

Review

Analysis of the Current Electric Battery Models for Electric Vehicle Simulation

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Received: 3 June 2019; Accepted: 16 July 2019; Published: 18 July 2019



Abstract: Electric vehicles (EVs) are a promising technology to reduce emissions, but its development enormously depends on the technology used in batteries. Nowadays, batteries based on lithium-ion (Li-Ion) seems to be the most suitable for traction, especially nickel-manganese-cobalt (NMC) and nickel-cobalt-aluminum (NCA). An appropriate model of these batteries is fundamental for the simulation of several processes inside an EV, such as the state of charge (SoC) estimation, capacity and power fade analysis, lifetime calculus, or for developing control and optimization strategies. There are different models in the current literature, among which the electric equivalent circuits stand out, being the most appropriate model when performing real-time simulations. However, impedance models for battery diagnosis are considered very attractive. In this context, this paper compares and contrasts the different electrical equivalent circuit models, impedance models, and runtime models for battery-based EV applications, addressing their characteristics, advantages, disadvantages, and usual applications in the field of electromobility. In this sense, this paper serves as a reference for the scientific community focused on the development of control and optimization strategies in the field of electric vehicles, since it facilitates the choice of the model that best suits the needs required.

Keywords: batteries; electric vehicle; equivalent circuit; impedance model; Li-Ion; battery modelling

1. Introduction

Nowadays, electric vehicles (EVs) are booming, due to the existing environmental problems. Among the different storage technologies in electromobility, batteries stand out the most. Although there are other alternatives such as hydrogen storage, a battery is also required for DC bus voltage stabilization and switching on of other essential or auxiliary devices of the fuel cell system [1]. High capital costs, limited lifetime, and relatively poor performance at low temperatures are the most important issues in EVs [2–5]. Therefore, the development of efficient storage technologies is an essential part for electromobility [6].

Lithium technology is highlighted for electromobility among the studied batteries options [7]. Its specific power and energy density are the highest, with the lowest self-discharge ratio [8]. In addition, voltage by cell is higher, which is the major drawback of the low overcharging tolerance. Therefore, a specifically designed charging system is required for this type of battery.

Lithium is the material basis of this type of battery, since lithium ions are carried from cathode to anode (charging) through a separator, and vice versa (discharging). However, lithium-ion (Li-Ion) batteries can be classified among different categories based on other elements, mainly those corresponding

to the cathode chemical composition. Figure 1 shows a comparative summary of the best-known lithium ion batteries.

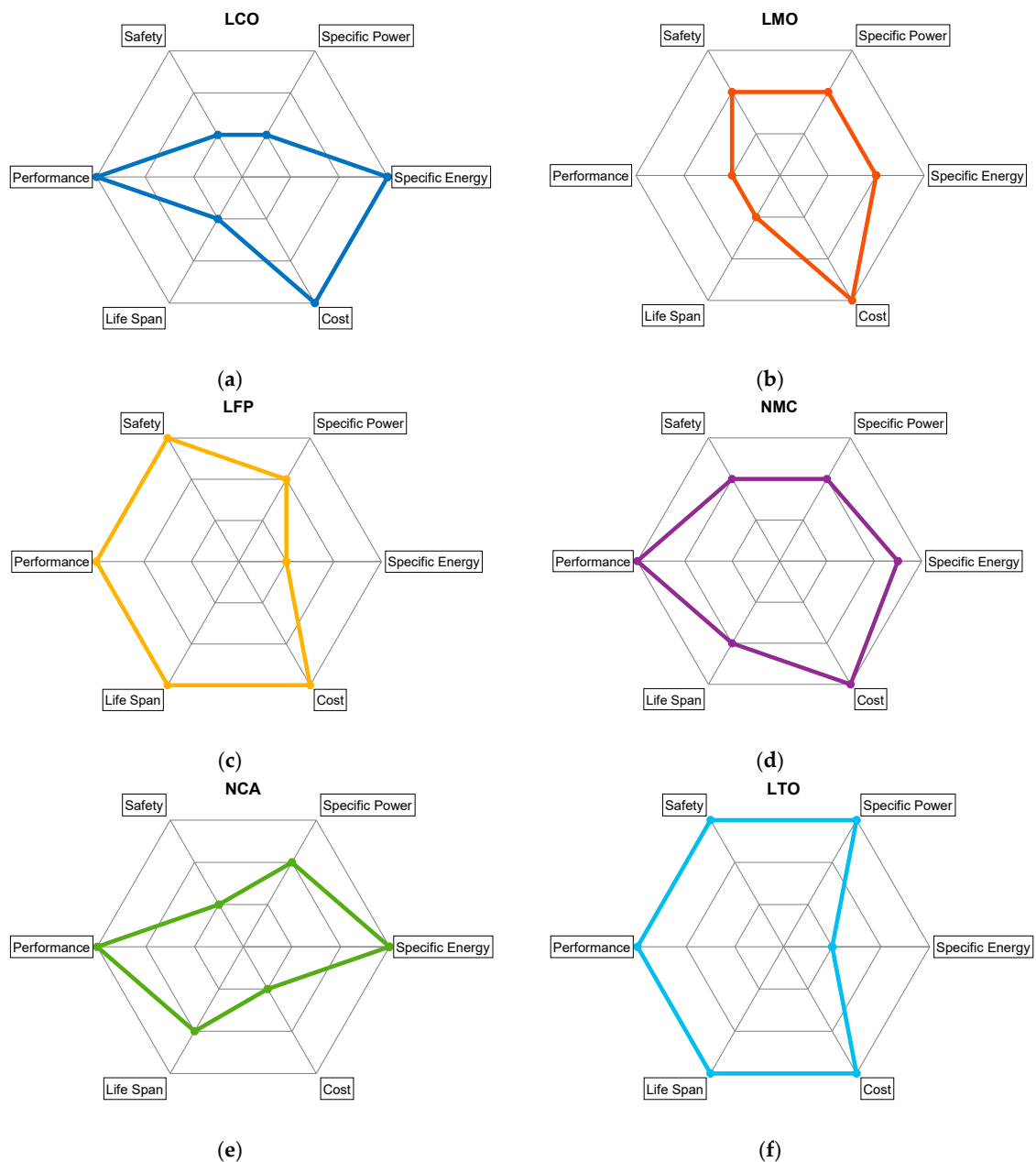


Figure 1. Lithium-ion (Li-Ion) technology comparison. (a) LCO; (b) LMO; (c) LFP; (d) NMC; (e) NCA; (f) LTO.

Specific energy is a key factor in storage, as it defines the driving range of an EV. As it can be seen in Figure 1, lithium-cobalt-oxide (LCO), nickel-cobalt-aluminum (NCA), and nickel-manganese-cobalt (NMC) technologies stand out within specific energy, but LCO can practically be discarded due to Solid Electrolyte Interphase (SEI) problems and toxicity [9]. Figure 2 shows the expected advances in specific energy for different types of battery [10].

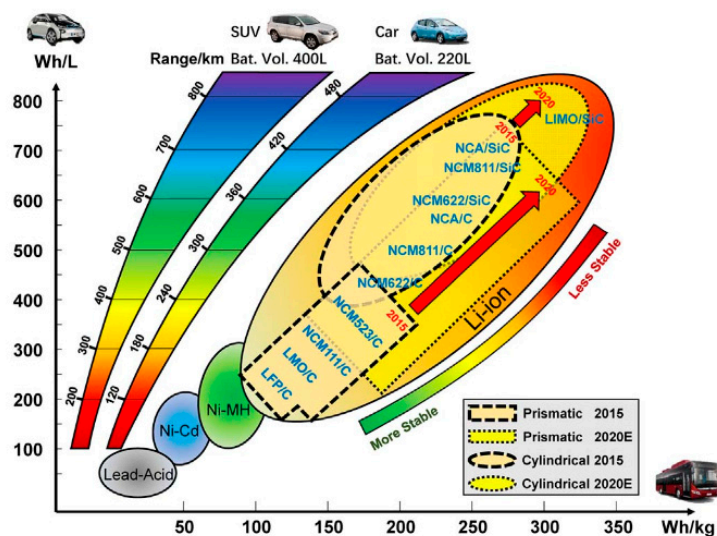


Figure 2. Li Ion Battery roadmap.

The average lifetime of batteries in EVs tends to be approximately 8 to 10 years, which is defined by a 20–30% degradation in battery capacity compared to its initial capacity [3]. In practice, the lifetime of a battery is reduced due to the high-power profile of the vehicle during acceleration and braking, which can be more than ten times higher than the average power. To overcome this drawback, not only innovation in battery technology to increase the specific energy is required, but also advanced control and optimization techniques are necessary. In this context, the use of a reliable model of the battery becomes a key factor when improving the techno-economic efficiency of the system. The Battery Management System (BMS) is responsible for the correct management of the energy stored in the batteries, and indirectly for the safety of the passengers of the vehicle [11].

The choice of the adequate battery model according to the purpose or application for which it will be used is essential. Some of the most common applications are battery design, their characterization, state of charge (SoC) or state of health (SoH) estimation, and thermal analysis or mechanical stress studies in specific applications. Depending on the field of study, there are several battery models, which are gathered in Table 1.

Models usually known as electrochemical models, as presented in [12], are aimed at describing the electrochemical reactions that occur within cell level. Thus, they are the most detailed models, but also the costliest in terms of developing and suiting. Besides, they require many computing resources.

