



STATE OF TEXAS

FEDERAL PORTION OF  
THE STATEWIDE SINGLE  
AUDIT REPORT

FOR THE YEAR ENDED AUGUST 31, 2001



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**Independent Auditors' Report on the  
Schedule of Expenditures of Federal Awards**

The Honorable Rick Perry, Governor  
and Members of the Texas State Legislature  
State of Texas:

We have audited the accompanying Schedule of Expenditures of Federal Awards of the State of Texas (the "Schedule") for the year ended August 31, 2001. This Schedule is the responsibility of the State of Texas' management. Our responsibility is to express an opinion on the Schedule based on our audit.

We conducted our audit in accordance with auditing standards generally accepted in the United States of America and the standards applicable to financial audits contained in *Government Auditing Standards*, issued by the Comptroller General of the United States. Those standards require that we plan and perform the audit to obtain reasonable assurance about whether the Schedule is free of material misstatement. An audit includes examining, on a test basis, evidence supporting the amounts and disclosures in the Schedule. An audit also includes assessing the accounting principles used and significant estimates made by management, as well as evaluating the overall Schedule presentation. We believe that our audit provides a reasonable basis for our opinion.

As described in note 1 to the Schedule of Expenditures of Federal Awards, the Schedule does not include expenditures of Federal awards for three component units of the State of Texas. Each of those component units has their own independent audit in compliance with OMB Circular A-133, *Audits of States, Local Governments and Non-Profit Organizations*

In our opinion, the Schedule of Expenditures of Federal Awards referred to above presents fairly, in all material respects, the expenditures of Federal awards of the State of Texas, as described above, for the year ended August 31, 2001, in conformity with accounting principles generally accepted in the United States of America.

KPMG LLP

February 12, 2002



**Independent Auditors' Report  
on Compliance With Requirements Applicable to  
Each Major Program and on Internal Control Over Compliance  
in Accordance With OMB Circular A-133**

The Honorable Rick Perry, Governor  
and Members of the Texas State Legislature  
State of Texas:

**Compliance**

We have audited the compliance of the State of Texas (the State) with the types of compliance requirements described in the *U.S. Office of Management and Budget (OMB) Circular A-133 Compliance Supplement* that are applicable to each of its major Federal programs for the year ended August 31, 2001, except those requirements discussed in the sixth following paragraph. The State's major Federal programs are identified in the summary of auditors' results section of the accompanying Schedule of Findings and Questioned Costs. Compliance with the requirements of laws, regulations, contracts, and grants applicable to each of its major Federal programs is the responsibility of the State's management. Our responsibility is to express an opinion on the State's compliance based on our audit.

The Schedule of Expenditures of Federal Awards and our audit described below does not include expenditures of Federal awards for three component units of the State of Texas for financial statement purposes. Each of those agencies has their own independent audit in compliance with OMB Circular A-133, *Audits of States, Local Governments and Non-Profit Organizations*.

Except as discussed in the following paragraph, we conducted our audit of compliance in accordance with auditing standards generally accepted in the United States of America; the standards applicable to financial audits contained in *Government Auditing Standards*, issued by the Comptroller General of the United States; and OMB Circular A-133, *Audits of States, Local Governments, and Non-Profit Organizations*. Those standards and OMB Circular A-133 require that we plan and perform the audit to obtain reasonable assurance about whether noncompliance with the types of compliance requirements referred to above that could have a direct and material effect on a major Federal program occurred. An audit includes examining, on a test basis, evidence about the State's compliance with those requirements and performing such other procedures as we considered necessary in the circumstances. We believe that our audit provides a reasonable basis for our opinion. Our audit does not provide a legal determination of the State's compliance with those requirements.

We were unable to obtain sufficient documentation supporting the compliance of the State for the program compliance requirements listed below nor were we able to satisfy ourselves as to the State's compliance with those requirements by other auditing procedures. These programs compliance requirements were:

<u>Agency/University</u>	<u>Program</u>	<u>Compliance Requirement</u>	<u>Finding Number</u>
Department of Health	CFDA 93.917 HIV Care Formula Grant	Earmarking	02-16
Department of Human Services	CFDA 93.667 Social Services Block Grant	Earmarking	02-14
University of Houston	Student Financial Aid Cluster	Special Tests and Provisions	02-36
University of Texas at Austin	Research and Development Cluster	Cash Management	02-33

As identified below and described in the accompanying Schedule of Findings and Questioned Costs, the State did not comply with certain compliance requirements that are applicable to certain of its major Federal programs. Compliance with such requirements is necessary, in our opinion, for the State to comply with requirements applicable to the identified major Federal programs. The results of our auditing procedures are described in the accompanying schedule of findings and questioned costs as items:

<u>Agency/University</u>	<u>Program</u>	<u>Compliance Requirement</u>	<u>Finding Number</u>
Department of Criminal Justice	CFDA 16.606 – State Criminal Alien Assistance Program	Reporting	02-47
Department of Health	CFDA 93.994 – Maternal and Child Health Services Block Grant to the States	Subrecipient Monitoring	02-19
Department of Health	CFDA 93.268 Immunization Grants	Subrecipient Monitoring	02-11
Department of Health	CFDA 93.917 - HIV Care Formula Grant	Subrecipient Monitoring	02-15
Department of Health	Medicaid Cluster	Special Tests and Provisions	02-53
Department of Housing and Community Affairs	CFDA 14.239 – HOME Investment Partnerships Program	Subrecipient Monitoring	02-05
Department of Human Services	CFDA 83.543 – Individual Family Grants (FEMA)	Eligibility	02-23
University of Texas at Austin	Research and Development Cluster	Allowable Costs	02-38
University of Texas Health Science Center at Houston			02-32
			02-39
University of Texas Health Science Center at San Antonio			02-30
University of Texas M.D. Anderson Cancer Center			

In our opinion, except for the noncompliance described in the preceding paragraph and except for the effects of such noncompliance, if any, as might have been determined had we been able to examine sufficient evidence regarding compliance with the requirements described in the second preceding paragraph, the State complied, in all material respects, with the requirements referred to above that are applicable to each of its major Federal programs for the year ended August 31, 2001, other than those requirements discussed in the following paragraph. The results of our auditing procedures also disclosed other instances of noncompliance with those requirements, which are required to be reported in accordance with OMB Circular A-133 and which are described in the accompanying schedule of findings and questioned costs as items:

<u>Agency/University</u>	<u>Program</u>	<u>Compliance Requirement</u>	<u>Finding Number</u>
Education Agency	CFDA 84.010 – Title I CFDA 84.048 – Vocational Education CFDA 84.186 – Safe and Drug-Free CFDA 84.318 – Technology Literacy CFDA 84.340 – Class Size Reduction	Cash Management	02-03
Education Agency	CFDA 84.048 – Vocational Education	Cash Management	02-04
Department of Health	Medicaid Cluster	Special Tests and Provisions	02-12
Department of Health	CFDA 10.557 – Special Supplemental Nutrition Program for WIC	Eligibility	02-20
Higher Education Coordinating Board	CFDA 84.032 – Loan Servicing of Federal Family Education Loans (FFELP)	Special Tests and Provisions	02-44 02-46
Department of Housing and Community Affairs	CFDA 93.568 – Low Income Home Energy Assistance CFDA 81.042 – Weatherization Assistance for Low Income Persons	Allowable Costs	02-08
Protective and Regulatory Services	CFDA 93.558 - Temporary Assistance for Needy Families	Allowable Costs Eligibility	02-35 02-50
Department of Public Safety	CFDA 83.544 – Public Assistance Grant	Cash Management	02-34
Department of Public Safety	CFDA 83.544 – Public Assistance Grant CFDA 83.552 - Emergency Management Performance Grant	Subrecipient Monitoring	02-10

<u>Agency/University</u>	<u>Program</u>	<u>Compliance Requirement</u>	<u>Finding Number</u>
Texas A&M University	Student Financial Aid Cluster	Special Tests and Provisions	02-26
Texas Tech University			02-27
University of Houston			02-37
University of Texas at Arlington			02-52
University of Texas at El Paso			02-28
Department of Transportation	Highway Planning and Construction Cluster	Cash Management	02-42
University of Texas Health Science Center at San Antonio	Research and Development Cluster	Period of Availability	02-40
Water Development Board	CFDA 66.458 – Capitalization Grants for State Revolving Funds Contract/Award	Cash Management	02-25

We did not audit compliance with requirements governing billing and collection of Perkins loans for certain portions of the State. Those requirements govern functions that are performed EFG Technologies and AFSA Data Corporation. Since we did not apply auditing procedures to satisfy ourselves as to compliance with those requirements, the scope of our work was not sufficient to enable us to express, and we do not express, an opinion on compliance with those requirements.

The service organizations' compliance with the requirements governing the functions that they perform was examined by other accountants whose reports have been furnished to us. The reports of the other accountants indicate that compliance with those requirements was examined in accordance with the Department of Education's Audit Guide, *Audits of Federal Student Financial Assistance Programs at Participating Institutions and Institution Servicers*. Based on our review of the service organization accountants' reports, we have determined that all of the compliance requirements included in the *Compliance Supplement* that are applicable to the Student Financial Aid Cluster major program are addressed in either our report or the report of the respective service organization's accountants. Further, based on our review of the service organization accountants' reports, we have determined that they do not contain any findings of noncompliance that would have a direct and material effect on the Student Financial Aid Cluster major program.

### **Internal Control Over Compliance**

The management of the State is responsible for establishing and maintaining effective internal control over compliance with the requirements of laws, regulations, contracts, and grants applicable to Federal programs. In planning and performing our audit, we considered the State's internal control over compliance with requirements that could have a direct and material effect on a major Federal program in order to determine our auditing procedures for the purpose of expressing our opinion on compliance and to test and report on the internal control over compliance in accordance with OMB Circular A-133.

Requirements governing billing and collection of Perkins loans are performed by the service organizations noted above. Internal control over compliance relating to such functions was reported on by other accountants in accordance with the Department of Education's Audit Guide, *Audits of Federal Student Financial Assistance Programs at Participating Institutions and Institution Servicers*. Copies of the service organizations accountants' reports have been furnished to us. However, the scope of our work did not extend to internal control maintained at the respective service organizations noted above.

We noted certain matters involving the internal control over compliance and its operation that we consider to be reportable conditions. Reportable conditions involve matters coming to our attention relating to significant deficiencies in the design or operation of the internal control over compliance that, in our judgment, could adversely affect the State's ability to administer a major Federal program in accordance with the applicable requirements of laws, regulations, contracts, and grants.

Reportable conditions are described in the accompanying Schedule of Findings and Questioned Costs, and are listed below:

<u>Agency/University</u>	<u>Program</u>	<u>Compliance Requirement</u>	<u>Finding Number</u>
Commission on Alcohol and Drug Abuse	CFDA 93.959 Block Grants for Prevention and Treatment of Substance Abuse	Level of Effort	02-01
Department of Criminal Justice	CFDA 16.606 – State Criminal Alien Assistance Program	Reporting	02-47
Education Agency	CFDA 84.010 – Title I CFDA 84.048 – Vocational Education CFDA 84.186 – Safe and Drug-Free CFDA 84.318 – Technology Literacy CFDA 84.340 – Class Size Reduction	Cash Management	02-03
Department of Health	CFDA 93.994 – Maternal and Child Health Services Block Grant to the States	Subrecipient Monitoring	02-19
		Procurement, Suspension and Debarment	02-18
Department of Health	CFDA 93.268 – Immunization Grants	Subrecipient Monitoring	02-11
Department of Health	CFDA 93.917 - HIV Care Formula Grant	Subrecipient Monitoring	02-15
		Procurement, Suspension, and Debarment	02-17
Department of Health	Medicaid Cluster	Special Tests and Provisions	02-53 02-13
Department of Health	CFDA 10.557 – Special Supplemental Nutrition Program for WIC	Eligibility Procurement, Suspension and Debarment	02-21 02-22
Higher Education Coordinating Board	CFDA 84.032 – Loan Servicing of Federal Family Education Loans (FFELP)	Special Tests and Provisions	02-43 02-44 02-45 02-46



<b>Agency/University</b>	<b>Program</b>	<b>Compliance Requirement</b>	<b>Finding Number</b>
Department of Housing and Community Affairs	CFDA 14.239 – HOME Investment Partnerships Program	Subrecipient Monitoring	02-05
			02-06
			02-07
Department of Human Services	CFDA 83.543 – Individual Family Grants (FEMA)	Eligibility	02-09
Department of Human Services	Medicaid Cluster	Special Tests and Provisions	02-24
Protective and Regulatory Services	CFDA 93.558 Temporary Assistance for Needy Families	Cash Management	02-02
	CFDA 93.568 Foster Care – Title IV-E		
	CFDA 93.569 Adoption Assistance		
	CFDA 93.667 Social Services Block Grant		
Department of Public Safety	CFDA 83.544 – Public Assistance Grant	Cash Management	02-34
Texas A&M University	Student Financial Aid Cluster	Special Tests and Provisions	02-26
Texas Tech University			02-27
University of Houston			02-37
University of Texas at Arlington			02-52
University of Texas at Arlington			02-51
University of Texas at Austin			02-28
University of Texas at El Paso			
Department of Transportation	Highway Planning and Construction Cluster	Cash Management	02-42
University of Texas at Austin	Research and Development Cluster	Allowable Costs	02-38
University of Texas Health Science Center at Houston			02-32
University of Texas Health Science Center at San Antonio			02-39
University of Texas at Austin	Research and Development Cluster	Matching and Program Income	02-48
University of Texas at Austin	Research and Development Cluster	Procurement, Suspension, and Debarment	02-49
University of Texas Health Science Center at San Antonio			02-41

<u>Agency/University</u>	<u>Program</u>	<u>Compliance Requirement</u>	<u>Finding Number</u>
University of Texas Health Science Center at Houston	Research and Development Cluster	Cash Management	02-31 02-29
University of Texas M.D. Anderson Cancer Center			

A material weakness is a condition in which the design or operation of one or more of the internal control components does not reduce to a relatively low level the risk that noncompliance with the applicable requirements of laws, regulations, contracts, and grants that would be material in relation to a major Federal program being audited may occur and not be detected within a timely period by employees in the normal course of performing their assigned functions. Our consideration of the internal control over compliance would not necessarily disclose all matters in the internal control that might be reportable conditions and, accordingly, would not necessarily disclose all reportable conditions that are also considered to be material weaknesses. However, we believe that none of the reportable conditions described above are material weaknesses.

This report is intended solely for the information and use of the Governor, the Members of the Texas State Legislature, Legislative Audit Committee, management of State agencies and universities, and all Federal awarding agencies and pass-through entities, and is not intended to be and should not be used by anyone other than these specified parties.

KPMG LLP

February 12, 2002

# State of Texas Schedule of Expenditures of Federal Awards

For the Year Ended August 31, 2001

Cluster Name/Federal Grantor/Program Name/ Pass-through Entity	CFDA	Federal/Pass- through Entity Other Identifying #	Pass-through to Non-state Entities	Expenditures	Total
<b>Agency for International Development</b>					
Promoting Community Learning Opportunities for Development	02.XXX				
<i>Pass-Through from Association Liaison Office/American Council On Education</i>	02.XXX	HNEA009700059	\$	\$ 22,530	\$ 22,530
Total - Agency for International Development			—	22,530	22,530
<b>National Endowment for the Humanities</b>					
Fellowships for College Teachers and Independent Scholars	06.XXX	4308		12,000	12,000
Total - National Endowment for the Humanities			—	12,000	12,000
<b>Office of National Drug Control Policy</b>					
High Intensity Drug Trafficking Areas	07.XXX	IOPHNP507		521,560	521,560
	07.XXX	IOPHNP519		56,188	56,188
	07.XXX	IOPSBP579		24,465	24,465
	07.XXX	IOPSSP568		70,590	70,590
	07.XXX	IOPSSP575		219,809	219,809
	07.XXX	IOPSSP578		348,472	348,472
	07.XXX	IOPSSP604		226,875	226,875
	07.XXX	IOPSWP559		26,030	26,030
	07.XXX	IOPSWP560		29,790	29,790
	07.XXX	IOPSWP567		3,793	3,793
	07.XXX	I1PHNP507		24,050	24,050
	07.XXX	I1PSSP575		51,962	51,962
	07.XXX	I1PSSP578		384,609	384,609
	07.XXX	I1PSSP604		82,943	82,943
	07.XXX	I1PSSP608		3,311	3,311
	07.XXX	I9PHNP507		(104)	(104)
	07.XXX	I9PHNP519		21,344	21,344
	07.XXX	I9PHNP578		67,607	67,607
	07.XXX	I9PSWP559		2,643	2,643
	07.XXX	I9PSWP560		722	722
	07.XXX	I9PSWP567		11,724	11,724
Houston Money Laundering Initiative	07.XXX	PHNP506		115,811	115,811
Laredo Money Laundering Initiative	07.XXX	PSSP594		(754)	(754)
ONDCCP - Major Drug Squad Initiative - HIDTA	07.XXX	I1PHNP522		206,002	206,002
S.W. Border - West Texas Money Laundering Initiative	07.XXX	PSWP562		159,517	159,517
Total - Office of National Drug Control Policy			—	2,658,959	2,658,959
<b>Peace Corps</b>					
Strategy Contract for Peace Corps Recruitment	08.XXX	PC 00-187-2046		12,721	12,721
Total - Peace Corps			—	12,721	12,721
<b>U.S. Department of Agriculture</b>					
Cross Training in Agriculture	10.XXX				
<i>Pass-Through from University of Puerto Rico</i>	10.XXX	UIPR-UTB		68,691	68,691
Emerging Markets Program	10.XXX				
<i>Pass-Through from Southern U.S. Trade Association</i>	10.XXX	SUSTA #010301		50,289	50,289
Agricultural Research--Basic and Applied Research	10.001			34,713	34,713
Plant and Animal Disease, Pest Control, and Animal Care	10.025		35,000	23,378,562	23,413,562
Wildlife Services	10.028			204,625	204,625
Forestry Incentives Program	10.064			61,803	61,803
Wetlands Reserve Program	10.072			14,649	14,649
Market Protection and Promotion	10.163			1,256,681	1,256,681
Grants for Agricultural Research, Special Research Grants	10.200			31,198	31,198

# State of Texas Schedule of Expenditures of Federal Awards

For the Year Ended August 31, 2001

Cluster Name/Federal Grantor/Program Name/ Pass-through Entity	CFDA	Federal/Pass- through Entity Other Identifying #	Pass-through to Non-state Entities	Expenditures	Total
<b>U.S. Department of Agriculture (continued)</b>					
Grants for Agricultural Research--Competitive Research	10.206		\$	\$ 1,492	\$ 1,492
Small Business Innovation Research	10.212			(2,983)	(2,983)
Sustainable Agriculture Research and Education	10.215			2,485	2,485
Higher Education Challenge Grants	10.217			67,407	67,407
<i>Pass-Through from University of Arkansas</i>	10.217	UAAES99-105		14,212	14,212
Hispanic Serving Institutions Education Grants	10.223			410,351	410,351
Fund for Rural America--Research, Education, and Extension	10.223				
Activities	10.224			94,041	94,041
Community Food Projects	10.225				
<i>Pass-Through from South Plains Food Bank</i>	10.225	13541273		3,481	3,481
Crop Insurance	10.450			2,530,131	2,530,131
Cooperative Agreements with States for Intrastate Meat and					
Poultry Distribution	10.475			4,910,756	4,910,756
Food Distribution	10.550		64,283,708	591,755	64,875,463
Special Supplemental Nutrition Program for					
Women, Infants, and Children	10.557		71,368,005	260,267,175	331,635,180
Child and Adult Care Food Program	10.558		128,113,118	2,000,833	130,113,951
State Administrative Expenses for Child Nutrition	10.560		1,888,010	9,345,043	11,233,053
Nutrition Education and Training Program	10.564			253	253
Commodity Supplemental Food Program	10.565			379,346	379,346
Nutrition Program for the Elderly (Commodities)	10.570		8,462,368	605,193	9,067,561
WIC Farmers' Market Nutrition Program (FMNP)	10.572		190,505	1,020,586	1,211,091
Team Nutrition Grants	10.574			15,720	15,720
Forestry Research	10.652			33,679	33,679
Cooperative Forestry Assistance	10.664			4,047,487	4,047,487
Rural Development, Forestry, and Communities	10.672			116,458	116,458
Water and Waste Disposal Systems for Rural Communities	10.760			613,143	613,143
Agricultural Statistics Reports	10.950			22,000	22,000
Total - U.S. Department of Agriculture				274,340,714	312,191,255
					586,531,969
<b>U.S. Department of Commerce</b>					
Export Promotion--Market Development Cooperator	11.112			(27)	(27)
Special American Business Internship Training Program	11.114			20,018	20,018
Economic Development--Support for Planning Organizations	11.302			106,185	106,185
Economic Development--Technical Assistance	11.303			289,189	289,189
<i>Pass-Through from City of Jacksboro</i>	11.303	COJT-OSP-00263		12,868	12,868
Trade Adjustment Assistance	11.313			768,291	768,291
Coastal Zone Management Administration Awards	11.419		1,751,034	227,876	1,978,910
Regional Fishery Management Councils	11.441			48,507	48,507
Unallied Industry Projects	11.452			74,804	74,804
Unallied Management Projects	11.454			137,195	137,195
Technology Opportunities	11.552			21,697	21,697
Measurement and Engineering Research and Standards	11.609			(7,409)	(7,409)
Manufacturing Extension Partnership	11.611			2,815,843	2,815,843
Advanced Technology Program	11.612				
<i>Pass-Through from Baxter Healthcare Corp</i>	11.612	UTA99-0099		220,745	220,745
Minority Business Development Centers	11.800			267,214	267,214
Minority Business Opportunity Committee Development	11.806				
<i>Pass-Through from Rio Grande Empowerment Zone</i>	11.806	98209800801		75,697	75,697
Total - U.S. Department of Commerce				1,751,034	5,078,693
					6,829,727

# State of Texas Schedule of Expenditures of Federal Awards

For the Year Ended August 31, 2001

Cluster Name/Federal Grantor/Program Name/ Pass-through Entity	CFDA	Federal/Pass- through Entity Other Identifying #	Pass-through to Non-state Entities	Expenditures	Total
<b>U.S. Department of Defense</b>					
Air Force center for Environmental Excellence	12.XXX				
<i>Pass-Through from Groundwater Services, Inc.</i>	12.XXX	99-002	\$	\$ 30,475	\$ 30,475
Air Force ROTC	12.XXX	Det 840, Title X, Sec		35,641	35,641
Air Force ROTC Uniform Commutation Fund	12.XXX	AFROTCR170-1MU		28,357	28,357
An Environment for End-End Performance Design of Large-Scale Adaptive Computer/Communication Systems	12.XXX	UTA 98-010		40,101	40,101
Army Leadership Symposium CEM	12.XXX	DASW01-96-M1670		963	963
CESWF's Operations GIS					
Combusting Turbulent Flow Studies	12.XXX	W45XMA10044012		42,311	42,311
<i>Pass-Through from Pennsylvania State University</i>	12.XXX	S99-7		856	856
Convection Loop Chaotic Dynamics	12.XXX	N00014-91-J-1038		443	443
	12.XXX	N66001-00-1-8927		334,174	334,174
	12.XXX	N66001-99-M-1545		489	489
Electronic Commerce Resource Center	12.XXX				
<i>Pass-Through from Concurrent Technologies Corp.</i>	12.XXX	961000029		128,116	128,116
Environmental Education and Research	12.XXX	DAAD19-00-1-0547		52,459	52,459
Increased Awareness of Space Biomedical Research Challenges and Opportunities	12.XXX				
<i>Pass-Through from Texas Space Consortium</i>	12.XXX	NGT5400		771	771
Materials Characterization	12.XXX				
<i>Pass-Through from Rice University</i>	12.XXX	1999 SAC		85,367	85,367
<i>Pass-Through from Rice University</i>	12.XXX	R12671-72000097		72	72
Mathematical Modeling	12.XXX				
<i>Pass-Through from Scientific Research Associates</i>	12.XXX	S9141-96-C-0001		1,062	1,062
Mathematical Science and Control Systems	12.XXX	DAAG55-98-1-0198		97,684	97,684
	12.XXX	DAAG55-98-1-0250		51,653	51,653
Multi-Junction Multi-Quantum Well Solar Cells	12.XXX				
<i>Pass-Through from Allied Opto-Electronics, Inc.</i>	12.XXX	1208A		(332)	(332)
TMI-Troops to Teachers	12.XXX	6000	148,500		148,500
Turbulent Shear Flows	12.XXX	DAAH04-94-G-0366		3,829	3,829
Procurement Technical Assistance For Business Firms	12.002			402,847	402,847
Aquatic Plant Control	12.100			122,885	122,885
Beach Erosion Control Projects	12.101			208,570	208,570
Flood Control Projects	12.106			48,350	48,350
Payments to States in Lieu of Real Estate Taxes	12.112			1,497,144	1,497,144
State Memorandum of Agreement Program for the Reimbursement of Technical Services	12.113			1,259,133	1,259,133
Collaborative Research and Development	12.114			512,523	512,523
Basic and Applied Scientific Research	12.300			852,735	852,735
<i>Pass-Through from MTM Software Engineering</i>	12.300	MTM Software Eng.		34,968	34,968
<i>Pass-Through from Northrop Grumman</i>	12.300	110-723578AK		28,013	28,013
National Guard Military Operations and Maintenance (O&M) Projects	12.300				
<i>Pass-Through from National Guard Bureau</i>	12.401	DAHA419921000		22,789,533	22,789,533
National Guard Civilian Youth Opportunities	12.404			1,781,822	1,781,822
<i>Pass-Through from U.S. Department of Defense</i>	12.404	DAHA419924001		264,562	264,562
Military Medical Research and Development	12.420			571,467	571,467
Basic Scientific Research	12.431			47,732	47,732
Basic, Applied, and Advanced Research in Science and Engineering	12.630			113,150	113,150

# State of Texas Schedule of Expenditures of Federal Awards

For the Year Ended August 31, 2001

Cluster Name/Federal Grantor/Program Name/ Pass-through Entity	CFDA	Federal/Pass- through Entity Other Identifying #	Pass-through to Non-state Entities	Expenditures	Total
<b>U.S. Department of Defense (continued)</b>					
Air Force Defense Research Sciences Program	12.800		\$	\$ 1,383,584	\$ 1,383,584
<i>Pass-Through from Raytheon Systems</i>	12.800	PO45000-15405		3,716	3,716
Mathematical Sciences Grants Program	12.901			13,000	13,000
Research and Technology Development	12.910		148,024	583,370	731,394
<i>Pass-Through from Lockheed Martin Corp.</i>	12.910	43000006853		27,852	27,852
Total - U.S. Department of Defense			296,524	33,481,447	33,777,971
<b>U.S. Department of Housing and Urban Development</b>					
Border Development Alliance-HUD	14.XXX	B-00-SP-TX-0383		66,646	66,646
College Housing Debt Service	14.XXX	DAL-VI-160		35,734	35,734
College Housing Debt Service Grant	14.XXX	CH-TEX-251		95,642	95,642
Community Planning	14.XXX	DU100K16805		122,808	122,808
Housing and Urban Development Project	14.XXX	SA-265-1000(S)		48,802	48,802
HUD College Housing Grant	14.XXX	CH-Tex-275		29,816	29,816
HUD Interest Grant	14.XXX	CH-TEX-2925		86,550	86,550
HUD-EDI Special Project	14.XXX	2630012263		7,958	7,958
Interest Subsidy	14.XXX	CH TEX 293		84,004	84,004
Interest Subsidy-Dormitory and Dining Complex	14.XXX	CH-TEX250D		93,330	93,330
Office Automation Skilled Services Program	14.XXX	B-96-SB-TX-0002		7,406	7,406
Interest Reduction Payments--Rental and Cooperative					
Housing for Lower Income Families	14.103			75,532	75,532
Housing Counseling Assistance Program	14.169		44,964		44,964
Community Development Block Grants/Special Purpose					
Grants/Technical Assistance Program	14.227			968,043	968,043
Community Development Block Grants/State's Program	14.228		102,108,002	1,298,206	103,406,208
<i>Pass-Through from City of Dallas</i>	14.228	75-6000508		13,612	13,612
Emergency Shelter Grants Program	14.231		4,411,730	193,807	4,605,537
Supportive Housing Program	14.235		3,061		3,061
<i>Pass-Through from Harris County Housing &amp; Community</i>	14.235	TX01B910-002		45,454	45,454
<i>Pass-Through from Harris County Housing &amp; Community</i>	14.235	TX01B910-003		281,628	281,628
<i>Pass-Through from Harris County Housing &amp; Community</i>	14.235	TX01B910-027		278,802	278,802
<i>Pass-Through from Harris County Housing &amp; Community</i>	14.235	TX21B960610		28,376	28,376
<i>Pass-Through from Harris County Housing &amp; Community</i>	14.235	TX21B971306		311,514	311,514
<i>Pass-Through from Harris County Housing &amp; Community</i>	14.235	TX21R15-0990		(4,592)	(4,592)
Home Investment Partnerships Program	14.239		37,232,785	1,330,768	38,563,553
Housing Opportunities for Persons with AIDS	14.241		1,146,033	1,493,695	2,639,728
<i>Pass-Through from The Resource Group</i>	14.241	TX1199-0053		223,466	223,466
Opportunities for Youth--Youthbuild Program	14.243				
<i>Pass-Through from Dev. Corp of Harlingen</i>	14.243	TSTCh-00-1		(357)	(357)
Community Development Block Grants/Economic					
Development Initiative	14.246			96,000	96,000
Rural Housing and Economic Development	14.250			209,993	209,993
Fair Housing Assistance Program--State and Local	14.401			760,566	760,566
Community Outreach Partnership Center Program	14.511			183,655	183,655
Hispanic-Serving Institutions Assisting Communities	14.514			309,853	309,853
Public and Indian Housing	14.850				
<i>Pass-Through from Lubbock Housing Authority</i>	14.850	97015323		79,645	79,645
Total - U.S. Department of Housing and Urban Development			144,946,575	8,856,362	153,802,937

# State of Texas Schedule of Expenditures of Federal Awards

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Cluster Name/Federal Grantor/Program Name/ Pass-through Entity	CFDA	Federal/Pass- through Entity Other Identifying #	Pass-through to Non-state Entities	Expenditures	Total
<b>U.S. Department of the Interior</b>					
Digital Hydrological Mapping Data for Canadian River	15.XXX	00-FC-60-1442	\$	\$ 81,500	\$ 81,500
DI-US FWS Coop Agreement - Manage Fish and Wildlife, Capital Programs, and Other Activity Unappropriated	15.XXX	FFB		120,100	120,100
DI-US FWS Coop Agreement - Other Activity	15.XXX	FFC		392,796	392,796
Experimental Dating Project for Petroglyphs	15.XXX				
Chemical-Analyses of Rock Varnish and Base Rock Samples	15.XXX	1425-99-FG		43,405	43,405
Fish and Wildlife Service Migratory Bird Resources	15.XXX	1448-20181-99-G959		7,831	7,831
Rio Grande Project-Increase/Improve Habitat	15.XXX	BBO		(6,925)	(6,925)
Seed Germination	15.XXX	2000/020/1140		92	92
Special Seminar Series on Development of Composite <i>Pass-Through from Impact Assessment, Inc.</i>	15.XXX	5-58552		10,729	10,729
StratMap Program	15.XXX	99HQAG0025		1,877,156	1,877,156
Transboundary Resource Information Management System	15.XXX	00-FC-40-3950		116,484	116,484
Regulation of Surface Coal Mining and Surface Effects of Underground Coal Mining	15.250			1,402,732	1,402,732
Abandoned Mine Land Reclamation (AMLR) Program	15.252			2,027,510	2,027,510
Water Reclamation and Reuse Program	15.504			346,136	346,136
Fish and Wildlife Management Assistance	15.608			9,163	9,163
Coastal Wetlands Planning, Protection and Restoration Act	15.614			679,957	679,957
Wildlife Conservation and Appreciation	15.617			(6)	(6)
Assistance to State Water Resources Research Institutes	15.805			5,911	5,911
Earthquake Hazards Reduction Program	15.807			63,677	63,677
U.S. Geological Survey--Research and Data Acquisition	15.808			480,134	480,134
Historic Preservation Fund Grants-In-Aid	15.904			35,895	35,895
Outdoor Recreation--Acquisition, Development	15.916			828,858	828,858
Total - U.S. Department of the Interior			—	8,523,135	8,523,135
<b>U.S. Department of Justice</b>					
High Intensity Drug Traffic Area-Hidta	16.XXX	I1PHNP509		54,377	54,377
Juvenile Comprehensive Strategy	16.XXX	2000-MU-FX-0004	208,964		208,964
Organized Crime Drug Enforcement Task Force	16.XXX	SWTXW242SAN00A1		798	798
Police Family Stress Project	16.XXX				
<i>Pass-Through from City of Lubbock</i>	16.XXX	1999-FS-VX-0005		34,748	34,748
Juvenile Accountability Incentive Block Grants	16.523		14,912,172	595,666	15,507,838
Juvenile Justice and Delinquency Prevention--Allocation to States	16.540		6,059,668	809,292	6,868,960
Juvenile Justice and Delinquency Prevention--Special	16.541			65,615	65,615
National Institute for Juvenile Justice and Delinquency Prevention	16.542			(1,066)	(1,066)
Title V--Delinquency Prevention Program	16.548		1,680,641	(29)	1,680,612
Part E--State Challenge Activities	16.549		642,222		642,222
State Justice Statistics Program for Statistical Analysis	16.550			33,166	33,166
National Criminal History Improvement Program (NCHIP)	16.554			1,732,397	1,732,397
National Institute of Justice Research, Evaluation, and Development Project Grants	16.560			387,003	387,003
Crime Laboratory Improvement--Combine Offender DNA Index System Backlog Reduction	16.564			1,738,666	1,738,666
Crime Victim Assistance	16.575		27,938,991	755,403	28,694,394
Crime Victim Compensation	16.576			12,700,000	12,700,000
Byrne Formula Grant Program	16.579		30,880,653	2,009,523	32,890,176
Edward Byrne Memorial State and Local Law Enforcement Assistance Discretionary Grants Program	16.580			37,165	37,165
Crime Victim Assistance/Discretionary Grants	16.582			6,777	6,777

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Cluster Name/Federal Grantor/Program Name/ Pass-through Entity	CFDA	Federal/Pass- through Entity Other Identifying #	Pass-through to Non-state Entities	Expenditures	Total
<b>U.S. Department of Justice (continued)</b>					
Violent Offender Incarceration and Truth in Sentencing Incentive Grants	16.586		\$	\$ 622,664	\$ 622,664
Violence Against Women Formula Grants	16.588			8,292,868	121,387
Rural Domestic Violence and Child Victimization Enforcement Grant Program	16.589			459,415	459,415
Comprehensive Approaches to Sex Offender Management	16.591			24,032	24,032
Local Law Enforcement Block Grants Program	16.592			1,436,824	282,914
Residential Substance Abuse Treatment for State Prisoners	16.593			5,727,815	5,373,774
Prevention, Diagnosis, and Treatment of Tuberculosis in Correctional Institutions	16.594			839	839
State Identification Systems Grant Program	16.598			19,416	8,604
Corrections--Training and Staff Development	16.601			11,499	11,499
Corrections--Technical Assistance/Clearinghouse	16.603			36,164	36,164
State Criminal Alien Assistance Program	16.606			55,388	38,982,423
Bulletproof Vest Partnership Program	16.607			39,793	39,793
Closed-Circuit Televising of Child Victims of Abuse	16.611			34,167	34,167
Public Safety Partnership and Community Policing Grants	16.710			682,148	682,148
Troops to COPS	16.711			256,759	256,759
Police Corps	16.712			141,698	141,698
Enforcing Underage Drinking Laws Program	16.727			126,447	401,820
Total - U.S. Department of Justice				98,475,651	67,946,019
<b>U.S. Department of Labor</b>					
Futures in Quality FQEW	17.XXX	AN-10813-00-60		359,353	359,353
Labor Force Statistics	17.002			4,146,354	4,146,354
Labor Certification for Alien Workers	17.203			1,735,089	1,735,089
Unemployment Insurance	17.225			1,405,135,066	1,405,135,066
Senior Community Service Employment Program	17.235			4,813,397	9,197
Trade Adjustment Assistance--Workers	17.245			6,368	34,196,346
Employment and Training Assistance - Pilot Project	17.249			290,320	29,383
Pass-Through from Houston Galveston Area Council	17.249	219-01/AH-10851-00-60		1,749,625	1,749,625
Welfare-to-Work Grants to States and Localities	17.253			59,274,264	59,274,264
Pass-Through from South Plains Regional Workforce Development Board	17.253	WTW01PY2000/WT W01PY2001		154,896	154,896
Workforce Investment Act	17.255			202,995,568	16,753,377
Pass-Through from Alamo Area Development Corporation	17.255	AADC-2001-04		84,785	84,785
Pass-Through from Cameron Works, Inc.	17.255	00-WIA-SYP-12		835	835
Pass-Through from Ft. Worth Ser Jobs For Progress	17.255	OGCS01-209		7,263	7,263
Pass-Through from Harris County Community Development Department	17.255	2001-061		24,831	24,831
Pass-Through from Harris County Gulf Coast Careers	17.255	P022844		2,632	2,632
Pass-Through from Lower Rio Grande Valley Workforce Development Boar	17.255	00-YS0002		6,370	6,370
WIA Adult Program	17.258			5,313	5,313
Youth Opportunity Grants	17.263				
Pass-Through from the City of San Antonio	17.263	26-029062		36,521	36,521
Veterans' Employment Program	17.802			823,283	710
Total - U.S. Department of Labor				268,203,200	1,464,437,946



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Cluster Name/Federal Grantor/Program Name/ Pass-through Entity	CFDA	Federal/Pass- through Entity Other Identifying #	Pass-through to Non-state Entities	Expenditures	Total
<b>U.S. Department of State</b>					
Basic Science and Technology Research	19.XXX				
<i>Pass-Through from Supreme Council of Universities</i>	19.XXX	930301	\$	\$ (653)	(653)
William C. Foster Fellows Visiting Scholars Program	19.XXX	1910113-1026-117204		24,282	24,282
College and University Affiliations Program	19.406			74,468	74,468
Total - U.S. Department of State			—	98,097	98,097
<b>U.S. Department of Transportation</b>					
Airway Science Grant	20.XXX	FATS 91-19		216,617	216,617
Eisenhower Fellowships	20.XXX	DDEHBC-99-X00792		32,804	32,804
Mitigation Reflection and Cracking in AC Overlays on PCC Pavement Using Geosynthetics	20.XXX				
<i>Pass-Through from New Mexico State University</i>	20.XXX	DTFH61-00-X-00098		32,665	32,665
Boating Safety Financial Assistance	20.005			2,786,624	2,786,624
Airport Improvement Program	20.106			23,261,193	23,261,193
Highway Training and Education	20.215			32,750	32,750
National Motor Carrier Safety	20.218			9,389,674	9,389,674
Recreational Trails Program	20.219			821,475	821,475
Federal Transit--Metropolitan Planning Grants	20.505		3,344,018		3,344,018
Formula Grants for Other Than Urbanized Areas	20.509		12,021,418		12,021,418
Capital Assistance Program for Elderly Persons and Persons with Disabilities	20.513		3,296,940		3,296,940
Transit Planning and Research	20.514			728,472	728,472
State Planning and Research	20.515		693,056		693,056
Pipeline Safety	20.700			1,581,439	1,581,439
University Transportation Centers Program	20.701			3,406	3,406
<i>Pass-Through from TX A&amp;M Research Fdn</i>	20.701	S900159 TSK 5000 64		223,612	223,612
Interagency Hazardous Materials Public Sector Training and Planning Grants	20.703		166,322	89,931	256,253
U.S. Merchant Marine Academy	20.807			235,922	235,922
Hispanic Serving Institutions--Entrepreneurial Training and Technical Assistance	20.906			30,822	30,822
Total - U.S. Department of Transportation			19,521,754	39,467,406	58,989,160
<b>U.S. Department of Treasury</b>					
North American Development Bank-Project Assist	21.XXX	CAIP #2000-048-TA		3,361	3,361
Low-Income Taxpayer Clinics	21.008			92,564	92,564
Gang Resistance Education and Training	21.053			13,517	13,517
Secret Service--Training Activities	21.100			11,481	11,481
Total - U.S. Department of Treasury			—	120,923	120,923
<b>Office of Personnel Management</b>					
Intergovernmental Personnel Act (IPA) Mobility Program	27.011			160,417	160,417
Total - Office of Personnel Management			—	160,417	160,417
<b>Equal Employment Opportunity Commission</b>					
Employment Discrimination--State and Local Fair					
Employment Practices Agency Contracts	30.002			539,000	539,000
Total - Equal Employment Opportunity Commission			—	539,000	539,000
<b>General Services Administration</b>					
Donation of Federal Surplus Personal Property	39.003		21,715,641	787,537	22,503,178
Total - General Services Administration			21,715,641	787,537	22,503,178

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Cluster Name/Federal Grantor/Program Name/ Pass-through Entity	CFDA	Federal/Pass- through Entity Other Identifying #	Pass-through to Non-state Entities	Expenditures	Total
<b>National Aeronautics and Space Administration</b>					
A Non-Invasive Analysis of Collagen Metabolism	43.XXX	NAG2-1284	\$	\$ 582	582
	43.XXX	NAG5-7840		25,168	25,168
	43.XXX	NAG9-1152	35,858	156,465	192,323
Aerospace Education Services Program	43.XXX				
<i>Pass-Through from the Institute For Global     Environmental Strategies</i>	43.XXX	NAG5-8517		25,000	25,000
Annular Gas-Liquid Flows Using Chaotic Models	43.XXX	NAG 9-1055		30,554	30,554
	43.XXX	NAG3-1840		848	848
	43.XXX	NGT3-52356		21,248	21,248
Blast Off to an Engineering Career	43.XXX	NAG9-1064		91,265	91,265
Bone and Muscle Recovery from Simulated Microgravity	43.XXX				
<i>Pass-Through from Texas A&amp;M Research Foundation</i>	43.XXX	439413		44,720	44,720
Circulatory Remodeling with Simulated Microgravity	43.XXX				
<i>Pass-Through from Texas A&amp;M Research Foundation</i>	43.XXX	446311		8,666	8,666
Graduate Student Researchers Program	43.XXX	NGT5-50182		15,083	15,083
High Voltage Discharge Technology	43.XXX	NAG5-5126		50	50
Microgravity and Circadian Cardiovascular Function	43.XXX				
<i>Pass-Through from Texas A&amp;M Research Foundation</i>	43.XXX	446311		2,520	2,520
Microgravity Research	43.XXX	NAG8-1363		39,997	39,997
Micromechanical Oscillating Mass Balance	43.XXX	NCC9-70		(2,514)	(2,514)
Mid-IR Lasers for Trace Gas Detection	43.XXX	5-53122		3,000	3,000
Mineral Chemistry of Meteorites	43.XXX	NAG5-4765		6,063	6,063
MSET Pro Math Sci and Engineering	43.XXX	NAG9-1054		9,357	9,357
MSET Pro Math Science Tech. II	43.XXX	NAG-1304		2,866	2,866
National Space Biomedical Research Institute Teach	43.XXX				
<i>Pass-Through from Texas A&amp;M Research Foundation</i>	43.XXX	446311		12,583	12,583
New Architecture for Space Solar Power Systems	43.XXX				
<i>Pass-Through from Universities Space Research     Association</i>	43.XXX	07600-057	23,457	24,371	47,828
Novel Receptor-based Countermeasures to Microgravity	43.XXX				
<i>Pass-Through from Texas A&amp;M Research Foundation</i>	43.XXX	438953		3,980	3,980
<i>Pass-Through from Texas A&amp;M Research Foundation</i>	43.XXX	446311		8,513	8,513
NSBRI - Activity Dependent Signal Transduction	43.XXX				
<i>Pass-Through from Texas A&amp;M Research Foundation</i>	43.XXX	439412		(46)	(46)
NSBRI - Bone Blood Flow and Microgravity	43.XXX				
<i>Pass-Through from Texas A&amp;M Research Foundation</i>	43.XXX	438952		5,116	5,116
NSBRI - Bone Demineralization/Calcium Metabolism	43.XXX				
<i>Pass-Through from Texas A&amp;M Research Foundation</i>	43.XXX	439402		5,764	5,764
NSBRI - Education and Outreach	43.XXX				
<i>Pass-Through from Texas A&amp;M Research Foundation</i>	43.XXX	437662		13,549	13,549
NSBRI - Radiation Effects: Radiation-Induced	43.XXX				
<i>Pass-Through from Texas A&amp;M Research Foundation</i>	43.XXX	439432		436	436
NSBRI Website and Special Public Outreach Project	43.XXX				
<i>Pass-Through from Texas A&amp;M Research Foundation</i>	43.XXX	439433		7,058	7,058
Nutrition, Physical Fitness and Rehabilitation	43.XXX				
<i>Pass-Through from Texas A&amp;M Research Foundation</i>	43.XXX	439422		15,649	15,649
Nutritional Countermeasures to Radiation Exposure	43.XXX				
<i>Pass-Through from Texas A&amp;M Research Foundation</i>	43.XXX	439403		60,949	60,949
Origins of Translation	43.XXX	NAG5-8140		26,758	26,758
PCR - Based Diagnostics for Microbial Pathogens	43.XXX	NCC9-70		(1,997)	(1,997)
Plant Growth and Metabolism at Sub-ambient Atmosphere	43.XXX				
<i>Pass-Through from Texas A&amp;M Research Foundation</i>	43.XXX	437663		90,217	90,217

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Cluster Name/Federal Grantor/Program Name/ Pass-through Entity	CFDA	Federal/Pass- through Entity Other Identifying #	Pass-through to Non-state Entities	Expenditures	Total
<b>National Aeronautics and Space Administration (continued)</b>					
Quantifying Grassland-To-Woodland Transitions	43.XXX				
<i>Pass-Through from Texas A&amp;M Research Foundation</i>	43.XXX	437101	\$	\$ 13,634	\$ 13,634
Semi-Conductor Research	43.XXX	SC10009		18,070	18,070
South Texas Master Math Program	43.XXX	NCC5-438		132,328	132,328
Space Systems Research	43.XXX				
<i>Pass-Through from Baylor College of Medicine</i>	43.XXX	5-57381		6,711	6,711
<i>Pass-Through from Baylor College of Medicine</i>	43.XXX	894743		(18,422)	(18,422)
Space Vacuum Epitaxy Center	43.XXX	NAGW-977		9	9
	43.XXX	NCC8-127		736,892	736,892
<i>Pass-Through from Ionwerks, Inc.</i>	43.XXX	5-58497		(10,785)	(10,785)
Studies in Organic Cosmochemistry	43.XXX	NAG9-1103		4,072	4,072
Summer Faculty Fellowship Program	43.XXX	NAG9-867		28,960	28,960
Turbulent Shear Flows	43.XXX	NAG9-985		17,221	17,221
Aerospace Education Services Program	43.001		5,902	846,375	852,277
<i>Pass-Through from Jet Propulsion Laboratory</i>	43.001	961298		60,015	60,015
<i>Pass-Through from National Action Council for     Minorities In Engineer</i>	43.001	3950-01		46,744	46,744
<i>Pass-Through from Society of Hispanic Professional     Engineer Foundation</i>	43.001	NAG-3-2299		1,160	1,160
Technology Transfer	43.002			144,950	144,950
<i>Pass-Through from Jet Propulsion Laboratories</i>	43.002	1213603		26,838	26,838
<i>Pass-Through from Mississippi State University</i>	43.002	SSC-116-03/NAS13-564		(125)	(125)
<i>Pass-Through from Texas A&amp;M Research Foundation</i>	43.002	468861		22,648	22,648
Total - National Aeronautics and Space Administration			65,217	2,831,133	2,896,350
<b>National Foundation on the Arts and the Humanities</b>					
Cultural Connections	45.XXX				
<i>Pass-Through from National Foundation On the Arts     And the Humanities</i>	45.XXX	3955-01		250	250
Promotion of the Arts--Partnership Agreements	45.025		695,100	27,193	722,293
<i>Pass-Through from National Foundation On the Arts     And the Humanities</i>	45.025	3955-02		3,000	3,000
Promotion of the Humanities--Federal/State Partnership	45.129				
<i>Pass-Through from Texas Council For the Humanities</i>	45.129	2000-2726		1,006	1,006
Promotion of the Humanities--Fellowships and Stipends	45.160			63,748	63,748
Promotion of the Humanities--Research	45.161			3,510	3,510
Promotion of the Humanities--Education Development and Demonstration	45.162			1,460	1,460
Promotion of the Humanities--Seminars and Institutes	45.163			179,143	179,143
Promotion of the Humanities--Extending the Reach Grants to Underserved Areas	45.166			3,644	3,644
Promotion of the Humanities--Extending the Reach Grants to Presidentially-Designated Minority Institutions	45.167			16,347	16,347
Institute of Museum and Library Services--National	45.301			17,744	17,744
State Library Program	45.310		7,564,532	1,180,360	8,744,892
Institute of Museum and Library Services--National Leadership Grants	45.312			359,613	359,613
Total - National Foundation on the Arts and the Humanities			8,259,632	1,857,018	10,116,650

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Cluster Name/Federal Grantor/Program Name/ Pass-through Entity	CFDA	Federal/Pass- through Entity Other Identifying #	Pass-through to Non-state Entities	Expenditures	Total
<b>National Science Foundation</b>					
GriPhyN Project	47.XXX				
<i>Pass-Through from University of Florida</i>	47.XXX	ACI-0086044	\$	\$ 3,058	3,058
Institute for Middle School Mathematics Teachers	47.XXX				
<i>Pass-Through from Houston Independent School District</i>	47.XXX	ESR 9816227		10,403	10,403
US-Egypt Coop Research: An Anchored Cooperative	47.XXX				
<i>Pass-Through from Texas A&amp;M Research Foundation</i>	47.XXX	443981		12,567	12,567
Engineering Grants	47.041		13,495	253,243	266,738
<i>Pass-Through from New Mexico State University</i>	47.041	HRD-9800298		7,174	7,174
<i>Pass-Through from Texas A&amp;M Research Foundation</i>	47.041	432381		25,126	25,126
Mathematical and Physical Sciences	47.049			1,335,881	1,335,881
<i>Pass-Through from Brownsville Independent School District</i>	47.049	HRD9353644		122,927	122,927
<i>Pass-Through from Rice University</i>	47.049	R37131-84600001		13,811	13,811
Geosciences	47.050			52,195	52,195
<i>Pass-Through from Battelle</i>	47.050	288435-BQ3		(3,567)	(3,567)
<i>Pass-Through from Texas A&amp;M Research Foundation</i>	47.050	418928-BA356		19,590	19,590
<i>Pass-Through from Texas A&amp;M Research Foundation</i>	47.050	F001134		17,865	17,865
Computer and Information Science and Engineering	47.070			653,708	653,708
<i>Pass-Through from Texas A&amp;M Research Foundation</i>	47.070	443671		866	866
<i>Pass-Through from United States Military Academy</i>	47.070	DUE9455980		6,583	6,583
Biological Sciences	47.074			553,055	553,055
<i>Pass-Through from Texas A&amp;M Research Foundation</i>	47.074	443531		1,137,745	1,137,745
Social, Behavioral, and Economic Sciences	47.075			50,586	50,586
<i>Pass-Through from Texas A&amp;M Research Foundation</i>	47.075	S900048		22,545	22,545
Education and Human Resources	47.076		980,573	3,025,257	4,005,830
<i>Pass-Through from Baylor College of Medicine</i>	47.076	HRD-0080662		7,360	7,360
Total - National Science Foundation				<u>994,068</u>	<u>8,322,046</u>
<b>Small Business Administration</b>					
Business Development Assistance to Small Business	59.005			108,486	108,486
Small Business Development Center	59.037		1,231,443	2,846,448	4,077,891
<i>Pass-Through from Association of Small Business Development Centers</i>	59.037	SBAHQ/ASBDCFR-99-R		(1,705)	(1,705)
<i>Pass-Through from Dallas County Comm. College District</i>	59.037	17620004615		63,821	63,821
<i>Pass-Through from Occupational Safety Systems</i>	59.037	DFWP1		65,487	65,487
<i>Pass-Through from the University of Iowa</i>	59.037	3900-75		284,859	284,859
Women's Business Ownership Assistance	59.043				
<i>Pass-Through from Rio Grande Empowerment Zone</i>	59.043	#976620427		107,096	107,096
Veterans Entrepreneurial Training and Counseling	59.044			137,864	137,864
Total - Small Business Administration				<u>1,231,443</u>	<u>4,843,799</u>
<b>Department of Veterans Affairs</b>					
Intergovernmental Personnel Act Agreement	64.XXX	5-50710		25,266	25,266
	64.XXX	5-50725		30,441	30,441
Various IPAs	64.XXX	IPA		2,038,402	2,038,402
Grants to States for Construction of State Home Facilities	64.005			(846,866)	(846,866)
Veterans Medical Care Benefits	64.009			2,467	2,467
Veterans Dental Care	64.011			12,731	12,731
Vocational Rehabilitation for Disabled Veterans	64.116			34,046	34,046

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Cluster Name/Federal Grantor/Program Name/ Pass-through Entity	CFDA	Federal/Pass- through Entity Other Identifying #	Pass-through to Non-state Entities	Expenditures	Total
<b>Department of Veterans Affairs (continued)</b>					
All-Volunteer Force Educational Assistance	64.124		\$	\$ 644,155	\$ 644,155
Vocational and Educational Counseling for Service Members and Veterans	64.125			6,202	6,202
Total - Department of Veterans Affairs			—	1,946,844	1,946,844
<b>Environmental Protection Agency</b>					
Alternative Litter Management	66.XXX				
<i>Pass-Through from Foundation For Organic Resources Management, Inc.</i>	66.XXX	C9996236-06-0		1,094	1,094
Characterization of Ambient Particulate Matter in El Paso del Norte Region-Phase II	66.XXX	X 827370-0		125,977	125,977
Colonia Plumbing Loan Program	66.XXX	CS480001-01	123,388		123,388
Colonia Wastewater Treatment Assistance	66.XXX	C480001-02,04,05	23,075,780	955,228	24,031,008
Construction Mgmt. Assistance	66.XXX	C480000-89		53,636	53,636
Environmental House Calls	66.XXX				
<i>Pass-Through from National Environmental Education &amp; Training Foundation</i>	66.XXX	99-12H		10,303	10,303
GIS Maps of Texas-Mexico Border Area	66.XXX	7D-0915-NHGX		11,134	11,134
Rural Communities Hardship Grants	66.XXX	HG986236-01	259,549		259,549
Air Pollution Control Program Support	66.001		168,094	264,043	432,137
Air Information Center	66.009				
<i>Pass-Through from National Air Toxics Research Center</i>	66.009	CX820454		461,528	461,528
State Indoor Radon Grants	66.032			56,475	56,475
State Underground Water Source Protection	66.433			620,386	620,386
Water Quality Management Planning	66.454			1,369,208	1,369,208
National Estuary Program	66.456			508,199	508,199
Capitalization Grants for State Revolving Funds	66.458		2,201,170	38,463,088	40,664,258
Nonpoint Source Implementation Grant	66.460		(15,641)	7,392,340	7,376,699
Wetlands Grants	66.461			25,551	25,551
Capitalization Grants for Drinking Water State Revolving	66.468		25,299,879	8,177,515	33,477,394
Environmental Protection--Consolidated Research	66.500		10,464	550,141	560,605
<i>Pass-Through from Gram, Inc. - EPA</i>	66.500	99-04		18,434	18,434
<i>Pass-Through from Iowa State University</i>	66.500	429-46-01		17,188	17,188
<i>Pass-Through from Lavaca Soil &amp; Water Conservation District</i>	66.500	97-5		11,013	11,013
<i>Pass-Through from Texas A&amp;M Research Foundation</i>	66.500	444911		116,674	116,674
Toxic Substance Research	66.507			7,023	7,023
Environmental Justice Grants to Small Community Groups	66.604			6,310	6,310
Performance Partnership Grants	66.605			25,026,224	25,026,224
Surveys, Studies, Investigations and Special Purpose Grants	66.606		17,804	1,603,302	1,621,106
<i>Pass-Through from Colorado State University</i>	66.606	G-5803-7		23,415	23,415
<i>Pass-Through from Mississippi State University</i>	66.606	00110331-02		695	695
<i>Pass-Through from San Diego University Foundation</i>	66.606	CR826386-0		(646)	(646)
<i>Pass-Through from University of Missouri</i>	66.606	C-5-32068		19,402	19,402
Training and Fellowships for the Environmental Protection Agency	66.607		93,193	128,632	221,825
Consolidated Pesticide Enforcement Cooperative Agreement	66.700			268,425	268,425
Toxic Substances Compliance Monitoring Cooperative Agreements	66.701			93,713	93,713
TSCA Title IV State Lead Grants--Certification of Lead-Based Paint Professionals	66.707			244,824	244,824

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Cluster Name/Federal Grantor/Program Name/ Pass-through Entity	CFDA	Federal/Pass- through Entity Other Identifying #	Pass-through to Non-state Entities	Expenditures	Total
<b>Environmental Protection Agency (continued)</b>					
Pollution Prevention Grants Program	66.708		\$	\$ 200,305	\$ 200,305
State and Tribal Environmental Justice	66.713			1,987	1,987
Superfund State Site--Specific Cooperative Agreements	66.802			2,386,749	2,386,749
Leaking Underground Storage Tank Trust Fund Program	66.805			2,703,638	2,703,638
Superfund State Core Program Cooperative Agreements	66.809			736,165	736,165
U.S.-Mexico Border Grants Program	66.930			12,258	12,258
Environmental Education and Training Program	66.950			115,000	115,000
Total - Environmental Protection Agency				<u>51,233,680</u>	<u>144,020,256</u>
<b>U.S. Department of Energy</b>					
Computer Code Development	81.XXX				
<i>Pass-Through from U.C. - Lawrence Livermore National Laboratory</i>	81.XXX	B235324		37,965	37,965
<i>Pass-Through from U.C. - Lawrence Livermore National Laboratory</i>	81.XXX	W-7405-ENG-48		7,216	7,216
Faculty Personal Services	81.XXX				
<i>Pass-Through from S.E. Universities Research Association</i>	81.XXX	99D5589903		24,229	24,229
Gallium and Gallium Oxide Resistance of structural Materials for the Tiger Process	81.XXX	746BH-0018-2G		95,069	95,069
Improved Oil Recovery	81.XXX				
<i>Pass-Through from Lockheed Martin Energy Research Corporation</i>	81.XXX	1DX-SY315V		41,701	41,701
Performance and Design of Below-Ground Disposal	81.XXX				
<i>Pass-Through from Howard University</i>	81.XXX	DE-FG02-94EW		8,592	8,592
Physical Model Data	81.XXX				
<i>Pass-Through from University of California - Los Alamos Scientific</i>	81.XXX	18041-001-00-2J		9,246	9,246
Plasma Methodologies	81.XXX				
<i>Pass-Through from Sandia National Laboratories</i>	81.XXX	AT-0934		21,366	21,366
Preparation of Carbon Samples	81.XXX				
<i>Pass-Through from Lawrence Livermore Nat'l Lab</i>	81.XXX	B501664		3,740	3,740
Regulation of Chloroplast	81.XXX				
<i>Pass-Through from Texas A&amp;M Research Foundation</i>	81.XXX	441671		65,227	65,227
Southwest Border and Technology Collaboration Program	81.XXX	DE-FC04-01AL609		111,793	111,793
Superconductor Research	81.XXX				
<i>Pass-Through from University of Chicago - Argonne National Laboratory</i>	81.XXX	942762401		3,630	3,630
Synchrotron-Based Studies at UTEP	81.XXX				
<i>Pass-Through from Stanford University</i>	81.XXX	DE-AC03-76-SF-0		112,607	112,607
Synthesis of Large Quantities of Di (4-T-Butylphenyl)	81.XXX				
<i>Pass-Through from Uc Los Alamos Nat'l Laboratory</i>	81.XXX	798BH-001-9945		19,998	19,998
State Energy Program	81.041		15,702	199,884	215,586
Weatherization Assistance for Low-Income Persons	81.042		3,233,185	657,780	3,890,965
Office of Science Financial Assistance Program	81.049			879,515	879,515
<i>Pass-Through from Battelle Memorial Institute</i>	81.049	296725-A-Q2		13,379	13,379
<i>Pass-Through from Texas A&amp;M Research Foundation</i>	81.049	440571		204,919	204,919
<i>Pass-Through from Tulane University</i>	81.049	TUL-068-98/99		58,977	58,977
<i>Pass-Through from University City Science Center</i>	81.049	OSP00-257		111,410	111,410
University Coal Research	81.057			8,467	8,467

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Cluster Name/Federal Grantor/Program Name/ Pass-through Entity	CFDA	Federal/Pass- through Entity Other Identifying #	Pass-through to Non-state Entities	Expenditures	Total
<b>U.S. Department of Energy (continued)</b>					
Conservation Research and Development	81.086				
<i>Pass-Through from Texas A&amp;M Research Foundation</i>	81.086	446381	\$	\$ 81,406	\$ 81,406
Fossil Energy Research and Development	81.089			137,662	137,662
Environmental Restoration	81.092			1,294,167	1,294,167
Academic Partnership	81.102				
<i>Pass-Through from Clark-Atlanta University</i>	81.102	OSP90668022		40,203	40,203
National Industrial Competitiveness through Energy, Environment, and Economics	81.102 81.105				
<i>Pass-Through from Louisiana Department of Natural     Resources</i>	81.105	431EN-97-01		17,892	17,892
Transport of Transuranic Wastes to the Waste Isolation Pilot Plant: States and Tribal Concerns, Proposed Solutions	81.106			414,902	414,902
<i>Pass-Through from Burns And Roe Enterprises, Inc</i>	81.106	2031-NMC-0047 / DE-AC04-96AL89607		59,297	59,297
Total - U.S. Department of Energy				<u>3,248,887</u>	<u>7,991,126</u>
<b>Federal Emergency Management Agency</b>					
Map Hes Hurricane Evacuation Study	83.XXX	EMT-2000-GR-0051		143,768	143,768
Hazardous Materials Training Program for Implementation of the Superfund Amendment and Reauthorization Act (SARA) of 1986	83.011			13,699	13,699
Community Assistance Program--State Support Services Element (CAP-SSSE)	83.105			107,028	107,028
Flood Mitigation Assistance	83.536		1,573,633	34,265	1,607,898
Crisis Counseling	83.539			216,979	216,979
Disaster Unemployment Assistance	83.541			779,711	779,711
Fire Suppression Assistance	83.542			480,607	480,607
Individual and Family Grants	83.543		574,420	139,862,812	140,437,232
Public Assistance Grants	83.544		41,737,829	9,483,745	51,221,574
Disaster Housing Program	83.545		1,674,913		1,674,913
First Responder Counter-Terrorism Training Assistance	83.547			1,725	1,725
Hazard Mitigation Grant	83.548		6,382,956	133,198	6,516,154
National Dam Safety Program	83.550			256,853	256,853
Project Impact--Building Disaster Resistant Communities	83.551			33,061	33,061
Emergency Management Performance Grants	83.552			302,701	302,701
Total - Federal Emergency Management Agency				<u>51,943,751</u>	<u>203,793,903</u>
<b>U.S. Department of Education</b>					
Central Texas Writing Project	84.XXX				
<i>Pass-Through from University of California</i>	84.XXX	98-TX08		32,899	32,899
College Housing and Academic Facilities	84.XXX	5-7-00078-0 & 79		130,530	130,530
Construction/Reconstruction/Renovation of Academic	84.XXX	42-3J46-6-0597A		27,744	27,744
Fund for the Improvement of Postsecondary Education	84.XXX	P116P980011		494	494
Interest Subsidy Grant	84.XXX	P172A984742		50,661	50,661
National Cooperative Education Statistics System	84.XXX	6003		4,029	4,029
National Writing Corporation	84.XXX				
<i>Pass-Through from National Writing Corporation</i>	84.XXX	00-TX09		18,076	18,076
National Writing Program	84.XXX				
<i>Pass-Through from University of California At Berkley</i>	84.XXX	92-TX03		25,000	25,000
Science to Careers Consortium	84.XXX	V278E970019		27,577	27,577



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Cluster Name/Federal Grantor/Program Name/ Pass-through Entity	CFDA	Federal/Pass- through Entity Other Identifying #	Pass-through to Non-state Entities	Expenditures	Total
<b>U.S. Department of Education (continued)</b>					
Special Education-Special Education Personnel					
Development and Parent Training	84.XXX	HD029D970014-00	\$	\$ 273,673	\$ 273,673
State Treatment Needs Assessment Studies	84.XXX	270-96-0015		419,379	419,379
Adult Education--State Grant Program	84.002		25,786,655	1,612,975	27,399,630
Title I Grants to Local Educational Agencies	84.010		657,154,784	5,024,468	662,179,252
Migrant Education--Basic State Grant Program	84.011		45,847,123	749,268	46,596,391
<i>Pass-Through from Education Service Center Region 2</i>	84.011	61500212002		2,344	2,344
<i>Pass-Through from Region 2 ESC/TEA</i>	84.011	PO# 01-4216		11,962	11,962
Title I Program for Neglected and Delinquent Children	84.013		5,077	2,080,431	2,085,508
National Resource Centers and Fellowships Program for Language and Area or Language and International Studies	84.015				
<i>Pass-Through from Greater Houston Area Writing</i>	84.015	92-TX04		22,427	22,427
Undergraduate International Studies and Foreign Language Programs	84.016			24,170	24,170
<i>Pass-Through from Texas A&amp;M Research Foundation</i>	84.016	444091		445	445
International Research and Studies	84.017			20,860	20,860
International: Overseas--Group Projects Abroad	84.021			(630)	(630)
Special Education-Personnel Development and Parent Higher Education--Institutional Aid	84.029			232	232
Vocational Education--Basic Grants to States	84.031			5,930,889	5,930,889
<i>Pass-Through from Texas Southmost College</i>	84.048	6640246	65,088,910	12,647,094	77,736,004
Leveraging Educational Assistance Partnership	84.048			529,078	529,078
Women's Educational Equity Act Program	84.069			2,237,511	2,237,511
Fund for the Improvement of Postsecondary Education	84.083			115,464	115,464
<i>Pass-Through from American String Teachers         Association</i>	84.116	13541068		952,806	952,806
<i>Pass-Through from Texas A&amp;M Research Foundation</i>	84.116	438571		5,038	5,038
<i>Pass-Through from University of Colorado</i>	84.116	P116J000007-01		2,010	2,010
Minority Science and Engineering Improvement	84.116			7,578	7,578
Rehabilitation Services--Vocational Rehabilitation Grants to States	84.120			99,239	99,239
Rehabilitation Services--Service Projects	84.126		412,449	172,248,405	172,660,854
Rehabilitation Long-Term Training	84.128			7,885	7,885
National Institute on Disability and Rehabilitation Research	84.129			392,788	392,788
Migrant Education--High School Equivalency Program	84.133			337,977	337,977
College Housing and Academic Facilities Loans	84.141			2,353,689	2,353,689
Migrant Education--College Assistance Migrant Program	84.142			792,593	792,593
<i>Pass-Through from Illinois Migrant Council</i>	84.149	#S-144A970022		690,396	690,396
Business and International Education Projects	84.149			21,759	21,759
Immigrant Education	84.153			164,837	164,837
Eisenhower Professional Development--Federal Activities	84.162		14,195,635	247,664	14,443,299
Independent Living--State Grants	84.168			68,030	68,030
Rehabilitation Services--Independent Living Services for Older Individuals Who Are Blind	84.169			1,192,500	1,192,500
Special Education--Grants for Infants and Families with Disabilities	84.177			1,381,167	1,381,167
Byrd Honors Scholarships	84.181		26,772,397	1,635,790	28,408,187
Safe and Drug-Free Schools and Communities--State Grant	84.185			2,673,010	2,673,010
Supported Employment Services for Individuals with Severe Disabilities	84.186		35,062,913	1,764,382	36,827,295
	84.187			3,113,520	3,113,520



