

Efficient Fail-Fast Dynamic Subtype Checking

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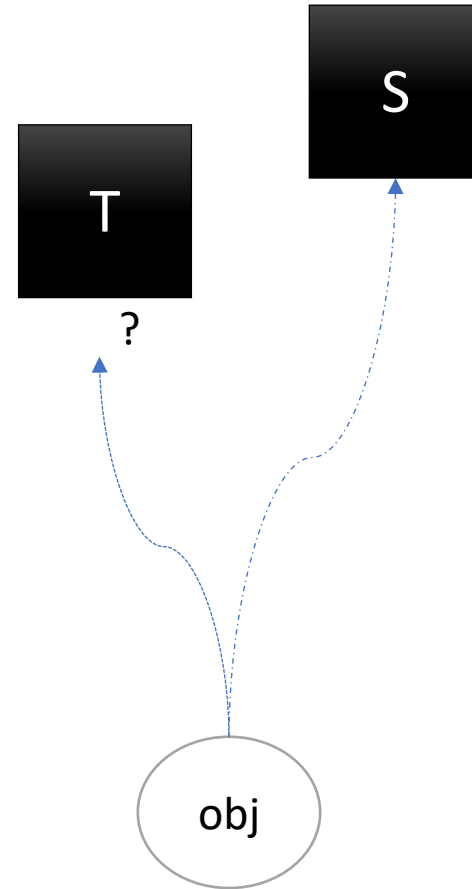
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VMIL 2019

Dynamic Subtype Checking

S obj =

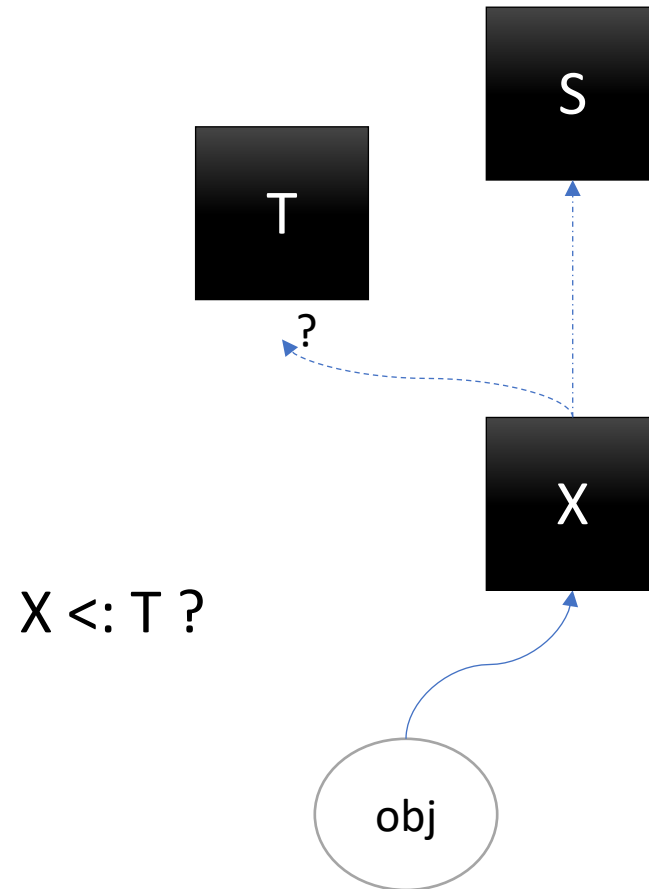
```
if (obj instance of T) {  
    ....  
}
```



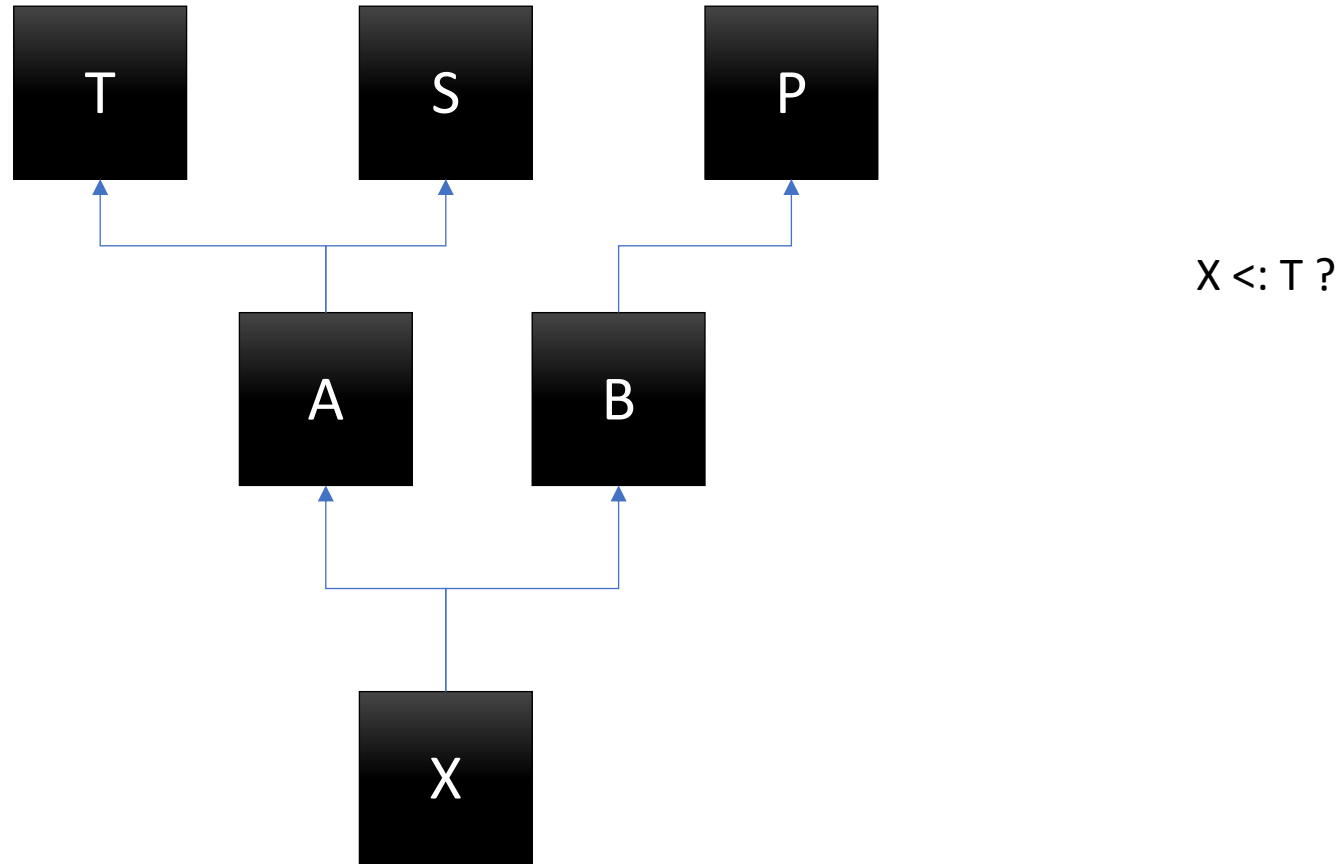
Dynamic Subtype Checking

```
S obj = new X()
```

