment		✓	
StoryCloze		Sentence Completion			✓
SuperGLUE	Boolq (Clark et al., 2019)	Multiple-Choice Question Answering		✓	
	CB	Natural Language Inference		✓	✓
	COPA (Gordon et al., 2012)	Sentence Completion		✓	✓
	MultiRC (Khashabi et al., 2018)	Multiple-Choice Question Answering		✓	
	RTE (Dagan et al., 2005)	Natural Language Inference		✓	✓
	WIC (Pilehvar and os'e Camacho-Collados, 2018)	Word Sense Disambiguation		✓	✓
	WSC (Levesque et al., 2012)	Word Sense Disambiguation		✓	✓
TriviaQA (Joshi et al., 2017)		Closed-Book Question Answering		✓	
WebQuestions (Berant et al., 2013)		Closed-Book Question Answering		✓	
Winogrande (Sakaguchi et al., 2019)		Coreference resolution		✓	✓
WNLI (Sakaguchi et al., 2019)		Natural language inference		✓	

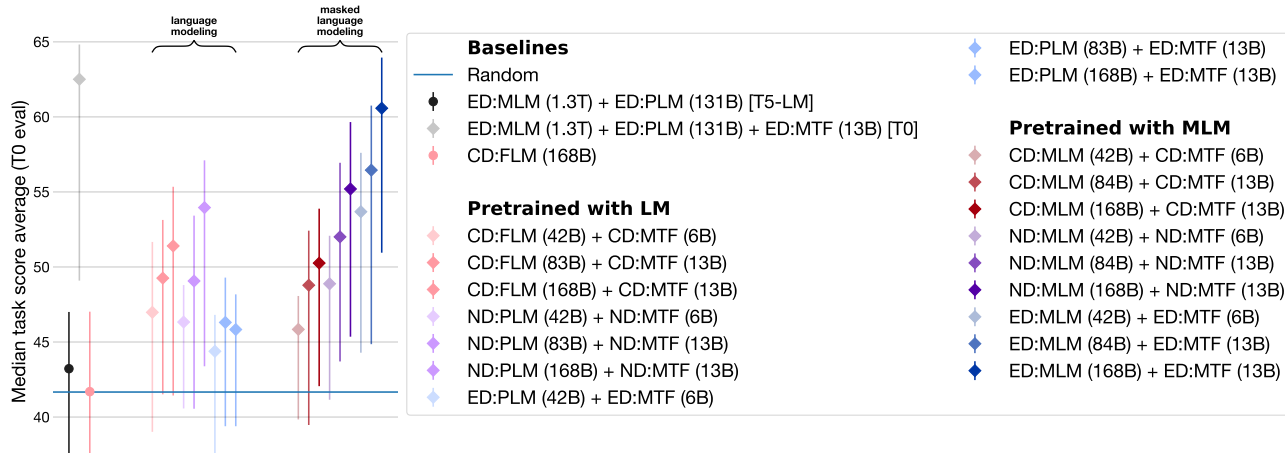


Figure 8. Performance on T0-Eval after multitask finetuning for increasing amounts of pretraining (measured in tokens). Our best model, an encoder-decoder trained with masked language modeling, is already above the final performance of the other configurations with only a quarter of the pretraining tokens. Note that the ordering does not change significantly throughout pretraining.