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Cluster Name/Federal Grantor/Program Name/ Pass-through Entity	CFDA	Federal/Pass- through Entity Other Identifying #	Pass-through to Non-state Entities	Expenditures	Total
<b>U.S. Department of Education (continued)</b>					
Bilingual Education Support Services	84.194		\$ 210,862	\$ 53,494	\$ 264,356
Bilingual Education--Professional Development	84.195			3,520,793	3,520,793
<i>Pass-Through from Aldine ISD</i>	84.195	T290U70027		12,802	12,802
<i>Pass-Through from Cy Fair ISD</i>	84.195	T290U50265		1,423	1,423
Education for Homeless Children and Youth	84.196		2,830,499	709,117	3,539,616
Graduate Assistance in Areas of National Need	84.200			102,355	102,355
Even Start--State Educational Agencies	84.213		10,338,169	396,936	10,735,105
<i>Pass-Through from Beaumont Independent School     District</i>	84.213	33303		80,082	80,082
Fund for the Improvement of Education	84.215		50,000	27,233	77,233
Capital Expenses	84.216		933,625		933,625
Tech-Prep Education	84.243		8,639,581	680,202	9,319,783
<i>Pass-Through from Amarillo College</i>	84.243	409950		2,005	2,005
<i>Pass-Through from Rio Grande Empowerment Zone</i>	84.243	4098408-46		24,035	24,035
<i>Pass-Through from Tech Prep of the Rio Grande Inc.</i>	84.243	11721-23		16,833	16,833
<i>Pass-Through from Tech Prep of the Rio Grande Inc.</i>	84.243	230STC0252-10		29,610	29,610
Rehabilitation Training--State Vocational Rehabilitation Unit In-Service Training	84.265			336,760	336,760
Goals 2000--State and Local Education Systemic Improvement Grants	84.276		39,826,379	1,072,499	40,898,878
<i>Pass-Through from Ahec School To Careers</i>	84.276	32297		9,448	9,448
<i>Pass-Through from Alamo Workforce Development, Inc.</i>	84.276			26,452	26,452
<i>Pass-Through from Education Service Center Region 2</i>	84.276	350/04/28/00-040		400	400
<i>Pass-Through from Premont Independent School District</i>	84.276	620021001590399		192	192
Statewide State Implementation Grants	84.278		11,427,283	2,605,471	14,032,754
Eisenhower Professional Development State Grants	84.281		24,235,329	2,846,758	27,082,087
<i>Pass-Through from Education Service Center - Region     XIII</i>	84.281	88-227-950		948	948
<i>Pass-Through from Galveston College</i>	84.281	10-424086-424087		7,180	7,180
<i>Pass-Through from U. S. Agency For International     Development</i>	84.281	HNEA009800150		151,946	151,946
Charter Schools	84.282		7,041,604	763,074	7,804,678
Comprehensive Regional Assistance Centers	84.283				
<i>Pass-Through from Southwest Educational Development     Laboratory</i>	84.283	P46764		25,016	25,016
<i>Pass-Through from Southwest Educational Development     Laboratory</i>	84.283	SEDL P 39253 to 44406		1,118	1,118
<i>Pass-Through from University of Oklahoma</i>	84.283	SC 1996-40 P050223 BP		106,414	106,414
Ready-To-Learn Television	84.295				
<i>Pass-Through from Corporation For Public Broadcasting</i>	84.295	13690546		26,724	26,724
<i>Pass-Through from Corporation For Public Broadcasting</i>	84.295	425292-R295A00002		22,474	22,474
Innovative Education Program Strategies	84.298		27,421,673	1,205,933	28,627,606
<i>Pass-Through from University of Cal At Berkley</i>	84.298	92-TX06		37,110	37,110
Regional Technical Support and Professional Development Consortia	84.302				
<i>Pass-Through from SW Educational Development Lab</i>	84.302	74-15459118		150,679	150,679
Technology Innovation Challenge Grants	84.303				
<i>Pass-Through from San Antonio Independent School     District</i>	84.303	SATEC		48,637	48,637
National Institute on Postsecondary Education, Libraries, and Lifelong Learning	84.309			3,015	3,015

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<b>U.S. Department of Education (continued)</b>					
Even Start--Statewide Family Literacy Program	84.314		\$ 8,452	\$ 31,657	\$ 40,109
Capacity Building for Traditionally Underserved	84.315			180,615	180,615
Technology Literacy Challenge Fund Grants	84.318		40,260,171	1,232,209	41,492,380
<i>Pass-Through from Austin ISD</i>	84.318	74-6000643		94,739	94,739
<i>Pass-Through from Crandall ISD</i>	84.318	75-60012258		3,686	3,686
<i>Pass-Through from Educational Service Center Region IX</i>	84.318	75-1246742		63	63
<i>Pass-Through from Educational Service Ctr Region XIII</i>	84.318	74-15902208		20,498	20,498
<i>Pass-Through from Groesbeck ISD</i>	84.318	74-60010162		1,862	1,862
<i>Pass-Through from Liberty Eylau ISD</i>	84.318	75-14241615		16,170	16,170
<i>Pass-Through from Liberty Eylau ISD</i>	84.318	254-13-6299 50-999-1-99		11,733	11,733
<i>Pass-Through from New Braunfels ISD</i>	84.318	74-60017811		3,924	3,924
Special Education--Personnel Preparation to Improve Services and Results for Children with Disabilities	84.325			1,860,477	1,860,477
Special Education--Technical Assistance and Dissemination to Improve Services and Results for Children	84.326			540,264	540,264
Advanced Placement Incentive Program	84.330		129,630	246,052	375,682
Grants to States for Incarcerated Youth Offenders	84.331			715,961	715,961
Comprehensive School Reform Demonstration	84.332		11,918,649	699,824	12,618,473
Gaining Early Awareness and Readiness for Undergraduate Programs	84.334		4,562,344	3,668,362	8,230,706
<i>Pass-Through from Baylor University</i>	84.334	P334A990387		121,307	121,307
<i>Pass-Through from Hisd</i>	84.334	5-55112		8,252	8,252
Teacher Quality Enhancement Grants	84.336			4,646,061	4,646,061
<i>Pass-Through from Texas A&amp;M Research Foundation</i>	84.336	17412384343		69,595	69,595
<i>Pass-Through from Texas A&amp;M Research Foundation</i>	84.336	445789		177,839	177,839
<i>Pass-Through from Texas A&amp;M Research Foundation</i>	84.336	P336 B9900 84		114,748	114,748
<i>Pass-Through from Texas A&amp;M Research Foundation</i>	84.336	P336B990084		252,492	252,492
<i>Pass-Through from Texas A&amp;M Research Foundation</i>	84.336	P336B990084 445787-1		220,053	220,053
<i>Pass-Through from Texas A&amp;M Research Foundation</i>	84.336	P336B99084		164,885	164,885
Reading Excellence	84.338		7,600,953	(36,148)	7,564,805
<i>Pass-Through from Austin Independent School District</i>	84.338	DC-AM012		20,808	20,808
Learning Anytime Anywhere Partnerships	84.339			290,668	290,668
<i>Pass-Through from University of Puerto Rico</i>	84.339	LAAP#4008761		29,787	29,787
Class Size Reduction	84.340		104,383,134	73,287	104,456,421
Preparing Tomorrow's Teachers to Use Technology	84.342		43,526	1,973,112	2,016,638
<i>Pass-Through from International Society For Technology     In Education</i>	84.342	93-0745782		198,467	198,467
Occupational and Employment Information State Grants	84.346		25,942	173,921	199,863
Title I Accountability Grants	84.348		7,470,501		7,470,501
School Renovation, Idea and Technology Program	84.352			5,170	5,170
Total - U.S. Department of Education			1,179,684,249	255,109,681	1,434,793,930
<b>National Archives and Records Administration</b>					
National Historical Publications and Records Grants	89.003			1,383,531	1,383,531
Total - National Archives and Records Administration			—	1,383,531	1,383,531
<b>U.S. Department of Health and Human Services</b>					
Addiction Technology Transfer Project	93.XXX	7 UD1 5810349		24,808	24,808
An RNA Polymerase for Synthesis of Capper and Poly	93.XXX				
<i>Pass-Through from Texas A&amp;M Research Foundation</i>	93.XXX	449341		18,411	18,411
Animal Tissue Drug Residue Inspections and Education	93.XXX	223-99-4013		64,097	64,097

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<b>U.S. Department of Health and Human Services (continued)</b>					
Area Health Education	93.XXX		\$	\$ 8,470	\$ 8,470
Behavioral Risk Factor Surveillance System	93.XXX	794-9-931600		(1,788)	(1,788)
Border Health Comm	93.XXX	OPHS-0-185	19,967	27,211	47,178
Border Vision Fronteriza	93.XXX	240-95-0043		6,138	6,138
Control of Neuroblast Proliferation in Drosophila	93.XXX				
<i>Pass-Through from Texas A&amp;M Research Foundation</i>	93.XXX	442781		111,809	111,809
Cooperative Health Statistics System	93.XXX	200-95-7245		551,527	551,527
Data Collection Systems	93.XXX	Synectics		159,175	159,175
Declining Sperm Counts: Autopsy Study	93.XXX				
<i>Pass-Through from Texas A&amp;M Research Foundation</i>	93.XXX	441591		31,346	31,346
Department of Health-Healthy Gente	93.XXX	HRSA #00-0541 (P)		18,947	18,947
Diet, Apoptosis and Colon Carcinogenesis	93.XXX				
<i>Pass-Through from Texas A&amp;M Research Foundation</i>	93.XXX	450791		24,359	24,359
Enhancing Cultural Competence in Medical School	93.XXX	161115		29,904	29,904
Evaluation of Innovative HIV Testing Among U.S.-Mexico Border Populations	93.XXX				
<i>Pass-Through from Centro De Salud Familia La Fe Clinic</i>	93.XXX	U64/CC7619533		14,341	14,341
Food Inspections	93.XXX	223-99-4106		50,240	50,240
Graduate Training in Family Medicine	93.XXX	PE10368		(4,468)	(4,468)
Hazardous Substance Checks	93.XXX	CPSC-M01-0017		6,574	6,574
Health Care Facilities and other Construction	93.XXX	1 C76 HF 00133-01		453,098	453,098
Information for National Death Index	93.XXX	200-1999-07268		89,563	89,563
Information Network for Direct Programs	93.XXX	467-MZ-002051	16,425	11,864	28,289
	93.XXX	467-MZ-901908-1		1,928	1,928
Interface of Research and Treatment in Women Drug	93.XXX	TI11362		24	24
Mammography Facilities	93.XXX	223-98-4443		464,855	464,855
Mariner Mobility in the Mosquito Genome	93.XXX				
<i>Pass-Through from Texas A&amp;M Research Foundation</i>	93.XXX	446841		75,407	75,407
Mechanisms of Flavoprotein Oxidases	93.XXX				
<i>Pass-Through from Texas A&amp;M Research Foundation</i>	93.XXX	442821		90,376	90,376
Medical Harms Workshop	93.XXX	148115		41,876	41,876
Migrant Health Promotion Reach 2010	93.XXX				
<i>Pass-Through from Migrant Health Promotion, Inc.</i>	93.XXX	02-425318		6,205	6,205
Migrant Health Promotion Reach 2010 - II	93.XXX				
<i>Pass-Through from Migrant Health Promotion, Inc.</i>	93.XXX	02-426006		24,311	24,311
National Youth Sports Program Fund	93.XXX				
<i>Pass-Through from National Youth Sports Corporation</i>	93.XXX	NYSPPF269		53,477	53,477
Nurse Practitioner and Nurse Midwifery Program	93.XXX	HP00611		115,219	115,219
Protein Self-Assembly in Model Microorganisms	93.XXX				
<i>Pass-Through from Texas A&amp;M Research Foundation</i>	93.XXX	452791		594	594
Proton Atpases in Microvascular Endothelial	93.XXX				
<i>Pass-Through from Texas A&amp;M Research Foundation</i>	93.XXX	449521		23,264	23,264
Putting Prevention Into Practice	93.XXX	157215	769		769
Response to DNA Damage: Colon Vs Small Intestine	93.XXX				
<i>Pass-Through from Texas A&amp;M Research Foundation</i>	93.XXX	487842		185,481	185,481
State Planning Grant	93.XXX	6 P09 OA 00030-01		296,770	296,770
Structure and Function of Restriction Endonuclease	93.XXX				
<i>Pass-Through from Texas A&amp;M Research Foundation</i>	93.XXX	442311		9,012	9,012
Texas Addiction Training Center Program	93.XXX	7UD1TI0349		36,010	36,010
Tobacco Investigations	93.XXX	223-98-4809		5,064	5,064

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<b>U.S. Department of Health and Human Services (continued)</b>					
Cooperative Agreements to Improve the Health Status of Minority Populations	93.004				
<i>Pass-Through from Nhma Mentorship Program</i>	93.004	716399	\$	\$ 56,425	\$ 56,425
Special Programs for the Aging--Title VII, Chapter 3--Programs for Prevention of Elder Abuse, Neglect, and Exploitation	93.004				
	93.041		479,025		479,025
Special Programs for the Aging--Title VII, Chapter 2--Long- Term Care Ombudsman Services for Older Individuals	93.042		612,609		612,609
<i>Pass-Through from Special Programs For the Aging--Title II, Chapter</i>	93.042	FC38331		193,481	193,481
Special Programs for the Aging--Title III, Part F--Disease Prevention and Health Promotion Services	93.043		1,399,719		1,399,719
Special Programs for the Aging -- Title III, Part D -- In-Home Services for Frail Older Individuals	93.046		13,689		13,689
Special Programs for the Aging--Title IV--Training, Research and Discretionary Projects and Programs	93.048		178,712	145,998	324,710
Nation Family Caregiver Support Program	93.052		309,236		309,236
Demonstration Grants for Residential Treatment Programs for Women and their Children	93.102		270,621	19,521	290,142
Food and Drug Administration--Research	93.103			661	661
Comprehensive Community Mental Health Services for Children with Serious Emotional Disturbances (SED)	93.104			1,253,293	1,253,293
Minority International Research Training Grant in the Biomedical and Behavioral Sciences	93.106			116,985	116,985
<i>Pass-Through from Texas A&amp;M Research Foundation</i>	93.106	446841		99,247	99,247
Model State-Supported Area Health Education Centers	93.107		216,087	113,977	330,064
Maternal and Child Health Federal Consolidated Programs	93.110			533,903	533,903
Biological Response to Environmental Health Hazards	93.113				
<i>Pass-Through from Texas A&amp;M Research Foundation</i>	93.113	486332		723,014	723,014
Applied Toxicological Research and Testing	93.114			17,517	17,517
Project Grants and Cooperative Agreements for Tuberculosis Control Programs	93.116		2,414,538	3,355,996	5,770,534
Grants for Preventive Medicine	93.117			744	744
Acquired Immunodeficiency Syndrome (AIDS) Activity	93.118			35,708	35,708
<i>Pass-Through from City of Houston</i>	93.118	6H12HA000039		2,239	2,239
Grants for Technical Assistance Activities Related to the Block Grant for Community Mental Health Services	93.119			48,966	48,966
Oral Diseases and Disorders Research	93.121			190,971	190,971
Cooperative Agreements for Substance Abuse Treatment and Recovery Systems for Rural, Remote and Culturally Distinct Populations	93.122		(44,943)		(44,943)
Nurse Anesthetist Traineeships	93.124			15,391	15,391
Emergency Medical Services for Children	93.127			96,588	96,588
Primary Care Services--Resource Coordination and Development--Primary Care Offices	93.130			244,899	244,899
Intramural Research Training Award	93.140			30,385	30,385
NIEHS Superfund Hazardous Substances--Basic Research and Education	93.143				
<i>Pass-Through from Texas A&amp;M Research Foundation</i>	93.143	462224		181	181
AIDS Education and Training Centers	93.145				
<i>Pass-Through from Dallas County Hospital District</i>	93.145	OSP00-163		7,388	7,388
<i>Pass-Through from Parkland Health And Hospital System</i>	93.145	01LMF010009N		6,880	6,880

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Cluster Name/Federal Grantor/Program Name/ Pass-through Entity	CFDA	Federal/Pass- through Entity Other Identifying #	Pass-through to Non-state Entities	Expenditures	Total
<b>U.S. Department of Health and Human Services (continued)</b>					
Projects for Assistance in Transition from Homelessness (PATH)	93.150		\$ 1,527,896	\$ 217,948	\$ 1,745,844
Coordinated HIV Services and Access to Research for Children, Youth, Women, and Families	93.153		46,000	716,636	762,636
Geriatric Training Regarding Physicians and Dentists	93.156			365,510	365,510
Centers of Excellence	93.157		26,483	522,189	548,672
Health Program for Toxic Substances and Disease Registry	93.161		214,697	373,744	588,441
<i>Pass-Through from Texas A&amp;M Research Foundation</i>	93.161	438882		49,564	49,564
Grants for State Loan Repayment	93.165			169,455	169,455
Human Genome Research	93.172			20,408	20,408
<i>Pass-Through from Penn State</i>	93.172	R01HG0215401		191,897	191,897
Research Related to Deafness and Communication Disorder	93.173			1,732	1,732
Nursing Workforce Diversity	93.178			493	493
Disabilities Prevention	93.184		34,007	293,744	327,751
National Research Services Awards	93.186			70,589	70,589
Public Health Training Centers	93.188				
<i>Pass-Through from Association of Schools of Public Health</i>	93.188	H104-04/04		5,934	5,934
Health Education and Training Centers	93.189		205,774	383,648	589,422
Quentin N. Burdick Programs for Rural Interdisciplinary Training	93.192		88,711	75,415	164,126
<i>Pass-Through from Don &amp; Sybil Harrington Medical Center</i>	93.192	K-501-1-17		37,941	37,941
Cooperative Agreement for Drug Abuse Treatment Improvement Projects in Target Cities	93.196		(268)		(268)
Childhood Lead Poisoning Prevention Projects--State and Local Childhood Lead Poisoning Prevention and Surveillance of Blood Lead Levels in Children	93.197		2,570	171,599	174,169
Rural Telemedicine Grants	93.211			30,340	30,340
Hansen's Disease National Ambulatory Care Program	93.215		75,375	191,322	266,697
Family Planning--Services	93.217		2,693,722	8,550,641	11,244,363
Research on Healthcare Costs, Quality and Outcomes	93.226			103,633	103,633
Demonstration Cooperative Agreements for Development and Implementation of Criminal Justice Treatment Networks	93.229		682,532		682,532
Consolidated Knowledge Development and Application (KD&A) Program	93.230		2,012,518	502,768	2,515,286
Traumatic Brain Injury--State Demonstration Grant Program	93.234			101,864	101,864
Grants for Dental Public Health Residency Training	93.236			53,342	53,342
Cooperative Agreements for State Treatment Outcomes and Performance Pilot Studies Enhancement	93.238			351,111	351,111
Policy Research and Evaluation Grants	93.239			83,578	83,578
State Rural Hospital Flexibility Program	93.241		5,361	372,806	378,167
Mental Health Research Grants	93.242			86,747	86,747
<i>Pass-Through from Texas A&amp;M Research Foundation</i>	93.242	439371		30,240	30,240
Advanced Education Nursing Grant Program	93.247			418,910	418,910
Residencies in the Practice of Pediatric Dentistry	93.248			130,936	130,936
Public Health Training Centers Grant Program	93.249		51,847	146,345	198,192
Occupational Safety and Health Research Grants	93.262			790,903	790,903
Occupational Safety and Health--Training Grants	93.263		6,272	683,373	689,645
Immunization Grants	93.268		4,089,421	103,110,273	107,199,694
Alcohol Research Programs	93.273			238,485	238,485
<i>Pass-Through from Case Western University</i>	93.273	1-R03AA-12618-01		20,571	20,571
<i>Pass-Through from Texas A&amp;M Research Foundation</i>	93.273	443241		252,072	252,072
Clinical Training Grant for Faculty Development in Alcohol and Drug Abuses	93.274			107,234	107,234

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<b>U.S. Department of Health and Human Services (continued)</b>					
Drug Abuse National Research Service Awards for Research Training	93.278		\$	\$ 43,732	\$ 43,732
Mental Health National Research Service Awards for Research Training	93.282			161,339	161,339
Centers for Disease Control and Prevention--Investigations and Technical Assistance	93.283		327,059	4,900,226	5,227,285
<i>Pass-Through from Association of Schools of Public Health</i>	93.283	U36CCU300430		1,650	1,650
Nurse Practitioner and Nurse-Midwifery Education Program Advanced Nurse Education	93.298			189,916	189,916
Advanced Nurse Education	93.299			40,215	40,215
Comparative Medicine	93.306			33,296	33,296
Advanced Education Nursing Traineeships	93.358		3,759	907,187	910,946
Basic Nurse Education and Practice Grants	93.359		3,823	276,730	280,553
Nursing Research	93.361		12,588	52,731	65,319
Biomedical Technology	93.371			103,252	103,252
Minority Biomedical Research Support	93.375			107,589	107,589
Research Infrastructure	93.389		22,512	119,553	142,065
Academic Research Enhancement Award	93.390		35,819	131,727	167,546
Cancer Cause and Prevention Research	93.393		93,065	971,156	1,064,221
<i>Pass-Through from Texas A&amp;M Research Foundation</i>	93.393	443241		244,818	244,818
Cancer Detection and Diagnosis Research	93.394				
<i>Pass-Through from Acrin</i>	93.394	CA80098		3,136	3,136
Cancer Treatment Research	93.395			189,150	189,150
<i>Pass-Through from Texas A&amp;M Research Foundation</i>	93.395	443721		78,864	78,864
Cancer Biology Research	93.396				
<i>Pass-Through from Texas A&amp;M Research Foundation</i>	93.396	489602		59,266	59,266
Cancer Research Manpower	93.398			752,702	752,702
Cancer Control	93.399				
<i>Pass-Through from New York University School</i>	93.399	135562309A1		41,761	41,761
<i>Pass-Through from Southwest Oncology Group</i>	93.399	742618443		845	845
<i>Pass-Through from Texas A&amp;M Research Foundation</i>	93.399	439661		22,507	22,507
Promoting Safe and Stable Families	93.556		12,873,657	13,317,328	26,190,985
<i>Pass-Through from Caprock Home Health Services, Inc</i>	93.556	1999-0002/1999-0010		86	86
<i>Pass-Through from Lubbock Regional Mental Health &amp; Retardation</i>	93.556	13520909/13520882 /13520879		235,560	235,560
Temporary Assistance for Needy Families	93.558		134,061,044	199,448,196	333,509,240
Family Support Payments to States--Assistance Payments	93.560			(93,756)	(93,756)
Child Support Enforcement	93.563		451,862	157,243,720	157,695,582
Refugee and Entrant Assistance--State Administered	93.566		865,688	11,201,736	12,067,424
Low-Income Home Energy Assistance	93.568		56,781,777	1,606,152	58,387,929
Community Services Block Grant	93.569		25,688,633	1,506,567	27,195,200
Community Services Block Grant--Discretionary Awards	93.570				
<i>Pass-Through from National Collegiate Athletic Assoc.</i>	93.570	NCAA 00-1282;		55,536	55,536
<i>Pass-Through from National Collegiate Sports Assoc.</i>	93.570	44-0567264		(278)	(278)
<i>Pass-Through from National Youth Sports Corporation</i>	93.570	NYSPPF267		42,011	42,011
<i>Pass-Through from National Youth Sports Program</i>	93.570	44-0567264		60,195	60,195
Community Services Block Grant Discretionary Awards--Community Food and Nutrition	93.571		266,127	12,052	278,179
Refugee and Entrant Assistance--Discretionary Grants	93.576		503,540	803,555	1,307,095
Refugee and Entrant Assistance--Targeted Assistance	93.584			2,245,913	2,245,913

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<b>U.S. Department of Health and Human Services (continued)</b>					
Empowerment Zones Program	93.585		\$ 2,597,939	\$ 3,748	\$ 2,601,687
<i>Pass-Through from Valley Initiative For Development &amp;     Adv. Inc</i>	93.585	TSTC-VIDA-002		1,813	1,813
State Court Improvement Program	93.586			417,797	417,797
Community-Based Family Resource and Support Grants	93.590		688,526	334,561	1,023,087
Family Violence Prevention and Services/Grants for Battered Women's Shelters--Discretionary Grants	93.592			100,490	100,490
Welfare Reform Research, Evaluations and National Studies	93.595			636,586	636,586
Grants to States for Access and Visitation Programs	93.597		545,774	610	546,384
Head Start	93.600			2,672,194	2,672,194
<i>Pass-Through from Greater Opportunity of Permian Basin</i>	93.600	DHHS H-5616		245,448	245,448
<i>Pass-Through from National Center For Family Literacy</i>	93.600	90YL0001/01		78,887	78,887
Child Support Enforcement Demonstrations and Special Projects	93.601			63,923	63,923
Adoption Incentive Payments	93.603			2,003,663	2,003,663
Developmental Disabilities Basic Support and Advocacy	93.630		172,450	3,021,384	3,193,834
Developmental Disabilities Projects of National Significance	93.631		9,920	57,796	67,716
Children's Justice Grants to States	93.643			574,634	574,634
Child Welfare Services--State Grants	93.645		1,445,990	23,278,179	24,724,169
Social Services Research and Demonstration	93.647			110,092	110,092
<i>Pass-Through from Wellesley College</i>	93.647	W35		51,913	51,913
Adoption Opportunities	93.652			353,327	353,327
Temporary Child Care & Crisis Nurseries	93.656			(12,166)	(12,166)
Foster Care--Title IV-E	93.658		20,242,618	99,572,608	119,815,226
Adoption Assistance	93.659			38,079,111	38,079,111
Social Services Block Grant	93.667		3,167,739	142,779,926	145,947,665
Child Abuse and Neglect State Grants	93.669			1,235,344	1,235,344
Child Abuse and Neglect Discretionary Activities	93.670			61,935	61,935
Family Violence Prevention & Services/Grants for Battered Women's Shelters--Grants to States and Indian Tribes	93.671			4,527,985	4,527,985
Independent Living	93.674			3,107,157	3,107,157
State Children's Insurance Program	93.767			243,575,925	243,575,925
Health Care Financing Research, Demonstrations and Evaluations	93.779		591,947	251,704	843,651
Cell Biology and Biophysics Research	93.821			384,300	384,300
<i>Pass-Through from Rice University</i>	93.821	T32GM08280		19,929	19,929
<i>Pass-Through from Texas A&amp;M Research Foundation</i>	93.821	487841		450,578	450,578
Health Careers Opportunity Program	93.822		81,595	658,651	740,246
Basic/Core Area Health Education Centers	93.824		889,477	57,776	947,253
<i>Pass-Through from Ahec</i>	93.824	HL505521		52,501	52,501
Heart and Vascular Diseases Research	93.837			574,338	574,338
<i>Pass-Through from Texas A&amp;M Research Foundation</i>	93.837	468213		243,922	243,922
<i>Pass-Through from University of California, San     Francisco</i>	93.837	2252SC		88,301	88,301
Lung Diseases Research	93.838			743,211	743,211
Arthritis, Musculoskeletal and Skin Diseases Research	93.846		4,032	84,035	88,067
Diabetes, Endocrinology and Metabolism Research	93.847			450,242	450,242
<i>Pass-Through from South Coastal Area Health     Education Center</i>	93.847	PE00228		(1)	(1)



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<b>U.S. Department of Health and Human Services (continued)</b>					
Digestive Diseases and Nutrition Research	93.848		\$	\$ 163,030	\$ 163,030
<i>Pass-Through from Texas A&amp;M Research Foundation</i>	93.848	447011		435,051	435,051
Kidney Diseases, Urology and Hematology Research	93.849			118,468	118,468
<i>Pass-Through from Texas A&amp;M Research Foundation</i>	93.849	446990		56,495	56,495
<i>Pass-Through from University of Utah</i>	93.849	98-N-49		(271)	(271)
Extramural Research Programs in the Neurosciences and Neurological Disorders	93.853			2,817,045	2,817,045
Allergy, Immunology and Transplantation Research	93.855			332,387	332,387
Microbiology and Infectious Diseases Research	93.856		404,923	1,336,747	1,741,670
<i>Pass-Through from Albert Einstein College of Medicine</i>	93.856	1-R01-AI43268-01		81,475	81,475
<i>Pass-Through from Albert Einstein College of Medicine</i>	93.856	9526-31285		114,953	114,953
<i>Pass-Through from Texas A&amp;M Research Foundation</i>	93.856	489051		380,753	380,753
Pharmacology, Physiology, and Biological Chemistry	93.859			219	219
<i>Pass-Through from Texas A&amp;M Research Foundation</i>	93.859	446191		332,298	332,298
Genetics and Developmental Biology Research and Research Training	93.862		140,986	296,388	437,374
<i>Pass-Through from Texas A&amp;M Research Foundation</i>	93.862	481693		214,758	214,758
Population Research	93.864			165,775	165,775
<i>Pass-Through from Texas A&amp;M Research Foundation</i>	93.864	484492		45,315	45,315
Center for Research for Mothers and Children	93.865			23,165	23,165
Aging Research	93.866			449,515	449,515
<i>Pass-Through from Texas A&amp;M Research Foundation</i>	93.866	462303		25,923	25,923
Vision Research	93.867			101,744	101,744
<i>Pass-Through from Texas A&amp;M Research Foundation</i>	93.867	487422		7,506	7,506
Medical Library Assistance	93.879				
<i>Pass-Through from Rice University</i>	93.879	R20216-73993		18,338	18,338
Minority Access to Research Careers	93.880			264,604	264,604
Grants for Residency Training in General Internal Medicine and/or General Pediatrics	93.884			90,430	90,430
Physician Assistant Training in Primary Care	93.886			15,113	15,113
Resource and Manpower Development in the Environmental Health Sciences	93.894				
<i>Pass-Through from Texas A&amp;M Research Foundation</i>	93.894	482329		699,665	699,665
Grants for Faculty Development in Family Medicine	93.895			533,133	533,133
Predoctoral Training in Primary Care (Family Medicine, General Internal Medicine/General Pediatrics)	93.896		11,453	328,858	340,311
Residencies and Advanced Education in the Practice of General Dentistry	93.897			18,285	18,285
Rural Health Outreach and Rural Network Development Program	93.912			82,654	82,654
Grants to States for Operation of Offices of Rural Health	93.913			93,967	93,967
HIV Care Formula Grants	93.917		7,355,555	46,977,647	54,333,202
Cooperative Agreements for State-Based Comprehensive Breast and Cervical Cancer Early Detection Programs	93.919		1,383,518	4,451,730	5,835,248
Special Projects of National Significance	93.928				
<i>Pass-Through from Valley Aids Council</i>	93.928	HA00187		103,163	103,163
Demonstration Grants to States for Community Scholarships	93.931			(12,880)	(12,880)
Fogarty International Research Collaboration Award	93.934			40,133	40,133
Cooperative Agreements to Support Comprehensive School					



# State of Texas Schedule of Expenditures of Federal Awards

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Cluster Name/Federal Grantor/Program Name/ Pass-through Entity	CFDA	Federal/Pass- through Entity Other Identifying #	Pass-through to Non-state Entities	Expenditures	Total
<b>U.S. Department of Health and Human Services (continued)</b>					
Health Programs to Prevent the Spread of HIV and Other Important Health Problems	93.938		\$ 235,896	\$ 11,466	\$ 247,362
HIV Prevention Activities--Non-Governmental Organization Based			22,112	96,286	118,398
HIV Prevention Activities--Health Department Based	93.939		983	95,574	96,557
<i>Pass-Through from Harris County Health Department</i>	93.940	6H12HA000390		148,667	148,667
<i>Pass-Through from HCHD - NW Clinic</i>	93.940	6H12HA000390		7,454	7,454
<i>Pass-Through from HCHD - Women's Immunology</i>	93.940	H89HA00004		116,002	116,002
HIV Demonstration, Research, Public and Professional Education Projects	93.941			221,900	221,900
Human Immunodeficiency Virus (HIV)/Acquired Immunodeficiency Virus Syndrome (AIDS) Surveillance	93.944		527,597	1,811,628	2,339,225
Tuberculosis Demonstration, Research, Public and Professional Education	93.947			1,787	1,787
HIV/AIDS and Related Diseases Among Substance Abusers Community-Based Outreach and Intervention Demonstration Program	93.949		(18,187)		(18,187)
Block Grants for Community Mental Health Services	93.958		26,186,434	4,812,763	30,999,197
Block Grants for Prevention and Treatment of Substance <i>Pass-Through from Mental Health And Mental     Retardation of Harris County</i>	93.959	06-1160-013-TDD		41,463	41,463
Special Minority Initiatives	93.960		80,858	34,832	115,690
<i>Pass-Through from Rio Grande Empowerment Zone</i>	93.960	#98-20-9900801		56,502	56,502
Health Administration Traineeships and Special Projects Program	93.962			91,446	91,446
Public Health Traineeships	93.964			91,858	91,858
<i>Pass-Through from Association of Schools of Public     Health</i>	93.964	U76AH1000204		4,941	4,941
Grants for Geriatric Education Centers	93.969		27,000	192,458	219,458
<i>Pass-Through from Baylor College of Medicine</i>	93.969	5 D31 AH70085-02		11,491	11,491
<i>Pass-Through from Baylor College of Medicine</i>	93.969	5 D31 HP70112-02		6,677	6,677
<i>Pass-Through from Baylor College of Medicine</i>	93.969	5D31AH66023-06		12,317	12,317
<i>Pass-Through from Baylor College of Medicine</i>	93.969	D31AH70085		9,549	9,549
<i>Pass-Through from Baylor College of Medicine</i>	93.969	D31HP70112		6,019	6,019
Preventive Health Services--Sexually Transmitted Diseases Control Grants	93.977		1,674,365	3,094,251	4,768,616
Preventive Health Services--Sexually Transmitted Diseases Research, Demonstrations, and Public Information and Education Grants	93.978		156,657	34,434	191,091
Mental Health Disaster Assistance and Emergency Mental Health	93.982		190,314	62,666	252,980
Academic Administrative Units in Primary Care	93.984			167,878	167,878
Cooperative Agreements for State-Based Diabetes Control Programs and Evaluation of Surveillance Systems	93.988		237,753	627,584	865,337
Senior International Fellowships	93.989			178,267	178,267
Preventive Health and Health Services Block Grant	93.991		7,938,218	5,467,956	13,406,174
Maternal and Child Health Services Block Grant to the States	93.994		2,534,066	33,574,378	36,108,444
Adolescent Family Life--Demonstration Projects	93.995				
<i>Pass-Through from Dallas Public School</i>	93.995	1 APH PA000838		15,513	15,513
Total - U.S. Department of Health and Human Services			420,887,937	1,233,327,287	1,654,215,224

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Cluster Name/Federal Grantor/Program Name/ Pass-through Entity	CFDA	Federal/Pass- through Entity Other Identifying #	Pass-through to Non-state Entities	Expenditures	Total
<b>Corporation for National and Community Service</b>					
Retired and Senior Volunteer Program	94.002		\$	\$ 56,464	\$ 56,464
State Commissions	94.003			764,649	764,649
Learn and Serve America--School and Community Based Programs	94.004		2,366,808		2,366,808
AmeriCorps	94.006		10,645,869	965,362	11,611,231
<i>Pass-Through from Americorp - UTA</i>	94.006	Americorp - UTA		233,567	233,567
Training and Technical Assistance	94.009			138,335	138,335
Volunteers in Service to America	94.013			(3,751)	(3,751)
Total - Corporation for National and Community Service			13,012,677	2,154,626	15,167,303
<b>Social Security Administration</b>					
Provide Death Records to Social Security Administration	96.XXX	0600-95-18232		76,157	76,157
Provide Birth Records for Social Security Administration	96.XXX	0600-95-17704-04		644,530	644,530
Social Security Administration - VR	96.XXX	00013671034	55,082	789,061	844,143
Vocational Rehabilitation (VR)	96.XXX	28040001		5,239,689	5,239,689
Total - Social Security Administration			55,082	6,749,437	6,804,519
<b>Miscellaneous</b>					
The Center for Russian Business Cases	N.A.				
<i>Pass-Through from Texas A&amp;M Research Foundation</i>		446881		271	271
Total - Miscellaneous				271	271
Total CLUSTER			2,559,867,716	3,710,073,576	6,269,941,292
<b>RESEARCH AND DEVELOPMENT CLUSTER</b>					
<b>Agency for International Development</b>					
Enhanced Drought Tolerance for Upland Rice	02.XXX				
<i>Pass-Through from International Rice Research Institute</i>	02.XXX	13580063		29,232	29,232
Enhancing Expertise in the Systematics of Tephritidae	02.XXX				
<i>Pass-Through from Texas A&amp;M Research Foundation</i>	02.XXX	PCE-G-00-98-00048-0		19,880	19,880
Food Production in Freshwater Ecosystems	02.XXX				
<i>Pass-Through from Texas A&amp;M Research Foundation</i>	02.XXX	99-440631		22,984	22,984
Genetic Approaches to Eliminate Aflatoxin Contamin	02.XXX				
<i>Pass-Through from Texas A&amp;M Research Foundation</i>	02.XXX	RD309-022/4092084		2,288	2,288
Soil Management CRSP	02.XXX				
<i>Pass-Through from Texas A&amp;M Research Foundation</i>	02.XXX	96-1305-2		62,895	62,895
<i>Pass-Through from Texas A&amp;M Research Foundation</i>	02.XXX	RF 490711		62,210	62,210
Sorghum CRSP	02.XXX				
<i>Pass-Through from Texas A&amp;M Research Foundation</i>	02.XXX	G-00-96-9009-00		280,430	280,430
<i>Pass-Through from Texas A&amp;M Research Foundation</i>	02.XXX	RF 490672		345	345
Total - Agency for International Development				480,264	480,264
<b>U.S. Department of Agriculture</b>					
African Tephritidae: Invasive Species Threatening	10.XXX				
<i>Pass-Through from Texas A&amp;M Research Foundation</i>	10.XXX	449351		53,053	53,053
Role of Polymorphism in Diet-Induced Dyslipidemia and Atherosclerosis	10.XXX				
<i>Pass-Through from Southwest Foundation For Biomedical Research</i>	10.XXX	SC0010891		6,745	6,745
Search for Time Reversal Symmetry Violation with Lasar	10.XXX	NAG8-1764		54,158	54,158

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Cluster Name/Federal Grantor/Program Name/ Pass-through Entity	CFDA	Federal/Pass- through Entity Other Identifying #	Pass-through to Non-state Entities	Expenditures	Total
<b>RESEARCH AND DEVELOPMENT CLUSTER (continued)</b>					
<b>U.S. Department of Agriculture (continued)</b>					
The Integration of Research Internships	10.XXX				
<i>Pass-Through from St Edwards University</i>	10.XXX	SEU 00-01	\$	\$ 821	\$ 821
Topex Precision Orbit Determination	10.XXX				
<i>Pass-Through from California Inst of Tech Jet Propulsion</i>	10.XXX	1227151 / NAS7-1407		45,187	45,187
Agricultural Research--Basic and Applied Research	10.001		39,100	1,704,533	1,743,633
<i>Pass-Through from Baylor College of Medicine</i>	10.001	413750		11,188	11,188
<i>Pass-Through from Mississippi State University</i>	10.001	98-1-940-6/MSU320137		2,531	2,531
<i>Pass-Through from Texas A&amp;M Research Foundation</i>	10.001	99-444071		27,291	27,291
<i>Pass-Through from University of California, Rivers</i>	10.001	00-06		4,058	4,058
<i>Pass-Through from University of Florida</i>	10.001	L56355		6,637	6,637
Plant and Animal Disease, Pest Control, and Animal Care	10.025			102,961	102,961
Federal-State Marketing Improvement Program	10.156			39,917	39,917
Grants for Agricultural Research, Special Research Grants	10.200		19,235	4,861,958	4,881,193
<i>Pass-Through from Acdi/voca</i>	10.200	USDA 416(B)		440,250	440,250
<i>Pass-Through from Auburn University</i>	10.200	97-ENTO-534571-TAES		65	65
<i>Pass-Through from Colorado State University</i>	10.200	G-1362-2		34,857	34,857
<i>Pass-Through from Cornell University</i>	10.200	#26196-5354		(1,981)	(1,981)
<i>Pass-Through from Kansas State University</i>	10.200	99-COOP-2-7528		3,064	3,064
<i>Pass-Through from Kansas State University</i>	10.200	S00006		5,491	5,491
<i>Pass-Through from Mississippi State University</i>	10.200	99020251-01/MSH320143		9,558	9,558
<i>Pass-Through from New Mexico State University</i>	10.200	SWC-99N01/SWC-00N05		73,600	73,600
<i>Pass-Through from North Carolina State University</i>	10.200	2001-0368-08		10,915	10,915
<i>Pass-Through from North Carolina State University</i>	10.200	99-35303-8056		8,395	8,395
<i>Pass-Through from North Carolina State University</i>	10.200	LWF62-122-35802/ 99-0415-05		54,564	54,564
<i>Pass-Through from Oceanic Institute</i>	10.200	842 / FY02		76,933	76,933
<i>Pass-Through from Oklahoma State University</i>	10.200	AG-61280-A		12,087	12,087
<i>Pass-Through from Palo Alto College</i>	10.200	98-38422-6941		54,879	54,879
<i>Pass-Through from Research Triangle Institute</i>	10.200	1-35U-7437		590	590
<i>Pass-Through from University of Florida</i>	10.200	#L63046		5,089	5,089
<i>Pass-Through from University of Florida</i>	10.200	99013		2,868	2,868
<i>Pass-Through from University of Florida</i>	10.200	FL502056		478	478
<i>Pass-Through from University of Florida</i>	10.200	IR-4 L72037		6,702	6,702
<i>Pass-Through from University of Florida</i>	10.200	PO#L55676		53,877	53,877
<i>Pass-Through from University of Florida</i>	10.200	UF#S95009		4,874	4,874
<i>Pass-Through from University of GA Research     Foundation Inc</i>	10.200	97-COOP-1-4138		47,224	47,224
<i>Pass-Through from Virginia Tech</i>	10.200	CR-19093-428802		4,426	4,426
Cooperative Forestry Research	10.202			310,089	310,089
<i>Pass-Through from Texas A&amp;M Research Foundation</i>	10.202	438811		26,241	26,241
Payments to Agricultural Experiment Stations Under the Hatch Act	10.203			6,523,292	6,523,292
Payments to 1890 Land-Grant Colleges and Tuskegee University	10.205			2,734,451	2,734,451
Grants for Agricultural Research--Competitive Research	10.206		199,033	1,383,117	1,582,150
<i>Pass-Through from Louisiana Agricultural Experiment     Station</i>	10.206	903-17-5110		10,139	10,139

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Cluster Name/Federal Grantor/Program Name/ Pass-through Entity	CFDA	Federal/Pass- through Entity Other Identifying #	Pass-through to Non-state Entities	Expenditures	Total
<b>RESEARCH AND DEVELOPMENT CLUSTER (continued)</b>					
<b>U.S. Department of Agriculture (continued)</b>					
<i>Pass-Through from New Mexico State University</i>	10.206	Q00543	\$	\$ 4,111	\$ 4,111
<i>Pass-Through from North Carolina State University</i>	10.206	1999-0850-01		31,275	31,275
<i>Pass-Through from Oceanic Institute</i>	10.206	FY00		46,106	46,106
<i>Pass-Through from Oceanic Institute</i>	10.206	FY98		215,855	215,855
<i>Pass-Through from Oceanic Institute</i>	10.206	FY99		48,972	48,972
<i>Pass-Through from Southern Regional Aquacultural Center</i>	10.206	97-38500-4124		117	117
<i>Pass-Through from Texas A&amp;M Research Foundation</i>	10.206	431081/434271		755,647	755,647
<i>Pass-Through from Texas A&amp;M Research Foundation</i>	10.206	434271		191	191
<i>Pass-Through from Texas A&amp;M Research Foundation</i>	10.206	99-438861-449961		120,644	120,644
<i>Pass-Through from University of California - Riverside</i>	10.206	97-13		34,136	34,136
<i>Pass-Through from University of Central Florida</i>	10.206	97-35504-5297		2,733	2,733
<i>Pass-Through from University of Illinois</i>	10.206	00-240/1-5-28888		43,468	43,468
<i>Pass-Through from University of Illinois</i>	10.206	99-240/1-5-28887		10,103	10,103
Animal Health and Disease Research	10.207			320,881	320,881
Food and Agricultural Sciences National Needs Graduate Fellowship Grants	10.210			215,328	215,328
Small Business Innovation Research	10.212				
<i>Pass-Through from Altex Technologies Corporation</i>	10.212	550401		37,396	37,396
Sustainable Agriculture Research and Education	10.215		21,135	40,228	61,363
<i>Pass-Through from North Carolina State University</i>	10.215	98-0409-14		2,739	2,739
<i>Pass-Through from University of Florida</i>	10.215	IR-4		3,420	3,420
<i>Pass-Through from University of Georgia</i>	10.215	RD309-031/5494533		14,144	14,144
<i>Pass-Through from University of Georgia</i>	10.215	RS309-032/1575577		124	124
<i>Pass-Through from University of Georgia-Sare</i>	10.215	RD309-031/8454854		13,707	13,707
1890 Institution Capacity Building Grants	10.216			179,130	179,130
<i>Pass-Through from Texas A&amp;M Research Foundation</i>	10.216	434571		36,242	36,242
<i>Pass-Through from Texas A&amp;M Research Foundation</i>	10.216	434581		24,955	24,955
<i>Pass-Through from Texas A&amp;M Research Foundation</i>	10.216	444671		29,403	29,403
<i>Pass-Through from Texas A&amp;M Research Foundation</i>	10.216	446461		4,562	4,562
Higher Education Challenge Grants	10.217		8,911	160,559	169,470
Biotechnology Risk Assessment Research	10.219				
<i>Pass-Through from Alabama A&amp;M University</i>	10.219	410242		8,089	8,089
Hispanic Serving Institutions Education Grants	10.223			137,020	137,020
<i>Pass-Through from Houston Community College</i>	10.223	00-38422-9171		1,677	1,677
Fund for Rural America--Research, Education, and Extension Activities	10.224			113,301	113,301
<i>Pass-Through from North Carolina State University</i>	10.224	00-1320-040W		119	119
<i>Pass-Through from Texas A&amp;M Research Foundation</i>	10.224	99-441061		8,151	8,151
<i>Pass-Through from University of Arizona</i>	10.224	97-36200518/PO#Y46088		771	771
<i>Pass-Through from University of Arizona</i>	10.224	Y702147		9,523	9,523
Agricultural and Rural Economic Research	10.250			182,012	182,012
Initiative for Future Agriculture and Food Systems	10.302		7,013	267,361	274,374
<i>Pass-Through from Dartmouth College</i>	10.302	36275		34,819	34,819
Integrated Programs	10.303		281,634	141,032	422,666
<i>Pass-Through from North Carolina State University</i>	10.303	2000-1728-04		562	562
<i>Pass-Through from University of Florida</i>	10.303	SC000453-1-6		56,271	56,271
Small Farmer Outreach Training and Technical Assistance Program	10.443			193,496	193,496

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<b>RESEARCH AND DEVELOPMENT CLUSTER (continued)</b>					
<b>U.S. Department of Agriculture (continued)</b>					
Cooperative Extension Service	10.500		\$ 149,847	\$ 18,815,021	\$ 18,964,868
<i>Pass-Through from Alabama Cooperative Extension</i>	10.500	ACES/ASATP-YD-7		80,196	80,196
<i>Pass-Through from Auburn University</i>	10.500	ACES/ASATP-YD-7, P4586		7,479	7,479
<i>Pass-Through from Cornell University</i>	10.500	99-41560-0821		13,692	13,692
<i>Pass-Through from Kansas State University</i>	10.500	S01034		1,010	1,010
<i>Pass-Through from North Carolina State University</i>	10.500	1996-1666-15		9,034	9,034
<i>Pass-Through from North Carolina State University</i>	10.500	1999-0415-10		14,914	14,914
<i>Pass-Through from North Carolina State University</i>	10.500	2000-0352-13		3,166	3,166
<i>Pass-Through from North Carolina State University</i>	10.500	2000-0352-18		61,643	61,643
<i>Pass-Through from North Carolina State University</i>	10.500	5-36414		64,646	64,646
<i>Pass-Through from North Carolina State University</i>	10.500	99-0263-02		5,288	5,288
Special Supplemental Nutrition Program for Women, Infants, and Children	10.557			86,388	86,388
Child and Adult Care Program	10.558			507,291	507,291
Nutrition Education and Training Program	10.564			4,207,770	4,207,770
Foreign Market Development Cooperator Program	10.600			63,477	63,477
<i>Pass-Through from Binational Agricultural R&amp;D Fund</i>	10.600	IS-2806-97R		99,609	99,609
Market Access Program	10.601			2,232	2,232
Forestry Research	10.652			547,120	547,120
<i>Pass-Through from University of Arizona</i>	10.652	PO Y435285 IALC 97R-02		9,012	9,012
Cooperative Forestry Assistance	10.664			6,381	6,381
Rural Business Enterprise Grants	10.769			42,554	42,554
Livestock, Meat and Poultry Market Supervision	10.800			29,481	29,481
Distance Learning and Telemedicine Loans and Grants	10.855			575	575
Resource Conservation and Development	10.901		93,705	701,557	795,262
Soil and Water Conservation	10.902			59,069	59,069
Plant Materials for Conservation	10.905			43,573	43,573
Environmental Quality Incentives Program	10.912		20,397	203,736	224,133
Agricultural Statistics Reports	10.950			41,386	41,386
Technical Agricultural Assistance	10.960			126,874	126,874
Scientific Cooperation and Research	10.961			65,366	65,366
<i>Pass-Through from Chemonics International, Inc.</i>	10.961	PCE-I-00-99-00003-00-12		37,120	37,120
<i>Pass-Through from Food and Ag Org - United Nation</i>	10.961	0001522 FY2000		24,002	24,002
<i>Pass-Through from Food and Ag Org - United Nation</i>	10.961	FY2001		25,054	25,054
<i>Pass-Through from Icrisat</i>	10.961	0002275		(649)	(649)
<i>Pass-Through from Texas A&amp;M Research Foundation</i>	10.961	490691		85,625	85,625
<i>Pass-Through from United States Aid</i>	10.961	PCE-G-00-98-000049-00		67,783	67,783
<i>Pass-Through from University of California at Davis</i>	10.961	111-20-21		3,572	3,572
<i>Pass-Through from University of California at Davis</i>	10.961	111-20-21/MOD#21-0		39,968	39,968
<i>Pass-Through from University of Georgia</i>	10.961	0000945		485,727	485,727
International Training--Foreign Participant	10.962				
<i>Pass-Through from Michigan State University</i>	10.962	615803A		136,740	136,740
<i>Pass-Through from University of California - Davis</i>	10.962	CRSP111-20-24 MOD22-0		330,003	330,003
Total - U.S. Department of Agriculture			840,010	49,522,037	50,362,047
<b>U.S. Department of Commerce</b>					
Environment Integration into Post NAFTA North America	11.XXX	IA-ASCF-G7190326		82,880	82,880
Exposure of Small Structure to Extreme Winds	11.XXX	NA1341-01U0029		24,996	24,996
Field Test of an Ecophysiological Model	11.XXX	R/M-69		12,604	12,604

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<b>RESEARCH AND DEVELOPMENT CLUSTER (continued)</b>					
<b>U.S. Department of Commerce (continued)</b>					
Raytheon Npoess-VIIRS Integrated Algorithym Team	11.XXX				
<i>Pass-Through from Raytheon Co</i>	11.XXX	F04701-01-C-0500	\$	\$ 14,137	\$ 14,137
Stability and Change in Gulf of Mexico Chemosynthetic	11.XXX				
<i>Pass-Through from TX A&amp;M Research Foundation</i>	11.XXX	S700032		(180)	(180)
Stable Nitrogen Isotope Signatures	11.XXX				
<i>Pass-Through from University of N. Carolina/Wilmington</i>	11.XXX	37-NURP-2000-18-UTA		25,611	25,611
Economic Development--Technical Assistance	11.303			312,329	312,329
Trade Adjustment Assistance	11.313				
<i>Pass-Through from U.S.-Mexico Chamber of Commerce</i>	11.313	97-3170 US		5,335	5,335
Geodetic Surveys and Services (Geodesy and Applications of the National Geodetic Reference System)	11.400				
<i>Pass-Through from Texas A&amp;M Research Foundation</i>	11.400	441961-00001		3,545	3,545
<i>Pass-Through from Texas A&amp;M Research Foundation</i>	11.400	99-445391-449211		70,434	70,434
Interjurisdictional Fisheries Act of 1986	11.407			165,294	165,294
Sea Grant Support	11.417		140,090	1,869,579	2,009,669
<i>Pass-Through from Louisiana State University</i>	11.417	10-424104		46,813	46,813
<i>Pass-Through from University of Mississippi</i>	11.417	426005:UM-01-10-11-026		3,837	3,837
Coastal Zone Management Administration Awards	11.419			568,642	568,642
<i>Pass-Through from Nueces County</i>	11.419	20010018		8,286	8,286
Financial Assistance for National Centers for Coastal Ocean Science	11.426			16,000	16,000
Fisheries Development and Utilization Research and Development Grants and Cooperative Agreements Prog.	11.427			188,721	188,721
<i>Pass-Through from Texas A&amp;M Research Foundation</i>	11.427	444771		42,812	42,812
<i>Pass-Through from Texas A&amp;M Research Foundation</i>	11.427	447431, 448541, 449611		49,109	49,109
<i>Pass-Through from Texas A&amp;M Research Foundation</i>	11.427	99-444771		940	940
<i>Pass-Through from University of Southern Mississippi</i>	11.427	USM-0315001101-C10		106,083	106,083
Marine Sanctuary Program	11.429				
<i>Pass-Through from Texas A&amp;M Research Foundation</i>	11.429	649451		1,191	1,191
<i>Pass-Through from Texas A&amp;M Research Foundation</i>	11.429	99-649451		5,308	5,308
Undersea Research	11.430				
<i>Pass-Through from Perry Foundation, Inc.</i>	11.430	CMRC 97-3108		(15)	(15)
<i>Pass-Through from University of Alaska</i>	11.430	FP006497/NA67RJ0147		33,518	33,518
<i>Pass-Through from Texas A&amp;M Research Foundation</i>	11.430	441982-445711		135,138	135,138
Climate and Atmospheric Research	11.431			31,426	31,426
<i>Pass-Through from Texas A&amp;M Research Foundation</i>	11.431	99-440451-449321		323,402	323,402
Marine Fisheries Initiative	11.433			10,200	10,200
<i>Pass-Through from Texas A&amp;M Research Foundation</i>	11.433	442341		31,355	31,355
<i>Pass-Through from Texas A&amp;M Research Foundation</i>	11.433	445041-00001		38,573	38,573
<i>Pass-Through from Texas A&amp;M Research Foundation</i>	11.433	99-442341		448	448
Cooperative Fishery Statistics	11.434			84,144	84,144
Southeast Area Monitoring and Assessment Program	11.435			87,109	87,109
Marine Mammal Data Program	11.439				
<i>Pass-Through from Texas A&amp;M Research Foundation</i>	11.439	450831		14,673	14,673
<i>Pass-Through from Texas A&amp;M Research Foundation</i>	11.439	452511-452991		9,451	9,451

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<b>RESEARCH AND DEVELOPMENT CLUSTER (continued)</b>					
<b>U.S. Department of Commerce (continued)</b>					
Special Oceanic and Atmospheric Projects	11.460				
<i>Pass-Through from Texas A&amp;M Research Foundation</i>	11.460	646901, 688733, 688734, 688735	\$	\$ 16,245	\$ 16,245
<i>Pass-Through from Texas A&amp;M Research Foundation</i>	11.460	99-646901-688735		51,979	51,979
Cooperative Institute for Applied Meteorological Studies (CIAMS) and Cooperative Institute for Tropical Meteorology (CITM)	11.468				
<i>Pass-Through from Texas A&amp;M Research Foundation</i>	11.468	99-440621-451711		130,224	130,224
Center for Sponsored Coastal Ocean Research--Coastal Ocean Program	11.478				
<i>Pass-Through from Texas A&amp;M Research Foundation</i>	11.478	99-444981-450331		142,398	142,398
Technology Opportunities	11.552			139,132	139,132
National Standard Reference Data System	11.603				
<i>Pass-Through from Texas A&amp;M Research Foundation</i>	11.603	99-444241		20,106	20,106
Measurement and Engineering Research and Standards	11.609			1,736,856	1,736,856
<i>Pass-Through from TX Southern University</i>	11.609	UTA01-187		4,829	4,829
Manufacturing Extension Partnership	11.611		720,036	1,779,356	2,499,392
Advanced Technology Program	11.612				
<i>Pass-Through from Alexion</i>	11.612	70NANB993014		60,118	60,118
<i>Pass-Through from Texas A&amp;M Research Foundation</i>	11.612	99-437491		7,226	7,226
Total - U.S. Department of Commerce				<u>860,126</u>	<u>9,372,323</u>
<b>U.S. Department of Defense</b>					
A Demonstration to Evaluate an Integrated System of Disease Management Amount A.P.	12.XXX	008105	3,034,951	1,956,493	4,991,444
A1GAN/GAN Ultra-Violet Photodetectors	12.XXX				
<i>Pass-Through from Intelligence US, Inc</i>	12.XXX	UTA98-0442		193,311	193,311
Adaptive Optoelectronic Eye	12.XXX				
<i>Pass-Through from University of Michigan</i>	12.XXX	SUB NO. F000489		87,347	87,347
Aides: An Automatic Interactive Decision Engine for	12.XXX				
<i>Pass-Through from Texas A&amp;M Research Foundation</i>	12.XXX	MDA904-99-C-2654		82,651	82,651
Approximate Symmetry in the Design of Non-Linear Optical Materials	12.XXX				
<i>Pass-Through from Delaware State University</i>	12.XXX	TX8956		(8,812)	(8,812)
Aptamer-Based Detector for Rapid Optical Detection	12.XXX				
<i>Pass-Through from Brandeis University</i>	12.XXX	UTA00-492 04-46325		92,063	92,063
Assistance in the Field Data Collection for Sabine/Nueces Waterway Project	12.XXX	DACW42-01-P-0269		8,744	8,744
Bacteriophage Displayed Epitope Reagents	12.XXX				
<i>Pass-Through from Battelle</i>	12.XXX	TCN 99118		7,641	7,641
Biological Obscurants	12.XXX				
<i>Pass-Through from Systems Processes Engineering Corp</i>	12.XXX	SPEC-98-S-001		5,493	5,493
Blind G1gan P-I-N Photodetectors	12.XXX	F19628-99-C0013		102,247	102,247
CEM	12.XXX				
<i>Pass-Through from Unrelated to Sponsor</i>	12.XXX	Unallocated Returns		337,785	337,785
Center for Chips with Heterogeneously Integrated Photonics	12.XXX				
<i>Pass-Through from University of California-San Diego</i>	12.XXX	10191576		18,365	18,365
Center for Imaging Sciences	12.XXX				
<i>Pass-Through from John Hopkins University</i>	12.XXX	8905-48168		102,284	102,284
Chemoprevention of Ovarian Cancer	12.XXX	MDACC 00-02		133,406	133,406



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<b>RESEARCH AND DEVELOPMENT CLUSTER (continued)</b>					
<b>U.S. Department of Defense (continued)</b>					
CIT Array for the University of Alaska Fairbanks	12.XXX				
<i>Pass-Through from University of Alaska</i>	12.XXX	FP001669	\$	\$ 1,588	\$ 1,588
CNMTE/MSAT Support	12.XXX				
<i>Pass-Through from Performance Support Inc</i>	12.XXX	PSUTA OO-001 DOFH57006		62,093	62,093
Core Studies in Support of Neutral Buoyancy Sear	12.XXX				
<i>Pass-Through from Lockheed Martin Idaho Tech</i>	12.XXX	K98-179941		182	182
Countermeasures/development of Nonwoven Protective	12.XXX	DAAD13-00-C0051		555,730	555,730
Countermeasures/modeling Airborne Transport of Hazardous	12.XXX	DAAD13-00C0048		314,853	314,853
Cultural Resources Mgt Plan - Camp Navajo	12.XXX				
<i>Pass-Through from State of Arizona Dept. of Emergency &amp; Military Affairs</i>	12.XXX	KR95-0979AL		9,419	9,419
Department of Defense, Environmental Sensing	12.XXX	AGR00RZES03-AR56		55,988	55,988
Department of Defense Environmental Sensing	12.XXX	IPA99CRZES0005		36,373	36,373
Detection of Pathogenic Microorganisms and Toxins	12.XXX	LA#003		80,200	80,200
Development Grant Proposal for the Wellness Center	12.XXX				
<i>Pass-Through from H.M. Jackson Foundation</i>	12.XXX	28371		842	842
Development of a Crowbar Upgrade	12.XXX	PO#2000C02399		3,195	3,195
Direct Injection Spark Ignition Vehicles	12.XXX				
<i>Pass-Through from Argonne Natl Lab</i>	12.XXX	982682401		6	6
Directed Energy Weapon Power Generation	12.XXX				
<i>Pass-Through from Schafer Corporation</i>	12.XXX	SC-OOW-67-01		13,901	13,901
Dispersion-Enhanced Multiplexed Photopolymer Graft	12.XXX				
<i>Pass-Through from Radiant Photonics Inc</i>	12.XXX	UTA99-0125		614,984	614,984
Drum-Pulsed Power fro Electric Gun Program	12.XXX				
<i>Pass-Through from Lockheed Martin Corp</i>	12.XXX	3-2811/OL-073 Start Work		2,060,517	2,060,517
Electron Beam Resist Materials Research	12.XXX				
<i>Pass-Through from Etec Systems Inc</i>	12.XXX	UTA00-327		44,954	44,954
Electromagnetic Aircraft Launch System Program	12.XXX				
<i>Pass-Through from General Atomics</i>	12.XXX	SC B00702, MOD 1		1,604,171	1,604,171
<i>Pass-Through from General Dynamics</i>	12.XXX	MET001757		138,700	138,700
Emcore Corp	12.XXX				
<i>Pass-Through from Emcore Corp</i>	12.XXX	UTA00-044		57,359	57,359
Evaluating the 10-Hour Work Rule	12.XXX				
<i>Pass-Through from Texas A&amp;M Research Foundation</i>	12.XXX	B1235		78,367	78,367
Evaluation of High Barrier Non-Foil Films for More	12.XXX				
<i>Pass-Through from Texas A&amp;M Research Foundation</i>	12.XXX	DAAK60-97- C-9238-437581		22,852	22,852
Evaluation of High Barrier Non-Foil Films for MRE	12.XXX				
<i>Pass-Through from Texas A&amp;M Research Foundation</i>	12.XXX	DAAK60-97-C-9238		879	879
Experimental Study of Plasma Limiters	12.XXX				
<i>Pass-Through from Gomez Research Associates</i>	12.XXX	1010-99SC-010		3,581	3,581
Fault Isolation and Configuration	12.XXX				
<i>Pass-Through from Stanford University</i>	12.XXX	PR-0172		17,557	17,557
Flywheel Battery Project	12.XXX				
<i>Pass-Through from Southern Coalition For Adv Trans</i>	12.XXX	MDA972-94-2-0003 (RA94-24), MOD 10	7,607	484,803	492,410
Fort Hood Watershed Study and WIAR	12.XXX	DACA39-98-K-0056		89,072	89,072
Fort Hood Watershed Study and WIAR	12.XXX	DACA42-01-C-0012		133,246	133,246



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<b>RESEARCH AND DEVELOPMENT CLUSTER (continued)</b>					
<b>U.S. Department of Defense (continued)</b>					
Harnessing TLR4, sole Endotoxin Switch Protein	12.XXX	N65236-99-C-5832	\$	\$ 102,081	\$ 102,081
Heterogeneous Optoelectronics Technology Center	12.XXX				
<i>Pass-Through from University of California At Santa Barbara</i>	12.XXX	KK8017		46,474	46,474
High Performance Computing Modernization Program	12.XXX				
<i>Pass-Through from Computer Sciences Corp</i>	12.XXX	NRC-CR-97-0002, MOD 10		1,086,711	1,086,711
<i>Pass-Through from Nichols Research Corp</i>	12.XXX	NRC-CR-97-0002, 1001A, MOD. 6		(18)	(18)
Housatonic River Project	12.XXX				
<i>Pass-Through from Texas A&amp;M Research Foundation</i>	12.XXX	24776		9,286	9,286
<i>Pass-Through from Texas A&amp;M Research Foundation</i>	12.XXX	99-652161		34,820	34,820
Immune Building Integrated System Experiment	12.XXX				
<i>Pass-Through from John Hopkins University</i>	12.XXX	841942		237,881	237,881
Integrated Solid-state Laser Module Research	12.XXX				
<i>Pass-Through from University of Dayton Research     Institute</i>	12.XXX	RSC99025		44,129	44,129
Interface Phonon Effects on Carrier Capture	12.XXX				
<i>Pass-Through from Battelle</i>	12.XXX	TCN 00087		46,578	46,578
Intergovernmental Personnel Act of 1970	12.XXX	IPA 0401-01		70,470	70,470
Jason-1 Precision Orbit Verification	12.XXX				
<i>Pass-Through from California Inst of Tech Jet Propulsion</i>	12.XXX	961429 & MOD 1		137,212	137,212
Large Surface Biochem Decontamination	12.XXX	DAAD13-00-C0058		218,701	218,701
Magnetic Resonance Force Microscope	12.XXX				
<i>Pass-Through from Texas A&amp;M Research Foundation</i>	12.XXX	DAAG55-97-I-0229		547	547
Magnetic Resonance Force Microscopy Using High-Q Multiple Torsional Mechanical Oscillators	12.XXX				
<i>Pass-Through from Xidex Corp</i>	12.XXX	UTA00-071		62,155	62,155
Maintenance and Repairs of Lubbock armed Forces and Guard Reserve Center	12.XXX	LUBBOCK		153,624	153,624
Manufacturable, Multi-Dimensional High Capacity Optoelectronic Interconnects	12.XXX				
<i>Pass-Through from University of New Mexico</i>	12.XXX	3-10981-7820		173,041	173,041
Mapping Middle Miocene to Lowermost Pleistocene	12.XXX				
<i>Pass-Through from TX A &amp; M Research Fdn</i>	12.XXX	F000762		9,363	9,363
Modeling and Simulation of Scavenging Modifications of the Adcirc-No Hurricane Model	12.XXX	DAAD13-00C0055 ND45005 /		188,730	188,730
<i>Pass-Through from University of Notre Dame</i>	12.XXX	DACW29-00-C-0085		106,163	106,163
Multi-Scale Approach to Semiconductor Device Simulation	12.XXX				
<i>Pass-Through from University of Illinois</i>	12.XXX	96-228		130,128	130,128
<i>Pass-Through from University of Illinois-Champaign Urban</i>	12.XXX	98-276		131,430	131,430
National Defense Science & Engineering	12.XXX				
<i>Pass-Through from Southeastern Ctr For Elec Eng Ed</i>	12.XXX	SSAN: 067-48-8367		24,058	24,058
Novel Approaches to III-V Nitride Materials	12.XXX				
<i>Pass-Through from BAE Systems</i>	12.XXX	RN3020		1,424	1,424
Obscurants-Bioreactor	12.XXX				
<i>Pass-Through from Systems Processes Engineering Corp</i>	12.XXX	SPEC-99-S-005		53,852	53,852
Obscurants-MEMS Sensor	12.XXX	UTA00-6702		136,620	136,620
Obscurants-Phase II	12.XXX				
<i>Pass-Through from Systems Processes Engineering Corp</i>	12.XXX	SPEC-99-S-001		27,126	27,126