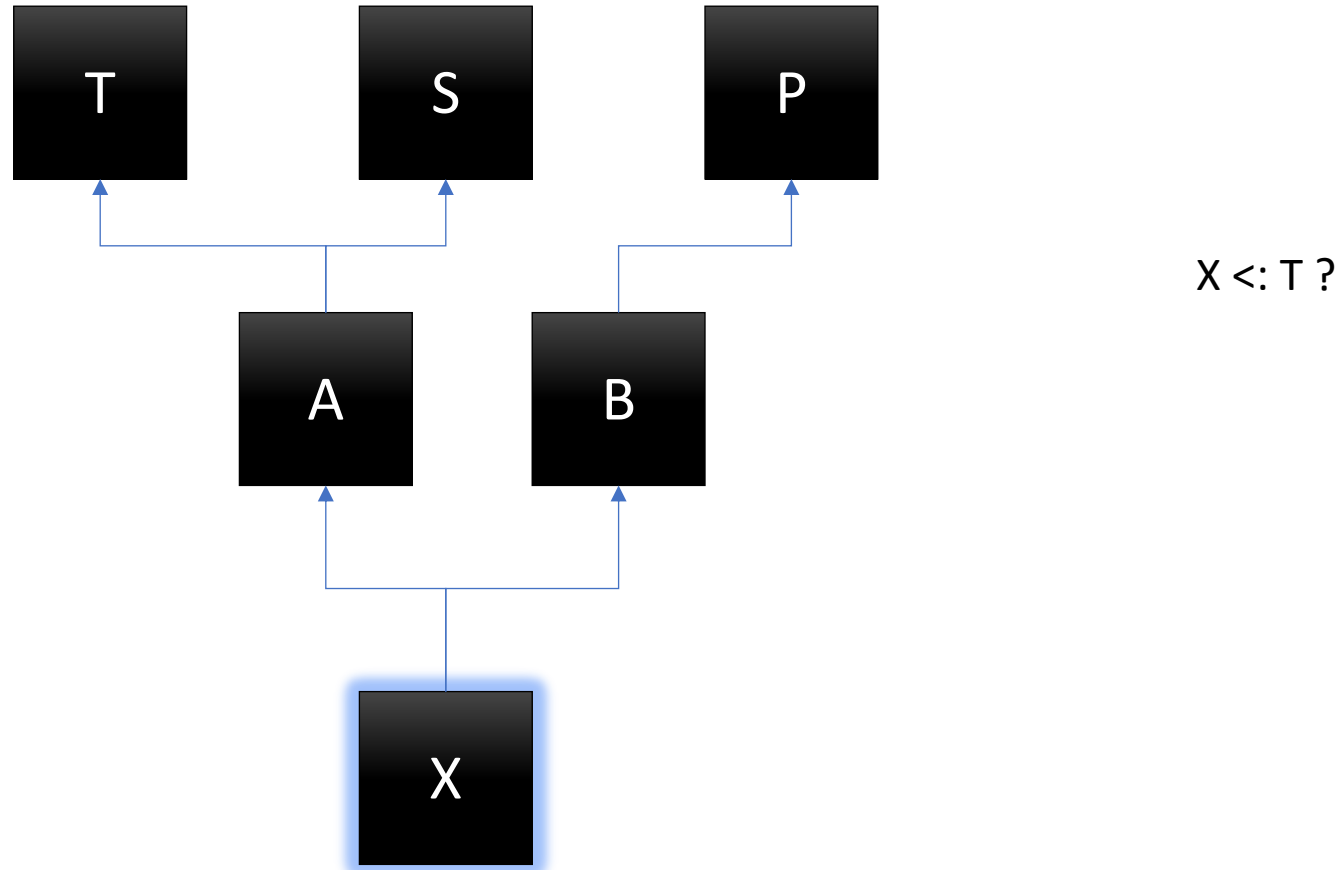
```
if (obj instance of T) {  
  ....  
}
```



