Myoelectric Untethered Robotic Glove Enhances Hand Function and Performance on Daily Living Tasks after Stroke

A. Yurkewich,^{1,2,5} I. J. Kozak,¹ A. Ivanovic,³ D. Rossos,³ R. H. Wang,^{1,4} D. Hebert,^{1,4} A. Mihailidis^{1,4*}

Abstract

Introduction: Wearable robots controlled using electromyography could motivate greater use of the affected upper extremity after stroke and enable bimanual activities of daily living to be completed independently.

Methods: We have developed a myoelectric untethered robotic glove (My-HERO) that provides five-finger extension and grip assistance.

Results: The myoelectric controller detected the grip and release intents of the 9 participants after stroke with 84.7% accuracy. While using My-HERO, all 9 participants performed better on the Fugl-Meyer Assessment-Hand (8.4 point increase, scale out of 14, p<0.01) and the Chedoke Arm and Hand Activity Inventory (8.2 point increase, scale out of 91, p<0.01). Established criteria for clinically meaningful important differences were surpassed for both the hand function and daily living task assessments. The majority of participants provided satisfaction and usability questionnaire scores above 70%. Seven participants desired to use My-HERO in the clinic and at home during their therapy and daily routines.

Conclusions: People with hand impairment after stroke value that myoelectric unterhered robotic gloves enhance their motion and bimanual task performance and motivate them to use their muscles during engaging activities of daily living. They desire to use these gloves daily to enable greater independence and investigate the effects on neuromuscular recovery.

Keywords: Wearable Robotics, Exoskeletons, Soft Robotics, Stroke, Hand Therapy, Activities of Daily Living, Rehabilitation, Assistive Technology

1 Introduction

Wearable robots are advancing and merging the fields of rehabilitation and assistive technology. These tools help occupational and physical therapists and people with motor impairments to practice a wider selection of functional movements in more diverse environments, thereby making therapy more intensive, efficacious,

²Institute of Biomaterials and Biomedical Engineering, University of Toronto, Canada. 8 engaging and transferable to peoples' personal
9 rehabilitation goals. The "always-available" assistance
10 provided by wearable robots could also immediately
11 eliminate barriers to living independently. With robot
12 use, we can reduce the perceived mental and physical
13 effort required to use affected limbs, so the user performs
14 more activities of daily living (ADLs) independently and

 ⁴Department of Occupational Science and Occupational Therapy, University of Toronto, Canada.
 ⁵Bioengineering, Imperial College London, United Kingdom Corresponding author: Aaron Yurkewich, Imperial College London, SW7 2AZ, United Kingdom. Email: a.yurkewich@imperial.ac.uk

^{* 1}University Health Network–Toronto Rehabilitation Institute-KITE, Canada.

³Faculty of Applied Science and Engineering, University of Toronto, Canada.

17 Efficacy of Assistive Wearable Hand Robots

Five percent of the population has difficulty lifting and 18 grasping everyday objects like a bag of groceries, a cup 19 or a pencil, which amounts to 19.9 million people in the 20 United States alone (1). Rigid and soft robotic orthoses 21 are being developed to assist their arms and hands to 22 perform these and additional upper extremity tasks 23 independently (2,3). For people with hand paralysis after 24 spinal cord injury, Soekadar et al. (4) showed that a 25 wheelchair-mounted robotic hand exoskeleton controlled 26 using electroencephalography and electrooculography 27 enabled people with limited grip strength after spinal cord 28 injury to perform better on unimanual upper extremity 29 assessments of daily living tasks using the Toronto 30 Rehabilitation Institute – Hand Function Test (5,6). 31 Cappello et al. (7) showed similar performance on this 32 assessment once the objects were interposed in the hand, 33 using a pneumatically-actuated robotic glove that was 34 tethered to rigid components on a table and was 35 controlled by the researcher. For people with hand 36 impairment following stroke, hand extension assistance 37 is critical since the hand is often clenched in a fist. After 38 stroke, people often use walkers or canes instead of 39 wheelchairs or do not use mobility aids at all, so 40 untethered systems are more suitable. Peters et al. (8) 41 showed that a rigid-joint, myoelectric, untethered, elbow-42 wrist-finger orthosis could enhance performance on four 43 daily living tasks specifically chosen to match the 44

device's capabilities, if the user has finger extension and 45 low tone and spasticity. The system also surpassed 46 criteria for clinically meaningful important differences on 47 the Fugl-Meyer Assessment of Upper Extremity (FMA-48 UE) function. Recently, untethered robotic gloves with 49 soft and rigid components have been found to enhance 50 performance on a small set of grasping and lifting daily 51 living tasks for people after stroke, even if the user has 52 high tone and spasticity and no finger extension (9-11). 53 However, the effectiveness of the assistance provided by 54 wearable robots needs further evaluation with people 55 with varying levels of hand impairment after stroke using 56 a standardized set of daily living tasks. 57

58

59 Detecting Intent to Move the Hand after Stroke

People with severe hand impairment after stroke can 60 regain hand function by using their affected hand 61 throughout their therapy and daily routines (12). 62 However, the affected hand is generally unused because 63 even with intense effort, using the hand results in tasks 64 being performed slowly and with low quality. As a result, 65 people adapt by performing tasks one-handed, requesting 66 caregiver assistance or avoiding tasks altogether. With 67 robotic assistance, the level of effort could be reduced 68 and task performance could be improved. Concurrently, 69 it is important for the user to initiate the proper muscle 70 and movement patterns to stimulate motor learning and 71 neuroplasticity (13-16). Thresholds, linear discriminant 72 analysis, decision trees and support vector machines have 73 been used to detect the intents of people after stroke to 74

extend their hand and grasp objects from their finger 75 motion, force and electromyography (EMG) signals (17-76 22). These studies have found mixed results about the 77 possibility of accurately detecting hand 78 openingextension, multiple grasp postures and individual 79 finger flexion, hand closing and multiple grasps 80 accurately for people with severe hand impairment after 81 stroke. However, their grip signal (i.e. mass hand flexion) 82 is often detectable through forearm flexor EMG and 83 thumb flexion force measurement (20)., yet these studies 84 suggest that the grip signal is often detectable. For people 85 with elenched hands after stroke, EMG may be a more 86 suitable control input than force sensors. EMG may be 87 preferred because the large extension forces required to 88 open their hands would not generate high 89 electromyography signals unless a spastic response is 90 provoked. However, tone and spasticity would generate 91 high force readings on flexion force sensors that could 92 falsely trigger grip assistance. Previous studies have yet 93 to evaluate how well people with no finger extension after 94 95 stroke can use EMG signals to control a robotic glove's hand extension and grip assistance during a number of 96 daily living tasks. By creating untethered robotic gloves 97 and integrating them with easy to use myoelectric 98 controllers, we can provide people after stroke with a tool 99 for rehabilitating the upper extremity while performing 100 daily routines more independently. By evaluating the 101 system with people after stroke in daily living tasks, we 102 103 can provide them and their therapists with guidance on the optimal use cases and motivate future experiments in 104

novel therapy programs, environments and populationswith hand impairment.

107

108 Organization of this Article

109 In the Materials and Methods section, we describe the participant inclusion criteria, the study protocol, and the 110 novel untethered robotic glove and its myoelectric 111 calibration and control algorithm that were designed 112 specifically for people with severe hand impairment after 113 stroke. We then describe the participant inclusion criteria 114 and the study protocol. In the Results section, we report 115 how well people after stroke performed standardized 116 assessments of hand function and daily living tasks with 117 and without the myoelectric untethered robotic glove. We 118 report their usability feedback following these trials. We 119 provide a dataset of forearm EMG, acceleration and 120 orientation recordings from people with severe hand 121 impairment after stroke while performing grasp tasks and 122 daily living tasks to support the research community in 123 designing robotic gloves and control algorithms. 124 125

126 Materials and Methods

127 Participants

- 128 A convenience sample of people in the chronic phase
- 129 after stroke was recruited by therapist referral.
- 130

131 Inclusion Criteria

132 • People over 6 months post-stroke



Figure 1. My-HERO, the myoelectric untethered robotic glove. (Top Left) My-HERO provides five-finger extension and grip assistance and supports the wrist. (Top Right) My-HERO consists of an open-palm glove and foldable wrist brace secured in place with two Velcro straps. (Bottom) The two linear actuators mounted to the wrist brace attach to adjustable cable tie tendons for finger extension assistance and wire tendons sewn into the glove for grip assistance (highlighted in yellow in the top right figure). Mounted to the wrist brace is a 9V battery and a Bluetooth microcontroller that communicates with an eight-channel electromyography armband that is used to detect the user's intent.