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<b>RESEARCH AND DEVELOPMENT CLUSTER (continued)</b>					
<b>U.S. Department of Defense (continued)</b>					
Office of Naval Research Virtual Colonoscopy Project	12.XXX				
<i>Pass-Through from Medical University of South     Carolina Foundation</i>	12.XXX	ONR VCC 033	\$	\$ 4,784	4,784
Operation & Evaluation of Caribbean Radiation	12.XXX				
<i>Pass-Through from Pacific Sierra Research</i>	12.XXX	PSR-99-0031-02-SC2		7,612	7,612
Packaging Applications	12.XXX				
<i>Pass-Through from Texas A&amp;M Research Foundation</i>	12.XXX	DAAK60-97-C-9238		12,157	12,157
Partition of Unity Methods	12.XXX				
<i>Pass-Through from Sandia Natl Labs</i>	12.XXX	BE-1965		328,649	328,649
Passive Acoustic Seismic Electromagnetic Monitoring	12.XXX				
<i>Pass-Through from Science Application Intl Corp</i>	12.XXX	4500152859, MOD 12		1,263,656	1,263,656
Protocol Driven Health Care Domains	12.XXX	UTA98-0336		32,877	32,877
Provide Videoconference (VC) Training	12.XXX				
<i>Pass-Through from Texas A&amp;M Research Foundation</i>	12.XXX	DCA100-00-D- 4002-446421		15,858	15,858
Rapid Knowledge Formation Program	12.XXX				
<i>Pass-Through from S R I Intl</i>	12.XXX	34-000146		493,536	493,536
Reconfigurable Antennas-Dept. of the Army	12.XXX	DABT63-97-C-0041	25,406	58,062	83,468
Research Network for Lithography at 100NM and Beyond	12.XXX				
<i>Pass-Through from University of California-Berkeley</i>	12.XXX	SA1644PG, AMD. 2 F41624-99-20002		262,913	262,913
Risk Based Approaches for Improved Toxic Chemical	12.XXX	(IERA-99-0001) F4162-99-20002		1,336,616	1,336,616
	12.XXX	(IERA-99-0001)	61,056		61,056
Sbcom/combinative Toxicity	12.XXX	DAAD13-00-C0056		296,220	296,220
Sbcom/countermeasures to Biological and Chemical	12.XXX	DAAD13-00-C0039		635,051	635,051
Sbcom/determination of Spread Pattern	12.XXX	DAAD13-00-C0061		190,181	190,181
Sbcom/miniatuized Highly Parallel Florescence	12.XXX	DAAD13-C-00-0060		310,884	310,884
Sbcom/modeling and Simulation of Scavenging	12.XXX	DAAD13-00C0055	51,147		51,147
Sbcom/system Approach to Modeling	12.XXX	DAAD13-00C0046		215,964	215,964
Scientific Analysis and Engineering	12.XXX	26-0790-01		64,424,833	64,424,833
	12.XXX	26-0790-01	18,465		18,465
	12.XXX	26-0790-01	207,500		207,500
	12.XXX	26-0790-01	379,409		379,409
	12.XXX	26-0790-01	94,008		94,008
	12.XXX	26-0790-01	18,244		18,244
	12.XXX	26-0790-01	80,999		80,999
	12.XXX	26-0790-01	2,703		2,703
	12.XXX	26-0790-01	88,045		88,045
Stress-Engineered Quantum Dot Arrays	12.XXX				
<i>Pass-Through from University of Southern California</i>	12.XXX	30919		209,537	209,537
System Modeling and Simulation for the M270A1 Missile	12.XXX				
<i>Pass-Through from Analytical Services Inc</i>	12.XXX	ASI-UTA-00-0612		38,224	38,224
Testing for Mycoplasma Infection: Replicability of NGT and FPCF	12.XXX				
<i>Pass-Through from Sra Life Sciences</i>	12.XXX	DAMD17		17,156	17,156
The DISA Project	12.XXX				
<i>Pass-Through from Texas A&amp;M Research Foundation</i>	12.XXX	DCA100-00-D- 4022-446481		24,986	24,986
The Institute for Environmental and Human Health	12.XXX	DAAD13-00-C		666,771	666,771

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<b>RESEARCH AND DEVELOPMENT CLUSTER (continued)</b>					
<b>U.S. Department of Defense (continued)</b>					
Triggered Vacuum Gap Technology					
Triggered Vacuum Gap Switch Research	12.XXX	UTA98-0430	\$	\$ 163,863	\$ 163,863
<i>Pass-Through from Physics International</i>	12.XXX	025624		(1,280)	(1,280)
Tunable Multifunctional Optical Polymer Systems	12.XXX				
<i>Pass-Through from University of Rochester</i>	12.XXX	411158-G		904	904
U.S. Dept of Defense	12.XXX	61-0272020		6,345	6,345
UT Battelle, LLC	12.XXX				
<i>Pass-Through from Texas A&amp;M Research Foundation</i>	12.XXX	400008-468-446491		5,847	5,847
Vulnerability Analysis	12.XXX				
<i>Pass-Through from Ball Aerospace And Technologies Corp</i>	12.XXX	Z36019		27,083	27,083
Waco Perchlorate Study	12.XXX	13351117		159,310	159,310
Water Monitoring on Lake Kemp	12.XXX				
<i>Pass-Through from Wendy Lopez &amp; Associates</i>	12.XXX	DACW56-98-D0006		44,699	44,699
Wms and Idlams	12.XXX	DACA39-98-K-0056		33,704	33,704
Procurement Technical Assistance For Business Firms	12.002			256,343	256,343
Aquatic Plant Control	12.100			3,581	3,581
<i>Pass-Through from Texas A&amp;M Research Foundation</i>	12.100	DAAD19-01-1-0346		9,644	9,644
<i>Pass-Through from Texas A&amp;M Research Foundation</i>	12.100	N00014-00-1-0664		72,124	72,124
Flood Control Projects	12.106			4,829	4,829
Planning Assistance to States	12.110			1,758	1,758
Collaborative Research and Development	12.114		79,391	1,478,618	1,558,009
<i>Pass-Through from Academy of Applied Science</i>	12.114	DAAD19-99		5,000	5,000
<i>Pass-Through from G.E.C., Inc.</i>	12.114	DACW66-97-D-0049		133,072	133,072
<i>Pass-Through from Knowledge Based Systems, Inc.</i>	12.114	5100-001		20,761	20,761
<i>Pass-Through from Nichols Research</i>	12.114	NRC-CR-00-0003		78,426	78,426
<i>Pass-Through from Nichols Research Foundation</i>	12.114	NCR-CR-00-0002		111,486	111,486
<i>Pass-Through from Syndetix, Inc.</i>	12.114	PO 01-03-7369		27,647	27,647
<i>Pass-Through from Texas A&amp;M Research Foundation</i>	12.114	B20076		7,905	7,905
<i>Pass-Through from Texas A&amp;M Research Foundation</i>	12.114	DAAD19-00-1-0166		608	608
<i>Pass-Through from Texas A&amp;M Research Foundation</i>	12.114	N000140110532		16,559	16,559
<i>Pass-Through from the Nature Conservancy of Texas</i>	12.114	TXFH-03-14-01-01		23,225	23,225
<i>Pass-Through from University of Pittsburgh</i>	12.114	400848-1		4,008	4,008
<i>Pass-Through from Wendy Lopez &amp; Associates</i>	12.114	75-2249945		66,425	66,425
Basic and Applied Scientific Research	12.300		974,461	17,572,093	18,546,554
<i>Pass-Through from Academy of Applied Science, Inc.</i>	12.300	426003:			
<i>Pass-Through from Academy of Applied Science, Inc.</i>	12.300	DAAG55-98-1-0468		16,089	16,089
<i>Pass-Through from Aeroprobe Corporation</i>	12.300	N00014-98-C-0061		143	143
<i>Pass-Through from BBN Technologies</i>	12.300	B501325		71,635	71,635
<i>Pass-Through from Fiber Dynamics, Inc.</i>	12.300	N00024-00-C-4085		135,615	135,615
<i>Pass-Through from Geo-Centers</i>	12.300	04-2527130		(14,378)	(14,378)
<i>Pass-Through from SCEEE Services Corporation</i>	12.300	02-425255		18,052	18,052
<i>Pass-Through from Science Applications International Corporation</i>	12.300	#4400034330; Mod. 2		35,529	35,529
<i>Pass-Through from Southern University</i>	12.300	32-2722901		58,870	58,870
<i>Pass-Through from Texas A&amp;M Research Foundation</i>	12.300	080700-535496		13,877	13,877
<i>Pass-Through from Texas A&amp;M Research Foundation</i>	12.300	27769		240,353	240,353
<i>Pass-Through from Texas A&amp;M Research Foundation</i>	12.300	644501		1,385	1,385
<i>Pass-Through from Texas A&amp;M Research Foundation</i>	12.300	GCDB-98-713-1298		8,928	8,928
<i>Pass-Through from Texas A&amp;M Research Foundation</i>	12.300	GCDB-98-713-1298(DB)		11,997	11,997

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<b>RESEARCH AND DEVELOPMENT CLUSTER (continued)</b>					
<b>U.S. Department of Defense (continued)</b>					
<i>Pass-Through from Texas A&amp;M Research Foundation</i>	12.300	GCMP-01-112-0888	\$	\$ 2,826	\$ 2,826
<i>Pass-Through from Texas A&amp;M Research Foundation</i>	12.300	N00014-00-1-0823		55,229	55,229
<i>Pass-Through from Texas A&amp;M Research Foundation</i>	12.300	N00014-00-1-0041		144,164	144,164
<i>Pass-Through from Texas A&amp;M Research Foundation</i>	12.300	N00014-00-1-0095		99,883	99,883
<i>Pass-Through from Texas A&amp;M Research Foundation</i>	12.300	N00014-01-0199		3,489	3,489
<i>Pass-Through from Texas A&amp;M Research Foundation</i>	12.300	N00014-01-1-0144		24	24
<i>Pass-Through from Texas A&amp;M Research Foundation</i>	12.300	N00014-95-1-0275		48,466	48,466
<i>Pass-Through from Texas A&amp;M Research Foundation</i>	12.300	N00014-96-1-0410		52,529	52,529
<i>Pass-Through from Texas A&amp;M Research Foundation</i>	12.300	N00014-97-1-0007		4,958	4,958
<i>Pass-Through from Texas A&amp;M Research Foundation</i>	12.300	N00014-99-1-0037		86,523	86,523
<i>Pass-Through from Texas A&amp;M Research Foundation</i>	12.300	N00014-99-1-0235		99,911	99,911
<i>Pass-Through from Texas A&amp;M Research Foundation</i>	12.300	N00014-99-1-1027		611	611
<i>Pass-Through from Texas A&amp;M Research Foundation</i>	12.300	N62470-95-D-1180		669	669
<i>Pass-Through from Unrelated To Sponsor</i>	12.300	N00039-96-D-0051			
		D.O.0431		216,446	216,446
Basic and Applied Scientific Research	12.301			468,495	468,495
<i>Pass-Through from Inotek, Inc.</i>	12.301	N00014-99-C-0297		4,404	4,404
Military Construction, National Guard	12.400			2,350,812	2,350,812
National Guard Military Operations and Maintenance (O&M) Projects	12.401			375,031	375,031
Peace Prairie II	12.402			527,417	527,417
Military Medical Research and Development	12.420		1,037,108	11,100,221	12,137,329
<i>Pass-Through from Buck Institute For Age Research</i>	12.420	DAMD17-98-1-8581		34,790	34,790
<i>Pass-Through from Children S Hospital Medical Center</i>	12.420	DAMD 17-97-1-9496		104,911	104,911
<i>Pass-Through from National Medical Technology Test Bed</i>	12.420	026-FY98-TEES-PIS HKO		(168)	(168)
<i>Pass-Through from National Medical Technology Test Bed</i>	12.420	028-FY98-Tees-Rastegar 2000-117;		1,481	1,481
<i>Pass-Through from National Medical Technology Test Bed</i>	12.420	Ltr Dtd. 8/1/2001		24,715	24,715
<i>Pass-Through from National Medical Technology Test Bed</i>	12.420	2000-56-TEES-WRIGHT 3-FY97-Texas A&M -COTE		95,419	95,419
<i>Pass-Through from National Medical Technology Test Bed</i>	12.420	27-FY99-TEES-GOOD		18,971	18,971
<i>Pass-Through from National Medical Technology Test Bed</i>	12.420	444481		31,109	31,109
<i>Pass-Through from Texas A&amp;M Research Foundation</i>	12.420	DAMD179818632		4,785	4,785
<i>Pass-Through from Texas A&amp;M Research Foundation</i>	12.420	DAMD179818632		103,207	103,207
<i>Pass-Through from University of Wisconsin - Madison</i>	12.420	DAMD17-94-J-4233		191,571	191,571
Basic Scientific Research	12.431		6,283	1,465,398	1,471,681
<i>Pass-Through from Academy of Applied Science</i>	12.431	DAAD19-99-0006		4,949	4,949
<i>Pass-Through from Battelle</i>	12.431	TCN 99132		2,221	2,221
<i>Pass-Through from Battelle-research Triangle Park</i>	12.431	TCN 00155		132,188	132,188
<i>Pass-Through from Grambling State University</i>	12.431	DAAH04-95-1-0250		185,368	185,368
<i>Pass-Through from GRC International Inc.</i>	12.431	2079-00-58-4		10,488	10,488
<i>Pass-Through from GRC International Inc.</i>	12.431	2081-00-60-1		26,555	26,555
<i>Pass-Through from GRC International Inc.</i>	12.431	5197-00-6		298,052	298,052
<i>Pass-Through from John Hopkins University</i>	12.431	DAAD19-99		33,548	33,548
<i>Pass-Through from Science Application Int'l Co.</i>	12.431	PE605306F		17,191	17,191
<i>Pass-Through from Texas A&amp;M Research Foundation</i>	12.431	99153		5,436	5,436
<i>Pass-Through from Texas A&amp;M Research Foundation</i>	12.431	DAAG55-97-1-0380		132	132
		DAAH04-95-1-			
<i>Pass-Through from Texas A&amp;M Research Foundation</i>	12.431	0369-431221		70,640	70,640

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Cluster Name/Federal Grantor/Program Name/ Pass-through Entity	CFDA	Federal/Pass- through Entity Other Identifying #	Pass-through to Non-state Entities	Expenditures	Total
<b>RESEARCH AND DEVELOPMENT CLUSTER (continued)</b>					
<b>U.S. Department of Defense (continued)</b>					
International Education--U.S. Colleges and Universities	12.550				
<i>Pass-Through from Texas A&amp;M Research Foundation</i>	12.550	DASW01-00-1-0005	\$	\$ 22,882	\$ 22,882
Community Economic Adjustment	12.600			47,466	47,466
Basic, Applied, and Advanced Research in Science and Engineering	12.630			2,996	2,996
Basic, Applied, and Advanced Research in Science and Engineering	12.630		627,377	2,419,426	3,046,803
<i>Pass-Through from National Imagery &amp; Mapping Agency</i>	12.630	NMA202-990		77,106	77,106
<i>Pass-Through from Science Applications International     Corporation</i>	12.630	4400029454		3,726	3,726
<i>Pass-Through from Texas A&amp;M Research Foundation</i>	12.630	91900		5,915	5,915
<i>Pass-Through from Texas A&amp;M Research Foundation</i>	12.630	F49620-00-1-0342-446451		19,422	19,422
<i>Pass-Through from Texas A&amp;M Research Foundation</i>	12.630	F49620-95-1-0512-419067		205,937	205,937
Air Force Defense Research Sciences Program	12.800		68,705	3,792,334	3,861,039
<i>Pass-Through from Allied Opto-Electronics, Inc.</i>	12.800	1999 PDH 58549		6	6
<i>Pass-Through from Allied Opto-Electronics, Inc.</i>	12.800	5-57420		174,145	174,145
<i>Pass-Through from Frontline Systems, Inc.</i>	12.800	FCS-TEES-01		23,610	23,610
<i>Pass-Through from Illinois Institute of Technology</i>	12.800	DAAH04-94-G-05		3,281	3,281
<i>Pass-Through from Innovative Scientific Solutions, Inc.</i>	12.800	3020 S1		167,443	167,443
<i>Pass-Through from Knowledge Based Systems, Inc.</i>	12.800	5169		(7,114)	(7,114)
<i>Pass-Through from Maxwell Technologies</i>	12.800	5-58589		35,494	35,494
<i>Pass-Through from Michigan State University</i>	12.800	61-3537D		14,734	14,734
<i>Pass-Through from Research And Development     Laboratories</i>	12.800	97-0829		14	14
<i>Pass-Through from Schaefer Corporation</i>	12.800	SC-01G-03-01		16,025	16,025
<i>Pass-Through from Syndetix, Inc.</i>	12.800	00-04-6837		29,585	29,585
<i>Pass-Through from Technical Management Concepts, Inc.</i>	12.800	50879B P2865		14,767	14,767
<i>Pass-Through from Technical Management Concepts, Inc.</i>	12.800	TMC97-5405-0010-0		16,581	16,581
<i>Pass-Through from Texas A&amp;M Research Foundation</i>	12.800	400050442		46,558	46,558
<i>Pass-Through from Texas A&amp;M Research Foundation</i>	12.800	F49620-00-1-0003		21,515	21,515
<i>Pass-Through from Texas A&amp;M Research Foundation</i>	12.800	F49620-00-1-0113		55,703	55,703
<i>Pass-Through from Texas A&amp;M Research Foundation</i>	12.800	F49620-98-1-0005		11,257	11,257
<i>Pass-Through from Texas A&amp;M Research Foundation</i>	12.800	F49620-98-1-0204		29,399	29,399
<i>Pass-Through from University of Arizona</i>	12.800	Y701615		29,559	29,559
<i>Pass-Through from University of Washington</i>	12.800	937928		123,605	123,605
<i>Pass-Through from Waste Policy Institute</i>	12.800	F9SGLS-8293-3750 STM-AF95-		(158)	(158)
<i>Pass-Through from Waste Policy Institute</i>	12.800	8141-TEES-001		152,365	152,365
Mathematical Sciences Grants Program	12.901			684,168	684,168
<i>Pass-Through from Texas A&amp;M Research Foundation</i>	12.901	MDA904-01-1-0027		40,041	40,041
Research and Technology Development	12.910		1,193,245	3,213,448	4,406,693
<i>Pass-Through from Air Force Research Laboratory</i>	12.910	F30602-99-1-0531		248,338	248,338
<i>Pass-Through from Battelle-research Triangle Park</i>	12.910	98133		55,651	55,651
<i>Pass-Through from BBNT Solutions Llc</i>	12.910	TBD UDAAN		24,040	24,040
<i>Pass-Through from Brown University</i>	12.910	TO BE ASSIGNED		95,430	95,430
<i>Pass-Through from Honeywell, Inc.</i>	12.910	B09333438		48,412	48,412
<i>Pass-Through from Karta Technology, Inc.</i>	12.910	3548-S02-A		18,813	18,813
<i>Pass-Through from Lockheed Martin Corp.</i>	12.910	4300010727 741323/869064 /		3,766	3,766
<i>Pass-Through from Ohio State University</i>	12.910	F33615-01-C-1901		27,089	27,089

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<b>RESEARCH AND DEVELOPMENT CLUSTER (continued)</b>					
<b>U.S. Department of Defense (continued)</b>					
		4400039306 /			
<i>Pass-Through from Science Application Intl Corp</i>	12.910	MDA972-01-9-0006	\$	\$ 174,376	\$ 174,376
<i>Pass-Through from Stanford University</i>	12.910	MDA972-97-1-0008		28,031	28,031
<i>Pass-Through from University of California-San Diego</i>	12.910	10166978		178,289	178,289
		01-144 / MDA			
<i>Pass-Through from Univ. of Illinois-Champaign Urban</i>	12.910	972-00-1-0020		19,893	19,893
<i>Pass-Through from University of New Mexico</i>	12.910	3-17271-7840	D	249,405	249,405
<i>Pass-Through from University of California, San Diego</i>	12.910	10197131		35,131	35,131
<i>Pass-Through from University of Louisville</i>	12.910	DAAD190110450		858	858
Defense Technology Conversion, Reinvestment, and Transition Assistance	12.911			1,008	1,008
<i>Pass-Through from S R I Intl.</i>	12.911			28,255	28,255
Total - U.S. Department of Defense				<u>8,056,110</u>	<u>135,851,316</u>
<b>U.S. Department of Housing and Urban Development</b>					
Interest Subsidy Grant	14.XXX	H-502-5514		269,758	269,758
The Diversity of Urban Neighborhood Transitions	14.XXX	H 21281 SG		7,698	7,698
Federally Assisted Low-Income Housing Drug Elimination	14.193				
<i>Pass-Through from Star of Hope</i>	14.193	TX21B810-017		31,811	31,811
Supportive Housing Program	14.235			(156)	(156)
Community Development Block Grants/Economic Development Initiative	14.246				
<i>Pass-Through from City of Dallas</i>	14.246	75-6000508		50,000	50,000
General Research and Technology Activity	14.506				
<i>Pass-Through from University of Kentucky</i>	14.506	UKRF464809-01-32		11,472	11,472
Community Outreach Partnership Center Program	14.511			22,015	22,015
Community Development Work-Study Program	14.512			35,115	35,115
Total - U.S. Department of Housing and Urban Development				<u>—</u>	<u>427,713</u>
<b>U.S. Department of the Interior</b>					
A Biodiversity Gap Analysis for Texas	15.XXX	1434HQ97RU01570R		63,256	63,256
	15.XXX	WO#28			
A Proposal for Modeling Seagrasses in Florida Bay	15.XXX				
<i>Pass-Through from Texas A&amp;M Research Foundation</i>	15.XXX	99HQAG0200		92,985	92,985
Acoustic and Geotechnical Properties of Bryant	15.XXX				
<i>Pass-Through from Texas A&amp;M Research Foundation</i>	15.XXX	99-445081		18,188	18,188
An Observational and Predictive Study of Inner	15.XXX				
<i>Pass-Through from Texas A&amp;M Research Foundation</i>	15.XXX	R148185		30,417	30,417
Applied Gas Hydrate Research Program	15.XXX				
<i>Pass-Through from Texas A&amp;M Research Foundation</i>	15.XXX	652701		279	279
Armenian Incubator Intern Program	15.XXX				
		FAO-I-00-96-90018-			
<i>Pass-Through from Academy of Educational Develop.</i>	15.XXX	08-03/111-US01005		19,802	19,802
Chemical Analysis of Environmental Materials	15.XXX				
		435221			
<i>Pass-Through from Texas A&amp;M Research Foundation</i>	15.XXX	635231, 638771		12,255	12,255

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<b>RESEARCH AND DEVELOPMENT CLUSTER (continued)</b>					
<b>U.S. Department of the Interior (continued)</b>					
Chemical Analysis of Environmental Materials					
for Residues of Organic	15.XXX				
<i>Pass-Through from Texas A&amp;M Research Foundation</i>	15.XXX	638772, 638773	\$	\$ 117,736	\$ 117,736
<i>Pass-Through from Texas A&amp;M Research Foundation</i>	15.XXX	99-435221		7,765	7,765
<i>Pass-Through from Texas A&amp;M Research Foundation</i>	15.XXX	99-635231; 638772-3		392,879	392,879
Deepwater Program Northern Gulf of Mexico	15.XXX	59136 GO		434,809	434,809
Deepwater Program: Deepwater Physical Oceanography	15.XXX				
<i>Pass-Through from Texas A&amp;M Research Foundation</i>	15.XXX	1435-01-98-CT-30910		195,322	195,322
DOI-MMS-Herndon	15.XXX				
<i>Pass-Through from Texas A&amp;M Research Foundation</i>	15.XXX	441421		56,625	56,625
DOI-MMS-Washington (Quantech)	15.XXX				
<i>Pass-Through from Texas A&amp;M Research Foundation</i>	15.XXX	443191-00001 14482018100G- 919R200-0968		5,876	5,876
Ecology of Migrant Shorebirds in Saline Lakes	15.XXX			36	36
Effects of Cattail Mgmt	15.XXX				
<i>Pass-Through from Kansas Dept of Wildlife &amp; Parks</i>	15.XXX	13510230		27,034	27,034
Evaluating Impacts of Elk on Bandelier	15.XXX	1443CA712097001		21,351	21,351
Frankenia Survey/USDI	15.XXX	1448-20181-99-3806		1,974	1,974
GIS Analysis of Mexican Lands	15.XXX	1434HQ97RU01570#RW026		7,146	7,146
Global Change at Big Bend National Park	15.XXX	1434HQ97RU01570R WO#23		11,748	11,748
Habitat Use and Reproduction Ecology-Arkansas River	15.XXX	98-FG-60-11430		24,931	24,931
International Conference on Composite Materials	15.XXX	0100P017154		51,417	51,417
Lake Mead Endocrine Disruption Study	15.XXX	1434HQ97RU01570R WO#31		24,059	24,059
Late Quaternary Paleoceanography and Paleoclimatol	15.XXX				
<i>Pass-Through from Texas A&amp;M Research Foundation</i>	15.XXX	99HQAG0205		2,660	2,660
Long Term Monitoring at the East and West Flower	15.XXX				
<i>Pass-Through from Texas A&amp;M Research Foundation</i>	15.XXX	01-98-CT-30905		5,765	5,765
<i>Pass-Through from Texas A&amp;M Research Foundation</i>	15.XXX	441421		1,675	1,675
Monitoring and Mgmt of Invasive Plant Species	15.XXX	1443CA125099003		10,625	10,625
Northeastern Gulf of Mexico Coastal Marine Ecosyst	15.XXX				
<i>Pass-Through from Texas A&amp;M Research Foundation</i>	15.XXX	635044		14,464	14,464
Northeastern Gulf of Mexico Physical Oceanography	15.XXX				
<i>Pass-Through from Texas A&amp;M Research Foundation</i>	15.XXX	99-438691		262,189	262,189
Northeastern Gulf of Mexico: Coastal Marine Ecosys	15.XXX				
<i>Pass-Through from Texas A&amp;M Research Foundation</i>	15.XXX	99-635044		45,882	45,882
Nueces River Archaeology Project	15.XXX	98-FC-60-11140		28,066	28,066
Observational and Predictive Study of Inner Shelf	15.XXX				
<i>Pass-Through from Texas A&amp;M Research Foundation</i>	15.XXX	635971		4,284	4,284
Organochlorine Pesticide Analyses	15.XXX				
<i>Pass-Through from Texas A&amp;M Research Foundation</i>	15.XXX	650891		6,448	6,448
<i>Pass-Through from Texas A&amp;M Research Foundation</i>	15.XXX	99-650891		23,275	23,275
Public Reinvention Support Program	15.XXX				
<i>Pass-Through from Creative Associates International</i>	15.XXX	LTR SUBC USAID/CAII		329,848	329,848
San Angelo Archaeology Project	15.XXX	99-FC-60-11160		29,714	29,714
Selection Settlement and Growth of Hard Bottom Epifauna	15.XXX				
<i>Pass-Through from Continental Shelf Associates</i>	15.XXX	1445-CT09-96-0006		3,156	3,156
Stability and Change in Gulf of Mexico	15.XXX				
<i>Pass-Through from Texas A&amp;M Research Foundation</i>	15.XXX	99-634854		66,331	66,331



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<b>RESEARCH AND DEVELOPMENT CLUSTER (continued)</b>					
<b>U.S. Department of the Interior (continued)</b>					
Stability and Change in Gulf of Mexico Chemosynthe	15.XXX				
<i>Pass-Through from Texas A&amp;M Research Foundation</i>	15.XXX	634854	\$	\$ 22,336	\$ 22,336
Stratigraphy and Paleontology	15.XXX	01-01-CA-31130	61,892	532,037	593,929
Study for Establishing a Wildlife Biomonitoring Preserve	15.XXX	1434HQ97RU01570RWO#30		104,449	104,449
Study of Subsurface, High-Speed Current Jets-Gulf	15.XXX				
<i>Pass-Through from Texas A&amp;M Research Foundation</i>	15.XXX	01-99-CT-31026		16,788	16,788
Support for Migratory Bird Specialist	15.XXX	14482018199J816		1,486	1,486
Trematode Study	15.XXX	18830		2,630	2,630
USDI-US Fish and Wildlife Service-Massey	15.XXX	1448-00002-93-0250		1,668	1,668
Non-Sale Disposals of Mineral Material	15.214			61,747	61,747
Cooperative Inspection Agreements with States and Tribes	15.222				
<i>Pass-Through from Northern Arizona University</i>	15.222	18-66004791		300	300
Recreation Resource Management	15.225			10,600	10,600
Water Reclamation and Reuse Program	15.504				
<i>Pass-Through from Texas A&amp;M Research Foundation</i>	15.504	445911-00001		108,361	108,361
Fish and Wildlife Management Assistance	15.608			10,657	10,657
<i>Pass-Through from Arkansas Game &amp; Fish Commission</i>	15.608	71-6006567		1,493	1,493
<i>Pass-Through from Texas A&amp;M Research Foundation</i>	15.608	444441		68,172	68,172
Coastal Wetlands Planning, Protection and Restoration Act	15.614			17,979	17,979
<i>Pass-Through from Texas A&amp;M Research Foundation</i>	15.614	449301-449302		10,315	10,315
Cooperative Endangered Species Conservation Fund	15.615			3,507,754	3,507,754
<i>Pass-Through from County of Bastrop</i>	15.615	148-20181-98-J609		96,053	96,053
Wildlife Conservation and Appreciation	15.617			5,855	5,855
North American Wetlands Conservation Fund	15.623				
<i>Pass-Through from Rainwater Basin Joint Venture</i>	15.623	13540722		72	72
Assistance to State Water Resources Research Institutes	15.805			40,720	40,720
<i>Pass-Through from Washington State University</i>	15.805	G000791/OGRD#81371		3,298	3,298
Earthquake Hazards Reduction Program	15.807			40,105	40,105
<i>Pass-Through from Texas A&amp;M Research Foundation</i>	15.807	99-440321-451341		26,420	26,420
U.S. Geological Survey--Research and Data Acquisition	15.808		223,633	820,656	1,044,289
		TASK ORDER 6: MONITORING			
<i>Pass-Through from Lgl Alaska Rsch Assoc Inc</i>	15.808	BOULDER PATCH		9,100	9,100
<i>Pass-Through from Texas A&amp;M Research Foundation</i>	15.808	442201		7,356	7,356
<i>Pass-Through from Texas A&amp;M Research Foundation</i>	15.808	647511		662	662
<i>Pass-Through from Texas A&amp;M Research Foundation</i>	15.808	99-435731-647511		47,005	47,005
National Spatial Data Infrastructure Cooperative Agreements Program	15.809		210,361	1,172,521	1,382,882
National Cooperative Geologic Mapping Program	15.810			110,165	110,165
Historic Preservation Fund Grants-In-Aid	15.904		76,269	930,218	1,006,487
<i>Pass-Through from San Antonio Missions National Historical Park</i>	15.904	760097004		2,937	2,937
<i>Pass-Through from University of Idaho</i>	15.904	GNK097		44,403	44,403
National Natural Landmarks Program	15.910			2,856	2,856
National Historic Landmark	15.912			1,190	1,190
Urban Park and Recreation Recovery Program	15.919				
<i>Pass-Through from Texas A&amp;M Research Foundation</i>	15.919	647231		2	2
Rivers, Trails and Conservation Assistance	15.921			3,219	3,219
Native American Graves Protection and Repatriation Act	15.922			11,020	11,020
National Center for Preservation Technology and Training	15.923				
			17,191	39,806	56,997
Total - U.S. Department of the Interior			589,346	10,346,683	10,936,029



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<b>RESEARCH AND DEVELOPMENT CLUSTER (continued)</b>					
<b>U.S. Department of Justice</b>					
National Assessment of Technology and Training	16.XXX				
<i>Pass-Through from Eastern Kentucky University</i>	16.XXX	V0000014179	\$	\$ 6,536	\$ 6,536
Sub-National Population Pilot	16.XXX	2000 N119900 000	33,792	609,881	643,673
State Domestic Preparedness Equipment Support Program	16.007			3,083,850	3,083,850
Community Relations Service	16.200				
<i>Pass-Through from Texas A&amp;M Research Foundation</i>	16.200	649541		828	828
<i>Pass-Through from Texas A&amp;M Research Foundation</i>	16.200	99-649541		1,997	1,997
Citizenship Education and Training	16.400				
<i>Pass-Through from Unicor Federal Prison Systems, Inc.</i>	16.400	4500004632		24,798	24,798
Juvenile Accountability Incentive Block Grants	16.523			280,321	280,321
Juvenile Justice and Delinquency Prevention--Allocation to States	16.540			222,089	222,089
Juvenile Justice and Delinquency Prevention--Special	16.541			326,451	326,451
National Institute of Justice Research, Evaluation, and Development Project Grants	16.560			291,016	291,016
<i>Pass-Through from U.S./Mexico Border Counties Coalition</i>	16.560	2000-IJ-CX		26,677	26,677
Violence Against Women Formula Grants	16.588			250,958	250,958
Corrections--Research and Evaluation and Policy	16.602			33,182	33,182
Bulletproof Vest Partnership Program	16.607			25,034	25,034
Public Safety Partnership and Community Policing Grants	16.710			382,156	382,156
Troops to COPS	16.711			110,759	110,759
National Evaluation of the Safe Schools--Healthy Students Initiative	16.732				
<i>Pass-Through from Eastern Kentucky University</i>	16.732	V0000014180		15	15
Total - U.S. Department of Justice			33,792	5,676,548	5,710,340
<b>U.S. Department of Labor</b>					
Five State Welfare-To-Work Research Design	17.XXX				
<i>Pass-Through from University of Baltimore</i>	17.XXX	UTA98-0350		34,859	34,859
Violent Against Women Formula Grants	17.XXX	AK11338-01-60		2,344	2,344
Compensation and Working Conditions	17.005			250,371	250,371
Employment and Training Evaluation Projects	17.248				
<i>Pass-Through from Houston-Galveston Area Council</i>	17.248	2000 SAC 53435		(304)	(304)
<i>Pass-Through from Texas A&amp;M Research Foundation</i>	17.248	438431		10,579	10,579
Welfare-to-Work Grants to States and Localities	17.253			117,665	117,665
Workforce Investment Act	17.255				
<i>Pass-Through from Texas A&amp;M Research Foundation</i>	17.255	99-450691		34,670	34,670
WIA Dislocated Workers	17.260			8,629	8,629
Consultation Agreements	17.504			2,469,546	2,469,546
Mine Health and Safety Grants	17.600			344,932	344,932
Total - U.S. Department of Labor			—	3,273,291	3,273,291

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Cluster Name/Federal Grantor/Program Name/ Pass-through Entity	CFDA	Federal/Pass- through Entity Other Identifying #	Pass-through to Non-state Entities	Expenditures	Total
<b>RESEARCH AND DEVELOPMENT CLUSTER (continued)</b>					
<b>U.S. Department of State</b>					
Claims Against Foreign Governments	19.200		\$	\$ 6	6
Program for Study of Eastern Europe and the Independent States of the Former Soviet Union	19.300			100,848	100,848
Educational Exchange--Graduate Students	19.400			14,397	14,397
<i>Pass-Through from Us-Israes Binational Science Foundation</i>	19.400	9800219		6,155	6,155
College and University Partnerships Program	19.405			58,434	58,434
College and University Affiliations Program	19.406			13,744	13,744
Professional Development--Teacher Training	19.419			66,181	66,181
Cooperative Grants	19.420			18,295	18,295
Total - U.S. Department of State			—	278,060	278,060
<b>U.S. Department of Transportation</b>					
2000 Summer Transportation Institute	20.XXX				
<i>Pass-Through from Texas A&amp;M Research Foundation</i>	20.XXX	2000-033/NRC#447198 DTR53-99-H-		5,060	5,060
Advanced Locomotive Propulsion System	20.XXX	0006,MOD1	311,231	2,258,826	2,570,057
Assessing Pavement Layer Condition Using Deflection	20.XXX				
<i>Pass-Through from Texas A&amp;M Research Foundation</i>	20.XXX	96-631-S1		2	2
Assessment of Existing Roadside Safety Hardware II	20.XXX	DTFH61-97-C-00039		(72,391)	(72,391)
Assessment of Motor Vehicle Characteristics	20.XXX				
<i>Pass-Through from Texas A&amp;M Research Foundation</i>	20.XXX	DTFH61-94-C-00152		14,227	14,227
Automated VMS Composition Project	20.XXX				
<i>Pass-Through from Texas A&amp;M Research Foundation</i>	20.XXX	71401-92496		41,854	41,854
Behaviorally-Consistent Integrated Transportation	20.XXX				
<i>Pass-Through from Oregon Dept of Transportation</i>	20.XXX	18560 0-0043 589XX-		33,653	33,653
College Alcohol and Safety Education Program	20.XXX	F3007 FY01		143,193	143,193
Construction Engineering and Management	20.XXX				
<i>Pass-Through from Texas A&amp;M Research Foundation</i>	20.XXX	A800236		35,124	35,124
Consultation Between State Dots and Local Elected	20.XXX				
<i>Pass-Through from Texas A&amp;M Research Foundation</i>	20.XXX	10288252		16,473	16,473
Controlled Low Strength Material for Backfill	20.XXX				
<i>Pass-Through from Natl Academy of Science</i>	20.XXX	HR 24-12(1)	25,136	44,900	70,036
Deployment of Aviation Security	20.XXX				
<i>Pass-Through from University of California Berkeley</i>	20.XXX	SA2605JB		19,627	19,627
Design of Asphalt Concrete Overlay	20.XXX				
<i>Pass-Through from Texas A&amp;M Research Foundation</i>	20.XXX	B-9618		12,594	12,594
Establish South Central Superpave Center	20.XXX	00-P-00480012		21,916	21,916
Evaluate German Cracking Frame Device	20.XXX	DTFH61-00-P-00053		(3,389)	(3,389)
Evaluation of Roadside Features to Accommodate Vans, Minivans, etc.	20.XXX				
<i>Pass-Through from Texas A&amp;M Research Foundation</i>	20.XXX	HR22-11		164	164
Grand Junction Corridor Study	20.XXX				
<i>Pass-Through from Texas A&amp;M Research Foundation</i>	20.XXX	OE HAA 01HQ0000322		28,381	28,381
Guardrail Testing Program IV	20.XXX	DTFH71-99-C-00035		228,286	228,286
Guidelines for the Selection, Installation and Maintenance of Highway Safety Features	20.XXX				
<i>Pass-Through from Texas A&amp;M Research Foundation</i>	20.XXX	HR22-12		429	429
Hawaii Thrie Beam Transition Crash	20.XXX	DTFH01-C-00027		6,998	6,998
Hgac-complete Regional Travel Mode	20.XXX				
<i>Pass-Through from Houston Galveston Area Council</i>	20.XXX	TSI230-01		52,883	52,883

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<b>RESEARCH AND DEVELOPMENT CLUSTER (continued)</b>					
<b>U.S. Department of Transportation (continued)</b>					
Houston-Galveston Regional Travel Demand Models	20.XXX				
<i>Pass-Through from Houston Galveston Area Council</i>	20.XXX	97-280	\$	\$ 14,596	\$ 14,596
Incorporating Market Research Techniques	20.XXX				
<i>Pass-Through from Texas A&amp;M Research Foundation</i>	20.XXX	10288252 Task Order 2		19,297	19,297
Institute of Transportation Engineers	20.XXX				
<i>Pass-Through from Texas A&amp;M Research Foundation</i>	20.XXX	ITE-00-21		20,214	20,214
Intelligent Vehicle Highway Systems Research Center	20.XXX				
<i>Pass-Through from Texas A&amp;M Research Foundation</i>	20.XXX	DTFH61-93-X-00017		960,652	960,652
Its Program Assessment Support	20.XXX				
<i>Pass-Through from Texas A&amp;M Research Foundation</i>	20.XXX	127577		164,202	164,202
Its Standard Course Modules	20.XXX				
<i>Pass-Through from Texas A&amp;M Research Foundation</i>	20.XXX	ITE-00-21 Task Order 2		26,208	26,208
Lead States Web Site	20.XXX				
<i>Pass-Through from Texas A&amp;M Research Foundation</i>	20.XXX	27-7(101)		20,338	20,338
Prepare Proceeding for Remote Sensing	20.XXX				
<i>Pass-Through from National Academy of Science</i>	20.XXX	91400		7,283	7,283
Rationale Report for Development of Draft Environment	20.XXX				
<i>Pass-Through from Texas A&amp;M Research Foundation</i>	20.XXX	SP10418-C, JOB 5088500		11,052	11,052
Recent Geometric Design Research	20.XXX				
<i>Pass-Through from National Academy of Science</i>	20.XXX	NCHRP 20-5		14,096	14,096
Recovery Area Distance Relationships for Highway	20.XXX				
<i>Pass-Through from Texas A&amp;M Research Foundation</i>	20.XXX	HR17-11		76,291	76,291
Repair and Rehabilitation of Concrete Structures	20.XXX				
<i>Pass-Through from Texas A&amp;M Research Foundation</i>	20.XXX	DTFH61-00-C-00120		48,536	48,536
Roadside Safety Hardware Tested to NCHRP 350	20.XXX				
<i>Pass-Through from Texas A&amp;M Research Foundation</i>	20.XXX	DTFH61-97-C-00047		(1,689)	(1,689)
Rollover Causation and Mitigation Study	20.XXX				
<i>Pass-Through from Texas A&amp;M Research Foundation</i>	20.XXX	DTFH61-00-C-0079		130,354	130,354
Sign Retroreflectivity Modeling	20.XXX				
<i>Pass-Through from Texas A&amp;M Research Foundation</i>	20.XXX	DTFH61-01-P-00281		516	516
Superpave Flat and Elongated Aggregate Specimen	20.XXX				
<i>Pass-Through from Aggregates Foundation</i>	20.XXX	3012000		55,537	55,537
SWRTC-FY96 Interim Funding	20.XXX				
<i>Pass-Through from Texas A&amp;M Research Fdn</i>	20.XXX	472840-0060/S600016		5,874	5,874
Task 1 (99) Traffic Management Studies	20.XXX				
<i>Pass-Through from Texas A&amp;M Research Foundation</i>	20.XXX	IPRF-FH-1(99)-002		75,015	75,015
Task 1: Investigation of FAA Glass	20.XXX				
<i>Pass-Through from Texas A&amp;M Research Foundation</i>	20.XXX	DTFA06-00C50100		69,671	69,671
Technical Support for Traffic Management	20.XXX				
<i>Pass-Through from Texas A&amp;M Research Foundation</i>	20.XXX	18243A-08		43,191	43,191
Three Beam Transition Crash Tests	20.XXX				
<i>Pass-Through from Texas A&amp;M Research Foundation</i>	20.XXX	DTFH61-00-C-00012		78,071	78,071
Work Zone Appurtenances Tested to NCHRP 350	20.XXX				
<i>Pass-Through from Texas A&amp;M Research Foundation</i>	20.XXX	DTFH61-97-C-00064		24,940	24,940
Aviation Research Grants	20.108				
<i>Pass-Through from Texas A&amp;M Research Foundation</i>	20.108	36986		54,288	54,288
Highway Training and Education	20.215				
<i>Pass-Through from South Carolina State University</i>	20.215	#DTFH61-99X-0001		26,873	26,873
<i>Pass-Through from Texas A&amp;M Research Foundation</i>	20.215	36557		334	334
<i>Pass-Through from Texas A&amp;M Research Foundation</i>	20.215	447247		7,160	7,160
<i>Pass-Through from Texas A&amp;M Research Foundation</i>	20.215	DTFH61-00-T-44006		12,517	12,517
<i>Pass-Through from Texas A&amp;M Research Foundation</i>	20.215	DTFH61-00-T-44011		9,216	9,216
<i>Pass-Through from Texas A&amp;M Research Foundation</i>	20.215	DTFH61-00-T-56016		47,129	47,129
<i>Pass-Through from Texas A&amp;M Research Foundation</i>	20.215	DTFH61-00-T-56018		7,648	7,648

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<b>RESEARCH AND DEVELOPMENT CLUSTER (continued)</b>					
<b>U.S. Department of Transportation (continued)</b>					
Highway Training and Education (continued)	20.215				
<i>Pass-Through from Texas A&amp;M Research Foundation</i>	20.215	DTFH61-97-X-00009	\$	\$ 10,829	\$ 10,829
<i>Pass-Through from Texas A&amp;M Research Foundation</i>	20.215	DTFH61-97-X-00029		885	885
<i>Pass-Through from Texas A&amp;M Research Foundation</i>	20.215	DTFH61-99-T-44005		43,388	43,388
<i>Pass-Through from Texas A&amp;M Research Foundation</i>	20.215	NCHRP5-14		563	563
National Motor Carrier Safety	20.218			96,533	96,533
Railroad Safety	20.301				
<i>Pass-Through from Texas A&amp;M Research Foundation</i>	20.301	DTFG61-99-C00034		3,866	3,866
Human Resource Programs	20.511				
<i>Pass-Through from Texas A&amp;M Research Foundation</i>	20.511	HR17-18		7,176	7,176
		HR17-18(2)			
<i>Pass-Through from Texas A&amp;M Research Foundation</i>	20.511	CONTRACT 106		16,917	16,917
Transit Planning and Research	20.514				
<i>Pass-Through from Texas A&amp;M Research Foundation</i>	20.514	A-10A		39	39
<i>Pass-Through from Texas A&amp;M Research Foundation</i>	20.514	DTFH60-99-D-41015		1,313	1,313
University Transportation Centers Program	20.701				
<i>Pass-Through from Texas A&amp;M Research Foundation</i>	20.701	DTRS95-G-0006		3,039	3,039
<i>Pass-Through from Texas A&amp;M Research Foundation</i>	20.701	DTRS99-G-0006		224,388	224,388
Hispanic Serving Institutions--Entrepreneurial Training and Technical Assistance	20.906			19,455	19,455
Transportation Statistics Research Grants	20.920				
<i>Pass-Through from Texas A&amp;M Research Foundation</i>	20.920	DTTS-00-G-B005-TX		91,806	91,806
Total - U.S. Department of Transportation				<u>336,367</u>	<u>6,098,160</u>
<b>U.S. Department of Treasury</b>					
Center for Study Western Hemispheric Trade	21.XXX				
<i>Pass-Through from Ctr For Study W Hemispheric Trade</i>	21.XXX	L500029-SUBC		(12)	(12)
Internet-Based Customer Support	21.XXX	TIRMS-01-P-00675		27,425	27,425
Secret Service--Training Activities	21.100			14,446	14,446
Total - U.S. Department of Treasury				<u>—</u>	<u>41,859</u>
<b>Appalachian Regional Commission</b>					
Appalachian Regional Development	23.001			1,446	1,446
Total - Appalachian Regional Commission				<u>—</u>	<u>1,446</u>
<b>Office of Personnel Management</b>					
Intergovernmental Personnel Act (IPA) Mobility Program	27.011			461,713	461,713
Total - Office of Personnel Management				<u>—</u>	<u>461,713</u>
<b>General Services Administration</b>					
Business Services	39.001			475,816	475,816
Donation of Federal Surplus Personal Property	39.003			252,064	252,064
Federal Consumer Information Center	39.009			7,426	7,426
Total - General Services Administration				<u>—</u>	<u>735,306</u>
<b>Library of Congress</b>					
Survey and Report on State Sprawl Control and Open Spaces	42.XXX	CRS# 01-06		5,978	5,978
Total - Library of Congress				<u>—</u>	<u>5,978</u>

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<b>RESEARCH AND DEVELOPMENT CLUSTER (continued)</b>					
<b>National Aeronautics and Space Administration</b>					
A Jason Educational Program	43.XXX				
<i>Pass-Through from Texas A&amp;M Research Foundation</i>	43.XXX	99-443781	\$	\$ 136,113	\$ 136,113
ACESE Program	43.XXX	LA#1-NCC5		15,271	15,271
	43.XXX	NAS3-99169	809,085	9,939,713	10,748,798
Adaptations of Visceral and Cerebral Resistance Art	43.XXX				
<i>Pass-Through from Texas A&amp;M Research Foundation</i>	43.XXX	99-445501		109,906	109,906
Advanced Fuel Injector Optimization for RBCC Engines	43.XXX				
<i>Pass-Through from Texas A&amp;M Research Foundation</i>	43.XXX	NAG8-1644 - 444681		9,551	9,551
Aircraft-Based Measurement of Aerosol Optical Propellor	43.XXX				
<i>Pass-Through from Texas A&amp;M Research Foundation</i>	43.XXX	99-451491		17,490	17,490
An Investigation of Very Low Frequency Sea Level	43.XXX				
<i>Pass-Through from Texas A&amp;M Research Foundation</i>	43.XXX	99-443401		4,113	4,113
An Urban Outreach Program in Space Science	43.XXX	NAG 5-10149		32,512	32,512
Arterial Remodeling and Functional Adaptations	43.XXX				
<i>Pass-Through from Texas A&amp;M Research Foundation</i>	43.XXX	99-447111		150,990	150,990
Assimilating Satellite-Derived Sea-Ice Concentration	43.XXX				
<i>Pass-Through from Texas A&amp;M Research Foundation</i>	43.XXX	99-451541		3,823	3,823
Bone and Muscle Recovery From Simulated Microgravity	43.XXX				
<i>Pass-Through from Texas A&amp;M Research Foundation</i>	43.XXX	99-449801		66,159	66,159
Bone and Muscle Recovery from Simulated Microgravity	43.XXX				
<i>Pass-Through from Texas A&amp;M Research Foundation</i>	43.XXX	449801		10,789	10,789
Bone Blood Flow and Microgravity	43.XXX				
<i>Pass-Through from Texas A&amp;M Research Foundation</i>	43.XXX	438953		7,384	7,384
Center for Applied Radiation Research	43.XXX	NCC9-50	14,997		14,997
Center for Applied Radiation Research	43.XXX	NCC9-50	49,010	138,511	187,521
Circulatory Remodeling with Simulated Microgravity	43.XXX				
<i>Pass-Through from Texas A&amp;M Research Foundation</i>	43.XXX	451701		9,564	9,564
Continued Development of Snow and Sea Ice Albedo	43.XXX				
<i>Pass-Through from Texas A&amp;M Research Foundation</i>	43.XXX	99-447291		681	681
<i>Pass-Through from Texas A&amp;M Research Foundation</i>	43.XXX	99-452241		12,738	12,738
Develop Natural Language Understanding Grammers	43.XXX	NAG 2-1447		27,884	27,884
Development of a Miniature Lazar Mass Spectrometer System for Planetary Studies	43.XXX	NCC9-126		9,676	9,676
Development of a Parallel Version	43.XXX	NAG5-9505		36,501	36,501
Development of RSW Antennas for the NASA/JSC NSTL	43.XXX	NAG9-1293		1,039	1,039
Development of Solar-Blind UV Imager Arrays	43.XXX				
<i>Pass-Through from Jet Propulsion Laboratory Calif Inst</i>	43.XXX	1217535		99,949	99,949
Does Southern Ocean Sea Ice Affect Interannual Ocean	43.XXX				
<i>Pass-Through from Texas A&amp;M Research Foundation</i>	43.XXX	99-442731		59,724	59,724
Elliptical Galaxies with Nuclear Disks of Stars	43.XXX				
<i>Pass-Through from Space Telescope Science Inst</i>	43.XXX	GO-07359.02-96A		593,810	593,810
Establishment of a NASA SW Reg. Network Res. Train	43.XXX	NCC5-115		314,111	314,111
Establishment of a Solar Observatory and Studies	43.XXX	NAG8-1338		61,324	61,324
Field Testing Battery Free Vapor Compression Solar Powered Refrigerator	43.XXX	NAG9-1132		8,893	8,893
Heavy Ozone Problem	43.XXX	NAG1-2192		50,813	50,813
High Pressure Laboratory	43.XXX	NCC 9-80		273,212	273,212
Improved Ocean Tide Models From Satellite Altimetry	43.XXX				
<i>Pass-Through from Calif Inst of Tech Jet Propulsion</i>	43.XXX	961430	15,809	724,991	740,800

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<b>RESEARCH AND DEVELOPMENT CLUSTER (continued)</b>					
<b>National Aeronautics and Space Administration (continued)</b>					
Improvement of Rain Estimation in Tropical Cyclone	43.XXX				
<i>Pass-Through from Texas A&amp;M Research Foundation</i>	43.XXX	99-452681	\$	\$ 31,724	\$ 31,724
In Situ Electric Power Generation to Support Plane	43.XXX	NAG9-1287		131,880	131,880
Intensity and Organization of Convection in Hurric	43.XXX				
<i>Pass-Through from Texas A&amp;M Research Foundation</i>	43.XXX	99-439921		13,403	13,403
Kwajex Data Analysis	43.XXX				
<i>Pass-Through from University of Washington</i>	43.XXX	199268		10,022	10,022
Mapping Antarctic Ice Sheet Margins and Snow Melt	43.XXX				
<i>Pass-Through from Texas A&amp;M Research Foundation</i>	43.XXX	99-450961		32,683	32,683
Mapping Terrestrial Vegetation at the Kennedy Space Center	43.XXX				
<i>Pass-Through from Dynamac Corp</i>	43.XXX	K0011273		30,821	30,821
<i>Pass-Through from Raytheon Co.</i>	43.XXX	3000616, I	186,995	307,244	494,239
Microwave Radiometer and Multi-Instrument Algorithm	43.XXX				
<i>Pass-Through from Texas A&amp;M Research Foundation</i>	43.XXX	99-438021		36,616	36,616
Minerals and Submicrometer Forms as Biosignatures	43.XXX	NCC2-5396		298	298
Mitigation of High Altitude and Low Earth Orbit	43.XXX	NAG4-210		90,631	90,631
Mold Development in Hydroponics by Graminaceous	43.XXX	NAG9-1194		31,278	31,278
Molecular Hydrogen Excitation in Photo Dissociation	43.XXX				
<i>Pass-Through from University Space Res Assoc</i>	43.XXX	8500-98-008		196,728	196,728
<i>Pass-Through from University of Toledo</i>	43.XXX	UTA00-428		26,256	26,256
NASA Ar.17 Auto Simulat	43.XXX	NCC-9-30		219,400	219,400
NASA Administrator's Fellowship Program	43.XXX	NAFP		52,114	52,114
NASA Ar 38 Int. Systems	43.XXX	NCC 9-30		10,871	10,871
NASA Ar. 31	43.XXX	NCC 9-30		1,297,316	1,297,316
NASA Ar. 42	43.XXX	NCC 9-30		14,787	14,787
NASA Ar. 58 Robot Research	43.XXX	NCC 9-30		102,786	102,786
NASA Ar. 62 Head Mounted Dis	43.XXX	NCC 9-30		5,642	5,642
NASA Ar. 11	43.XXX	NCCA-30 AR.11		(20)	(20)
NASA Ar. 13 Distributed Computers	43.XXX	NCC 9-30		32,288	32,288
NASA Ar. 15 Automation Sim. A	43.XXX	NCC 9-30		(157)	(157)
NASA Ar. 18 Adv. Control	43.XXX	NCC 9-30		22,284	22,284
NASA Ar. 21 Applying Modern	43.XXX	NCC 9-30		104,968	104,968
NASA Ar. 22 Adv. Computer	43.XXX	NCC 9-30		238,487	238,487
NASA Ar. 23 Adj. Autonom	43.XXX	NCC 9-30		2,698	2,698
NASA Ar. 25	43.XXX	NCC 9-30		76,467	76,467
NASA Ar. 26 Station Support	43.XXX	NCC 9-30		3,807	3,807
NASA Ar. 27 Web Based and Mult.	43.XXX	NCC 9-30		44,242	44,242
NASA Ar. 28 Situational Aware	43.XXX	NCC 9-30		736,145	736,145
NASA Ar. 29 E-process Sys	43.XXX	NCC 9-30		402,527	402,527
NASA Ar. 30 Adv. Procedures	43.XXX	NCC 9-30		450	450
NASA Ar. 32 Intelligence System	43.XXX	NCC 9-30		53,967	53,967
NASA Ar. 33 Flight Robotics	43.XXX	NCC 9-30		197,085	197,085
NASA Ar. 34 Robotics Sim	43.XXX	NCC 9-30		45,348	45,348
NASA Ar. 35 Simulations and Grap	43.XXX	NCC 9-30		726,432	726,432
NASA Ar. 36 Internet Voice	43.XXX	NCC 9-30		33,554	33,554
NASA Ar. 37 Adj. Simulations and Grap	43.XXX	NCC 9-30		35,192	35,192
NASA Ar. 39 Functional Mode.	43.XXX	NCC 9-30		205,656	205,656
NASA Ar. 40 Tech Insert	43.XXX	NCC 9-30		70,925	70,925
NASA Ar. 41 Adv. Computer	43.XXX	NCC 9-30		20,646	20,646
NASA Ar. 43 mod. and sim.	43.XXX	NCC 9-30		167,055	167,055

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<b>RESEARCH AND DEVELOPMENT CLUSTER (continued)</b>					
<b>National Aeronautics and Space Administration (continued)</b>					
NASA Ar. 44 Electronic Doc.	43.XXX	NCC 9-30	\$	\$ 64,450	\$ 64,450
NASA Ar. 45 Sensory Motor Corr	43.XXX	NCC 9-30		62,894	62,894
NASA Ar. 46 Enhanced Caution	43.XXX	NCC 9-30		369,274	369,274
NASA Ar. 47 Sml Based Graphica	43.XXX	NCC 9-30		606,509	606,509
NASA Ar. 48 Guid Navi	43.XXX	NCC 9-30		2,637,633	2,637,633
NASA Ar. 49 Trick Core Robotic	43.XXX	NCC 9-30		668,521	668,521
NASA Ar. 50 Learning Tech.	43.XXX	NCC 9-30		24,314	24,314
NASA Ar. 51 Intell Sys	43.XXX	NCC 9-30		104,579	104,579
NASA Ar. 52 Flight Robotic Sys	43.XXX	NCC 9-30		192,344	192,344
NASA Ar. 53 Dyn. Sym	43.XXX	NCC 9-30		26,144	26,144
NASA Ar. 54 Ssrms Berthing Res	43.XXX	NCC 9-30		26,161	26,161
NASA Ar. 55 Neutral Buoyancy	43.XXX	NCC 9-30		49,271	49,271
NASA Ar. 56 Sys Engineering	43.XXX	NCC 9-30		48,737	48,737
NASA Ar. 57 Virtual Reality	43.XXX	NCC 9-30		373,224	373,224
NASA Ar. 59 Int'l Space Station	43.XXX	NCC 9-30		19,652	19,652
NASA Ar. 60 Semi-auto	43.XXX	NCC 9-30		3,500	3,500
NASA Ar. 61 Ultrasonic Motor	43.XXX	NCC 9-30		1,376	1,376
NASA Logistics Systems	43.XXX	NCC 9-30 SRO9A		(419)	(419)
NASA Rb.02c Eichmann Subcont	43.XXX	NCC 9-30		2,415	2,415
NASA Sr. 11a	43.XXX	NCC 9-30 SR.11A		2,267	2,267
NASA/edu/plant and Soil Science	43.XXX	NCC9-108		669,114	669,114
National Space Biomedical Research Institute Teach	43.XXX				
<i>Pass-Through from Texas A&amp;M Research Foundation</i>	43.XXX	99-451681		4,826	4,826
Novel Receptor-Based Countermeasures to Microgravity	43.XXX				
<i>Pass-Through from Texas A&amp;M Research Foundation</i>	43.XXX	99-446311		5,678	5,678
Nox Production by Lightning and Its Impact on the	43.XXX				
<i>Pass-Through from Texas A&amp;M Research Foundation</i>	43.XXX	99-444601		25,891	25,891
NSBRI - Bone Blood Flow and Microgravity	43.XXX				
<i>Pass-Through from Texas A&amp;M Research Foundation</i>	43.XXX	99-438953		(7,696)	(7,696)
NSBRI - Bone Demineralization/Calcium Metabolism	43.XXX				
<i>Pass-Through from Texas A&amp;M Research Foundation</i>	43.XXX	99-439403		11,861	11,861
NSBRI - Microgravity and Circadia Cardiovascular Foundation	43.XXX				
<i>Pass-Through from Texas A&amp;M Research Foundation</i>	43.XXX	99-451371		3,848	3,848
NSBRI Website and Special Public Outreach Project	43.XXX				
<i>Pass-Through from Texas A&amp;M Research Foundation</i>	43.XXX	99-451621		25,699	25,699
Nutrition, Physical Fitness and Rehabilitation	43.XXX				
<i>Pass-Through from Texas A&amp;M Research Foundation</i>	43.XXX	451231		258	258
Optimization Studies for Access Calorimetry	43.XXX	NAG5-5156		28,398	28,398
Participation in USRA Sofia Science Team	43.XXX				
<i>Pass-Through from Universities Space Research Assoc.</i>	43.XXX	8500-98-099, SUPP # 02		25,737	25,737
Phace D/D and E6C02	43.XXX				
<i>Pass-Through from Cornell University</i>	43.XXX	38341-6288		25,173	25,173
Physical Validation of TMI Oceanic Rainfall Retrieval	43.XXX				
<i>Pass-Through from Texas A&amp;M Research Foundation</i>	43.XXX	99-448061		138,476	138,476
Power, Quality, and Reliability	43.XXX	NAG9-1136		11,775	11,775
<i>Pass-Through from Texas A&amp;M Research Foundation</i>	43.XXX	99-450231		7,181	7,181
Proposal to NASA to Enhance Geographic Education	43.XXX				
<i>Pass-Through from Texas A&amp;M Research Foundation</i>	43.XXX	99-441831		96,564	96,564
Rad. Eff. on Zylon Cord Material for the NASA X-38	43.XXX	T-7017W		8,074	8,074
Radiation Effects on Electronic Material	43.XXX	NAG4-148		50,904	50,904



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<b>RESEARCH AND DEVELOPMENT CLUSTER (continued)</b>					
<b>National Aeronautics and Space Administration (continued)</b>					
Radiation Effects: Radiation-Induced Mutations	43.XXX				
<i>Pass-Through from Texas A&amp;M Research Foundation</i>	43.XXX	439433	\$	\$ 581	\$ 581
Receptor Countermeasures for Bone Loss in Microgravity	43.XXX				
<i>Pass-Through from Texas A&amp;M Research Foundation</i>	43.XXX	99-449791		7,387	7,387
Research to Significantly Enhance Composites	43.XXX				
<i>Pass-Through from Texas A&amp;M Research Foundation</i>	43.XXX	NCC3-577 - 438261		112,539	112,539
Retrieval of Ocean Surface and Atmospheric Paramet	43.XXX				
<i>Pass-Through from Texas A&amp;M Research Foundation</i>	43.XXX	99-486441		165,545	165,545
Role of Cathodes, Anode and Electrolyte in the Failure of Lithium Ion Cells	43.XXX	50485, AMD NO. 3		37,920	37,920
Silicon Uptake in Hydroponics by Gramineaceous Plants	43.XXX	NAG9-1227		47,210	47,210
Software Engineering Initiative	43.XXX				
<i>Pass-Through from Texas A&amp;M Research Foundation</i>	43.XXX	NCC9-66 - 437591		203,001	203,001
Space Radiation Effects in SI MOS Devices	43.XXX	558696		2,662	2,662
Space-Based Flywheel Project	43.XXX				
<i>Pass-Through from TRW Corp</i>	43.XXX	83176C		(26)	(26)
Statistical Sampling and Estimation Problems Using	43.XXX				
<i>Pass-Through from Texas A&amp;M Research Foundation</i>	43.XXX	99-437461		3,928	3,928
<i>Pass-Through from Texas A&amp;M Research Foundation</i>	43.XXX	99-448101		69,160	69,160
Studies on the Uranian and Neptunian Magnetosphere	43.XXX	961073		(2,779)	(2,779)
Surge Concept Study	43.XXX				
<i>Pass-Through from University of Colorado</i>	43.XXX	0000016103		58,690	58,690
Texas Gulf Coast Environmental Data Center	43.XXX				
<i>Pass-Through from Texas A&amp;M Research Foundation</i>	43.XXX	NAG-13-46 - 434511		720	720
The Scalability of OpenMP on Large-Scale Distribution	43.XXX	5-53110		25,540	25,540
TLCC Facilities and Equipment	43.XXX	NAG9-1233		1,175,821	1,175,821
Two Tabs Buoys with ADCPS and MET Station	43.XXX				
<i>Pass-Through from Texas A&amp;M Research Foundation</i>	43.XXX	649481		26,157	26,157
Two Tabs Buoys with ADCPS and Met Stations	43.XXX				
<i>Pass-Through from Texas A&amp;M Research Foundation</i>	43.XXX	99-649481		53,895	53,895
Under-Way Real Time Current Measurement System	43.XXX				
<i>Pass-Through from Texas A&amp;M Research Foundation</i>	43.XXX	649482		3,591	3,591
Under-way Real Time Current Measurement System	43.XXX				
<i>Pass-Through from Texas A&amp;M Research Foundation</i>	43.XXX	99-649482		4,579	4,579
Validation and Estimates of Tropical Rain and Diabetic Heating	43.XXX				
<i>Pass-Through from Texas A&amp;M Research Foundation</i>	43.XXX	99-437431		46,149	46,149
Validation and Transferability of Tropical Rain Estimation Methods	43.XXX				
<i>Pass-Through from Texas A&amp;M Research Foundation</i>	43.XXX	99-438481		2,321	2,321
Validation of TRMM Rainfall and Heating Estimates	43.XXX				
<i>Pass-Through from Texas A&amp;M Research Foundation</i>	43.XXX	99-448661		169,530	169,530
World Ocean Circulation Experiment: Support for	43.XXX				
<i>Pass-Through from Texas A&amp;M Research Foundation</i>	43.XXX	99-451981		19	19
World Ocean Circulation Experiment	43.XXX				
<i>Pass-Through from Texas A&amp;M Research Foundation</i>	43.XXX	99-439441		558	558
Aerospace Education Services Program	43.001		163,760	9,360,004	9,523,764
<i>Pass-Through from Baylor College of Medicine</i>	43.001	NCC9-58-203		16,164	16,164
<i>Pass-Through from Boeing Aerospace &amp; Electronics</i>	43.001	MOD8XXN-863688		135,765	135,765
<i>Pass-Through from Jet Propulsion Laboratory</i>	43.001	121088		201,024	201,024
<i>Pass-Through from Morehouse School of Medicine</i>	43.001	425328: NASA NCC9-53		2,500	2,500