Electrical models, however, are commonly based on an equivalent circuit to reproduce the effects of the batteries under operation, being faster than electrochemical ones by neglecting some high levels of detail.

Mathematical or analytical models depict operation effects by complex differential equations of second or greater order. Considering that many parameters are not necessary, they are sufficiently fast. However, these models do not have physical correspondence, so they are not appropriate either. Abstract models use several analysis tools such as artificial intelligence to predict the batteries performance. Accuracy depends majorly on data amount at training stage. Interpretability is practically impossible since only experimental results are used.

Combined models are composed by several sub-models to depict effects of variables from different nature. Thermoelectric models stand out within these models as their effects are related to each other.

Table 1. General classification of battery models.

Model Nature	Model	Data	Physical Interpretability	Analogy	Accuracy	Complexity	Suited Application		
Electro-chemical	Pure Electro-Chemical	P	H	White box	VH	H	Battery design		
	ECM/Reduced order Electro-Chemical	SE	M	Grey box	H	M			
Analytical	Peukert's model	E	L	Black box	M	M	Prediction		
	Rakhmatov and Vrudhula	SE	M						
	Sheperd other iterations	SE	M						
	State-Space	E	L						
Electrical	Simples	Simple Rint	E	M	L	L	Real time control, SoC estimation, ...		
		Enhanced Rint	SE	M	L	L			
		RC	SE	M	L	L			
	ECM	Thevenin	1st order	SE	M	Grey box		L-H	L-H
			2nd order	SE	M				
			3rd order	SE	M				
			nth order	SE	M				
	PNGV		1st order	SE	M	L-H		L-H	
			2nd order	SE	M				
			nth order	SE	M				
Noshin		SE	M	M	M				
		E	L	H	H				
Impedance	Frequency domain	SE	L-M	Grey box	M	M	Characterization and real time operation		
Thermal	Analytical Thermal	P-SE	H	White box	H	H	Real time		
	ECM Thermal	SE	M	Grey box	M	M			
Mechanical/Fatigue	Fatigue/Mechanical	P-SE	H	Grey box		H	Design		
Abstract model	Artificial Intelligence	E	L	Black box	M	M	Offline analysis		
Combined models	Electro-Thermal	SE	M	Grey box	L-H	M	Real time		
	Thermo-electrochemical	P	H			H			
	Thermo-Mechanical	SE	H			H			

* E: Empirical, H: High, L: Low, M: Medium, P: Physical, SE: Semi-Empirical, VH: Very High.

Thus, electrical and combined models are predominant in electromobility studies, as electrochemical ones are too complex, and mathematical ones do not have physical correspondence. Therefore, they are not suitable for real-time control. In this sense, this paper focuses on the analysis and description of the most relevant existing electrical models that are suitable to be implemented in a BMS of an EV. In this paper, simple models, Thevenin models, partnership for a new generation of vehicles (PNGV) models, impedance models, and runtime models are considered.

Simple models are the most basic models, which are only appropriate for steady-state analysis, Thevenin and PNGV models are suitable for transient state simulation, Impedance models focus on AC behavior, and runtime models depict DC behavior while runtime of the battery is predicted. These applications are collected in Table 2 [13].

Table 2. Batteries electrical model classification.

Predicting Capability	Thevenin Based/PNGV Models (ECM)	Impedance Based Models	Runtime-Combined Based Models
DC	No	No	Yes
AC	Limited	Yes	No
Transient	Yes	Limited	Limited
Battery Runtime	No	No	Yes

This paper is organized as follows: Section 2 analyzes several electrical models currently applied to Li-Ion batteries within electromobility. These models are subcategorized as simple models, Thevenin models, PNGV models, and Noshin model, arranged from the simplest, which considers only ideal elements, to the most complex, which could be a third-order model or a model considering a large number of elements. Section 3 explains impedance models, which can also be useful for other models' parameter definition. Section 4 introduces runtime models, and V-I performance of the models is explained in Section 5. Finally, conclusions are shown in Section 6.

2. Equivalent Circuit Models

In this section, several equivalent circuit models (ECMs) available in the literature that are used in electromobility applications are described, arranged from simpler to more complex.

2.1. Simple Models

2.1.1. Ideal Battery Model

The first electrical model of a battery was developed in PSpice by Hageman in [14], which allows the simulation of Pb-acid, nickel-cadmium, and alkaline batteries. Later, Gold developed a similar model for Li-Ion models with errors of up to 12% [15].

An ideal model is the simplest model, with only a constant voltage source and neglecting other internal parameters. Terminal voltage matches the open-circuit voltage in every moment. Thus, this model does not consider voltage variation under load variation, SoC changes, or any other transient phenomena.

General specifications of an ideal battery are given in capacity (Ah) and voltage (V). Stored amount of energy is given by their product (Wh). This model maintains a constant voltage independently from other factors until it is fully discharged, when the voltage drops to zero [16]. However, in real batteries, voltage is affected by the SoC, since the capacity lowers when the load is increased.

Results of this model are acceptable for steady-state analyses where the battery performance is not the scope. The most common application is the feeding of power devices, usually converters.

An improvement of this model could be the replacement of the voltage source by a SoC-controlled voltage source. Thus, voltage is varied depending on the SoC based on a look-up table, which improves the accuracy while its simplicity is maintained.

2.1.2. Simple or Linear Battery Model

The simple model, linear battery model, or internal resistance model (IR) [17], contains a resistance R_{int} , apart from the voltage source, V_{oc} (Figure 3).

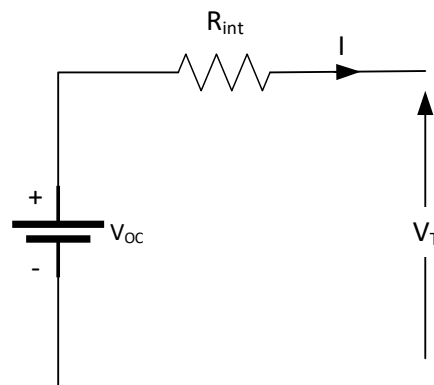


Figure 3. Simple or linear battery model.

The resistance R_{int} represents the energy losses, which make batteries heat up. Terminal voltage V_T matches up with open-circuit voltage V_{OC} only when it is in open circuit. However, when a load is connected, this voltage is given by:

$$V_T = V_{OC} - R_{int} \cdot I. \quad (1)$$

Therefore, this model can emulate the instantaneous voltage drop when the circuit is completed, which is directly proportional to the circulating current. The higher the internal resistance in a battery, the greater the losses, and the lower the available maximum power.

The main drawback of this model, as well as of the previous one, is that neither the terminal voltage V_T nor the open-circuit voltage V_{oc} vary according to the SoC or others, as this can be electrolyte

concentration. Resistance is constant too, independent from SoC or temperature. In this sense, it has to be noted that, in a real battery, the resistance is highly dependent on battery type, SoC and SoH state, and temperature (Figure 4 [18,19]). Generally, resistance increases when SoC lowers (Figure 4a), SoH lowers (degradation increases) (Figure 4b), and temperature lowers (Figure 4c).

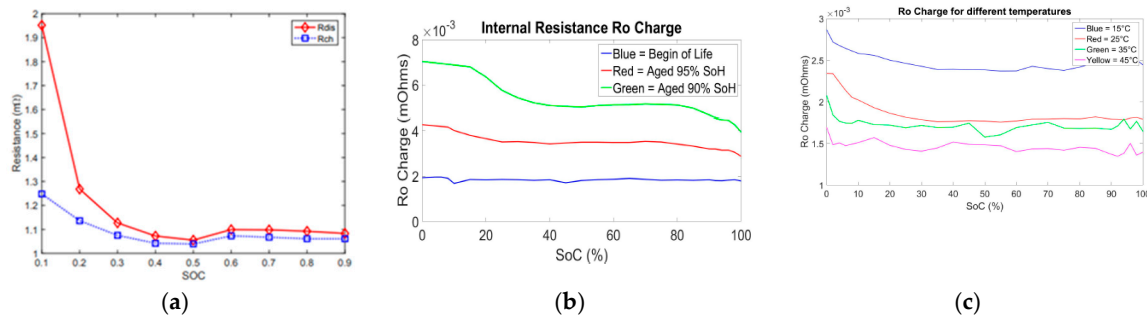


Figure 4. R_{int} variation in NMC with (a) state of charge (SoC); (b) state of health (SoH); and (c) temperature.

Applicability of this model is restricted to studies where the battery operates at the middle range of SoC, where the internal resistance and temperature are almost constant. At low SoC, however, resistance varies too much. Available energy to be released, that is, capacity, cannot be depicted, and it is supposed to be unlimited [20]. The most common application is the feeding of power devices as converters or inverters [21].

Within EVs applications, this model is used in maintenance studies, as battery preheating at cold environment [22], and dynamic simulations of hybrid and electric vehicles. Dynamic simulation can be improved by considering a SoC-controlled voltage source [23,24].

Resistance from Figure 3, R_{int} , differs in charging or discharging mode, as shown in Figure 5a. Therefore, different resistances can be considered for better accuracy, R_C for charging and R_d for discharging, as shown in Figure 5.