Chedoke-McMaster Stroke Assessment (CMSA) Stage of Hand (23) between 1 and 4, inclusive
(moderate to severe hand impairment)
Participant produces the required EMG output to
synchronize the EMG armband with the computer
My-HERO: Myoelectric Untethered Robotic

Glove

141

- 142 *Mechatronics Design*
- The Myoelectric untethered Hand Extension and grip 143 Robot Orthosis (My-HERO) is shown in Figure 1. My-144 HERO is a battery-powered, untethered, robotic glove 145 that senses the user's intent to grasp or release objects 146 from their forearm EMG signals. My-HERO uses one 147 dorsal and one palmar linear actuator (Actuonix, L12-R, 148 149 210:1, 80 N max force, 50 mm stroke length) to exert mechanical forces on all five fingers to assist hand 150

extension (i.e. five-finger extension and thumb 151 abduction) and grip strength (i.e. five-finger flexion and 152 thumb opposition and adduction). The first version, 153 HERO Glove, showed that the actuator and cable tie 154 tendon mechanism increases finger extension for both 155 flaccid and clenched hands and the open palm design can 156 be donned by people with flaccid and clenched hands 157 after stroke (3). A wrist brace and a linear actuator on the 158 palmar side of the forearm were added for the second 159 version, the HERO Grip Glove, which enabled people 160 after stroke to extend their fingers fully and then grip a 161 water bottle, wooden block, a fork and a pen more 162 securely (9). Assessments showed participants were 163 'more or less satisfied' with the HERO Grip Glove's 164 usability. The most requested improvements were for a 165 more accurate control mode that did not require use of the 166 unaffected hand, flexion assistance for all five fingers and 167 stronger grip force, especially for small diameter objects 168 like pens and forks. 169

170

171 My-HERO addresses these requests by:

Adding wire tendons for ring and little finger flexion
and thumb adduction to provide palm curvature and
greater grip force

Integrating an untethered EMG recording device and
a myoelectric calibration and control algorithm with
the robotic glove for muscle-initiated assistance

My-HERO uses the same foldable wrist brace designas the HERO Grip Glove, so that the wrist is supported

and then each individual finger can be used for flaccid 182 and clenched hands (9). The battery pack (9V Energizer 183 Lithium battery) and Bluetooth-enabled microcontroller 184 (tinyTILE Intel Curie) areis relocated to the proximal end 185 of the wrist brace for improved aesthetics and to reduce 186 187 the arm torque required to lift the glove. A size medium glove is used to provide better fit on the thumb. Right and 188 left-handed robotic gloves were manufactured. The total 189 weight of My-HERO (consisting of the Thalmic Labs 190 Myo Armband and the robotic glove with the battery 191 included) is 377g. The armband's EMG, acceleration and 192 orientation data are transmitted through Bluetooth to a 193 194 laptop computer at 200Hz to create a dataset of stroke participants' forearm muscle and motion signals during 195 hand function assessments and daily living tasks. The 196 computer detects the user's intent from the EMG data. 197 The computer and uses Cloud and Bluetooth protocols to 198 communicate with the on-board microcontroller, which 199 communication to commands the robotic glove actuators 200 201 to move to a fully extended or fully retracted position using a 50Hz pulse-width modulation signal, with a delay 202 less than 0.5 seconds. The software for the computer 203 program, app and glove is available in the Supplementary 204 Materials. 205

and the same donning technique of inserting the thumb

206

181

207 Myoelectric Control

The myoelectric control algorithm calibrates automatically, then detects the user's intent to grasp or release and commands My-HERO to assist grip or 211 extension. The myoelectric control algorithm, shown in
212 Figure 2, was motivated by a previous study with a
213 robotic glove where people with limited or no finger
214 extension after stroke generated EMG signals while
215 grasping objects (23).

There were three major challenges in designing an 216 217 appropriate myoelectric control scheme. First, people without active finger extension after stroke often generate 218 no observable EMG signal while attempting to extend 219 their fingers. For example, EMG signals collected from 220 our study participants are shown in Supplementary 221 Figure S1. Second, their arm motions generate large 222 EMG signals on multiple armband channels, so reaching 223 for an object would trigger the glove to close before the 224 user could accurately position their hand around it. Third, 225 maintaining a grip EMG signal for more than five 226 seconds was fatiguing, causing users to drop objects 227 midair. 228

The proposed myoelectric algorithm resolves the 229 challenges discussed above. Throughout calibration and 230 231 robot control, an electrode-specific moving averaging 232 filter with a window of 250ms (i.e. summing the absolute values of 50 consecutive data points) was used, as in (20). 233 Inertial measurement unit (IMU) data was not used. The 234 user is seated at a table with their affected forearm and 235 hand resting on the table. They are asked to follow an 236 automated set of text instructions, which display 237 consecutively on the computer screen for 10 seconds. The 238 instructions were also read aloud and demonstrated by a 239 researcher because the user interface was not optimized 240

241	for visual, cognitive or other impairments. The fFirst on-
242	screen instruction is for , the user is asked to "relax
243	yourtheir arm and hand" and the following instructions
244	are "-for 10 seconds, then-lift yourtheir arm and relax
245	yourtheir hand"-for 10 seconds, then and "lift yourtheir
246	arm and make a fist"-for 10 seconds. Users were free to
247	choose how they lifted their forearm off of the table,
248	regardless of if this included shoulder internal rotation or
249	elbow flexion. The last 5 seconds of data under each
250	condition are averaged to <u>automatically</u> find the electrode
251	most sensitive to hand gripping relative to arm motion
252	(Hand Channel), the electrode most sensitive to arm
253	motion (Arm Channel) and the corresponding thresholds



Figure 2. Myoelectric calibration and control algorithm used to control My-HERO. Users activate their forearm flexor muscles to trigger grip assistance and relax their hand and arm to trigger hand extension. In this way, people after stroke without forearm extensor muscle activation or selective activation of forearm flexor muscles can grasp and release objects of a variety of shapes and sizes.

- for arm relaxation (Arm Rest Threshold), and hand 254 relaxation (Hand Rest Threshold) and hand grasping 255 (Grip Threshold). To trigger hand extension assistance, 256 To control My-HERO the user relaxes their shoulder, 257 elbow and hand muscles so that the EMG signals on the 258 Arm Channel and Hand Channel are below the Arm Rest 259 Threshold and Hand Rest Threshold. to trigger hand 260 extension assistance and attempts to grasp an object tTo 261 trigger grip assistance, the user attempts to grasp an 262 object so that the EMG signal on the Hand Channel 263 increases above the Grip Threshold. The user can keep 264 hold of the object in two ways: by maintaining a small 265
- hand EMG signal or by keeping their lifting their arm 266 lifted. To release the object the user again relaxes their 267 shoulder, elbow and hand muscles. Moving the arm 268 without attempting to grasp does not trigger grip 269 assistance. Powering My-HERO off and then back on 270 retracts both actuators, bringing the robot to its slack 271 position. 272 Our control algorithm does not require the Myo 273 armband to be positioned in a specific orientation, but for 274 the purpose of creating a usable dataset with standardized 275 conditions the armband was positioned so its illuminated 276
- 277 logo was centered on the dorsal side of the forearm with

the horizontal light closest to the distal end. The Myo 278 armband enters sleep mode and stops recording data if the 279 user does not synchronize it within one minute of putting 280 it on. The study participants were able to produce the 281 EMG output required to synchronize with the armband 282 by activating their flexor synergy for approximately five 283 seconds. Two additional participants (P10 and P11) with 284 a CMSA - Stage 1 of Arm and CMSA - Stage 1 of Hand 285 were recruited but excluded from this study because the 286 Myo Connect software did not recognize their attempts to 287 they could not synchronize the armband. 288

289

290 Study Procedures

291 <u>Participants</u>

292 <u>A convenience sample of people in the chronic phase</u>
293 <u>after stroke was recruited by therapist referral.</u>

294

295 <u>Inclusion Criteria</u>

296 • People over 6 months post-stroke

297 Chedoke-McMaster Stroke Assessment (CMSA) –
 298 Stage of Hand (24) between 1 and 4, inclusive
 299 (moderate to severe hand impairment)

300 <u>Participant produces the required EMG output to</u>
 301 <u>synchronize the EMG armband with the computer</u>
 302

303 Study Design

This study was approved by the University Health Network Institutional Review Board #16-6198. The study used a pre-post crossover design. The authors administered the study methods for all stroke participantsafter being trained by an occupational therapist.