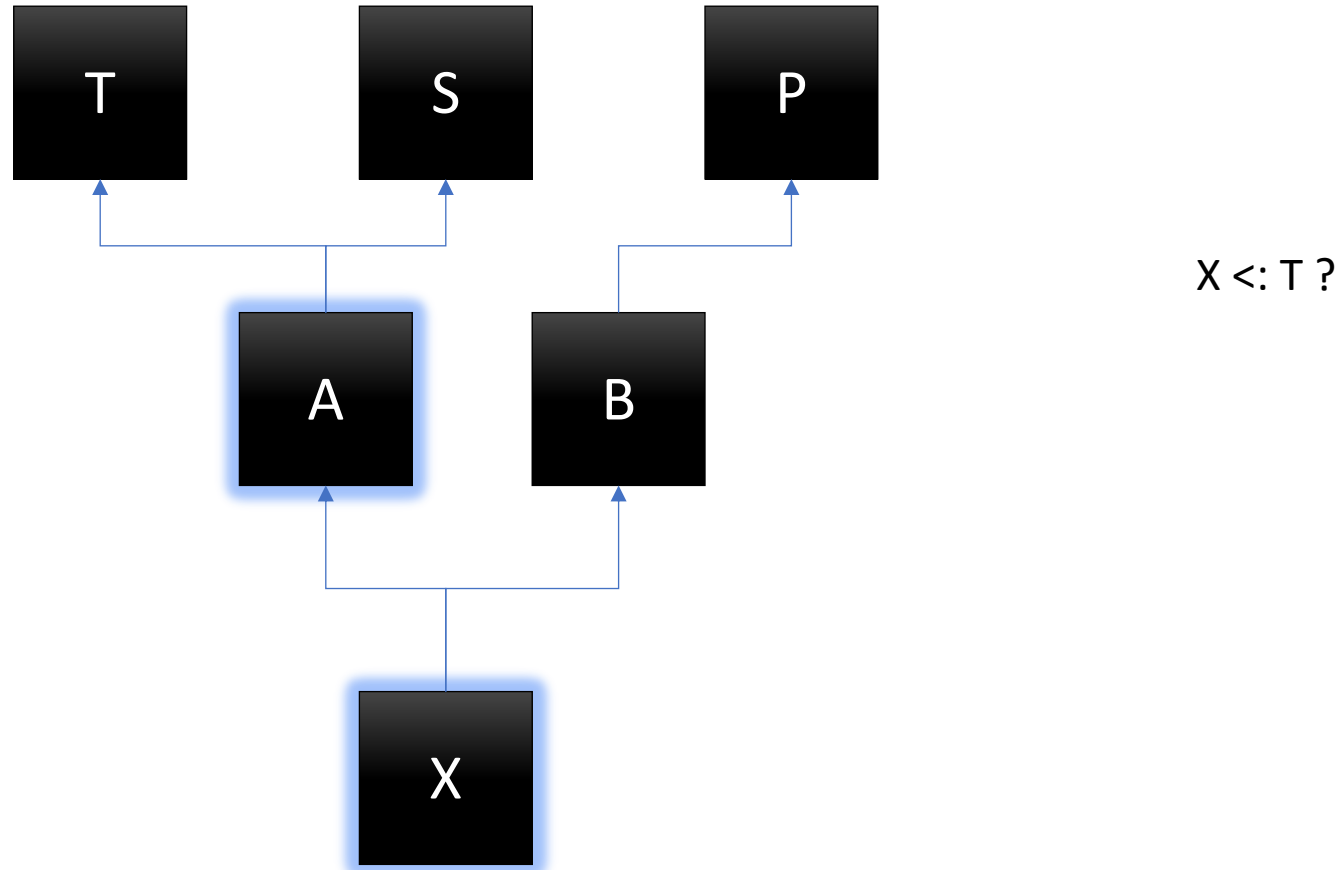
General Solution: Linear Search



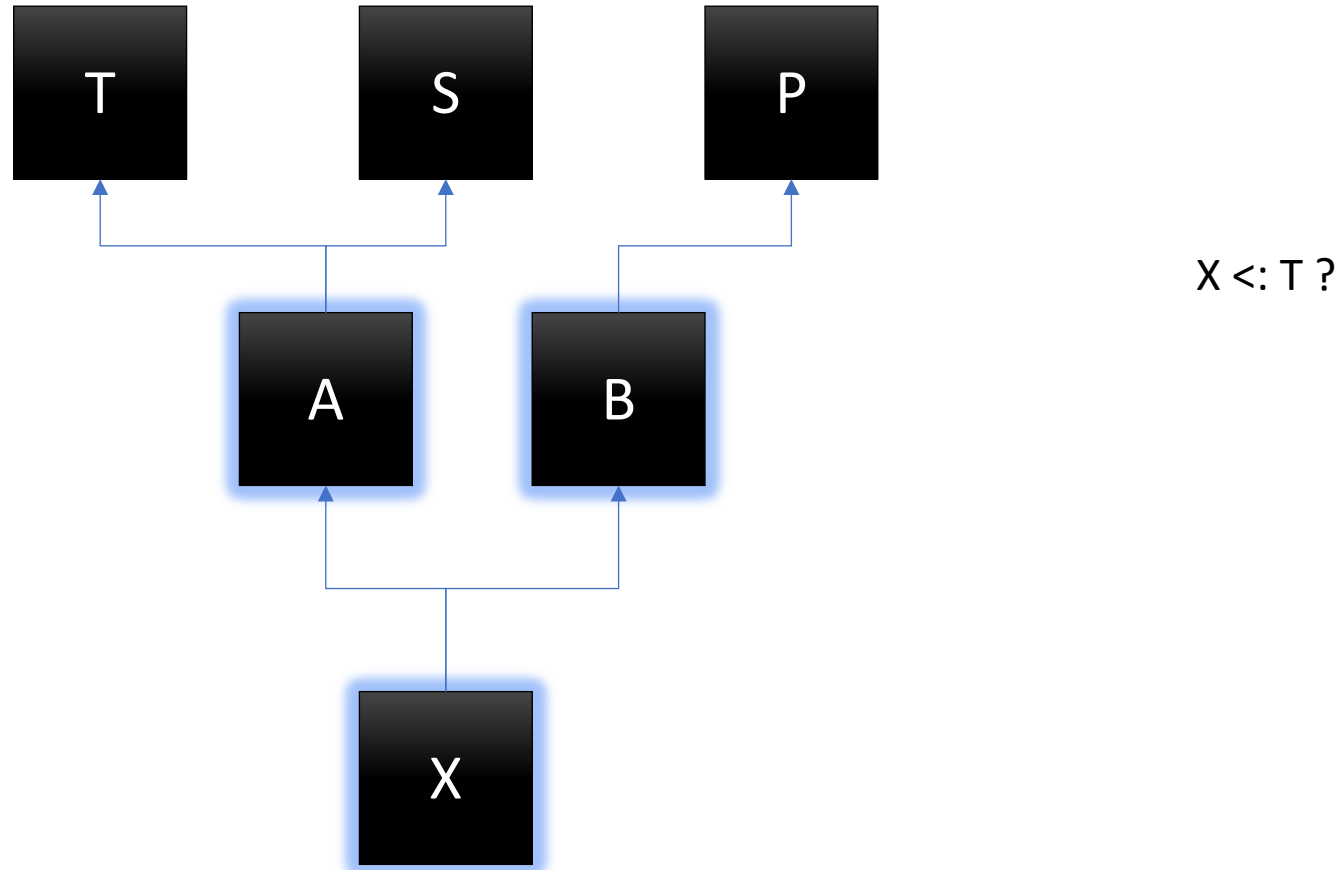
General Solution: Linear Search



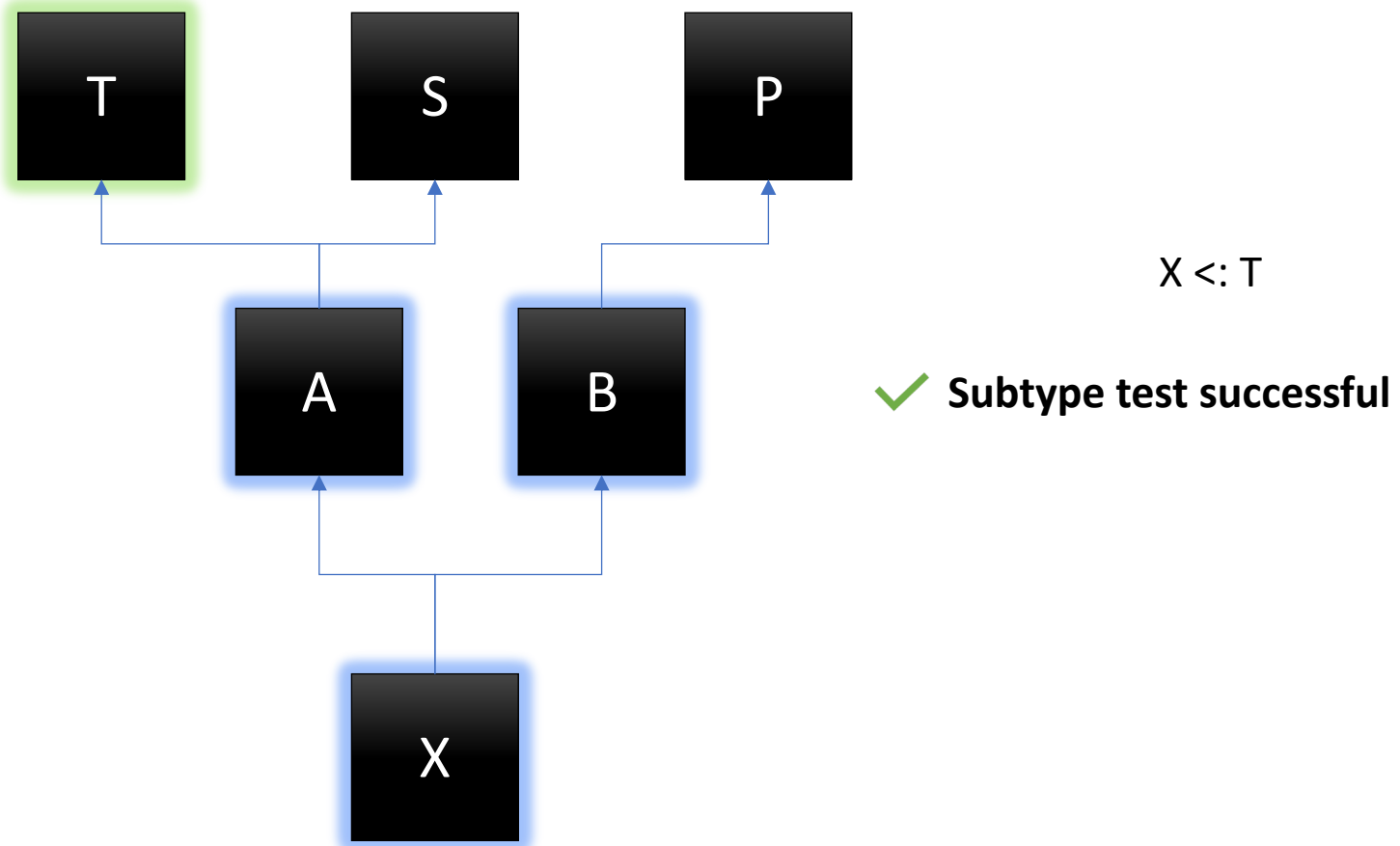
General Solution: Linear Search



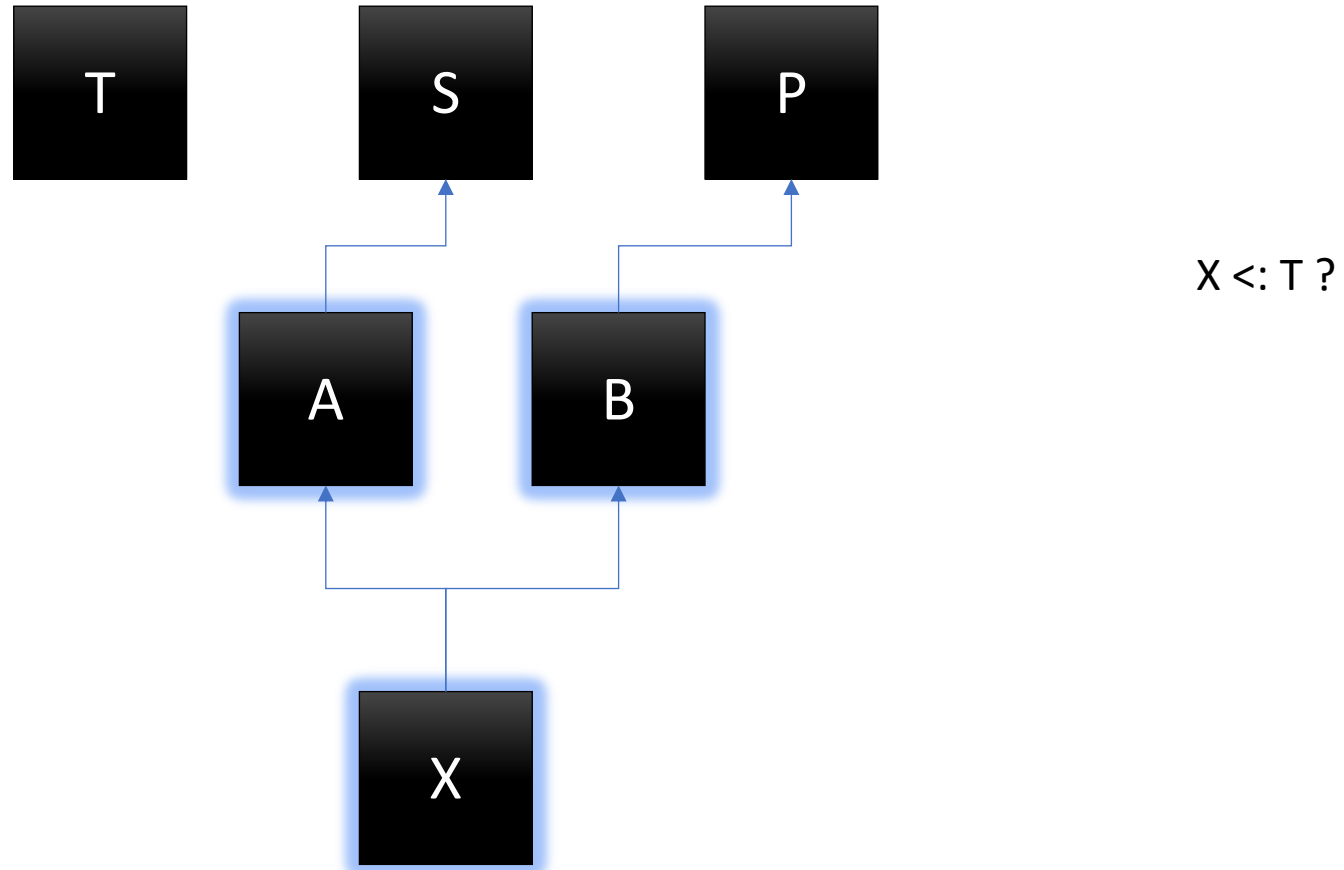