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<b>RESEARCH AND DEVELOPMENT CLUSTER (continued)</b>					
<b>National Aeronautics and Space Administration (continued)</b>					
Aerospace Education Services Program (continued)	43.001				
<i>Pass-Through from National Space Biomedical     Research Institute</i>	43.001	NCC9-58-203	\$	\$ 39,663	\$ 39,663
<i>Pass-Through from Pratt &amp; Whitney (United     Technologies Inc.)</i>	43.001	20138,ROC		107,723	107,723
<i>Pass-Through from QEM-NASA Sharp Plus</i>	43.001	02-426008		45,514	45,514
<i>Pass-Through from Smithsonian Astrophysical     Observatory</i>	43.001	G01-2003A		20,843	20,843
<i>Pass-Through from Smithsonian Astrophysical     Observatory</i>	43.001	G01-2012B		9,488	9,488
<i>Pass-Through from Texas A&amp;M Research Foundation</i>	43.001	449201		163,797	163,797
<i>Pass-Through from Texas A&amp;M Research Foundation</i>	43.001	99-447261		14,540	14,540
<i>Pass-Through from Texas A&amp;M Research Foundation</i>	43.001	S800041 437591-1		77,737	77,737
<i>Pass-Through from United Space Alliance, Llc</i>	43.001	297A001126		233,139	233,139
<i>Pass-Through from Universities Space Research Assoc.     Pass-Through from Universities Space Research     Association</i>	43.001	08008-001-002-002		14,973	14,973
<i>Pass-Through from University of Alabama</i>	43.001	04500-12		159	159
<i>Pass-Through from University of Massachusetts</i>	43.001	NAG5-9388		708	708
<i>Pass-Through from University of New Orleans Research     &amp; Technology</i>	43.001	No. 00S031/28901		22,806	22,806
<i>Pass-Through from Utah State University Research     Foundation</i>	43.001	58404-S7		101,276	101,276
<i>Technology Transfer</i>	43.001	C922182		326,352	326,352
<i>Pass-Through from Association of University For     Research</i>	43.002		299,076	3,781,831	4,080,907
<i>Pass-Through from Baylor College of Medicine</i>	43.002	C10335A		2,336	2,336
<i>Pass-Through from Baylor College of Medicine</i>	43.002	NCC9-58		43,582	43,582
<i>Pass-Through from Baylor College of Medicine</i>	43.002	NCC958P-ODEN		338	338
<i>Pass-Through from Cal Tech - Jet Propulsion Lab</i>	43.002	1210267-JP		165,689	165,689
<i>Pass-Through from Calif Inst of Tech Jet Propulsion     Pass-Through from Creare</i>	43.002	1202934		106,573	106,573
<i>Pass-Through from John Hopkins University</i>	43.002	26676		17,170	17,170
<i>Pass-Through from Lockheed-martin Space Operations</i>	43.002	NASS-97271		36,758	36,758
<i>Pass-Through from Lockheed-martin Space Operations</i>	43.002	GB63037J62		9,393	9,393
<i>Pass-Through from New Mexico Highlands University</i>	43.002	NAG8-1708		19,976	19,976
<i>Pass-Through from Raytheon Co</i>	43.002	3000616, II MOD 8 II	5,000	351,448	356,448
<i>Pass-Through from Rice University</i>	43.002	RICE UNIVERSITY		33,654	33,654
<i>Pass-Through from Scripps Rsch Inst</i>	43.002	5-97458 / NCC2-1055		164,754	164,754
<i>Pass-Through from Space Telescope Science Institute</i>	43.002	NASS-26555		17,612	17,612
<i>Pass-Through from Texas Medical Center</i>	43.002	NCC9-36		4,225	4,225
<i>Pass-Through from United Space Alliance</i>	43.002	NAS9-20000		902	902
<i>Pass-Through from University of Arizona</i>	43.002	NAG2-949		17	17
<i>Pass-Through from University of New Mexico</i>	43.002	3-16661-7		20,882	20,882
Total - National Aeronautics and Space Administration	43.002			1,543,732	43,090,401
<b>National Foundation on the Arts and the Humanities</b>					
Memories of Mestizaje or Race-Mixing	45.XXX				
<i>Pass-Through from Indiana University</i>	45.XXX	10605-0038; RO-2287-95		873	873
Preserving Rare, Early 20th Century Mexican Newspapers	45.XXX	PA-23563-00		60,716	60,716
The U.S. Civil War in the Eyes of German Immigrant	45.XXX				
<i>Pass-Through from Texas A&amp;M Research Foundation</i>	45.XXX	99-452451		8,261	8,261

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<b>RESEARCH AND DEVELOPMENT CLUSTER (continued)</b>					
<b>National Foundation on the Arts and the Humanities (continued)</b>					
Promotion of the Arts--Grants to Organizations	45.024		\$	\$ 165,274	\$ 165,274
Promotion of the Humanities--Federal/State Partnership	45.129				
<i>Pass-Through from Texas Committee for the Humanities</i>	45.129	TCH 2000-2673		3,191	3,191
<i>Pass-Through from Texas Committee for the Humanities</i>	45.129	TCH 2000-2706		10,736	10,736
<i>Pass-Through from Texas Committee for the Humanities</i>	45.129	TCH 2001-2738		800	800
<i>Pass-Through from Texas Council for the Humanities</i>	45.129	2000-2727		1,151	1,151
Promotion of the Humanities--Challenge Grants	45.130			30,233	30,233
Promotion of the Humanities--Division of Preservation and Access	45.149			193,110	193,110
<i>Pass-Through from Cornell University</i>	45.149	33219-5914-PA-23108-98	19,609	60,473	80,082
Promotion of the Humanities--Research	45.161			17,153	17,153
<i>Pass-Through from Texas A&amp;M Research Foundation</i>	45.161	99-443851		75,941	75,941
Promotion of the Humanities--Seminars and Institutes	45.163			23,549	23,549
Promotion of the Humanities--Public Programs	45.164			646	646
Promotion of the Humanities--Extending the Reach Grants to Presidentially-Designated Minority Institutions	45.167			51,734	51,734
Institute of Museum and Library Services	45.301			166,520	166,520
Institute of Museum and Library Services--National Leadership Grants	45.312			29,294	29,294
Total - National Foundation on the Arts and the Humanities				19,609	899,655
					919,264
<b>National Science Foundation</b>					
Acquisition of Beckman XL-1 Analytical Ultracentrifuges	47.XXX	56109		3,059	3,059
Alliance for Minority Participation	47.XXX	96/97-024-02		100,578	100,578
Annealing Process	47.XXX	ECS-9732092		14,151	14,151
Center for Environmentally Responsible Solvents	47.XXX				
<i>Pass-Through from University of N. Carolina at Chapel</i>	47.XXX	UNC-CH No. 5-37497		599,068	599,068
CRPC Center for Research on Parallel Computation	47.XXX				
<i>Pass-Through from Rice University</i>	47.XXX	R3185J-29200097, AMD 6		(3,214)	(3,214)
EPS ERI	47.XXX				
<i>Pass-Through from Space Telescope Science Inst</i>	47.XXX	HST-GO-09167.01-A		6,985	6,985
Installation of a Tide Gauge at Palmer Station, Antarctica	47.XXX				
<i>Pass-Through from Raytheon Co</i>	47.XXX	RM77073-01		8,950	8,950
Magnetic Photonic Crystals	47.XXX	ECS-0091613		21,859	21,859
Manufacturing and Interconnection of Carbon Nanotube	47.XXX				
<i>Pass-Through from Xidex Corp</i>	47.XXX	UTA00-340		15,994	15,994
Markets With Imperfect Information Transmission	47.XXX				
<i>Pass-Through from Texas A&amp;M Research Foundation</i>	47.XXX	99-441841		20,240	20,240
Mesh Generation, Adaptive Refinement and Parallel	47.XXX				
<i>Pass-Through from Lawrence Berkeley Natl Lab</i>	47.XXX	B347883		375,175	375,175
Molecular Visualization and Science Education	47.XXX				
<i>Pass-Through from Texas A&amp;M Research Foundation</i>	47.XXX	99-451081		277	277
Multiscale Modeling and Simulation in Scientific Inference	47.XXX				
<i>Pass-Through from Duke University</i>	47.XXX	99-SC-NSF-1023		37,672	37,672
Nanomechanics and Interphase Chemistry of Interfacial Fracture	47.XXX				
<i>Pass-Through from South Dakota School of Mines</i>	47.XXX	SDSM&T-UT Austin		69,187	69,187
National Partnership for Advanced Computational Infrastructure	47.XXX				
<i>Pass-Through from University of California-San Diego</i>	47.XXX	10181410		1,221,697	1,221,697

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<b>RESEARCH AND DEVELOPMENT CLUSTER (continued)</b>					
<b>National Science Foundation (continued)</b>					
NSF - PVAMU SMET Enhancement Program	47.XXX	HRD-9909032	\$	\$ 725,370	\$ 725,370
NSF Bacteria in Glaciers Project	47.XXX	OPP-00855891		33,905	33,905
NSF Integration of Optical Engineering Project	47.XXX	UTA96-0038		(6,058)	(6,058)
NSF Linkage of Nonsense Codons and RNA Splicing Project	47.XXX	MCB-9808936-1		95,285	95,285
NSF Ultraviolet Radiation Induced Damage Project	47.XXX	OPP-9801785		59,073	59,073
Offshore Technology Research Center	47.XXX				
<i>Pass-Through from Tx A &amp; M Research Fdn</i>	47.XXX	L900034		14,618	14,618
Optimal Transportation	47.XXX				
<i>Pass-Through from Georgia Inst of Technology</i>	47.XXX	G-37-X71-G4		41,738	41,738
Parental Involvement in the Transition to College	47.XXX				
<i>Pass-Through from Amer Educ Res Asso</i>	47.XXX	98-0118		953	953
Participatory Simulations - Network-Based Design	47.XXX				
<i>Pass-Through from Tufts University</i>	47.XXX	UTA99-0103		3,953	3,953
Partnership for Advanced Computational Infrastructure	47.XXX				
		PACI 791ET-11068			
<i>Pass-Through from University of Illinois</i>	47.XXX	TASK ONE		15,865	15,865
Postdoctoral Fellowship in Biological Informatics	47.XXX	DBI-0074508		15,613	15,613
Research Center for Bioengineering educational Technology	47.XXX				
<i>Pass-Through from Vanderbilt University</i>	47.XXX	14656-S1		268,554	268,554
Research in Several Complex Variables	47.XXX				
<i>Pass-Through from Texas A&amp;M Research Foundation</i>	47.XXX	99-452231		30,528	30,528
Savanna Shrubland Conversion	47.XXX	DEB-9815796		74,562	74,562
Statewide Systemic Reform in Five States	47.XXX				
<i>Pass-Through from Cosmos Corporation</i>	47.XXX	S-99-05		18,670	18,670
Structure and Physical Properties of a Methane Hydrate	47.XXX				
<i>Pass-Through from University of Wyoming</i>	47.XXX	NSFLOC4365:4366EQSUB		79,471	79,471
Technical Measurement and Analysis of Nutrients	47.XXX				
<i>Pass-Through from Texas A&amp;M Research Foundation</i>	47.XXX	99-445471		10,688	10,688
Tectonomagmatic Development of the Kerguelen Plateau	47.XXX	F001333		85,698	85,698
Teleost Retinal Pigment	47.XXX	INT-0078261		13,495	13,495
Variable Effects of Nutrients, Productivity, Consu	47.XXX				
<i>Pass-Through from Texas A&amp;M Research Foundation</i>	47.XXX	99-450531		1,538	1,538
Engineering Grants	47.041		1,890,384	14,042,602	15,932,986
<i>Pass-Through from Duke University</i>	47.041	99-SC-NSF-1022		33,851	33,851
<i>Pass-Through from Fiberglass Tank &amp; Pipe Institute</i>	47.041			20,558	20,558
<i>Pass-Through from George Washington University</i>	47.041	00-S06 Proj No.AN13956		32,085	32,085
<i>Pass-Through from Nsf International Engineering Grants</i>	47.041	00/02/397		18,061	18,061
<i>Pass-Through from Purdue University</i>	47.041	EEC-970076		10,258	10,258
<i>Pass-Through from Rochester Institute of Technology</i>	47.041	EEC-0080502		12,958	12,958
<i>Pass-Through from Tennessee State University</i>	47.041	332.77-99.524		1,089	1,089
<i>Pass-Through from Texas A&amp;M Research Foundation</i>	47.041	434711		2,258	2,258
		434711, 438461,			
<i>Pass-Through from Texas A&amp;M Research Foundation</i>	47.041	438831, 463001		90,934	90,934
<i>Pass-Through from Texas A&amp;M Research Foundation</i>	47.041	99-434711		26,308	26,308
<i>Pass-Through from Texas A&amp;M Research Foundation</i>	47.041	S800118		31,028	31,028
<i>Pass-Through from University of Arizona</i>	47.041	Y701580		23,888	23,888
<i>Pass-Through from University of California, San Diego</i>	47.041	MOA No. 1		20,818	20,818
<i>Pass-Through from University of Illinois</i>	47.041	98-269		45,216	45,216
<i>Pass-Through from University of Iowa</i>	47.041	4000026941		(6)	(6)

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<b>RESEARCH AND DEVELOPMENT CLUSTER (continued)</b>					
<b>National Science Foundation (continued)</b>					
<i>Pass-Through from University of Minnesota</i>	47.041	V5146171201	\$	102,437	\$ 102,437
<i>Pass-Through from University of Minnesota - Saint Paul</i>	47.041	V5146171501EEC-98729		24,179	24,179
<i>Pass-Through from Washington University</i>	47.041	WUHT-00-05		27,305	27,305
<i>Pass-Through from Xidex Corp</i>	47.041	UTA01-104		25,125	25,125
Mathematical and Physical Sciences	47.049		93,951	12,789,002	12,882,953
<i>Pass-Through from Columbia University, NY</i>	47.049	PHY97-22537		291,296	291,296
<i>Pass-Through from North Carolina Agricultural and Technical State University</i>	47.049	4460S4A		43,220	43,220
<i>Pass-Through from Polish Academy of Sciences</i>	47.049	PAN/NSF-97-305		1,142	1,142
<i>Pass-Through from Tennessee State University</i>	47.049	332.77-99.525		9,997	9,997
<i>Pass-Through from Texas A&amp;M Research Foundation</i>	47.049	99-432541-452691		2,086,038	2,086,038
<i>Pass-Through from University of California - Irvine</i>	47.049	T01-PHY0100566-UH		5,354	5,354
Geosciences	47.050			3,104,366	3,104,366
<i>Pass-Through from Inc. Research Institutions for Seismic</i>	47.050	EAR-952999		102,496	102,496
<i>Pass-Through from Oceanographic Institutions, Inc.</i>	47.050	F000972		2,569	2,569
<i>Pass-Through from Texas A&amp;M Research Foundation</i>	47.050	441431-444721		59,866	59,866
<i>Pass-Through from Texas A&amp;M Research Foundation</i>	47.050	645241, 650663, 650971		32,952	32,952
<i>Pass-Through from Texas A&amp;M Research Foundation</i>	47.050	99-418017-650971		9,354,902	9,354,902
<i>Pass-Through from Texas A&amp;M Research Foundation</i>	47.050	F000685		815	815
<i>Pass-Through from Texas A&amp;M Research Foundation</i>	47.050	F000894		23,336	23,336
<i>Pass-Through from Texas A&amp;M Research Foundation</i>	47.050	F000895		575	575
<i>Pass-Through from Texas A&amp;M Research Foundation</i>	47.050	F000973		157	157
<i>Pass-Through from University of Rhode Island</i>	47.050	011000/532534-D		2,230	2,230
<i>Pass-Through from University of Boston</i>	47.050	ATM-00095		21,698	21,698
<i>Pass-Through from University of Illinois</i>	47.050	00-117 EAR9627784		19,557	19,557
<i>Pass-Through from University of Rhode Island</i>	47.050	110299/5322846		3,351	3,351
Computer and Information Science and Engineering	47.070		267,272	6,452,444	6,719,716
<i>Pass-Through from Educause</i>	47.070	ANI-998053		20,261	20,261
<i>Pass-Through from Purdue University</i>	47.070	500-3767-1		13,149	13,149
<i>Pass-Through from Rice University</i>	47.070	R36507-29200099		104,050	104,050
<i>Pass-Through from Suny At Buffalo Research Foundation</i>	47.070	982521		(458)	(458)
<i>Pass-Through from Texas A&amp;M Research Foundation</i>	47.070	443671		45,813	45,813
<i>Pass-Through from Texas A&amp;M Research Foundation</i>	47.070	463004		1,833	1,833
<i>Pass-Through from Texas A&amp;M Research Foundation</i>	47.070	99-443671		42,633	42,633
<i>Pass-Through from Texas A&amp;M Research Foundation</i>	47.070	EAR-9875052		3,771	3,771
		10181410 RESOURCE /			
<i>Pass-Through from University of California - San Diego</i>	47.070	ACI-9619020		727,227	727,227
<i>Pass-Through from University of Illinois</i>	47.070	791ETAT1127A		41,795	41,795
<i>Pass-Through from University of California - San Diego</i>	47.070	10153082		38,701	38,701
<i>Pass-Through from University of Illinois</i>	47.070	779ET-11050A		5,561	5,561
<i>Pass-Through from University of Illinois</i>	47.070	98-269		42	42
<i>Pass-Through from University of Illinois</i>	47.070	ASC97-40300		11,613	11,613
Biological Sciences	47.074		275,506	5,882,234	6,157,740
<i>Pass-Through from State University of New York</i>	47.074	431-1478A		29,216	29,216
<i>Pass-Through from Texas A&amp;M Research Foundation</i>	47.074	437991-448741		30,133	30,133
<i>Pass-Through from Texas A&amp;M Research Foundation</i>	47.074	445301		29,735	29,735
<i>Pass-Through from Texas A&amp;M Research Foundation</i>	47.074	99-433851-452361		563,767	563,767
<i>Pass-Through from University of Alaska</i>	47.074	92-6000147		1,086	1,086

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<b>RESEARCH AND DEVELOPMENT CLUSTER (continued)</b>					
<b>National Science Foundation (continued)</b>					
Biological Sciences (continued)	47.074				
		98RA1829,			
<i>Pass-Through from University of California Davis</i>	47.074	98174003 SA6246	\$	\$ 266,434	\$ 266,434
<i>Pass-Through from University of Puerto Rico</i>	47.074	66-0433760		3,444	3,444
<i>Pass-Through from University of Puerto Rico</i>	47.074	SS660433760		23,630	23,630
Social, Behavioral, and Economic Sciences	47.075		49,677	1,532,103	1,581,780
<i>Pass-Through from Columbia University</i>	47.075	5-51288		20,997	20,997
<i>Pass-Through from National Research Council</i>	47.075	INT-0002341		6,900	6,900
<i>Pass-Through from National Research Council</i>	47.075	INT9522667		7,972	7,972
<i>Pass-Through from Texas A&amp;M Research Foundation</i>	47.075	99-433591-449141		176,512	176,512
<i>Pass-Through from University of Minnesota</i>	47.075	T4496000005		27,665	27,665
<i>Pass-Through from University of North     Carolina-Freensboro</i>	47.075	56-60014687		17,083	17,083
Education and Human Resources	47.076		565,412	14,678,615	15,244,027
<i>Pass-Through from Grand Valley State University</i>	47.076	NSF-DUE9981031		41,031	41,031
<i>Pass-Through from Houston Community College</i>	47.076	9850344		419	419
<i>Pass-Through from New Mexico State University</i>	47.076	HRD-980029		150	150
<i>Pass-Through from Northwestern University</i>	47.076	0830 310 A625 UT		137,905	137,905
<i>Pass-Through from Terc, Inc</i>	47.076	4580		74,031	74,031
<i>Pass-Through from Texas A&amp;M Research Foundation</i>	47.076	444471		2,118	2,118
<i>Pass-Through from Texas A&amp;M Research Foundation</i>	47.076	99-432811-444621		126,222	126,222
<i>Pass-Through from University of New Mexico</i>	47.076	3-66191-7850		9,671	9,671
<i>Pass-Through from University of Michigan</i>	47.076	32-2730557		18,608	18,608
<i>Pass-Through from University of Nebraska Lincoln</i>	47.076	250521-0059-002		7,493	7,493
Academic Research Infrastructure	47.077			24,120	24,120
Polar Programs	47.078		87,234	1,358,707	1,445,941
<i>Pass-Through from Texas A&amp;M Research Foundation</i>	47.078	448991, 451091		117,435	117,435
<i>Pass-Through from Texas A&amp;M Research Foundation</i>	47.078	99-446721		64,665	64,665
Total - National Science Foundation				<u>3,229,436</u>	<u>79,409,604</u>
<b>Small Business Administration</b>					
Management and Technical Assistance	59.007			262,330	262,330
Small Business Development Center	59.037			260,659	260,659
<i>Pass-Through from Science and Engineering     Alliance, Inc.</i>	59.037	#SEA/EPA0014		174	174
Total - Small Business Administration				<u>—</u>	<u>523,163</u>
<b>TVA Energy Research and Technology Applications</b>					
TVA Energy Research and Technology Applications	62.001			21,632	21,632
Total - TVA Energy Research and Technology Applications				<u>—</u>	<u>21,632</u>
<b>Department of Veterans Affairs</b>					
Intergovernmental Personnel Act	64.XXX	HBU 01-113		9,246	9,246
Receiving Communication from the Alzheimer's Client	64.XXX	IPA EFF 5/1/99		44,176	44,176
V.A. Rehabilitation Research Development Program	64.XXX	V580P-7401		53,760	53,760
Various IPAs	64.XXX	DONGP25		928,906	928,906
Veterans Administration Medical Center-Interagency	64.XXX	IPA 02-425336		25,790	25,790
Veterans Medical Care Benefits	64.009			82,484	82,484
Veterans State Hospital Care	64.016			771	771
Sharing Specialized Medical Resources	64.018			1,370,936	1,370,936
Veterans Home Based Primary Care	64.022			9,055	9,055
Total - Department of Veterans Affairs				<u>—</u>	<u>2,525,124</u>

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<b>RESEARCH AND DEVELOPMENT CLUSTER (continued)</b>					
<b>Environmental Protection Agency</b>					
Algal Pigment Analysis	66.XXX				
<i>Pass-Through from Texas A&amp;M Research Foundation</i>	66.XXX	651441	\$	\$ 379	\$ 379
CISNET for Neuse River Estuary, NC	66.XXX				
<i>Pass-Through from Texas A&amp;M Research Foundation</i>	66.XXX	99-442721		23,175	23,175
Crooked Creek Water Quality Demo	66.XXX				
<i>Pass-Through from Marlboro Soil &amp; Water     Conservation District</i>	66.XXX	T9820		3,870	3,870
Evaluation of Monitored Natural Attention	66.XXX				
<i>Pass-Through from Em Federal Foundation, Inc.</i>	66.XXX	Letter Dated 03/16/2001		9,581	9,581
Field-Usable Compact Capillary	66.XXX	R-825344-01-0		19,823	19,823
Gulf Coast Aerosol Research and Characterization Study	66.XXX	R-82806201	647,537		647,537
	66.XXX	R-82806201	122,749	1,099,944	1,222,693
Houston Supersite Field Study	66.XXX	UTA00-288		146,157	146,157
Modeling and Related Activities for TDML Development	66.XXX				
<i>Pass-Through from Paul Price And Associates</i>	66.XXX	UTA00-262		151,351	151,351
Modeling Effects of Endocrine Disruptor	66.XXX				
<i>Pass-Through from Louisiana State University</i>	66.XXX	R196205		30,823	30,823
Pesticide Mitigation for Avian Species	66.XXX	R825691-01-0		6,304	6,304
Air Pollution Control Program Support	66.001			(15,843)	(15,843)
<i>Pass-Through from University of Minnesota</i>	66.001	EPA R825813		26,756	26,756
<i>Pass-Through from University of Utah</i>	66.001	CS-827370-		7,590	7,590
<i>Pass-Through from Westinghouse Government     Environmental Services</i>	66.001	3523		7,005	7,005
Air Information Center	66.009				
<i>Pass-Through from Rutgers</i>	66.009	EPA R824835		7,106	7,106
<i>Pass-Through from University of Medicine &amp; Dentistry     of New Jersey</i>	66.009	EOSHI 96-01A		61,149	61,149
Water Pollution Control--State and Interstate Program	66.419				
<i>Pass-Through from Texas A&amp;M Research Foundation</i>	66.419	649991		244	244
<i>Pass-Through from Texas A&amp;M Research Foundation</i>	66.419	99-649991		940	940
Water Pollution Control - Lake Restoration	66.435			(1)	(1)
Water Quality Management Planning	66.454		88,315		88,315
<i>Pass-Through from American Water Works Association     Research Foundation</i>	66.454	2532	25,000	91,395	116,395
National Estuary Program	66.456			46,580	46,580
<i>Pass-Through from Coastal Bays And Estuaries     Program Inc</i>	66.456	116		33,739	33,739
<i>Pass-Through from Coastal Bend Bays &amp; Estuary     Program</i>	66.456	02-426002		13,042	13,042
Nonpoint Source Implementation Grant	66.460			272,272	272,272
Wetlands Grants	66.461			128,349	128,349
<i>Pass-Through from City of El Paso</i>	66.461	CD-986224		12,193	12,193
<i>Pass-Through from Coastal Bend Bays &amp; Estuaries     Program</i>	66.461	16		34,671	34,671
Water Quality Cooperative Agreements	66.463				
<i>Pass-Through from Parsons Engineering</i>	66.463	95-3047593		108,476	108,476
Wastewater Operator Training Grant Program (Technical Assistance)	66.467			63,997	63,997
Great Lakes Program	66.469				
<i>Pass-Through from It Corporation</i>	66.469	94-1259053		12,696	12,696

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<b>RESEARCH AND DEVELOPMENT CLUSTER (continued)</b>					
<b>Environmental Protection Agency (continued)</b>					
Environmental Protection--Consolidated Research	66.500		\$ 806,372	\$ 4,578,714	\$ 5,385,086
<i>Pass-Through from City of Denton</i>	66.500	75-6000514		68,049	68,049
<i>Pass-Through from City of El Paso</i>	66.500	82796401		160,621	160,621
<i>Pass-Through from Lee Wilson &amp; Associates, Inc.</i>	66.500	582-02		14,185	14,185
<i>Pass-Through from Mickey Leland Nat'l Air     Toxics Res Center</i>	66.500	NHANES IV(99-427)		94	94
<i>Pass-Through from Minnesota Pollution Control Agency</i>	66.500	R32000000414		48,301	48,301
<i>Pass-Through from Montana State University</i>	66.500	GC022-00-Z1054		10,256	10,256
<i>Pass-Through from San Diego University Foundation</i>	66.500	CR826386-1		88,054	88,054
		435261, 444911, 444912, 435261,			
<i>Pass-Through from Texas A&amp;M Research Foundation</i>	66.500	632315,		12,476	12,476
<i>Pass-Through from Texas A&amp;M Research Foundation</i>	66.500	435261-636531		294,544	294,544
<i>Pass-Through from Texas A&amp;M Research Foundation</i>	66.500	443551-00001		555	555
<i>Pass-Through from Texas A&amp;M Research Foundation</i>	66.500	445691		62,407	62,407
Performance Partnership Grants	66.605			(23,103)	(23,103)
Surveys, Studies, Investigations and Special Purpose Grants	66.606			2,702,812	2,702,812
<i>Pass-Through from Arizona Border Health Foundation</i>	66.606	X98953601		7,226	7,226
<i>Pass-Through from New Mexico State University</i>	66.606	Q00645		2,016	2,016
<i>Pass-Through from North Carolina State University</i>	66.606	CX826451-01-0		24,998	24,998
<i>Pass-Through from Texas A&amp;M Research Foundation</i>	66.606	442681		2,824	2,824
<i>Pass-Through from Texas A&amp;M Research Foundation</i>	66.606	452831		287	287
<i>Pass-Through from University of Utah</i>	66.606	54500678		3,295	3,295
Training and Fellowships for the Environmental Protection Agency	66.607			136,766	136,766
Consolidated Pesticide Enforcement Cooperative Agreements	66.700			1,234,684	1,234,684
Toxic Substances Compliance Monitoring Cooperative Agreements	66.701			87,005	87,005
Pollution Prevention Grants Program	66.708			133,577	133,577
<i>Pass-Through from University of Dayton Research     Institute</i>	66.708	31-0536715		40,739	40,739
Environmental Justice through Pollution Prevention Grants	66.711			7,243	7,243
Environmental Education and Training Program	66.950			12,837	12,837
Environmental Education Grants	66.951			74	74
Total - Environmental Protection Agency			1,689,973	12,115,299	13,805,272
<b>U.S. Department of Energy</b>					
Actinide Migration Studies at the Rocky Flats Site	81.XXX				
<i>Pass-Through from Texas A&amp;M Research Foundation</i>	81.XXX	445771-00001		75,798	75,798
Automated Multi-Level Substructuring	81.XXX				
<i>Pass-Through from Sandia Natl Labs</i>	81.XXX	BE-5367		390,748	390,748
Battelle Pacific Northwest Labor	81.XXX				
<i>Pass-Through from Battelle Pacific Northwest Labor</i>	81.XXX	293776-AI6/SUPP. 4		74,537	74,537
Development of a 3-d Statistical Shape Model	81.XXX				
<i>Pass-Through from UT-Battelle Llc</i>	81.XXX	4000005995		16,921	16,921
Dictyostelium Discoideum Cellulose	81.XXX	DEFG0399ER20335		82,578	82,578
Durability of Automotive Adhesively Bonded Joints	81.XXX				
<i>Pass-Through from UT-Battelle Llc</i>	81.XXX	XSP038, Mod No. 8		318,560	318,560
Experimental verification of the Distillation Column Flooding	81.XXX				
<i>Pass-Through from 2ndpoint Inc</i>	81.XXX	UTA01-267		25,972	25,972



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<b>RESEARCH AND DEVELOPMENT CLUSTER (continued)</b>					
<b>U.S. Department of Energy (continued)</b>					
Exploitation and Optimization of Shallow Shelf Car	81.XXX				
<i>Pass-Through from University of Tulsa</i>	81.XXX	14-2-1202275-9481	\$	\$ 49,085	\$ 49,085
Fabricate Coatings Suitable for the Detection	81.XXX				
<i>Pass-Through from Texas A&amp;M Research Foundation</i>	81.XXX	99-430281		129,017	129,017
Feasibility Study for the Establishment of a National Geoscience Data Center	81.XXX				
<i>Pass-Through from Amer Geological Inst</i>	81.XXX	UTA95-0243, AMD DTD 6/21/99	1,810	213,674	215,484
FETC Program	81.XXX				
<i>Pass-Through from Microelectronics &amp; Computer Corp</i>	81.XXX	9G0892033		571	571
Fire Modeling	81.XXX				
<i>Pass-Through from Los Alamos Natl Lab     Natl Lab</i>	81.XXX	746BH-0018-2G		217,480	217,480
First Principle Micromechanical and Continuum	81.XXX				
<i>Pass-Through from University of California Los Alamos     Natl Lab</i>	81.XXX			38,710	38,710
Geoscience Data Center	81.XXX				
<i>Pass-Through from Trutna, William</i>	81.XXX	26392151		(130)	(130)
High Energy Particle Physics	81.XXX	13051052		21,000	21,000
Identification and Development of a Sustainable Flow of Clean Energy Incubator Candidates	81.XXX				
<i>Pass-Through from Natl Renewable Energy Lab</i>	81.XXX	AXE-0-30113-01		15,000	15,000
In Situ Bio Treatment of Explosives	81.XXX				
<i>Pass-Through from Sandia National Lab</i>	81.XXX	6083		8,052	8,052
Industrial Assessment Center Operation at PVAMU	81.XXX				
<i>Pass-Through from Texas A&amp;M Research Foundation</i>	81.XXX	DE-FC-01-97EE41319 - 438361		34,330	34,330
In-situ Bioremediation of Explosives	81.XXX				
<i>Pass-Through from Lockheed Martin Idaho     Technologies Co.</i>	81.XXX	K98-178595 10018		28,736	28,736
Intergrated P-Wave and S-Wave Seismic Data	81.XXX			18,429	18,429
Maximizing Rate and Storage Capacity	81.XXX	DEFC2600NT40925	139,267		139,267
Maximizing Storage Rate Capacity	81.XXX	DEFC2600NT40925	121,714	185,493	307,207
Nanoscale Complexity at the Oxide/water	81.XXX				
<i>Pass-Through from UT-Battelle Llc</i>	81.XXX	4000009091		13,630	13,630
Nephelometer for Particulate Measurement	81.XXX				
<i>Pass-Through from Argonne Natl Lab</i>	81.XXX	0F-01265		172,378	172,378
Nuclear Material Safety Program, Academic Component	81.XXX				
<i>Pass-Through from Fermi Natl Accelerator Laboratory</i>	81.XXX	B501013		749,413	749,413
<i>Pass-Through from Univ. of Cal Lawrence Livermore</i>	81.XXX	B501013	41,140	9,820	50,960
PCSS Research Calculations	81.XXX				
<i>Pass-Through from Sandia National Lab</i>	81.XXX	BE-9266		25,202	25,202
PDMS Foam Characterization	81.XXX				
<i>Pass-Through from University of California Los Alamos     Natl Lab</i>	81.XXX	I1563-001-99 4Y		42,496	42,496
Program for Survey, Collection and Analysis	81.XXX				
<i>Pass-Through from Texas A&amp;M Research Foundation</i>	81.XXX	649151		2,456	2,456
Quarknet Program at TTU	81.XXX	PO 538316		8,800	8,800



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<b>RESEARCH AND DEVELOPMENT CLUSTER (continued)</b>					
<b>U.S. Department of Energy (continued)</b>					
Research in Hydrogen Passivation	81.XXX				
<i>Pass-Through from National Renewable Energy     Laboratory</i>	81.XXX	ACQ-9-29639-01	\$	\$ 22,687	22,687
Research, Commercialization Policy, and Workforce Development Coordination in the Electronics Recycling	81.XXX				
<i>Pass-Through from Polymer Alliance Zone</i>	81.XXX	PAZ-2000-004, Start Work Author.		68,854	68,854
Sequestration in Saline Formations	81.XXX				
<i>Pass-Through from Lawrence Berkeley Natl Lab</i>	81.XXX	6503711		90,618	90,618
Sonochemical Synthesis of Polysilyne Materials	81.XXX				
<i>Pass-Through from Sandia National Lab</i>	81.XXX	22951		2,736	2,736
Source Sink Feedbacks in Foliar Co2	81.XXX				
<i>Pass-Through from UT-Battelle Llc</i>	81.XXX	19X-SZ279C		40,920	40,920
Star Detector for Brookhaven's Relativistic Heavy Ion	81.XXX				
<i>Pass-Through from Brookhaven Natl Laboratory</i>	81.XXX	776716		210,013	210,013
Study of Co2 Sequestration	81.XXX				
<i>Pass-Through from University of Pittsburgh</i>	81.XXX	CREDIT		11,039	11,039
Surface Breakdown Testing of Ain	81.XXX				
<i>Pass-Through from University of California Los Alamos     Natl Lab</i>	81.XXX	27936-001-01 39		25,346	25,346
Synthesis of Modified Dibenzocrown Ethers	81.XXX				
<i>Pass-Through from Argonne National Laboratory</i>	81.XXX	23182401		7,354	7,354
Targeting Reserve Growth Opportunities in the Northern Gulf of Mexico Basin	81.XXX	DE-FC26-98FT40136	317,879	6,031,737	6,349,616
Technology Services for Study on Human Resources Gap Analysis	81.XXX				
<i>Pass-Through from EG&amp;G Technical Services     Technology Transfer Program</i>	81.XXX	M491000194		3,049	3,049
<i>Pass-Through from Petroleum Tech Transfer Council</i>	81.XXX	0895, MOD23	100,606	95,525	196,131
The Institute for High Heat Flux Removal	81.XXX				
<i>Pass-Through from Texas A&amp;M Research Foundation</i>	81.XXX	DE-FG03-97ER5445 2 - 437601		241,162	241,162
University-Laboratory Cooperative Program	81.004		2,176		2,176
<i>Pass-Through from Sandia National Laboratories</i>	81.004	AV-7583		101,250	101,250
<i>Pass-Through from University of California - Lawrence     Berkeley National Lab</i>	81.004	6503346		169,266	169,266
<i>Pass-Through from UT - Battelle Llc</i>	81.004	19X-SZ520V		21,816	21,816
Energy-Related Inventions	81.036			28,908	28,908
National Energy Information Center	81.039			60,922	60,922
<i>Pass-Through from Sandia National Laboratories</i>	81.039	BB-4990		28,009	28,009
<i>Pass-Through from University of California - Lawrence     Livermore National Lab</i>	81.039	B347886		296,514	296,514
State Energy Program	81.041		329,533	632,701	962,234
State Energy Program	81.041			125,672	125,672
<i>Pass-Through from Bridges To Sustainability</i>	81.041	CM122	39,500		39,500
<i>Pass-Through from Rice University</i>	81.041	R71700K-29200099		373,242	373,242
Office of Science Financial Assistance Program	81.049		233,194	13,530,170	13,763,364
<i>Pass-Through from BWXT Pantex</i>	81.049	61-272020		101,549	101,549
<i>Pass-Through from Avyd Devices Inc</i>	81.049	UTA01-251		8,541	8,541

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<b>RESEARCH AND DEVELOPMENT CLUSTER (continued)</b>					
<b>U.S. Department of Energy (continued)</b>					
Office of Science Financial Assistance Program (continued)	81.049				
<i>Pass-Through from Honeywell International Inc</i>	81.049	DE-FC02-00-CH1103	\$	\$ 32,444	\$ 32,444
<i>Pass-Through from Lawrence Berkeley National Lab</i>	81.049	DE-AC03-76		33,311	33,311
<i>Pass-Through from Lockheed Martin Energy Research Corporation</i>	81.049	19X-SZ062V		(14)	(14)
<i>Pass-Through from Lockheed Martin Energy Research Corporation</i>	81.049	19XTA479C		66,784	66,784
<i>Pass-Through from Sandia National Laboratories</i>		BG-7592;14676;176			
	81.049	69;18026;20427		110,300	110,300
<i>Pass-Through from Solarax</i>	81.049	ZAX-8-17647-05	9,161	58,931	68,092
<i>Pass-Through from Texas A&amp;M Research Foundation</i>	81.049	419971		168,612	168,612
<i>Pass-Through from Texas A&amp;M Research Foundation</i>	81.049	430361, 652001		28,993	28,993
<i>Pass-Through from Texas A&amp;M Research Foundation</i>	81.049	448081		93,490	93,490
<i>Pass-Through from Texas A&amp;M Research Foundation</i>	81.049	89-0224-0-1-271		499	499
<i>Pass-Through from Texas A&amp;M Research Foundation</i>	81.049	99-430361-652001		1,362,086	1,362,086
<i>Pass-Through from Tulane University</i>	81.049	TUL-036-95/96		(765)	(765)
<i>Pass-Through from University of California Berkeley National Laboratory</i>	81.049	DE-AC03-76SF00098		22,309	22,309
<i>Pass-Through from University of Alaska</i>	81.049	UAF 99-0031		45,189	45,189
<i>Pass-Through from University of California - Lawrence Berkeley National Lab</i>	81.049	6502782		15,000	15,000
<i>Pass-Through from University of California - Lawrence Livermore National Lab</i>	81.049	B344845		6,702	6,702
<i>Pass-Through from University of California - Los Alamos National Lab</i>	81.049	05818-001-00-23		69,479	69,479
<i>Pass-Through from University of California - Los Alamos National Lab</i>	81.049	23792-001-01		6,724	6,724
<i>Pass-Through from University of California - Los Alamos National Lab</i>	81.049	F0282-0018-23		(11,263)	(11,263)
<i>Pass-Through from University of California - Los Alamos National Lab</i>	81.049	F9174-0018-2G		629	629
<i>Pass-Through from University of Chicago - Argonne National Laboratory</i>	81.049	992782401		101,325	101,325
<i>Pass-Through from University of New Mexico</i>	81.049	DE-FG03-97		19,369	19,369
<i>Pass-Through from University of Notre Dame</i>	81.049	Quarknet Project		3,880	3,880
<i>Pass-Through from University of Tennessee Battelle</i>	81.049	4000007368		11,466	11,466
<i>Pass-Through from UT-Battelle Llc</i>	81.049	19XSN457C		444	444
<i>Pass-Through from Westinghouse Savannah River Company</i>	81.049	AC19835-O		29,508	29,508
University Coal Research	81.057			404,596	404,596
<i>Pass-Through from University of New Mexico</i>	81.057	3-11651-7820		62,997	62,997
Office of Scientific and Technical Information	81.064				
<i>Pass-Through from Sandia National Labs</i>	81.064	RFQ-1019/7		84,239	84,239
Nuclear Waste Disposal Siting	81.065				
<i>Pass-Through from University of California - Lawrence Livermore Natl</i>	81.065	B513192		33,255	33,255
Regional Biomass Energy Programs	81.079			74,017	74,017
Conservation Research and Development	81.086			101,341	101,341
<i>Pass-Through from Argonne Natl Lab</i>	81.086	1F-01321 / W-31-109-ENG-38		9,946	9,946

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<b>RESEARCH AND DEVELOPMENT CLUSTER (continued)</b>					
<b>U.S. Department of Energy (continued)</b>					
Conservation Research and Development (continued)	81.086				
		Task Order No.			
<i>Pass-Through from Pacific Northwest Laboratory</i>	81.086	408317-A-B3	\$	\$ 40,488	\$ 40,488
<i>Pass-Through from Texas A&amp;M Research Foundation</i>	81.086	650661		981	981
<i>Pass-Through from Texas A&amp;M Research Foundation</i>	81.086	99-650661		3,197	3,197
<i>Pass-Through from University City Science Center</i>	81.086	Contract Agr, Mod 11		234,220	234,220
<i>Pass-Through from Westinghouse Government     Environmental Services Com</i>	81.086	PO 4709		4,842	4,842
Renewable Energy Research and Development	81.087			122,716	122,716
<i>Pass-Through from Clemson University - South Carolina     R&amp;D Council</i>	81.087	00-01-SR082CS		69,222	69,222
<i>Pass-Through from Midwest Research Institute</i>	81.087	DE-AC36-83		3,177	3,177
<i>Pass-Through from Mse, Inc.</i>	81.087	98C438CR		7,784	7,784
<i>Pass-Through from New Mexico State University</i>	81.087	AEI-Q00549		68,085	68,085
<i>Pass-Through from Sandia National Laboratories</i>	81.087	BF-2569		3,073	3,073
<i>Pass-Through from Siemens Westinghouse Power     Corporation</i>	81.087	4500294341		44,118	44,118
<i>Pass-Through from Spire Corp.</i>	81.087	166741		53,929	53,929
<i>Pass-Through from University of Alaska Geophysical     Institute</i>	81.087	UAF 99-0035	77,793	78,091	155,884
Fossil Energy Research and Development	81.089		34,886	359,463	394,349
<i>Pass-Through from Clemson University - South Carolina     R&amp;D Council</i>	81.089	00-01-SR082CS	70,320		70,320
<i>Pass-Through from Clemson University Research     Foundation</i>	81.089	01-01-SR094		25,576	25,576
<i>Pass-Through from Pioneer</i>	81.089	C00-00481		163,050	163,050
<i>Pass-Through from Rice University</i>	81.089	13291-71500099		82,652	82,652
<i>Pass-Through from South Carolina Inst For Energy</i>	81.089	01-01-SR092		11,127	11,127
<i>Pass-Through from University of Alabama</i>	81.089	00-022		51,856	51,856
<i>Pass-Through from University of Tulsa</i>	81.089	14-2-1206211-94802		56,131	56,131
Environmental Restoration	81.092		118,508	204,204	322,712
Office of Science and Technology for Environmental Management	81.104			192,760	192,760
<i>Pass-Through from Clark Atlanta University</i>	81.104	DE-FC04-90		66,898	66,898
<i>Pass-Through from Clark Atlanta University</i>	81.104	OSP-90-10-668-016		(2,523)	(2,523)
<i>Pass-Through from Erda - Education, Research &amp;     Development Association</i>	81.104	KG09929-0		38,002	38,002
<i>Pass-Through from Georgia Institute of Technology</i>	81.104	KE-49242-O		19,953	19,953
<i>Pass-Through from Georgia Institute of Technology</i>	81.104	KE-90594-0		90,619	90,619
<i>Pass-Through from Texas A&amp;M Research Foundation</i>	81.104	4500011814		7,151	7,151
<i>Pass-Through from Texas A&amp;M Research Foundation</i>	81.104	473910		(3,317)	(3,317)
<i>Pass-Through from University of California - Los     Alamos National Lab</i>	81.104	112534000000652		45,656	45,656
Transport of Transuranic Wastes to the Waste Isolation Pilot Plant: States and Tribal Concerns, Proposed Solutions	81.106			41,018	41,018
Epidemiology and Other Health Studies Financial Assistance Program	81.108				
<i>Pass-Through from Mason &amp; Hanger</i>	81.108	FFP024094		1,897	1,897
<i>Pass-Through from Ohio University</i>	81.108	UT10407/P144C-24345		9,932	9,932

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<b>RESEARCH AND DEVELOPMENT CLUSTER (continued)</b>					
<b>U.S. Department of Energy (continued)</b>					
National Resource Center for Plutonium	81.110		\$	\$ 1,533,171	\$ 1,533,171
<i>Pass-Through from BWXT Pentex</i>	81.110	00020483 - W 1		1,844	1,844
Stewardship Science Grant Program	81.112			76,977	76,977
University Nuclear Science and Reactor Support	81.114			427,485	427,485
<i>Pass-Through from University of Chicago - Argonne National Laboratory</i>	81.114	1F-00141		64,684	64,684
Energy Efficiency and Renewable Energy Information Dissemination, Outreach, Training and Technical Analysis/Assistance	81.117			11,486	11,486
Total - U.S. Department of Energy				<u>1,637,487</u>	<u>32,655,854</u>
<b>United States Information Agency</b>					
Development of Dispute Prevention/Resolution Skills	82.XXX	PECS-0115		1,625	14,429
Total - United States Information Agency				<u>1,625</u>	<u>12,804</u>
<b>Federal Emergency Management Agency</b>					
Federal Emergency Management Agency					
Materials and Device Research for Highpower Electronics	83.XXX	120410		(5,195,251)	(5,195,251)
<i>Pass-Through from Purdue University</i>	83.XXX	530-1360-01, AMD NO.05		395,443	395,443
National Fire Academy Training Assistance	83.009			25,479	25,479
First Responder Counter-Terrorism Training Assistance	83.547			513,385	513,385
Hazard Mitigation Grant	83.548			102,784	102,784
Emergency Management Performance Grants	83.552			2,882,475	5,988,701
Total - Federal Emergency Management Agency				<u>2,882,475</u>	<u>(1,051,934)</u>
<b>U.S. Department of Education</b>					
Case Studies of High Performing, High Poverty	84.XXX	Start Work Authorization			
<i>Pass-Through from Westat</i>	84.XXX	Dtd 7/13/01		3,634	3,634
Center for Minority Research in Special Education	84.XXX				
<i>Pass-Through from Texas A&amp;M Research Foundation</i>	84.XXX	5-34306 - 438411		149	149
Community Technology Centers	84.XXX				
<i>Pass-Through from Capitol Area Training Foundation</i>	84.XXX	UTA01-179, YEAR 1		30,000	30,000
Creating Learning Communities of Research and Practice	84.XXX				
<i>Pass-Through from Texas A&amp;M Research Foundation</i>	84.XXX	99-430713		1,881	1,881
Developing Number Sense Instruction	84.XXX				
<i>Pass-Through from Eugene Research Inst</i>	84.XXX	UTA00-273		34,458	34,458
Four Directions - An Indigenous Model	84.XXX				
<i>Pass-Through from Laguna Dept of Education</i>	84.XXX	Start Work Authorization		51,821	51,821
Learn and Serve America K-12	84.XXX				
<i>Pass-Through from Education Service Ctr - Region XIV</i>	84.XXX	UTA99-0074, AMD #01		535,151	535,151
Patterns and Effectiveness of Vocational/Technical Education	84.XXX				
<i>Pass-Through from Mpr Associates</i>	84.XXX	Ltr Intent Dtd 6/3/00		216,144	216,144
Pell Grant Administrative Allowance	84.XXX	P063Q991703		93,888	93,888
Protecting You/Protecting Me Evaluation	84.XXX				
<i>Pass-Through from Bell Group, The</i>	84.XXX	ED-00-J20-15591-01	25,000		25,000
Science to Careers Consortium	84.XXX	4096523		24,677	24,677
Science to Careers Consortium Project	84.XXX	UTA00-128		65,582	65,582
Special Ed Personnel Dev and Parent Training	84.XXX	H029A960094		5,611	5,611

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<b>RESEARCH AND DEVELOPMENT CLUSTER (continued)</b>					
<b>U.S. Department of Education (condition)</b>					
Support for Texas Academic Renewal	84.XXX				
<i>Pass-Through from Intercultural Development</i>	84.XXX	00-S1	\$	\$ 573,870	\$ 573,870
Support for the Safe and Drug-Free Schools Recognition	84.XXX				
<i>Pass-Through from Texas A&amp;M Research Foundation</i>	84.XXX	446151		5,092	5,092
Texas Regional Collaboratives for Excellence in Science	84.XXX				
<i>Pass-Through from Education Service Ctr Region XVI</i>	84.XXX	169000000000000	16,256		16,256
<i>Pass-Through from Education Service Ctr-Region I</i>	84.XXX	169000000000000	1,837		1,837
Transforming Education Systems	84.XXX				
<i>Pass-Through from Southwest Educational Development</i>	84.XXX	UTA00-441		779,598	779,598
Adult Education--State Grant Program	84.002			1,231,229	1,231,229
<i>Pass-Through from Harlandale Independent School</i>					
District	84.002	5-53440		43,779	43,779
<i>Pass-Through from Jackson State University</i>	84.002	P16Z010067		3,965	3,965
Title I Grants to Local Educational Agencies	84.010			156,304	156,304
Migrant Education--Basic State Grant Program	84.011			582,554	582,554
National Resource Centers and Fellowships Program for Undergraduate International Studies and Foreign Language Programs	84.015			1,277,170	1,277,170
<i>Pass-Through from Texas A&amp;M Research Foundation</i>	84.016			37,913	37,913
<i>Pass-Through from Texas A&amp;M Research Foundation</i>	84.016	444091		18	18
<i>Pass-Through from Texas A&amp;M Research Foundation</i>	84.016	99-444091		13,247	13,247
International Research and Studies	84.017			43,183	43,183
International: Overseas--Group Projects Abroad	84.021			37,179	37,179
International: Overseas--Doctoral Dissertation	84.022			50,023	50,023
Special Education-Innovation and Development	84.023				
<i>Pass-Through from University of Miami</i>	84.023	669582		11,956	11,956
Special Education-Personnel Development and Parent	84.029			296,659	296,659
Higher Education--Institutional Aid	84.031			2,391,840	2,391,840
Vocational Education--Basic Grants to States	84.048			1,315,375	1,315,375
Fund for the Improvement of Postsecondary Education	84.116		32,495	1,101,551	1,134,046
<i>Pass-Through from American String Teachers</i>					
Association	84.116	32-2676115		9,107	9,107
<i>Pass-Through from North Central Regional Education</i>					
Lab	84.116	37-1161423		4,301	4,301
<i>Pass-Through from Texas A&amp;M Research Foundation</i>	84.116	437521		11,660	11,660
<i>Pass-Through from Texas A&amp;M Research Foundation</i>	84.116	99-449261		3,722	3,722
<i>Pass-Through from University of Illinois</i>	84.116	SUBK 1998		10,946	10,946
<i>Pass-Through from University of Utah</i>	84.116	P116J7007		11,723	11,723
Minority Science and Engineering Improvement	84.120				
<i>Pass-Through from Department of Transportation -</i>					
South Carolina	84.120	20028		11,096	11,096
Rehabilitation Long-Term Training	84.129			944,732	944,732
Centers for Independent Living	84.132			347	347
National Institute on Disability and Rehabilitation Research	84.133			714,950	714,950
<i>Pass-Through from Baylor College of Medicine</i>	84.133	H133A980073		41,055	41,055
<i>Pass-Through from Institute For Rehabilitation And</i>					
Research	84.133	2000SAC54114		83,364	83,364
<i>Pass-Through from Texas Institute For Rehabilitation &amp;</i>					
Research	84.133	H133B990014		22,481	22,481
Migrant Education--High School Equivalency Program	84.141			359,948	359,948
<i>Pass-Through from Texas A&amp;M Research Foundation</i>	84.141	99-447701-444702		212,564	212,564

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<b>RESEARCH AND DEVELOPMENT CLUSTER (continued)</b>					
<b>U.S. Department of Education (condition)</b>					
College Housing and Academic Facilities Loans	84.142		\$	\$ 234,354	\$ 234,354
Migrant Education--College Assistance Migrant Program	84.149			284,351	284,351
Eisenhower Professional Development - Federal Activities	84.168				
<i>Pass-Through from Southwest Educational Development</i>	84.168	UTA00-067 (PO# 44469)		3,978	3,978
Javits Fellowships	84.170			162,215	162,215
Special Education--Grants for Infants and Families with Disabilities	84.181		163,566	216,607	380,173
Safe and Drug-Free Schools and Communities--National Programs	84.184			(434)	(434)
<i>Pass-Through from Houston I.S.D.</i>	84.184	S184L990413		163,758	163,758
Safe and Drug-Free Schools and Communities--State Grants	84.186			226,709	226,709
<i>Pass-Through from Innovative Alternatives Inc.</i>	84.186	02-425333		(423)	(423)
Bilingual Education--Professional Development	84.195		15,267	953,489	968,756
<i>Pass-Through from Texas A&amp;M Research Foundation</i>	84.195	441921		65,949	65,949
Education for Homeless Children and Youth					
<i>Pass-Through from Education Service Ctr-Region X</i>	84.196	UTA-A01-026		204,142	204,142
Graduate Assistance in Areas of National Need	84.200			166,048	166,048
Star Schools	84.203				
<i>Pass-Through from Iowa Public Television</i>	84.203	264-5650		64,087	64,087
<i>Pass-Through from National Writing Project (UC Berkeley)</i>	84.203	00-TX10		11,043	11,043
<i>Pass-Through from New Mexico School For The Deaf</i>	84.203	R23A70030		13,000	13,000
<i>Pass-Through from Western Illinois University</i>	84.203	37-0910458		193,028	193,028
Even Start--State Educational Agencies	84.213		77,259	48,234	125,493
Centers for International Business Education	84.220			636,727	636,727
Assistive Technology	84.224		272,557	400,371	672,928
Tech-Prep Education	84.243			19,777	19,777
Rehabilitation Training--Continuing Education	84.264			373,568	373,568
<i>Pass-Through from University of Arkansas</i>	84.264	69-0710428		3,861	3,861
Goals 2000--State and Local Education Systemic Improvement Grants	84.276	74-6001932		840,645	840,645
Statewide State Implementation Grants	84.278			60,843	60,843
Eisenhower Professional Development State Grants	84.281		5,600	1,111,946	1,117,546
<i>Pass-Through from Galveston College</i>	84.281	413261		23,216	23,216
Charter Schools	84.282			46,935	46,935
Twenty-First Century Community Learning Centers	84.287				
<i>Pass-Through from Capitol Area Training Foundation</i>	84.287	UTA01-179, Year 2		4,943	4,943
<i>Pass-Through from Progresso ISD</i>	84.287	02-426001		13,394	13,394
<i>Pass-Through from Rio Hondo ISD</i>	84.287	02-425271		44,835	44,835
Bilingual Education--Research Programs	84.292				
<i>Pass-Through from Aldine ISD</i>	84.292	02-425315		50	50
Innovative Education Program Strategies	84.298			7,289	7,289
Regional Technical Support and Professional Development Consortia	84.302				
<i>Pass-Through from Texas A&amp;M Research Foundation</i>	84.302	99-430984		26,608	26,608
Technology Innovation Challenge Grants	84.303				
<i>Pass-Through from Allen ISD</i>	84.303	74-6001932		162,724	162,724
<i>Pass-Through from Region 19 Education Service Center</i>	84.303	R303A98000		1,442,201	1,442,201
<i>Pass-Through from Socorro Independent School District</i>	84.303	R303A95024		136,540	136,540

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<b>RESEARCH AND DEVELOPMENT CLUSTER (continued)</b>					
<b>U.S. Department of Education (condition)</b>					
National Institute on the Education of At-Risk Students	84.306				
<i>Pass-Through from University of California - Santa Cruz</i>	84.306	96243-C-1	\$	\$ 161,158	\$ 161,158
Even Start--Statewide Family Literacy Program	84.314			178,616	178,616
Technology Literacy Challenge Fund Grants	84.318			195,958	195,958
<i>Pass-Through from Cal Allen ISD</i>	84.318	74-6000464		1	1
<i>Pass-Through from Education Service Center Region II</i>	84.318	74-1587916		5,000	5,000
<i>Pass-Through from Education Service Center Region X</i>	84.318	75-1249185		7	7
<i>Pass-Through from Education Service Center Region XX</i>	84.318	74-1587461		1,564	1,564
<i>Pass-Through from Fabens ISD</i>	84.318	74-6008299		28,788	28,788
<i>Pass-Through from Mcallen ISD</i>	84.318	74-6001658		1,686	1,686
<i>Pass-Through from New Summerfield ISD</i>	84.318	75-6002538		7,942	7,942
Special Education--Research and Innovation to Improve Services and Results for Children with Disabilities	84.324			734,579	734,579
<i>Pass-Through from Council of Chief State School</i>	84.324	UTA00-175		73,276	73,276
<i>Pass-Through from Texas A&amp;M Research Foundation</i>	84.324	99-449461		63,321	63,321
Special Education--Personnel Preparation to Improve Services and Results for Children with Disabilities	84.325			719,163	719,163
<i>Pass-Through from Texas A&amp;M Research Foundation</i>	84.325	99-440661-443661		196,309	196,309
Special Education--Technical Assistance and Dissemination to Improve Services and Results for Children with Disabilities	84.326			35,473	35,473
<i>Pass-Through from University of Virginia</i>	84.326	Contract#5-34354		35,811	35,811
Special Education--Technology and Media Services for Individuals with Disabilities	84.327				
<i>Pass-Through from University of Kansas</i>	84.327	H327A990082		82,742	82,742
<i>Pass-Through from Vanderbilt University</i>	84.327	14845		63,058	63,058
Gaining Early Awareness and Readiness for Undergraduate Programs	84.334			919,620	919,620
Child Care Access Means Parents in School	84.335			65,228	65,228
Teacher Quality Enhancement Grants	84.336		64,083	997,169	1,061,252
<i>Pass-Through from Texas A&amp;M Research Foundation</i>	84.336	17412384343		89,000	89,000
<i>Pass-Through from Texas A&amp;M Research Foundation</i>	84.336	445781		75,201	75,201
<i>Pass-Through from Texas A&amp;M Research Foundation</i>	84.336	445786		214,756	214,756
<i>Pass-Through from Texas A&amp;M Research Foundation</i>	84.336	99-445784		326,899	326,899
Technological Innovation and Cooperation for Foreign Information Access	84.337		31,994	19,273	51,267
Reading Excellence	84.338				
<i>Pass-Through from Reach Out And Read Nat'l Center</i>		ROR TX		27,817	27,817
Learning Anytime Anywhere Partnerships	84.339		89,786	255,459	345,245
<i>Pass-Through from Kansas State University</i>	84.339	S01027		18,869	18,869
Community Technology Centers	84.341		8,500	103,295	111,795
Preparing Tomorrow's Teachers to Use Technology	84.342		18,000	737,999	755,999
<i>Pass-Through from Texas A&amp;M Research Foundation</i>	84.342	99-445351-445811		400,474	400,474
Total - U.S. Department of Education				822,200	28,659,616
					29,481,816
<b>U.S. Department of Health and Human Services</b>					
A Nonhuman Primate Model of Natural Menopause	93.XXX				
<i>Pass-Through from Southwest Foundation For Biomedical Research</i>	93.XXX	80197		69	69



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<b>RESEARCH AND DEVELOPMENT CLUSTER (continued)</b>					
<b>U.S. Department of Health and Human Services (continued)</b>					
Alamo Area Medical Information	93.XXX				
<i>Pass-Through from Consumer Health Coordinator</i>	93.XXX	LV63525	\$	\$ 26,457	\$ 26,457
Antimicrobial Resistance Testing	93.XXX	162115		107,486	107,486
Antiphospholipid Antibody Syndrome Registry	93.XXX				
<i>Pass-Through from University of North Carolina -     Chapel Hill</i>	93.XXX	5-35099		10,244	10,244
Assessment of Potential Cocaine Medications Using	93.XXX				
<i>Pass-Through from Texas A&amp;M Research Foundation</i>	93.XXX	99-434261		52,091	52,091
Asthma Intervention for Inner City Children	93.XXX				
<i>Pass-Through from Alliance Community Health Plans</i>	93.XXX	74197		33,894	33,894
Basic/Core AHEC Program	93.XXX	K-645-1-17		24,277	24,277
Biacore 3000 surface Plasmon Resonance Instrument	93.XXX	362116		260,310	260,310
Bile Acid Modulation of Bile Duct Secretion	93.XXX				
<i>Pass-Through from Texas A&amp;M Research Foundation</i>	93.XXX	451991		16,475	16,475
Binocular Matching and Disparity Vergence	93.XXX	1 R01 EY12986-01A		53,685	53,685
Border Health Strategic Initiative	93.XXX				
<i>Pass-Through from University of Arizona</i>	93.XXX	V399470		35,074	35,074
CDC/Tuberculosis	93.XXX	66198		7,508	7,508
Chronic Fatigue Syndrome	93.XXX	147115		118,612	118,612
Clin Ctrs for Clin Trial and Observation	93.XXX	352116		81,888	81,888
Clinical Trial Operations	93.XXX	166116		314,757	314,757
Clinical Trial-Biomarkers and Exploratory Study	93.XXX	N01-CN-05127		156,824	156,824
Collaborative Research Projects on Alcohol	93.XXX	163115		71,932	71,932
Combinatorial Chemistry on Aspect Support	93.XXX				
<i>Pass-Through from Texas A&amp;M Research Foundation</i>	93.XXX	99-442191		75	75
Constraints on Understanding Physical Dynamics	93.XXX				
<i>Pass-Through from University of Virginia</i>	93.XXX	5-24622		83,504	83,504
Declining Sperm Counts: Autopsy Study	93.XXX				
<i>Pass-Through from Texas A&amp;M Research Foundation</i>	93.XXX	99-441591		3,547	3,547
Design and Synthesis of Functional NGF Peptidomime	93.XXX				
<i>Pass-Through from Texas A&amp;M Research Foundation</i>	93.XXX	99-444081		148,703	148,703
Diabetes Prevention Trial Substudy	93.XXX				
Development and Validation of a Language Test for Children	93.XXX				
<i>Pass-Through from Temple University</i>	93.XXX	31-1715-111/167(96-97)		108,355	108,355
<i>Pass-Through from George Washington University</i>	93.XXX	DK48489		1,878	1,878
Diet, Apoptosis and Colon Carcinogenesis	93.XXX				
<i>Pass-Through from Texas A&amp;M Research Foundation</i>	93.XXX	99-450791		20,307	20,307
Dietary Omega-3 Fatty Acids, Gene Expression and Growth	93.XXX				
<i>Pass-Through from Baylor College of Medicine</i>	93.XXX	1R01 HD37133-01A1		147,168	147,168
Efficacy Trial of Spermicidal Agents	93.XXX				
<i>Pass-Through from Family Health International</i>	93.XXX	HD73271		81,253	81,253
Evaluating New Inorganic Ion Exchangers for 82RB/8	93.XXX				
<i>Pass-Through from Texas A&amp;M Research Foundation</i>	93.XXX	99-448851		17,432	17,432
Family and Community Violence Prevention	93.XXX				
<i>Pass-Through from Texas A&amp;M Research Foundation</i>	93.XXX	09-20-99 - 444661		30,299	30,299
Genetic Approaches to Neuropharmacology of Ethanol	93.XXX				
<i>Pass-Through from University of Colorado</i>	93.XXX	FY00.061.001		111,190	111,190
HHS African-American Breast Cancer Research Program	93.XXX	273-MH-011567		14,639	14,639
HHS African-American Breast Cancer Research Summit	93.XXX	263-MD-014156-1		10,000	10,000



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<b>RESEARCH AND DEVELOPMENT CLUSTER (continued)</b>					
<b>U.S. Department of Health and Human Services (continued)</b>					
HHS Alopecia Areata Registry Contract	93.XXX	N01-AR-0-2249	\$ 3,853	\$ 223,533	\$ 227,386
HHS Animal Husbandry for Chimpanzees Contract	93.XXX	N02-OR-0-4021		349,644	349,644
HHS Assay to Determine Mutagen Sensitivity Contract	93.XXX				
<i>Pass-Through from Bio Reliance Corporation</i>	93.XXX	N02 CP01108		14,530	14,530
HHS Cancer Information Service Contract	93.XXX	N01-CN-95040		1,272,158	1,272,158
	93.XXX	N02 CO-01112-1	49,653		49,653
HHS Chemopreventive Agents Contract	93.XXX	N01-CN-15102-1		174,438	174,438
<i>Pass-Through from University of Alabama</i>	93.XXX	N01-95117-02		103,540	103,540
HHS Children's Cancer Study Group Grant	93.XXX				
<i>Pass-Through from National Childhood Cancer Foundation</i>	93.XXX	U10 CA 13539		201,618	201,618
<i>Pass-Through from National Childhood Cancer Foundation</i>	93.XXX	U10 CA 13539		12,162	12,162
HHS Coordinating Center for the African-American	93.XXX				
<i>Pass-Through from Wayne State University</i>	93.XXX	ES75418		122,312	122,312
HHS Cryopreserving Viable Lymphocytes Contract	93.XXX	1097-381-M-1		20,528	20,528
HHS Early Therapeutics Development Contract	93.XXX	N01 CM-17003		120	120
HHS Efficacy Studies of Chemopreventive Agents Contract	93.XXX				
<i>Pass-Through from University of Alabama</i>	93.XXX	N01-CN-05110		93,871	93,871
HHS IPA for Dr. Cheryl Walker Contract	93.XXX	N01-CN-75021A		26,161	26,161
HHS Modulation of Putative Surrogate Endpoint	93.XXX	N01-CN-05127	5,800	88,987	94,787
HHS Phase I/II Study of Celecoxide Contract	93.XXX	N01-CN-05126		18,370	18,370
HHS Phase II Chemoprevention Study of Colorectal	93.XXX	N01-CN-05125		70,171	70,171
HHS Phase II Identification of Predictive Analysis	93.XXX	N01-CN-85184		154,465	154,465
HHS Phase II Trial of Celecoxib or NSAID Contract	93.XXX	N01-CN-85186		308,232	308,232
HHS Phase III Three Arm Chemoprevention Trial Contract	93.XXX	N01-CN-95040		253,142	253,142
HHS Polyp Prevention Trial Support	93.XXX				
<i>Pass-Through from Westat</i>	93.XXX	30407.33/95831		(39,861)	(39,861)
HHS Preclinical Pharmacological Studies Contract	93.XXX	N01-CM-07109		218,169	218,169
HHS Radiation Dosimetry Contract	93.XXX	N01-CP-91024		348,173	348,173
HHS Radiation Therapy Oncology Group Grant	93.XXX				
<i>Pass-Through from American College of Radiology</i>	93.XXX	CA 21661		94,362	94,362
HHS Study of Selective Inhibitor of Cyclooxygenase	93.XXX	N01-CN-75021A	3,437		3,437
Impact of Expanded Scope of Practice Laws	93.XXX				
<i>Pass-Through from Health Research Incorporated</i>	93.XXX	79197		18,602	18,602
Implementing Evidence-based Practices	93.XXX				
<i>Pass-Through from Dartmouth</i>	93.XXX	8049		14,132	14,132
IPA'S	93.XXX	159115		93,195	93,195
Mapping Genes for Fibromyalgia Syndrome	93.XXX				
<i>Pass-Through from Case Western Reserve University</i>	93.XXX	71297		104,073	104,073
Measurement of Hazardous Gases	93.XXX	HRSA232-00-0086		175,675	175,675
Mechanisms of Reproductive Neuroendocrine Toxicity	93.XXX	1 R01 ES07672-01A2		78,041	78,041
Mechanistic Analysis of HIV RT	93.XXX				
<i>Pass-Through from Rutgers University</i>	93.XXX	993, Mods 3		173,776	173,776
Metanalysis in Cognitive Neuroimaging: Methods Value	93.XXX	LM06858-02	114,309	257,783	372,092
Myocardial Infarction Symptoms in Women: Disparity	93.XXX				
<i>Pass-Through from University of Arkansas</i>	93.XXX	NR05265		7,796	7,796
National Network of Libraries of Medicine	93.XXX				
<i>Pass-Through from Texas Medical Center Library</i>	93.XXX	794999		3,831	3,831