Each participant provided informed consent to 309 participate in the study. Each participant completed the 310 Fugl-Meyer Assessment-Hand (FMA-Hand) (25) and 311 the Chedoke Arm and Hand Activity Inventory (CAHAI-312 313 13) (26) to evaluate how well they could perform standardized hand function and daily living task 314 assessments with and without My-HERO. The 315 participants were randomized so that half completed the 316 FMA-Hand and CAHAI-13 assessments using the glove 317 and then without wearing the glove and the other half 318 completed these assessments first without wearing the 319 320 glove, to minimize training and fatigue biases. Each assessment was administered and scored by the authors 321 during the study and the scores were reviewed for 322 correctness using the video recordings. No training 323 period was completed prior to these assessments. The 324 Quebec User Evaluation of Satisfaction with Assistive 325 Technology Version 2.0 (QUEST) (27) and Usefulness, 326 327 Satisfaction and Ease of Use questionnaire (USE) (28) were completed by the participants directly after using 328 My-HERO to reduce memory effects. The participants 329 were scheduled on a day when they did not have therapy. 330 The study was a single session of 2 hours. 331

332

333 Outcome Measures Descriptions

The FMA-Hand is a standardized assessment comprised of seven hand motions or grasps, each scored as 0 (unable to perform), 1 (partially performs) or 2 (fully

performs), for a total score out of 14 (25). The seven tasks 337 evaluate how well the participant is able to flex their hand 338 from an extended position, extend their hand from a 339 flexed position, demonstrate a hook grasp, and forcefully 340 grasp paper, a pencil, a small can and a tennis ball using 341 key, tripod, cylindrical and spherical grips. This 342 assessment was chosen because it evaluates how well the 343 glove's assistance immediately remediates impaired hand 344 function. The FMA-UE was not used because it could not 345 be completed for each participant within their study 346 session timeframe. The FMA-Hand has been used in 347 stroke rehabilitation studies to measure hand function 348 pre- and post-therapy and with and without robotic 349 350 assistance (8,29,30).

The FMA-Hand was also used in this study to assess 351 how well people after stroke were able to control the 352 glove to apply finger extension and grip assistance. For 353 each of the seven tasks, the researcher verbally 354 commanded the participants to grip, hold the grip and 355 then relax their hand. The audio-visual recordings were 356 357 synchronized with the computer's data recordings and the 358 time that elapsed between the verbal command and the glove's motors initiating assistance was recorded as the 359 intent detection time. 360

The CAHAI-13 is a stroke-specific standardized assessment comprised of 13 bimanual daily living tasks, each scored from 1 (affected hand does not contribute in the task) to 7 (the task is performed safely, without modification, assistive devices or aids including My-HERO, and within reasonable time), for a total score out

of 91 and a minimum score of 13 (26). The thirteen tasks 367 evaluate how well the affected arm and hand contribute 368 to opening a jar, using a telephone, drawing a line with a 369 pencil and ruler, pouring a glass of water, wringing out a 370 washcloth, doing up five buttons, drying their back with 371 a towel, putting toothpaste on a toothbrush, cutting with 372 373 a fork and knife, using a zipper, cleaning eyeglasses, picking up a container and carrying a weighted bag. This 374 assessment was chosen because it evaluates how well the 375 glove enables people after stroke to incorporate their 376 affected upper extremity into daily living tasks that they 377 practice during therapy and may perform with My-HERO 378 when using it at home. For cleanliness and safety, the 379 380 washcloth was not wetted, the container was empty, and the weighted grocery bag was instructed to be grasped 381 and lifted from the floor to the table by the affected hand 382 but not carried up any stairs. 383

The QUEST is a standardized questionnaire that is 384 comprised of 12 Likert scale questions, each scored from 385 1 (not satisfied at all) to 5 (very satisfied) (27). In this 386 387 study, 8 of the 12 questions were used since the other 4 questions apply to services provided with an assistive 388 device. This usability assessment was chosen because its 389 feedback directly informs engineering specifications (i.e. 390 dimensions, weight), directly assesses ease of use, 391 comfort and effectiveness and requires the user to select 392 393 the most important satisfaction items.

The USE is a standardized assessment comprised of 30 questions each scored from 1 (strongly disagree) to 7 (strongly agree) and is used to understand stroke

10

participants' perspectives on the device's usefulness,
ease of use, ease of learning and satisfaction (28).
Additional questions were asked about the stroke
participants' interest in purchasing the device, as in Yap
et al. (10), and about their interest in using the device in
the clinic and at home for exercise and throughout their
daily routines.

```
404
```

405

406 Data Analysis

The Shapiro-Wilk test was used to evaluate if the 407 FMA-Hand, CAHAI-13, QUEST and USE datasets were 408 normally distributed (α =0.05) (7). The participants' 409 summated FMA-Hand, CAHAI-13, QUEST and USE 410 questionnaire scores were all normally distributed so 411 their means are reported and a paired t-test was used to 412 determine if the with glove versus without glove 413 comparisons were statistically significant (α =0.05) 414 (31, 32).415

416

417 **Results**

418 Participants

Nine people with chronic severe hand impairment after
stroke completed the Fugl-Meyer Assessment-Hand
(FMA-Hand) and Chedoke Arm and Hand Activity
Inventory-13 (CAHAI-13) with and without My-HERO.
The participants ranged in age (between 35 to 85 years),
time since stroke (10 months to 34 years) and hemiparetic
side. Each participant could initiate shoulder flexion and

elbow flexion and extension (Chedoke McMaster Stroke 426 Assessment (CMSA)-Stage of Arm 2 to 7, out of 7). Each 427 participant, except P1, could initiate finger flexion and P1 428 had preserved finger flexion reflexes even though he 429 could not move the hand (CMSA-Stage of Hand 2 to 3, 430 out of 7). Six participants (P1, 2, 3, 4, 5, 9) could not 431 432 extend any fingers without assistance and the other three participants could not extend either the thumb or the 433 index finger. Five participants (P2, 3, 4, 5, 8) had 434 clenched hands and considerable flexor tone that resisted 435 passive finger extension. Further details of the 436 participants' demographics and hand and arm function 437 are provided in Table 1. 438

439

440 Accurate Intent Detection using EMG

The intent detection time was specified as the time 441 from the researcher's verbal command for the participant 442 to "grasp" or "release" to My-HERO's activation of grip 443 or extension assistance. My-HERO was 84.7% (SD 10.8) 444 accurate in detecting the users' intent and triggering grip 445 or extension assistance within a five second period after 446 the corresponding verbal command. Five seconds was 447 long enough for the user to process the verbal command, 448 reposition if needed and initiate an intent, but short 449 enough that the robot responded as the user expected and 450 the user did not initiate a second grasp attempt. The 451 average time from the researcher's verbal "grasp" or 452 "release" command to My-HERO's initiation of grip or 453 extension assistance was 21.25s (SD 0.7) and 32.75s (SD 454 1.9). Triggering extension assistance required more time 455

Participant	Time Since Stroke	CMSA- Hand	CMSA- Arm	Affected / Dominant Hand	Gender	Age (Years)
P1	10mo	2	2	R/R	М	48
P2	1yr, 9mo	2	2	R/R	М	52
P3	2yr, 2mo	2	2	L/R	М	65
P4	3yr, 10mo	2	2	L/R	М	59
P5	26yr, 4mo	2	2	L/R	F	71
P6	17yr, 3mo	2	7	L/L	F	50
P7	8yr, 1mo	3	3	L/R	М	58
P8	34yr, 11mo	3	3	L/R	М	85
P9	16yr, 8mo	3	4	L/R	М	35

Table 1. Demographics and hand and arm function of participants after stroke.