General Solution: Linear Search



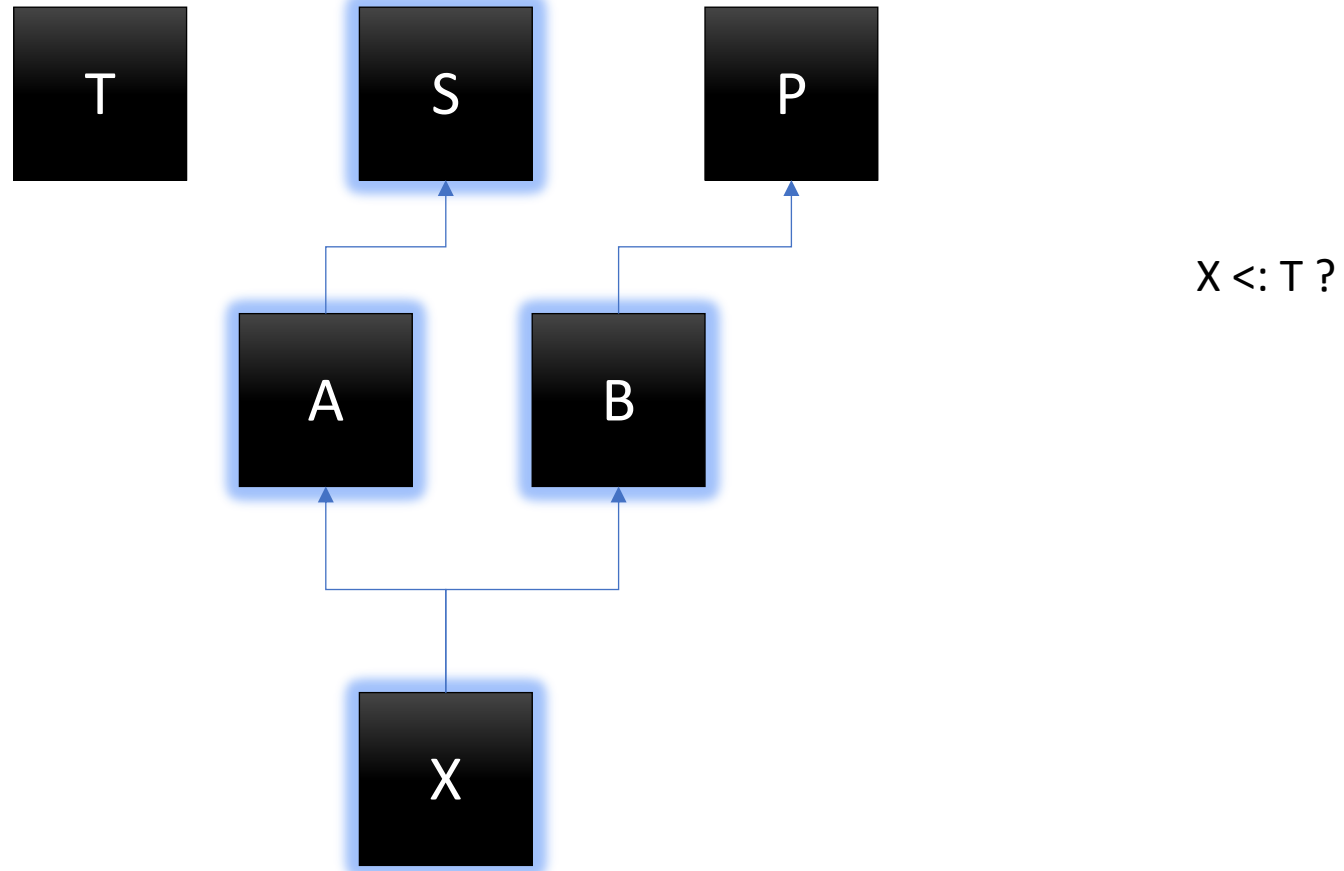
General Solution: Linear Search



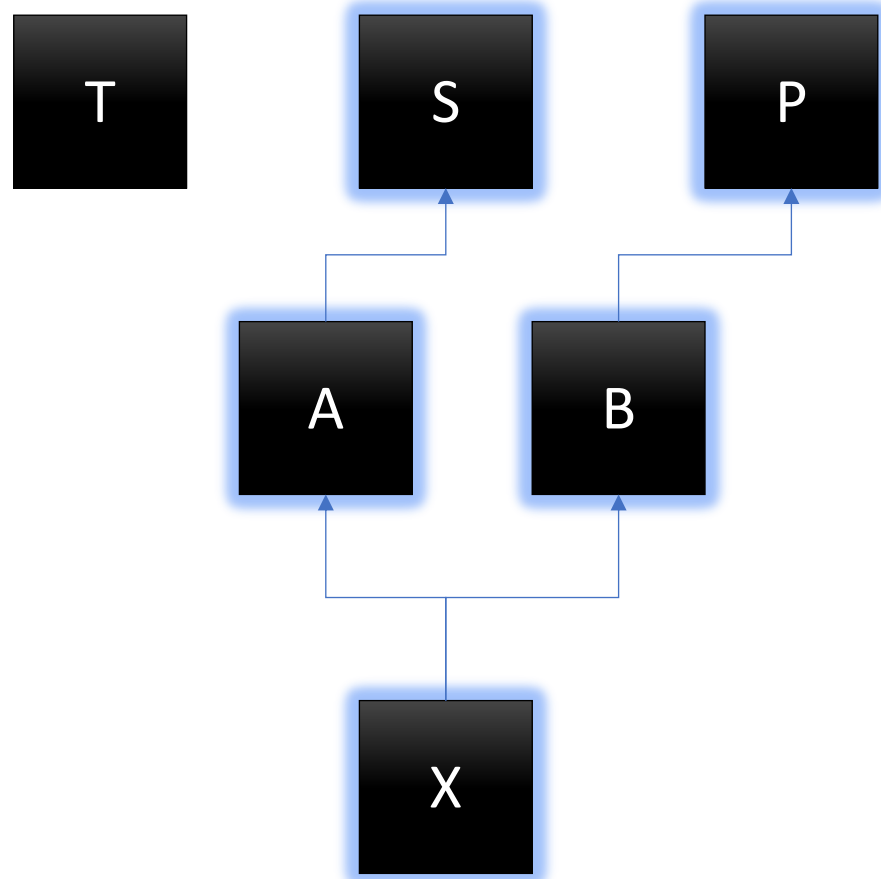
General Solution: Linear Search



General Solution: Linear Search