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<b>RESEARCH AND DEVELOPMENT CLUSTER (continued)</b>					
<b>U.S. Department of Health and Human Services (continued)</b>					
New Approaches to Brain Tumor Therapy	93.XXX				
<i>Pass-Through from John Hopkins</i>	93.XXX	CA76604	\$	\$ 36,826	\$ 36,826
Nitric Oxide Diffusion and Reaction with Red Blood	93.XXX				
<i>Pass-Through from Texas A&amp;M Research Foundation</i>	93.XXX	452061		18,749	18,749
Nontoxic Antimicrobial Rinse for Oral Bacteria	93.XXX				
<i>Pass-Through from Biomedical Development Corporation</i>	93.XXX	DE13461		6,105	6,105
Obesity Guidelines	93.XXX				
<i>Pass-Through from R.O.W. Sciences Inc</i>	93.XXX	10935		12,527	12,527
Pick Your Path to Health: Health Campaign	93.XXX				
<i>Pass-Through from Mmg Health</i>	93.XXX	31197		3,395	3,395
Pilot Study on Calcium Channel Blocker	93.XXX	141115		117	117
Pilot Trial on Young Patients With Iga Nephropathy	93.XXX				
<i>Pass-Through from Medical City Dallas Hospital</i>	93.XXX	DK49368		165	165
Placebo Controlled Phase III Evaluation of Suppress	93.XXX				
<i>Pass-Through from University of Alabama</i>	93.XXX	AI65306		175	175
Pore Formation by Cholesterol-Dependent Cytolysin	93.XXX				
<i>Pass-Through from Texas A&amp;M Research Foundation</i>	93.XXX	451591		39,384	39,384
Preclinical Evaluation of Therapies for Treatment	93.XXX	304116	210,077		210,077
Preclinical Evaluation/treatment of Cryptococcus	93.XXX	304116		138,011	138,011
Proposal to Separate Strontium and Yttrium	93.XXX				
<i>Pass-Through from Texas A&amp;M Research Foundation</i>	93.XXX	99-448861		15,396	15,396
Protein Self-Assembly in Model Microorganisms	93.XXX				
<i>Pass-Through from Texas A&amp;M Research Foundation</i>	93.XXX	99-452791		171	171
Proton ATPases in Microvascular Endothelial Cells	93.XXX				
<i>Pass-Through from Texas A&amp;M Research Foundation</i>	93.XXX	449521		1,375	1,375
Rebbeckamycin Analog: a Phase II Study in Neuroblas	93.XXX				
<i>Pass-Through from Sloan Kettering</i>	93.XXX	FDR00168		22,572	22,572
Reference Lab for Streptococcus Pneumonia	93.XXX	133115		38,839	38,839
Response to DNA Damage: Colon vs. Small Intestine	93.XXX				
<i>Pass-Through from Texas A&amp;M Research Foundation</i>	93.XXX	99-487842		11,889	11,889
Role of Peritransplant Immunosuppression on Stem	93.XXX				
<i>Pass-Through from Childrens National Medical Center</i>	93.XXX	AI05407		13,499	13,499
Structure and Stability of RNA Pseudoknots	93.XXX	S900164		132,002	132,002
Studies on M. Tuberculosis Survival in Animal Mode	93.XXX				
<i>Pass-Through from Texas A&amp;M Research Foundation</i>	93.XXX	446041		763	763
TB Research Materials and Vaccine Testing	93.XXX				
<i>Pass-Through from Texas A&amp;M Research Foundation</i>	93.XXX	439691		97,549	97,549
Technology Awareness Conference	93.XXX				
<i>Pass-Through from Westat, Inc</i>	93.XXX	HD33162		2,464	2,464
Telomere and Telomerase Interactive Agents	93.XXX				
<i>Pass-Through from University of Arizona</i>	93.XXX	M392708		31,854	31,854
Texas Addiction Technology Transfer Center	93.XXX	UTA01-098		5,972	5,972
Texas Head Start-State Collaboration Project	93.XXX	TWC Con No 12098658		2,017	2,017
Texas Pass Network	93.XXX				
<i>Pass-Through from TX Planning Council For Dev</i>	93.XXX	DD-99405		87,545	87,545
The Impact of Grade Retention: A Developmental	93.XXX				
<i>Pass-Through from Texas A&amp;M Research Foundation</i>	93.XXX	99-452551		2,525	2,525
TX Initiative for Dental Public/comm Oral Health	93.XXX	177115		41,682	41,682

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<b>RESEARCH AND DEVELOPMENT CLUSTER (continued)</b>					
<b>U.S. Department of Health and Human Services (continued)</b>					
Unnatural Nucleotides for DNA Sequencing	93.XXX				
<i>Pass-Through from Texas A&amp;M Research Foundation</i>	93.XXX	99-440011	\$	\$ 106,605	\$ 106,605
Violence Study	93.XXX	201.6.0805		47,979	47,979
Women's Health Initiative	93.XXX	175116		978,211	978,211
Cooperative Agreements to Improve the Health Status of Minority Populations	93.XXX 93.004			67,289	67,289
Special Programs for the Aging--Title IV--Training, Research and Discretionary Projects and Programs	93.048			1,345,082	1,345,082
Food and Drug Administration--Research	93.103		4,434	1,318,613	1,323,047
<i>Pass-Through from New York Hospital</i>	93.103	FD-R-001649-1		77,443	77,443
<i>Pass-Through from Orphan Medical</i>	93.103	FD-R-001112-02		1	1
<i>Pass-Through from Sloan Kettering</i>	93.103	45197		9,237	9,237
<i>Pass-Through from Tufts University</i>	93.103	FD-U-001621		177,285	177,285
Minority International Research Training Grant in the Biomedical and Behavioral Sciences	93.106				
<i>Pass-Through from Texas A&amp;M Research Foundation</i>	93.106	99-431522		1,104	1,104
Model State-Supported Area Health Education Centers	93.107			377	377
<i>Pass-Through from Baylor College of Medicine</i>	93.107	1D31HP70112-07		10,599	10,599
<i>Pass-Through from Baylor College of Medicine</i>	93.107	5D31AH70085-03		15,509	15,509
Maternal and Child Health Federal Consolidated Programs	93.110		318,229	1,175,820	1,494,049
<i>Pass-Through from The John Hopkins University</i>	93.110	MCJ240731		1,495	1,495
Adolescent Family Life Research Grants	93.111			50,088	50,088
Biological Response to Environmental Health Hazards	93.113		206,592	4,789,792	4,996,384
<i>Pass-Through from Texas A&amp;M Research Foundation</i>	93.113	462224		64,497	64,497
<i>Pass-Through from Texas A&amp;M Research Foundation</i>	93.113	99-445171-462224		181,903	181,903
<i>Pass-Through from University of Connecticut</i>	93.113	R01ES0912701		47,724	47,724
<i>Pass-Through from University of Montana</i>	93.113	R01ES1112001		18,233	18,233
<i>Pass-Through from Vanderbilt University</i>	93.113	P01ES05355		275,863	275,863
Applied Toxicological Research and Testing	93.114		212,163	434,604	646,767
<i>Pass-Through from Texas A&amp;M Research Foundation</i>	93.114	449381		136,718	136,718
<i>Pass-Through from Texas A&amp;M Research Foundation</i>	93.114	650662		131	131
<i>Pass-Through from Texas A&amp;M Research Foundation</i>	93.114	99-650662		503	503
Biometry and Risk Estimation--Health Risks from Environmental Exposures	93.115				
<i>Pass-Through from Southern University A&amp;M College</i>	93.115	S11ES1001801		539,876	539,876
Grants for Preventive Medicine	93.117			84,463	84,463
Acquired Immunodeficiency Syndrome (AIDS) Activity	93.118		23,667	393,391	417,058
<i>Pass-Through from Texas A&amp;M Research Foundation</i>	93.118	99-488422		56,846	56,846
<i>Pass-Through from University of California - San Diego</i>	93.118	10170769		94,246	94,246
Oral Diseases and Disorders Research	93.121		942,080	8,546,955	9,489,035
<i>Pass-Through from Biomedical Development         Corporation</i>	93.121	DE11221		8,330	8,330
<i>Pass-Through from Forsyth</i>	93.121	DE13237		326,811	326,811
<i>Pass-Through from State University of New York</i>	93.121	DE06179		11,999	11,999
<i>Pass-Through from Texas A&amp;M Research Foundation</i>	93.121	448011		689,442	689,442
<i>Pass-Through from Texas A&amp;M Research Foundation</i>	93.121	99-452491		7,808	7,808
<i>Pass-Through from University of Iowa</i>	93.121	DE11134		47,967	47,967
<i>Pass-Through from University of Louisville Res.         Foundation</i>	93.121	7R01DE13150		34,062	34,062
<i>Pass-Through from University of North Carolina -         Chapel Hill</i>	93.121	DE12635		99,007	99,007

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<b>RESEARCH AND DEVELOPMENT CLUSTER (continued)</b>					
<b>U.S. Department of Health and Human Services (continued)</b>					
Mental Health Planning and Demonstration Projects	93.125		\$	\$ 135,831	\$ 135,831
Emergency Medical Services for Children	93.127			(1,723)	(1,723)
Grants to Increase Organ Donations	93.134			51,084	51,084
Centers for Research and Demonstration for Health Promotion and Disease Prevention	93.135		16,674	2,425,213	2,441,887
Injury Prevention and Control Research and State and Community Based Programs	93.136		173,810	1,007,455	1,181,265
NIEHS Superfund Hazardous Substances--Basic Research and Education	93.143				
<i>Pass-Through from Texas A&amp;M Research Foundation</i>	93.143	99-462223		4	4
<i>Pass-Through from University of Washington</i>	93.143	5P42ES04696-15341346		124,282	124,282
AIDS Education and Training Centers	93.145				
<i>Pass-Through from Dallas County Hospital District</i>	93.145	412400		10,422	10,422
<i>Pass-Through from Texas A&amp;M Research Foundation</i>	93.145	447612		26,454	26,454
Coordinated HIV Services and Access to Research for Children, Youth, Women, and Families	93.153		620,454	362,615	983,069
<i>Pass-Through from Houston Regional/HIV - Title IV</i>	93.153	5MCHP06063040		(20,237)	(20,237)
<i>Pass-Through from The Resource Group</i>	93.153	A78 00-00 9148		37,813	37,813
<i>Pass-Through from Westat, Inc</i>	93.153	N01HD33162		377,301	377,301
Rural Health Research Centers	93.155				
<i>Pass-Through from Texas A&amp;M Research Foundation</i>	93.155	449721		165,810	165,810
<i>Pass-Through from Texas A&amp;M Research Foundation</i>	93.155	99-449721		34,309	34,309
Geriatric Training Regarding Physicians and Dentists Centers of Excellence	93.156			262,275	262,275
Health Program for Toxic Substances and Disease Registry	93.157		120,604	608,915	729,519
<i>Pass-Through from Minority Health Professions     Foundation</i>	93.161	U50AT39894808		33,867	33,867
<i>Pass-Through from Texas A&amp;M Research Foundation</i>	93.161	99-438883-438884		255,105	255,105
<i>Pass-Through from University of Illinois</i>	93.161	H75ATH589339		4,148	4,148
Human Genome Research	93.172		12,317	771,157	783,474
<i>Pass-Through from Baylor College of Medicine</i>	93.172	NCC9-58-P		168,501	168,501
<i>Pass-Through from Baylor College of Medicine</i>	93.172	NG01459		272,000	272,000
<i>Pass-Through from Baylor College of Medicine</i>	93.172	U54 HG02051		57,915	57,915
<i>Pass-Through from Michigan State University</i>	93.172	HG01384		81,705	81,705
<i>Pass-Through from Research Genetics, Inc.</i>	93.172	R41 HG 02057		41,743	41,743
<i>Pass-Through from Visigen Biotechnologies, Inc.</i>	93.172	1 R41 HG02336-01		9,457	9,457
Research Related to Deafness and Communication Disorders	93.173		117,510	3,571,267	3,688,777
<i>Pass-Through from Purdue University</i>	93.173	510-3757-02		(9)	(9)
<i>Pass-Through from Texas A&amp;M Research Foundation</i>	93.173	440511		20,221	20,221
<i>Pass-Through from Texas A&amp;M Research Foundation</i>	93.173	99-440651		119,900	119,900
<i>Pass-Through from University of Alabama</i>	93.173	UTA98-0340		10,559	10,559
<i>Pass-Through from University of California Santa     Barbara</i>	93.173	862199		185,143	185,143
Disabilities Prevention	93.184				
<i>Pass-Through from Baylor College of Medicine</i>	93.184	R04CCR614142		(10)	(10)
Immunization Research, Demonstration, Public Information and Education--Training and Clinical Skills Improvement Projects	93.185			7,458	7,458

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<b>RESEARCH AND DEVELOPMENT CLUSTER (continued)</b>					
<b>U.S. Department of Health and Human Services (continued)</b>					
National Research Services Awards	93.186				
<i>Pass-Through from National Research Council</i>	93.186	Agreement dated 3/4/99 \$	\$	4,048 \$	4,048
Public Health Training Centers	93.188				
<i>Pass-Through from Naccho</i>	93.188	6U76HP10002		6,217	6,217
<i>Pass-Through from Texas A&amp;M Research Foundation</i>	93.188	449831		64,951	64,951
Health Education and Training Centers	93.189		88,977	101,593	190,570
Allied Health Projects	93.191			348,862	348,862
Quentin N. Burdick Programs for Rural Interdisciplinary Training	93.192			54,056	54,056
Human Health Studies--Applied Research and Development	93.206			24,837	24,837
Rural Telemedicine Grants	93.211			32,512	32,512
Research and Training in Complementary and Alternative Medicine	93.213			1,113,517	1,113,517
Family Planning--Services	93.217				
<i>Pass-Through from International Resources Group, Ltd</i>	93.217	6 FPHP A061197		382	382
Research on Healthcare Costs, Quality and Outcomes	93.226			1,130,570	1,130,570
<i>Pass-Through from Texas A&amp;M Research Foundation</i>	93.226	449621		302,018	302,018
<i>Pass-Through from Texas A&amp;M Research Foundation</i>	93.226	99-451501		15,753	15,753
<i>Pass-Through from University of Oklahoma</i>	93.226	1R01A1137657-01A2		44,129	44,129
Indian Health Service--Health Management Development Program	93.228			(15,343)	(15,343)
Demonstration Cooperative Agreements for Development & Implementation of Criminal Justice Treatment Networks	93.229			402	402
Consolidated Knowledge Development and Application (KD&A) Program	93.230		458,566	1,295,691	1,754,257
Abstinence Education	93.235		2,705,529	4,225,810	6,931,339
<i>Pass-Through from Coastal Area Health Education</i>	93.235	PO#M398218		46,796	46,796
Grants for Dental Public Health Residency Training	93.236				
<i>Pass-Through from Texas A&amp;M Research Foundation</i>	93.236	437032		9,794	9,794
Mental Health Research Grants	93.242		1,499,467	14,724,608	16,224,075
<i>Pass-Through from Texas A&amp;M Research Foundation</i>	93.242	1R01MH5914501A		155,247	155,247
<i>Pass-Through from Texas A&amp;M Research Foundation</i>	93.242	447301		155,972	155,972
<i>Pass-Through from Texas A&amp;M Research Foundation</i>	93.242	99-435681-487902		523,147	523,147
<i>Pass-Through from University of California Los Angeles</i>	93.242	MH52176		153,485	153,485
<i>Pass-Through from University of Puerto Rico</i>	93.242	MH598706		32,186	32,186
<i>Pass-Through from Yale University</i>	93.242	1 R01 MH58784-01		3,084	3,084
Advanced Education Nursing Grant Program	93.247			900,289	900,289
Community Access Program	93.252			123,232	123,232
Family Planning--Personnel Training	93.260			642,133	642,133
Occupational Safety and Health Research Grants	93.262		106,447	366,331	472,778
<i>Pass-Through from Safeplace</i>	93.262	UTA00-035		3,029	3,029
<i>Pass-Through from Smarthealth Inc.</i>	93.262	U60CCU915997		9,580	9,580
<i>Pass-Through from University of Massachusetts Worcester</i>	93.262	R21 OH7322		1,437	1,437
<i>Pass-Through from University of Massachusetts Worcester</i>	93.262	R01 OH0337		7,218	7,218
Occupational Safety and Health--Training Grants	93.263			47,726	47,726
Immunization Grants	93.268			145,906	145,906
Alcohol National Research Service Awards for Research Training	93.272			230,217	230,217

# State of Texas Schedule of Expenditures of Federal Awards

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Cluster Name/Federal Grantor/Program Name/ Pass-through Entity	CFDA	Federal/Pass- through Entity Other Identifying #	Pass-through to Non-state Entities	Expenditures	Total
<b>RESEARCH AND DEVELOPMENT CLUSTER (continued)</b>					
<b>U.S. Department of Health and Human Services (continued)</b>					
Alcohol Research Programs	93.273		\$ 925,586	\$ 6,097,100	\$ 7,022,686
<i>Pass-Through from New Jersey City University</i>	93.273	5R011AA11808		68,121	68,121
<i>Pass-Through from Texas A&amp;M Research Foundation</i>	93.273	446911		547,644	547,644
<i>Pass-Through from Texas A&amp;M Research Foundation</i>	93.273	99-443241-447371		6,665	6,665
Drug Abuse Scientist Development Awards, Research Scientist Development Awards, and Research Scientist	93.277		5,823	1,031,262	1,037,085
<i>Pass-Through from Texas A&amp;M Research Foundation</i>	93.277	99-463361		114,162	114,162
Drug Abuse National Research Service Awards for Research Training	93.278			129,809	129,809
Drug Abuse Research Programs	93.279		380,507	9,997,151	10,377,658
<i>Pass-Through from Baylor College of Medicine</i>	93.279	1R01DA09238		3,269	3,269
<i>Pass-Through from Creighton University</i>	93.279	1R25DA13522		56,839	56,839
<i>Pass-Through from Georgetown University, Sponsored         Accounts Office</i>	93.279	R01DA1154805		278,123	278,123
<i>Pass-Through from Group Health Cooperative</i>	93.279	R29 DA1119		5,858	5,858
<i>Pass-Through from John Hopkins University</i>	93.279	R01 DA/AA11156		(2)	(2)
<i>Pass-Through from Medical College of Wisconsin</i>	93.279	2R01DA0915506		21,887	21,887
<i>Pass-Through from Ohio State University</i>	93.279	735625		9,210	9,210
<i>Pass-Through from Texas A&amp;M Research Foundation</i>	93.279	448781		4,353	4,353
<i>Pass-Through from Texas A&amp;M Research Foundation</i>	93.279	99-435021-460473		547,454	547,454
<i>Pass-Through from University of Arizona</i>	93.279	P01DA05837		96	96
<i>Pass-Through from Zebra Pharmaceuticals, Inc.</i>	93.279	R43DA1307001		22,765	22,765
Mental Health Research Career/Scientist Development	93.281		8,643	769,758	778,401
<i>Pass-Through from Texas A&amp;M Research Foundation</i>	93.281	99-451671		15,514	15,514
Mental Health National Research Service Awards for Research Training	93.282			250,470	250,470
<i>Pass-Through from American Psychiatric Association</i>	93.282	MH19126		116,825	116,825
Centers for Disease Control and Prevention--Investigations	93.283		227,851	3,797,076	4,024,927
<i>Pass-Through from Associations of Schools of Public         Health</i>	93.283	P143-18 SO68-16/18		10,212	10,212
<i>Pass-Through from Associations of Schools of Public         Health</i>	93.283	S1171-19/19		82,063	82,063
<i>Pass-Through from Associations of Schools of Public         Health</i>	93.283	U36CCU300430		99,313	99,313
<i>Pass-Through from Center To Protect Worker's Rights</i>	93.283	U60CCU317202		42,094	42,094
<i>Pass-Through from Health Research Inc.</i>	93.283	U50CCU213244		849	849
<i>Pass-Through from University of Colorado Health</i>	93.283	027/CCU812106		10,864	10,864
<i>Pass-Through from University of Oklahoma Health         Sciences Center</i>	93.283	U50 CCU 300860		5,647	5,647
<i>Pass-Through from YWCA</i>	93.283	859299		48,743	48,743
Nurse Practitioner and Nurse-Midwifery Education Program	93.298			17,310	17,310
Advanced Nurse Education	93.299			19,524	19,524
Comparative Medicine	93.306		1,121,758	2,579,372	3,701,130
<i>Pass-Through from Emory University</i>	93.306	R01 RR 03587		(630)	(630)
<i>Pass-Through from Southwest Foundation For         Biomedical Research</i>	93.306	11197		7,478	7,478
Comparative Medicine	93.306				
<i>Pass-Through from Southwest Foundation For         Biomedical Research</i>	93.306	RR13986		28,042	28,042
<i>Pass-Through from Texas A&amp;M Research Foundation</i>	93.306	99-440351		86,846	86,846

# State of Texas Schedule of Expenditures of Federal Awards

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Cluster Name/Federal Grantor/Program Name/ Pass-through Entity	CFDA	Federal/Pass- through Entity Other Identifying #	Pass-through to Non-state Entities	Expenditures	Total
<b>RESEARCH AND DEVELOPMENT CLUSTER (continued)</b>					
<b>U.S. Department of Health and Human Services (continued)</b>					
Clinical Research	93.333		\$ 15,299	\$ 7,668,987	\$ 7,684,286
<i>Pass-Through from University of New Jersey</i>	93.333	R25RR1562101		14,498	14,498
Advanced Education Nursing Traineeships	93.358			293,080	293,080
Basic Nurse Education and Practice Grants	93.359			122,798	122,798
<i>Pass-Through from Texas A&amp;M Research Foundation</i>	93.359	449361		99,068	99,068
Nursing Research	93.361		65,233	2,769,170	2,834,403
<i>Pass-Through from University of California</i>	93.361	5R01NR04846		169,626	169,626
Biomedical Technology	93.371		25,636	2,957,806	2,983,442
<i>Pass-Through from The Ohio State University Research     Foundation</i>	93.371	737341, RF 823902		311	311
<i>Pass-Through from University of Kentucky</i>	93.371	4-63697-00-265		115,463	115,463
Minority Biomedical Research Support	93.375			5,039,331	5,039,331
Research Infrastructure	93.389			4,223,943	4,223,943
Academic Research Enhancement Award	93.390			94,441	94,441
Cancer Cause and Prevention Research	93.393		884,121	19,006,935	19,891,056
<i>Pass-Through from Baylor College of Medicine</i>	93.393	794701 0195B		(5,190)	(5,190)
<i>Pass-Through from Baylor College of Medicine</i>	93.393	R01 CA 78480		84,480	84,480
<i>Pass-Through from Baylor College of Medicine</i>	93.393	R21 CA 86036		35,642	35,642
<i>Pass-Through from Beckman Research Institute</i>	93.393	R01CA76573		2,162	2,162
<i>Pass-Through from Biocon, Inc.</i>	93.393	R43CA8877001		177,398	177,398
<i>Pass-Through from Fred Hutchinson Cancer Research Ctr</i>	93.393	R01 CA 72030		15,595	15,595
<i>Pass-Through from Fred Hutchinson Cancer Research Ctr</i>	93.393	R01CA72030		491	491
<i>Pass-Through from John Hopkins University</i>	93.393	CA65661		31,623	31,623
<i>Pass-Through from John Hopkins University</i>	93.393	R03CA85135		20,575	20,575
<i>Pass-Through from Program Resources, Inc</i>	93.393	N01CO74102		10,820	10,820
<i>Pass-Through from Texas A&amp;M Research Foundation</i>	93.393	436961		328,904	328,904
<i>Pass-Through from Texas A&amp;M Research Foundation</i>	93.393	488882		2,687	2,687
<i>Pass-Through from Texas A&amp;M Research Foundation</i>	93.393	99-434731-488882		341,373	341,373
<i>Pass-Through from Texas A&amp;M Research Foundation</i>	93.393	R01CA5903406		16,904	16,904
<i>Pass-Through from University of Pennsylvania</i>	93.393	535587, Mod. 2		295,577	295,577
<i>Pass-Through from University of Pittsburgh</i>	93.393	IR01CA5084905		1,392	1,392
<i>Pass-Through from University of Arizona</i>	93.393	P01 CA 27502		85,326	85,326
<i>Pass-Through from University of Arizona</i>	93.393	P01 CA 41108		293,256	293,256
<i>Pass-Through from University of California - San Diego</i>	93.393	R01 CA 69375		306,760	306,760
<i>Pass-Through from University of Cincinnati</i>	93.393	R01 CA 76293		94,162	94,162
<i>Pass-Through from University of Pennsylvania</i>	93.393	P01CA75434		51,620	51,620
<i>Pass-Through from University of Pittsburgh</i>	93.393	R1CA47473		73,582	73,582
Cancer Cause and Prevention Research	93.393				
<i>Pass-Through from Washington State University</i>	93.393	G000602		648	648
Cancer Detection and Diagnosis Research	93.394		1,044,453	4,505,372	5,549,825
<i>Pass-Through from Baylor College of Medicine</i>	93.394	894768		28,147	28,147
<i>Pass-Through from Baylor College of Medicine</i>	93.394	CA30195		212,893	212,893
<i>Pass-Through from Baylor College of Medicine</i>	93.394	P01 CA 7417		55,049	55,049
<i>Pass-Through from University of Arizona</i>	93.394	CA30195		4,535	4,535
<i>Pass-Through from University of Pennsylvania</i>	93.394	CA 74860		15,949	15,949
Cancer Treatment Research	93.395		1,806,808	23,756,335	25,563,143
<i>Pass-Through from American College of Obstetrics &amp;     Gynecology</i>	93.395	U01HS0950605		573	573
<i>Pass-Through from American College of Radiology</i>	93.395	CA 21661		4,905	4,905



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Cluster Name/Federal Grantor/Program Name/ Pass-through Entity	CFDA	Federal/Pass- through Entity Other Identifying #	Pass-through to Non-state Entities	Expenditures	Total
<b>RESEARCH AND DEVELOPMENT CLUSTER (continued)</b>					
<b>U.S. Department of Health and Human Services (continued)</b>					
Cancer Treatment Research (continued)	93.395				
<i>Pass-Through from American College of Surgeons</i>	93.395	U10 CA 76001	\$	\$ 61,401	\$ 61,401
<i>Pass-Through from Bio Tex, Inc.</i>	93.395	R44 CA 79282		39,975	39,975
<i>Pass-Through from Cancer Therapy Research Center</i>	93.395	CA32102		443,078	443,078
<i>Pass-Through from Case Western Reserve University</i>	93.395	CA74136		20,680	20,680
<i>Pass-Through from Case Western Reserve University</i>	93.395	R01 CA 8326		(1,952)	(1,952)
<i>Pass-Through from Duke University</i>	93.395	P01 CA 47741		75,379	75,379
<i>Pass-Through from Duke University</i>	93.395	U10 CA 33601		18,199	18,199
<i>Pass-Through from Duke University</i>	93.395	U10 CA 76001		10,001	10,001
<i>Pass-Through from Duke University</i>	93.395	U10 CA83895		965	965
<i>Pass-Through from Fem.cadet</i>	93.395	R41 CA 80589		13,668	13,668
<i>Pass-Through from Frontier Science &amp; Technology     Research Foundation</i>	93.395	U10 CA 21115		16,646	16,646
<i>Pass-Through from Frontier Science &amp; Technology     Research Foundation</i>	93.395	U10 CA 78971		33,473	33,473
<i>Pass-Through from Gynecologic Oncology Group</i>	93.395	SPA2746937		61,615	61,615
<i>Pass-Through from Introgen Therapeutics</i>	93.395	R41 CA83604		47,749	47,749
<i>Pass-Through from Introgen Therapeutics</i>	93.395	R41 CA88421		38,372	38,372
<i>Pass-Through from Introgen Therapeutics</i>	93.395	R43 CA 86587		27,839	27,839
<i>Pass-Through from John Wayne Cancer Institute</i>	93.395	103913CCOMSMC		20	20
<i>Pass-Through from Mayo Clinic</i>	93.395	U10 CA 2450		504	504
<i>Pass-Through from Mt. Sinai/salick Cancer Center</i>	93.395	P50 CA 68425		162,361	162,361
<i>Pass-Through from National Childhood     Cancer Foundation</i>	93.395	U10 CA 24507		4,211	4,211
<i>Pass-Through from National Childhood     Cancer Foundation</i>	93.395	U10 CA13539		73,750	73,750
<i>Pass-Through from Northwestern University</i>	93.395	R01 CA85915		22,488	22,488
<i>Pass-Through from Ohio State University     Research Foundation</i>	93.395	666303/72749		(297)	(297)
<i>Pass-Through from Oregon Health Science University</i>	93.395	R01 CA 8393		40,412	40,412
<i>Pass-Through from Southwest Oncology Group</i>	93.395	SWOG8516		11,694	11,694
<i>Pass-Through from Southwest Oncology Group</i>	93.395	U10 CA 32102		1,348	1,348
<i>Pass-Through from Southwest Oncology Group</i>	93.395	U10 CA 37429		33,099	33,099
<i>Pass-Through from Texas A&amp;M Research Foundation</i>	93.395	99-432521-481568		293,694	293,694
<i>Pass-Through from Translite</i>	93.395	R41 CA 76759		16,101	16,101
<i>Pass-Through from University of Arizona</i>	93.395	CA37641		206	206
<i>Pass-Through from University of California - San Diego</i>	93.395	P01CA81534		253,160	253,160
<i>Pass-Through from University of California - San     Francisco</i>	93.395	CA 62399		(70,743)	(70,743)
<i>Pass-Through from University of California - San     Francisco</i>	93.395	U01 62399		305,932	305,932
<i>Pass-Through from University of Minnesota</i>	93.395	CA 55727-04		(34)	(34)
<i>Pass-Through from University of Minnesota</i>	93.395	U24 CA 55727		142,768	142,768
<i>Pass-Through from University of Mississippi     Medical Center</i>	93.395	95-51842		17,561	17,561
<i>Pass-Through from University of North Carolina</i>	93.395	7 R01 CA29756-17		13,746	13,746
<i>Pass-Through from Washington University</i>	93.395	U24 CA 81647		109,465	109,465
<i>Pass-Through from Washington University</i>	93.395	U24 CA81647		3,302	3,302



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Cluster Name/Federal Grantor/Program Name/ Pass-through Entity	CFDA	Federal/Pass- through Entity Other Identifying #	Pass-through to Non-state Entities	Expenditures	Total
<b>RESEARCH AND DEVELOPMENT CLUSTER (continued)</b>					
<b>U.S. Department of Health and Human Services (continued)</b>					
Cancer Biology Research	93.396		\$ 108,767	\$ 16,970,278	\$ 17,079,045
<i>Pass-Through from Baylor College of Medicine</i>	93.396	CA30195		54,965	54,965
<i>Pass-Through from Baylor College of Medicine</i>	93.396	U01 CA 84243		72,021	72,021
<i>Pass-Through from Burnham Institute</i>	93.396	P01 CA 82713		102,206	102,206
<i>Pass-Through from Case Western Reserve University</i>	93.396	CA72160		84,051	84,051
<i>Pass-Through from Massachusetts Institute of     Technology</i>	93.396	U01CA84306		39,887	39,887
<i>Pass-Through from Texas A&amp;M Research Foundation</i>	93.396	446241		81,304	81,304
<i>Pass-Through from University of California - San     Francisco</i>	93.396	CA64602		81,015	81,015
<i>Pass-Through from University of Miami</i>	93.396	R01 CA 7863		63,796	63,796
<i>Pass-Through from University of North Carolina -     Chapel Hill</i>	93.396	5-31004		65,148	65,148
<i>Pass-Through from University of Vermont</i>	93.396	1R01 CA88082 01A1		40,510	40,510
<i>Pass-Through from University of Virginia</i>	93.396	R01 CA 84456		115,323	115,323
<i>Pass-Through from Yale University</i>	93.396	U01 CA81810		13,795	13,795
<i>Pass-Through from Yale University</i>	93.396	U01CA81810		111,127	111,127
Cancer Centers Support Grants	93.397		1,023,957	10,448,469	11,472,426
<i>Pass-Through from Baylor College of Medicine</i>	93.397	CA58183		5,418	5,418
<i>Pass-Through from Cancer Therapy Research Center</i>	93.397	CA54174		1,377,081	1,377,081
<i>Pass-Through from John Hopkins University</i>	93.397	P50 CA 62824		91,412	91,412
<i>Pass-Through from Memorial Sloan-Kettering Institute     For Cancer Rese</i>	93.397	P50 CA 68425		41,103	41,103
<i>Pass-Through from University of California - San Diego</i>	93.397	P20 CA814534		9,138	9,138
Cancer Research Manpower	93.398		11,596	5,648,641	5,660,237
<i>Pass-Through from Texas A&amp;M Research Foundation</i>	93.398	452461		312	312
<i>Pass-Through from Texas A&amp;M Research Foundation</i>	93.398	99-452461		38,776	38,776
<i>Pass-Through from University of Rochester</i>	93.398	R25 CA 65520		12,415	12,415
Cancer Control	93.399		870,724	10,069,597	10,940,321
<i>Pass-Through from Amc Cancer Research Agency</i>	93.399	P01 CA 57586		1,227	1,227
<i>Pass-Through from Baylor College of Medicine</i>	93.399	CA59379		109,018	109,018
<i>Pass-Through from Baylor College of Medicine</i>	93.399	U19 CA 86809		8,367	8,367
<i>Pass-Through from British Columbia Cancer Agency</i>	93.399	U01 CA 68381		(116)	(116)
<i>Pass-Through from Cancer Research Center</i>	93.399	P01CA5758		4,390	4,390
<i>Pass-Through from Cancer Therapy Research Center</i>	93.399	CA37429		207,805	207,805
<i>Pass-Through from Dana-farber Cancer Institute</i>	93.399	R01 CA77780		18,809	18,809
<i>Pass-Through from Dartmouth Medical School</i>	93.399	R01 CA5900		118,427	118,427
<i>Pass-Through from Genometrix, Inc.</i>	93.399	R01 ES 09910		68,805	68,805
<i>Pass-Through from Group Health Cooperative</i>	93.399	R01 CA7451		71,191	71,191
<i>Pass-Through from Group Health Cooperative</i>	93.399	R01 CA74517		55,903	55,903
<i>Pass-Through from Nsabp</i>	93.399	U10 CA37377		323,109	323,109
<i>Pass-Through from Penn State University</i>	93.399	R01 CA 84770		2,903	2,903
<i>Pass-Through from Southwest Oncology Group</i>	93.399	CA77178-03		12,031	12,031
<i>Pass-Through from Southwest Oncology Group</i>	93.399	PCPT9345		9,146	9,146
<i>Pass-Through from Southwest Oncology Group</i>	93.399	U10 CA 37429		206,307	206,307
<i>Pass-Through from Southwest Oncology Group</i>	93.399	U10 CA37429		(16,790)	(16,790)
<i>Pass-Through from Southwest Oncology Group</i>	93.399	U10CA37419		(9,855)	(9,855)
<i>Pass-Through from University of Illinois</i>	93.399	2-5-24207		(5)	(5)
<i>Pass-Through from University of Minnesota</i>	93.399	R01CA63578		(8,432)	(8,432)
<i>Pass-Through from University of Pittsburgh</i>	93.399	U10 CA 37377		74,045	74,045

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Cluster Name/Federal Grantor/Program Name/ Pass-through Entity	CFDA	Federal/Pass- through Entity Other Identifying #	Pass-through to Non-state Entities	Expenditures	Total
<b>RESEARCH AND DEVELOPMENT CLUSTER (continued)</b>					
<b>U.S. Department of Health and Human Services (continued)</b>					
Promoting Safe and Stable Families	93.556		\$	\$ 19,831	\$ 19,831
Temporary Assistance for Needy Families	93.558			232,855,718	232,855,718
<i>Pass-Through from Texas A&amp;M Research Foundation</i>	93.558	99-452281		41,682	41,682
Community Services Block Grant--Discretionary Awards	93.570				
<i>Pass-Through from National Collegiate Athletic-Association</i>	93.570	14405672644		49,058	49,058
<i>Pass-Through from National Collegiate Athletics Association</i>	93.570	NCAA 00-10		60,810	60,810
<i>Pass-Through from National Youth Sport Program Fund</i>	93.570	601031		46,482	46,482
Community-Based Family Resource and Support Grants	93.590			29,692	29,692
Family Violence Prevention and Services/Grants for Battered Women's Shelters - Discretionary Grants	93.592			95,244	95,244
Head Start	93.600			27,549	27,549
<i>Pass-Through from University of Arkansas</i>	93.600	UTA01-182		132,977	132,977
Development Disabilities Basic Support and Advocacy	93.630				
<i>Pass-Through from TX Planning Council for Dev Disab.</i>	93.630	DD-99405, CONT. OF DD-998305	3,500		3,500
Development Disabilities University Affiliated Programs	93.632			302,593	302,593
Child Welfare Services Training Grants	93.648		21,775	133,952	155,727
Foster Care--Title IV-E	93.658			722,004	722,004
Family Violence Prevention and Services/Grants for Battered Women's Shelters--Grants to States and Indian Tribes	93.671				
<i>Pass-Through from Texas A&amp;M Research Foundation</i>	93.671	444671		152,096	152,096
Health Care Financing Research, Demonstrations and Evaluations	93.779		71,311	207,750	279,061
Cell Biology and Biophysics Research	93.821		346,292	11,100,098	11,446,390
<i>Pass-Through from Bio Synthesis Inc.</i>	93.821	75-2297171		3,426	3,426
<i>Pass-Through from Ferrodynamics Inc.</i>	93.821	1R41GN58309		(4,701)	(4,701)
<i>Pass-Through from Kalgen</i>	93.821	GM53373		36,274	36,274
<i>Pass-Through from Texas A&amp;M Research Foundation</i>	93.821	449221		428,986	428,986
<i>Pass-Through from Texas A&amp;M Research Foundation</i>	93.821	99-444641-468212		279,338	279,338
Health Careers Opportunity Program	93.822			1,042,324	1,042,324
<i>Pass-Through from Texas A&amp;M Research Foundation</i>	93.822	445411		332,539	332,539
Basic/Core Area Health Education Centers	93.824		934,670	645,874	1,580,544
Heart and Vascular Diseases Research	93.837		779,022	42,675,909	43,454,931
<i>Pass-Through from Albany Medical College</i>	93.837	7R01HL60853		15,799	15,799
<i>Pass-Through from Barlow Scientific, Inc.</i>	93.837	01LMF015N		8,400	8,400
<i>Pass-Through from Baylor College of Medicine</i>	93.837	N01HC55016		6,700	6,700
<i>Pass-Through from Baylor College of Medicine</i>	93.837	U01 HL 65160		15,076	15,076
<i>Pass-Through from Baylor College of Medicine</i>	93.837	U01HL65160		27,592	27,592
<i>Pass-Through from Chrysalis Biotechnology</i>	93.837	413820		13,670	13,670
<i>Pass-Through from Duke University</i>	93.837	SCD-HeFT		15,349	15,349
<i>Pass-Through from Karolinsky Institute</i>	93.837	R01HL45785		(16,311)	(16,311)
<i>Pass-Through from Mayo Foundation</i>	93.837	HL53330		267,213	267,213
<i>Pass-Through from Medical University of South Carolina</i>	93.837	1POHL48788		15,890	15,890
<i>Pass-Through from Millar Inc</i>	93.837	UTA01-434		6,411	6,411
<i>Pass-Through from Millar Sstr</i>	93.837	HL67475		2,224	2,224
<i>Pass-Through from National Institutes of Health</i>	93.837	1 R01 HL94931-01		280,923	280,923
<i>Pass-Through from Rice University</i>	93.837	R1HL60485		(7,907)	(7,907)

# State of Texas Schedule of Expenditures of Federal Awards

For the Year Ended August 31, 2001

Cluster Name/Federal Grantor/Program Name/ Pass-through Entity	CFDA	Federal/Pass- through Entity Other Identifying #	Pass-through to Non-state Entities	Expenditures	Total
<b>RESEARCH AND DEVELOPMENT CLUSTER (continued)</b>					
<b>U.S. Department of Health and Human Services (continued)</b>					
Heart and Vascular Diseases Research (continued)	93.837				
<i>Pass-Through from Southwest Foundation For     Biomedical Research</i>	93.837	HL4552	\$	\$ 25,213	\$ 25,213
<i>Pass-Through from Texas A&amp;M Research Foundation</i>	93.837	444891		2,863,332	2,863,332
<i>Pass-Through from Texas A&amp;M Research Foundation</i>	93.837	99-437411-452131		60,969	60,969
<i>Pass-Through from Texas A&amp;M Research Foundation</i>	93.837	R01HL62539		20,899	20,899
<i>Pass-Through from Tulane University Medical Center</i>	93.837	HL38844		322,364	322,364
<i>Pass-Through from University of Wisconsin</i>	93.837	271G935		30,485	30,485
<i>Pass-Through from University of Massachusetts</i>	93.837	R01HL66643		299,257	299,257
<i>Pass-Through from University of Michigan</i>	93.837	HL46292		95,139	95,139
<i>Pass-Through from University of Michigan</i>	93.837	HL58240		62,395	62,395
<i>Pass-Through from University of Michigan</i>	93.837	R01HL039107		239,554	239,554
<i>Pass-Through from University of Minnesota</i>	93.837	R01HL59367		47,582	47,582
<i>Pass-Through from University of Minnesota</i>	93.837	R01HL63082		230,950	230,950
<i>Pass-Through from University of Missouri</i>	93.837	HL62261		57,631	57,631
<i>Pass-Through from University of North Carolina At     Chapel Hill</i>	93.837	R01HL5965204		27,241	27,241
<i>Pass-Through from Washington University, St. Louis,     Missouri</i>	93.837	WU-HT-99-47		15,371	15,371
Lung Diseases Research	93.838		136,590	4,976,759	5,113,349
<i>Pass-Through from Compact Membrane Systems, Inc.</i>	93.838	R43HL6452801		9,779	9,779
<i>Pass-Through from Southwest Foundation For     Biomedical Research</i>	93.838	HL52636		714,682	714,682
Blood Diseases and Resources Research	93.839		22,575	2,006,046	2,028,621
<i>Pass-Through from Avox Systems</i>	93.839	HL97011		19,487	19,487
<i>Pass-Through from Columbia University</i>	93.839	R01HL53772		29,656	29,656
<i>Pass-Through from Medical College of Georgia     Research Institute</i>	93.839	1UO1HL521930		6,383	6,383
<i>Pass-Through from Texas A&amp;M Research Foundation</i>	93.839	448641		76,307	76,307
<i>Pass-Through from University of Alabama At     Birmingham</i>	93.839	N01-HC95095		94,100	94,100
<i>Pass-Through from University of Wisconsin System</i>	93.839	99LMF036N		67,105	67,105
Arthritis, Musculoskeletal and Skin Diseases Research	93.846		1,274,747	8,853,745	10,128,492
<i>Pass-Through from Mayo Clinic</i>	93.846	R21 AR45789		21,161	21,161
<i>Pass-Through from North Shore University Hospital</i>	93.846	R01 AR 44422		80,461	80,461
<i>Pass-Through from Southwest Foundation For     Biomedical Research</i>	93.846	AR43351		17,547	17,547
<i>Pass-Through from Texas A&amp;M Research Foundation</i>	93.846	446001		374,199	374,199
<i>Pass-Through from University of Alabama</i>	93.846	1P50AR45231		30,866	30,866
<i>Pass-Through from University of Alabama</i>	93.846	SR01AR42503		214,325	214,325
<i>Pass-Through from University of Alabama</i>	93.846	R01AR4250307		49,539	49,539
<i>Pass-Through from University of Maryland</i>	93.846	68197		22,805	22,805
<i>Pass-Through from Wayne State University</i>	93.846	N01AR02251		18,685	18,685
Diabetes, Endocrinology and Metabolism Research	93.847		370,215	13,862,548	14,232,763
<i>Pass-Through from Brigham And Women's Hospital</i>	93.847	DK48330		26,896	26,896
<i>Pass-Through from Texas A&amp;M Research Foundation</i>	93.847	447051		242,474	242,474
<i>Pass-Through from Texas A&amp;M Research Foundation</i>	93.847	99-446360		116,430	116,430
<i>Pass-Through from University of Alabama</i>	93.847	DK57501		11,381	11,381
<i>Pass-Through from University of Chicago</i>	93.847	DK58026		80,060	80,060
<i>Pass-Through from University of Tennessee - Memphis</i>	93.847	DK53061		16,021	16,021
<i>Pass-Through from University of Chicago</i>	93.847	R01 DK58026		91,622	91,622

# State of Texas Schedule of Expenditures of Federal Awards

For the Year Ended August 31, 2001

Cluster Name/Federal Grantor/Program Name/ Pass-through Entity	CFDA	Federal/Pass- through Entity Other Identifying #	Pass-through to Non-state Entities	Expenditures	Total
<b>RESEARCH AND DEVELOPMENT CLUSTER (continued)</b>					
<b>U.S. Department of Health and Human Services (continued)</b>					
Digestive Diseases and Nutrition Research	93.848		\$ 861,310	\$ 7,115,202	\$ 7,976,512
<i>Pass-Through from Baylor College of Medicine</i>	93.848	1P30DK56338		8,677	8,677
<i>Pass-Through from Baylor College of Medicine</i>	93.848	P30DK5633801A1		9,475	9,475
<i>Pass-Through from Natural Therapeutics Inc.</i>	93.848	R43DK52740		87,015	87,015
<i>Pass-Through from Texas A&amp;M Research Foundation</i>	93.848	443281		63,146	63,146
<i>Pass-Through from Texas A&amp;M Research Foundation</i>	93.848	99-443281		3,531	3,531
Kidney Diseases, Urology and Hematology Research	93.849		236,498	11,113,957	11,350,455
<i>Pass-Through from Biomedical Development Corporation</i>	93.849	DK56587		264	264
<i>Pass-Through from Medical City Dallas Hospital</i>	93.849	5R01DK49368		1,407	1,407
<i>Pass-Through from Texas A&amp;M Research Foundation</i>	93.849	446231		7,608	7,608
<i>Pass-Through from Tulane University Medical Center</i>	93.849	R01DK5139202		2,734	2,734
<i>Pass-Through from University of Miami</i>	93.849	DPT1		6,924	6,924
Extramural Research Programs in the Neurosciences and Neurological Disorders	93.853		145,951	18,882,386	19,028,337
<i>Pass-Through from Baylor College of Medicine</i>	93.853	R01 NS21889		166,401	166,401
<i>Pass-Through from Bowman Gray School of Medicine</i>	93.853	R01NS22611		4,864	4,864
<i>Pass-Through from Emory University</i>	93.853	NS36643		1,619	1,619
<i>Pass-Through from Palo Alto Inst For Res &amp; Educ, I</i>	93.853	KAU02		38,960	38,960
<i>Pass-Through from Rush Presbyterian St Luke's</i>	93.853	NS33430		45,932	45,932
<i>Pass-Through from Texas A&amp;M Research Foundation</i>	93.853	446851		599,912	599,912
<i>Pass-Through from Texas A&amp;M Research Foundation</i>	93.853	99-435431-447731		478,370	478,370
<i>Pass-Through from University of Rochester</i>	93.853	411447G		5,692	5,692
<i>Pass-Through from University of Cincinnati</i>	93.853	R01-NS39160		12,069	12,069
<i>Pass-Through from University of Iowa</i>	93.853	1R01NS38554		5,721	5,721
<i>Pass-Through from University of Maryland</i>	93.853	R01NS3858501A1		94,550	94,550
<i>Pass-Through from Wayne State University</i>	93.853	NS30896		3,357	3,357
Biological Basic Research	93.854			2,811,427	2,811,427
<i>Pass-Through from Emory University</i>	93.854	R01 NS37031-01A1		180,036	180,036
<i>Pass-Through from University of Nebraska</i>	93.854	UTA01-463		2,251	2,251
<i>Pass-Through from Caltech</i>	93.854	PC288511		44,367	44,367
<i>Pass-Through from University of Rochester</i>	93.854	NS38583		63,484	63,484
<i>Pass-Through from Wayne State University</i>	93.854	NS30896		83,935	83,935
Allergy, Immunology and Transplantation Research	93.855		92,512	11,625,080	11,717,592
<i>Pass-Through from Baylor College of Medicine</i>	93.855	R18AI39782		123,460	123,460
Microbiology and Infectious Diseases Research	93.856		2,538,194	24,928,388	27,466,582
<i>Pass-Through from Baylor College of Medicine</i>	93.856	5R01AI41168		22,482	22,482
<i>Pass-Through from Baylor College of Medicine</i>	93.856	794773		9,598	9,598
<i>Pass-Through from Baylor College of Medicine</i>	93.856	R01 AI 3913		15,890	15,890
<i>Pass-Through from Baylor College of Medicine</i>	93.856	R01 HD 34031		(13)	(13)
<i>Pass-Through from Baylor College of Medicine</i>	93.856	R01AI41735		35,732	35,732
<i>Pass-Through from Baylor College of Medicine</i>	93.856	U01 AI 4108		62,659	62,659
<i>Pass-Through from Biomedical Development Corporation</i>	93.856	AR44435		41,804	41,804
<i>Pass-Through from Brigham And Women's Hospital</i>	93.856	02LM010978FN		11,048	11,048
<i>Pass-Through from Incell Corporation</i>	93.856	AI46111		101,292	101,292
<i>Pass-Through from Inotek, Inc.</i>	93.856	R44AI4074902		(125)	(125)
<i>Pass-Through from Marine Biological Laboratory</i>	93.856	5 U01 AI43		108,751	108,751
<i>Pass-Through from Texas A&amp;M Research Foundation</i>	93.856	430391		1,400,301	1,400,301
<i>Pass-Through from Texas A&amp;M Research Foundation</i>	93.856	445101		22,537	22,537

# State of Texas Schedule of Expenditures of Federal Awards

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Cluster Name/Federal Grantor/Program Name/ Pass-through Entity	CFDA	Federal/Pass- through Entity Other Identifying #	Pass-through to Non-state Entities	Expenditures	Total
<b>RESEARCH AND DEVELOPMENT CLUSTER (continued)</b>					
<b>U.S. Department of Health and Human Services (continued)</b>					
Microbiology and Infectious Diseases Research (continued)	93.856				
<i>Pass-Through from Texas A&amp;M Research Foundation</i>	93.856	99-442161	\$	\$ 206	\$ 206
<i>Pass-Through from University of Georgia</i>	93.856	RR374-002/2264727		25,625	25,625
<i>Pass-Through from University of Louisville</i>	93.856	T15AI0755201A1		2,955	2,955
<i>Pass-Through from University of Minnesota</i>	93.856	IU01AI46957		217,082	217,082
<i>Pass-Through from University of New Mexico Health     Sciences Center</i>	93.856	01EMF010993N		14,726	14,726
<i>Pass-Through from University of Minnesota</i>	93.856	IUU01AI46957		30,778	30,778
Pharmacology, Physiology, and Biological Chemistry	93.859		3,918,559	19,475,568	23,394,127
<i>Pass-Through from Harvard Medical School</i>	93.859	UTA98-0352		95,265	95,265
<i>Pass-Through from Texas A&amp;M Research Foundation</i>	93.859	99-430471-487861		713,083	713,083
<i>Pass-Through from University of Cal - San Francisco</i>	93.859	2389sc		77,107	77,107
<i>Pass-Through from University of California</i>	93.859	2172SC		238,402	238,402
<i>Pass-Through from University of North Carolina</i>	93.859	1999 KB 57392		(149)	(149)
<i>Pass-Through from University of North Carolina</i>	93.859	5-30883		79,730	79,730
Genetics and Developmental Biology Research and Research Training	93.862		156,435	11,952,667	12,109,102
<i>Pass-Through from Duke University</i>	93.862	DS658		60,044	60,044
<i>Pass-Through from Medical College of Wisconsin</i>	93.862	5R01 GM56515		92,622	92,622
<i>Pass-Through from Texas A&amp;M Research Foundation</i>	93.862	448041		283,916	283,916
<i>Pass-Through from Texas A&amp;M Research Foundation</i>	93.862	99-440501-484492		821,508	821,508
<i>Pass-Through from University of Chicago</i>	93.862	R01 GM55759		5,485	5,485
<i>Pass-Through from University of Chicago</i>	93.862	R01 GM57721		612	612
<i>Pass-Through from University of Cincinnati</i>	93.862	R01GM45861		46,126	46,126
<i>Pass-Through from University of Oregon</i>	93.862	210901A		36,926	36,926
Population Research	93.864		233,847	6,712,078	6,945,925
<i>Pass-Through from Arizona State University</i>	93.864	00-125		38,718	38,718
<i>Pass-Through from New England Research Institute</i>	93.864	N01HD43200		7,083	7,083
<i>Pass-Through from Penn State University</i>	93.864	1620-UT-JHU-36093		232,131	232,131
<i>Pass-Through from Texas A&amp;M Research Foundation</i>	93.864	99-432452		473	473
		UTA00-162 (SUBCONTRACT			
<i>Pass-Through from University of Michigan</i>	93.864	#F002520)		19,638	19,638
Center for Research for Mothers and Children	93.865		1,126,595	15,646,815	16,773,410
<i>Pass-Through from Baylor College of Medicine</i>	93.865	HD39372		112,120	112,120
<i>Pass-Through from Baylor College of Medicine</i>	93.865	R01 HD 34031		(2,543)	(2,543)
<i>Pass-Through from Baylor College of Medicine</i>	93.865	U01 HD 39372		134,848	134,848
<i>Pass-Through from Case Western Reserve University</i>	93.865	HD36036		44,146	44,146
<i>Pass-Through from Columbia-Presbyterian     Medical Center</i>	93.865	RO1HD3865202		101,183	101,183
<i>Pass-Through from Eastern Virginia Medical School</i>	93.865	P01HD13021		84,941	84,941
<i>Pass-Through from Florida State University</i>	93.865	R01HD30988		15,682	15,682
<i>Pass-Through from Texas A&amp;M Research Foundation</i>	93.865	99-450261-450391		47,437	47,437
<i>Pass-Through from University of Alabama</i>	93.865	5 U10 HD27869-10		97,822	97,822
<i>Pass-Through from University of Chicago</i>	93.865	HD38287		33,860	33,860
<i>Pass-Through from University of Kansas</i>	93.865	FY2000-040		12,862	12,862
<i>Pass-Through from University of Southern California</i>	93.865	HD32632		5,307	5,307
<i>Pass-Through from Yale University</i>	93.865	5P50HD25802		75,254	75,254

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Cluster Name/Federal Grantor/Program Name/ Pass-through Entity	CFDA	Federal/Pass- through Entity Other Identifying #	Pass-through to Non-state Entities	Expenditures	Total
<b>RESEARCH AND DEVELOPMENT CLUSTER (continued)</b>					
<b>U.S. Department of Health and Human Services (continued)</b>					
Aging Research	93.866		\$ 1,288,062	\$ 12,859,464	\$ 14,147,526
<i>Pass-Through from Baylor College of Medicine</i>	93.866	1 P01 NS38660-01		93,608	93,608
<i>Pass-Through from Florida State University</i>	93.866	F63033		5,541	5,541
<i>Pass-Through from Howard University</i>	93.866	630764		5	5
<i>Pass-Through from Texas A&amp;M Research Foundation</i>	93.866	462303		127,371	127,371
<i>Pass-Through from Texas A&amp;M Research Foundation</i>	93.866	99-451601-462303		33,628	33,628
<i>Pass-Through from University of California, San Diego</i>	93.866	PO100455848		133,118	133,118
Vision Research	93.867		475,629	12,299,472	12,775,101
<i>Pass-Through from Columbia University</i>	93.867	2 R01 EY09076-09		27,602	27,602
<i>Pass-Through from John Hopkins University</i>	93.867	200013		31,691	31,691
<i>Pass-Through from Kestrel Corporation</i>	93.867	2R44EY12174-02		39,336	39,336
<i>Pass-Through from Ohio State University     Research Foundation</i>	93.867	737634		16,837	16,837
<i>Pass-Through from Ohio State University     Research Foundation</i>	93.867	PO# 860268		193,163	193,163
<i>Pass-Through from Oregon Health Science University</i>	93.867	2U10EY05874		146,006	146,006
<i>Pass-Through from Retina Foundation of The Saw</i>	93.867	R01 EY05235		12,284	12,284
<i>Pass-Through from Texas A&amp;M Research Foundation</i>	93.867	99-435591		2,728	2,728
<i>Pass-Through from The John Hopkins University</i>	93.867	LSOCA-96195		109,895	109,895
<i>Pass-Through from University of Pennsylvania</i>	93.867	U10 EYE 06266		34,874	34,874
Medical Library Assistance	93.879			180,996	180,996
<i>Pass-Through from Houston Academy of Medicine</i>	93.879	N01LM63525		2,951	2,951
<i>Pass-Through from National Network of Libraries of     Medicine</i>	93.879	NO1LM63525		9,872	9,872
<i>Pass-Through from Rice University</i>	93.879	H133A980073		11,761	11,761
<i>Pass-Through from Rice University</i>	93.879	T15LM07093		20,205	20,205
<i>Pass-Through from Smilax Inc.</i>	93.879	LR43LM06777		1,109	1,109
Minority Access to Research Careers	93.880			292,326	292,326
Grants for Residency Training in General Internal Medicine and/or General Pediatrics	93.884			299,458	299,458
Physician Assistant Training in Primary Care	93.886			138,431	138,431
Resource and Manpower Development in the Environmental Health Sciences	93.894		24,975	2,796,363	2,821,338
<i>Pass-Through from Texas A&amp;M Research Foundation</i>	93.894	440581		1,629	1,629
<i>Pass-Through from Texas A&amp;M Research Foundation</i>	93.894	99-440581		11,077	11,077
<i>Pass-Through from University of Arizona</i>	93.894	M392694		20,898	20,898
<i>Pass-Through from University of Southern California</i>	93.894	5 P30 ES07		6,128	6,128
Grants for Faculty Development in Family Medicine	93.895			116,818	116,818
Predoctoral Training in Primary Care (Family Medicine, General Internal Medicine/General Pediatrics)	93.896			400,144	400,144
Rural Health Outreach and Rural Network Development Program	93.912			28,416	28,416
Grants to States for Operation of offices of Rural Health	93.913			24,541	24,541
Cooperative Agreements for State-Based Comprehensive Breast and Cervical Cancer Early Detection Programs	93.919			35,681	35,681
Ryan White HIV/AIDS Dental Reimbursements	93.924			5,185	5,185
<i>Pass-Through from Ryan White Foundation</i>	93.924	6P01893000		114,644	114,644
Healthy Start Initiative	93.926			3,033	3,033
Special Projects of National Significance	93.928		109,558	262,872	372,430
<i>Pass-Through from Camino De Vida Center for HIV</i>	93.928	1H97HA00186		29,560	29,560
<i>Pass-Through from Dentro De Salud Familia La Fe</i>	93.928	1H97HA00118		62,584	62,584

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<b>RESEARCH AND DEVELOPMENT CLUSTER (continued)</b>					
<b>U.S. Department of Health and Human Services (continued)</b>					
Center for Medical Rehabilitation Research	93.929		\$ 381	\$ 318,268	\$ 318,649
<i>Pass-Through from Baylor College of Medicine</i>	93.929	5R03HD35856		6,596	6,596
Fogarty International Research Collaboration Award	93.934		34,071	89,735	123,806
<i>Pass-Through from Texas A&amp;M Research Foundation</i>	93.934	445231		5,460	5,460
Cooperative Agreements to Support Comprehensive School Health Programs to Prevent the Spread of HIV and Other Important Health Problems	93.938				
<i>Pass-Through from New Jersey City University</i>	93.938	5 R01 AA11808-03		7,994	7,994
HIV Prevention Activities--Non-Governmental Organization Based	93.939				
<i>Pass-Through from Texas A&amp;M Research Foundation</i>	93.939	449981		39,746	39,746
<i>Pass-Through from Texas A&amp;M Research Foundation</i>	93.939	99-449981		654	654
HIV Prevention Activities--Health Department Based	93.940		4,340,631	8,617,520	12,958,151
<i>Pass-Through from City of Houston</i>	93.940	FC38863		102,109	102,109
<i>Pass-Through from Harris County Health Department</i>	93.940	01GEN0161		170,124	170,124
<i>Pass-Through from Harris County Health Department</i>	93.940	01GEN0188		2,685	2,685
<i>Pass-Through from Harris County Health Department</i>	93.940	01GEN0189		130,354	130,354
HIV Demonstration, Research, Public and Professional Education Projects	93.941			178,394	178,394
Research, Treatment and Education Programs on Lyme Disease in the United States	93.942				
<i>Pass-Through from Texas A&amp;M Research Foundation</i>	93.942	446591		126,418	126,418
Block Grants for Prevention and Treatment of Substance Special Minority Initiatives	93.959			4,343	4,343
	93.960		35,734	178,513	214,247
Coal Miners Respiratory Impairment Treatment Clinics and Services	93.965			9,833	9,833
Grants for Geriatric Education Centers	93.969			28,081	28,081
<i>Pass-Through from Baylor College of Medicine</i>	93.969	1 D31 HP70112-01		9,105	9,105
<i>Pass-Through from Baylor College of Medicine</i>	93.969	1D31 HP-70112-01		12,989	12,989
<i>Pass-Through from Baylor College of Medicine</i>	93.969	74-1613878		12,322	12,322
<i>Pass-Through from Baylor College of Medicine</i>	93.969	894724		3,089	3,089
Preventive Health Services--Sexually Transmitted Diseases Research, Demonstrations, and Public Information and Education Grants	93.978		7,157	385,698	392,855
Academic Administrative Units in Primary Care	93.984			112,397	112,397
<i>Pass-Through from Texas A&amp;M Research Foundation</i>	93.984	437441		10,254	10,254
Senior International Fellowships	93.989		10,000	133,687	143,687
Maternal and Child Health Services Block Grant to the States	93.994			393,726	393,726
Total - U.S. Department of Health and Human Services			<u>36,138,207</u>	<u>726,966,934</u>	<u>763,105,141</u>
<b>Corporation for National and Community Service</b>					
AmeriCorps for Community Engagement and Education	94.XXX				
<i>Pass-Through from TX Comm of Volunteerism &amp; Comm</i>	94.XXX	94ASCTX045-2301		1,934	1,934
Learn and Serve America Training and Technical Assistance	94.XXX				
<i>Pass-Through from Natl Youth Leadership Council</i>	94.XXX	UTA99-0365, Amd 3		126,219	126,219
Project Civic Connections Sub-Grant Award	94.XXX				
<i>Pass-Through from Constitutional Rights Fdn</i>	94.XXX	UTA01-195		5,295	5,295
AmeriCorps	94.006			1,410,866	1,410,866
<i>Pass-Through from TX Comm of Volunteerism &amp; Comm</i>	94.006	T59		220,751	220,751
Volunteers in Service to America	94.013			11,483	11,483
Total - Corporation for National and Community Service			<u>—</u>	<u>1,776,548</u>	<u>1,776,548</u>

# State of Texas Schedule of Expenditures of Federal Awards

For the Year Ended August 31, 2001

Cluster Name/Federal Grantor/Program Name/ Pass-through Entity	CFDA	Federal/Pass- through Entity Other Identifying #	Pass-through to Non-state Entities	Expenditures	Total
<b>RESEARCH AND DEVELOPMENT CLUSTER (continued)</b>					
<b>Miscellaneous</b>					
Laboratory Services	N.A.				
<i>Pass-Through from Texas A&amp;M Research Foundation</i>	N.A.	641821	\$	\$ 1,647	\$ 1,647
Laboratory Work Projects	N.A.				
<i>Pass-Through from Texas A&amp;M Research Foundation</i>	N.A.	99-641821		3,595	3,595
Survey and Report on Anomaly Detection R&D	N.A.	3200442001AT		26,037	26,037
The Center for Russian Business Cases	N.A.				
<i>Pass-Through from Texas A&amp;M Research Foundation</i>	N.A.	99-446881		21,084	21,084
Total - Miscellaneous				—	52,363
Total RESEARCH AND DEVELOPMENT CLUSTER				58,680,495	1,149,033,267
<b>STUDENT FINANCIAL ASSISTANCE CLUSTER</b>					
<b>U.S. Department of Education</b>					
Federal Supplemental Educational Opportunity Grants	84.007			22,765,792	22,765,792
Federal Family Education Loans	84.032				
Loans Disbursed	84.032		1,616,301	944,884,586	946,500,887
Interest Subsidy on Student Loans	84.032			2,076,076	2,076,076
Special Allowance on Student Loans	84.032			1,851,124	1,851,124
Federal Work-Study Program	84.033			20,200,858	20,200,858
Federal Perkins Loan Program	84.038			21,724,670	21,724,670
Federal Pell Grant Program	84.063			233,415,976	233,415,976
Federal Direct Loan	84.268			60,301,876	60,301,876
Total - U.S. Department of Education			1,616,301	1,307,220,958	1,308,837,259
<b>U.S. Department of Health and Human Services</b>					
Health Education Assistance Loans	93.108			57,824	57,824
Health Professions Student Loans, Including Primary Care					
Loans/Loans for Disadvantaged Students	93.342			1,967,991	1,967,991
Nursing Student Loans	93.364			336,641	336,641
Scholarships for Students of Exceptional Financial Need	93.820			13,509	13,509
Scholarships for Health Professions Students from					
Disadvantaged Backgrounds	93.925			1,673,201	1,673,201
Total - U.S. Department of Health and Human Services				—	4,049,166
Total STUDENT FINANCIAL ASSISTANCE CLUSTER			1,616,301	1,311,270,124	1,312,886,425
<b>AGING CLUSTER</b>					
<b>U.S. Department of Health and Human Services</b>					
Special Programs for the Aging--Title III, Part B--Grants for					
Supportive Services and Senior Centers	93.044		18,890,766	1,918,209	20,808,975
Special Programs for the Aging--Title III, Part C--Nutrition					
Services	93.045			24,146,120	24,146,120
Total - U.S. Department of Health and Human					
Services				43,036,886	1,918,209
Total AGING CLUSTER				43,036,886	1,918,209
<b>CDBG - ENTITLEMENT SMALL CITIES PROGRAM CLUSTER</b>					
<b>U.S. Department of Housing and Urban Development</b>					
Community Development Block Grants/Entitlement Grants	14.218			107,099	107,099
Total - U.S. Department of Housing and Urban					
Development				—	107,099
Total CDBG - ENTITLEMENT SMALL CITIES PROGRAM CLUSTER				—	107,099