Demographics and hand and arm function of participants after stroke. The participants are ordered according to their level of hand function, then arm function, then time since stroke. The Chedoke McMaster Stroke Assessment (CMSA) Stage of Arm (CMSA-Arm) and CMSA-Hand measure the level of motor recovery in the affected arm and hand, each scored on a scale from 1 to 7. Breakdown of the CMSA scoring metric: 1 - flaccid paralysis, 2 - spasticity is present and is felt as a resistance to passive movement, no voluntary movement is present but a faciftory stimulus will elicit the limb synergies reflexly, 3 - spasticity is marked and synergistic movements can be elicited voluntarily, 4 - spasticity decreases and synergy patterns can be reversed if movement takes place in the weaker synergy first, 5 - spasticity wanes, but is evident with rapid movement and at the extremes of range, 6 - coordination and patterns of movement are near normal, 7 – normal.

than grip assistance because the user had to concentrate 456 on relaxing their shoulder, elbow and hand as opposed to 457 initiating their forearm flexors. False positives did not 458 occur often, with grip assistance incorrectly triggered on 459 460 4.3% of the occasions where the participant was instructed to maintain their hand in extension and 461 extension assistance incorrectly triggered on 2.8% of the 462 occasions where the participant was instructed to 463 maintain a grip. Further details on the intent detection 464 accuracy are shown in Table 2. The EMG waveforms and 465 intent predictions from P1 (participant with no active 466 finger flexion or extension) are shown in Figure 3. The 467 EMG, acceleration and orientation dataset for all 468 participants, collected during the FMA-Hand and 469 CAHAI-13 with and without the glove, is available in the 470 471 Supplementary Materials. No objects were released while the arm was lifted, during both the FMA-Hand and 472

CAHAI-13. P5 and P8 were the first two study 473 participants and required manual tuning of the constant 474 values that were added to the myoelectric controller's 475 thresholds prior to the FMA-Hand, since it was difficult 476 477 for them to trigger robot extension otherwise. Similar constant values to those used for P5 and P8 were chosen 478 (i.e. Control thresholds of +30 and +20 in Figure 2) and 479 remained unchanged for the remainder of the study 480 participants. 481

- 482
- 483

484 *My-HERO* Enhances Hand Function and 485 Performance of Daily Living Tasks

All nine participants scored higher on the FMA-Hand while using My-HERO and the average score increase was 8.4 points (SD 2.1, p<0.01). All nine participants surpassed the established clinically meaningful



Figure 3. User intent versus robot assistance during the Fugl-Meyer Assessment-Hand. The myoelectric controller detects the user's intent to grasp and release objects and triggers My-HERO to provide hand grip or extension assistance. This figure shows the timing of the verbal commands given to Participant 1 to grip or release, which signify his intents during the Fugl-Meyer Assessment-Hand tasks. The timing of the robot's initiation of grip or extension assistance and the filtered EMG signal (i.e. summing the absolute values of 50 consecutive signals) from the Hand Channel are shown. This data was used in determining the accuracy of the myoelectric controller's intent detection algorithm. For this participant, nine of ten grip intents and six of ten extension intents were correctly detected within 5 seconds of the verbal command (i.e. ball grasp delayed at 820s; release delayed at 546s, 580s, 628s, 802s) and My-HERO was successfully triggered to assist him during each daily task.

Detti	Intent Detection	Average Time to	Average Time to Detect	False Positives: Grip Triggered
Participant	Accuracy (%)	Detect Grip (s), (SD)	Release (s), (SD)	(%), Extension Triggered (%)
P1	75.0	2.1 2.8, (2.5)	4.1 <u>6.2, (4.1)</u>	<u>0, 0</u>
P2	69.6	2.5 <u>(1.6)</u>	6.4 7.0, (2.4)	<u>0, 8.3</u>
P3	89.5	1.9 <u>2.8 (2.0)</u>	1.3 <u>2.1, (2.2)</u>	<u>0, 0</u>
P4	95.0	1.5 <u>1.8 (0.6)</u>	2.2 2.6, (3.0)	<u>30, 0</u>
P5	100.0	1.5<u>1.8 (1.0)</u>	0.7<u>1.7, (1.2)</u>	<u>0, 0</u>
P6	94.1	0.5<u>0.9 (0.6)</u>	1.2 2.3, (2.5)	<u>0, 0</u>
P7	87.5	0.3 2.1 (2.6)	1.3 2.9, (3.4)	<u>0, 10</u>
P8	75.0	1.3<u>3.2 (2.6)</u>	1.5 <u>3.7, (3.2)</u>	<u>0, 0</u>
P9	76.9	1.9 2.0 (1.4)	3.6 4.5, (4.9)	<u>8.3, 7.1</u>
MEAN (SD)	84.7 (10.8)	1.5<u>2.2</u> (0.7)	<u>2.53.7</u> (1.9)	4.3 (SD 10.0), 2.8 (SD 4.3)

Table 2. Intent detection accuracy of the myoelectric controller.

significant difference threshold of 4.25 points while using 490 My-HERO (33). The Further details of the FMA-Hand 491 results are shown in Table 3. The robot improved mass 492 finger extension for each participant, whether the hand 493 was flaccid or presented with high flexor tone and 494 spasticity. The wrist brace held the wrist in a neutral 495 position. With My-HERO, each participant could extend 496 all five fingers further and hold the paper, pencil and 497 cylinder securely. With My-HERO, eight participants 498 could grasp the ball, but only three could hold the ball 499 securely because the palmar linear actuator and palmar 500

aspect of the wrist brace interfered with holding the ball. 501 502 No participants could create a hook grip with My-HERO because its underactuated extension mechanism extends 503 each finger joint. Only one participant could create a 504 partial hook grip without My-HERO. Without My-505 HERO, most participants could not create the hand 506 extension required for the starting position of any grip 507 task and scored zero on these tasks as a result. The 508 509 participants with clenched hands could hold the paper, cylinder and ball securely once the researcher positioned 510 their fingers over the object; however, this required 511

512 considerable force and the objects could not be released.
513 Further details of the FMA Hand results are shown in
514 Table 3.

All nine participants scored higher on the CAHAI-13 515 while using My-HERO and the average score increase 516 amongst all participants was 8.2 points (SD 6.8, p<0.01). 517 Five of the nine participants surpassed the clinically 518 meaningful important difference threshold of 6.3 points 519 while using My-HERO (26). The CAHAI-13 results are 520 shown in Table 3. With My-HERO, the average 521 performance increased on all thirteen tasks (listed in 522 terms of the affected hand's typical contribution): 523 reaching and grasping the jar, reaching and grasping the 524 phone, grasping, positioning and stabilizing the ruler, 525 holding the cup, holding the washcloth stable while it was 526 twisted, stabilizing the shirt while the buttons were done 527 up, holding the towel end, holding the toothbrush while 528 toothpaste was applied, holding the fork to stabilize food, 529 holding the coat end while the zipper was aligned and 530 pulled, holding and lifting the eyeglasses, holding and 531 532 lifting the container with both hands and holding and lifting the weighted grocery bag with the affected hand. 533 With My-HERO, participants were able to complete an 534 average of 3 (SD 2) additional tasks while incorporating 535 their affected hand and could simply reach out and grasp 536 objects without wrestling them into their clenched hand. 537 Notably, My-HERO enabled six participants to dry their 538 back, five participants to cut food, and two participants to 539 hold a weighted grocery bag, a cup, eyeglasses, clothing 540 and a toothbrush in their affected hand. Both hand 541

extension and grip force assistance were key to 542 performing tasks more independently because with 543 extension assistance the objects did not need to be 544 interposed by the other hand and with grip strength users 545 were able to hold objects that were tugged, twisted and 546 weighted. The affected hand was most often used for 547 grasping, lifting and stabilizing objects, while the other 548 hand was most often used for the task components 549 requiring dexterous finger and wrist manipulation. 550 Performance scores were lowest for opening the heavy 551 and slippery 8.6cm width glass coffee jar, which was the 552 largest diameter object, because participants required 553 greater thumb abduction assistance and control over the 554 555 amount of force applied. Images captured during the FMA-Hand and Further details of the FM-Hand and 556 CAHAI-13-results are shown in Table 3 and Figure 4. 557

558 My-HERO Satisfies Usability Needs of Most 559 Participants after Stroke

Four participants were 'quite satisfied' with My-560 HERO (rating over 80% of scale), three participants were 561 'more or less satisfied' (rating over 60%) and two 562 participants were 'not very satisfied' (rating over 40%), 563 as assessed using QUEST. Weight and safety and security 564 were given average ratings above 80%, ease of use, 565 durability and comfort were given average ratings above 566 70%, and size, ease of adjusting and effectiveness were 567 given average ratings above 60%. Interestingly, the 568 participants had varied opinions on which were the three 569 most important features, with 6 participants selecting 570

- 571 ease of use, 6 selecting effectiveness, 5 selecting comfort,
- 572 3 selecting adjustability and 2 selecting weight.

573 Each participant's overall score, as a percentage, was

574 similar between USE and QUEST. The results from the

575 Further details from the QUEST, USE and additional

questions are shown in Tables 4 and 5. P5 and P8 did not
complete USE because they had reached the end of the
two-hour study period. The average USE rating was 76%
(SD 8.8) and the scores for Usefulness, Ease of Use, Ease
of Learning and Satisfaction all averaged above 70%.
Scores above 70% generally mean that the device will be
accepted in the field (17).