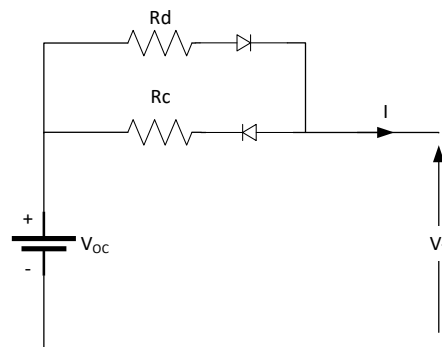


Figure 5. Simple battery model considering charging and discharging resistances.

Diodes shown in Figure 5 are supposed to be ideal and are aimed at activating the correct resistance. Thus, terminal voltage is given by:

$$\text{Charging : } V_T = V_{OC} + R_C \cdot I, \tag{2}$$

$$\text{Discharging : } V_T = V_{OC} - R_d \cdot I. \tag{3}$$

When charging, the diode associated with R_c is directly polarized and will conduct, but the diode associated with R_d is reversely polarized, avoiding current circulation. When discharging, R_d will be activated and R_c blocked, so that only one resistance will be activated in each process. This model has the same drawbacks as the previous one, but improves accuracy, and is used in hybrid and EVs [25].

2.1.3. Enhanced Simple Battery Model

Figure 6 shows the enhanced simple battery model, which considers the effect of the SoC in the resistance.

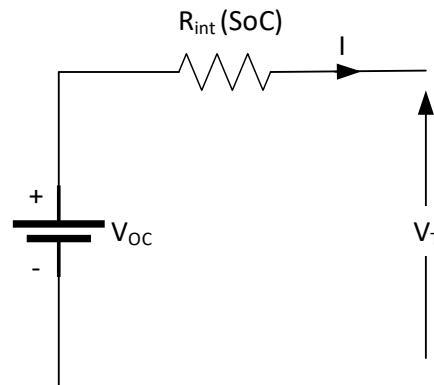


Figure 6. Simple battery model considering power fade (PF).

In this model, terminal voltage is given by:

$$V_T = V_{OC} - R_{int}(SoC) \cdot I \quad (4)$$

where internal resistance can be expressed as [26]:

$$R_{int}(SoC) = \frac{R_0}{SoC^k} \quad (5)$$

where R_0 , SoC , and k are initial internal resistance, current SoC, and a capacity factor calculated from manufacturer load curves, respectively. The current SoC is given as:

$$SoC = 1 - \frac{A \cdot h}{C_{10}} \quad (6)$$

where A is the equivalent demanded current, h is the operation time in hours, and C_{10} is capacity for 10 hours operation at reference temperature. Since actual capacity is dependent on the current, it also will be the error.

However, some authors change the internal resistance calculation method while maintaining the same schematic model, but including a resistance with non-linear behavior, given as:

$$R_{int}(SoC) = R_{int} + \frac{k}{SoC} \quad (7)$$

where $R_{int}(SoC)$ is the variable internal resistance, k is a polarization constant, and SoC is the state of charge.

This model has been historically used by several manufacturers for batteries monitoring purposes in stationary stages, as well as for traction simulation in Pb-acid batteries [27]. Additionally, it can also be applied to lithium batteries. Among drawbacks, it does not reduce capacity when load increases, so it is not valid for dynamic systems or transient states. Although resistance varies, it does not vary as a function of the temperature, which is one of the major drawbacks of EVs.

This model can be improved in case a SoC-controlled voltage source V_{OC} is considered. Real battery V_{OC} variation is shown in Figure 7, which includes the usual hysteresis effect between charging and discharging.

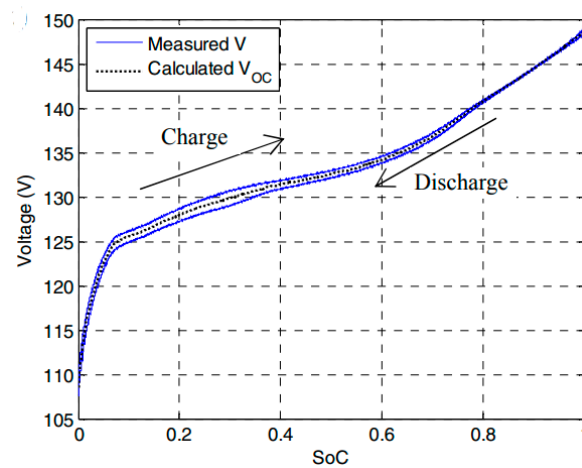


Figure 7. V_{OC} variation with SoC in NMC [28].

Terminal voltage V_T is given by [29]:

$$V_T = V_{OC}(SoC) - R_{int}(SoC) \cdot I, \quad (8)$$

$$V_{OC}(SoC) = V_O - k \cdot SoC, \quad (9)$$

$$R_{int}(SoC) = R_{int} - k_R \cdot SoC \quad (10)$$

where $V_{OC}(SoC)$ is the SoC-dependent open-circuit voltage, $R_{int}(SoC)$ is the SoC-dependent resistance, I is the current, V_O is the open-circuit voltage when the battery is fully charged, R_{int} is the internal resistance when the battery is fully charged, SoC is the state of charge, and k and k_R are empirically obtained constants.

Even though this model improves accuracy, it is very limited in terms of energy released, temperature consideration, and is not valid for simulation of transient states. To improve the accuracy, temperature and SoH can be considered in the voltage source and resistance, but only for steady-state analyses [17].

2.1.4. Voltage Sources-Based Model

The voltage sources-based model is based on the connection of several voltage sources, which represent different phenomena. The general scheme for this model is shown in Figure 8.

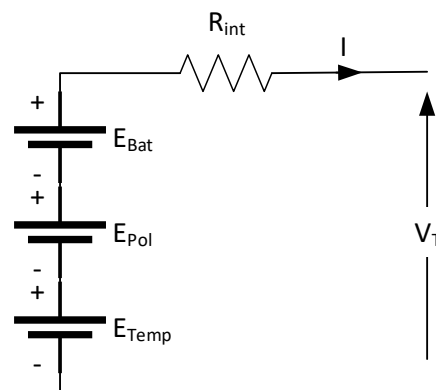


Figure 8. Voltage sources-based model.

The terminal voltage V_T is given by:

$$V_T = E_{bat} + E_{Pol} + E_{Temp} - R_{int} \cdot I \quad (11)$$

where E_{Bat} is a voltage source representing cells internal voltage, E_{Pol} is a voltage source representing polarization effect caused by the active material, E_{Temp} is a voltage source representing temperature effect, R_{int} is the internal resistance, and I is the current.

Each voltage source value is experimentally determined by the relation between each effect and voltage, at each SoC value. This model can be applied to Pb-acid, Ni-Cd, and Li-Ion batteries, and is used in EV and hybrid vehicles driving simulation [30].

On one hand, the accuracy of this model relies on the accuracy of the relation specified in each voltage source. On the other hand, there is an inherent error by the consideration of each variable separately instead of considering them in a coupled manner.

2.1.5. Resistor-Capacitor (RC) or Dynamic Model

The RC or dynamic model is shown in Figure 9. It was first developed in 2000 by SAFT Battery Company for the NREL.

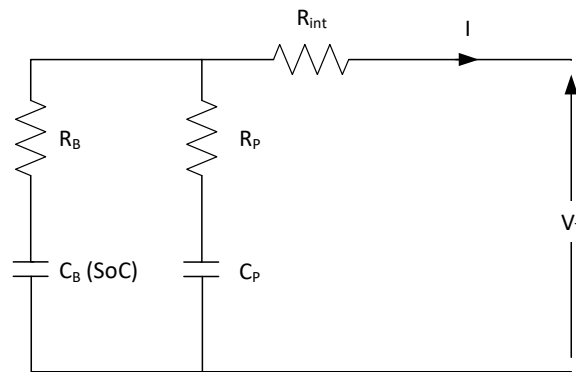


Figure 9. Resistor-capacitor (RC) or dynamic model.

This model includes a capacitor C_B , which represents the stored capacity, a series resistance R_B , which represents the propagation effect, a capacitor C_P , and a current dependent resistance R_P , which represent the polarization and diffusion effects, respectively, and an internal resistance R_{int} . The value of C_P is very small, while the value of C_B usually takes very large values. Generally, the self-discharge resistance is neglected in Li-Ion batteries [31,32]. SoC value is represented in the voltage variation through the capacitor C_B . The equations that govern its operation are:

$$V_T = V_{OC} - I_B \cdot R_B - R_{int} \cdot I, \quad (12)$$

$$V_T = V_{CP} - I_P \cdot R_P - R_{int} \cdot I. \quad (13)$$

This model is the preferred one among simple models in automotive simulations. Usually, it is used for SoC estimation [33–35], as it is accurate and complex enough.

2.2. Thevenin-Based Battery Models

None of the models presented above are valid for transient state simulations. In order to simulate transients, some phenomena as polarization must be considered. In this subsection, some of the most used models for transient state simulation are explained.

2.2.1. (First-Order) Thevenin Model

The simplest Thevenin model, commonly called first order or one time constant (OTC) [17], is composed by a voltage source V_{OC} , an internal resistance R_{int} , and a RC pair (R_1 and C_1) representing the capacitance effect between two parallel plates and the contact resistance. This model is shown in Figure 10.