# State of Texas Schedule of Expenditures of Federal Awards

For the Year Ended August 31, 2001

Cluster Name/Federal Grantor/Program Name/ Pass-through Entity	CFDA	Federal/Pass- through Entity Other Identifying #	Pass-through to Non-state Entities	Expenditures	Total
<b>CHILD CARE CLUSTER</b>					
<b>U.S. Department of Health and Human Services</b>					
Child Care and Development Block Grant	93.575		\$ 135,538,672	\$ 42,828,754	\$ 178,367,426
<i>Pass-Through from Southeast Texas Workforce Development Board</i>	93.575	WDB-1042		206,746	206,746
Child Care Mandatory and Matching Funds of the Child Care and Development Fund	93.596		131,782,279	1,506	131,783,785
Total - U.S. Department of Health and Human Services			267,320,951	43,037,006	310,357,957
Total CHILD CARE CLUSTER			267,320,951	43,037,006	310,357,957
<b>CHILD NUTRITION CLUSTER</b>					
<b>U.S. Department of Agriculture</b>					
School Breakfast Program	10.553		179,351,475	2,449,663	181,801,138
National School Lunch Program	10.555		568,534,483	3,808,772	572,343,255
Special Milk Program for Children	10.556		120,175		120,175
Summer Food Service Program for Children	10.559		24,447,004	(2,405,902)	22,041,102
Total - U.S. Department of Agriculture			772,453,137	3,852,533	776,305,670
Total CHILD NUTRITION CLUSTER			772,453,137	3,852,533	776,305,670
<b>DISABILITY INSURANCE/SSI CLUSTER</b>					
<b>Social Security Administration</b>					
Social Security--Disability Insurance	96.001			81,724,579	81,724,579
Total - Social Security Administration				81,724,579	81,724,579
Total DISABILITY INSURANCE/SSI CLUSTER				81,724,579	81,724,579
<b>EMERGENCY FOOD ASSISTANCE CLUSTER</b>					
<b>U.S. Department of Agriculture</b>					
Emergency Food Assistance Program (Administrative)	10.568		4,091,572		4,091,572
Emergency Food Assistance Program (Food Commodities)	10.569		18,282,103	(53,430)	18,228,673
Total - U.S. Department of Agriculture			22,373,675	(53,430)	22,320,245
Total EMERGENCY FOOD ASSISTANCE CLUSTER			22,373,675	(53,430)	22,320,245
<b>EMPLOYMENT SERVICES CLUSTER</b>					
<b>U.S. Department of Labor</b>					
Employment Service	17.207		5,128,382	43,309,069	48,437,451
Disabled Veterans' Outreach Program (DVOP)	17.801			3,808,394	3,808,394
Local Veterans' Employment Representative Program	17.804			3,994,956	3,994,956
Total - U.S. Department of Labor			5,128,382	51,112,419	56,240,801
Total EMPLOYMENT SERVICES CLUSTER			5,128,382	51,112,419	56,240,801
<b>FEDERAL TRANSIT CLUSTER</b>					
<b>U.S. Department of Transportation</b>					
Federal Transit--Capital Investment Grants	20.500		2,935,514		2,935,514
<i>Pass-Through from Texas A&amp;M Research Foundation</i>	20.500	TCRPB-12		5,411	5,411
Federal Transit--Formula Grants	20.507		1,572,571		1,572,571
<i>Pass-Through from City of Lubbock</i>	20.507	TX-90-X491		294,844	294,844
Total - U.S. Department of Transportation			4,508,085	300,255	4,808,340
Total FEDERAL TRANSIT CLUSTER			4,508,085	300,255	4,808,340

# State of Texas Schedule of Expenditures of Federal Awards

For the Year Ended August 31, 2001

Cluster Name/Federal Grantor/Program Name/ Pass-through Entity	CFDA	Federal/Pass- through Entity Other Identifying #	Pass-through to Non-state Entities	Expenditures	Total
<b>FISH AND WILDLIFE CLUSTER</b>					
<b>U.S. Department of the Interior</b>					
Sport Fish Restoration	15.605		\$	\$ 13,045,327	\$ 13,045,327
Wildlife Restoration	15.611			9,531,099	9,531,099
Total - U.S. Department of the Interior				22,576,426	22,576,426
Total FISH AND WILDLIFE CLUSTER				22,576,426	22,576,426
<b>FOOD STAMP CLUSTER</b>					
<b>U.S. Department of Agriculture</b>					
Food Stamps	10.551			1,254,947,890	1,254,947,890
State Administrative Matching Grants for Food Stamp	10.561			134,165,636	137,585,120
Total - U.S. Department of Agriculture				1,389,113,526	1,392,533,010
Total FOOD STAMP CLUSTER				1,389,113,526	1,392,533,010
<b>FOSTER GRANDPARENT/SENIOR COMPANION CLUSTER</b>					
<b>Corporation for National and Community Service</b>					
Foster Grandparent Program	94.011			1,847,152	1,847,152
Total - Corporation for National and Community Service				1,847,152	1,847,152
Total FOSTER GRANDPARENT/SENIOR COMPANION CLUSTER				1,847,152	1,847,152
<b>HIGHWAY PLANNING AND CONSTRUCTION CLUSTER</b>					
<b>U.S. Department of Transportation</b>					
Highway Planning and Construction	20.205			40,016,669	1,768,219,798
Pass-Through from Dowling College - Nat Center	20.205	ITS-9536		340,452	340,452
Pass-Through from Jefferson County Texas	20.205	99-145		49,473	49,473
Pass-Through from Texas A&M Research Foundation	20.205	01-14A		32,479	32,479
Pass-Through from Texas A&M Research Foundation	20.205	146664-1		22,321	22,321
		1698-TAMRF-			
Pass-Through from Texas A&M Research Foundation	20.205	COP-359704		8,891	8,891
Pass-Through from Texas A&M Research Foundation	20.205	2036		31,305	31,305
Pass-Through from Texas A&M Research Foundation	20.205	61-5686A		366	366
Pass-Through from Texas A&M Research Foundation	20.205	99-615		171,164	171,164
Pass-Through from Texas A&M Research Foundation	20.205	BC857		21,451	21,451
Pass-Through from Texas A&M Research Foundation	20.205	DTFH61-00-P-00096		59,924	59,924
Pass-Through from Texas A&M Research Foundation	20.205	DTRS-DOT9903SC-TTI		5,404	5,404
Pass-Through from Texas A&M Research Foundation	20.205	HR10-49		(176)	(176)
Pass-Through from Texas A&M Research Foundation	20.205	HR10-50A		60,334	60,334
Pass-Through from Texas A&M Research Foundation	20.205	HR15-17		62,953	62,953
Pass-Through from Texas A&M Research Foundation	20.205	HR15-18		120,414	120,414
		HR20-24(14)F			
Pass-Through from Texas A&M Research Foundation	20.205	CONTRACT 106		21,870	21,870
Pass-Through from Texas A&M Research Foundation	20.205	HR20-39(2)		30,917	30,917
Pass-Through from Texas A&M Research Foundation	20.205	HR22-14		59	59
Pass-Through from Texas A&M Research Foundation	20.205	HR22-18 CONTRACT 106		32,958	32,958
Pass-Through from Texas A&M Research Foundation	20.205	HR24-15		56,749	56,749
Pass-Through from Texas A&M Research Foundation	20.205	HR3-58		53,292	53,292
Pass-Through from Texas A&M Research Foundation	20.205	HR8-36, TASK6		20,525	20,525
Pass-Through from Texas A&M Research Foundation	20.205	HSR-18		1,146	1,146
		TASK HR4-28			
Pass-Through from Texas A&M Research Foundation	20.205	CONTRACT 106		103,839	103,839

# State of Texas Schedule of Expenditures of Federal Awards

For the Year Ended August 31, 2001

Cluster Name/Federal Grantor/Program Name/ Pass-through Entity	CFDA	Federal/Pass- through Entity Other Identifying #	Pass-through to Non-state Entities	Expenditures	Total
<b>HIGHWAY PLANNING AND CONSTRUCTION CLUSTER (continued)</b>					
<b>U.S. Department of Transportation (continued)</b>					
Highway Planning and Construction (continued)	20.205				
<i>Pass-Through from Texas A&amp;M Research Foundation</i>	20.205	UTA00-461	\$	\$ 29,018	\$ 29,018
Total - U.S. Department of Transportation			40,016,669	1,769,556,926	1,809,573,595
Total HIGHWAY PLANNING AND CONSTRUCTION CLUSTER			40,016,669	1,769,556,926	1,809,573,595
<b>HIGHWAY SAFETY CLUSTER</b>					
<b>U.S. Department of Transportation</b>					
State and Community Highway Safety	20.600		6,895,967	6,465,202	13,361,169
State and Community Highway Safety	20.600				
<i>Pass-Through from Brazos County</i>	20.600	589XXF5013		1,914	1,914
<i>Pass-Through from Texas A&amp;M Research Foundation</i>	20.600	DTNH22-97-H-09044		2,102	2,102
<i>Pass-Through from Texas A&amp;M Research Foundation</i>	20.600	DTNH-22-99-C-05103		8,778	8,778
Alcohol Traffic Safety and Drunk Driving Prevention					
Incentive Grants	20.601		366,797	118,421	485,218
Occupant Protection	20.602		63,262	521,409	584,671
Safety Incentive Grants for Use of Seatbelts	20.604		4,164,125	586,732	4,750,857
Total - U.S. Department of Transportation			11,490,151	7,704,558	19,194,709
Total HIGHWAY SAFETY CLUSTER			11,490,151	7,704,558	19,194,709
<b>HIV CLUSTER</b>					
U.S. Department of Health and Human Services					
HIV Emergency Relief Project Grants	93.914				
<i>Pass-Through from University Hospital</i>	93.914	9909621		316,195	316,195
Total - U.S. Department of Health and Human Services			—	316,195	316,195
Total HIV CLUSTER			—	316,195	316,195
<b>JOB TRAINING PARTNERSHIP ACT CLUSTER</b>					
<b>U.S. Department of Labor</b>					
Employment and Training Assistance - Dislocated Workers	17.246		10,714,542	405,629	11,120,171
<i>Pass-Through from Cameron Works Inc</i>	17.246	98-A-1001		49,239	49,239
Job Training Partnership Act	17.250			231,772	231,772
<i>Pass-Through from Alamo Council of Gov.</i>	17.250	TSTC-01-06		2,000	2,000
<i>Pass-Through from Alamo Workforce Development, Inc.</i>	17.250	38197		24,947	24,947
<i>Pass-Through from Alamo Workforce Development, Inc</i>	17.250	AWDC-1		210	210
<i>Pass-Through from Birdsville Ind School District</i>	17.250	BISD00STC04		18	18
<i>Pass-Through from Cameron Works, Inc.</i>	17.250	01-C-03200-1		75,495	75,495
<i>Pass-Through from Cameron Works Inc</i>	17.250	99-30-0009		12,792	12,792
<i>Pass-Through from Cameron Works Inc.</i>	17.250	98-20-007		241,245	241,245
<i>Pass-Through from Cameron Works Inc.</i>	17.250	99-10-0008		12,531	12,531
<i>Pass-Through from Central Texas Manpower</i>	17.250	PY9720097212		84,649	84,649
<i>Pass-Through from Concho Valley Council of Gov</i>	17.250	IIA/IIIII07		36,723	36,723
<i>Pass-Through from Deep East Texas Council of Gov</i>	17.250	PY97-OR97		18,193	18,193
<i>Pass-Through from Golden Crescent PIC</i>	17.250	S98-VC-A-03		6	6
<i>Pass-Through from Heart of Texas Council of Gov</i>	17.250	C9-10840		5,669	5,669
<i>Pass-Through from Heart of Texas Council of Gov.</i>	17.250	c8-10840		148,585	148,585
<i>Pass-Through from Lake Jackson Council of Gov.</i>	17.250	J47		874	874

# State of Texas Schedule of Expenditures of Federal Awards

For the Year Ended August 31, 2001

Cluster Name/Federal Grantor/Program Name/ Pass-through Entity	CFDA	Federal/Pass- through Entity Other Identifying #	Pass-through to Non-state Entities	Expenditures	Total
<b>JOB TRAINING PARTNERSHIP ACT CLUSTER (continued)</b>					
<b>U.S. Department of Labor (continued)</b>					
Job Training Partnership Act (continued)	17.250				
<i>Pass-Through from Lower Rio Grande Valley         Development Board</i>	17.250	Title IIB#00 YS 34	\$	\$ 78,979	\$ 78,979
<i>Pass-Through from Lower Rio Grande Valley Workforce         Dev Brd Inc</i>	17.250	TSTC-LRGVWDB-001		185,929	185,929
<i>Pass-Through from Metropolitan Education Training Inc</i>	17.250	MET-001		60	60
<i>Pass-Through from Mid Rio Grande PIC</i>	17.250	CRT060198-00		781	781
<i>Pass-Through from Nortex Regional Planning Com</i>	17.250	TSTC-PY98-99		21,168	21,168
<i>Pass-Through from Nother Centex Council of Gov</i>	17.250	J073102		17,936	17,936
<i>Pass-Through from Nra Levis</i>	17.250	TSTC-CW-001		101,753	101,753
<i>Pass-Through from Odem-Edroy Independent School         District</i>	17.250	R287A990221		(15)	(15)
<i>Pass-Through from Permian Basin Regional Plan</i>	17.250	98-04		134,686	134,686
<i>Pass-Through from Ser Jobs In Progress of S. Texas</i>	17.250	TSTC-SER-001		53,415	53,415
<i>Pass-Through from South Plains Assn of Gov</i>	17.250	98-TSTC-Swe		3,691	3,691
<i>Pass-Through from South Plains Community Action         Agency, Inc</i>	17.250	PY2001WIA		14,046	14,046
<i>Pass-Through from U.s. Department of Labor</i>	17.250	74-2328157		331,378	331,378
<i>Pass-Through from Upper Rio Grande Workforce         Development Board</i>	17.250	PY00-YTH- TDTC-01-05		42,872	42,872
<i>Pass-Through from Upper Rio Grande PIC</i>	17.250			29,607	29,607
<i>Pass-Through from Waco Workforce</i>	17.250	0525902898-3		2,314	2,314
<i>Pass-Through from West Centex Council of Gov</i>	17.250	TSTC-01-07		120,602	120,602
<i>Pass-Through from Workforce Network Inc</i>	17.250	TSTC-WN-001		11,245	11,245
<i>Pass-Through from Workforce Network Inc.</i>	17.250	TSTC-WN-002		2,329	2,329
Total - U.S. Department of Labor				10,714,542	13,217,895
Total JOB TRAINING PARTNERSHIP ACT CLUSTER				10,714,542	13,217,895
<b>MEDICAID CLUSTER</b>					
<b>U.S. Department of Health and Human Services</b>					
State Medicaid Fraud Control Units	93.775			2,109,123	2,109,123
State Survey and Certification of Health Care Providers and Suppliers	93.777			55,294,783	55,294,783
Medical Assistance Program	93.778			6,263,377	7,330,207,191
Total - U.S. Department of Health and Human Services				6,263,377	7,381,347,720
Total MEDICAID CLUSTER				6,263,377	7,387,611,097
<b>PUBLIC WORKS/ECONOMIC DEV CLUSTER</b>					
<b>U.S. Department of Commerce</b>					
Grants for Public Works and Economic Development	11.300			84,069	84,069
Economic Adjustment Assistance	11.307			104,371	104,371
				1,232,314	1,232,314
Total - U.S. Department of Commerce				—	1,420,754
Total PUBLIC WORKS/ECONOMIC DEV CLUSTER				—	1,420,754
<b>SECTION 8 TENANT-BASED CLUSTER</b>					
<b>U.S. Department of Housing and Urban Development</b>					
Section 8 Housing Choice Voucher	14.871			8,987,790	8,987,790
Total - U.S. Department of Housing and Urban Development				—	8,987,790
Total SECTION 8 TENANT-BASED CLUSTER				—	8,987,790

# State of Texas Schedule of Expenditures of Federal Awards

For the Year Ended August 31, 2001

Cluster Name/Federal Grantor/Program Name/ Pass-through Entity	CFDA	Federal/Pass- through Entity Other Identifying #	Pass-through to Non-state Entities	Expenditures	Total
<b>SPECIAL EDUCATION CLUSTER</b>					
<b>U.S. Department of Education</b>					
Special Education--Grants to State	84.027		\$ 337,481,197	\$ 13,641,481	\$ 351,122,678
<i>Pass-Through from ED Service Center, Region XI</i>	84.027	13570883		248,794	248,794
<i>Pass-Through from Elephant Productions</i>	84.027	66000000000000	45,000		45,000
<i>Pass-Through from Region Xi ESC Ft. Worth</i>	84.027	DEC SER		330,728	330,728
<i>Pass-Through from Region Xi ESC Ft. Worth</i>	84.027	VIP		230,379	230,379
<i>Pass-Through from Special Education-grants To States</i>	84.027	16602212006		2,167	2,167
Special Education--Preschool Grants	84.173		21,487,120	37,500	21,524,620
Total - U.S. Department of Education			359,013,317	14,491,049	373,504,366
Total SPECIAL EDUCATION CLUSTER			359,013,317	14,491,049	373,504,366
<b>TRIO CLUSTER</b>					
<b>U.S. Department of Education</b>					
TRIO--Student Support Services	84.042			2,743,056	2,743,056
TRIO--Talent Search	84.044			2,579,958	2,579,958
TRIO--Upward Bound	84.047			8,188,860	8,188,860
TRIO--Educational Opportunity Centers	84.066			667,254	667,254
McNair Post-Baccalaureate Achievement	84.217			2,129,389	2,129,389
Total - U.S. Department of Education			—	16,308,517	16,308,517
Total TRIO CLUSTER			—	16,308,517	16,308,517
<b>TOTAL EXPENDITURES OF FEDERAL AWARDS</b>			\$ 4,167,750,320	\$ 16,966,702,451	\$ 21,134,452,771



## STATE OF TEXAS

### Notes to Schedule of Expenditures of Federal Awards

For the Year Ended August 31, 2001

#### (1) Summary of Significant Accounting Policies

##### (a) Reporting Entity

The Schedule of Expenditures of Federal Awards (the "Schedule") includes the activity of all federal award programs administered by the State of Texas, except for three component units, for the fiscal year ended August 31, 2001. Those component units, Texas Guaranteed Student Loan Corporation, Texas A&M Research Foundation, and Texas State Affordable Housing Corporation, are subject to separate audits in compliance with Office of Management Budget (OMB) Circular A-133, *Audits of States, Local Governments and Non-Profit Organizations*. The federal transactions for these three entities are excluded from the Schedule.

Federal award programs include expenditures, pass-throughs to non-state agencies (i.e., payments to subrecipients), non-monetary assistance, and loan programs.

##### (b) Basis of Presentation

The Schedule presents total federal awards expended for each individual federal program in accordance with OMB Circular A-133, *Audits of States, Local Governments and Non-Profit Organizations*. Federal award program titles are reported as presented in the Catalog of Federal Domestic Assistance (CFDA). Federal award program titles not presented in the Catalog are identified by Federal Agency number followed by (.xxx).

##### (c) Basis of Accounting

The expenditures for each of the federal financial assistance programs are presented in the Schedule on a modified accrual basis. The modified accrual basis of accounting incorporates an estimation approach to determine the amount of expenditures incurred if not yet billed by a vendor. Thus, those Federal programs presenting negative amounts on the Schedule are the result of prior year estimates being overstated and/or reimbursements due back to the grantor.

##### (d) Matching Costs

Matching costs, the nonfederal share of certain program costs, are not included in the Schedule, except for the State's share of unemployment insurance (See Note 4).

#### (2) Relationship to Federal Financial Reports

The regulations and guidelines governing the preparation of Federal financial reports vary by Federal agency and among programs administered by the same agency. Accordingly, the amounts reported in the Federal financial reports do not necessarily agree with the amounts reported in the accompanying Schedule which is prepared on the basis explained in Note 1(c).

**STATE OF TEXAS**

Notes to Schedule of Expenditures of Federal Awards

For the Year Ended August 31, 2001

**(3) Relationship to Revenues in the General Purpose Financial Statements**

The following is a reconciliation of total Federal awards expended as reported in the Schedule to Federal revenues reported in the general purpose financial statements.

**Federal Revenues**

All Governmental Fund Types and Expendable Trust Funds per Combined Statement of Revenues, Expenditures, and Changes in Fund Balance	\$ 17,383,801,335
All Proprietary Fund Types and Similar Trust Funds per Combined Statement of Revenues, Expenditures, and Changes in Fund Equity	67,359,797

**Federal Grants and Contracts**

Per Combined State of Revenues, Expenditures, and Other Changes - All College and University Current Funds	<u>1,361,700,268</u>
Total Federal Revenue Per Financial Statements	18,812,861,400

**Reconciling Items**

College and University Funds Federal Receipts pass-through to non-state entities *	40,572,085
Non-Cash Federal Commodities/Vaccines/ Surplus Property/Other (Note 6)	202,608,714
Various Loans Processed (Note 5)	1,089,728,391
State UI Funds (Note 4)	1,259,683,606
Other **	(175,738,734)
Agencies not included in the Schedule of Expenditures of Federal Awards (Note 1(a))	<u>(95,262,691)</u>
Expenditures Per Schedule of Expenditures of Federal Awards	<u>\$ 21,134,452,771</u>

**STATE OF TEXAS**

Notes to Schedule of Expenditures of Federal Awards

For the Year Ended August 31, 2001

\* Non-state entities administer certain federal programs on behalf of the state colleges and universities and include these revenues in their individual financial statements. These funds are not recognized as revenue or expenditures in the accompanying financial statements of the State of Texas.

\*\* This amount includes \$177,806,011 of cash rebates received on sales of infant formula to participants in the Special Supplemental Food Program for Women, Infants, and Children (WIC) program (CFDA 10.557). (See Note 7)

**(4) Unemployment Insurance Funds**

State unemployment tax revenues and the government and non-profit contributions in lieu of State taxes (State UI funds) must be deposited into the Unemployment Trust Fund in the U.S. Treasury. Use of these funds is restricted to pay benefits under the federally approved State Unemployment Law. State UI funds as well as federal funds are reported in Schedule of Expenditures of Federal Awards under CFDA #17.225. The state portion in the amount of \$1,259,683,606 is a reconciling item in the reconciliation of the Schedule to revenues in the General Purpose Financial Statements (See Note 3).

**(5) Federally Funded Loan Programs**

The balances of loans as of August 31, 2000 for which the federal government imposes continuing compliance requirements are as follows. The current year disbursements are included in the Schedule:

<b>CFDA Number</b>	<b>Program Name</b>	<b>Amount</b>
84.038	Federal Perkins Loan Program (Perkins)	\$ 100,188,099
93.342	Health Professions Student Loans (HPSL)	16,329,274
93.364	Nursing Student Loans	1,662,123
93.108	Health Education Assistance Loans (HEAL)	54,012
	Total	<u>\$ 118,233,507</u>

The State also participates in the Federal Direct Loan Program (Direct) and the Federal Family Education Loans Program (FFELP) which includes the Federal Stafford Loan Program and the Federal Parents' Loans for Undergraduate Students Program. The programs do not require the Universities to disburse the funds. The proceeds are disbursed by the federal government for direct loans and by lending institutions for FFELP. Loans guarantees are issued by the Texas Guaranteed Student Loan Corporation and other for-profit and not-for-profit guarantee agencies. The federal government reinsures these guarantee agencies. New loans were made to students enrolled at State Universities for approximately \$60 million for Direct and approximately \$945 million for FFELP during the year ended August 31, 2001, accordingly, these amounts are included in the Schedule.

**STATE OF TEXAS**

Notes to Schedule of Expenditures of Federal Awards

For the Year Ended August 31, 2001

The Texas Higher Education Coordinating Board (THECB) participated in the Federal Family Education Loan Program (CFDA 84.032), the Health Professions Student Loan Program (CFDA 93.342), and the Health Education Assistance Loan Program (CFDA 93.108) as a servicer of the loans. During the year ended August 31, 2001, THECB received approximately \$4 million in interest subsidy and special allowance payments (SAP) which is included in the Schedule. For the year ended August 31, 2001, THECB originated loans of approximately \$6 million to students of Texas Universities. As of August 31, 2001, THECB services approximately \$185 million of FFELP loans and \$65 million of HEAL loans.

The Water Development Board receives capitalization grants to create and maintain Drinking Water State Revolving Funds (DWSRF) programs (CFDA 66.468). States can use capitalization grant funds to establish a revolving loan fund to assist public water systems finance the costs of infrastructure needed to achieve or maintain compliance with Safe Drinking Water Act requirements and protect the public health objectives of the Act. The DWSRF can be used to provide loans at interest rates lower than the market and other types of financial assistance for qualified communities, local agencies, and private entities. The DWSRF offers a net long-term interest lending rate of 1.2 percent below the rate the borrower would receive in the open market at the time of closing. The maximum repayment period for most DWSRF loans is 20 years from the completion of construction. Capitalization grants received for DWSRF for the year ended August 31, 2001 were approximately \$25 million and are included in the Schedule.

The Water Development Board receives capitalization grants to provide a long-term source of State financing for construction of wastewater treatment facilities and implementation of other water quality management activities. The Clean Water State Revolving Fund (CWSRF) program (CFDA 66.458) provides loans at interest rates lower than what can be obtained through commercial markets. The CWSRF offers a net long-term interest lending rate of 1.2 percent below the rate the borrower would receive in the open market at the time of closing. The maximum repayment period for most CWSRF loans is 20 years from completion of construction. Capitalization grants received for CWSRF for the year ended August 31, 2001 were approximately \$40 million and are included in the Schedule.

**(6) Non-Monetary Assistance**

The State is the recipient of federal financial assistance programs that do not result in cash receipts or disbursements and are therefore not recorded in the State's general purpose financial statements. Awards received by the State which include cash and non-cash amounts are included in the Schedule as follows:

<b>CFDA Number</b>	<b>Program Title</b>	<b>Grant Awards (in thousands)</b>
10.550	Food Distribution	\$ 64,875
10.565	Commodity Supplemental Food Program	379
10.569	Emergency Food Assistance Programs	18,229
39.003	Donation of Federal Surplus Personal Property	22,503
93.268	Immunization Grants	107,200
	Total	\$ 213,186

**STATE OF TEXAS**

Notes to Schedule of Expenditures of Federal Awards

For the Year Ended August 31, 2001

**(7) Rebates from the Special Supplemental Food Program for Women, Infants, and Children (WIC)**

During fiscal year 2001, the State received cash rebates from infant formula manufacturers in the amount of approximately \$178 million on sales of formula to participants in the WIC program (CFDA 10.557) which are netted against total expenditures included in the Schedule. Rebate contracts with infant formula manufacturers are authorized by 7 CFR 246.16(m) as a cost containment measure. Rebates represent a reduction of expenditures previously incurred for WIC food benefit costs. Applying the rebates received to such costs enabled the State to extend program benefits to 3,836,792 more persons than could have been served this fiscal year in the absence of the rebate contract.

**(8) Depository Libraries for Government Publications**

Several State agencies and universities participate as depository libraries in the Government Printing Office's Depository Libraries for Government Publication program, CFDA 40.001. The State agencies and universities are the legal custodian of government publications, which remain the property of the federal government. The publications are not assigned value by the Government Printing Office.

**(9) Petroleum Violation Escrow Funds**

Petroleum Violation Escrow Funds received by the State as restitution relative to litigation involving violations of federal price controls are not federal funds and therefore are not included in the Schedule. However, the uses of Petroleum Violations Escrow funds are subject to OMB Circular A-133. Those Petroleum Violations Escrow funds subject to OMB Circular A-133 were used in the following programs in fiscal year 2001:

<u>CFDA Number</u>	<u>Program Name</u>	<u>Amount</u>
81.041	State Energy Conservation	\$ 13,315,530
	Nongrant Projects:	
	Stripper Well Program	13,652,628
	Refined Products Programs	234,200
	Diamond Shamrock Program	108,889
	Total	<u><u>\$ 27,311,248</u></u>

**Schedule of Findings and Questioned Costs**

Federal Portion of  
Statewide Single Audit Report

For the Year Ended August 31, 2001

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Section 1:

## Summary of Auditors' Results

### Financial Statements

Issued under separate cover. See State Auditor's Office report entitled the Financial Portion of the 2001 Statewide Single Audit Report dated February 21, 2002.

### Federal Awards

- |    |  |     |
|----|--|-----|
| 1. | Internal Control over major programs:  |     |
|    | a. Material weakness(es) identified?   | No  |
|    | b. Reportable condition(s) identified<br>not considered to be material weaknesses? | Yes |

**Major Programs with Reportable Conditions:**

- Block Grants for Prevention and Treatment of Substance Abuse
- Title I
- Vocational Education– Basic Grants to States
- Safe and Drug-Free Schools and Communities – State Grant
- Technology Literacy Challenge Fund Grants
- Class Size Reduction
- Special Supplemental Nutrition Program for WIC
- Student Financial Aid Cluster
- Loan Servicing of Federal Family Education Loans (FFELP)
- Highway Planning and Construction Cluster
- Public Assistance Grants (FEMA)
- Temporary Assistance for Needy Families
- Foster Care – Title IV – E
- Adoption Assistance
- Social Services Block Grant

- |    |  |           |
|----|--|-----------|
| 2. | Type of auditor's report issued on compliance<br>for major programs? | Qualified |
|----|--|-----------|

**Scope limitation:**

- HIV Care Formula Grant
- Research and Development Cluster
- Social Services Block Grant
- Student Financial Aid Cluster

**Qualification:**

- State Criminal Alien Assistance Program
- Maternal and Child Health Services Block Grants to the States
- Medicaid Cluster
- HOME Investment Partnerships Program
- Individual Family Grants (FEMA)
- Research and Development Cluster
- Immunization Grants
- HIV Care Formula Grant



**No Qualification:**

Food Distribution  
 Child and Adult Care Food Program  
 Community Development Block Grant/State's Program  
 Byrne Formula Grant Program  
 Unemployment Insurance  
 Trade Adjustment Assistance – Workers  
 Welfare to Work  
 Workforce Investment Act  
 Capitalization Grants for State Revolving Funds  
 Capitalization Grants for Drinking Water State Revolving Fund  
 Migrant Education - Basic State Grant Program  
 Rehabilitation Services – Vocational Rehabilitation Grants to States  
 Goals 2000 – State and Local Education Systematic Improvement Grants  
 Child Support Enforcement  
 Low-Income Home Energy Assistance  
 State Children's Insurance Program  
 Aging Cluster  
 Child Care Cluster  
 Child Nutrition Cluster  
 Disability Insurance/SSI Cluster  
 Employment Services Cluster  
 Food Stamp Cluster  
 Special Education Cluster  
 Block Grants for Prevention and Treatment of Substance Abuse  
 Title I  
 Vocational Education– Basic Grants to States  
 Safe and Drug-Free Schools and Communities – State Grant  
 Technology Literacy Challenge Fund Grants  
 Class Size Reduction  
 Special Supplemental Nutrition Program for WIC  
 Student Financial Aid Cluster  
 Loan Servicing of Federal Family Education Loans (FFELP)  
 Highway Planning and Construction Cluster  
 Public Assistance Grants (FEMA)  
 Temporary Assistance for Needy Families  
 Foster Care – Title IV – E  
 Adoption Assistance  
 Social Services Block Grant

3.	Any audit findings disclosed that are required to be reported in accordance with <i>OMB Circular A-133</i> , Section 510(a)?	Yes
4.	Dollar threshold used to distinguish between Type A and Type B programs:	\$32,118,306
5.	Auditee qualified as low-risk auditee?	No

6. Identification of major programs:

CFDA Number	Name of Federal Program or Cluster
10.550	Food Distribution
10.557	Special Supplemental Nutrition Program for Women, Infants, and Children (WIC)
10.558	Child and Adult Care Food Program
14.228	Community Development Block Grant/State's Program
14.239	HOME Investment Partnerships Program
16.579	Byrne Formula Grant Program
16.606	State Criminal Alien Assistance Program
17.225	Unemployment Insurance
17.245	Trade Adjustment Assistance – Workers
17.253	Welfare to Work
17.255	Workforce Investment Act
66.458	Capitalization Grants for State Revolving Funds
66.468	Capitalization Grants for Drinking Water State Revolving Fund
83.543	Individual and Family Grants (FEMA)
83.544	Public Assistance (FEMA)
84.010	Title I
84.011	Migrant Education - Basic State Grant Program
84.048	Vocational Education – Basic Grants to States
84.126	Rehabilitation Services – Vocational Rehabilitation Grants to States
84.186	Safe and Drug-Free Schools and Communities – State Grants
84.276	Goals 2000 – State and Local Education Systematic Improvement Grants
84.318	Technology Literacy Challenge Fund Grants
84.340	Class Size Reduction
93.268	Immunization Grants
93.558	Temporary Assistance for Needy Families
93.563	Child Support Enforcement
93.568	Low-Income Home Energy Assistance
93.658	Foster Care – Title IV-E
93.659	Adoption Assistance
93.667	Social Services Block Grant
93.767	State Children's Insurance Program
93.917	HIV Care Formula Grants
93.959	Block Grants for Prevention and Treatment of Substance Abuse
93.994	Maternal and Child Health Services Block Grant to the States
Cluster	Aging Cluster
Cluster	Child Care Cluster
Cluster	Child Nutrition Cluster
Cluster	Disability Insurance/SSI Cluster
Cluster	Employment Services Cluster
Cluster	Food Stamp Cluster
Cluster	Highway Planning and Construction
Cluster	Medicaid
Cluster	Research and Development
Cluster	Special Education Cluster
Cluster	Student Financial Assistance, including loan servicing of Federal Family Education Loans

Section 2:

**Financial Statement Findings**

Issued under separate cover. See State Auditor's Office report entitled the Financial Portion of the 2001 Statewide Single Audit Report dated February 21, 2002.

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Section 3:

**Federal Award Findings and Questioned Costs**

This section identifies reportable conditions, material weaknesses, and instances of noncompliance, including questioned costs, as required to be reported by *Office of Management and Budget Circular A-133*, Section .510(a). This section is organized by state agency or university.

**Commission on Alcohol and Drug Abuse**

Reference No. 02-01

**Level of Effort - Maintenance of Effort**

(Prior Audit Issue – 01-555-31)

**CFDA 93.959 - Block Grants for Prevention and Treatment of Substance Abuse**

**Type of Finding – Control**

The Commission on Alcohol and Drug Abuse (TCADA) did not have controls in place to collect the information necessary to identify expenditures for substance abuse treatment for pregnant women, women with dependent children, and women seeking the custody of their children (priority population). At August 31, 2001, we determined that TCADA is in compliance with these level of effort requirements.

Questioned Cost: \$ 0.00  
U.S. Department of Health  
and Human Services

The *Block Grant for Prevention and Treatment of Substance Abuse* program requires that the State maintain expenditures at not less than the calculated fiscal year 1994 base amount for Substance Abuse treatment services for pregnant women and women with dependent children. The fiscal year 1994 base amount was reported in the State’s fiscal year 1995 application (42 USC 300x-27; 45 CFR section 96.124(c)).

Recommendation:

TCADA should develop controls and a formal process to capture and identify priority population expenditures to satisfy the level of effort requirements for women’s services.

Management’s Response and Corrective Action Plan:

*A contractual change effective FY 2002 requires contractors to provide sufficient client information to identify expenditures related to the priority population. In addition, the transition over FY 2002-2003 to the Behavioral Health Integrated Provider System (BHIPS) will allow TCADA, to a greatly enhanced degree and within confidentiality constraints, to track on a client by client basis so as to be able to link expenditures to specific clients served.*

Implementation Date: January 1, 2002

Responsible Person: Dianne Casey

## Department of Criminal Justice

Reference No. 02-47

### Reporting

#### CFDA 16.606 – State Criminal Alien Assistance Program Type of Finding – Compliance and Control

The Department of Criminal Justice (DCJ) administers the State Criminal Alien Assistance Program (SCAAP) which provides Federal assistance to States and units of local government incurring costs of incarcerating illegal aliens convicted of one felony or two misdemeanor offenses and to expedite the transfer of custody for certain deportable aliens.

Questioned Cost: Unknown

U.S. Department of Justice

The application for annual SCAAP funding requires the submission of several types of data including items such as total foreign-born inmate population, total number of full-time, part-time and contracted correctional officers, total bed count for the State prison system, total inmate days for all inmates, and total salary amounts for correctional officers. The data entered on the application is utilized by the United States Department of Justice (DOJ) in formulas to determine the annual SCAAP award amount.

DCJ notified us that they had identified an error in the total salaries for correctional laundry personnel contained on the application for annual SCAAP funding. The audit procedures we performed on the application for the fiscal year 2001 SCAAP funds verified this error in the amount listed for total salaries for correctional laundry personnel. The amount of salaries reported in the application was overstated by approximately \$90 million. Total salaries should have been approximately \$782 million instead of \$872 million. This error in the application data caused the calculated SCAAP award amount for fiscal year 2001 to be incorrect as erroneous data was utilized in the DOJ award formulas. Since the calculation of the federal award amounts are done by the DCJ, the effect of this incorrect data is unknown.

#### Recommendation:

Program management should ensure that policies and procedures are in place and functioning to affect proper reviews of application data prior to submission of the annual application to the DOJ.

#### Management's Response and Corrective Action Plan:

*A computation error in correctional officers' salaries that overstated FY 2000 data by approximately 11% (\$90 million) was discovered by the department while compiling data for the FY 2001 SCAAP application. This occurred about two or three months prior to commencement of this audit. Remedial action was initiated immediately and included notification of the Criminal Justice Division of the Governor's Office. Enhanced data collection procedures and review processes were developed July 2001 to ensure the accuracy of future SCAAP application submissions.*

*Implementation Date: July 2001*

*Responsible Person: Brad Livingston*



**Education Agency**

Reference No. 02-03

**Cash Management – Pass Through to Subrecipients**

**CFDA 84.010 – Title I**

**CFDA 84.048 – Vocational Education**

**CFDA 84.186 – Safe and Drug-Free**

**CFDA 84.318 – Technology Literacy**

**CFDA 84.340 – Class Size Reduction**

**Type of Finding – Compliance and Control**

During fiscal year 2001, the Education Agency (TEA) Grant Interface (TGIF)/Integrated Statewide Administrative System (ISAS) interface contained a logic error, which erroneously posted all vouchers to ISAS requiring manual approval prior to the voucher actually being approved by TEA program personnel. The unapproved, posted vouchers in ISAS were accumulated into daily drawdown reports based on the posting date. As a result, cash was drawn from the Federal government for vouchers prior to approval. However payments were not made to subrecipients until the voucher was approved in ISAS and interfaced to the Uniform Statewide Accounting System (USAS). Therefore, a timing difference between posting/draw date in ISAS, approval date by program personnel, and payment date to subrecipients resulted. Cash was then drawn from U.S. Department of Education and not disbursed within TEA's normal clearance pattern (under pre-issuance) of one to two operating days to their subrecipients.

Questioned Cost: \$ 29,600.00

U.S. Department of Education

Of 6,854 vouchers requiring manual approval during the 2001 fiscal year, 604 vouchers had timing differences, ranging from four to 35 days, where the posting date was prior to the payment date (i.e., remittance date to the subrecipient) in USAS. The posting date is generally within one day of the cash drawdown date. Using an interest rate of 4.84% (the 2001 average of the 13-week Treasury Bill equivalent yield as provided by U.S. Department of Treasury – Financial Management Service), interest of approximately \$29,600 should be remitted to the U.S. Department of Education. The amount was calculated based on the amount of the vouchers in question, the number of days between the draw date and payment date to the subrecipient, and the interest rate noted above.

Recommendation:

During August 2001, TEA implemented a new TGIF/ISAS interface process, which corrected the interface logic error. Nevertheless, management should investigate the cause of the original interface error and review change management procedures to ensure adequate system testing is performed for all changes in the production environment. In addition, TEA should review the extent to which the integrated system testing was performed or reviewed by the business process subject matter expert.

Management's Response and Corrective Action Plan:

*TEA agrees that change management procedures and integrated system testing are essential for all changes made in the production environment. This particular oversight that allowed unapproved vouchers to post and thus be picked up on the Federal drawdown report was caught during the rewrite of all the subsystem interfaces that were put into production in August 2001. We will remit approximately \$29,600 to the U.S. Department of Education to compensate for the additional interest earnings that were generated when the funds were drawn too soon.*

Implementation Date: January 2002

Responsible Person: Shirley Beaulieu

Reference No. 02-04

**Cash Management****CFDA 84.048 – Vocational Education****Type of Finding – Compliance**

Cash in excess of expenses incurred for the Vocational Education program was drawn during most of fiscal year 2001. An error in the budget appropriation process on October 27, 2000 resulted in inaccurate expense information being utilized to determine the amount of cash to draw from U.S. Department of Education. Therefore, cash was drawn from U.S. Department of Education and not disbursed within TEA's normal clearance pattern (under preissuance) of one to two operating days to their subrecipients.

Questioned Cost: \$ 28,100.00

U.S. Department of Education

TEA established a grant unit during fiscal year 2001 whose responsibilities included reconciliations of all grants between the Federal systems and Integrated Statewide Administrative System (ISAS). The grant unit did not note the situation during their monthly reconciliation process of the cash accounts. However, since the grant unit spent a portion of the fiscal year resolving prior year outstanding reconciling items, the money was not returned to U.S. Department of Education before interest accrued on the over funding.

Approximately \$27 million was drawn in excess of expenses incurred on October 27, 2000. The full amount has been returned to U.S. Department of Education as of August 31, 2001. However, it was returned in various increments over the nine-month period. Using an interest rate of 4.84% (the 2001 average of the 13-week Treasury Bill equivalent yield as provided by the U.S. Department of Treasury – Financial Management Service), interest of approximately \$28,100 should be remitted to the U.S. Department of Education.

Recommendation:

TEA's grant unit should continue to perform their monthly reconciliations. The reconciliation process should include timely performance, timely resolution of reconciling items, and timely review by a second individual. As of August 31, 2001, TEA was current in all of their reconciliations.

Management's Response and Corrective Action Plan:

*The daily draw is being electronically uploaded from information within the ISAS expenditure information in the general ledger. This has increased the accuracy of the drawdown procedure markedly.*

*In addition, a log of borrowing and amounts not drawn is being kept on the shared drive and is reviewed regularly by the manager of Cash Management. This will help to ensure that revenues and expenditures stay in balance.*

*The grant unit is now completing grant reconciliations on a monthly/quarterly basis depending upon the amount of activity in the grant. These reconciliations are reviewed at three levels when corrections are needed and always by the manager of Grant Reporting.*

*We will remit approximately \$28,100 to the U.S. Dept. of Education.*

*Implementation Date: January 2002*

*Responsible Person: Shirley Beaulieu*

**Department of Health**

Reference No. 02-11

**Subrecipient Monitoring**

(Prior Audit Issue – 01-555-36)

**CFDA 93.268 – Childhood Immunization**

**Type of Finding – Compliance and Control**

Grantees are required by Federal regulation to have adequate internal controls in place to ensure subrecipients are in compliance with the requirements of the grant. The Department of Health (TDH) did not have adequate controls over subrecipient monitoring during FY 2001. Our review of the subrecipient monitoring process disclosed the following:

Questioned Cost: \$ 0.00

U.S. Department of Health  
and Human Services

- 10 of 40 or 25% of the subrecipient monitoring files tested had incomplete data and information relating to the grant. Such as whether a subrecipient was maintaining records on date, patient lot number, and manufacturing of vaccines administered.
- 8 of 40 or 20% of the providers reviewed for eligibility had not been monitored to ensure that the providers were documenting the eligibility of the participants.
- 4 of 40 or 10% of the subrecipient monitoring files tested did not properly document the corrective actions to be taken when issues were noted during the on-site review.
- 3 of 40 or 8% of the subrecipient monitoring files tested had outdated provider profile forms.
- 5 of 26 or 19% of the local agencies reviewed did not have documentation or evidence of a quality assurance review in the past 2 years.
- 20 of 26 or 77% of local agencies did not have a financial review completed in the past 3 years.

Recommendation:

We recommend TDH implement a formal review process to ensure subrecipients are monitored according to the requirements of the grant, documentation contained in the monitoring files is complete and accurate and that any findings are corrected on a timely basis. We also recommend TDH provide training on completing the monitoring process, taking any necessary corrective actions at the time, and properly documenting such corrective action. In addition, we recommend the implementation of procedures to ensure that the tools are completed as intended. Finally, we recommend that local agencies be monitored (quality assurance and financial) at least once every two years to ensure that Federal funds are used for authorized purposes.

Management's Response and Corrective Action Plan:

*Site monitoring visits by Immunization Division staff began in 2000, therefore, reports reviewed by the KPMG audit team represented results from the first year of site visit implementation. Conversely, all questions were answered and information was complete on 75% of site visit review forms. Furthermore, 90% of forms on subrecipients monitored properly documented the corrective action taken when problems were noted.*

*On September 5, 2001, the Immunization Division began formal training of regional staff to conduct site visits. Once trained, regional staff can then pass these skills and knowledge on to local health department personnel who conduct site visits. Staff was instructed on proper completion of the site visit-monitoring tool. The importance of documenting the observations and corrective action taken in the comments field will be incorporated and emphasized in all future trainings. This information will also be communicated via an official memorandum that will be mailed by November 14, 2001. In this memo, regional staff will be asked to review all site monitoring visit reports, to ensure that all applicable questions have been answered. Reviewers are also now required to sign and date the site monitoring visit reports, to document that the reports have been reviewed.*

*Steps to improve obtaining current provider enrollment forms were implemented on July 3, 2001 by changing the flow of paperwork into the Central Office, Immunization Division. The impact of this change will hold regional staff accountable to ensure that every clinic site which receives the VFC vaccine will have a current enrollment form on file. The annual re-enrollment process began October 1, 2001, and will continue through January 31, 2002. Additional steps are being implemented in anticipation of the January 31 deadline to ensure that all clinics have complied with this requirement. Clinics that have not submitted a current enrollment form will be inactivated, and a list of inactivated clinic sites will be sent to regional and local health departments to ensure that inactivated clinics do not receive further shipments of vaccine.*

#### Grants Management Response

*Subrecipients that receive grant funding under the Immunization Program are all local governmental entities. The majority of these entities have a moderate monitoring priority level assigned, which is based primarily on the total grant funding received by the entity. Even though a moderate monitoring priority level is assigned, these entities are considered to be a lower risk when compared to a non-profit entity receiving similar funding. In addition to the Immunization Program, the Grants Management Division (GMD) conducts financial reviews of subrecipients that receive funding from many other TDH Programs. The number of reviews conducted for all Programs has been limited due to a lack of staff. GMD addressed this issue by requesting a position classification audit that resulted in a classification upgrade. As a result of the upgrade, the positions responsible for conducting on-site reviews became fully staffed as of October 2001 (compared to 50% staffing in October 2000).*

*Implementation Date: November 2001*

*Responsible Person: Linda S. Linville*

Reference No. 02-15

**Subrecipient Monitoring**

(Prior Audit Issue – 01-555-36)

**CFDA 93.917 – HIV Care Formula Grant**

**Type of Finding – Compliance and Control**

TDH is required by Federal regulations to monitor their subrecipients to ensure that they are in compliance with Federal rules and regulations, as well as the provisions of the contracts or grant agreements. Our review of the subrecipient monitoring financial review process disclosed the following:

Questioned Cost: \$ 0.00  
U.S. Department of Health  
and Human Services

- 20 out of 29, or 69%, of the subrecipient monitoring files tested, did not have a financial review in the past five years.
- 2 out of 29, or 7%, of the subrecipient monitoring files tested, did not have a program compliance review as of October 2001. These program compliance reviews were due by April 2001. The two subrecipients without a program compliance review were the United Medical Centers – Eagle Pass and the Panhandle AIDS Support Organization.

Recommendation:

We recommend TDH conduct financial reviews in order to ascertain that subrecipients are in compliance with Federal regulations. Additionally, we recommend that TDH monitor for program compliance according to policy, especially subrecipients that are determined to be priority level I.

Management’s Response and Corrective Action Plan:

*The Grants Management Division (GMD) conducts on-site financial review of subrecipients that receive grants from other TDH Programs in addition to grants awarded under the HIV Program. The number of reviews conducted for all Programs has been limited due to a lack of staff. GMD addressed this issue by requesting a position classification audit that resulted in a classification upgrade. As a result of this upgrade, the positions responsible for conducting on-site reviews became fully staffed in October 2001 (compared to 50% staffing in October 2000).*

Implementation Date: April 2002

Responsible Person: Dr. Celine Hanson

Reference No. 02-16

**Earmarking**

**CFDA 93.917 – HIV Care Formula Grant**

**Type of Finding – Compliance and Control**

According to the grant requirements, for the purpose of providing health and support services to women, infants, and children with the HIV disease, including treatment measures to prevent the perinatal transmission of the disease, a State shall use no less than, the percentage of Title II funds in a fiscal year constituted by the ratio of the population in the State of women, infants and children with AIDS, to the general population in the State of individuals with AIDS. This information is provided to the State by HRSA in the annual application guidance.

Questioned Cost: \$ 0.00  
U.S. Department of Health  
and Human Services

In addition, the aggregate of expenditures for administrative expenses by entities and subcontractors (including consortia), funded directly by the State from grant funds, may not exceed ten percent of the total allocation of grant funds to the State (without regard to whether particular entities spend more than ten percent for such purposes). Our review of the earmarking process disclosed the following:

- The amount of funds spent to benefit women, infants and children with HIV are not tracked, therefore, we were unable to test this requirement.
- The amount of funds subcontractors spend on administrative expenses is not tracked, therefore, we were unable to test this requirement.

Recommendation:

We recommend that the Program track the amount of funds expended to benefit women, infants, and children with HIV, as well as the amount of funds expended by subcontractors on administrative costs.

Management's Response and Corrective Action Plan:

*The legislative intent, as the Bureau understands it, is not to earmark funds, but to assure that women, infants, children and youth (WICY) (assumed to be especially vulnerable populations) are adequately served by Ryan White funds. Texas' client level tracking system for HIV services, the Uniform Reporting System (URS), has the capacity to accept information about the reimbursement provided for units of service delivered to Ryan White eligible clients. Efforts are underway to modify the existing software, but this capacity to track expenditure at the client level will remain. These data aggregate up, to allow examination of expenditures by category of client. Capacity, however, is not translated into practice automatically. Currently, very few providers take advantage of the opportunity to enter cost/reimbursement into the URS. One of the primary barriers to this is the practice of reimbursement of providers for expenditures rather than units of service delivered. Texas, in partnership with the Title I grantees in the State, has begun to develop a plan for implementation of reimbursement for units of service rather than categorical expenditure. Texas has funded a Technical Assistance Center to provide training and other assistance to administrative agencies and providers on calculating unit costs, and how this reimbursement method effects procurement and planning activities. Moving the focus to calculation and reimbursement by unit of service will give the providers the data needed to enter expenditures at the client level, which can then be used to track expenditures at the local and Statewide levels. It is anticipated that this will be phased in across the State in 2002-2003, as both the revised URS system, and the practice of reimbursement for units of service, are implemented. Until that time, the practice of estimating expenditure based on client utilization data, which has been accepted by the Health Resources Services Administration, as a suitable method of estimating expenditures on women, infants, children and youth will continue. For this analysis, we assume that the proportion of clients in these groups is a marker for expenditure, as cost data are not uniformly available due to the factors discussed above. The proportion of active clients reported through URS who fit into the WICY criteria (denominator of total clients) was compared against the profile of living AIDS cases, and in recent years, living HIV/AIDS cases, to examine compliance with the CARE Act requirement.*

*Implementation Date: End of fiscal year 2004*

*Responsible Person: Dr. Celine Hanson*

Reference No. 02-17

**Procurement, Suspension, and Debarment**

(Prior Audit Issue – 01-555-38)

**CFDA 93.917 – HIV Care Formula Grant**

**Type of Finding – Control**

Federal regulations prohibit Federal grantees from contracting with parties that have been suspended or debarred. In addition, Federal grantees are required to maintain internal controls over compliance with the suspension and debarment process. Our review of the suspension and debarment process disclosed the following weaknesses in controls:

Questioned Cost:	\$ 0.00
U.S. Department of Health and Human Services	

- None of the 21 vendor files selected for testwork included a suspension and debarment certificate.
- TDH’s purchasing policy does not require the verification that vendors were not suspended or debarred.
- Two of the 21 vendor files tested included contract amounts greater than \$100,000, and were therefore subject to the suspension and debarment requirements. One of the two vendors did not have a suspension and debarment certificate.

None of the vendors tested were suspended or debarred.

Recommendation:

We recommend that supervision and review procedures in the procurement process be changed to include the verification that each vendor has provided the required suspension and debarment certificates.

Management’s Response and Corrective Action Plan:

*Management has requested, and received, a certification regarding suspension and debarment from Cardinal Distribution.*

*Implementation Date: November 2001*

*Responsible Person: Dr. Celine Hanson*

Reference No. 02-18

**Procurement, Suspension, and Debarment**

**CFDA 93.994 – MCH Block Grant**

**Type of Finding – Control**

Federal regulations prohibit Federal grantees from contracting with parties that have been suspended or debarred. In addition, Federal grantees are required to maintain internal controls over compliance with the suspension and debarment process. Our review of the suspension and debarment process disclosed the following weaknesses in controls:

Questioned Cost:	\$ 0.00
U.S. Department of Health and Human Services	

- 5 of 30 (17%) contract files selected for testwork were greater than \$100,000, and therefore, subject to the suspension and debarment requirements. Of the five, three did not have the required suspension and debarment certificate, or, TDH had not verified that vendors were not suspended or debarred.
- TDH does not have a requirement that vendors submit suspension and debarment certificates.

None of the vendors tested were suspended or debarred.

Recommendation:

We recommend that supervision and review procedures in the procurement process be changed to include a process that will verify each vendor has provided the required suspension and debarment certificates.

Management's Response and Corrective Action Plan:

*CPS has created bid templates that will be used on all bids over \$25,000 that have the necessary suspension and debarment certificate language in the standard terms and conditions. This will ensure that all bids and contracts requiring this debarment language will have it included, and will also ensure that TDH will not be doing business with vendors that have been debarred or suspended.*

*Implementation Date: September 2002*

*Responsible Person: Debra Wanser*

Reference No. 02-19

**Subrecipient Monitoring**

**CFDA 93.994 – MCH Block Grant**

**Type of Finding – Control and Compliance**

In accordance with Federal regulations, grantees are required to have a signed contract with their subrecipients. In addition, TDH must monitor their subrecipients, as necessary, to ensure that Federal awards are used for authorized purposes in compliance with Federal rules and regulations, as well as the provisions of the contracts or grant agreements. Grantees are also required to obtain audit reports from subrecipients who expend more than \$300,000 in Federal awards. Our review of the subrecipient monitoring financial review process disclosed the following weaknesses in controls:

Questioned Cost:	\$ 0.00
U.S. Department of Health and Human Services	

- 3 of 30 (10%) of the subrecipients selected for testwork, Grants Management could not locate the MCH contract with the subrecipient. We were later provided with the contracts.
- 17 of 30 (57%) of the subrecipients did not have a financial review in at least five years. The financial review determines whether the subrecipient is in compliance with the Federal requirements of the grant.
- 2 of 8 (25%) of the subrecipients with expenditures greater than \$300,000 requiring an audit report did not have an audit report on file for the past year.

Recommendation:

We recommend TDH conduct financial reviews according to procedures in order to ascertain that subrecipients are in compliance with Federal regulations. Additionally, we recommend that TDH obtain the necessary and file the required audit reports.



Management's Response and Corrective Action Plan:

The Grants Management Division (GMD) conducts on-site financial review of subrecipients that receive funding from other TDH Programs in addition to MCH subrecipients. The number of reviews conducted for all Programs has been limited due to a lack of staff. GMD addressed this issue by requesting a position classification audit that resulted in a classification upgrade. As a result of this upgrade, the positions responsible for conducting on-site reviews became fully staffed in October 2001 (compared to 50% staffing in October 2000).

The Internal Audit Division is responsible for monitoring the submission of audit reports by subrecipients. The two instances of non-compliance cited were the result of a monitoring procedure oversight. This matter has been addressed and procedures are in place to assure that subrecipients comply with audit report submission requirements

Implementation Date: October 2001

Responsible Person: Debra Wanser

Reference No. 02-20

**Eligibility**

**CFDA 10.557 – Special Supplemental Nutrition Program for WIC**

**Type of Finding – Compliance**

TDH is required to monitor the following activities, to ensure that participants receiving WIC benefits are eligible:

- Procedures are established to prevent the same individual from receiving duplicate benefits through participating at more than one local agency.
- Materials showing the maximum income limits according to family size, applicable to pregnant women, infants, and children under age 5, are provided to each local agency.
- Income guidelines, definitions, and procedures to be used in determining an applicant's income eligibility for the program are established and provided to local agencies.
- The applicant has met the State's residency requirements.
- The participant has met the nutritional risk criteria.

Questioned Cost:	\$ 0.00
U.S. Department of Agriculture	

WIC has monitors that conduct site visits of each clinic at least every two years. The monitoring activities include verifying, through review of records and procedures performed, the eligibility of participants. Our review of the eligibility process disclosed the following:

- 3 of 50, or 6%, of the local agencies reviewed for eligibility, had not been monitored in the past two years. The three local agencies were the Denton County Health Department, the Smith County Public Health District, and the Tarrant County Health Department.

Recommendation:

We recommend TDH monitor all local agencies every two years to ensure eligibility of participants.

Management's Response and Corrective Action Plan:

Three local agencies did not receive monitoring with the required two-year window. The three WIC subrecipients (local agencies) are scheduled to receive Quality Assurance monitoring visits from November 27 – December 13. These three agencies were participating in a pilot program, and initially were delayed in the monitoring schedule.

*However, they were not to be completely skipped in the required every two year monitoring process. As miscommunication as to what the monitoring expectations were, is the most likely cause of this oversight. The fact that all agencies are to be monitored every two years without exception has been reinforced.*

*Implementation Date: Fall 2003*

*Responsible Person: Debbie Brookshire*

Reference No. 02-21

### **Eligibility - Participant**

#### **CFDA 10.557 – Special Supplemental Nutrition Program for WIC Type of Finding – Control**

TDH, as part of its monitoring activity, is required to provide reasonable assurance that only eligible individuals receive assistance. Control activities should include verification of the accuracy of information used in the eligibility determinations. Our review of the internal controls surrounding the eligibility process disclosed the following weaknesses in controls:

Questioned Cost:	\$ 0.00
U.S. Department of Agriculture	

- TDH does not verify that participants are eligible based on documentation of income, maintained in the participant's files. Information on the form completed by the clinic is relied upon.
- It came to TDH's attention that a local agency supervisor was certifying friends to receive WIC benefits who did not meet the income requirements. TDH corrected the situation.

#### Recommendation:

We recommend TDH require that local agencies maintain income verification documents.

#### Management's Response and Corrective Action Plan:

*The Bureau of Nutrition Services Plans to adopt a policy in fiscal year 2002 requiring local offices to maintain copies of the client's eligibility documents.*

*Implementation Date: Fiscal Year 2002*

*Responsible Person: Debbie Brookshire*

Reference No. 02-22

### **Procurement, Suspension, and Debarment**

#### **CFDA 10.557 – Special Supplemental Nutrition Program for WIC Type of Finding – Control**

Federal regulations prohibit Federal grantees from contracting with parties that have been suspended or debarred. In addition, Federal grantees are required to maintain internal controls over compliance with the suspension and debarment process. Our review of the suspension and debarment process disclosed the following weaknesses in controls:

Questioned Cost:	\$ 0.00
U.S. Department of Agriculture	

- 28 of 30 (93%) contract files selected for testwork, were missing the required vendor suspension and debarment certificate.
- TDH does not have a procedure to request suspension and debarment certificates.

None of the vendors tested were suspended or debarred.

Recommendation:

We recommend that supervision and review procedures in the procurement process be changed to include a process that will verify each vendor has provided the required suspension and debarment certificates.

Management's Response and Corrective Action Plan:

*Standard contracts within the Department of Health do require vendors to verify that they have not been suspended or debarred. However, that process was not in place for all purchases made by the Department. In addition, WIC grocer contracts do not contain suspension and debarment certification language – appropriate language will be added for the next contracting period.*

*Implementation Date: Fiscal Year 2002*

*Responsible Person: Debbie Brookshire*

Reference No. 02-13

**Special Tests and Provisions - Managed Care Waiver**

**Medicaid Cluster**

**Type of Finding - Control**

The Bureau of Managed Care Customer Service lacks formal procedures to provide for supervisory or peer review of beneficiary telephone complaints. Additionally of the 13 telephone complaint files tested, 2 did not include complete documentation in accordance with the agencies' policies and procedures. The agency may fail to properly address beneficiary complaints in accordance with the Managed Care waiver.

Questioned Cost: \$ 0.00 U.S. Department of Health and Human Services
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TDH's Managed Care waiver requires that a system have been implemented to handle beneficiary complaints of not receiving necessary care.

Recommendation:

TDH should improve controls over the completeness of beneficiary complaint files. Controls that would accomplish this objective include reviews by a supervisor or peer. The reviewer should either initial the file or complete a checklist showing that all items are included in the file.

Management's Response and Corrective Action Plan:

*This function has been transferred to the HHSC Medicaid/CHIP Division, Client/Member Resolution Section (CRS). CRS staff are in the process of developing written procedures for Member Complaints and will include a supervisor/peer review process in those procedures.*

*Implementation Date: March 2002*

*Responsible Person: Linda Wertz*

Reference No. 02-12

**Special Tests and Provisions - Inpatient Hospital and Long-Term Care Facility Audits****Medicaid Cluster****Type of Finding - Compliance**

The responsibility for desk reviews of cost reports of certain inpatient hospitals has been contracted to the National Heritage Insurance Company (NHIC). The contract with TDH, requires that these desk reviews are completed within six months of receipt. Of the 20 desk reviews tested, we noted that 4 were not completed within six months of receipt. During fiscal year 2001, NHIC was unable to complete the desk reviews within the prescribed amount of time due to data errors in the Medicaid Paid Claims Log (Medlog). NHIC communicated this non-compliance to TDH and a corrective action plan was established and implemented by July 3, 2001.

Questioned Cost:     \$ 0.00
U.S. Department of Health and Human Services

The State Medicaid agency pays for inpatient hospital services and long-term care facility services through the use of rates that are reasonable and adequate to meet the costs that must be incurred by efficiently and economically operated providers. The State Medicaid agency must provide for the filing of uniform cost reports for each participating provider. These cost reports are used to establish payment rates. The State Medicaid agency must provide for the periodic audits of financial and statistical records of participating providers (42 CFR section 447.253).

Recommendation:

To detect errors in Medlog information in a timely manner, TDH should perform analytical procedures on selected providers Medlog reports.

Management's Response and Corrective Action Plan:

*The corrective action established earlier will continue.*

*Implementation Date: July 3, 2001*

*Responsible Person: Linda Wertz*

Reference No. 02-53

**Special Tests and Provisions - ADP Risk Analysis and Security System Review of Claims Management System (CMS) and Legacy System****Medicaid Cluster****Type of Finding – Compliance and Control**

The responsibility for claims administration has been contracted to the National Heritage Insurance Company (NHIC). As the claims administrator, NHIC is responsible for the development and maintenance of the Texas Medicaid Management Information System (TMMIS). We noted that a performance audit was performed by Deloitte Consulting for the period of June 2000 through February 2001, however the performance audit did not include a review of physical and data security operating procedures, and personnel practices, which are minimum areas required by Federal requirements. We also noted that the Claims Management System (CMS) did not have a periodic risk analysis.

Questioned Cost:     \$ 0.00
U.S. Department of Health and Human Services

According to 45 CFR 95.621(H)(iii), state agencies must establish and maintain a program for conducting periodic risk analyses to ensure that appropriate, cost effective safeguards are incorporated into new and existing systems. State agencies must perform risk analyses whenever significant system changes occur. Additionally, 45 CFR 95.621(3) requires that state agencies shall review the ADP system security of installations involved in the administration of HHS programs on a biennial basis. At a minimum, the reviews shall include an evaluation of physical and data security operating procedures, and personnel practices.

Recommendation:

We recommend that TDH comply with federal regulations and perform a periodic (minimum biennial) ADP security system review of the systems that support the Medicaid program. TDH should also perform risk analyses whenever significant system changes occur. At a minimum, the reviews shall include an evaluation of physical and data security operating procedures, and personnel practices. TDH should also maintain reports on its biennial ADP system security reviews, together with pertinent supporting documentation, for onsite reviews.

Management's Response and Corrective Action Plan:

*From July 1999 through September 1999, TDH Internal Audit conducted an ADP risk analysis and system security review of installations involved in the administration of the Medicaid program. A report including the results of this review was issued in February 2000. The evaluation of physical and data security operating procedures and personnel practices indicated a low risk that appropriate safeguards were not in place. We concur that it is now time for another review of this type to be conducted.*

*Implementation Date: April 2002*

*Responsible Person: Linda Wertz*

## Higher Education Coordinating Board

Reference No. 02-43

### Special Tests and Provisions - Student Status Changes

#### CFDA 84.032 – Loan Servicing of Federal Family Education Loans (FFELP)

##### Type of Finding – Control

Texas Guaranteed Student Loan Corporation (TGSLC) communicates student status changes to the Texas Higher Education Coordinating Board (THECB) on a weekly basis. THECB downloads the electronic file weekly for processing. Processing includes reviewing each student and determining if the TGSLC information is more current than THECB records. Occasionally schools or students will contact THECB directly with information. Once an update is determined to be necessary, staff at THECB manually input the change. THECB management procedures do not include any supervisor review, reperformance, record counts, etc., which could be considered controls over the timely processing of student status changes.

Questioned Cost:	\$ 0.00
U.S. Department of Education	

The federal regulations require that once THECB is notified of a student status change, they must use the data to make proper and timely adjustments to each loan. For purposes of this requirement, “timely” means adjustments are made in time to satisfy the time requirements outlined in 34 CFR 682.209 for converting and beginning collections of loans.

Our audit procedures included a review of 40 student status changes. Two were not correctly updated at THECB. One student was noted as being in repayment status per THECB, but TGSLC indicates the student remains in school. THECB did not clarify the information. No questioned costs are involved as the student continued to pay the loan even while in school. A second student was noted as deferred status per TGSLC but repayment status per THECB. THECB did not clarify the student’s status, kept the student in repayment status, and the student continued to pay on the loan so there are no questioned costs.

##### Recommendation:

THECB is lacking sufficient controls to monitor the processing of student status changes. Some student status changes are not being worked timely and/or documentation is not being maintained to indicate that THECB information is accurate. THECB should implement effective controls to ensure all student status changes are worked timely and that proper documentation is maintained.