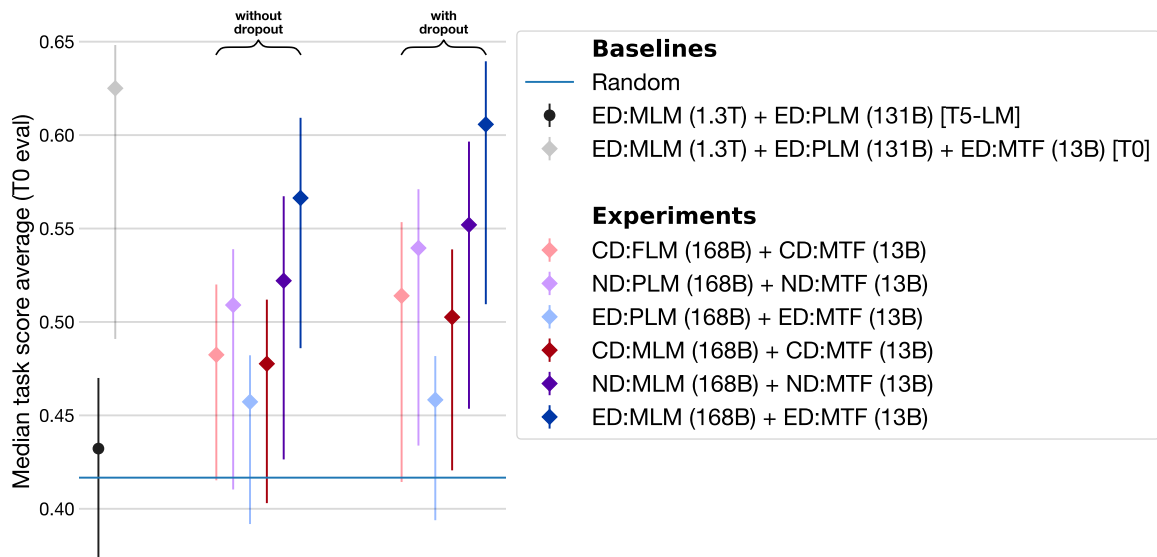


Figure 9. Using dropout during multitask finetuning improves zero-shot generalization. Performance on T0-Eval with and without dropout. The impact of dropout is proportionally similar across architecture and objectives, not benefitting any specific configuration more.

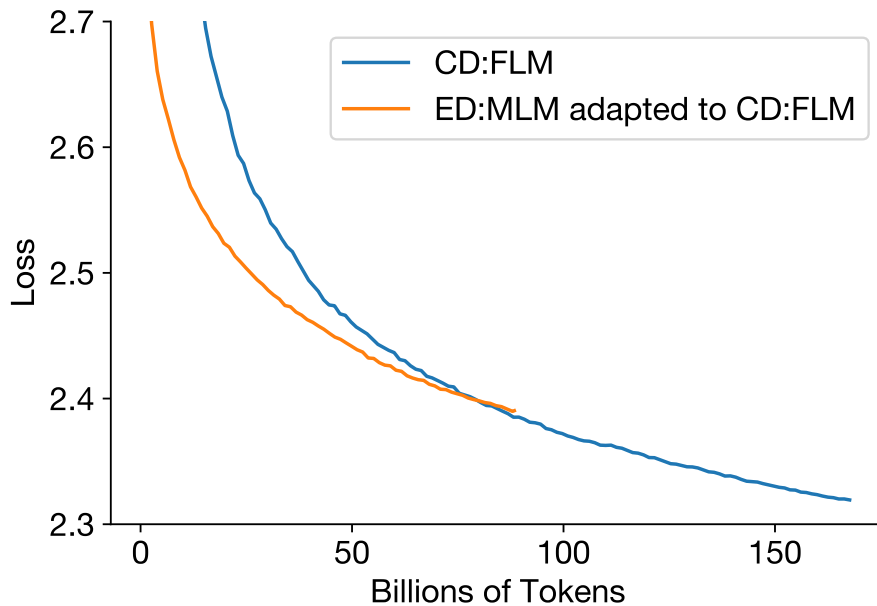


Figure 10. Converting an encoder-decoder pretrained with MLM to a causal decoder-only using FLM leads to worse performance compared to training from scratch. Validation loss when adapting an encoder-decoder pretrained with MLM to a causal decoder-only using FLM. We adapted a pretrained (for 168B tokens) encoder-decoder model to decoder-only by feeding an empty input into the encoder and causally training with a FLM objective on the decoder. We stopped this adaptation once it was clear the performance would not match that of a causal FLM trained from scratch, in contrast with the other adaptations we studied.

Table 5. **Random baselines for all tasks considered across EAI harness and T0 Eval.** These baselines were obtained from the papers introducing these tasks.

TASK	RANDOM BASELINE
ANLI	33.3
ARC	Challenge 25.0
	Easy 25.0
GLUE	MRPC 50.0
	QQP 50.0
HEAD-QA	25.0
HellaSwag	25.0
LAMBADA	0.0
LogiQA	25.0
MathQA	20.1
OpenBookQA	25.0
PIQA	50.0
PROST	25.0
PudMedQA	33.3
QNLI	50.0
Race	25.0
SciQ	25.0
SST	50.0
StoryCloze	50.0
SuperGLUE	Boolq 50.0
	CB 50.0
	COPA 50.0
	MultiRC 5.8
	RTE 50.0
	WIC 50.0
	WSC 50.0
TriviaQA	0.0
WebQuestions	0.0
Winogrande	50.0
WNLI	50.0
EAI-EVAL	33.3
T0-EVAL	41.7

Table 6. **Models pretrained with masked language modeling achieve performance close to the random 33.3% baseline on EAI-Eval, significantly underperforming full and prefix language modeling.** Average accuracy on EAI-Eval after pretraining for 84B tokens. This observations leads us to not consider masked language modeling for evaluations after pretraining only.