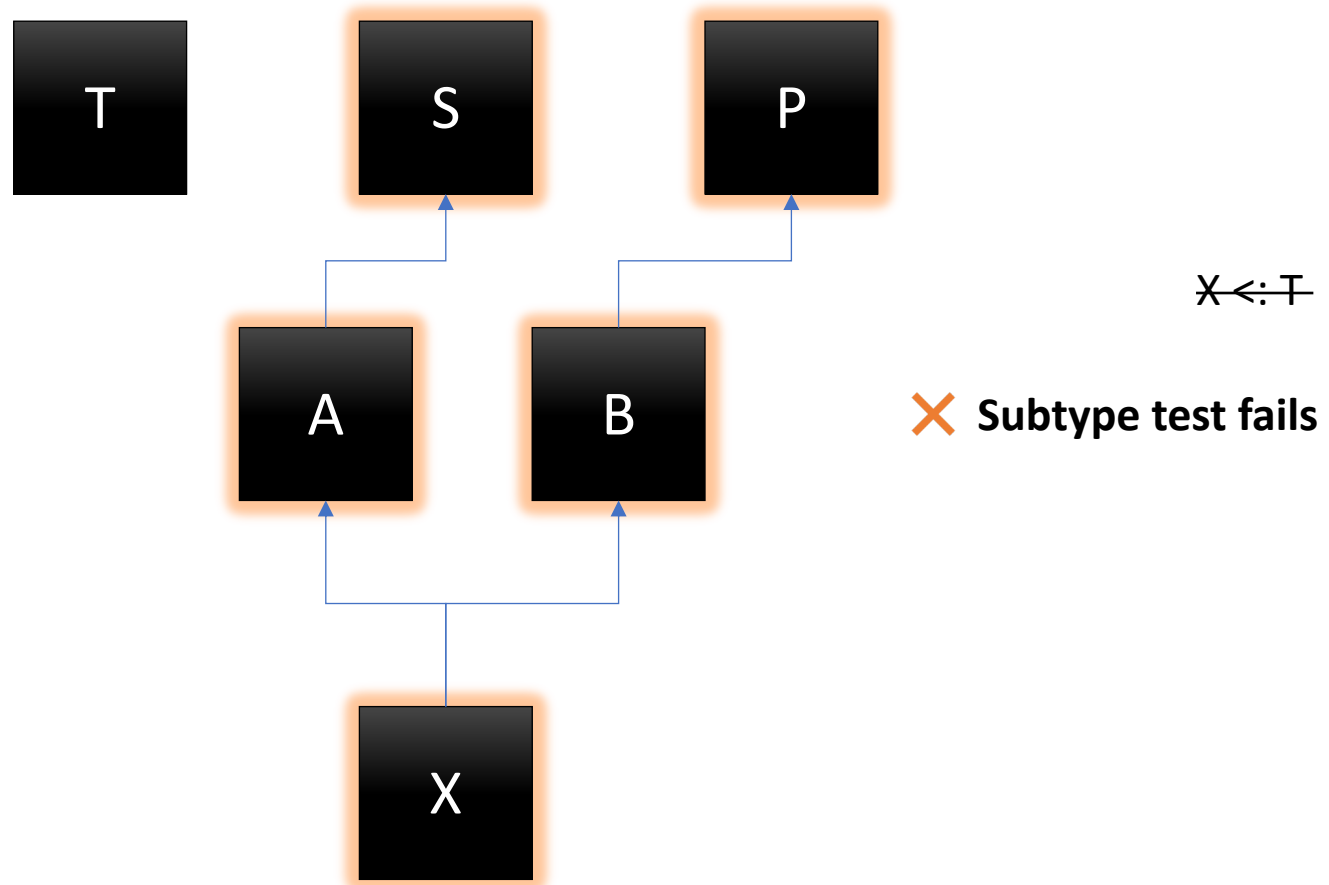


General Solution: Linear Search



$X <: T?$

General Solution: Linear Search



Implementations must consider trade-offs

Constant time?

Constant space? (per-class)

Supports multiple inheritance?

Supports open hierarchies?