P2 said "*I've never been able to do this*" while holding the toothbrush. P9 wrote that the "*Grip strength is good*. *[I] like the sensor for the nerves*". P6 wrote "*It was like exercise for my hand and finger*. *I liked and enjoyed working with that*".

The participants that were quite satisfied or more or less satisfied with My-HERO (QUEST rating over 60%)-



Figure 4. Daily living tasks performed without and with My-HERO. (Left) Participants performing tasks without My-HERO and (Right) while using My-HERO. (Top) P1 is unable to perform mass hand flexion and mass hand extension unassisted. With My-HERO's assistance, P1 can open and close his hand. (Middle) P2 attempts to apply toothpaste one-handed but the toothbrush tips over. Using My-HERO, P2 is able to hold the toothbrush while applying toothpaste. (Bottom) P3 cannot grip a fork with his affected hand despite numerous attempts to position the fork with the unaffected hand. Using My-HERO, P3 is able to hold the fork and use it to stabilize his food while cutting it with a knife.

Participant	FMA- Hand	FMA-Hand with My-HERO	∆ FMA- Hand	CAHAI-13	CAHAI-13 with My-HERO	Δ CAHAI-13
P1	0	11	11	22	36	14
P2	2	11	9	26	37	11
P3	2	12	10	25	34	9
P4	2	7	5	30	31	1
P5	2	12	10	18	24	6
P6	2	8	6	54	64	10
P7	4	11	7	38	39	1
P8	3	11	8	24	25	1
P9	2	12	10	38	59	21
Mean (SD)	2.1 (1.1)	10.6 (1.8)	8.4 (2.1), p<0.01	30.6 (11.1)	38.8 (13.9)	8.2 (6.8), p<0.01

Table 3. Hand function	and daily living task	assessments with and	l without robot	assistance from	Mv-HERO

Fugl-Meyer Assessment-Hand (FMA-Hand) and Chedoke Arm and Hand Activity Inventory-13 (CAHAI-13) results with and without robot assistance. For the FMA-Hand participants attempted to flex all their fingers to make a fist, then extend all their fingers, then make a hook grasp. Participants then attempted to hold a sheet of paper, a pencil, a cylinder and a ball securely against gravity and then against a tug. Breakdown of the FMA-Hand scoring metric: 0 - not able to complete, 1 - partially able to complete, 2 - able to fully complete; total score out of 14.

For the CAHAI-13, participants attempted to complete thirteen standardized functional activities while attempting to meaninfully incorporate their affected upper extremity. Breakdown of the CAHAI scoring metric: 1 - not able to use affected hand, 2 - able to stabilize the object with the affected hand and complete the task with physical assistance, 3 - able to stabilize and manipulate the object with the affected arm and hand with physical assistance, 4 - all components completed with the affected with the affected with only verbal cueing and help donning additional orthoses, 6 - all components completed without assistance, but with support from assistive devices (e.g. glove), 7 - all components completed safely, quickly, and smoothly; total score out of 91 (minimum of 13).

- 590 They-desired to use My-HERO in a rehabilitation clinic
- 593 and the median cited cost they were willing to pay was
- 591 and at home for exercises and assistance during their
- 594 \$200 CAD. Further details from the QUEST, USE and
- 592 daily routines. They-also desired to purchase My-HERO,
- 595 additional questions are shown in Tables 4 and 5. No

Partici pant	Size	Weight	Ease of Donning	Safe & Secure	Durability	Ease of Use	Comfort	Effective	Overall Average
P1	3	3	3	4	4	3	4	3	3.38
P2	5	5	3	5	5	5	5	4	4.63
P3	5	5	4	5	4	4	4	4	4.38
P4	4	4	5	4	3	4	4	4	4.00
P5	2	3	2	4	3	2	3	2	2.63
P6	2	3	4	4	4	4	4	4	3.63
P7	4	5	4	4	4	4	4	4	4.13
P8	2	5	2	4		2	1	2	2.57
P9	2	3	4	4	2	4	4	3.5	3.31
MEAN (SD)	3.2	4	3.4	4.2	3.6	3.6	3.7	3.4	3.63 (0.73)

Table 4. My-HERO - Quebec User Evaluation with Assistive Technology Version 2.0 (QUEST).

Quebec User Evaluation with Assistive Technology Version 2.0 (QUEST) results. Breakdown of the QUEST Likert-scale questionnaire scores: 1 - not satisfied at all, 2 - not very satisfied, 3 - more or less satisfied, 4 - quite satisfied, 5 - very satisfied.

Table 5. My-HERO - Usefulness, Ease of Use and Satisfaction (USE) and Desire to Use questionnaires.

Partici pant	Useful -ness	Ease of Use	Ease of Learning	Satisfa ction	USE Overall Average	Desire to Use in Clinic	Desire to Use for Home Exercise	Desire to Use for Daily Routines	Desire to Purchase
P1	4.4	4.5	4.8	4.3	4.5	4	6	6	5
P2	4.1	5.6	6.8	6.1	5.5	7	7	7	7
P3	6.1	5.7	5.8	6.6	6.0	7	7	7	6
P4	5.3	4.9	5.0	5.4	5.1	6	7	7	6
P5	n/a	n/a	n/a	n/a	n/a	2	1	2	1
P6	4.1	5.3	7.0	6.0	5.4	7	7	5	7
P7	7.0	4.8	6.8	6.1	6.0	7	7	7	5
P8	n/a	n/a	n/a	n/a	n/a	1	1	1	1
P9	4.4	4.5	5.0	4.6	4.6	6	6	3	3
MEAN (SD)	5.1	5.1	5.9	5.6	5.3 (0.6)	5.2	5.4	5.0	4.6

Usefulness, Ease of Use and Satisfaction (USE) and Desire to Use questionnaire results. The USE questionnaire has 8 questions regarding Usefulness, 11 questions regarding Ease of Use, 4 questions regarding Ease of Learning and 7 questions regarding Satisfaction. Breakdown of the Likert-scale USE questionnaire and additional questions regarding Desire to Use and Desire to Purchase: 1- strongly disagreee, 7- strongly agree. P5 and P8 did not complete the USE questionnaire because the two-hour study period had elapsed.

- 596 apparent differences were seen between participants that
- 597 performed the assessments using the glove first versus
- 598 without the glove first.
- 599 Each participant donned the armband within two
- 600 minutes and the glove within five minutes, with
- 601 assistance from one researcher. Each participant doffed
- 602 the glove and armband independently within 2 minutes.

The participants' main feedback was that the glove should be tailored to the shape and size of the individual's hand, that greater thumb abduction assistance and reduced material at the palm would make it easier to grasp large objects and that providing support for wrist supination would help in properly orienting the hand for a grasp. The participants appreciated that My-HERO was

untethered as they were not wheelchair users and desired 610 to use My-HERO during daily routines. They were 611 satisfied with the ease of use of the myoelectric control 612 algorithm and perceived that this control mode would be 613 useful for exercising and rehabilitating the hand as well 614 as for assistance to incorporate the affected hand in daily 615 routines. After using My-HERO, they were satisfied that 616 the affected hand was now in a more extended and 617 relaxed shape and that they had performed engaging hand 618 exercises where they focused on initiating hand motion. 619 620

621 Discussion

622 This study demonstrates that myoelectric robotic gloves can enable people after stroke to integrate their 623 affected hand meaningfully into daily living tasks and 624 complete more tasks independently. The majority of 625 participants were satisfied with My-HERO, desired to 626 purchase it and found it to be useful and easy to use. Our 627 developments and findings provide therapists and people 628 629 after stroke with exciting opportunities for integrating myoelectric robotic gloves into their rehabilitation 630 programs and daily routines. 631

632 Our novel contributions to the wearable robotics,633 stroke rehabilitation and assistive technology fields are:

My-HERO is a novel untethered robotic glove that
supports the wrist, has no rigid joints, and assists fivefinger extension, five-finger flexion, and thumb
abduction, adduction and opposition

My-HERO integrates this robotic glove with an untethered EMG armband and uses a myoelectric control algorithm that calibrates automatically and uses hand flexion and hand and arm relaxation to enable people without hand extension after stroke to accurately trigger grip and hand extension assistance