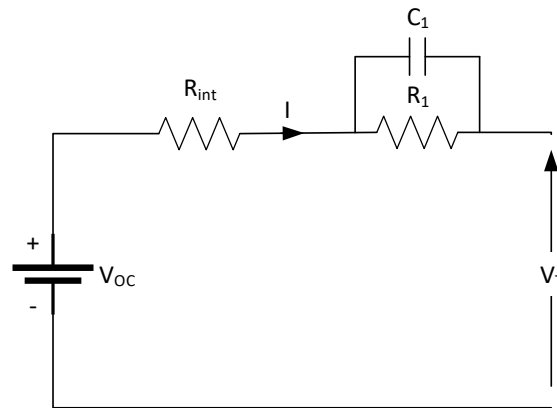


Figure 10. (First-order) Thevenin model.

The aim of adding a RC pair to the simple linear model is to represent transient phenomena. The main drawback of the Thevenin model is that all the parameters are considered to be constant. However, it is known that parameters are dependent on SoC, C-Rate, temperature, SoH, etc.

An improvement for transient state simulation can be made by considering SoC in the voltage source V_{OC} , that is, the open-circuit voltage V_{OC} is related to the SoC of the cell. Among classic applications of this model are dynamic voltage resistor (DVR) [36] with Pb-acid batteries, but it can also be used in Li-Ion batteries.

An application of this model can be found in [32], where authors present a SoC estimation method for an LCO battery. The self-discharge resistance is neglected, as these losses are minimum in Li-Ion technology (2–10% per month). Authors of [37] apply this model in their stability analysis and SoC estimation method design for a Li-Ion battery. Authors of [38], however, apply this model in their study of batteries parallelization. In [39], in addition to the SoC, a SoH estimation method in Li-Ion cells is also proposed.

Some authors consider the SoC influence in all the parameters, which improves the results accuracy. The authors of [40], for example, apply it in their study of a power train of an EV.

It is also possible to derive this model in the so-called “EP-Thevenin”, as developed in [41]. In this paper, authors consider the polarization effect in a deeper way and validate their model in LIFEP0 cells.

Among the characteristics of Li-Ion cells, their low hysteresis effect can be highlighted. In [42], a model development considering this hysteresis effect, as well as the effect of the temperature and the SoC, can be found. Although considering hysteresis improves model accuracy, this type of model is surpassed by the second-order Thevenin model [42].

The correct adjustment of the parameters involved is a key factor when comes to achieve a good precision in the model, for which it is common to use different tests. In [43], a set of charge-discharge pulses are used, and a prediction error-minimization (PEM) algorithm is applied. Although the SoC is discretely estimated online using a neuro-fuzzy inference method, the model obtained is fast enough for real-time operation. In [44], however, moving-window least-square method is used for parameter estimation in frequency domain. In both papers, only SoC is considered, and other relevant variables, such as temperature and aging effects, are neglected in their estimation. In this sense, the models obtained still show some room for improvement.

2.2.2. Second-Order Thevenin Model

The second-order model, two time constants (TTC), or dual polarization model, adds a second RC pair (R_2 and C_2) with a larger time constant (Figure 11) to the previous model. Thus, it is possible to accurately represent the terminal voltage when the current is zero, which was not possible for the OTC [17].

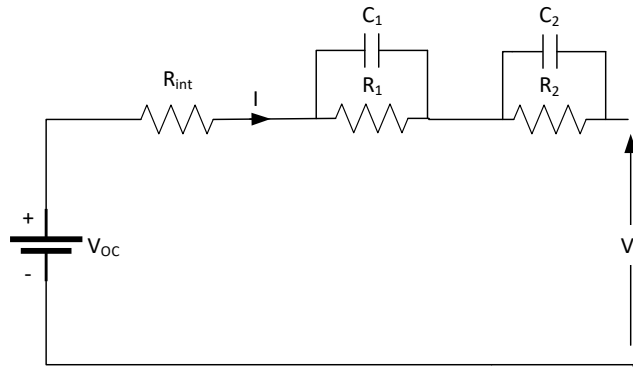


Figure 11. Second-order Thevenin model.

Therefore, the first RC pair has a low time constant for describing short-term transient effects, while the second RC pair has a larger time constant for describing long-term transient effects. These transient effects are related to electrochemical and concentration polarization effects, including charge transfer effect, diffusion, and other factors.

Equations that govern its operation are:

$$V_T = V_{OC} - R_{int} \cdot I - V_{C1} - V_{C2} \quad (14)$$

where:

$$\dot{V}_{C1} = -\frac{1}{R_1 \cdot C_1} \cdot V_{C1} + \frac{1}{C_1} \cdot I \quad (15)$$

$$\dot{V}_{C2} = -\frac{1}{R_2 \cdot C_2} \cdot V_{C2} + \frac{1}{C_2} \cdot I. \quad (16)$$

A development of this model can be found in [45], where a second-order Thevenin model is used for capacity fading (CF) characterization. In this, R_{int} is divided into two elements, the original resistance R_{series} , and the resistance $R_{cycling}$, which considers the cycling of the cell. All parameters are defined considering the SoC and temperature.

The authors of [46] apply this model in their SoC estimation method based on a combination of the least-squares method and an extended Kalman filter. They only consider the SoC, neglecting temperature and SoH. In [47], however, SoC, SoH, and SoF are considered.

Thevenin models can be used in combination with others to create a multidisciplinary model. The study performed in [48] develops a model considering three aspects: (i) Electrical model, (ii) thermal model, and (iii) degradation model for Li-Ion batteries installed in EVs. Authors apply a modified particle swarm optimization (PSO) for parameter defining and results are validated experimentally. In [49], an online parameter identification method is proposed based on several offline tests. Since temperature is considered to be a great source of error, a temperature compensation is added as an offset. SoH is calculated according to the rate of change of several parameters but is not used for the parameters identification.

2.2.3. Third-Order Thevenin Model

The third-order Thevenin model is obtained by adding a third RC pair, as can be shown in Figure 12.

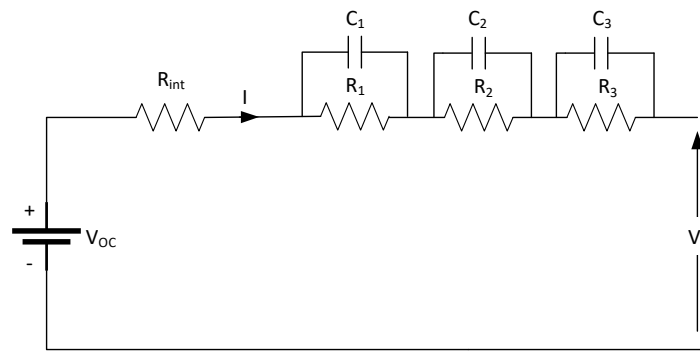


Figure 12. Third-order Thevenin model.

Terminal voltage V_T is given by:

$$V_T = V_{OC} - I \cdot R_{int} - V_{C1} - V_{C2} - V_{C3} \quad (17)$$

where:

$$\dot{V}_{C1} = -\frac{1}{R_1 \cdot C_1} \cdot V_{C1} + \frac{1}{C_1} \cdot I, \quad (18)$$

$$\dot{V}_{C2} = -\frac{1}{R_2 \cdot C_2} \cdot V_{C2} + \frac{1}{C_2} \cdot I, \quad (19)$$

$$\dot{V}_{C3} = -\frac{1}{R_3 \cdot C_3} \cdot V_{C3} + \frac{1}{C_3} \cdot I. \quad (20)$$

The most interesting applications of the third-order Thevenin model within electromobility include the parametric modelling of the battery [50] and the Vehicle-to-Grid (V2G) operation studies [51].

It is possible to increase the complexity of the model for higher accuracy, but the computation cost is not worth the improvement. Therefore, it is not usual to find higher order models, assuming that their application in electromobility would be unfeasible for real-time control.

2.3. PNGV Models

2.3.1. (First-Order) PNGV Model

A partnership for a new generation of vehicles (PNGV), composed of a cooperative research program between U.S. government and the three major domestic auto corporations (DaimlerChrysler, Ford, and General Motors), proposed the PNGV model, which is shown in Figure 13.

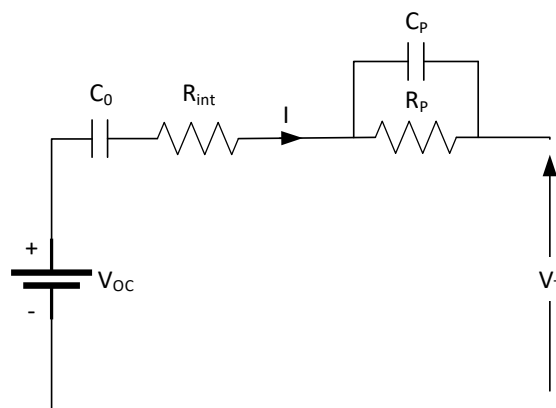


Figure 13. (First-order) partnership for a new generation of vehicles (PNGV) model.

This model is obtained by adding a series capacitance C_0 to the Thevenin model. Here, V_{OC} is the open-circuit voltage source, R_{int} is the internal ohmic resistance, R_p and C_p are the polarization resistance and the capacitance given by polarization (due to the gradient concentration), respectively, and C_0 is the capacitance that represents the changes in the open-circuit voltage (OCV) due to the integration of the current I .