	EAI-EVAL
FLM/PLM	
Causal decoder	42.4
Non-causal decoder	42.2
Encoder-decoder	39.6
MLM	
Causal decoder	37.8
Non-causal decoder	37.7
Encoder-decoder	34.6

Table 7. **Performing LM adaptation before multitask finetuning does not improve results, and in fact hinders performance in most cases.** Average accuracy on EAI-Eval and T0-Eval for different adaptation strategies after 42B tokens of masked language modeling pretraining. LM adaptation alone is insufficient, and most performance gains come from MT finetuning. Accordingly, we diverge from the setup of Sanh et al. (2021), and forego systematic LM adaptation before multitask finetuning.

	EAI-EVAL	T0-EVAL
LM ADAPTATION		
Causal decoder	38.6	43.9
Non-causal decoder	39.5	40.8
Encoder-decoder	38.6	39.1
MT FINETUNING		
Causal decoder	43.3	45.8
Non-causal decoder	45.9	48.9
Encoder-decoder	45.4	53.7
LM-A+MT FINETUNING		
Causal decoder	43.9	46.7
Non-causal decoder	45.0	48.0
Encoder-decoder	45.7	52.6

Table 8. Average accuracy on EAI-Eval and T0 Eval for all experiments. Experiments are represented as a combination of *architecture:objective* (tokens) training stages, where *architecture* is one of causal decoder-only (CD), non-causal decoder-only (ND), or encoder-decoder (ED), and *objective* is one of full language modeling (FLM), prefix language modeling (PLM), masked language modeling (MLM), or multitask finetuning (MTF).

PRETRAINING	TRAINING STAGE ADAPTATION	FINETUNING	TOTAL TOKENS	EAI-EVAL	T0-EVAL
CD:MLM (38B)	CD:FLM (4B)		42B	38.6	43.9
ND:MLM (38B)	ND:PLM (4B)		42B	39.5	40.8
ED:MLM (38B)	ED:MLM (4B)		42B	38.6	39.1
CD:MLM (42B)		CD:MTF (13B)	55B	43.3	45.8
ND:MLM (42B)		ND:MTF (13B)	55B	45.9	48.9
ED:MLM (42B)		ED:MTF (13B)	55B	45.4	53.7
CD:MLM (38B)	CD:FLM (4B)	CD:MTF (13B)	55B	43.9	46.7
ND:MLM (38B)	ND:PLM (4B)	ND:MTF (13B)	55B	45.0	48.0
ED:MLM (38B)	ED:PLM (4B)	ED:MTF (13B)	55B	45.7	52.6
CD:FLM (84B)			84B	42.4	-
ND:PLM (84B)			84B	42.2	-
ED:PLM (84B)			84B	39.6	-
CD:MLM (84B)			84B	37.8	-
ND:MLM (84B)			84B	37.7	-
ED:MLM (84B)			84B	34.6	-
CD:FLM (84B)		CD:MTF (13B)	97B	49.0	49.9
ND:PLM (84B)		ND:MTF (13B)	97B	46.3	50.0
ED:PLM (84B)		ED:MTF (13B)	97B	43.2	46.5
CD:MLM (84B)		CD:MTF (13B)	97B	45.8	48.2
ND:MLM (84B)		ND:MTF (13B)	97B	49.0	52.6
ED:MLM (84B)		ED:MTF (13B)	97B	49.0	56.5
CD:FLM (168B)			168B	44.2	42.4
ND:PLM (168B)			168B	43.5	41.8
ED:PLM (168B)			168B	39.9	41.7
CD:FLM (168B)		CD:MTF (13B)	181B	50.4	51.4
ND:PLM (168B)		ND:MTF (13B)	181B	48.9	54.0
ED:PLM (168B)		ED:MTF (13B)	181B	44.2	45.8
CD:MLM (168B)		CD:MTF (13B)	181B	47.1	50.3
ND:MLM (168B)		ND:MTF (13B)	181B	51.0	55.2
ED:MLM (168B)		ED:MTF (13B)	181B	51.3	60.6
CD:FLM (168B)	CD:FLM (51B)	CD:MTF (13B)	232B	51.3	52.1
CD:FLM (168B)	CD:FLM (51B)	ND:MTF (13B)	232B	52.3	52.0
CD:FLM (168B)	ND:MLM (51B)	ND:MTF (13B)	232B	52.3	54.9
T5-LM BASELINE (LESTER ET AL., 2021)					
ED:MLM (1.28T)	ED:PLM (131B)		1.41T	39.0	43.2
T0 BASELINE (SANH ET AL., 2021)					
ED:MLM (1.28T)	ED:PLM (131B)	ED:MTF (13B)	1.43T	52.2	62.5
RANDOM BASELINE			-	32.9	41.7