Existing Schemes

Table 1. Summary of dynamic subtype checking strategies.

Scheme	Constant Space	Constant Time	Multiple Inheritance	Open Hierarchy
Schubert et al. [14]	✓	✓	✗	✗
Cohen's display [5]	✗	✓	✗	✓
NHE [10]	✗ [†]	✓	✓	✗
Packed encoding [15]	✗ [†]	✓	✓	✓ [‡]
PQ-Encoding [17]	✗ [†]	✓	✓	✗
R&B [13]	✗ [†]	✓	✓	✓ [‡]
Gibbs and Stroustrup [8]	✓	✓	✓	✗
Perfect Hashing [6]	✗	✓	✓	✓
HotSpot JVM [4]	✓/✗	✗	✓	✓
LLVM [1]	✓	✗	✓	✗

[†] The per-class space requirement is very small in practice.

[‡] Requires non-trivial recomputation when dynamically loaded classes change the hierarchy.

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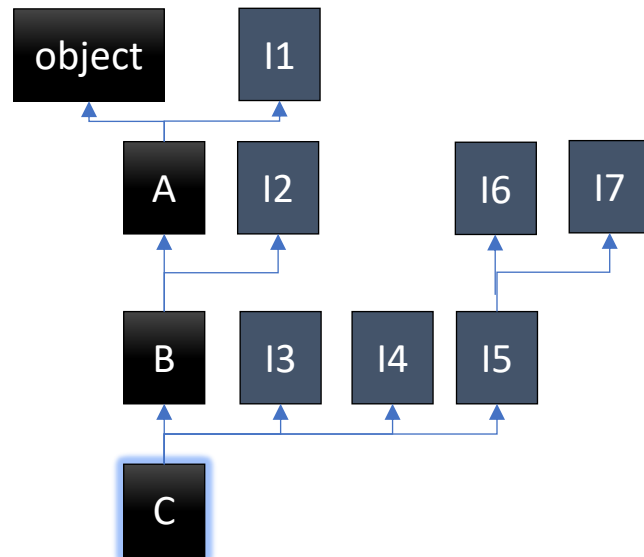
Case Study: HotSpot JVM

```
class A implements I1 { ... }
```

```
class B extends A implements I2 { ... }
```

```
interface I5 extends I6, I7, I2 { ... }
```

```
class C extends B implements I3, I4, I5 { ... }
```



Metadata for C

Primary		Secondary
depth	super	super
0	object	I1
1	A	I2
2	B	I6
3	C	I7
4		I5
5		I3
6		I4
7		

Case Study: HotSpot JVM

```
class A implements I1 { ... }  
class B extends A implements I2 { ... }  
interface I5 extends I6, I7, I2 { ... }  
class C extends B implements I3, I4, I5 { ... }  
class D extends C  
class E extends D  
class F extends E  
class G extends F  
class H extends G
```

Metadata for H

Primary		Secondary
depth	super	super
0	object	I1
1	A	I2
2	B	I6
3	C	I7
4	D	I5
5	E	I3
6	F	I4
7	G	H

Case Study: HotSpot JVM

Metadata for C

Primary Secondary

X <: C ?
 X.primary[3] == C?

X <: D ?
 X.primary[4] == D?

X <: H ?
 X.secondary_check(H)

X <: I5 ?
 X.secondary_check(I5)

depth	super	super
0	object	I1
1	A	I2
2	B	I6
3	C	I7
4		I5
5		I3
6		I4
7		

Metadata for H

Primary Secondary

depth	super	super
0	object	I1
1	A	I2
2	B	I6
3	C	I7
4	D	I5
5	E	I3
6	F	I4
7	G	H

Case Study: HotSpot JVM

Metadata for H

Secondary

super
I1
I2
I6
I7
I5
I3
I4
H

```
X.secondary_check(T) := {  
  if (X.cache == T) return true;  
  if (X == T) return true;  
  foreach S in X.secondaries {  
    if (S == T) {  
      X.cache = S  
      return true;  
    }  
  }  
  return false;  
}
```

X <: H ?

X.secondary_check(H)

X <: I5 ?

X.secondary_check(I5)

Case Study: HotSpot JVM

Observations:

1. Fast path for success
2. Failure == linear search

```
X.secondary_check(T) := {  
  if (X.cache == T) return true;  
  if (X == T) return true;  
  foreach S in X.secondaries {  
    if (S == T) {  
      X.cache = S  
      return true;  
    }  
  }  
  return false;  
}
```

Is this assumption always true?

Are there workloads where dynamic subtype tests often fail?

Case Study: Scala's Pattern Matching

```
obj match {  
  case x:A => x.method_on_A()  
  case y:B => y.method_on_B()  
  case z:C => z.method_on_C()  
  ...  
}
```

Compile to JVM



```
if (obj instanceof A) {  
  A x = (A) obj;  
  x.method_on_A();  
} else if (obj instanceof B) {  
  B y = (B) obj;  
  y.method_on_B();  
} else if (obj instanceof C) {  
  C z = (C) obj;  
  z.method_on_C();  
} ...
```

Profiling Scala's Pattern Matching

Small workload: `scalac Hello.scala`

47,597 instance of tests

93% failed

Large workload: `sbt compile # builds scalac`

3.1 *billion* instance of tests

76% failed

45 million secondary scans

Cast Study: LLVM Compiler Infrastructure

```
if (AllocationInst *AI = dyn_cast<AllocationInst>(Val)) {  
    ...  
} else if (CallInst *CI = dyn_cast<CallInst>(Val)) {  
    ...  
} else if ...
```

```
static bool isLoopInvariant(const Value *V, const Loop *L) {  
    if (isa<Constant>(V) || isa<Argument>(V) || isa<GlobalValue>(V))  
        return true;  
  
    // Otherwise, it must be an instruction...  
    return !L->contains(cast<Instruction>(V)->getParent());  
}
```


Profiling the LLVM Compiler Infrastructure

Small workload: `clang++ Hello.cpp`

5.5 million `dyn_cast<T>/isa<T>` operations

74% failed

Large workload: `clang selfie.c # 10K LoC`

93.7 million `dyn_cast<T>/isa<T>` operations

78% failed

Takeaway: In some workloads...