When the Li-Ion battery is in a charging or discharging state, the integration of current with time causes the SoC to change, which in turn, changes the OCV of the battery, which is represented by the voltage changes on the capacitor C_0 . In this model, the capacitance C_0 not only represents the capacity of the Li-Ion battery, but also its direct current response. In addition, the effect of hysteresis is partly described by C_0 , thereby compensating some of the deficiencies of the Thevenin model. Parameter identification experiments based on current pulses can easily be conducted, with this model being among the most frequently adopted models.

Terminal voltage in this model is given by:

$$V_T = V_{OC} - I \cdot R_{int} - V_{C_0} - V_{C_p} \quad (21)$$

where:

$$\dot{V}_{C_0} = \frac{1}{C_0} \cdot I \quad (22)$$

$$\dot{V}_{C_p} = -\frac{1}{R_p \cdot C_p} \cdot V_{C_p} + \frac{1}{C_p} \cdot I. \quad (23)$$

However, the PNGV standard model does not consider the cycle number or C-rate effects. In turn, polarization effect, polarization, and activation as a whole, are considered. The OCV only depends on total current throughout, which conducts to an increasing error with time [52].

In the current literature, this model is used in SoC as well as in SoH estimation [53,54].

An improvement of this model can be found in [55]. In this, authors have related the parameters to SoC and temperature to improve its accuracy. They also consider the hysteresis effect and the non-linearity when operating under high currents.

2.3.2. Second-Order PNGV Model

The first-order PNGV model, as the first-order Thevenin model, is not very accurate when the cell is fully charged or fully discharged [56]. The PNGV model can be extended to a second-order one, which is shown in Figure 14.

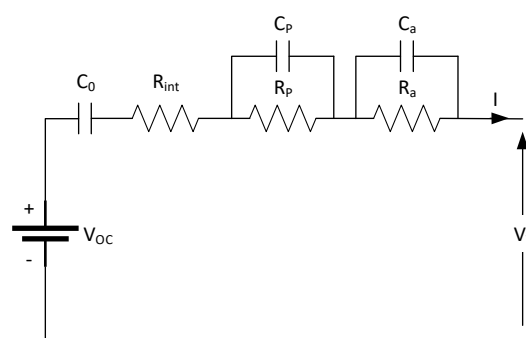


Figure 14. Second-order PNGV model.

In this model, R_p and C_p represent polarization effects by concentration, as in classic PNGV, but R_a and C_a are added to represent polarization effects by activation. The general equation that governs its operation is:

$$V_T = V_{OC} - I \cdot R_{int} - V_{C_0} - V_{C_p} - V_{C_a} \quad (24)$$

where:

$$\dot{V}_{C_0} = \frac{1}{C_0} \cdot I \tag{25}$$

$$\dot{V}_{C_p} = -\frac{1}{R_p \cdot C_p} \cdot V_{C_p} + \frac{1}{C_p} \cdot I \tag{26}$$

$$\dot{V}_{C_a} = -\frac{1}{R_a \cdot C_a} \cdot V_{C_a} + \frac{1}{C_a} \cdot I. \tag{27}$$

An advantage of this second-order model is the accuracy improvement in transient and stationary state compared to the first-order PNGV and first-order Thevenin [57], but considering that computational requirements are too high, it is poorly used.

2.4. Noshin’s Battery Models

Generally, battery models do not consider the hysteresis effect. Noshin’s model is a derivation from the Thevenin model, which considers this hysteresis effect and the nonlinearity of the internal parameters.

Parameters of the Thevenin and PNGV models are obtained by a hybrid pulse power characterization (HPPC) test [58] and, generally, making several assumptions, such as same charging and discharging resistances, or same charging and standing resistances. However, these resistances do vary in a real battery, and therefore, it may be necessary to consider all them to obtain a high accuracy model. Figure 15 shows the Noshin’s model electrical scheme.

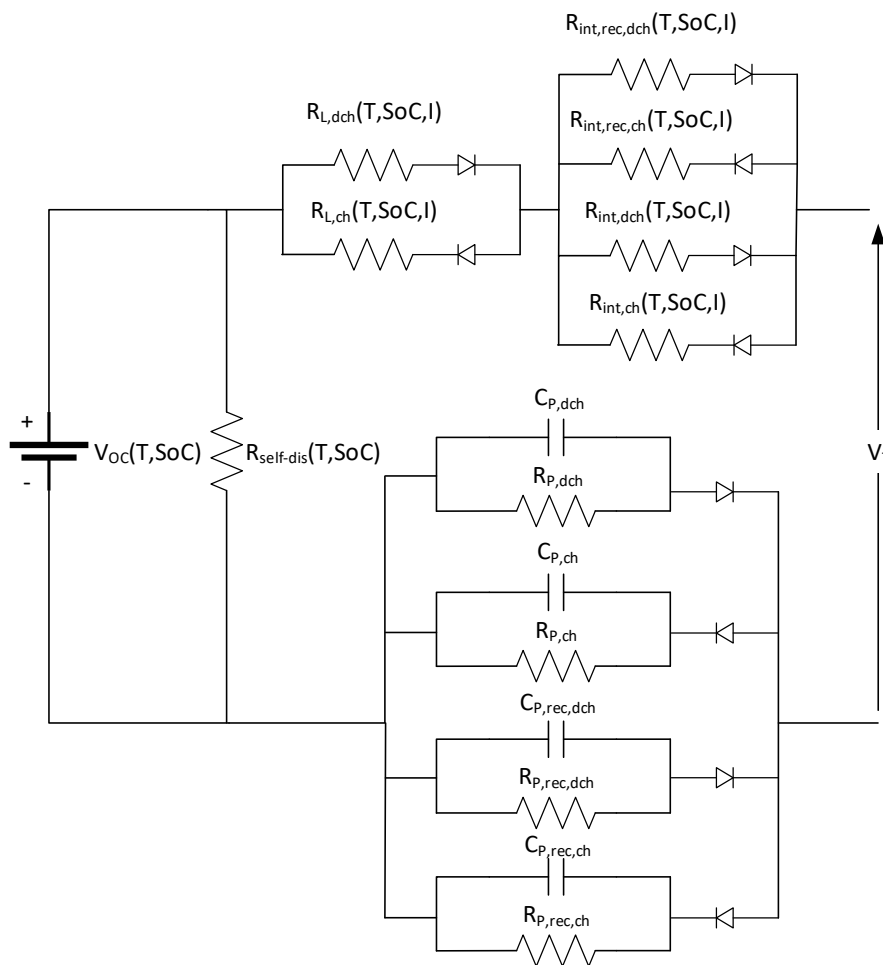


Figure 15. Noshin’s model.

In this model, the internal resistance during charging $R_{int,ch}$, is different from the internal resistance during discharging $R_{int,dch}$. Furthermore, $R_{L,ch}$ and $R_{L,dch}$ are added to represent the resistance increase due to the cycle aging, and four RC pairs, which represent the polarization effects, two during cycling and two during resting. Finally, a self-discharge resistance $R_{self-dis}$ can be considered for more accuracy. A development of this model can be found in [58].

3. Impedance Models

One of the most commonly used techniques for parameter determination in ECM refers to electrochemical impedance spectroscopy (EIS) [59]. The electrochemical impedance is defined as the response of an electrochemical system to an applied voltage. In this technique, an impedance sweep in frequency spectrum is performed, easing a model definition. Therefore, an impedance is got at each frequency value. Test results are graphed in a Nyquist diagram, depicting the resistance in abscise axis and the reactance in y-axis.

In frequency spectrum, it is common to find constant phase elements (CPEs). These elements have a constant phase independent from frequency value and are commonly used in Li-Ion battery modelling [60–63].

The impedance of a CPE can be expressed in fractional calculus as:

$$Z_{CPE}(s) = \frac{1}{Ws^\alpha} \quad (28)$$

where Z_{CPE} is the impedance of the CPE; s is the Laplace operator; W is the fractional coefficient; and α is the fractional order, $0 \leq \alpha \leq 1$. Note that the CPE represents a resistance when $\alpha = 0$ and represents a capacitance when $\alpha = 1$.

A typical circuit obtained though EIS tests for Li-Ion batteries is the so-called Randle's Circuit, which is shown in Figure 16. Some authors prefer to draw the Warburg impedance Z_W out of the parallel branch, in series with R_{int} , but the difference between these two models is negligible [64].

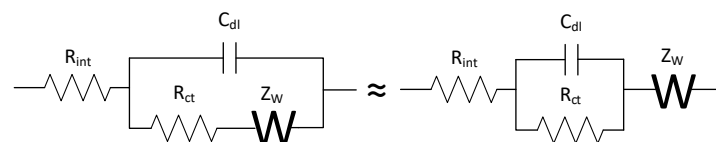


Figure 16. Randle's circuit and its approximation.

The internal resistance R_{int} used to represent the electric conductivity of the electrolyte, separator, and electrodes, matches with horizontal displacement, that is, where the curve meets the x-axis. The Z_{ARC} impedance element is composed of a parallel association of the charge transfer resistance R_{ct} and the double-layer capacitance C_{dl} , which represent the activation polarization voltage drop, and is graphed as a semicircle, while Z_W is a specific CPE, which models the diffusion effects, and is graphed as a line with 45-degree slope at very low frequencies [59]. These parameters are shown in Figure 17, in a commonly used circuit in these studies, and its Nyquist diagram with its corresponding physical interpretation.