645 For the first time:

- Nine people with severe hand impairment after stroke 646 performed standardized assessments of hand function 647 648 (FMA-Hand) and bimanual daily living tasks (CAHAI-13) while using an untethered robotic glove 649 650 . Nine people with severe hand impairment after stroke 651 surpassed clinically meaningful important differences on the FMA-Hand and five surpassed clinically 652 meaningful important differences on the CAHAI-13 653 while using a myoelectric untethered robotic glove 654
- The usability of a myoelectric untethered robotic
 glove was evaluated by people after stroke using
 standardized satisfaction (QUEST) and usability
 (USE) questionnaires
- A dataset of forearm electromyography, acceleration
 and orientation recordings from people with hand
 impairment after stroke was collected during the
 FMA-Hand and CAHAI-13 and is available to help
 advance rehabilitation engineering

664

665

17

People with Severe Hand Impairment after 666 Stroke Find Myoelectric Robotic Gloves Usable 667 This work builds on the research community's efforts 668 designing stroke-specific myoelectric control in 669 algorithms and deploying myoelectric robotic hand 670 orthoses to remediate abilities related to upper extremity 671 impairment. We contribute a controller that can be used 672 by people with severe hand impairment after stroke (i.e. 673 without finger extension) in thirteen daily living tasks 674 which involve standing and sitting and the arm to be lifted 675 and at rest. The controller has a straightforward 676 calibration and implementation for researchers to 677 integrate when testing novel robot designs with people 678 after stroke. Of particular interest, one of our participants 679 was unable to produce finger flexion or extension motion 680 yet was able to control My-HERO. The controller is 681 682 intuitive to use since there was no training period, yet all participants were still able to control My-HERO during 683 each assessment. 684

685 Our myoelectric control algorithm was 84.7% accurate in detecting the grip and release intents of people with 686 limited or no hand extension after stroke. This work 687 provides further evidence to (18,20,21), that showed that 688 people could control a robotic hand orthosis after stroke 689 with 83-85% accuracy using individually calibrated 690 myoelectric control algorithms. We selected the grip-691 relax controller for ease of operation after considering the 692 neuromuscular commonalities amongst our subset of the 693 stroke population. We relied on the glove's jointless 694 actuation mechanism to conform to various object 695

shapes. We hypothesize that the participants understood 696 the control algorithm well, as we observed that they did 697 not hesitate before activating the assistance and quickly 698 corrected intent detection errors by griping stronger or 699 relaxing further. We did not observe increases in EMG 700 activity as My-HERO extended the relaxed hand, which 701 702 provides initial evidence that hand robots do not elicit spastic responses when extending fingers that are flaccid 703 or have high tone. We suspect that the control algorithm's 704 accuracy is not very sensitive to small changes in the 705 thresholds (i.e. $\pm 50\%$ of the constant values chosen) since 706 707 we did not need to modify the constant values after the first two participants. However, we did not evaluate this 708 systematically and improvements in accuracy may be 709 possible using machine learning. Further, our task set did 710 not require movement throughout the entire shoulder, 711 elbow, and wrist workspaces. A task-specific 'arm 712 channel' selection algorithm could improve task 713 performance for participants with arm control (e.g. 714 CMSA- Stage of Arm of 4 and above) and it could be 715 useful to disable the arm lift detection feature until 716 muscle fatigue is detected. Our participants commonly 717 used the robot-assisted hemiplegic hand as the supporting 718 hand, where individual finger motion and grip force 719 modulation is less important for task completion. 720 721 However, combining dexterous robots, sensor fusion from IMU, force, bend and dense electromyography 722 sensors on the forearm and hand, classification 723 algorithms for controlling grasp type and force, and user 724 training programs may enable people with hemiplegic 725

19

hands to perform delicate tasks, in-hand manipulation
and multiple tasks at once (34–37). We contribute a
dataset from extension and flexion motions and daily
living tasks with and without robot-assistance to inform
the open-source development of novel myoelectric
controllers, especially those that incorporate machine
learning and reinforcement learning.

733

Robotic Gloves Enable Independence in DailyLiving Tasks

Our results and supplementary video show how well 736 737 users with stroke-affected shoulders, elbows, wrists and hands performed daily living tasks with and without My-738 HERO. Our stroke participants were quite satisfied with 739 the robotic glove and were able to use their affected arm 740 and hand meaningfully in daily living tasks with it. All 741 participants surpassed established clinically meaningful 742 important difference thresholds on the FMA-Hand. The 743 FMA-Hand improvements were large for all participants 744 since they could not extend their hand to the starting hand 745 postures for the grasp tasks without assistance. This may 746 make My-HERO an engaging and useful tool for whole-747 hand stretching and range of motion exercises to reduce 748 contractures and tone, in comparison to exoskeletons that 749 move only the index and middle finger (8) or the index 750 finger and thumb (4). The majority of participants 751 surpassed clinically meaningful important difference 752 thresholds on the CAHAI-13. The CAHAI-13 score 753 improvements were greatest for participants with higher 754 levels of arm function, yet those with lower levels of arm 755

function were also satisfied with My-HERO, which 756 supports previous observations (9). Further studies are 757 required to compare if My-HERO's underactuated whole 758 hand movement assistance (i.e. finger extension and 759 flexion, thumb abduction, adduction, and opposition, 760 palm curvature) enables people after stroke to grasp more 761 762 everyday objects and use neurotypical grasp postures more often (i.e. grasping a pencil with a tripod grasp, 763 grasping a ball with a spherical grasp), in comparison to 764 devices that actuate only select fingers (4,8,38). The 765 participants that contributed low usability scores had 766 their strokes over 20 years ago. They taught us how they 767 modified tasks similar to the CAHAI-13 when 768 performing them one-handed or with assistance at home. 769 They were interested in using an improved version of the 770 glove if it was affordable, aesthetically pleasing, fully 771 extended all clenched fingers, fully abducted highly 772 toned thumbs, did not obstruct the palm and could be 773 donned independently on clenched hands. The main 774 challenge to independent donning was in inserting a 775 776 toned ring or little finger. This is an open challenge in 777 full-hand robotic orthosis design that may be improved by incorporating Velcro straps as well as by providing 778 donning training to the user and caregiver. Creating a 779 robotic glove that meets all of these requests is an open 780 challenge that robotic glove developers are working 781 toward for people after stroke, muscular dystrophy and 782 spinal cord injury (3,4,7,8,10,13,39-42). Our 783 contribution to these works is a lightweight (377g), 784 myoelectric untethered robotic glove that supports 785

extension and grip for all five fingers and is effective and 786 usable as demonstrated by people with severe hand 787 impairment after stroke on standardized assessments of 788 hand function and performance on thirteen bimanual 789 daily living tasks. These efficacious results motivate 790 independent, multicenter controlled trials to be conducted 791 to validate how well myoelectric untethered robotic 792 gloves enable independence at home and stimulate 793 neuromuscular recovery after stroke. 794

795

796

797

798

799 Conclusions

800 Considerations for Using Robotic Gloves at801 Home as Assistive and Rehabilitation Devices

In future studies, it will be useful to integrate My-802 HERO with other technologies and interventions such as 803 electroencephalography, arm supports, elbow and 804 shoulder exoskeletons and functional electrical 805 stimulation to reach higher performance levels on these 806 daily living tasks and on activities of daily living. To 807 adhere to users' budgets while integrating these 808 additional technologies, new methods for manufacturing, 809 servicing reimbursing distributing, and assistive 810 technologies will need to be created. We intend to 811 integrate My-HERO into rehabilitation studies that are 812 structured in a similar way to constraint-induced 813 movement therapy protocols, but without constraining 814 the unaffected upper extremity (43,44). These therapy 815

protocols will have an in-clinic portion to repetitively 816 practice incorporating the gloved hand into activities of 817 daily living and therapy goals. These protocols will 818 include a transfer package of prescribed home exercises 819 and daily routines to complete using the gloved hand. 820 Home exercises and daily routines incorporating user-821 822 initiated assistance from robotic gloves may enhance the promising recovery effects shown when robotic hand 823 orthoses are used during in-clinic therapy (13–17). 824 Before home studies can take place, we will need to make 825 sure the user has the assistance or capability required to 826 don the glove and we will need to create communications 827 protocols for the EMG signals to command the glove 828 829 without a computer intermediary. We will then be able to study how well people after stroke use My-HERO over 830 multiple days in their home environment, how physical 831 and mental fatigue and individual-specific daily routines 832 affect the myoelectric controller's accuracy, and how 833 well rehabilitation programs that combine therapy and 834 assistive technology enhance engagement, adherence, 835 836 neuromuscular recovery and independence.