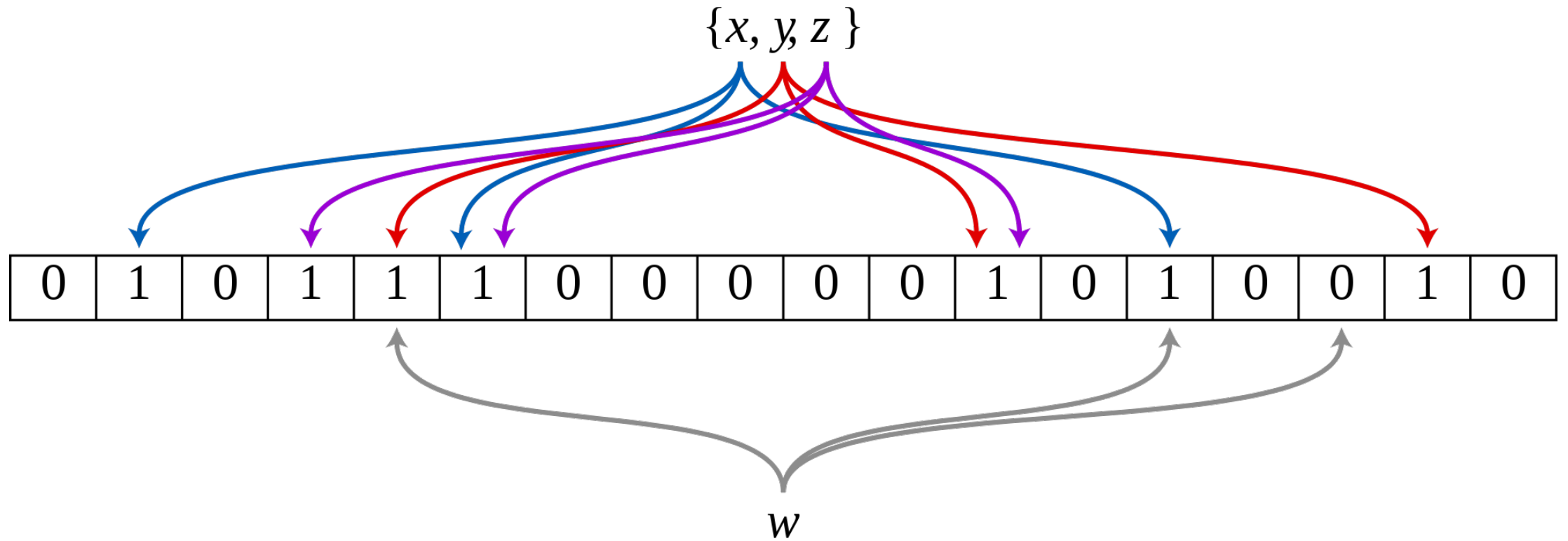
Dynamic subtype tests often fail

But fast path is optimized for successful tests 😞

Can we fail fast when linear search is likely?

(with no overhead for the current fast path)

Solution: Bloom Filters



Fail-Fast using Bloom Filters

For each type T:

$\alpha(T) := k$ distinct integers, chosen randomly from $[1..m]$

$\beta(T) := \alpha(T) \cup \alpha(S_1) \cup \alpha(S_2) \cup \dots \cup \alpha(S_n)$

where S_1, S_2, \dots, S_n are all the (transitive) super-types of T

Invariant:

$$T <: S \Rightarrow \alpha(S) \subseteq \beta(T)$$

X

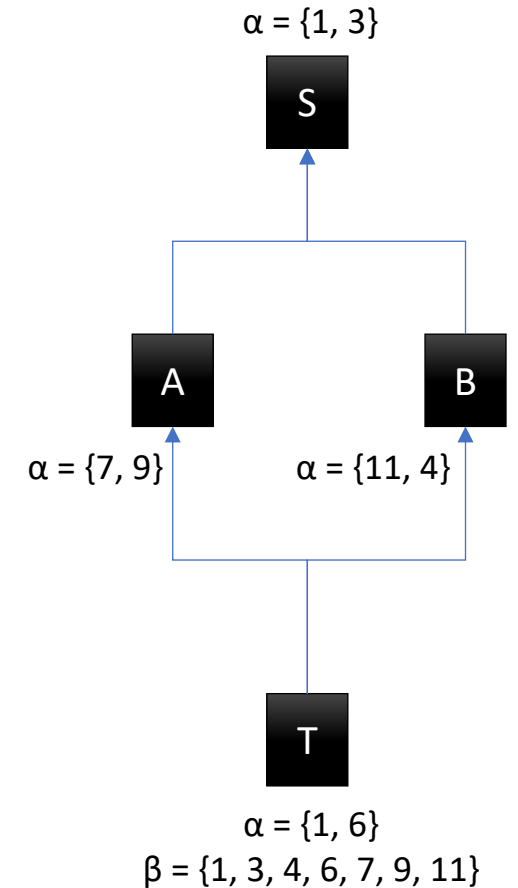
$\alpha = \{5, 8\}$

X <: T? **No**

Y

$\alpha = \{7, 11\}$

Y <: T? **Maybe**



Fail-Fast using Bloom Filters

For each type T:

$\alpha(T) := \text{compile_time_random}(\text{parity}=k) \quad // \text{ m-bit integer}$

$\beta(T) := \alpha(T) \mid \alpha(S_1) \mid \alpha(S_2) \mid \dots \mid \alpha(S_n)$

where S_1, S_2, \dots, S_n are all the (transitive) super-types of T

Invariant:

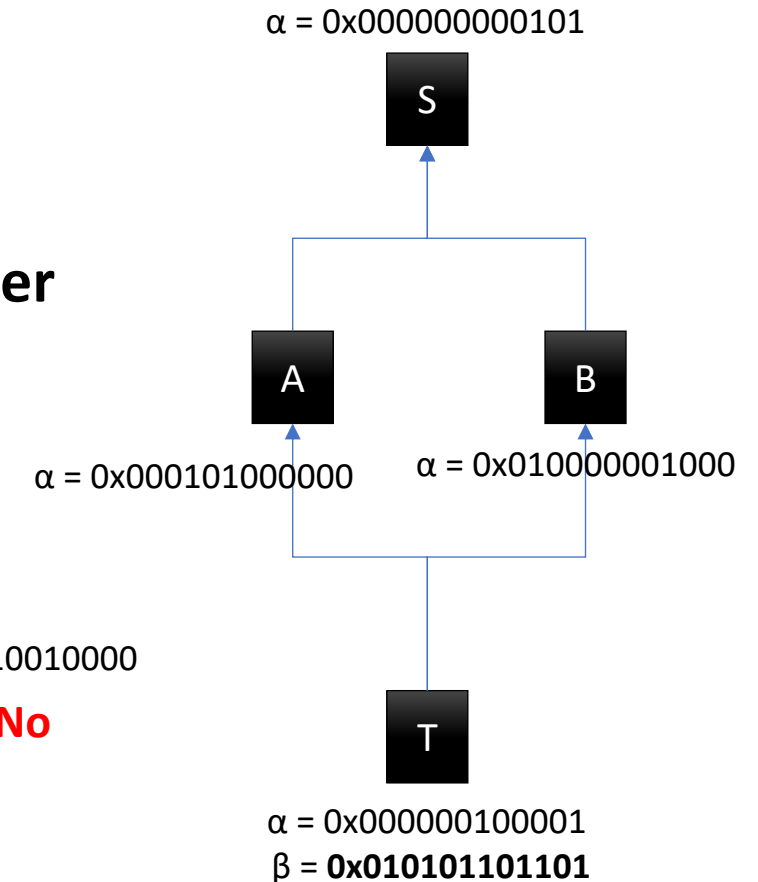
$T <: S \Rightarrow \alpha(S) \& \beta(T) = \alpha(S)$

X
 $\alpha = 0x000010010000$

X <: T? **No**

Y
 $\alpha = 0x010001000000$

Y <: T? **Maybe**



Fail-Fast using Bloom Filters

```
// is object `o` an instance of type `T`?  
boolean fail_fast_instanceof(S o, type T) {  
    if (type(o).beta & T.alpha != T.alpha) {  
        return false; Worst-case only when false positive in bloom filters  
    } else {  
        return slow_instanceof(o, T); // linear scan  
    }  
}
```