##### Management’s Response and Corrective Action Plan:

*A system of Supervisor review has been established for the student status change process. The CAM report once received in our shop is divided into three parts. The pages titled “Identifier Data Change” and “Address/Phone Change” are put together and delivered to the Manager of Collections for processing. The pages titled “Enrollment Status Data” are each delivered to a Loan Services Program Technician II and to the Administrative Specialist for Account Services. The Loan Services Program Technician II processes the exit information. The Program Technician II will look for accounts with the codes “G” (for graduated), “L” (for less than half time enrollment) and “W” (for withdrawn). Any accounts that have these codes are brought up on our mainframe system and all account types are notated on the CAM Report. The report is then submitted to Data Entry staff to key the exits from the report. The report is returned to the Program Technician II and filed. The Administrative Specialist for Account Services looks for accounts with the codes “H” (for half time), “F” (for full time).*

*Each of these accounts is reviewed for any possible updates. If we have the same information on our system, "same info" is written beside that account on the report. If our system has more current information, "new" is written beside that account on the report. If a deferment is granted based on information from the report, "deferment" is written beside that account on the report. If an existing deferment on our system is replaced by a different deferment, "replace" is written beside that account on the report. If an account requires us to contact the school for verification, "verify" is written beside that account. In the past any accounts needing verification were set aside for follow up after the remainder of the report was worked. This procedure has been changed. Any accounts requiring verification will be worked as they are encountered on the report. These will no longer be set aside. Once verification has been obtained, whatever action is taken will be recorded on the report. Once an entire report has been reviewed for all half time and full time enrollment the report will be given to the Compliance Officer who will review a random sample to ensure all needed updates have been made. The Compliance Officer will initial and date beside each account in the sample. Any deficiencies identified during this review will be given to The Administrative Specialist for follow up. We believe these new procedures will afford us adequate controls and efficiencies.*

*Implementation Date: These procedures have been implemented as of January 31, 2002*

*Responsible Person: Katherine Robinson*

Reference No. 02-44

**Special Tests and Provisions - Interest Benefit and Special Allowance Payments**

**CFDA 84.032 – Loan Servicing of Federal Family Education Loans (FFELP)**

**Type of Finding – Compliance and Control**

Prior period adjustments (PPA) are required on loans for origination fees, special allowance payments (SAP), and interest benefits when a day specific event occurs which constitutes a violation of Federal regulations. Our audit procedures included a sample of 30 students with PPAs plus a sample of 8 students in the cure process. Four of the 38 students were in-school status and required an interest benefit adjustment. Three of the 4 students were not adjusted for interest benefits. The one student adjusted for interest benefits was processed through a rejected claim correction process that is separate from the PPA process. The interest benefit adjustment amount for these 3 students was determined to be \$1,375.15. In addition, THECB has quantified 159 borrowers from the period through December 31, 2001 who may also need interest benefit adjustments totaling \$39,083.11.

Questioned Cost: \$64,656.97 U.S. Department of Education
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In addition, 2 of 8 students in cure status and 9 of the 30 students with PPAs were not adjusted for SAP of \$716.06. THECB reviewed all loans in cure status with PPAs for the period up through December 31, 2001, and noted 197 borrowers requiring SAP adjustments for \$25,279.45.

Furthermore, of the 8 students subjected to cure procedures, 2 had been cured yet the SAP billing had not been resumed. THECB quantified these 2 loans to have \$58.01 of SAP to be billed and 27 borrowers for the period through December 31, 2001 who also need SAP billing adjustments of \$1,738.79.

Recommendation:

THECB is lacking sufficient controls to monitor the processing of adjusting student records for interest benefit, SAP, and/or origination fee amounts when a day specific event occurs. THECB should implement effective controls to ensure all adjustments are made timely.

Management's Response and Corrective Action Plan:

*THECB is in the process of reviewing all loans in a cure status and with PPA needed for interest benefits as well as SAP. In addition we are reviewing all loans having a claim payment with penalties assessed for due diligence violations. Once this research is completed we will be able to quantify any needed adjustments.*

*We are currently reviewing our procedures, with an eye toward automating the capturing of the violation date and subsequent cure dates. We are eliminating some of the manual steps, in order to streamline the needed data input and minimize errors. Once all the needed research for this project has been completed, we will be able to identify any controls that need to be added to the process.*

*We plan to add a management review of the violation and cure dates entered into the database. To make sure all the loans are adjusted, Information Resources will make check displays from the adjustment process to ensure that the number of adjusted loans equals the number submitted for that quarter. The job control language (JCL) for this process (job name qsaph – quarterly special allowance process history) will be retained in folders for that quarter.*

*Implementation Date: The adjustments identified in this finding will be made on the next Department of Education Form 799 for the quarter ending March 31, 2002. Any new procedures/controls will also be implemented by the quarter ending March 31, 2002*

*Responsible Person: Katherine Robinson*

Reference No. 02-45

**Special Tests and Provisions - Review of Quarterly Lender Reports**

**CFDA 84.032 – Loan Servicing of Federal Family Education Loans (FFELP)**

**Type of Finding – Control**

THECB prepares the 799 quarterly lender servicer reports by running various mainframe programs to gather and/or calculate the information each quarter. The March 2001 report was noted to have incorrect prior period adjustment information during our test work. During the March 2001 quarter, the information needed to populate the prior period adjustment (PPA) section of the 799 reports was sorted in an incorrect file format. As a result, the PPAs were not correctly presented on the report filed with Department of Education. THECB has determined the PPA amount to be \$4,679.76. In addition, we noted the September 2000 report had also been filed with incorrect PPA information due to a processing error. THECB discovered this discrepancy in May of 2001 and made the needed corrections on the June 2001 filing.

Questioned Cost: \$ 4,679.76 U.S. Department of Education
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In addition, 2 of 8 students in cure status and 9 of the 30 students with PPAs were not adjusted for SAP. THECB is in the process of reviewing all loans in cure status and with PPAs to quantify any needed adjustments.

Furthermore, of the 8 students subjected to cure procedures, 2 had been cured yet the SAP billing had not been resumed. THECB is in the process of reviewing all loans in a cure status, and quantifying and completing any necessary adjustments.

Recommendation:

THECB should examine their existing procedures regarding review of the quarterly reports prior to filing. Additional reasonableness analysis could prevent reports from being filed with incorrect information.

Management's Response and Corrective Action Plan:

*A failure occurred in the file sorting process with the data used in the prior period adjustments. To avoid this error in the future, the file sorting process has been modified so that it is completely automated in the mainframe computer run related to prior period adjustments, instead of being handled as a manual input sort procedure. We believe this new procedure will address this issue.*



*Implementation Date:* This new procedure will be effective for the next Department of Education Form 799 for the quarter ending March 31, 2002.

*Responsible Person:* Katherine Robinson

Reference No. 02-46

**Special Tests and Provisions - Application of Interest Period Begin Dates**

**CFDA 84.032 – Loan Servicing of Federal Family Education Loans (FFELP)**

**Type of Finding – Control and Compliance**

Interest subsidy calculations have specific start dates based on the type of loan being serviced. THECB utilizes their mainframe computer system to calculate interest benefits. However the following regulation is not programmed into the mainframe and thus is not being calculated for subsidized loans. For subsidized loans disbursed by check, on or before the first day of the enrollment period, the lender may begin billing for interest benefits on the later of 10 days before the first day of the enrollment period or 3 days after the disbursement date of the check.

Questioned Cost: \$ 14,676.44 U.S. Department of Education
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Our audit procedures included a review of 30 FFELP loans. We noted one loan for which the start date of interest was not accurate based on the above rule. The billing started on July 27, 2000 and it should have been August 6, 2000. Thus 10 days of interest was incorrectly billed to the U.S. Department of Education, or \$8.83. In addition, THECB determined that approximately 3,220 disbursements were affected by this calculation for the period from July 1993 through January 2002. THECB determined \$14,676.44 would need to be adjusted on their next U.S. Department of Education Form 799.

Recommendation:

THECB should establish controls to ensure that all regulations are properly coded into the mainframe.

Management’s Response and Corrective Action Plan:

*A programming change has been requested that will accurately reflect the regulations by which lenders may bill the U.S. Department of Education for interest benefits. THECB has established controls that will ensure that all future regulatory changes are reproduced in our programming. We are notified through several automatic list serves of updates to the Common Manual. These list serves originate from Texas Guaranteed Student Loan Corporation, the National Association of Student Financial Aid Administrators, and the Texas Association of Student Financial Aid Administrators, among others. The Compliance Officer, as well as several other members of the management team monitor these list serves on a regular/daily basis. Updates identified during this monitoring process requiring programming changes will be discussed among the management team, followed by a written request to Information Resources. THECB has an automated system called “TRAX” in place to track the progress of requests made to Information Resources. Through this process of monitoring the list serves and following information resources changes through TRAX, we believe we have established adequate controls to address this issue.*

*Implementation Date:* The \$14,676.44 will be adjusted on the next U.S. Department of Education Form 799 for the quarter ending March 31, 2002. The changes to the programming will be made by the quarter ending March 31, 2002

*Responsible Person:* Katherine Robinson

## Department of Housing and Community Affairs

Reference No. 02-05

### Subrecipient Monitoring – Allowable Costs/Activities

(Prior Audit Issue – 01-555-24, 00-555-42, 99-555-22)

### CFDA 14.239 – HOME Investment Partnerships Program

#### Type of Finding – Compliance and Control

The HOME Investment Partnerships Program (24 CFR Part 92) specifies eligible project costs in section 92.206 through 92.209. Included in section 92.206(d) are “related soft costs” for expenses such as professional services, financing costs, audit fees and environmental reviews. The Department of Housing and Community Affairs’ (TDHCA) properly allows its subrecipients to incur such costs as part of their HOME program.

Questioned Cost: \$ 29,408.00

U.S. Department of Housing  
and Urban Development

Office of Management and Budget (OMB) Circular A-87 is the Federal government document that specifies the criteria for allowability and documentation of costs charges to Federal award programs. OMB Circular A-87 requires that costs claimed against Federally supported programs be supported by documentation validating both the service or material and the amount charged.

The audit procedures performed indicate that there is a lack of documentation to support soft costs incurred by subrecipients. For five of the 40 items tested there were inadequately documented soft costs, and the costs claimed for reimbursement totaled exactly 12% of the project budget. The related known questioned costs total \$29,400. In one other item tested, the supporting documentation amount was less than the requested amount by \$8. As a result of our testwork, the total known questioned costs is \$29,408. Interviews with the Department’s HOME program management indicated that the Department did not require supporting documentation for “related soft costs” during fiscal year 2001. Since no documentation was maintained, the estimated questioned cost is \$2,314,574. This amount is 12% of total owner occupied expenditures for FY 2001 ( $\$15,874,974 \times 12\% = \$1,904,997$ ) plus 10% of total homebuyer assistance expenditures for FY 2001 ( $\$4,095,769 \times 10\% = \$409,577$ ).

#### Recommendation:

HOME program management must ensure that the documentation required by OMB Circular A-87 is received and reviewed in support of “related soft costs” before drawdown is allowed as part of the ongoing subrecipient monitoring.

#### Management’s Response and Corrective Action Plan:

*HOME program management agrees with the finding that soft costs were not adequately documented. The new HOME Program Manual, to be implemented with the 2001 HOME awards in the Spring of 2002, will impose line item maximums for each type of eligible soft cost. The Administrators will be required to submit support documentation as well as the source document, if applicable, before the HOME staff approves the draw request. The procedures are being implemented as ongoing subrecipient monitoring efforts.*

Implementation Date: Spring 2002

Responsible Person: David Gaines

Reference No. 02-06

**Subrecipient Monitoring**

(Prior Audit Issue – 01-555-24, 00-555-42, 99-555-22)

**CFDA 14.239 – HOME Investment Partnerships Program**

**Type of Finding – Control**

TDHCA does not have adequate internal controls in place over monitoring the subrecipients of the *HOME Investment Partnership Program* (HOME). Under HOME program regulations, each participating State is responsible for distributing HOME funds throughout the State according to the State's assessment of the geographical distribution of housing need within the State. A State may carry out its own HOME Program without active participation of units of general local government or may distribute HOME funds to units of general local government to carry out HOME Programs in which both the State and all or some of the units of general local government perform specified program functions. A State that uses subrecipients to perform program functions shall ensure that the subrecipients use HOME funds in accordance with applicable laws and requirements. The State is to conduct reviews and audits of its subrecipients as may be necessary or appropriate to determine whether the subrecipient has committed and expended the HOME funds, as required by 24 CFR section 92.500, and has met HOME Program requirements particularly as they relate to eligible activities, income targeting, affordability, and matching contribution requirement (24 CFR section 92.201(b)).

Questioned Cost:	\$ 0.00
U.S. Department of Housing and Urban Development	

Several weaknesses in the subrecipient monitoring process were noted during the audit:

- TDHCA’s policy for Davis Bacon documentation to be on file before a construction drawdown can be processed has not been fully implemented and documentation is provided prior to the construction drawdown on an inconsistent basis.
- Subsequent to the monitoring process being transferred to the Compliance Division, the program coordinators discontinued the use of the monitoring checklists for their on-site visits. The program staff now uses inconsistent forms of documentation.
- Contractor Suspension and Debarment verification is not consistently documented and draws are granted before documentation is in place.
- Technical Assistance visits are not consistently documented and documentation used is not formally designed to ensure that all compliance requirements are reviewed when applicable.
- Technical Assistance visits are not part of a formal risk assessment plan but are left at the discretion of the program coordinator.
- When the Compliance Division started monitoring activities during the end of FY’00 and the beginning of FY’01, the division initially closed 185 contracts by performing a desk review if adequate documentation of previous monitoring visits by program staff was on file. If adequate documentation was not available, an on-site visit was scheduled. The focus of the Compliance Division during FY’01 was to close out contracts from previous years to assure that subrecipients were in compliance. This created a substantial workload for the Compliance Division. In general, the Compliance Division monitoring occurs when the contract drawdown exceed 75%. We noted that a total of approximately 49 contracts were monitored during FY’01 from the open contracts during FY’01. The total expenditures of those contracts amounted to approximately \$4.6 million which represents only 12% of total pass through expenditures and the total number of contracts monitored represents only 20% of contracts with expenditures during 2001.
- TDHCA does not have a fully operational risk assessment process for its subrecipient monitoring program.

Recommendation:

We recommend that TDHCA strengthen its controls over subrecipient monitoring during the contract period by developing and documenting a Department-wide monitoring program accounting for each grant from inception through close-out. Contracts need to be monitored before 75% of the contract dollars are spent. Management has to strengthen controls over monitoring all compliance requirements during a contract's period. Any findings identified during the Technical Assistance on-site visit should be tracked in a database and used as input for the risk assessment plan put in place by the Compliance Division.

Management's Response and Corrective Action Plan:

*The new HOME Program Manual, to be implemented with the 2001 HOME awards in the spring of 2002, will strengthen the controls over subrecipient monitoring during the contract period. A variety of policy and procedural changes will be made effective with the issuance of the new manual and will incorporate a variety of documentation requirements that will serve the subrecipient monitoring functions. These requirements will include submission of support documentation for Davis Bacon Labor Standards before draw requests are processed and submission and approval of Contractor Suspension and Debarment Verification Forms before draw requests are processed. Upon implementation of the new HOME Program Manual, internal standard operating procedures will be developed and implemented to ensure all applicable requirements are properly tested which will incorporate proper and uniform documentation of technical assistance visits and issue resolution, risk assessment procedures and tracking of technical assistance visits.*

*In connection with conducting the Compliance Division's risk assessment to best allocate limited resources, it was noted that approximately 184 HOME contract files monitored by HOME Program prior to January 2001 did not have a Certificate of Completion approval date entered into the Genesis database. Without proper processing of the Certificate of Completion, contracts with unused Federal funds that could be available for award for other HOME Program activities can not be used and are at risk of being deobligated by HUD. Additionally, these contracts needed to be programmatically closed to resolve Single Audit review questions and to provide a more comprehensive written compliance history of the contractors to serve as a basis for scoring risk factors used in the Risk Assessment model.*

*Accordingly, and based on the associated risks, it was conscientiously decided to allocate resources to conduct contract file assessments of these unclosed contracts. Such assessments and associated tasks incorporate monitoring procedures including the following:*

- 1. Resolving all monitoring findings;*
- 2. Determining that all projects have been completed and that relevant information is entered into the tracking system; and*
- 3. Receipting and approving of the Certification of Contract Approval by the HOME administrative staff.*

*Upon the satisfaction of these criteria and the issuance of a programmatic close-out letter to the contractor and subject to the right to recover funds or questioned costs based upon the findings of the Single Audit and final review by the U.S. Department of Housing and Urban Development, the contract is closed. Any funds not drawn can then be de-obligated and used for other HOME purposes and Compliance Audit can close out the Single Audit. If these procedures are not followed and the contract is not programmatically closed, a balance of unused funds begins to accumulate and is at risk of being deobligated by HUD.*

*In addition to the contract file assessments, the Program Monitors established a goal for the maximum number of contracts that could be monitored during the 2001 fiscal year. Based on available resources, the 100 contracts that could be monitored were drawn equally from both current contracts and the 184 contracts with outstanding balances mentioned above.*

*Management, based on circumstances that it inherited, believes that it has made a proper allocation of resources based on its assessment of risks and accomplished the goals it established for the year.*

*Implementation Date: Spring 2002*

*Responsible Person: David Gaines*

Reference No. 02-07

**Subrecipient Monitoring – Monitoring Visits**

(Prior Audit Issue – 01-555-24, 00-555-42, 99-555-22)

**CFDA 14.239 – HOME Investment Partnerships Program**

**Type of Finding – Control**

The Compliance Division does not have a management control in place that tracks visits undertaken and reports still outstanding. It went unnoticed that a report had not been issued for a May visit until October 2001 because an employee retired. Monitoring visits dates are inconsistently updated in the Genesis database.

Questioned Cost:	\$ 0.00
U.S. Department of Housing and Urban Development	

Since the database is used to track when on-site visits occurred and when they are due, inconsistencies in data input or lack of data input could result in non-compliance with the monitoring requirement for housing quality standards, eligibility and maximum rent limits. Also, since there is no management control over issuing reports related to monitoring visits, there is an increased risk that findings may go unreported.

The Compliance Division uses the Genesis database as tracking device for its monitoring visits which have to be conducted every year, every other year or every three years depending upon the circumstances of each project. Our audit procedures included comparing the information per monitoring file to the information entered into Genesis database for a sample of 30 items and resulted in one instance where the date of monitoring visit was incorrectly entered and one instance where the monitoring dates had not been entered at all.

Recommendation:

TDHCA should institute management controls over the Genesis database to ensure that the necessary database information is properly entered and updated and that findings from on-site monitoring visits are reported and resolved on a timely basis.

Management's Response and Corrective Action Plan:

*The Compliance Division has been using the Genesis database as a tracking device for the purpose of monitoring on-site visits. There have been many problems that the Division has encountered with the Genesis database.*

*Once information is entered into Genesis, it is impossible for the monitor to modify or delete that information without contacting the Information Systems department for assistance. For example, if a monitor has scheduled an on-site in Genesis and subsequently cancelled it, the monitor can not update the system to reflect the cancellation. Due to this system "glitch", the system does not always reflect accurate information.*

*The Compliance Division is in the testing phase for multifamily compliance tracking system that will track all rental programs monitored by the Division, including HOME. Staff is now inputting "real project" information to test the compliance functions built into the systems. Not only will the system allow for electronic reporting of project occupancy data from the project owners, it will also perform compliance checks on income, rent and other occupancy related matters and provide monitors a listing of identified violations. The system also has built in features that will be date driven and will provide management a tracking tool for assuring that desk review and field work due dates are adhered to, including the due dates of corrective action from the project owners.*

*The Division is confident that once the new system is in place, that appropriate management controls will have been instituted and project reporting and monitoring visits will be reported and conducted in a timely manner. However, it should be noted that management has devised an interim process to overcome the Genesis shortcomings. The Division utilizes an excel spreadsheet, property "inventory", that schedules on-site reviews under the HOME Program over the next five years. This method was utilized for the scheduling 656-onsite reviews during FY2001 and has been proven to be sufficient.*

*Implementation Date: Spring 2002*

*Responsible Person: David Gaines*

Reference No. 02-08

**Allowable Costs**

**CFDA 93.568 – Low Income Home Energy Assistance**  
**CFDA 81.042 – Weatherization Assistance for Low Income Persons**  
**Type of Finding – Compliance**

An employee of one of Low Income Home Energy Assistance's (LIHEAP) subgrantees, Sheltering Arms, embezzled funds over a period of five years. This fact came to the attention of Sheltering Arm's management. As a result of a review by Sheltering Arms' independent auditors, questioned costs totaling \$183,400 for LIHEAP and \$28,259 for the Weatherization Assistance for Low Income Persons Program were identified, a total of \$211,659. TDHCA has not recouped those funds from a subrecipient and has not adjusted its records for questioned costs originating in prior years. TDHCA reported questioned costs totaling \$171,284 to the Department of Housing and Urban Development and \$28,259 to the Department of Energy. This total questioned cost of \$199,543 is \$12,116 less than the total amount identified by the independent auditors. The difference is still being investigated.

Questioned Cost: \$199,543.00  
 U.S. Department of Health  
 and Human Services  
 U.S. Department of Energy

The embezzlement was not discovered through TDHCA's monitoring procedures because all subgrantees were monitored on a cyclical schedule TDHCA staff. The monitoring visits take place before the contract ends. The embezzlement of funds through falsified invoices occurred after the regular monitoring visit. Since then, the monitoring procedures have been adjusted to include the period of the prior year contract since the last monitoring visit.

Section 2605(g) of the LIHEAP statue states that the State shall repay to the United States amounts found not to have been expended in accordance with this title.

Recommendation:

We recommend TDHCA review Sheltering Arms' records to formally establish to TDHCA's satisfaction the amounts owed to TDHCA and issue a management decision and demand for repayment. Then, TDHCA should record the adjustment of those costs in its records.

Management's Response and Corrective Action Plan:

*TDHCA will conduct a team-monitoring visit within the next 45 days to determine the amount of disallowed cost and proceed with action to collect these funds. The team monitoring will include staff from the Energy Assistance Section and Compliance Division staff.*

*Implementation Date: Spring 2002*

*Responsible Person: David Gaines*

**Department of Human Services**

Reference No. 02-09

**Eligibility - Accuracy of Price Listing**

**CFDA 83.543 – Individual Family Grants (FEMA)**

**Type of Finding – Control**

Individual Family Grant (IFG) reimbursements are based on a published price list, which is established for each disaster. The approved price list is then programmed into the FEMA computer system to function as a maximum award control. For the Tropical Storm Alison disaster, 2 price items were incorrectly loaded into the system. There is no procedure in place to review the system table. Bedroom repair should have been \$1,153 and \$583 but was loaded as \$1,139 and \$570, respectively.

Questioned Cost: \$ 0.00  
Federal Emergency  
Mangement Agency

Management of the Department of Human Services (DHS) noted the differences after most of the awards had been made. Therefore the decision was made to not change the published price listing. In addition, there are no questioned costs since both published prices utilized were below the maximum allowable amount.

Recommendation:

DHS should establish a review control to ensure the price lists are loaded correctly into the computer system each time a new list is utilized.

Management's Response and Corrective Action Plan:

*The error was an automation error in FEMA's computer system, NEMIS. IFG staff entered the same replacement amount (code Z) for Master Bedroom, Bedroom 1 and Bedroom 2 and the same repair percentage (33% and 66%) for repair codes X and Y, respectively, for all bedrooms. NEMIS calculated a different repair amount for Bedroom 1 and Bedroom 2 than the amounts for Master Bedroom repair, even though the replacement amount entered by IFG staff and the percentages for repair were the same. In the future, IFG will request a printout of the NEMIS price list and compare this list with the prices established in the Administrative Plan. Any discrepancies will be corrected prior to awarding any Federal aid.*

*Implementation Date: Next Presidentially declared disaster in Texas*

*Responsible Person: Dennis McCudden*

Reference No. 02-23

**Auto-Eligibility Approval by FEMA**

**CFDA 83.543 – Individual Family Grants (FEMA)**

**Type of Finding – Compliance**

In an effort to expedite assistance, FEMA automated the awarding process for selected individuals affected by Tropical Storm Allison. When caseworkers (both Federal and DHS employees) visit sites and perform inspections, their case files are loaded into NEMIS, FEMA's computer system. If the case file passed established threshold checks, approval was automatic and the award was transferred by DHS' computer system into the nightly batch of warrants requested from the State Treasury. For the files that were not auto approved, DHS personnel worked the files and when approval was given, they too were transferred into the nightly batch of warrant requests.

Questioned Cost: \$1,835,207.00  
Federal Emergency Mangement  
Agency

FEMA has quality control procedures in place to monitor disasters. During the performance of these procedures, FEMA discovered that over payments were made to the auto approved (i.e. no DHS involvement) eligible recipients. The recipients were eligible for grant funds but the calculation of the amount was incorrect. FEMA has established an IFG Recoupment Process which includes reviewing 3,029 auto-approved files. Per their review, FEMA noted 814 over awards or a 27% error rate due to a FEMA programming error. The estimated dollars with those 814 files is \$1,835,207. These files were considered to be high-risk by FEMA (i.e. based on the nature of the programming error). DHS estimates that about 36,715 files were auto approved and the average claim per file is \$5,014.

Recommendation:

DHS is currently involved with FEMA assisting with the resolution of these over awards. The weekly Situation Reports published by FEMA include the current status of the Recoupment Process. DHS should continue to monitor FEMA's process.

Management's Response and Corrective Action Plan:

*The over award was caused by a programming error in NEMIS. DHS has no responsibility for the oversight of NEMIS. We will continue to work with FEMA to recoup the Federal and state funds.*

*Implementation Date: FEMA is currently working to correct the over awards.*

*Responsible Person: Cathy Milburn, FEMA Representative*

Reference No. 02-24

**Special Tests and Provisions – Provider Eligibility**

**Medicaid Cluster**

**Type of Finding - Control**

The Long Term Care Regulatory Facility Enrollment of DHS has insufficient procedures in place to ensure that provider files contain current provider licenses. We examined 3 provider files in this department and identified 2 files that did not contain a current provider license or notice of change in status of provider license. As a result, the agency may submit Medicaid funds to unlicensed and uncertified health care providers.

Questioned Cost: \$ 0.00  
U.S. Department of Health  
and Human Services

In order to receive Medicaid payments, providers of medical services furnishing services must be licensed in accordance with Federal, State, and local laws and regulations to participate in the Medicaid program (42 CFR sections 431.107 and 447.10; and section 1902(a)(9) of the Social Security Act) and the providers must make certain disclosures to the State (42 CFR subpart B).

Recommendation:

DHS should implement procedures to ensure that provider eligibility files contain current provider licenses and that Facility Enrollment is notified of all changes in status of provider licenses.



Management's Response and Corrective Action Plan:

*The Home and Community Support Services Agency (HCSSA) Licensing will be transferred to Facility Enrollment. Procedures will be set up to ensure that the contracting unit is notified of all licensure actions and that action is taken in regards to the contract.*

*Effective immediately, Hospice Licensing will provide Facility Enrollment with documents regarding any licensure action. Facility Enrollment will establish procedures regarding action to be taken in regards to the Hospice Contracts. In addition, Facility Enrollment will send a list of all agencies that have a current contract to HCSSA who will verify that all agencies have a current contract.*

Implementation Date: March 1, 2002

Responsible Person: Christine Harper

Reference No. 02-14

**Earmarking**

**CFDA 93.667 – Social Services Block Grant**

**Type of Finding – Compliance and Control**

The earmarking compliance requirement for the Social Services Block Grant (SSBG) program requires that amounts transferred from the Temporary Assistance for Needy Families (TANF) program to the SSBG program administered by DHS can only be used for programs and services to children or their families whose income is less than 200 percent of the official poverty guideline. We could not determine if DHS was in compliance with the SSBG's earmarking compliance requirement during fiscal year 2001 due to no controls in place to facilitate DHS's compliance with program's earmarking requirement.

Questioned Cost: \$ 0.00
U.S. Department of Health and Human Services

Recommendation:

We recommend DHS develop and implement controls to monitor the expenditure of funds transferred from the TANF program to facilitate the achievement of compliance with SSBG program earmarking requirements.

Management's Response and Corrective Action Plan:

*TANF disagrees with this finding. TANF transferred to the SSBG program, \$2.9 million which represents less than 4% of family violence shelter funding from all sources. Demographic data on the source of income for recipients of family violence indicates that over one-third of recipients have income levels below 200% of poverty. DHS maintains that the low level of funding compared to client demographics is appropriate assurance of our compliance with the TANF earmarking requirement.*

*Under final TANF regulations, 42 USC 602 (a) (4), there is no income requirement related to the formation and maintenance of two-parent families. Therefore, had the funds not been transferred to the SSBG program but had remained as TANF funds, there would be no income test required for these services.*

*Beginning in fiscal year 2002, family violence services are no longer funded with TANF funds transferred to the SSBG program.*

Implementation Date: Fiscal Year 2002

Responsible Person: Bobby Halfmann

**Protective and Regulatory Services**

Reference No. 02-50

**Eligibility**

**CFDA 93.558 – Temporary Assistance for Needy Families**

**Type of Finding – Compliance**

The State Plan provides criteria for eligibility in using funds from the Temporary Assistance for Needy Families – Emergency Assistance Program. One of the criteria to be eligible for EA funds is that a child must be under the age of 19 and had been living with a relative in the six month period to the application.

Questioned Cost: \$40,736.19

U.S. Department of Health and Human Services

In one of 30 cases selected in our sample, we found one child was approved as eligible by living with a godmother within a six-month period prior to the application. However, a godmother is not by definition a relative for eligibility.

Recommendation:

We recommend the Protective and Regulatory Services (PRS) reimburse the Federal government \$40,736.19 for the ineligible child.

Management’s Response and Corrective Action Plan:

*PRS agrees with the finding and will determine the correct eligibility on the case. We will make the necessary correcting entries that will result in adjustments to TANF Federal Financial Participation (FFP) on the federal expenditure report ACF 196 for the Quarter Ending March 30, 2002.*

*Implementation Date: Spring 2002*

*Responsible Person: Drew Thigpen*

Reference No. 02-35

**Allowable Costs**

**CFDA 93.558 - Temporary Assistance for Needy Families**

**CFDA 93.645 – Child Welfare Services**

**CFDA 93.658 - Foster Care – Title IV-E**

**CFDA 93.659 - Adoption Assistance**

**CFDA 93.778 – Medical Assistance Program (Medicaid: Title XIX)**

**Type of Finding – Compliance**

PRS is required to comply with the State Plan in administering TANF funds. The State Plan provides for the following kinds of assistance to meet emergency situations:

- Receiving home or shelter care,
- Foster family care, or
- Residential group care

Questioned Cost \$ 24,500.00

U.S. Department of Health and Human Services

The State Plan also provides for the following kinds of services to meet emergency situations:

- Information and referral,
- Case planning and case management,
- Counseling,
- Support activities to normalize family functioning, and
- Health care and health maintenance activities.

In our review of PRS' procurement, suspension, and debarment process relating to TANF, we found one contract in a sample of 30 items was approved, paid, and allocated to TANF funds for parking lot repairs for a Texas county. These costs are not reasonable as a direct cost of the program due to the allowable types of assistance and type of services as stated in the State Plan. The total cost of the contract was \$24,500. A portion of this invoice was also allocated to the following Federal grants: Child Welfare Services, Foster Care – Title IV-E, Adoption Assistance and Medical Assistance Program (Medicaid: Title XIX).

Recommendation:

We recommend the Protective and Regulatory Services initiate discussions with the Federal government to either reimburse or redistribute the \$24,500 of unallowable costs.

Management's Response and Corrective Action Plan:

*PRS will review the payment with the appropriate Federal entity. However, the payment should not be considered a "direct cost." It was allocated and charged to Federal administrative funding sources per the PRS Federal Public Assistance Cost Allocation Plan (CAP). The CAP splits overhead and administrative costs between federal funding sources based on an approved methodology. PRS believes that this should not be a Questioned Cost finding.*

*Implementation Date: Spring 2002*

*Responsible Person: James Barnett*

Reference No. 02-02

**Cash Management**

**CFDA 93.558 – Temporary Assistance for Needy Families**

**CFDA 93.658 – Foster Care – Title IV-E**

**CFDA 93.659 – Adoption Assistance**

**CFDA 93.667 – Social Services Block Grant**

**Type of Finding – Control**

The Protective and Regulatory Services' (PRS) cash management funding technique (i.e., preissuance) documented in the State's Treasury-State Agreement requires that Federal funds to the State will be paid to the State not more than three days prior to the State's issuance of checks or initiation of EFT payments. Both PRS' and the State's accounting systems are configured to post and pay invoices, respectively, with a future scheduled pay date. However, PRS does not have the appropriate controls in place to ensure the cash management (i.e. pre-issuance) funding from the Federal programs will be paid out by PRS within three days after the receipt of Federal funds. PRS has not been able to successfully re-configure the cash drawdown report logic to include both the posting and expected payment dates. As a result, PRS' cash management methodology is to determine draw amounts and timing based on total balances at the appropriation level which results in a FIFO method of disbursements.

Questioned Cost:	\$ 0.00
U.S. Department of Health and Human Services	

Recommendation:

We recommend PRS review and enhance existing cash management reporting capabilities to include information necessary to draw Federal funds in accordance with the requirements of the preissuance technique specified in the State-Treasury Agreement. Specifically, the cash management drawdown reports should include a listing, by appropriation, of accounts payable with scheduled pay dates. PRS cash management personnel should then use the schedule pay data to ensure the timing of Federal draws adheres to preissuance technique requirements documented in the Treasury-State agreement.

Management's Response and Corrective Action Plan:

*In response to the state's payment scheduling requirements the Statewide ISAS team developed improvements to the Grant Draw Down Report (GDDR) that detail the amount of accounts payable scheduled for payment in the next five days. PRS is currently working to get the upgraded version to process efficiently in the PRS environment. We expect that the improved report will be available and move to production before the end of fiscal year 2002.*

*Although the current GDDR information does not provide strict compliance with the pre-issuance funding technique because of the current absence of actual scheduled payment data, PRS has implemented a compensating control through the use of an analysis of the total appropriation cash balances. Using this information, the agency approximates the three-day requirement by riding on non-federal funds and/or delaying federal cash draws.*

*Implementation Date: Fiscal Year 2002*

*Responsible Person: James Barnett*

**Department of Public Safety**

Reference No. 02-10

**Subrecipient Monitoring**

(Prior Audit Issue – 01-555-16)

**CFDA 83.544 – Public Assistance Grants**

**CFDA 83.552 – Emergency Management Performance Grant**

**Type of Finding – Compliance**

The Department of Public Safety (DPS) has not performed timely reviews of OMB Circular A-133 audit reports submitted by its subrecipients. As a result, DPS has not been able to address potential questioned costs and control weaknesses.

Questioned Cost: \$ 0.00

Federal Emergency  
Management Agency

DPS does track subrecipients that receive \$300,000 or more in Federal funding from DPS and does disclose to subrecipients the requirement to obtain an audit if total Federal funding exceeds \$300,000. However, DPS did not review all of the OMB Circular A-133 audit reports submitted by its subrecipients during fiscal year 2001. We noted only one out of five sample items selected that required an OMB Circular A-133 audit had a review of the OMB Circular A-133 audit report that was received by DPS during fiscal year 2001.

OMB Circular A-133, Subpart D, Section 400(d) requires all pass-through entities to ensure that any subrecipients that expend more than \$300,000 in total Federal funds obtain an audit report. The pass-through entity is required to perform a review within six months of receipt of the audit reports.

Recommendation:

DPS should perform the report review within six months of receiving the OMB Circular A-133 audit report.

Management's Response and Corrective Action Plan:

*DPS agrees with the recommendation. Similarly, the disaster activity in 1998, 1999, and 2000 precluded these audit reviews within the prescribed time. During the fiscal year 2001, DPS hired an auditor whose responsibilities included A-133 reviews. However, this individual left his position with the DPS in July 2001 before completing any of the reviews. This position has been filled again and DPS is developing a schedule to conduct the audit reviews and address issues revealed, if any. Existing records indicate there are between 150 and 200 reviews that are delinquent.*

*Implementation Date: August 31, 2002*

*Responsible Person: Ed Laundry*

Reference No. 02-34

**Cash Management****CFDA 83.544 – Public Assistance Grants****Type of Finding – Control and Compliance**

According to the Treasury-State agreement for the State of Texas, the Public Assistance Grants are not included in Subpart A of 34CFR Part 205, which implements the Cash Management Improvement Act. Therefore, DPS should be complying with Subpart B, which applies to programs in the Catalog of Federal Domestic Assistance that are not subject to Subpart A. These standards state that “cash advances to a State shall be limited to the minimum amounts needed and shall be timed to be in accord only with the actual, immediate cash requirements of the State in carrying out a program or project. The timing and amount of cash advances shall be as close as is administratively feasible to the actual cash outlay by the State for direct program costs and the proportionate share of any allowable indirect costs. Neither a State nor the Federal Government will incur an interest liability on the transfer of funds for a program subject to this Subpart.” To define “administratively feasible”, we reviewed the FEMA Consolidated Audit Guide, *Audit of Disaster Assistance Grant Programs*, revised March 2001, and noted “the grantee should disburse Federal funds within a three-day period. Federal funds on hand more than this period may be excessive.”

Questioned Cost:	\$ 0.00
Federal Emergency Management Agency	

Our audit procedures indicated that inadequate controls exist to ensure funds are paid to jurisdictions within 3 days of receipt of the cash draws from FEMA. For 27 of 30 sample items selected for test work, the invoice was not paid within 3 days of receipt of the related Federal advance request. The average excess days the funds were held is 12.367 days, the total population of draws for the fiscal year was \$42,696,204, and the 2001 average of the 13-week Treasury Bill equivalent yield as provided by U.S. Department of Treasury – Financial Management Service in 4.84%.

Recommendation:

DPS should establish controls to ensure all invoices batched for a Federal draw request are paid within 3 days of receipt of the Federal reimbursement and/or work with their FEMA representative to define “administratively feasible” for the Texas Public Assistance Program.

Management’s Response and Corrective Action Plan:

*We do not concur with this finding. As stated above, “Public Assistance Grants are not included in Subpart A of Part 205, which implements the Cash Management Improvement Act.” Since the Public Assistance program is not under Subpart A, we believe the three-day rule from Subpart A does not apply. The FEMA Consolidated Audit Guide reference noted above is merely a checklist and is not an authoritative source such as a regulation or law. Lastly the Division of Emergency Management of DPS does have a tracking system for all payments, which includes the date of the cash draw from FEMA, the date the payment request was sent to DPS accounting for payment, and the date the disbursement was paid. We believe this complies with the need for a tracking system (i.e. control that all eligible payments are disbursed).*

**Implementation Date:** *DPS does not concur, so no implementation date was established. DPS will seek written clarification from FEMA with regard to 'administratively feasible' prior to August 31, 2002.*

**Responsible Person:** *Ed Laundry*

**Texas A&M University**

Reference No. 02-26

**Special Tests and Provisions - Student Loan Repayments**

**Student Financial Aid Cluster**

**Type of Finding – Compliance and Control**

The Texas A&M System Internal Audit Department (IA) completed a review of student financial aid at Texas A&M University (TAMU), which covered the period September 1, 1999 through August 31, 2000. During its review, IA made the observation that the monthly invoice notices sent out to Perkins loan borrowers by TAMU were not in accordance with the Federal regulations, which require late notices be sent 15, 30, and 45 days after a payment is past due. The delinquency noted was caused by an automated control function within the Campus Loan Manager software not being properly activated by management. In addition, organizational changes due to supervisory personnel turnover and a lack of written procedures establishing responsibility for this area were contributing factors. The audit procedures performed supported this non-compliance – five of five students selected in default status prior to June 15, 2001 have notices sent on the 30<sup>th</sup> day after the violation date. The five students' loan balances totaled approximately \$9,200. As of June 30, 2001, loans in default were \$3,104,201.

Questioned Cost: \$ 9,209.00  
U.S. Department of Education

Recommendation:

Effective July 1, 2001, TAMU implemented the automated function in the Campus Loan Manager software to generate late notices in accordance with Federal regulations. During the audit procedures performed during November 2001, we noted that the parameters for the late notices were in compliance with the Federal regulations. Additionally, we noted that for our sample of 2 students that went into default after June 15, 2001, the dates on the late notices were in-compliance with Federal Regulations. As the proper corrective action had already been implemented at August 31, 2001, no further action is considered necessary.

Management's Response and Corrective Action Plan:

*Prior to July 01 monthly invoice statements were functioning as past due notices, reflecting past due amounts. Due diligence was still being performed with past due notices being sent at thirty, sixty, and ninety days, however the notices were not being sent at the intervals specified by Federal guidelines. Effective July 01, Campus Loan Manager software automated controls were reset to generate late notices in accordance with Federal regulations.*

*It is important to note that by Federal regulations a Perkins loan is considered in default if a payment is not received by the due date. While by this definition there was \$3,104,201 in default at June 30, 2001, loans that are in default 240 days or more is half of this figure - \$1,554,831 or 9.4% of total Perkins loans. Additionally, the University's cohort default rate of 6.5821% at June 30, 2001 is one of the lowest among higher education institutions.*

*Implementation Date: July 2001*

*Responsible Person: William B. Krumm*

## Texas Tech University

Reference No. 02-27

### Special Tests and Provisions - Disbursements To or On Behalf of Students

#### Student Financial Aid Cluster

#### Type of Finding – Control and Compliance

The Department of Education regulations stipulate when institutions may request financial aid funds and the earliest date that those funds may be distributed to recipients. Unless otherwise informed in writing, institutions should interpret “days” noted in Department of Education regulations as being calendar days as opposed to business days. During the Fall Semester of 2000, Texas Tech University (Texas Tech) used business days to determine when Stafford Loan funds may be requested and distributed, which resulted in the following:

Questioned Cost:	\$ 0.00
U.S. Department of Education	

- Out of a sample of 30 students, Texas Tech requested \$12,247 for five eligible Stafford Loan recipients more than the allowed 13 days before the start of the semester. The average excess days the funds were requested previous to the earliest allowable day was 4.33, the total population of the draws for the fiscal year was \$61,957,384, and the 2001 average of the 13-week Treasury Bill equivalent yield as provided by the U.S. Department of Treasury-Financial Management Service was 4.84%.
- Out of a sample of 30 students, Texas Tech distributed \$12,816 of aid for four eligible Stafford Loan recipients more than the allowed 10 days before the start of the semester. The average excess days the funds were distributed previous to the earliest allowable day was 7, the total population of the draws for the fiscal year was \$61,957,384, and the 2001 average of the 13-week Treasury Bill equivalent yield as provided by the U.S. Department of Treasury-Financial Management Service was 4.84%.

In both situations, Texas Tech interpreted the regulations to be business days as opposed to calendar days. Management recognized the error in interpretation and corrected it before the beginning of the Spring 2001 Semester.

#### Recommendation:

Texas Tech should continue to interpret “days” in Department of Education regulations to mean calendar days as opposed to business days.

#### Management’s Response and Corrective Action Plan:

*Management concurs with the recommendation. Section 6.3.E Delivery of Proceeds, in the Common Manual relates to the schools release of funds to the student. These guidelines are measured in business days. We do understand that the disbursement of funds from the lender to the school is not included in the “business days” criteria. This is the only semester this occurred. Upon receiving clarification from TGSLC in August 2000, we made every effort to have the fall 2000 disbursements changed before the arrival of the funds. Unfortunately, some of our lenders were not able to respond in time. As noted, changes were made immediately and will remain in place.*

*Implementation Date: Changes implemented in August 2000*

*Responsible Person: Earl Hudgins*



**Department of Transportation**

Reference No. 02-42

**Cash Management**

**Highway Planning and Construction Cluster  
Type of Finding – Compliance and Control**

For the fiscal year ended August 31, 2001, the Highway Planning and Construction – cluster program clearance pattern was calculated to be 4.05 days. The program did not comply with its cash management funding technique in relation to draws for construction expenditures in August 2001 as amounts were drawn by the program from the Federal government two – four days prior to the day the program expected to pay out the related funds.

Questioned Cost: \$33,471.00  
U.S. Department of  
Transportation

The Cash Management Improvement Act requires state recipients to enter into agreements which prescribe specific methods of drawing down Federal funds for selected large programs. Per 31CFR205.7, under the average clearance method “a state shall request funds 1 business day prior to the day it expects to pay out funds, in accordance with a clearance pattern, and a Federal agency shall deposit funds in a state account the next business day after receiving a request for funds”.

Recommendation:

We recommend the Department of Transportation adhere to its Federally approved funding technique it has formally adopted. A deviation from its approved funding technique to another Federally approved funding technique should not be made until formal policy has been adopted by TxDOT Management for the Highway Planning and Construction – cluster program. A formal change in funding technique should be communicated to the Texas Comptroller of Public Accounts.

Management’s Response and Corrective Action Plan:

*The Texas Department of Transportation (TxDOT) has met with the Texas Comptroller of Public Accounts about CMA, in particular the needed change in TxDOT’s pattern of billing the Federal Highway Administration (FHWA). The Comptroller’s office and TxDOT have decided the appropriate approach is to revise TxDOT’s current CMA funding technique from “average clearance” to “pre-issuance”. The “average clearance” funding technique is interest-neutral whereas the “pre-issuance” technique is specifically designed for those programs where interest liability is a factor.*

*For FY 2002, the “Current Contractor Payments” Component will use a “pre-issuance” funding technique that will determine the amount of interest liability owned by TxDOT.*

*Concerning the August 2001 interest payment, between September 2002 and December 2002, the Comptroller’s office will calculate TxDOT’s interest liability for FY 2002. The Comptroller will report a FY 2001 prior period adjustment for the early billing of FHWA funding for August 2001 as a part of the FY 2002 report to the U.S. Treasury. TxDOT will satisfy its fiscal interest obligation when the Comptroller remits payment to the U.S. Treasury from the State’s General Revenue Fund which will then be reimbursed by a transfer of funds from the State Highway Fund.*

Implementation Date: Fiscal Year 2002

Responsible Person: James Bass

## University of Houston

Reference No. 02-36

### Special Tests and Provisions - Verification

#### Student Financial Aid Cluster

#### Type of Finding – Compliance

At a minimum, an institution is required to verify the following five elements for those students chosen by the Department of Education for verification: household size, number of children enrolled in college, adjusted gross income (AGI), amount of taxes paid, and untaxed income.

Questioned Cost: \$ 0.00

U.S. Department of Education

UH's Student Financial Aid Office was unable to provide complete supporting documentation for 4 out of a sample of 30 students selected for verification testwork. Additionally, we noted that two of the household verifications out of the sample size of 30 students did not agree to the supporting documents, which resulted in a Pell Grant under-award of \$306 and a Federal Stafford Subsidized Loan over-award of \$282. Some records at UH were destroyed as a result of the flooding that occurred in Houston in June 2001. The Student Financial Aid Office is unable to determine whether or not the missing documents were misplaced or were destroyed as a result of the flood.

#### Recommendation:

UH is moving towards a paperless environment. UH's Student Financial Aid Office had been imaging their student records for record retention; however, the scanning system had become dysfunctional and there was an approximate one-year period when records were not scanned. In January 2001, the Student Financial Aid Office began using a new electronic imaging system and changed their imaging approach to up-front scanning, as the documents are received, instead of the previously imaging approach at the end of the process for record retention purposes. As a result of the implementation of the new imaging system, the decision was made to image current records (2001-2002) as the first priority and then as time allowed to image the older documents, which were being stored in boxes when the flooding occurred.

#### Management's Response and Corrective Action Plan:

*The Office of Scholarships and Financial Aid continues to image 2000-2001 documents into the imaging system. It has also begun to explore the possibility of out-sourcing the imaging of the remaining 2000-2001 documents to an external vendor.*

*Implementation Date: August 31, 2002*

*Responsible Person: Robert B. Sheridan*

Reference No. 02-37

**Special Tests and Provisions - Return of Title IV Funds**

**Student Financial Aid Cluster**

**Type of Finding – Compliance and Control**

A recipient of Title IV grant or loan assistance withdraws from an institution during a payment period or period of enrollment in which the recipient began attendance, the institution must determine the amount of Title IV aid earned by the student as of the student's withdrawal date. If the total amount of Title IV assistance earned by the student is less than the amount that was disbursed to the student on the withdrawal date, the difference must be returned to the Title IV programs within 30 days after the date the institution determines that the student withdrew.

Questioned Cost: \$ 11,222.02 U.S. Department of Education
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Ten students out of a sample size of 30 students, withdrew prior to the completion of 60% of the enrollment period. Of these 10 students, calculations to determine the amount of Title IV assistance earned had not been calculated for four of the students and had not been calculated within the 30 days of withdrawal for the remaining six students.

Per discussion with University of Houston (UH) Student Financial Aid (SFA) management, they were understaffed in fiscal year 2001 and therefore lacked the personnel to enter the SFA students' withdrawal dates in the SFA system in a timely manner.

For the six students where the calculation was not performed in a timely manner, \$9,633.71 of unearned funds were not returned to the Title IV program within the required time. For the four students that have not had the calculation performed, a total of \$11,222.02 had not been earned as of their respective withdrawal dates.

Recommendation:

UH should make changes to its procedures to ensure compliance with the program requirement that unearned Title IV funds be returned to the Title IV programs within 30 days after the date the institution determines that the student withdrew. Currently, the Bursar's Office provides a report to the Financial Aid Office which lists the withdrawal date for those students that have received financial aid and have withdrawn. SFA has system software provided by the U.S. Department of Education that calculates the amount of aid that is required to be returned to the Title IV program. UH should explore the possibility of interfacing the withdrawal date with the U.S. Department of Education software, which would eliminate the need for this to be done manually and would ensure timely calculation of the unearned funds that are required to be returned to the Title IV program.

Management's Response and Corrective Action Plan:

*Management agrees with the recommendation to make changes to the return to Title IV process and has reassigned responsibilities for this process. The entire process will be reviewed and re-engineered where possible. As part of the re-engineering process, the computing environment will also be reviewed to determine the feasibility of integrating it with USDE software. The review of the computing environment will be concluded by April 1, 2002 and duties associated to this process reassigned by May 1, 2002.*

*The students cited for whom a return to Title IV calculation had not been performed, have now been calculated and the appropriate return of funds have been made to the Title IV programs.*

*Implementation Date: May 1, 2002*

*Responsible Person: Robert B. Sheridan*

## University of Texas at Arlington

Reference No. 02-52

### Special Tests and Provisions - Student Status Changes

(Prior Audit Issue – 01-555-7)

#### Student Financial Aid Cluster

#### Type of Finding – Control and Compliance

In the prior year, the State of Texas Auditors Office noted that the University of Texas at Arlington (UTA) had not properly reported the enrollment changes for the Federal Education Loans Program. Seven of 18 students status changes were not submitted to the National Student Loan Database System (NSLDS) (via the Clearinghouse) within the 60 day required timeframe and 4 of 18 student status changes were not reported. The CFR, Title 34, Section 682.610(c), requires the institution to report enrollment changes to the guaranty agency or U.S. Department of Education (USDE) within 60 days. If an institution does not expect to report enrollment changes on the Student Status Confirmation Report within the next 60 days, that institution is required to notify the guarantor or lender by letter within 30 days.

Questioned Cost:	\$ 0.00
U.S. Department of Education	

For fiscal year 2001, 3 of 30 student status changes were not reported within 60 days. The students were December 2000 graduates who were reported as withdrawn in January 2001 and then their status was corrected to graduate in June 2001. No questioned costs were identified since the students were converted to repayment status timely.

#### Recommendation:

UTA should ensure that all enrollment changes are reported accurately and in a timely manner to the guarantor, the lender, or USDE. UTA should review its procedures to ensure that the transfer students enrollment status and graduates status is communicated within timeframes established by the regulations.

#### Management's Response and Corrective Action Plan:

*UTA completed a program in December 2000 that identified and captured graduates. Graduates are now reported to the Clearinghouse after the completion of each semester.*

*UTA's Information Technology office has stated that a program can be written to list new transfers who have applied for financial aid at UTA. By providing such a list each semester, the Clearinghouse should be able to identify transfer students not previously reported in a timely manner. Expected completion date is spring 2002.*

*Implementation Date: Spring 2002*

*Responsible Person: Zack Prince*

## University of Texas at Austin

Reference No. 02-33

### Cash Management

#### Research and Development Cluster

#### Type of Finding – Compliance

University of Texas as Austin (UT-Austin) has selected the reimbursement method for cash management. A weekly cash position report is produced from RGM in DEFINE system (general ledger accounting system) denoting the cash position of each Federal R&D grant. This report is based on expenditures posted to general ledger versus amounts actually paid. UT-Austin's policy is to pay all vendors within 30 days of receipt of invoice. Weekly draws for direct costs are then made from the respective agencies based on the excess of expenditures posted to the general ledger compared to amount of cash drawn to date. Monthly draws are made for indirect costs.

Questioned Cost:	\$ 0.00
Federal Agencies that provide R&D grants	

The cash position report was validated and appears to be extracting the expense and cash draw information correctly from the general ledger. However, UT-Austin did not retain copies of any of the cash position reports for the fiscal year 2001 and such documents could not be reconstructed; therefore, no compliance work could be performed. We were unable to verify that expenses were incurred prior to draw requests from the Federal agencies for a sample of cash draws selected for compliance procedures. Per UT-Austin's schedule of Federal awards, approximately \$214,612,000 of R&D expenses were noted to have been incurred during fiscal year 2001.

#### Recommendation:

We were informed by UT-Austin that beginning in September 2001 the cash position reports are now being maintained. We selected a sample of 5 cash draws for the month of September 2001 and noted the cash reports were maintained. Also, we noted the amount drawn was less than the negative cash position noted for the respective grant.

#### Management's Response and Corrective Action Plan:

*Management agrees with the recommendation related to retention of cash position reports. As noted by KPMG, the University now maintains these reports.*

*Implementation Date: September 1, 2001*

*Responsible Person: Fred Friedrich*

Reference No. 02-38

### Allowable Costs

#### Research and Development Cluster

#### Type of Finding – Compliance and Control

Per review of UT-Austin's time and effort policies, students appointed as undergraduate and graduate research assistants are not required to keep weekly time sheets as a result of the relationship between their work with their academic programs. In addition, professors are not required to keep weekly time sheets. Per the payroll effort reporting standards, time sheets are not required as long as the employee is expected to work solely on a single Federal award.

Questioned Cost:	\$ 14,738.00
Federal Agencies that provide R&D grants	

However, these individuals must support the charge for salary and wages with certifications that the employee worked solely on that program for the period covered. At a minimum, these certifications (i.e. personnel effort reports) are to be prepared semi-annually and are to be signed by the employee or supervisor having first hand knowledge of the work performed by the employee. UT-Austin's policy is to utilize monthly personnel effort reports (for both employees working on sole awards or multiple awards) which are to be reviewed and signed by the principal investigator.

From a sample of 8 allowable costs charged for salary and wages selected for test work, 4 of the charges were not supported by a time sheet or personnel effort report. Upon inquiry, we were informed these individuals worked solely on one award but no signed and dated personnel effort report was available. Total salary charges for these 4 items were \$14,068. In addition, one of 30 salary adjustments reviewed did not have a signed and dated personnel effort report. The adjustment total was \$670. For the year ended August 31, 2001, approximately \$100,325,000 salaries and related benefit costs were charged to the various R&D grants.

Recommendation:

UT-Austin should establish effective controls to enforce its policies and procedures with regard to monthly personnel effort reports being reviewed by the principal investigator. These personnel effort reports are required to meet the following standards:

- Reflect an after-the-fact distribution of the actual activity of each employee,
- Account for the total activity for which each employee is compensated,
- Prepared at least monthly and coincide with one or more pay periods, and
- Signed by the employee.

Management's Response and Corrective Action Plan:

*An electronic Effort Certification System (ECS) is being developed and will be ready for testing in the spring of 2002. The ECS will ensure that all effort is certified in compliance with A-21 timelines, approved by a responsible and knowledgeable party, and consistent with Payroll and appointment records of where individuals were paid from.*

*Implementation Date: Spring 2002*

*Responsible Person: Fred Friedrich*

Reference No. 02-48

**Matching and Program Income**

**Research and Development Cluster**

**Type of Finding – Control**

UT-Austin administers their R&D programs through the Office of Sponsored Projects (OSP) and Grants and Contracts (G&C). The principal investigator (PI) is directly responsible for the research and coordinates necessary information back to OSP and G&C. Each grant has an electronic profile set-up in DEFINE accounting system by G&C once the grant has been approved by OSP, PS, and the sponsor. The profile set-up has certain fields which are required or DEFINE will not process the grant.

Questioned Cost:	\$ 0.00
Federal Agencies that provide R&D grants	

Upon request for a population of matching grants and grants which generate program income, UT-Austin did not have an established procedure for monitoring this information. G&C is responsible for monitoring grant compliance as they have “working knowledge” of the various grants. However, no individual has been given the specific responsibility to monitor matching requirements or the use of program income. G&C review these provisions when a particular grant is closed, despite the length of the grant period. UT-Austin had to create a report module to gather the number of grants with matching provisions and/or generation of program income.

Recommendation:

UT-Austin should establish policies and procedures to ensure matching requirements are being met timely and to ensure program income is spent in accordance with the provisions of the respective grant agreements. The profile set-up could be configured to have required fields of information for matching and program income and reporting requirements. Reports should be available which identify the applicable grants and the related amounts. G&C could then monitor these reports on a periodic basis for compliance with matching and program income requirements.

Management’s Response and Corrective Action Plan:

*In August 2000 UT-Austin’s Office of the Vice President for Research established a task force consisting of representatives from the Office of the Vice President for Research, the Office of Sponsored Projects, the Office of Accounting, and the Office of Institutional Compliance. The task force is charged with assessing the policies and procedures of UT-Austin and making any changes necessary to remove obstacles or barriers to conducting research at UT-Austin, while maintaining compliance with all applicable rules and regulations.*

*The task force has been charged with examining the issue of cost sharing and matching, and is in the draft stage on recommendations. They are reviewing the communication with PI’s through the course of a project. In addition UT-Austin is testing a new electronic time keeping system, which will strengthen the ability to track contributed time on projects.*

*The issue of Program Income tracking will be sent to the task force for prioritization. UT-Austin has few Federal programs with program income.*

*Implementation Date: August 2002*

*Responsible Person: Dr. Juan Sanchez*

Reference No. 02-49

**Procurement, Suspension, and Debarment**

**Research and Development Cluster**

**Type of Finding – Control**

Of a sample of 30 procured items, 2 were greater than \$100,000. One of the two did not have a signed vendor certification noting they were not suspended and debarred. The invoice was for \$131,410. The vendor certification serves as the control over ensuring that the vendor is not suspended and debarred. Upon inquiry with the director of procurement, he noted that obtaining the certifications had not been a point of emphasis for the buyers until May 2001.

Questioned Cost:	\$ 0.00
Federal Agencies that provide R&D grants	

At this point, an initiative for the procurement department was to ensure the certifications are obtained prior to approving the related purchase orders. Per review of the *List of Parties Excluded From Federal Procurement or Nonprocurement Programs* found at [www.epls.arnet.gov](http://www.epls.arnet.gov), it was noted the vendor was not suspended or debarred. Therefore, there are no questioned costs.

Recommendation:

We recommend UT-Austin continue to focus on obtaining certifications prior to awarding the purchase orders.

Management's Response and Corrective Action Plan:

*UT– Austin will continue to focus on obtaining certifications prior to awarding purchase orders.*

*Implementation Date: January 2002*

*Responsible Person: Floyd Self*

Reference No. 02-51

**Special Tests and Provisions - Student Loan Repayments****Student Financial Aid Cluster****Type of Finding – Control**

For students with Perkins loans, UT-Austin is required to conduct exit counseling with the borrower either in person, by audiovisual presentation, or by interactive electronic means. Schools are required to conduct the counseling shortly before the student graduates or drops below half-time enrollment. For borrowers who withdraw from school, exit counseling must be provided within 30 days after learning that the borrower has withdrawn.

Questioned Cost:	\$ 0.00
U.S. Department of Education	

UT-Austin prepares a “graduating seniors list” after the deadline to apply for a degree has passed each semester. Then letters are generated and mailed asking for exit interviews to be set-up. If the student does not comply, exit information packages are mailed to the student. Eight spring 2001 graduates were reviewed and no exit interview information was available. It was noted the students did not complete their counseling prior to graduation; therefore, their packets were printed to be mailed. However as of November 2001, the packets were not mailed. In addition, 3 of 8 fall 2000 graduates had not yet returned their exit interview packets.

Recommendation:

A high percentage of graduates are not complying with exit counseling requirements prior to graduation. Also, the turn around time to mail the exit packets would appear to exceed the regulations intended time frame of 30 days. UT-Austin should review its policies for holding exit counseling prior to graduation. Students who are unable to attend should a low percentage and should receive and return their packets in a timely manner.

Management's Response and Corrective Action Plan:

*UT- Austin concurs with conducting exit counseling prior to graduation. The Student Loans Collections staff will develop initiatives to conduct exit interviews prior to graduation, in accordance with regulatory requirement set forth in 34 CFR, Part 674.42.*

*Implementation Date: August 31, 2002*

*Responsible Person: Vonda Williams*



**University of Texas at El Paso**

Reference No. 02-28

**Special Tests and Provisions - Disbursements To or On Behalf of Students**

**Student Financial Aid Cluster**

**Type of Finding – Control and Compliance**

The Department of Education regulations stipulate when institutions may request financial aid funds and the earliest date that those funds may be distributed to recipients. Unless otherwise informed in writing, institutions should interpret “days” noted in Department of Education regulations as being calendar days as opposed to business days. The University of Texas at El Paso (UT-El Paso) used business days to determine when such funds may be requested and distributed, which resulted in the following:

Questioned Cost:	\$ 0.00
U.S. Department of Education	

- Out of a sample of 30 students, UT-El Paso requested \$66,068 for 14 eligible Stafford loan recipients either more than the allowed 13 days before the start of the semester for established recipients, or prior to the allowed 27 days after the beginning of the semester for first time recipients. The average excess days the funds were requested previous to the earliest allowable day was 1.35, the total population of the draws for the fiscal year was \$18,332,484, and the 2001 average of the 13-week Treasury Bill equivalent yield as provided by the U.S. Department of Treasury-Financial Management Service was 4.84%.
- Out of a sample of 30 students, UT-El Paso distributed \$108,645 of eligible aid more than the allowed 10 days before the start of the semester. The average excess days the funds were distributed previous to the earliest allowable day was 2.56, the total population of the draws for the fiscal year was \$34,094,434, and the 2001 average of the 13-week Treasury Bill equivalent yield as provided by the U.S. Department of Treasury-Financial Management Service was 4.84%.

In both situations, UT-El Paso interpreted the regulations to be business days as opposed to calendar days.

Recommendation:

UT-El Paso should change its practice of interpreting “days” in Department of Education regulations to mean business days as opposed to calendar days.

Management’s Response and Corrective Action Plan:

*We concur. UT-El Paso recognized the need to request and distribute Federal financial aid funds according to Department of Education guidelines. System disbursement controls have been updated to reflect the required timeline.*

*Implementation Date: September 1, 2001*

*Responsible Person: Linda Gonzales-Hensgen*

University of Texas Health Science Center at Houston

Reference No. 02-31

Cash Management

Research and Development Cluster

Type of Finding – Control

The University of Texas Health Science Center at Houston’s (UTHSC-Houston) research and development grant requests for Federal funds are typically on a reimbursement basis. UTHSC-Houston’s requests are based on accounts payable and processed payroll which is recorded in the account “Due to Other Funds”, indicating that the amount is due to the General Fund when received. However, due to the time delays between the processing of payroll and the release of the checks compared to the time the reimbursement request is processed, actual payment of the amounts captured in “Due to Other Funds” may not have occurred. As a result, the reimbursement request becomes an advance payment from the Federal government. Based on the audit procedures we performed, we did confirm that requests for four out of 30 expenditures tested were held from one to four days in excess of the three days allowed after receipt of the Federal funds. These expenditures totaled \$1,525.

Questioned Cost: \$ 0.00
Federal Agencies that provide R&D grants

In addition, monitoring is not being performed to identify if an advance of funds occurs. As a result, advanced funds are not always expended within three days of receipt and related interest has not been calculated and remitted to the respective Federal agency.

Recommendation:

UTHSC-Houston should strengthen controls over the drawdown process to ensure that program costs are paid prior to the request for reimbursement, or monitor drawdown transactions to determine how much of the drawdown is on an advance basis. Controls should either ensure that costs are paid within three days of the receipt of the Federal funds or interest is calculated on Federal funds that are not paid out within three days of receipt.

Management’s Response and Corrective Action Plan:

Effective November 1, 2001, additional controls over the Federal funds process have been established and are being followed to ensure that costs are paid prior to the related request for reimbursement. UTHSC-Houston’s drawdown policies and procedures have been revised and documented to reflect this corrective action.

Implementation Date: November 1, 2001

Responsible Person: Laura D. Smith

Reference No. 02-32

Allowable Costs

Research and Development Cluster

Type of Finding – Control and Compliance

OMB Circular A-21 requires that the payroll distribution system be incorporated into the official records of the university, reasonably reflect the activity for which the employee is compensated by the university and recognize the principle of after-the-fact confirmation or determination so that costs distributed represent actual costs. The confirmation of activity may be confirmed by the actual employee or a responsible person with suitable means of verification that the work was performed.

Questioned Cost: \$ 3,555.00
Federal Agencies that provide R&D grants

UTHSC-Houston's policies for payroll cost transfers (adjustments) specifically states that transfers of personnel costs can only be done if the effort report for the cost period has not been completed and signed. If the effort report has been completed, the cost cannot be transferred. There is currently no control in-place to ensure that cost transfers are not made for those effort reports that have already been completed and signed (confirmed).

Specifically, six out of 19 payroll expenditure adjustments tested were charged to a grant that was not listed on the confirmed effort report for the respective employees for the effective period of the adjustment. As a result, payroll costs of \$3,555 were charged to grants and not supported by the confirmed effort reports.

Recommendation:

UTHSC-Houston should implement controls to ensure that cost transfers are not made for those effort reports that have already been completed and signed.

Management's Response and Corrective Action Plan:

*Effective January 1, 2002, cost transfers will not be made for those effort reports that have already been completed and signed. With the implementation of PeopleSoft human resource management system on July 1, 2001, the University now has the capability of centrally controlling all payroll cost transfers. Before any cost transfer is approved through this centralized process, verification that no signed effort report is on file will occur. If a signed effort report exists, the proposed cost transfer will be rejected and not processed.*

*Implementation Date: January 1, 2002*

*Responsible Person: Laura D. Smith*

## University of Texas Health Science Center at San Antonio

Reference No. 02-39

### Allowable Costs

#### Research and Development Cluster

#### Type of Finding – Compliance and Control

UT – Health Science Center, San Antonio’s (UTHSC-SA) time and effort reporting system was designed to interface with their legacy payroll system. Effective January 1, 2001, UTHSC-SA replaced their legacy payroll system with PeopleSoft Human Resources Management System. Through the implementation process, the existing time and effort system was tested with the PeopleSoft system and determined by management to be compatible. The time and effort system produced accurate information for the period of September 1, 2000 – February 28, 2001. UTHSC-SA management monitored the payroll effort reports and became aware in July 2001 that there were logic errors and incompatibilities between PeopleSoft and their legacy time and effort-reporting system for employees who had multiple payroll changes during the reporting period.