837

838 Acknowledgements and Notes

839 Acknowledgements

Special thanks go to the individuals who have experienced a 840 stroke or spinal cord injury who tested and provided insights 841 on My-HERO. Further acknowledgments go to the Intelligent 842 Assistive Technology and Systems Lab (IATSL), Neil Squire 843 Society - Makers Making Change, and occupational therapists 844 Sylvia Haycock, Jaclyn Dawe and Lovely Chaudhary for their 845 recommendations and feedback. Debbie Hebert was a lively 846 and inspiring friend, mentor, educator and researcher that 847 trained clinicians and researchers of many disciplines about 848 849 the principles of occupational therapy and patient-centred care. She was a driving force behind the high-quality research and 850 therapy provided at the University of Toronto and Toronto 851

852 Rehabilitation Institute over the past 41 years and in the years 853 to come. 854

855

- Funding 856
- This work was supported by the University of Toronto, Toronto Rehabilitation Institute (TRI), Natural Sciences and 857
- Engineering Research Council of Canada (NSERC), Canadian 858
- 859
- Partnership for Stroke Recovery (CPSR), <u>European</u> <u>Commission under grant H2020 ICT 871767 REHYB</u>, India 860
- 861 Canada-IMPACTS Networks of Centres of Excellence (NCE)
- 862 and AGE-WELL NCE Inc.

Authors' contributions 864

- AY and IK designed the robotic glove. AY, AI and DR 865
- designed the myoelectric controller and communications 866
- software. AY designed the experiment with suggestions and 867
- feedback provided by IK, AI, DH, RW and AM. AY and IK 868
- conducted the experiment and analyzed and interpreted the 869
- 870 data. AY prepared the manuscript with critical feedback
- provided by IK, DH, RW and AM. IK and AY developed the 871
- video and dataset. All authors approved the final manuscript. 872
- 873

880

863

874 Ethics approval and consent to participate

- The study was approved by the University Health Network 875
- Institutional Review Board and all methods were carried out in 876
- accordance with the approved study protocol. The participants 877
- provided written informed consent before participation and 878
- 879 consented to the publishing of their collected data.

Competing interests 881

The authors declared no potential conflicts of interest with the research, authorship, and/or publication of this article.

Data and materials availability

The following supplementary materials are available at: https://github.com/AYurkewich/My-HERO

- Datasets of EMG, acceleration and orientation recorded during the FMA-Hand and CAHAI-13 assessments
- Python software for recording the Myo armband data and detecting the user's intent
- Android software for communicate between Python and the robotic glove
- Arduino software used on-board the robotic glove
- Supplementary Movie S1 and Supplementary Figure S1

References

882	1.	Bernstein R. Nearly 1 in 5 People Have a Disability
883		in the U.S. US Census Bur Reports. 2012;1–2.
884	2.	Bos RA, Haarman CJW, Stortelder T, Nizamis K,
885		Herder JL, Stienen AHA, et al. A structured overview
886		of trends and technologies used in dynamic hand
887		orthoses. J Neuroeng Rehabil [Internet].
888		2016;13(1):62. Available from:
889		http://jneuroengrehab.biomedcentral.com/articles/10.
890		1186/s12984-016-0168-z
891	3.	Yurkewich A, Hebert D, Wang RH, Mihailidis A.
892		Hand Extension Robot Orthosis (HERO) Glove:
893		Development and Testing with Stroke Survivors with
894		Severe Hand Impairment. IEEE Trans Neural Syst
895		Rehabil Eng. 2019;27(5):916–26.
896	4.	Soekadar SR, Witkowski M, Gómez C, Opisso E,
897		Medina J, Cortese M, et al. Hybrid EEG / EOG-based
898		brain / neural hand exoskeleton restores fully
899		independent daily living activities after quadriplegia.

Sci Robot. 2016;1(1):eaag3296. 900

- 901 5. Paley J, Eva G, Duncan EAS. In-order-to Analysis : 902 an Alternative to Classifying Different Levels of 903 Occupational Activity. Br J Occup Ther. 904 2006;69(4):161-8.
- 905 6.
- Kapadia N, Zivanovic V, Verrier M, Popovic MR. Toronto Rehabilitation Institute-Hand Function Test: 906 Assessment of Gross Motor Function in Individuals 907 With Spinal Cord Injury. Top Spinal Cord Inj 908 909 Rehabil. 2012;18(2):167-86.
- 7. 910 Cappello L, Meyer JT, Galloway KC, Peisner JD, Granberry R, Wagner DA, et al. Assisting hand 911 912 function after spinal cord injury with a fabric-based 913 soft robotic glove. J Neuroeng Rehabil. 2018;15(1):1-10. 914
- 8. Peters HT, Otr L, Page SJ, Otr L, Persch A, Otr L. 915 916 Giving Them a Hand : Wearing a Myoelectric Elbow-917 Wrist-Hand Orthosis Reduces Upper Extremity 918 Impairment in Chronic Stroke. Arch Phys Med 919 Rehabil [Internet]. 2017;98:1821-7. Available from:
- http://dx.doi.org/10.1016/j.apmr.2016.12.016 920 9. Yurkewich A, Kozak IJ, Hebert D, Wang RH,
- 921 922 Mihailidis A. Hand Extension Robot Orthosis (HERO) Grip Glove: Enabling Independence 923 amongst Persons with Severe Hand Impairments after 924 Stroke. J Neuroeng Rehabil. 2020;17(1):1-17. 925
- 926 10. Yap HK, Lim JH, Nasrallah F, Yeow CH. Design and preliminary feasibility study of a soft robotic glove 927 for hand function assistance in stroke survivors. Front 928 Neurosci. 2017;11(OCT):1-14. 929
- 930 11. Gasser BW, Bennett DA, Durrough CM, Goldfarb M. Design and Preliminary Assessment of Vanderbilt 931 Hand Exoskeleton. Int Conf Rehabil Robot. 932 2017;1537-42. 933
- 934 12. Taub E, Uswatte G, Bowman MH, Mark VW, Delgado A, Bryson C, et al. Constraint-induced 935 936 movement therapy combined with conventional neurorehabilitation techniques in chronic stroke 937 patients with plegic hands: A case series. Arch Phys 938 Med Rehabil [Internet]. 2013;94(1):86-94. Available 939 from: http://dx.doi.org/10.1016/j.apmr.2012.07.029 940
- 941 13. Fischer HC, Triandafilou KM, Thielbar KO, Ochoa 942 JM, Lazzaro EDC, Pacholski KA, et al. Use of a Portable Assistive Glove to Facilitate Rehabilitation 943 944 in Stroke Survivors with Severe Hand Impairment. 945 IEEE Trans Neural Syst Rehabil Eng. 946 2016;24(3):344-51.
- 947 14. Bernocchi P, Mulè C, Vanoglio F, Taveggia G, Scalvini S. Topics in Stroke Rehabilitation Home-948 based hand rehabilitation with a robotic glove in 949 hemiplegic patients after stroke : a pilot feasibility 950 951 study. Top Stroke Rehabil [Internet]. 2018;9357:1-6. 952 Available from: 953
 - https://doi.org/10.1080/10749357.2017.1389021 Susanto E, Tong RK, Ockenfeld C, Ho NS. Efficacy 15.
- 954 955 of robot-assisted fingers training in chronic stroke survivors: a pilot randomized-controlled trial. J 956 Neuroeng Rehabil [Internet]. 2015;12(1):42. 957 Available from: 958
- 959 http://www.jneuroengrehab.com/content/12/1/42
- Hu XL, Tong KY, Wei XJ, Rong W, Susanto EA, Ho 960 16. SK. The effects of post-stroke upper-limb training 961 with an electromyography (EMG)-driven hand robot. 962 J Electromyogr Kinesiol. 2013;23(5):1065-74. 963
- 17. Radder B, Prange-Lasonder GB, Kottink AIR, 964 Melendez-Calderon A, Buurke JH, Rietman JS. 965
- Feasibility of a wearable soft-robotic glove to support 966