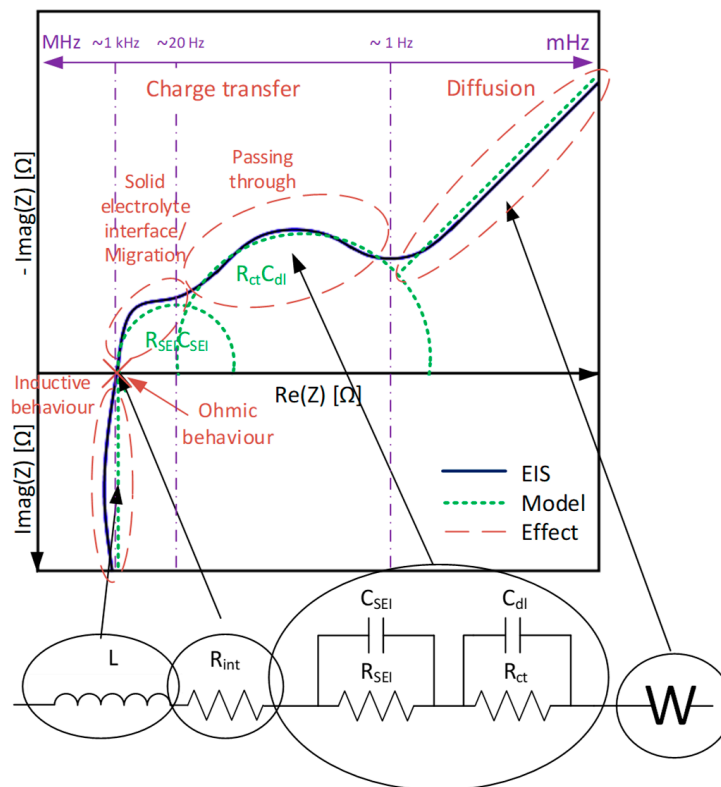


Figure 17. An impedance model of Li-Ion battery.

The analytical expression is as follows:

$$Z_{model}(s) = Ls + R_{int} + \frac{R_{SEI}}{1 + R_{SEI}C_{SEI}s} + \frac{R_{ct}}{1 + R_{ct}C_{dl}s} + \frac{1}{Q_W s^{1/2}} \quad (29)$$

where Z_{model} denotes the impedance of the equivalent circuit model; L and R_{int} are the inductance and resistance in the high-frequency region, respectively; R_{SEI} and R_{ct} are the resistances in the middle-frequency region; C_{SEI} is a CPE modelled as a capacitor; C_{dl} is another CPE modelled as a capacitor; and Q_W is the fractional coefficient of the Warburg impedance.

The effects of this Warburg impedance can also be reproduced by using multiple resistor–capacitor (RC) networks in series [65]. Although for an exact equivalence an infinite RC pairs network is needed, the circuit can often be modelled precise enough over some frequency range by using a small number of RC pairs. In addition, double layer capacitance C_{dl} is often omitted, as its impact is predominant only at very high frequencies [66]. If C_{dl} is removed and Warburg impedance is replaced by a small finite number of RC pairs, the cell model becomes the Thevenin model explained in Section 2.2.

EIS is recommended to be performed in stationary state and considering low input signal to avoid non-linearity effects. Besides, very low currents must not be used to avoid noise in results. This test must be repeated for each case of interest (SoC, temperature, current, etc.), as it is necessary to wait until stationary state. Some EIS analysis can be found in [67,68]. Figure 18 [69–71] shows the results dependency on temperature, SoC, and SoH.

The direct effect that the temperature has on all the parameters can be observed, greater in Z_{ARC} , enlarging the radius of the circle in Nyquist diagram. The SoC has its larger effect in R_{int} , while the SoH affects all the parameters similarly.

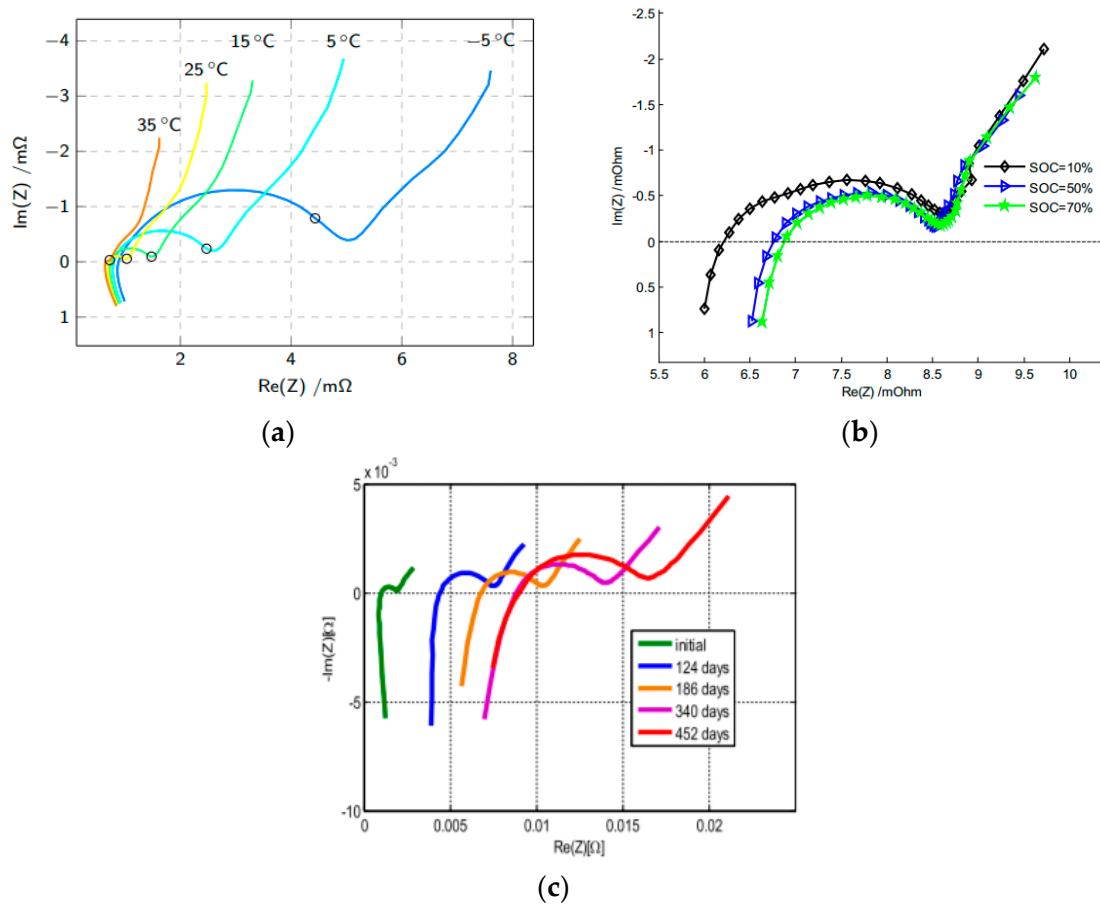


Figure 18. Nyquist plot of electrochemical impedance spectroscopy (EIS) measurements on (a) different temperatures; for each temperature, the 1 Hz point is marked; (b) different SoC state; (c) several ages.

Impedance models can be very useful in Li-Ion cell diagnosis. Thus, identifying the cell-aging reason is possible by observing the larger variation parameter. R_{int} is a contact or an ohmic resistance, and its variation means conductivity loss, collector corrosion, or side reactions in electrolyte. An increase in R_{SEI} and C_{SEI} means an increase in the solid electrolyte interface, which together with an increase of the R_{CT} , means a loss of lithium in the cell. A variation in Warburg impedance, in turn, is normally due to a loss of active material [72]. The number of semi-circles before the Warburg tail depends on the usage history of the cell as they are originated from SEI and the electronic properties of materials [73].

Several models derived of this technique can be found in the literature [74–77].

4. Runtime Models

4.1. Simple Runtime Models

The models introduced above are able to represent the voltage and current evolution. However, runtime data are not provided. Figure 19 shows a runtime model, which is commonly used for runtime simulation of a battery under a fixed average current.

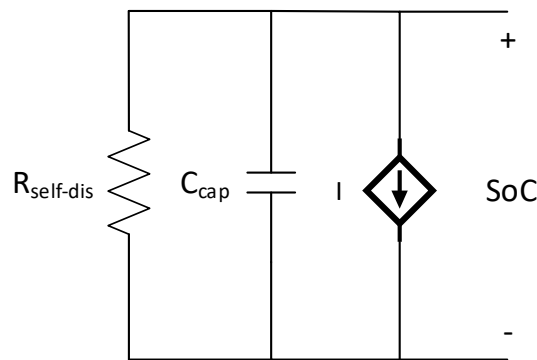


Figure 19. Runtime model.

where $R_{self-dis}$ is the self-discharge resistance, C_{Cap} is the capacitor that represents the charge stored in the battery (capacity), and $I(t)$ is a current source that represents the operating current.

Since the voltage of a battery is dependent on the SoC, this model simulates the SoC and is commonly used in combination with other models.