Questioned Cost: \$ 11,059.00  
Federal Agencies that provide  
R&D grants

UTHSC-SA management has selected the after-the-fact activity records method, per OMB Circular A-21, as their method of documenting payroll distribution. Under the after-the-fact method, the distribution of salaries and wages paid from Federal programs must be supported by activity reports (i.e. time and effort reports). In addition, verification of the time and effort reports are required twice a year for professional staff (faculty) and monthly for all other employees (i.e. classified staff) paid from sponsored Federal programs.

As a result of the aforementioned logic errors, classified staff payroll for July and August 2001 and faculty payroll for the six months ending August 31, 2001 were not supported by verified time and effort reports. Specifically during this time period, 4 of 43 sample items selected for allowable costs test work had no supporting time and effort reports. In addition, there were 6 additional sample items of the 43 items dated prior to June 2001 which did not have verified time and effort reports. These 10 items totaled \$11,059. Approximately \$246,000 of classified staff payroll with multiple payroll changes was charged to the R&D Federal programs for the period July – August 2001 and approximately \$2.4 million of faculty payroll with multiple payroll changes was charged to Federal programs for the six months ending August 31, 2001.

#### Recommendation:

UTHSC-SA should continue its effort to correct the logic error between the payroll effort reporting system and PeopleSoft. In addition, UTHSC-SA should reevaluate their controls over payroll effort reporting to determine a more effective method for ensuring verifications of time and effort reports are performed. Management indicated corrections would be made retroactive to March 2001 for professional staff and to July 2001 for classified staff.

#### Management’s Response and Corrective Action Plan:

*UTHSC-SA management discovered problems with the Time and Effort system as soon as they occurred, and initiated corrective action immediately. When attempts to correct erroneous reports proved unsuccessful, a complete redesign of the system was initiated and executed without delay. As of December 2001, the system is fully functional again and management is now focused on ensuring 100% compliance and completion of all effort reports current and past due. As of February 7, 2002, faculty reports for the period in question are 91% completed and staff reports are 79% completed. The time and effort reports for the specific exceptions noted in the audit have been completed.*

*In addition to the corrections made and the redesigned system being deployed, a series of management reports have been developed that will provide a better monitoring tool for compliance with timely effort reporting. An ongoing education plan for persons involved in effort reporting is also being developed and appropriate sanctions for non-compliance will be addressed.*

Implementation Date: *Began in August 2001 and will be fully implemented in February 2002*

Responsible Person: *Jane Youngers*

Reference No. 02-40

**Period of Availability**

**Research and Development Cluster**

**Type of Finding – Compliance**

An entity may charge to the award only costs resulting from obligations incurred during the funding period when specified.

Questioned Cost: \$2,291.64
Federal Agencies that provide R&D grants

On January 1, 2001, UTHSC-SA implemented the PeopleSoft human resources management system (PeopleSoft). UTHSC-SA's prior system had chart fields that contained award expiration periods but PeopleSoft does not. Until PeopleSoft is modified to include grant expiration periods, the accounting department implemented control procedures to identify payroll expenditures charged to expired awards. Two adjusting entries for payroll, out of a sample size of 30 adjusting entries, were incorrectly charged to expired awards as the related payroll activity had not occurred during the period of availability for the expired awards. These two of \$2,291.64 items were identified by the accounting department, however, they had not been corrected.

Payroll expenditures that did not occur within the period of availability may be charged to expired awards.

Recommendation:

UTHSC-SA should strengthen procedures to ensure identified period of availability exceptions are corrected.

Management's Response and Corrective Action Plan:

*We have strengthened procedures to ensure that any transactions posted past the period of availability are corrected prior to completion of final financial reports and account closeout. The Grants Management Office, which is responsible for reporting and closing out Federally-funded accounts, has emphasized the review of all transactions that take place after the expiration date of the grant for period of availability purposes. While some transactions are appropriate (for example, animal per diem charges for costs incurred prior to the expiration date but not billed until after the period ends), those determined to be inappropriate are being transferred to other departmental accounts. These efforts enhance, strengthen, and supplement the Accounting Department's efforts in identifying payroll expenditures made outside of the period of availability of funds. The Accounting Department produces exception reports to identify payroll expenditures charged to expired accounts. The errors noted above were identified by these means; however, the transactions were not transferred prior to submission of the financial reports. The transfers have been made and these reports have been appropriately revised. The Accounting Department will implement procedures to follow up on exceptions identified to ensure they are corrected.*

Implementation Date: *February 1, 2001*

Responsible Person: *Jane Youngers*

Reference No. 02-41

**Procurement, Suspension, and Debarment**

**Research and Development Cluster**

**Type of Finding – Control**

Contractors receiving individual awards for \$100,000 or more must certify that the organization and its principals are not suspended or debarred. Currently, UTHSC-SA does not require certification from contractors receiving \$100,000 or more of federal funds that the organization and its principals are not suspended or debarred. One item in a sample of 30 was greater than \$100,000 and did not have the certification statement. Vendor was not suspended or debarred.

Questioned Cost:	\$ 0.00
Federal Agencies that provide R&D grants	

If UTHSC-SA were to use Federal funds to pay for goods and services from contractors that are excluded from Federal procurement or nonprocurement programs, UTHSC-SA would be liable to the Federal government for those funds.

Recommendation:

UTHSC-SA should implement procedures to ensure that contractors receiving individual awards of \$100,000 or more certify that the organization and its principals are not suspended or debarred.

Management's Response and Corrective Action Plan:

*Our Purchasing Department is implementing the appropriate certification requirements. With the publication of proposed rules revising the Debarment and Suspension regulation (67 FR 3265), individual certification will no longer be necessary. Rather, incorporation of the regulation into purchase orders will suffice. Because this proposed rule is more than likely to take effect, we plan to implement incorporation rather than certification as the corrective remedy.*

*Implementation Date: March 1, 2001*

*Responsible Person: Jane Youngers*

**University of Texas M.D. Anderson Cancer Center**

Reference No. 02-29

**Cash Management**

**Research and Development Cluster**

**Type of Finding – Control**

The majority of the University of Texas M.D. Anderson Cancer Center’s (M.D. Anderson) research and development grant requests for Federal funds are on a reimbursement basis. Typically, M.D. Anderson calculates its drawdowns on a weekly basis for the program costs that have occurred in the prior week. Based on our audit procedures, we noted that not all of the program costs had been paid for prior to the request for reimbursement. Six of 30 items (\$1,850) were not paid prior to request for reimbursement. However, only one of the six was not paid out within three days of receipt. Additionally, the process to identify when a reimbursement drawdown defaults to an advance basis is not monitored.

Questioned Cost: \$ 0.00

All Federal Agencies from whom MD Anderson is requesting Federal funds on a reimbursement basis

Recommendation:

M.D. Anderson should strengthen controls over the drawdown process to ensure that program costs are paid prior to the request for reimbursement, or monitor drawdown transactions to determine how much of the drawdown is on an advance basis. Controls should either ensure that costs are paid within three days of the receipt of the Federal funds or interest is calculated on Federal funds that are not paid out within three days of receipt.

Management’s Response and Corrective Action Plan:

*M.D. Anderson concurs with the recommendation of the auditor that strengthening controls over the drawdown process is necessary. In December 2001, M.D. Anderson instituted changes to our drawdown procedures and we will review these changes and make adjustments as necessary to ensure we remain compliant.*

*Implementation Date: December 2001*

*Responsible Person: Rob Findlay*

Reference No. 02-30

**Allowable Costs**

**Research and Development Cluster**

**Type of Finding – Compliance**

M.D. Anderson uses the plan confirmation method as its effort reporting system, which is an acceptable method under OMB Circular A-21 (A-21). However, as M.D. Anderson is recognized as a hospital, the cost principles set forth in “A Guide for Hospitals”, Office of Assistant Secretary Comptroller (OASC-3) should be followed. The plan confirmation method is not included as an acceptable method under OASC-3.

Questioned Cost: \$ 0.00

U.S. Department of Health and Human Services

Per OASC-3, for members of the professional staff, current and reasonable estimates of the percentage distribution of their total effort may be used as support in the absence of actual time records. In order to qualify as current and reasonable, estimates must be made no later than one month after the month in which the services were performed. Estimates determined before the performance of services, such as budget estimates on a monthly, quarterly, or yearly basis do not qualify as estimates of effort spent.

Prior to August 1995, M.D. Anderson had prepared their indirect cost proposals in accordance with A-21, which were approved by Department of Health and Human Services (DHHS). However in August 1995, DHHS informed M.D. Anderson that they were recognized as a hospital and should be utilizing the DHHS cost principles and procedures as set forth in OASC-3. At that time, M.D. Anderson was in the midst of implementing the plan confirmation system, which was in accordance with A-21.

In the summer of 1995, M.D. Anderson representatives met with DHHS officials in Washington, DC to discuss M.D. Anderson's continued use of the plan confirmation method. Since 1995, M.D. Anderson has prepared and submitted its yearly cost proposals in accordance with OASC-3, which have been approved by DHHS with no objections related to M.D. Anderson's continued use of the plan confirmation effort certification method.

In July 2000, M.D. Anderson sent a letter to DHHS requesting the consideration of a change to OASC-3, as it is being revised, to include alternative effort reporting methodologies consistent with A-21. This letter again advised DHHS that M.D. Anderson was using the plan confirmation effort certification method. Additionally, this letter included background and procedural attachments related to M.D. Anderson's plan confirmation certification.

To date, M.D. Anderson has not received a response from DHHS. Therefore, although payroll expenditures are supported by an effort reporting system, it is not an acceptable method under OASC-3.

Recommendation:

M.D. Anderson should seek further clarification from DHHS. The lack of a response from DHHS cannot be interpreted as an approval.

Management's Response and Corrective Action Plan:

*M.D. Anderson concurs with the recommendation of the auditor to seek further clarification from DHHS for the plan confirmation system. M.D. Anderson, as noted by the auditor, has continued to work with DHHS, Division of Cost Allocation (DCA) in Washington, DC (national office) and Dallas, TX (regional office) to resolve the "approval" of the plan confirmation system under OASC-3. We are seeking this approval on two levels with DCA; (1) as a request for approval as an alternative effort reporting system under OASC-3 (this action is tabled pending proposed changes to OASC-3 by DHHS) and (2) incorporation of the plan confirmation system in the update of OASC-3 currently in final draft at DCA. Representatives of M.D. Anderson met with the National Director of DCA last November to review the status of the OASC-3 update. We discussed the unintentional delays to the update process caused by a change in administration at the Federal level and actions of the tragedy of September 11th and subsequent retirement that impacted the New York office dealing directly with the rewrite process. The results of that meeting are that the National Director is seeking senior governmental support to issue the updated OASC-3 document through the notice of proposed rulemaking process within the next few months. Subsequent to that process the updated OASC-3 document replacement will be issued in final. At that time, the recommendation of the auditor above should be resolved.*

*Implementation Date: Pending DHHS's issuance of the revised OASC-3*

*Responsible Person: Rob Findlay*



**Water Development Board**

Reference No. 02-25

**Cash Management**

**CFDA 66.458 – Capitalization Grants for State Revolving Funds  
Type of Finding – Compliance**

The Water Development Board (WDB) drew Federal amounts from the EPA in excess of supporting documentation received by two program loan recipients in the amounts of \$90,962.00 and \$4,692.00. Per the State-Treasury agreement, the Capitalization Grants for State Revolving Funds funding technique is “Loan Fund Repayment”. As such, Federal draws are to be made as invoices are received from loan recipients. The Board shall request funds in the amount of the Federal share of eligible costs from invoices submitted.

Questioned Cost: \$ 95,652.00  
U.S. Environmental Protection Agency

Recommendation:

In order to strengthen controls, additional controls should be added to the cash management process. Controls should be sufficient such that supporting documentation submitted by loan recipients should be cross-referenced amongst itself along with previously submitted supporting documentation (i.e. for current amounts submitted based on amounts previously reported). In addition, WDB should promptly refund Federal amounts overdrawn to the EPA.

Management’s Response and Corrective Action Plan:

*In regard to the first loan recipient identified, we acknowledge the errors and have taken actions to correct these errors, these errors did not result in WDB holding funds in excess of the legal amount allowed under the grant agreement. We are entitled to Federal funds based upon incurred costs (for all projects). While we were overdrawn for the costs not incurred on the cited items, we had not drawn for incurred costs for the city of Corpus Christi, during this same period. Corpus Christi had incurred costs in this period, but delays in their submittal of the claim, for which costs were incurred, by which WDB would draw these funds. This statement is not intended to excuse the errors, but to provide assurances that the errors did not result in the Board holding funds in excess of costs incurred for all projects as a whole.*

*In regard to the second loan recipient identified, since the amounts drawn were advanced to the loan recipient in the form of a loan, interest accrued to WDB on the loan for the entire time the item remained unreconciled. The loan agreement provides for a final accounting procedure at the completion of the project that would have reconciled the eligible project expenditures with the advances. At that time, pursuant to the loan agreement, the loan recipient would have been required to repay any difference. As a comparison, in the EPA Title II Construction Grant Program, the same control procedures were in place. Although monthly draw procedures were in place to confirm invoices and eligibility of costs, there was a final accounting procedure that reconciled the final expenditures against the draws, with any discrepancy being resolved at the end of the project.*

*We will review our procedures and consider the appropriate additions to the review process that may be needed. Written procedure changes will be implemented as needed. Also additional training will be provided.*

Implementation Date: FY 2002

Responsible Person: Greg Olin

**Summary Schedule of Prior Audit Findings**

Federal Portion of  
Statewide Single Audit Report

For the Year Ended August 31, 2001

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Federal regulations (*Office of Management and Budget Circular A-133*) state that “the auditee is responsible for follow-up and corrective action on all audit findings.” As part of this responsibility, the auditee reports the corrective action it has taken for the following:

- Each finding in the 2000 Schedule of Findings and Questioned Costs
- Each finding in the 2000 Summary Schedule of Prior Audit Findings that was not identified as implemented or reissued as a current year finding

The Summary Schedule of Prior Audit Findings (year ended August 31, 2001) has been prepared to address these responsibilities.

**Commission on Alcohol and Drug Abuse**

Reference No. 01-555-20

**Continue to Improve Monitoring of Subrecipients**

(Prior Audit Issue - 00-555-52, 99-555-31, 99-555-33, 98-323-01)

**CFDA 93.959 - Block Grants for Prevention and Treatment of Substance Abuse**

Contract/Award - N/A

The Commission on Alcohol and Drug Abuse (Commission) improved its monitoring of subrecipients. However, during fiscal year 2000, the Commission did not ensure that subrecipient financial status reports were received and reviewed in a timely manner. Although the Commission developed a centralized manual system for maintaining provider information, that information is not kept current.

Initial Year Written: 1997  
Status: Implemented

U.S. Department of Health  
and Human Services

Corrective Action:

Corrective action was taken.

Reference No. 01-555-31

**Identify Priority Population Expenditures for Level of Effort Requirements for Woman’s Services**

**CFDA 93.959 - Block Grants for Prevention and Treatment of Substance Abuse**

Contract/Award - N/A

The Commission did not collect sufficient client information to identify expenditures for substance abuse treatment for pregnant women, women with dependent children, and women seeking the custody of their children (priority population). Therefore, it cannot be determined whether the Commission met its level of effort requirements for the *Block Grants for Prevention and Treatment of Substance Abuse*.

Initial Year Written: 2000  
Status: Partially Implemented

U.S. Department of Health  
and Human Services

Corrective Action:

This finding was reissued as current year reference number: 02-01

Reference No. 01-555-32

**Improve Disaster Recovery Plan**

(Prior Audit Issue - 00-555-59, 99-555-39)

**CFDA 93.959 - Block Grants for Prevention and Treatment of Substance Abuse  
Contract/Award - N/A**

Initial Year Written: 1998  
Status: Implemented

U.S. Department of Health  
and Human Services

The Commission's Disaster Recovery Plan, though improved, still lacks critical components and does not provide adequate assurance that the Commission could quickly resume operations to provide services to the public after a disaster, such as a fire or tornado

Corrective Action:

Corrective action was taken.

Reference No. 00-555-53

**Improve Internal Audit Function**

(Prior Audit Issue - 99-555-40)

**CFDA 93.959 - Block Grants for Prevention and Treatment of Substance Abuse**

The internal audit function was ineffective in assessing the adequacy of the Commission's internal controls. For fiscal year 1999, internal audit completed one audit, one special project, and two of the three control self-assessments in its revised audit plan. Although documentation of work performed had improved, it continued to be inadequate because internal audit did not comply with auditing standards for three of the projects. Documentation should contain enough information that a third party could determine whether the evidence supports the findings and recommendations.

Initial Year Written: 1998  
Status: Implemented

U.S. Department of Health  
and Human Services

Corrective Action:

Corrective action was taken.

Reference No. 00-555-57

**Improve Computer Security**

(Prior Audit Issue - 99-555-38)

**CFDA 93.959 - Block Grants for Prevention and Treatment of Substance Abuse**

The Commission's security procedures were inadequate to prevent and detect unauthorized access to its computer systems, although some improvements were made during fiscal year 1999.

Initial Year Written: 1998  
Status: Implemented

U.S. Department of Health  
and Human Services

Corrective Action:

Corrective action was taken.

Reference No. 00-555-58

**Improve Documentation and Ensure Integrity of Services Management System**  
(Prior Audit Issue - 99-555-28)

**CFDA 93.959 - Block Grants for Prevention and Treatment of Substance Abuse**

The Commission did not adequately document how the Services Management System (SMS) works or test that it functions as it should. SMS is a critical component of the Integrated Management System (IMS) and directly supports the Commission's critical business functions. SMS processes data related to provider billings, payments, and expenditures.

Initial Year Written:	1998
Status:	Implemented
U.S. Department of Health and Human Services	

Corrective Action:

Corrective action was taken.

**Office of the Attorney General**

Reference No. 01-555-43

**Ensure That Medical Support Enforcement Actions Are Complete and Accurate**  
(Prior Audit Issue - 00-555-01)

**CFDA 93.563 - Child Support Enforcement**  
**Contract/Award - N/A**

The Office of the Attorney General (Office) did not meet the 75 percent required compliance rate for enforcement of non-custodial parents' medical support obligations.

Initial Year Written: 1999  
Status: Implemented

U.S. Department of Health  
and Human Services

Corrective Action:

Corrective action was taken.

Reference No. 01-555-44

**Use Appropriate Resources to Establish Child Support Obligations**

**CFDA 93.563 - Child Support Enforcement**  
**Contract/Award - N/A**

The Office did not use all appropriate resources in its efforts to locate non-custodial parents to establish child support obligations.

Initial Year Written: 2000  
Status: Implemented

U.S. Department of Health  
and Human Services

Corrective Action:

Corrective action was taken.

**Education Agency**

Reference No. 01-555-51

**Strengthen Controls Over Subrecipient Monitoring**

(Prior Audit Issue - 00-555-46, 99-555-89)

- CFDA 10.555 – National School Lunch Programs
- CFDA 84.010 – Title I Grants - Local Educational Agencies
- CFDA 84.011 – Migrant Education - Basic State Formula Grant Program
- CFDA 84.027 – Special Education State Grants
- CFDA 84.048 – Vocational Education - Basic Grants to States
- CFDA 84.173 – Special Education - Preschool Grants
- CFDA 84.186 – Safe and Drug-Free Schools - State Grants
- CFDA 84.318 – Technology Literacy Challenge Fund Grants
- Contract/Award - N/A

A material weakness continues to exist in the subrecipient monitoring function at the Texas Education Agency (Agency).

Corrective Action:

Corrective action was taken.

Initial Year Written:	1998
Status:	Implemented
U.S. Department of Agriculture	
U.S. Department of Education	

Reference No. 01-555-52

**Strengthen Controls Over Accounting System**

(Prior Audit Issue - 00-555-47, 99-555-95, 99-555-94, 97-348-01)

- CFDA - All CFDA's
- Contract/Award - N/A

A material weakness continues to exist in the accounting and reporting controls at the Agency.

Corrective Action:

Corrective action was taken.

Initial Year Written:	1996
Status:	Implemented
U.S. Department of Agriculture	
U.S. Department of Education	

Reference No. 00-555-48

**Reconcile Accounting Records for Federal Programs to Federal Systems**

(Prior Audit Issue - 99-555-91)

- CFDA 10.553 - School Breakfast Program
- CFDA 10.555 - National School Lunch Programs
- CFDA 84.010 - Title I Grants - Local Educational Agencies
- CFDA 84.011 - Migrant Education - Basic State Formula Grant Program
- CFDA 84.027 - Special Education State Grants
- CFDA 84.186 - Safe and Drug-Free Schools - State Grants
- CFDA 84.196 - Education for Homeless Children and Youth
- CFDA 84.318 - Technology Literacy Challenge Fund Grants



The Agency has not reconciled ISAS accounting records for certain Federal programs with the accounting systems of its two major Federal awarding agencies. Both systems are used as the source for requesting and drawing Federal funds (\$2 billion in fiscal year 1999) from over 30 Federal programs administered by the respective agencies. When reconciliations are not performed, there is limited assurance that Federal funds drawn for a particular federal program are actually spent in that program.

Initial Year Written:	1998
Status:	Implemented
U.S. Department of Agriculture	
U.S. Department of Education	

Corrective Action:

Corrective action was taken.

Reference No. 99-555-90

**Strengthen Controls Over Monitoring Cash Management for Subrecipients**

- CFDA 10.560 - State Admin. Expenses for Child Nutrition**
- CFDA 84.186 - Safe and Drug-Free Schools - State Grants**
- CFDA 84.276 - Goals 2000 - State and Local Education Systemic Improvement Grant**
- CFDA 84.281 - Eisenhower Professional Development State Grants**
- CFDA 84.298 - Innovative Education Program Strategies**

The Agency did not monitor subrecipients' cash management to ensure compliance with Federal requirements that minimize the time Federal funds are on hand. In addition, the Agency's cash advancement procedures during fiscal year 1998, at times, resulted in excess balances of Federal funds on hand with subrecipients.

Initial Year Written:	1998
Status:	Implemented
U.S. Department of Agriculture	
U.S. Department of Education	

Corrective Action:

Corrective action was taken.

**Office of the Governor**

Reference No. 01-555-48

**Implement a Process to Verify the Quarterly Financial Status Report**

**CFDA 16.575 – Crime Victim Assistance**

**CFDA 16.579 – Byrne Formula Grant Program**

**Contract/Award – N/A**

Expenditures for the quarter ending June 30, 2000, reported on the Quarterly Financial Status Report in the amount of \$1,179,234.07 could not be validated for accuracy.

Initial Year Written:	2000
Status:	Implemented

U.S. Department of Justice

*Corrective Action:*

Corrective action was taken.

**Health and Human Services Commission**

Reference No. 01-555-35

**Investigate Questioned Costs to Determine Allowability****CFDA 93.778 - Medical Assistance Program**  
Contract/Award - N/A

The Department of Health (Department) paid \$10,458.30 (7.24 percent of amount tested) in questioned Medicaid costs from September 1, 1999, to November 30, 1999. The Federal portion of the questioned costs totals approximately \$6,484. These costs were questioned for the following reasons:

- Provider did not document services billed.
- Provider failed to furnish medical records upon request.
- Provider billed services at a higher rate than allowed.

Initial Year Written: 2000  
Status: Implemented

U.S. Department of Health  
and Human Services

Corrective Action:

Corrective action was taken.

**Department of Health**

Reference No. 01-555-36

**Strengthen Controls Over Financial Monitoring**

**CFDA 93.268 - Childhood Immunization Grants**  
**CFDA 93.917 - HIV Care Formula Grants**  
**CFDA 93.940 - HIV Prevention Activities - Health Department Based**  
**Contract/Award - N/A**

A weakness exists in the controls over financial compliance monitoring of subrecipients at the Department.

Initial Year Written: 2000  
Status: Partially Implemented

U.S. Department of Health

Corrective Action:

This finding was reissued as current year reference number: 02-11 and 02-15.

Reference No. 01-555-37

**Improve Tracking of Program Monitoring Activity**

**CFDA 93.917 - HIV Care Formula Grants**  
**CFDA 93.940 - HIV Prevention Activities - Health Department Based**  
**Contract/Award - N/A**

The Bureau of HIV and Sexually Transmitted Disease Prevention (Bureau) at the Department does not accurately track its program monitoring activity for the *HIV Care Formula Grants* and the *HIV Prevention Activities* grant.

Initial Year Written: 2000  
Status: Implemented

U.S. Department of Health  
and Human Services

Corrective Action:

Corrective action was taken.

Reference No. 01-555-38

**Ensure Certification of Suspension and Debarment From Wholesale Drug Provider**

**CFDA 93.917 - HIV Care Formula Grants**  
**Contract/Award - N/A**

The Department did not obtain suspension and debarment certification from the medication wholesale company contracted to supply medication under the *HIV Care Formula Grants*.

Initial Year Written: 2000  
Status: Partially Implemented

U.S. Department of Health  
and Human Services

Corrective Action:

This finding was reissued as current year reference number: 02-17

Reference No. 00-555-25

**Improve Monitoring of the Contract With National Heritage Insurance Company**

(Prior Audit Issue - 99-555-46)

**CFDA 93.778 - Medical Assistance Program**

The Department of Health (Department) has made progress in improving its monitoring of the contract with the National Heritage Insurance Company (NHIC). However, further improvements are still needed. The Department contracted with NHIC during fiscal year 1999 to administer Medicaid claims. The Department's Health Care Financing Division monitors NHIC.

Initial Year Written:	1998
Status:	Implemented
U.S. Department of Health and Human Services	

Corrective Action:

Corrective action was taken.

**Department of Housing and Community Affairs**

Reference No. 01-555-24

**Improve Subrecipient Monitoring Process**

(Prior Audit Issue - 00-555-42, 99-555-22)

**CFDA 14.239 - HOME Investment Partnerships Program**

**Contract/Award - N/A**

A material weakness continues to exist in the Department of Housing and Community Affairs' (Department) subrecipient monitoring process for the *HOME Investment Partnerships Program (HOME)*.

Initial Year Written: 1998  
Status: Partially Implemented

U.S. Department of Housing  
and Urban Development

Corrective Action:

This finding was reissued as current year reference number: 02-05, 02-06 and 02-07.

## Department of Human Services

Reference No. 01-555-18

### Strengthen the Expenditure Conversion Process

**CFDA 10.561 – State Administration Matching Grants for Food Stamp Program**

**CFDA 93.558 – Temporary Assistance for Needy Families**

**CFDA 93.767 – State Children’s Insurance Program**

**CFDA 93.778 – Medical Assistance Program**

**Contract/Award – N/A**

The Department of Human Services (Department) overstated its total expenditures by at least \$46.7 million in its fiscal year 2000 Annual Financial Report (AFR). Additionally, the Department overstated its total expenditures by an estimated \$125.5 million in its fiscal year 1998 AFR. The Federal portion of these overstated expenditures for the above listed programs was approximately \$27.4 million in fiscal year 2000 and approximately \$61.9 million in fiscal year 1998. Errors in reporting may cause users of the financial information to make decisions based on inaccurate data.

Initial Year Written: 2000  
Status: Implemented

U.S. Department of Agriculture  
U.S. Department of Health and  
Human Services

#### Corrective Action:

Corrective action was taken.

Reference No. 01-555-33

### Eligibility – Quality Assurance

(Prior Audit Issue - 00-555-18)

**CFDA 93.778 - Medical Assistance Program**

**Type of Finding - Control**

As of August 31, 2001 in response to a prior recommendation, DHS developed a new case reading and example guide uniform case review procedures and tested this guide during fiscal year 2001. In addition, DHS conducted formal training for designated regional staff. However, the prior recommendation also requested that DHS implement a mechanism for monitoring error correction on a periodic basis.

Initial Year Written: 1999  
Status: Partially Implemented

U.S. Department of Health  
and Human Services

The Code of Federal Regulations, Title 42, Section 42, Section 431.806, requires the State to have a Medicaid eligibility quality control system. The Code of Federal Regulations, Section 431.820, further requires the state agency to take corrective action when errors are found. Appropriate documentation of eligibility determination and the quality control process ensures adherence to required policies and procedures.

#### Management’s Response and Corrective Action Plan:

*Significant progress has been made to close all issues related to this finding. In addition to implementing a revised case reading and example guide and providing staff training, the following was also accomplished before August 31, 2001.*

- *Case reading Form 1217 was revised to include reporting causes of case errors.*
- *Regional Error Tracking Plans were submitted and evaluated by state office personnel.*

*To further improve case review and error correction processes, Medicaid Eligibility Specialists' Uniform Performance Plan expectations are being evaluated. Additionally, enhancements are being made to the Quality Assurance Management System (QAMS) to ensure data entry edits and validation reports are complete. QAMS is operational and regions are currently using the automated system to pull samples and run reports.*

*Revisions to the Medicaid Eligibility Specialists' Uniform Performance Plan is expected to be completed by August 2002. Enhancements to QAMS are expected to be completed by May 2002.*

*Responsible Persons:*

*Lynn Blackmore and Carlos Baca*

Reference No. 01-555-34

**Establish a Program for Conducting Periodic Risk Analyses and Security Reviews of Automated Data Processing Systems**

(Prior Audit Issue - 00-555-20, 99-555-48)

**CFDA 93.778 - Medical Assistance Program**

**Contract/Award - N/A**

The Department has not established and maintained a program for conducting periodic risk analyses and security reviews of the automated data processing systems it uses in the administration of Medicaid.

Initial Year Written:	1998
Status:	Implemented

U.S. Department of Health and Human Services
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Corrective Action:

Corrective action was taken.



Reference No. 01-555-19

**Request Sufficient Federal Funds to Minimize the Use of State Monies**

(Prior Audit Issue - 00-555-17)

**CFDA 10.561 - State Administration Matching Grants for Food Stamp Program**

**CFDA 93.558 - Temporary Assistance for Needy Families**

**Contract/Award - N/A**

The Department did not draw sufficient Federal funds to cover ongoing program expenditures, although it is requesting funds more frequently as recommended. For fiscal year 2000, the *Temporary Assistance for Needy Families and State Administrative Matching Grant* clearance patterns are negative 0.85 and 0.89 days, respectively.

Initial Year Written:	1999
Status:	Implemented
U.S. Department of Agriculture	
U.S. Department of Health and Human Services	

Corrective Action:

Corrective action was taken.

**Lamar University**

Reference No. 01-555-55

**Report Pell Payment Data in a Timely Manner**

**CFDA 84.063 - Federal Pell Grant Program**  
**Contract/Award - N/A**

Lamar University (University) does not report payment data for the *Federal Pell Grant Program* to the U.S. Department of Education (Department) as required.

Initial Year Written: 2000  
Status: Implemented  
U.S. Department of Education

Corrective Action:

Corrective action was taken.

Reference No. 00-555-10

**Report Enrollment Changes as Required**

**CFDA 84.032 - Federal Family Education Loans**

Lamar University (University) is not properly reporting enrollment changes for the Federal Family Education Loans program.

Initial Year Written: 1999  
Status: Implemented  
U.S. Department of Education

Corrective Action:

Corrective action was taken.

**Department of Mental Health and Mental Retardation – Central Office**

Reference No. 01-555-49

**Comply With Independent Peer Review Requirements**

(Prior Audit Issue - 00-555-21, 99-555-11)

**CFDA 93.958 - Block Grant for Community Mental Health Services**

**Contract/Award – N/A**

The Department of Mental Health and Mental Retardation (Department) does not have a process to ensure that independent peer reviews of funded treatment programs are performed as required by the *Block Grant for Community Mental Health Services* program.

Initial Year Written: 1998  
Status: Implemented

U.S. Department of Health  
and Human Services

Corrective Action:

Corrective action was taken.

Reference No. 01-555-50

**Strengthen Controls Over Cash Management Processes**

**CFDA 93.778 - Medical Assistance Program**

**Contract/Award - N/A**

The Department needs to strengthen controls over its cash management processes to ensure compliance with Federal requirements.

Initial Year Written: 2000  
Status: Implemented

U.S. Department of Health  
and Human Services

Corrective Action:

Corrective action was taken.

**Natural Resource Conservation Commission**

Reference No. 99-555-52

**Develop a Formal Disaster Recovery Plan**

**CFDA 66.605 - Performance Partnership Grants**

**CFDA 66.802 - Superfund State Site-Specific Cooperative Agreements**

The Natural Resource Conservation Commission (Commission) does not have an agency-wide disaster recovery plan for its automated systems. The Commission is completing nightly back-ups of critical computer data and storing them off site. However, there is no formalized, comprehensive disaster recovery plan that includes procedures and processes for conducting risk analyses, setting priorities for the recovery of information resources, and identifying which automation-based services are most critical to the Commission.

Initial Year Written: 1998  
Status: Partially Implemented

U.S. Environmental  
Protection Agency

Corrective Action:

*TNRCC entered into a contract with Northrop Grumman Technical Services Inc. for the period of August 31, 1999 through August 31, 2002 for disaster recovery services at the West Texas Disaster Recovery and Operations Center (WTDROC). The disaster recovery plan revision process is still on-going. Due to other high priority projects, requested funds for the development of an agency-wide disaster recovery/business continuity plan were not approved for FY2000 or FY2001. Funds will be requested again in FY2002. An Information Resources Division (IRD) Disaster Recovery Plan is currently being developed using IRD FTEs. The plan is expected to be completed by March 2002 and testing to be accomplished by the end of FY2002.*

**Parks and Wildlife Department**

Reference No. 01-555-45

**Develop a Process for Tracking Sport Fishing and Hunting License Revenues**

**CFDA 15.605 - Sport Fish Restoration**

**CFDA 15.611 - Wildlife Restoration**

**Contract/Award - N/A**

It could not be determined if the Parks and Wildlife Department (Department) is using sport fishing and hunting license revenues as required for the administration of state fish and wildlife programs (excluding law enforcement activities for predator, animal, and rodent control). License revenues are commingled with other funds in Fund 9 (Game, Fish and Water Safety). Because detailed expenditure information for that Fund does not exist, we were unable to determine if the license revenues were spent appropriately. The Department is at risk of spending license revenues on unallowable costs and could lose \$16 million in compliance with Federal regulations regarding the use of license revenue.

Initial Year Written:	2000
Status:	Partially Implemented
U.S. Department of the Interior	

Federal funds for not being in

The Code of Federal Regulations, Title 50, Section 80.3, allows a state to participate in the Sport Fish and Wildlife program only after it passes legislation for fish and wildlife conservation. This legislation must include a prohibition against using sport fishing and hunting license fees for purposes other than administration of the fish and wildlife agency. In addition, the *Office of Management and Budget Circular A-133 Compliance Supplement* states that administration of the state fish and wildlife agency consists only of those functions required to manage the state's fish and wildlife resources. Law enforcement activities for predator, animal, and rodent control are not considered fish and wildlife administration.

Recommendation:

The Department should develop a tracking system to ensure that all hunting and sport fisherman license revenues are spent only on fish and wildlife program administration (excluding law enforcement activities for predator, animal, and rodent control).

Management Response and Corrective Action:

*The Department has been in discussions with a Federal representative from the Fish and Wildlife Department regarding the Department's methodology used to record and allocate costs to specific programs. To date, the Department is waiting on an official response from the Federal program representative approving this methodology.*

*Implementation Date: Completion contingent on the finalization of Federal report*

*Responsible Person: Chief Financial Officer*

**Department of Protective and Regulatory Services**

Reference No. 01-555-39

**Strengthen Controls Over the PMS 272 Federal Cash Transactions Report**

**CFDA 93.556 - Promoting Safe and Stable Families**  
**CFDA 93.558 - Temporary Assistance for Needy Families**  
**CFDA 93.645 - Child Welfare Services - State Grants**  
**CFDA 93.658 - Foster Care - Title IV-E**  
**Contract/Award - N/A**

The Department of Protective and Regulatory Services (Department) does not have a review process in place for the Payment Management System 272 Federal Cash Transactions Report (PMS 272 Report).

Initial Year Written: 2000  
Status: Implemented

U.S. Department of Health  
and Human Services

Corrective Action:

Corrective action was taken.

Reference No. 01-555-40

**Perform Reconciliations for Foster Care Income**

(Prior Audit Issue - 00-555-33, 98-318-02)

**CFDA 93.658 - Foster Care - Title IV-E**  
**Contract/Award - N/A**

While significant efforts have been made to improve the current control environment over the income received on behalf of foster care children, the Department has not yet performed a full reconciliation of this income for fiscal years 1999 and 2000.

Initial Year Written: 1997  
Status: Implemented

U.S. Department of Health  
and Human Services

Corrective Action:

Corrective action was taken.

Reference No. 01-555-41

**Strengthen Controls Over Accounting for Overpayments**

**CFDA 93.556 - Promoting Safe and Stable Families**  
**CFDA 93.558 - Temporary Assistance for Needy Families**  
**CFDA 93.658 - Foster Care - Title IV-E**  
**Contract/Award - N/A**

The Department had known overpayments of about \$370,000 to vendors as of December 19, 2000, but had not reported these overpayments accurately in the general ledger or in Federal financial reports. As a result, the Department is not in full compliance with Federal reporting guidelines that require expenditures be reported net of all applicable credits and overpayments.

Initial Year Written: 2000  
Status: Implemented

U.S. Department of Health  
and Human Services

Corrective Action:

Corrective action was taken.

Reference No. 00-555-51

**Strengthen Controls Over Monitoring of Subrecipients and Vendors With Compliance Requirements**

(Prior Audit Issue - 99-555-82, 98-318-03)

**CFDA 93.658 - Foster Care - Title IV-E**

A material weakness continues to exist in the Department of Protective and Regulatory Services' (Department) controls over monitoring of subrecipients and vendors with compliance responsibilities for the Foster Care - Title IV-E program. Because the Department does not monitor subrecipients or vendors effectively, all funds paid to these entities were at risk of being spent on unallowable costs, which could lead to lost Federal funding.

Initial Year Written:	1997
Status:	Implemented
U.S. Department of Health and Human Services	

Corrective Action:

Corrective action was taken.

Reference No. 00-555-31

**Adjust Overclaims of Federal Funds in a Timely Manner**

**CFDA 93.658 - Foster Care - Title IV-E**

The Department does not adjust overclaims of Federal funds in a timely manner. These adjustments are necessary to correct overclaims made by child placing agencies for foster care maintenance payments.

Initial Year Written:	1999
Status:	Implemented
U.S. Department of Health and Human Services	

Corrective Action:

Corrective action was taken.

**Department of Public Safety**

Reference No. 01-555-14

**Complete All Reporting and Related Monitoring**

**CFDA 83.544 – Public Assistance Grants**  
**Contract/Award - N/A**

The Department of Public Safety (Department) is not in compliance with the Federal Emergency Management Agency (FEMA) requirement for quarterly reporting and related monitoring.

Initial Year Written: 2000  
Status: Implemented

U.S. Federal Emergency  
Management Agency

Corrective Action:

Corrective action was taken.

Reference No. 01-555-16

**Perform Timely Reviews of A-133 Audit Reports**

**CFDA 83.544 – Public Assistance Grants**  
**CFDA 83.552 – Emergency Management Performance Grant**  
**Contract/Award - N/A**

The Department has not performed timely reviews of *A-133* audit reports submitted by its subrecipients.

Initial Year Written: 2000  
Status: Partially Implemented

U.S. Federal Emergency  
Management Agency

Corrective Action:

This finding was reissued as current year reference number: 02-10

Reference No. 01-555-17

**Develop a System to Track Expenditures for Planning and Administration**

**CFDA 20.600 – State and Community Highway Safety**  
**CFDA 20.604 – Seat Belt Employment Enforcement Incentive Grants**  
**Contract/Award – N/A**

The Department's controls over the tracking of planning and administration costs do not ensure compliance with the Federal earmarking requirement.

Initial Year Written: 2000  
Status: Implemented

U.S. Department of  
Transportation

Corrective Action:

Corrective action was taken.



Reference No. 01-555-15

**Certify Suspension and Debarment**

**CFDA 83.544 – Public Assistance Grants**

**Contract/Award - N/A**

The Department did not comply with the Federal suspension and debarment requirement.

Initial Year Written: 2000  
Status: Implemented

U.S. Federal Emergency  
Management Agency

Corrective Action:

Corrective action was taken.

**Southwest Texas State University**

Reference No. 01-555-3

**Ensure Students Meet All Eligibility Criteria**

**CFDA 84.032 - Federal Family Education Loans**  
**CFDA 84.268 - Federal Direct Loan**  
**Contract/Award - N/A**

Southwest Texas State University (University) does not ensure that students meet all eligibility criteria for the *Federal Family Education Loans* and the *Federal Direct Loan* program.

Initial Year Written: 2000  
Status: Implemented  
U.S. Department of Education

Corrective Action:

Corrective action was taken.

Reference No. 01-555-4

**Report Pell Payment Data in a Timely Manner**

**CFDA 84.063 - Federal Pell Grant Program**  
**Contract/Award - N/A**

The University is not reporting payment data for the *Federal Pell Grant Program* to the U.S. Department of Education as required.

Initial Year Written: 2000  
Status: Implemented  
U.S. Department of Education

Corrective Action:

Corrective action was taken.

Reference No. 01-555-5

**Report Enrollment Changes as Required**

**CFDA 84.032 - Federal Family Education Loans**  
**CFDA 84.268 - Federal Direct Loan**  
**Contract/Award - N/A**

The University does not properly report enrollment changes for the *Federal Family Education Loans* and the *Federal Direct Loan* programs.

Initial Year Written: 2000  
Status: Implemented  
U.S. Department of Education

Corrective Action:

Corrective action was taken.

**Sul Ross State University**

Reference No. 01-555-6

**Report Enrollment Changes as Required**

(Prior Audit Issue - 99-555-10, 98-333-02, 97-332-06)

**CFDA 84.032 - Federal Family Education Loans**

**Contract/Award - N/A**

Sul Ross State University (University) is not properly reporting enrollment changes for the *Federal Family Education Loans* program.

Initial Year Written: 1996  
Status: Implemented

U.S. Department of Education

Corrective Action:

Corrective action was taken.

**Texas A&M International University**

Reference No. 00-555-16

**Report Enrollment Changes as Required**

(Prior Audit Issue - 99-555-14, 98-331-02)

**CFDA 84.032 - Federal Family Education Loans**

Texas A&M International University (University) is not reporting enrollment changes in a timely manner for the *Federal Family Education Loans* program.

Initial Year Written:	1997
Status:	Implemented
U.S. Department of Education	

Corrective Action:

Corrective action was taken.

**Texas A&M University - Corpus Christi**

Reference No. 99-555-2

**Report Enrollment Changes to the Guarantor, Lender, or U.S. Department of Education**

**CFDA 84.032 - Federal Family Education Loans**

Texas A&M University – Corpus Christi (University) is not reporting all enrollment changes for the Federal Family Education Loans program to the guarantor, lender, or U.S. Department of Education. In addition, the University is not retaining records or documentation of the enrollment change updates in the National Student Loan Data System.

Initial Year Written: 1998  
Status: Implemented  
U.S. Department of Education

Corrective Action:

Corrective action was taken.

**Texas A&M University - Kingsville**

Reference No. 01-555-11

**Develop and Implement Formal Policies and Procedures over Monitoring Security Violations**

(Prior Audit Issue - 99-555-24)

**CFDA 84.007 - Supplemental Educational Opportunity Grants**  
**CFDA 84.032 - Federal Family Education Loans**  
**CFDA 84.033 - Federal Work-Study Program**  
**CFDA 84.038 - Federal Perkins Loan Program - Federal Capital Contributions**  
**CFDA 84.063 - Federal Pell Grant Program**  
**Contract/Award - N/A**

Texas A&M University – Kingsville (University) has not formally established and documented its internal policies and procedures for following up on reported security violations.

Initial Year Written: 1998  
Status: Implemented  
U.S. Department of Education

Corrective Action:

Corrective action was taken.

Reference No. 00-555-12

**Report Enrollment Changes as Required**

(Prior Audit Issue - 99-555-26)

**CFDA 84.032 - Federal Family Education Loans**

Texas A&M University – Kingsville (University) is not properly reporting all enrollment changes for the *Federal Family Education Loans* program.

Initial Year Written: 1998  
Status: Implemented  
U.S. Department of Education

Corrective Action:

Corrective action was taken.

Reference No. 00-555-13

**Maintain Documentation of Pell Payment Data Submitted to U.S. Department of Education**

**CFDA 84.063 - Federal Pell Grant Program**

The University is not maintaining necessary documentation to support the reporting of all Pell payment data to the U.S. Department of Education for the *Federal Pell Grant Program*.

Initial Year Written: 1999  
Status: Implemented  
U.S. Department of Education

Corrective Action:

Corrective action was taken.

Reference No. 00-555-14

**Obtain Financial Aid Transcripts**

(Prior Audit Issue - 99-555-29)

**CFDA 84.032 - Federal Family Education Loans**

**CFDA 84.063 - Federal Pell Grant Program**

The University is not obtaining financial aid transcripts for all transfer students who receive Federal financial assistance as required.

Initial Year Written:	1998
Status:	Implemented
U.S. Department of Education	

Corrective Action:

Corrective action was taken.

Reference No. 99-555-32

**Report Pell Payment Data to U.S. Department of Education Within 30 Days**

**CFDA 84.063 - Federal Pell Grant Program**

The University is not reporting payment data on the *Federal Pell Grant Program* to the U.S. Department of Education within 30 days as required.

Initial Year Written:	1998
Status:	Implemented
U.S. Department of Education	

Corrective Action:

Corrective action was taken.

**Texas Agricultural Experiment Station**

Reference No. 01-555-28

**Strengthen Policies and Procedures for Obtaining Vendor Certifications of Suspension and Debarment**  
(Prior Audit Issue - 99-555-75)

**CFDA 10.200 - Grants for Agricultural Research, Special Research Grants**  
**Contract/Award - N/A**

The Texas Agricultural Experiment Station (Experiment Station) does not have adequate procedures for obtaining vendor certifications.

Initial Year Written: 1998  
Status: Implemented

U.S. Department of Agriculture

Corrective Action:

Corrective action was taken.

Reference No. 00-555-43

**Strengthen Controls Over Cash Management**  
(Prior Audit Issue - 99-555-74)

**CFDA 10.001 - Agricultural Research-Basic and Applied Research**  
**CFDA 10.203 - Payments to Agricultural Experiment Stations under Hatch Act**

The Texas Agricultural Experiment Station's (Experiment Station) controls over cash management continue to be inadequate to ensure compliance with Federal requirements.

Initial Year Written: 1998  
Status: Partially Implemented

U.S. Department of Agriculture

Corrective Action:

*Management intends to set up a separate interest bearing account in which to maintain Federal funds until they are spent in order to comply with Federal regulations. Estimated completion date is January 31, 2002.*

Reference No. 00-555-44

**Improve Subrecipient Monitoring Procedures**  
(Prior Audit Issue - 99-555-76)

**CFDA 10.200 - Grants for Agricultural Research, Special Research Grants**  
**CFDA 10.206 - Grants for Agricultural Research-Competitive Research Grants**  
**CFDA 10.901 - Resource Conservation and Development**  
**CFDA 12.114 - Collaborative Research and Development**  
**CFDA 12.300 - Basic and Applied Scientific Research**  
**CFDA 66.500 - Environmental Protection-Consolidated Research**  
**CFDA 81.049 - Basic energy Science – University and Science Education**  
**CFDA 93.846 - Arthritis, Musculoskeletal and Skin Disease Research**  
**CFDA 93.862 - Genetics and Developmental Biology Research**  
**CFDA 93.864 - Population Research**



The Experiment Station has made improvements to comply with subrecipient monitoring requirements as they relate to the Single Audit; however, it has not implemented procedures to ensure adequate monitoring of all subrecipients.

Corrective Action:

*Management is currently working on improving its risk analysis of subrecipient monitoring. The documentation used to track subrecipients, required forms, certifications, audits, etc., will be expanded to indicate the level of risk assigned to each subrecipient. Risk level will be based on the subrecipient status as a State of Texas Agency, Other State Agency, Government Agency, Private Corporation, etc. The expected date of completion is April 1, 2002.*

Initial Year Written:	1998
Status:	Partially Implemented
U.S. Department of Agriculture	
U.S. Department of Defense	
U.S. Environmental Protection Agency	
U.S. Department of Energy	
U.S. Department of Health and Human Services	

Reference No. 99-555-78

**Strengthen the Control Environment Over Research and Development Programs**

- CFDA 10.001 - Agricultural Research-Basic and Applied Research**
- CFDA 10.200 - Grants for Agricultural Research, Special Research Grants**
- CFDA 10.203 - Payments to Agricultural Experiment Stations under Hatch Act**
- CFDA 10.206 - Grants for Agricultural Research-Competitive Research Grants**
- CFDA 93.103 - Food and Drug Administration-Research**

The number and type of audit findings, inadequate policies and procedures, and untrained staff result in the conclusion that the control environment over research and development programs should be strengthened at the Experiment Station.

Corrective Action:

This finding was based in part on the occurrence of the other findings. Follow-up of those other findings indicates that not all have been completely corrected. Therefore, additional follow-up and the final determination of the complete resolution of this finding is still pending and will continue to be reviewed in future audits.

Initial Year Written:	1998
Status:	Partially Implemented
U.S. Department of Agriculture	
U.S. Department of Health and Human Services	

**Texas Agricultural Extension Service**

Reference No. 01-555-25

**Strengthen Controls Over Equipment**

**CFDA 10.500 - Cooperative Extension Service**  
**Contract/Award - N/A**

The Texas Agricultural Extension Service's (Extension Service) controls over equipment do not ensure that equipment is being used for the Federal programs as intended.

Initial Year Written: 2000  
Status: Partially Implemented

U.S. Department of  
Agriculture

Corrective Action:

*Internal audit conducted a follow-up review that indicated some inventory items were still unable to be located. Therefore, annual inventories are being conducted by each unit and reported to the Extension Service. The Extension Service communicates the importance of timely review and certification to all units on an annual basis. In addition, the Extension Service conducts an administrative review of timely reporting of unit inventories with prompt follow-up to any unit not in strict compliance with reporting deadlines. The Extension Service will schedule random spot inventory checks by the Property Office during FY 2002 to further review inventory accuracy and accountability.*

Reference No. 01-555-26

**Strengthen Policies and Procedures for Research and Development Programs**

**CFDA - All Research and Development CFDA's**  
**Contract/Award - N/A**

The Extension Service has inadequate or nonexistent written policies and procedures for cash management, Federal financial reporting, and matching. When policies and procedures for these areas are not documented and communicated to employees, management cannot ensure compliance with Federal regulations in research and development programs.

Initial Year Written: 2000  
Status: Partially Implemented

U.S. Department of  
Agriculture

and Other Federal Agencies

Corrective Action:

This finding was based in part on the occurrence of the other findings. Follow-up of those other findings indicates that not all have been completely corrected. Therefore, additional follow-up and the final determination of the complete resolution of this finding is still pending and will continue to be reviewed in future audits.

Reference No. 01-555-27

**Strengthen Controls Over Payroll and Personnel**

**CFDA - All Research and Development CFDA's**  
**Contract/Award - N/A**

The Extension Service does not adequately segregate the personnel and payroll disbursement functions.

Initial Year Written: 2000  
Status: Implemented

U.S. Department of  
Education

and Other Federal Agencies

Corrective Action:

Corrective action was taken.

**Texas Southern University**

Reference No. 01-555-42

**Strengthen Controls Over Federal Research and Development Payroll**

(Prior Audit Issue - 99-555-69, 99-555-96)

**CFDA - All Research and Development CFDA's**  
**Contract/Award - N/A**

A material weakness continues to exist in controls over payroll at Texas Southern University (University).

Corrective Action:

Corrective action was taken.

Initial year Written: 1998  
Status: Implemented  
  
U.S. Department of Health and Human Services  
  
and Other Federal Agencies

Reference No. 01-555-57

**Improve Reporting of Research and Development in the Schedule of Expenditures of Federal Awards**

**CFDA 20.701 – University Transportation Centers Program**  
**CFDA 43.000 – National Aeronautics and Space Administration**  
**CFDA 47.076 – Education and Human Resources**  
**CFDA 66.607 – Training and Fellowships for the Environmental Protection Agency**  
**CFDA 66.950 – Environmental Education and Training Program**  
**CFDA 84.281 – Eisenhower Professional Development State Grants**  
**CFDA 93.822 – Health Careers Opportunity Program**  
**Contract/Award – N/A**

The University did not correctly report all Research and Development (R&D) programs as part of the Research and Development Cluster (R&D Cluster) in the Schedule of Expenditures of Federal Awards (Federal Schedule).

Corrective Action:

Corrective action was taken.

Initial Year Written: 2000  
Status: Implemented  
  
U.S. Department of Transportation  
  
National Aeronautics and Space Administration  
  
National Science Foundation  
  
U.S. Environmental Protection Agency  
  
U.S. Department of Education  
  
U.S. Department of Health and Human Services

Reference No. 01-555-12

**Report Enrollment Changes as Required**

**CFDA 84.032 - Federal Family Education Loans**

**Contract/Award - N/A**

The University does not properly report enrollment changes for the *Federal Family Education Loans* program.

Initial Year Written:	2000
Status:	Implemented
U.S. Department of Education	

Corrective Action:

Corrective action was taken.

Reference No. 96-042-3

**Ensure That All Students Are Maintaining Satisfactory Academic Progress**

(Prior Audit Issue – 4-046)

**CFDA 84.032 - Federal Family Education Loans**

**CFDA 84.063 - Federal Pell Grant Program**

Texas Southern University (University) is not ensuring that all recipients of the *Federal Pell Grant Program*, *Federal Family Education Loans* program and other Federal financial assistance programs are maintaining satisfactory academic progress.

Initial Year Written:	1993
Status:	Implemented
U.S. Department of Education	

Corrective Action:

Corrective action was taken.

**Texas Woman's University**

Reference No. 01-555-1

**Report Pell Payment Data in a Timely Manner**

**CFDA 84.063 - Federal Pell Grant Program**  
**Contract/Award - N/A**

Texas Woman's University (University) does not report payment data for the *Federal Pell Grant Program* to the U.S. Department of Education as required.

Initial Year Written: 2000  
Status: Implemented

U.S. Department of Education

Corrective Action:

Corrective action was taken.

Reference No. 01-555-2

**Report Enrollment Changes as Required**

**CFDA 84.032 - Federal Family Education Loans**  
**Contract/Award - N/A**

The University does not properly report enrollment changes for the *Federal Family Education Loans* program.

Initial Year Written: 2000  
Status: Implemented

U.S. Department of Education

Corrective Action:

Corrective action was taken.

**University of Houston - Clear Lake**

Reference No. 00-555-11

**Report Pell Payment Data in a Timely Manner**

**CFDA 84.063 - Federal Pell Grant Program**

The University of Houston – Clear Lake (University) is not reporting payment data for the *Federal Pell Grant Program* to the U.S. Department of Education (Department) as required.

Initial Year Written:	1999
Status:	Implemented
U.S. Department of Education	

Corrective Action:

Corrective action was taken.

**The University of Texas at Arlington**

Reference No. 01-555-9

**Report Pell Payment Data in a Timely Manner**

**CFDA 84.063 - Federal Pell Grant Program**  
Contract/Award - N/A

The University of Texas at Arlington (University) is not reporting payment data for the *Federal Pell Grant Program* to the U.S. Department of Education (Department) as required.

Initial Year Written: 2000  
Status: Implemented

U.S. Department of Education

Corrective Action:

Corrective action was taken.

Reference No. 01-555-8

**Strengthen Controls Over Time Cards**

**CFDA 84.033 - Federal Work-Study Program**  
Contract/Award - N/A

The University should strengthen controls over time cards to ensure that the time cards submitted for payment properly reflect the actual hours worked.

Initial Year Written: 2000  
Status: Implemented

U.S. Department of Education

Corrective Action:

Corrective action was taken.

Reference No. 01-555-7

**Report Enrollment Changes as Required**

**CFDA 84.032 - Federal Family Education Loans**  
Contract/Award - N/A

The University does not properly report enrollment changes for the *Federal Family Education Loans* program.

Initial Year Written: 2000  
Status: Partially Implemented

U.S. Department of Education

Corrective Action:

This finding was reissued as current year reference number: 02-52

**The University of Texas at Brownsville**

Reference No. 01-555-13

**Report Enrollment Changes as Required**

**CFDA 84.032 - Federal Family Education Loans**  
**Contract/Award - N/A**

The University of Texas at Brownsville (University) does not properly report enrollment changes for the *Federal Family Education Loans* program.

Initial Year Written: 2000  
Status: Implemented

U.S. Department of Education

Corrective Action:

Corrective action was taken.



**The University of Texas at El Paso**

Reference No. 01-555-29

**Report Pell Payment Data in a Timely Manner**

**CFDA 84.063 – Federal Pell Grant Program**  
**Contract/Award – N/A**

The University of Texas at El Paso (University) is not reporting payment data for the *Federal Pell Grant Program* to the U.S. Department of Education as required.

Initial Year Written: 2000  
Status: Implemented

U.S. Department of Education

Corrective Action:

Corrective action was taken.

Reference No. 01-555-10

**Strengthen Policies and Procedures for Obtaining Vendor Certifications of Suspension and Debarment**  
(Prior Audit Issue - 00-555-29)

**CFDA – All Research and Development CFDA**  
**Contract/Award – N/A**

The University does not have adequate procedures for obtaining vendor certifications of suspension and debarment.

Initial Year Written: 1999  
Status: Implemented

National Science Foundation  
and Other Federal Agencies

Corrective Action:

Corrective action was taken.

Reference No. 00-555-28

**Develop Policies and Procedures for Federal Programs**

**CFDA - All Research and Development CFDA**  
**CFDA 84.063 - Federal Pell Grant Program**

The University of Texas at El Paso (University) does not have adequate written policies and procedures for all Federal compliance areas.

Initial Year Written: 1999  
Status: Implemented

U.S. Department of Education

Corrective Action:

Corrective action was taken.

Reference No. 00-555-7

**Report Enrollment Changes in a Timely Manner**

**CFDA 84.032 - Federal Family Education Loans**

The University is not reporting all enrollment changes in a timely manner for the *Federal Family Education Loans* program.

Initial Year Written:	1999
Status:	Implemented
U.S. Department of Education	

Corrective Action:

Corrective action was taken.

Reference No. 00-555-24

**Strengthen Controls Over Equipment**

**CFDA 12.630 – Basic, Applied, and Advanced Research in Science and Engineering**

**CFDA 17.246 - Employment and Training Assistance - Dislocated Workers**

**CFDA 47.049 - Mathematical and Physical Sciences**

**CFDA 47.070 - Computer and Information Science and Engineering**

**CFDA 47.076 - Education and Human Resources**

The University should strengthen controls over equipment to ensure compliance with Federal requirements.

Initial Year Written:	1999
Status:	Implemented
U.S. Department of Labor	
National Science Foundation	
U.S. Department of Defense	

Corrective Action:

Corrective action was taken.

Reference No. 00-555-8

**Recalculate Pell Grant Awards if Expected Family Contribution Changes**

**CFDA 84.063 - Federal Pell Grant Program**

The University is not always recalculating all Federal Pell Grant (Pell) awards when a change in expected family contribution (EFC) occurs.

Initial Year Written:	1999
Status:	Implemented
U.S. Department of Education	

Corrective Action:

Corrective action was taken.

**The University of Texas at San Antonio**

Reference No. 00-555-15

**Perform Reconciliations for Pell Grants**

**CFDA 84.063 - Federal Pell Grant Program**

The University of Texas at San Antonio (University) has not performed reconciliations for its *Federal Pell Grant Program* (Pell) awards.

Initial Year Written: 1999  
 Status: Implemented  
 U.S. Department of Education

Corrective Action:

Corrective action was taken.

Reference No. 99-555-9

**Maintain Enrollment Changes Documentation**

**CFDA 84.032 - Federal Family Education Loans**

The University is not maintaining copies of the Student Status Confirmation Report (SSCR) for three years as required for the *Federal Family Education Loans* program.

Initial Year Written: 1998  
 Status: Implemented  
 U.S. Department of Education

Corrective Action:

Corrective action was taken.

Reference No. 99-555-7

**Report Pell Payment Data to U.S. Department of Education Within 30 Days**

**CFDA 84.063 - Federal Pell Grant Program**

The University is not maintaining necessary documentation to support the reporting of all Pell payment data to the to the U.S. Department of Education for the *Federal Pell Grant Program*.

Initial Year Written: 1998  
 Status: Implemented  
 U.S. Department of Education

Corrective Action:

Corrective action was taken.

Reference No. 99-555-8

**Properly Verify Accuracy of Student Applications**

**CFDA 84.032 - Federal Family Education Loans**

The University is not properly verifying application information for *Federal Family Education Loans* program applicants selected by the U.S. Department of Education.

Initial Year Written: 1998  
 Status: Implemented  
 U.S. Department of Education

Corrective Action:

Corrective action was taken.

Reference No. 99-555-6

**Distribute Loan Proceeds at Prescribed Times**

**CFDA 84.032 - Federal Family Education Loans**

The University is not ensuring that loan proceeds are distributed to students at the prescribed time for the *Federal Family Education Loans* program.

Initial Year Written:	1998
Status:	Implemented
U.S. Department of Education	

Corrective Action:

Corrective action was taken.

**The University of Texas Health Science Center at San Antonio**

Reference No. 99-555-84

**Report Enrollment Changes in a Timely Manner**

**CFDA 84.032 - Federal Family Education Loans**

The University of Texas Health Science Center at San Antonio (University) is not reporting all enrollment changes for the *Federal Family Education Loans* program to the guarantor, lender, or U.S. Department of Education.

Initial Year Written:	1998
Status:	Implemented
U.S. Department of Education	

Corrective Action:

Corrective action was taken.

**The University of Texas Medical Branch at Galveston**

Reference No. 00-555-40

**Limit Expenditures to Allowable Costs**

**CFDA 93.824 - Area Health Education Centers**

The University of Texas Medical Branch at Galveston (University) does not have adequate controls over expenditures to ensure that only allowable costs are charged to Federal research and development awards.

Initial Year Written: 1999  
Status: Implemented

U.S. Department of Health  
and Human Services

Corrective Action:

Corrective action was taken.

Reference No. 00-555-5

**Strengthen Controls Over Equipment**

**CFDA 93.393 - Cancer Cause and Prevention Research**

**CFDA 93.837 - Heart and Vascular Diseases Research**

**CFDA 93.847 - Diabetes, Endocrinology and Metabolism Research**

The University should strengthen controls over equipment to ensure compliance with Federal requirements.

Initial Year Written: 1999  
Status: Partially Implemented

U.S. Department of Health  
and Human Services

Corrective Action:

*The University has begun implementing procedures to strengthen controls over equipment, including completing a complete inventory of fixed assets, bar coding equipment, and tracking the bar code tags in a database and reconciling that information with the information in the fixed asset management system. Projected completion of inventory and bar coding is March 2002 and projected reconciliation adjustments completion is August 2002.*

Reference No. 00-555-37

**Implement Controls Over Suspension and Debarment Requirements**

**CFDA 45.130 - Promotion of the Humanities – Challenge Grants**

**CFDA 93.393 - Cancer Cause and Prevention Research**

**CFDA 93.824 - Area Health Education Centers**

**CFDA 93.856 - Microbiology and Infectious Diseases Research**

The University does not have controls in place to ensure that vendors who receive \$100,000 or more for approved transactions or subrecipients have not been suspended or debarred. For two vendors and three subrecipients tested, the University did not have required certifications. As a result, the University may be doing business with vendors and subrecipients who are suspended or debarred by the Federal government.

Initial Year Written: 1999  
Status: Implemented

National Foundation on the  
Arts and Humanities

U.S. Department of Health  
and Human Services

Corrective Action:

Corrective action was taken.

**The University of Texas Southwestern Medical Center at Dallas**

Reference No. 01-555-30

**Strengthen Policies and Procedures for Obtaining Vendor Certifications of Suspension and Debarment**

**CFDA – All Research and Development CFDA**  
**Contract/Award – N/A**

The University of Texas Southwestern Medical Center at Dallas (University) does not have adequate procedures for obtaining vendor certifications of suspension and debarment.

Initial Year Written: 2000  
Status: Implemented

U.S. Department of Health  
and Human Services  
And Other Federal Agencies

Corrective Action:

Corrective action was taken.

**Water Development Board**

Reference No. 01-555-21

**Strengthen Tracking of Earmarked Funds**

**CFDA 66.000 – Colonia Wastewater Treatment Assistance Program  
Contract/Award – N/A**

The Water Development Board (Board) does not have a comprehensive process to ensure that Federal fund drawdowns for the *Colonia Wastewater Treatment Assistance Program* (CWTAP) comply with budgeted (earmarked) amounts per the grant agreements.

Initial Year Written: 2000  
Status: Implemented  
U.S. Environmental Protection Agency

Corrective Action:

Corrective action was taken.

Reference No. 01-555-22

**Revise Contracts to Ensure Timely Initiation of Construction**

**CFDA 66.000 – Colonia Wastewater Treatment Assistance Program  
Contract/Award – N/A**

The Board's contracts with subrecipients do not state that subrecipients are required to begin construction within one year of contract execution in accordance with Federal regulations.

Initial Year Written: 2000  
Status: Implemented  
U.S. Environmental Protection Agency

Corrective Action:

Corrective action was taken.

Reference No. 01-555-23

**Ensure Accuracy and Timely Submission of Federal Reports**

**CFDA 66.000 – Colonia Wastewater Treatment Assistance Program  
Contract/Award – N/A**

The Board has not ensured for CWTAP that *Minority Business Enterprise (MBE)/Women Business Enterprise (WBE) Utilization Under Federal Grants, Cooperative Agreements, and Interagency Agreements* quarterly reports contain correct contract/procurement information. In addition, the Board did not ensure that CWTAP reports were submitted to the EPA by Federal deadlines.

Initial Year Written: 2000  
Status: Implemented  
U.S. Environmental Protection Agency

Corrective Action:

Corrective action was taken.



Reference No. 01-555-53

**Improve Accuracy of Bond Schedules**

**No CFDA**

**Contract/Award – N/A**

The Board did not produce accurate Supplementary Bond Schedules (bond schedules) in its *Annual Financial Report* (AFR) for fiscal year 2000.

Initial Year Written: 2000  
Status: See Corrective Action

U.S. Environmental Protection  
Agency

Corrective Action:

See State Auditor's Office report entitled the Financial Portion of the 2001 Statewide Single Audit Report dated February 21, 2002.

**Workforce Commission**

Reference No. 01-555-46

**Strengthen Program Monitoring and Financial Oversight**

**CFDA 17.245 - Trade Adjustment Assistance - Workers**  
**Contract/Award – N/A**

The Workforce Commission (Agency) has not ensured that Trade Adjustment Assistance (TAA) funds have been spent in accordance with Federal requirements because of weaknesses in program monitoring and fund management.

Initial Year Written: 2000  
Status: Implemented  
  
U.S. Department of Labor

Corrective Action:

Corrective action was taken.

Reference No. 01-555-47

**Ensure Federal Funds Are Reported Accurately**

**CFDA 17.225 - Unemployment Insurance**  
**CFDA 17.245 - Trade Adjustment Assistance – Workers**  
**CFDA 83.541 - Disaster Unemployment Assistance**  
**Contract/Award - N/A**

The Agency did not accurately report weekly benefit payments on the Agency's *Annual Financial Report* (AFR)—Schedule of Expenditures of Federal Awards.

Initial Year Written: 2000  
Status: Implemented

U.S. Federal Emergency  
Management Agency  
  
U.S. Department of Labor

Corrective Action:

Corrective action was taken.