Choosing parameters

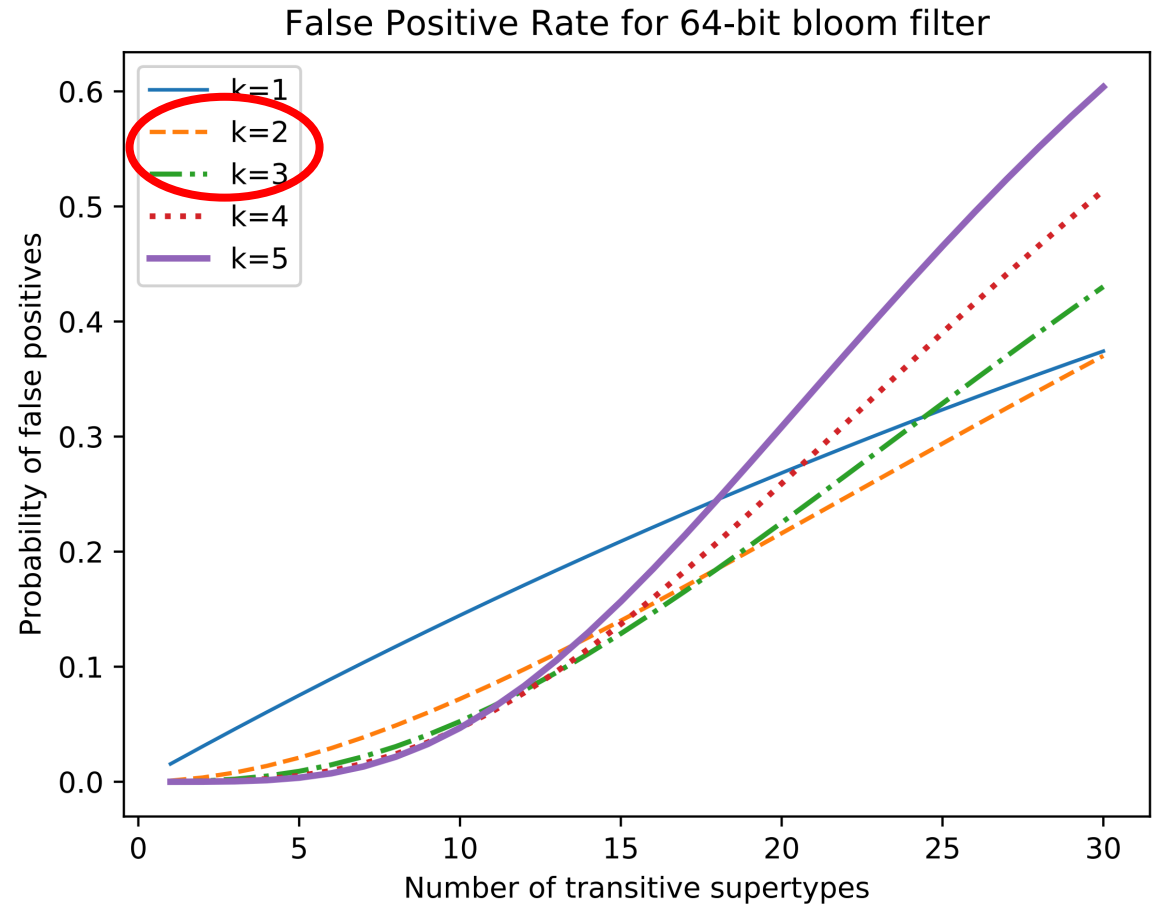
m = size of machine word

k = **parity ??**

n = num. of transitive supertypes

False positive rate:

$$p = \left(1 - e^{-\frac{k \times n}{m}}\right)^k$$



Preliminary Evaluation (JVM HotSpot)

```
class Foo extends Bar implements Baz, Qux {
```

```
    /* members of Foo */  
}
```

Preliminary Evaluation (JVM HotSpot)

```
class Foo extends Bar implements Baz, Qux {  
    public static final long __alpha__  
        = FailFast.genAlpha();  
  
    public static final long __beta__  
        = Foo.__alpha__ | Bar.__beta__ |  
          Baz.__beta__ | Qux.__beta__;  
  
    @Override public long __getBeta__() {  
        return Foo.__beta__;  
    }  
  
    /* members of Foo */  
}
```

Preliminary Evaluation (JVM HotSpot)

```
obj match {  
  case x:A => x.method_on_A()  
  case y:B => y.method_on_B()  
  case z:C => z.method_on_C()  
  ...  
}
```

Compile to JVM



```
if (obj instanceof A) {  
  A x = (A) obj;  
  x.method_on_A();  
} else if (obj instanceof B) {  
  B y = (B) obj;  
  y.method_on_B();  
} else if (obj instanceof C) {  
  C z = (C) obj;  
  z.method_on_C();  
} ...
```

Preliminary Evaluation (JVM HotSpot)

```
if(o instanceof T){...}
```



Rewrite if T is a secondary type

```
if (o.__getBeta__() & T.__alpha__ == T.__alpha__  
&& o instanceof T) { ... }
```

Preliminary Evaluation (JVM HotSpot)

Benchmark	Baseline [4]		With Fail-Fast		
	Time	Worst Case	Time	Speedup	Worst Case
Single Negative	51.458 ± 0.126 ns	100%	35.663 ± 0.092 ns	1.44×	0%

```
if(o instanceof T){...}
```

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	Time	Worst Case	Time	Speedup	Worst Case
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```
obj match {  
  case x:A => x.method_on_A()  
  case y:B => y.method_on_B()  
  
}
```

```
trait Base  
trait A extends Base { def method_on_A(): Int }  
trait B extends Base { def method_on_B(): Int }
```

```
object objA extends traitA { ... }  
object objB extends traitB { ... }
```


obj = chooseRandom({objA, objB})

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5-Random Case Match	75.090 ± 0.226 ns	67%	47.550 ± 0.130 ns	1.58×	0%

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obj match {  
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  case y:B => y.method_on_B()  
  case z:C => z.method_on_C()  
  case u:D => u.method_on_D()  
  case v:E => v.method_on_E()  
}
```

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trait B extends Base { def method_on_B(): Int }  
...  
object objA extends traitA { ... }  
object objB extends traitB { ... }  
...  
obj = chooseRandom({objA, objB, ...})
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10-Random Case Match	116.031 ± 0.582 ns	82%	50.722 ± 0.228 ns	2.29×	0%

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  case z:C => z.method_on_C()  
  case u:D => u.method_on_D()  
  case v:E => v.method_on_E()  
  ...  
  case q:H => q.method_on_H()  
}
```

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trait B extends Base { def method_on_B(): Int }  
...  
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10-Random Case Match	116.031 ± 0.582 ns	82%	50.722 ± 0.228 ns	2.29×	0%
10-Random Cases + 10-Type Noise	143.057 ± 0.424 ns	82%	52.286 ± 0.205 ns	2.74×	9%

```
obj match {  
  case x:A => x.method_on_A()  
  case y:B => y.method_on_B()  
  case z:C => z.method_on_C()  
  case u:D => u.method_on_D()  
  case v:E => v.method_on_E()  
  ...  
  case q:H => q.method_on_H()  
}
```

```
trait Base extends N1, N2, N3, ... N10  
trait A extends Base { def method_on_A(): Int }  
trait B extends Base { def method_on_B(): Int }  
...  
object objA extends traitA { ... }  
object objB extends traitB { ... }  
...  
obj = chooseRandom({objA, objB, ...})
```



Summary

Dynamic subtype tests often fail (in some workloads)

Worst-case linear search occurs (in production VMs)

Bloom filters can enable fail-fast refutations (high probability)

expected constant time + constant space + multiple inheritance + open hierarchy