4.2. Runtime-Combined Models

Runtime-combined models are generally composed by two sub-circuits connected to each other. Generally, a runtime model combined with a Thevenin one is widely used. In Figure 20, a typical circuit based on the third-order Thevenin is shown.

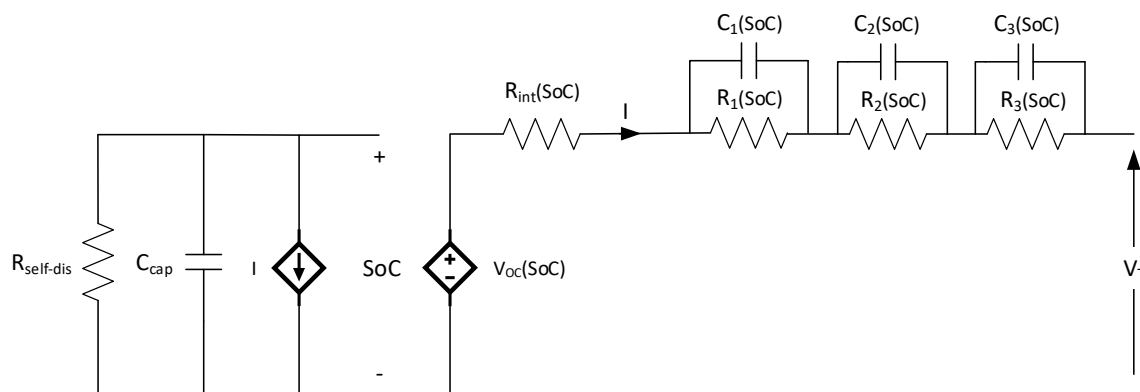


Figure 20. Runtime-combined typical model.

In the first sub-circuit, $R_{self-dis}$ is a self-discharge resistance, C_{Cap} is a capacitor representing the charge stored in battery, and $I(t)$ is a current controlled current source, measuring the current flowing in sub-circuit 2. The first sub-circuit is designed for energetic considerations, such as SoC measurement, remaining capacity, or self-discharge ratio. The second sub-circuit is composed of a third-order Thevenin model but replacing the voltage source by a voltage-controlled voltage source, measuring voltage (SoC-dependent) from the first sub-circuit. The second sub-circuit is designed for simulating I-V performance.

Several works focused on the development of this model for electromobility application can be found in the current literature, the most usual being those composed of a second-order Thevenin model [13,78] and a third-order Thevenin model [5,79,80].

5. V-I Performance

For better comprehension, below are the performance differences from the main ECMs analyzed in Section 3 are explained. Figure 21 shows the typical behavior of a Li-Ion cell during a discharge-charge cycle.

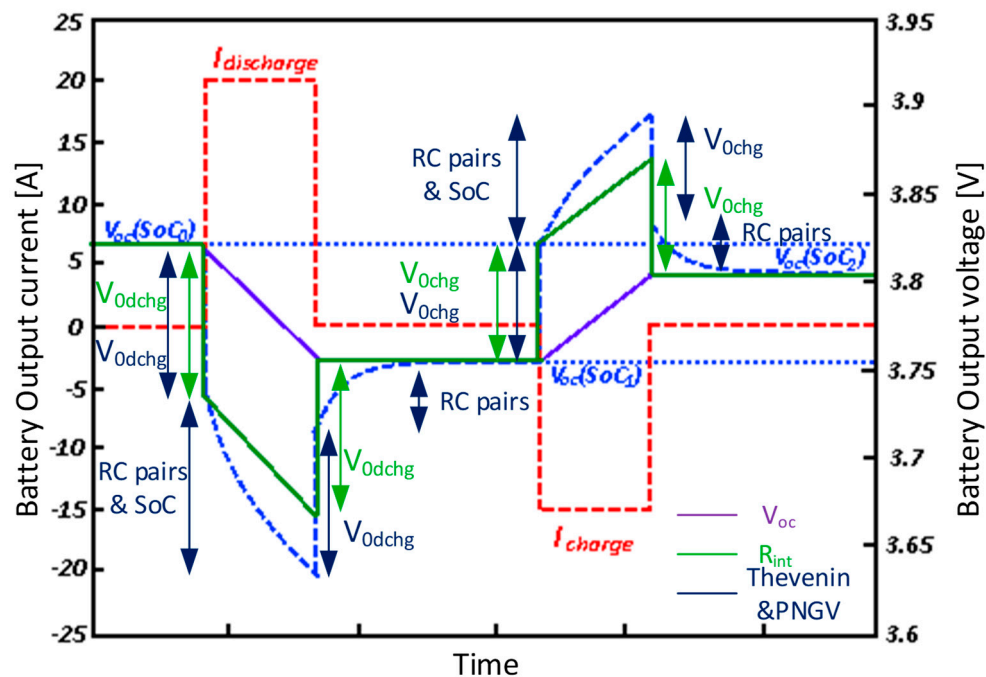


Figure 21. Li-Ion voltage and current in a cycle.

Starting from SoC_0 , an instantaneous voltage drop V_{0dch} happens when the discharge is started due to R_{int} (Electrolyte resistance majorly). Then, voltage goes on decreasing exponentially, due to a combination of RC pairs (diffusion and surface reactions) and SoC decrease in the voltage source. Voltage drop V_{0dch} is recovered instantaneously when current falls to zero, and due to RC pairs, voltage needs some time to be stabilized in a new SoC state, SoC_1 . When the charging state starts, an instantaneous voltage increase V_{0chg} happens due to R_{int} , followed by an exponential increase due to RC pairs and SoC variation in voltage source. Finally, when the charging stops, an instantaneous voltage drop happens due to R_{int} , and an exponential decrease due to RC pairs, until final SoC is reached, SoC_2 . Thevenin and PNGV models are more accurate when RC pairs are increased and can model these effects. However, when using an ideal model with SoC consideration, as it can be seen in Figure 21, V_{oc} graphed in purple only considers part of the dynamic: Voltage variation with SoC variation. The enhanced simple model with SoC consideration is more accurate, since it considers the instantaneous voltage drops too, graphed in green in Figure 21. Therefore, a linear model cannot be considered for SoC direct measurement, as it does not consider SoC in the voltage variation [17]

Consequently, Thevenin and PNGV models are best considered for most applications. Based on the characteristics of the study, the most-used RC pairs number in Thevenin and PNGV models are between 1 and 2. Its waveform is graphed in blue. A greater number of RC pairs increases computational effort without providing a reasonable enough accuracy increase [81]. In design or diagnosis applications, where simulation speed is not important, it is common to use three RC pairs. Therefore, the number of RC pairs is defined by accuracy and complexity dilemma [59]. The values of the elements of the RC pairs are usually obtained by experimental results.

Within Thevenin and PNGV models, the first-order one can represent transient state approximately and it is enough for most studies, especially those where simulation speed is a priority. Second-order models can represent transient state fairly accurate, and therefore, it is applicable in SoC estimation. Within electromobility, a second-order model is considered appropriate, even better if it is combined with a runtime model [78]

Table 3 [57] gathers the accuracy results of a comparative study among different models.

Table 3. Comparison of the error in percentage [%] among electrical equivalent circuits.

Test	50% SoC, 1C	Pulse Test			DDP Test			Capacity Test 1C			Capacity Test 5C		
		DoD [%]	0–5	5–90	90–100	0–5	5–90	90–100	0–5	5–90	90–100	0–5	5–90
Rint	0.2	4.5	7	14	5	15	20	3	5	18	8	9	19.2
RC	0.3	8	2	55	5	5	46	7	2	58	6	5	50
Thevenin	0.2	3.5	5	17	4	4	15	2	1	20	2	7	19
PNGV	0.2	2	1.5	25	4	3.5	19	2	1.5	29	6	12	18
2nd order PNGV	0.1	2	2	19	2.5	2	14	2	2	25	4	14	35
3rd order PNGV	0.2	1.5	2	17	3	1	13	2	2	23	3	12	28
Noshin	0.2	2	1.5	13.5	2.5	3	14	2	1	16.5	2	2	12.5

Although all the models are very accurate under standard fixed conditions (5–90% Depth of Discharge (DoD)), results depend on operating current, but, overall, on DoD, reaching an error of 58%.

The batteries are operated typically with a 60% DoD when cycled near the middle of the SoC. In these applications, the Thevenin or PNGV models are usually accurate enough, while maintaining a high computational speed. In case large computation resources are available and if the application would demand a charging/discharging profile more similar to dynamic discharging profile (DDP), a third-order PNGV model would be the most accurate, as well as RC model for the pulse test profile.

Nevertheless, batteries operating in deep cycles are a critical application for current models. The Noshin model seems to offer better results in those tests that aim to measure the capacity of a battery, independently from the DoD.

Table 4 gathers analyzed literature with electromobility application.

Table 4. Analyzed literature summary.