967		impaired hand function in stroke patients. J Rehabil
968		Med. 2018;(50):598–606.
969	18.	Lee SW, Wilson K, Lock BA, Kamper DG. Subject-
970		specific Myoelectric Pattern Classification of
971		Functional Hand Movements for Stroke Survivors.
972		2012;100(2):130-4.
973	19.	Meeker C, Park S, Bishop L, Stein J, Ciocarlie M.
974		EMG Pattern Classification to Control a Hand
975		Orthosis for Functional Grasp Assistance after Stroke.
976		In: IEEE Intl Conf on Rehabilitation Robotics. 2017.
977	20.	Park S, Meeker C, Weber LM, Bishop L, Stein J,
978		Ciocarlie M. Multimodal Sensing and Interaction for
979		a Robotic Hand Orthosis. IEEE Robot Autom Lett.
980		2018;1–8.
981	21.	Lu Z, Tong K, Zhang X, Li S. Myoelectric Pattern
982		Recognition for Controlling a Robotic Hand : A
983		Feasibility Study in Stroke. IEEE Trans Biomed Eng.
984		2019;66(2):365–72.
985	22.	Polygerinos P, Galloway KC, Sanan S, Herman M,
986		Walsh CJ. EMG controlled soft robotic glove for
987		assistance during activities of daily living. IEEE Int
988	22	Cont Rehabil Robot. 2015;55–60.
989	23.	Yurkewich A, Kozak IJ, Hebert D, Wang RH,
990		Mihailidis A. Do Stroke Survivors Activate Their
991		Muscles to Supplement the Hand Extension Robot
992		Orthosis (HERO) Glove's Assistance. Rehab Week.
993	24	2019; Miller D. Heilbrertz M. Dermann C. Streen C. Termania
994	24.	Willer P, Huijbregis M, Barreca C, Susan G, Torresin
995		w, Moreland J, et al. Chedoke-Wicklaster stroke
990		assessment—Development, valuation and
997		Soi Hamilton ON Canada Tash Bon 2nd Ed
998		[Internet] 2008: Available from:
1000		https://www.sralab.org/sites/default/files/2017
1000		07/CMSA Manual and Score Form pdf
1001	25	Page SL Levine P. Frinn Hade, Psychometric
1002	23.	Properties and Administration of the Wrist/Hand
1005		Subscales of the Fugl-Meyer Assessment in
1005		Minimally-Impaired Upper Extremity Hemiparesis in
1006		Stroke. Arch Phys Med Rehabil. 2012:93(12):2373–
1007		6.
1008	26.	Miller P. Masters L. (Kelly) Gowland C. Barreca S.
1009		Griffiths J, Dunkley M, et al. Development of the
1010		Chedoke Arm and Hand Activity Inventory:
1011		Theoretical Constructs, Item Generation, and
1012		Selection. Top Stroke Rehabil. 2005;11(4):31–42.
1013	27.	Demers L, Weiss-lambrou R, Ska B. The Quebec
1014		User Evaluation of Satisfaction with Assistive
1015		Technology (QUEST 2.0): An overview and recent
1016		progress. Technol Disabil. 2002;14:101-5.
1017	28.	Lund AM. Measuring Usability with the USE
1018		Questionnaire. Usability interface. 2001;8.2:3–6.
1019	29.	Sanford J, Moreland J, Swanson LR, Stratford PW,
1020		Gowland C. Reliability of the Fugl-Meyer
1021		Assessment for Testing Motor Performance in
1022		Patients Following Stroke. Phys Ther. 1993;73(7):36–
1023	20	
1024	30.	Inielbar KO, Triandatilou KM, Fischer HC, Toole
1025		JMO, Corrigan ML, Ochoa JM, et al. Benefits of
1026		Using a Voice and EMG-Driven Actuated Glove to
1027		Support Occupational Inerapy for Stroke Survivors.
1028		IEEE I rans Neural Syst Kenabil Eng.
1029	31	2017,23(3):277-303. Warmbrod ID Departing and Intermeting Secret
1030	51.	Mainford from Likert type Scoles LAgrie Educ
1031		2014.55(5).30_47
1032		2011,00(0).00 7/.

1033	32.	Xiloyannis M, Chiaradia D, Frisoli A, Masia L.
1034		Physiological and kinematic effects of a soft exosuit
1035		on arm movements. J Neuroeng Rehabil.
1036		2019:16(29):1–15.
1037	33	Page SI Fulk GD Boyne P Clinically important
1038		differences for the upper-extremity Fugl-Meyer Scale
1030		in people with minimal to moderate impairment due
1039		to abronia stroke. Phys Ther [Internet]
1040		2012.02(6).701.8 Available from:
1041		2012;92(0):/91-6. Available from:
1042	24	http://ptjournal.apta.org/content/92/6//91.abstract
1043	34.	Roche AD, Renbaum H, Farina D, Aszmann OC.
1044		Prosthetic Myoelectric Control Strategies: A Clinical
1045		Perspective. Curr Surg Reports. 2014;2(3).
1046	35.	Smurr LM, Gulick K, Yancosek K, Ganz O.
1047		Managing the Upper Extremity Amputee: A Protocol
1048		for Success. J Hand Ther. 2008;21(2):160–76.
1049	36.	Cordella F, Ciancio AL, Sacchetti R, Davalli A, Cutti
1050		AG, Guglielmelli E, et al. Literature review on needs
1051		of upper limb prosthesis users. Front Neurosci.
1052		2016:10(MAY):1-14
1053	37	Lee SW Wilson KM Lock BA Kamper DG
1054	57.	Subject-specific mycelectric pattern classification of
1054		functional hand movements for stroke survivors
1055		IEEE Trang Neural Stat Dahahil Eng
1056		2011 10(5) 559 (C
1057	20	2011;19(5):558-66.
1058	38.	Radder B, Prange-Lasonder GB, Kottink AIR,
1059		Holmberg J, Sletta K, Van Dijk M, et al. The effect of
1060		a wearable soft-robotic glove on motor function and
1061		functional performance of older adults. Assist
1062		Technol [Internet]. 2020;32(1):9–15. Available from:
1063		https://doi.org/10.1080/10400435.2018.1453888
1064	39.	Nycz CJ, Butzer T, Lambercy O, Arata J, Fischer GS,
1065		Gassert R. Design and Characterization of a
1066		Lightweight and Fully Portable Remote Actuation
1067		System for Use with a Hand Exoskeleton. IEEE
1068		Robot Autom Lett. 2016:1(2):976–83.
1069	40.	Polygerinos P. Wang Z. Galloway KC. Wood RJ.
1070		Walsh CI. Soft robotic glove for combined assistance
1071		and at-home rehabilitation Rob Auton Syst
1071		2015.72.125 A2
1072	41	Ates S. Mora Marana I. Wassala M. Stianan AHA
1075	41.	Ales S, Mola-Moleno I, Wessels M, Stellen AltA.
1074		Combined active wrist and nand orthosis for nome
1075		use: Lessons learned. IEEE Int Conf Renabil Robot.
1076	40	2015;398–403.
1077	42.	Rose CG, O'Malley MK. Hybrid Rigid-Soft Hand
1078		Exoskeleton to Assist Functional Dexterity. IEEE
1079		Robot Autom Lett [Internet]. 2019;4(1):73–80.
1080		Available from:
1081		https://ieeexplore.ieee.org/document/8516332/
1082	43.	Bowman MH, Taub E, Uswatte G, Delgado A,
1083		Bryson C, Morris DM, et al. A treatment for a chronic
1084		stroke patient with a plegic hand combining CI
1085		therapy with conventional rehabilitation procedures:
1086		case report. NeuroRehabilitation [Internet].
1087		2006:21(2):167–76. Available from:
1088		http://www.nchi.nlm.nih.gov/nuhmed/16017163
1000	11	Ward N Brander F Kate K Intensive upper limb
1009		nourorohabilitation in abrania stralia, autoama-fu-
1090		the Queen Square programme I N1 N
1091		Development Square programme. J Neurol Neurosurgey
1092		rsychiatry Psychiatry. 2019;90(5):498–506.
1093		
1094		

1095 Supplementary Materials

1096 1097

Movie S1. My-HERO enhances hand function and task performance after stroke. Movie link: <u>https://drive.google.com/open?id=1NNgxUOfTrJJlzC-7uYOEPRWg2xWxO6Yw</u>



Figure S1. Able-bodied and clenched stroke hand electromyography following grip, relax and extend commands. The forearm electromyography signals (summing the absolute values of 50 consecutive signals, then dividing by 500) are shown for the right hand of an able-bodied participant and the affected hands of three stroke participants as they were asked to "grip", "relax" and "extend" the hand without wearing the glove. The able-bodied participant produced a high flexor muscle signal and low extensor muscle signal following the grip command, a low signal on all channels following the relax command and a high extensor signal and low flexor signal following the extend command. P2, P4 and P3 could not generate finger extension and had high tone in the affected hand. Each of these participants produced high flexor signals following the grip command, low signals on all channels following the relax command and low extensor signals and low or high flexor signals following the extend command. In summary, people with clenched hands following stroke are often unable to activate the extensor muscles selectively; however, they can create high and low flexor muscle signals on command and these signals can be used to control robotic grip and extension assistance.