Model	Objective	Considerations		Battery Type	Year	Ref
		Effects	Parameters			
Simple	Ideal	Model		V_{OC}	Li-Ion	1997 [15]
	Linear	Energy management	SoC, T		LFP (Li-Ion)	2017 [22]
		HEV Simulation	SoC	V_{OC}, R_{int}	Li-Ion	2007 [23]
		SoC estimation Model		V_{OC}, R_{int}	Lead-Acid	2017 [24]
RC	SoC estimation	Pol, Prop, SoC	$C_B, R_B, R_{int}, R_P, C_P$	LFP (Li-Ion)	2001 [25]	
				LIPO (Li-Ion)	2013 [82]	
ECM	1 Order	SoC estimation	Pol, SoC		Li-Ion	2013 [33]
		Stability analysis and SoC estimation	Pol, SoC		LCO (Li-Ion)	2014 [35]
		Batteries parallelization	Pol, SoC, SoH, T	$V_{OC}, R_{int}, R_P, C_P$	LIPO (Li-Ion)	2008 [34]
	2 Order	SoC and SoH model	Pol, SoC, SoH, T		Li-Ion	2018 [32]
		Power Electronic	Pol, SoC, SoH, T		Li-Ion	2015 [37]
		EP-Thevenin model	Pol, SoC, SoH, T		Li-Ion	2016 [38]
		Life model	Pol, SoC, SoH, T		Li-Ion	2011 [39]
		Life model	Pol, SoC, SoH, T		LFP (Li-Ion)	2013 [40]
		System design	Pol, SoC, SoH, T		LFP (Li-Ion)	2011 [41]
	Thev	Parameter regression	Pol, SoC, T, Hyst		LFP (Li-Ion)	2017 [83]
					Li-Ion	2002 [84]
		3 order	Model	Pol, SoC, T, Hyst		Pb-acid, NiMH, Li-ion
SoC estimation			T, CF		Li-Ion	2009 [45]
SoC, SoH, and SoF estimation			Pol, SoC		Li-Ion	2016 [46]
Model			SoC, SoH, SoF		Li-Ion	2018 [47]
Characterization			Pol, SoC, SoH, T		NMC (Li-Ion)	2018 [48]
				$V_{OC}, R_{int}, 2RC$	NMC (Li-Ion)	2018 [18]
Model			Pol, SoC, T		NMC, LFP, LTO (Li-Ion)	2018 [86]
					NMC (Li Ion)	2016 [87]
Fault diagnosis	Pol, SoC			2018 [88]		
Life model	Pol, SoC, T, SoH		Li-Ion	2013 [89]		
3 order	Model	Pol, SoC, T, SoH		Li-Ion	2017 [90]	
	Model for V2G	SoC, Pol	$V_{OC}, R_{int}, 3RC$	Li-Ion	2016 [50]	
		SoC, Pol, T, Hyst		Li-Ion	2012 [51]	

Table 4. Cont.

PNGV	1 order	SoH estimation	Pol, ID, SoC, Pol, ID, SoH, SoC, T	V_{OC}, R_{int}, C_0, RC	LFP (Li-Ion)	2018	[53]
					LFP (Li-Ion)	2016	[54]
	Model	Pol, ID, Hyst, SoC, T		LFP and NMC (Li-Ion)	2014	[55]	
	2 order	Life model	Pol, SoC, T	$V_{OC}, R_{int}, C_0, 2RC$	LFP (Li-Ion)	2014	[91]
	Noshin	Model	Pol, SoC, SoH, T	$V_{OC}, R_{int}, R_p, C_p$	Li-Ion	2012	[58]
Freq	2 order	Kinetics Study Characterization Model	Pol	$V_{OC}, R_{int}, 2Z_{Arc}$	LFP (Li-Ion)	2015	[74]
			Pol, SoC, T		NMC (Li-Ion)	2014	[75]
	Pol, SoC	$V_{OC}, L, R_{int}, 2Z_{Arc}$	Li-Ion	2014	[77]		
	3 order	Model	Pol	$V_{OC}, L, R_{int}, 3Z_{Arc}$	Li-Ion	2012	[76]
RT	2 order	Model	Pol, SoC, T, cycles	$V_{OC}, R_{int}, 2RC,$ C_{cap}	Li-Ion, Ni-MH	2006	[13]
		Model for EV			LFP (Li-Ion)	2011	[92]
	3 order	Model for EV	Pol, SoC, T, cycles	$V_{OC}, R_{int}, 3RC,$ C_{cap}	Li-Ion	2011	[5]
		SoC, Pol, T	Li-Ion, Ni-MH		2008	[79]	
					Li-Ion, Lead acid, Ni-MH	2016	[80]

CF: Capacity fade, ECM: Equivalent circuit model; Freq: Frequency, Hyst: Hysteresis, ID: Ion-diffusion, Pol: Polarization, Prop: Propagation, RT: Runtime, SoC: State of charge, SoF: State of function, SoH: State of health, T: Temperature, Thev: Thevenin.

Power variation studies, so-called power fade (PF), and capacity variation studies, so-called CF, are within the most usual and interesting current applications of battery models in electromobility. These studies represent the capacity or power variation when a battery is aged. It is known that average current, temperature, DoD, and average SoC are most influent variables in battery degradation by cycling [93]. However, an adequate model is required to know the performance differences, as can be a runtime-combined model with a first-order Thevenin considering hysteresis, second-order Thevenin, or Noshin model. This model should not only consider the current and the SoC, but also the SoH and the temperature in its parameters (R_{int} , V_{OC} , etc.). Therefore, it would be possible to predict a battery performance when it is degraded by the use and charging events. Indeed, charging events can be optimized if degradation is foreseen.

The definition of the parameters is, perhaps, the most expensive process in terms of time and effort. For this purpose, experimental tests to analyze the evolution of the parameters depending on the behavior to be characterized are necessary. These tests may consist of cycling a series of cells at different currents, temperatures, and DoDs, as well as in the continuous recording of their behavior until the end of their lifetime.

While V_{oc} and R_{int} are easily characterized by measuring the open-circuit voltage and the instantaneous voltage drop when the cells start discharging, characterizing their capacity at different SoH points requires specific capacity tests. Since these tests are carried out discretely, it may be necessary to interpolate the values obtained to calculate intermediate solutions.

An analysis of the contribution of each variable to the evolution of each parameter would allow a greater accuracy when extrapolating the results of the tests. Although these tests are carried out at constant current, it would be possible to apply the superposition method to emulate a real variable cycle.

Although these tests may involve a large time and computational cost, they are worth it since it would be possible to optimize the BMS of the vehicle that incorporates the tested cells.

6. Conclusions

Battery models can be classified into several categories; generally, these categories are electrochemical models, mathematical models, and electrical models.

Electrochemical model are the most accurate ones in emulating all the internal phenomena. However, they consume excessive computational resources and are very slow. Therefore, they are suitable for battery design, but not for real-time control or emulation purposes.

Mathematical models are appropriate for certain calculus or prediction parameters, such as statistical cycle life based on experimental tests.

Finally, electrical models are the most appropriate for real-time control or emulation purposes and are the best solution to be implemented in actual battery management systems (BMSs), chargers, or similar devices. These models are composed of simple elements, such as resistances and capacitors, which are characterized based on the influence of several parameters (temperature, current, etc.).

In this paper, several Li-Ion battery electric models available for automotive applications have been analyzed and categorized, showing their advantages and drawbacks.

Simple models are sufficient for those studies where the battery is not the focus. Thevenin and PNGV models are adequate when the battery works in a certain SoC range, however they are not suitable for DC response analysis or runtime prediction. It is common to use variable resistances and capacitors, which consider SoC or temperature for improving these deficiencies, but it increases the computational requirement. These models are appropriate for transient state analysis, but AC response is limited. Impedance models, in turn, are appropriate for AC response analysis, as they are developed in the impedance domain. However, their transient state response is very limited. Runtime models offer a DC and runtime response simultaneously with an average fixed current. At last, combined models also combine the models' advantages, improving accuracy by decreasing simulation speed.

The estimation of the parameters is another key aspect. While variables such as SoC or SoH have to be measured online, the estimation of the parameters can be done offline, and adjusted online if desired. In case an offline estimation of SoC or SoH based on laboratory tests is performed, and therefore not relating the parameters to the actual cycling of the battery, a high error in the results would be obtained.

An optimal model to be implemented in an EV must match a series of considerations:

1. Accuracy: An accurate model, and with consideration of enough general aspects is required. These general aspects can be:
 - a. Electrical model: Knowing the I-V behavior of a battery is essential for any study associated with its operation.
 - b. Thermal model: Since a battery resistance varies inversely with temperature, it is common to have accuracy errors in performance simulation and runtime estimation when temperature is neglected.
 - c. Runtime model: Necessary for those studies considering battery runtime, capacity increasing, or effects derived.
2. Computational simplicity: A simpler model is preferred easing real-time operation as simulation speed is increased.
3. Configuration simplicity: A simple model to be configured is preferred, with the lowest parameters to be identified and defined.
4. Interpretability: An interpretable model would ease the identification of the origin if any issue would appear in the battery.

Author Contributions: Conceptualization, G.S. and F.J.A.; formal analysis, G.S.; writing—original draft preparation, G.S.; writing—review and editing, F.J.A. and O.O.; visualization, O.O.; supervision, J.I.S.M. and I.Z.; project administration, J.I.S.M. and I.Z.; funding acquisition, J.I.S.M. and I.Z.

Funding: The authors thank the support from the Gipuzkoa Provincial Council (project Etorikizuna Eraikiz 2019 DGE19/03), the Basque Government (GISEL research group IT1083-16), as well as from the University of the Basque Country UPV/EHU (PES16/31 and PES17/08).

Conflicts of Interest: The authors declare no conflict of interest.

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