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# Sex differences in Alzheimer disease — the gateway to precision medicine

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#### Supplementary box 1| The Alzheimer Precision Medicine Initiative – Working Group (APMI–WG)

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#### Supplementary box 2 | Hormone replacement therapy trials in Alzheimer disease – a reappraisal

Hormone replacement therapy (HRT) for the prevention of Alzheimer disease (AD) in women after the menopause has yielded inconclusive results in large interventional studies<sup>1</sup>. However, differences in the timing of treatment and the use of different hormones, formulations and regimes, are important variables that need to be taken into account when interpreting the results.

#### **Timing of treatment**

A vascular protective effect of HRT initiated early in menopause was clearly demonstrated in a randomized study<sup>2</sup> and in a Cochrane overview that reported on >40,000 women included in randomized studies<sup>3</sup>. In the Women Health Initiative (WHI) trial, dementia risk was increased when hormonal therapy was initiated after the age of  $65^4$ , a worrying result that led to the halting of further studies. However, mortality associated with AD or dementia was decreased in the same WHI study when all women (including those who had recently been through the menopause) were included<sup>5</sup>.

#### **Optimal formulation**

The oral, high-dose equine oestrogens and medroxyprogesterone acetate (MPA) used in WHI was suboptimal. The Pepi trial<sup>6</sup> indicated that MPA counteracts the beneficial effect of oestrogens and that natural progesterone is more neutral. In the KEEPS study<sup>7</sup>, transdermal administration of oestrogens improved serum glucose levels and insulin sensitivity over placebo. This beneficial effect was not observed with oral preparations, which, especially at high doses (2 mg of eostradiol or 0.625 mg of equine oestrogen as compared with 1 mg or less of oral oestradiol or 0.3 mg of equine oestrogen) and later in menopausal life (in women aged 68 years or older) are known to increase the risk of thrombosis<sup>8,9</sup>.

#### Long-term effects

Though short-term follow-up of the WHI<sup>4</sup> indicated an increase in dementia risk, an 18-year cumulative follow-up (in which data were pooled from patients who received conjugated equine oestrogens alone and patients who received these oestrogens with MPA) demonstrated a significant 15% reduction in AD or dementia risk and a significant 31% reduction in all-cause mortality among women whose hormone therapy was initiated between the ages of 50 and 59 years<sup>5</sup>.

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# Supplementary table 1 | Summary of sex-specific clinical manifestations in AD

	Ref.	Study design (database)	Diagnosis	n. m/w	Diagnostic criteria	Biomarker- based diagnosis?	Corrected for CVD?	Read-out	Worst in m/w?
	Pusswald et al. <sup>1</sup>	cohort study (PRODEM)	AD dementia	113/173	NINCDS-ADRDA	no	no	verbal learning (word list recall, delayed recall and recognition)	W
S	Benke et al <sup>2</sup>	cohort study (PRODEM)	AD dementia	91/130	NINCDS-ADRDA	no	no	verbal learning (word list learning and recall)	w
e impairments	Gale et al <sup>3</sup>	cohort study, Arizona Alzheimer's disease core center	AD dementia and MCI	AD: 63/38, MCI: 46/30	NIA-AA	no	no	RAVLT total score and delayed recall (analysis performed on Z-scores separately based on male or female healthy controls)	w
Cognitive	Irvine et al. <sup>4</sup>	Meta- analysis	AD dementia	828/1,238 (15 studies)	NINCDS-ADRDA (13 studies); DSM- III-R (1 study); no description (1 study)	no	no	mean effect size on verbal and visuospatial tasks and tests of episodic and semantic memory	w
	Pradier et al. <sup>5</sup>	cross sectional analysis (BNA)	AD dementia	13650/ 25800	classification by the National Federation of CMRRs in relation with the ICD10	no	no	MMSE at diagnosis	W

Sundermann et al. 6	Cross- sectional observation al study (ADNI)	AD dementia	128/107	MMSE score between 20 and 26, a CDR of 0.5 or 1, meeting the NINCDS-ADRDA criteria	no	no	RAVLT total score and delayed recall	=
		aMCI	409/285	MMSE score between 24 and 30, CDR of 0.5, a subjective memory complaint, and objective memory loss as measured by education- adjusted scores on the Wechsler Memory Scale Logical Memory II, but without significant impairment in other cognitive domains or interference in daily life activities	no	no		m (in spite of similar hippoc ampal atroph y)
Sundermann et al <sup>7</sup>	Cross- sectional observation al study (ADNI)	AD dementia	153/101	MMSE score between 20 and 26, a CDR of 0.5 or 1, and a probable diagnosis of AD dementia by the NINCDS/ADRDA	no	no	RAVLT delayed recall	=
		aMCI	396/276	MMSE score between 24 and 30, a CDR of 0.5, a subjective memory complaint, and objective memory loss as measured by LM-II	no	no		m (in spite of similar glucos e metab olism rate)

Rate of cognitive decline	Lin et al. <sup>8</sup>	Cross- sectional observation al study (ADNI)	aMCI	257/141	MMSE from 24– 30, subjective memory complaint, objective evidence of impaired memory calculated by WMS-LM-II, a score of 0.5 on the global CDR, absence of current major depressive episode	no	no	rate of decline in ADAS- Cog and CDR-Sb; mixed- effects models incorporating all follow- ups	W
Rate of	Tifratene et al. <sup>9</sup>	retrospectiv e cohort study (BNA)	aMCI and naMCI	9748/ 13928	classification by the National Federation of CMRRs in relation with the ICD10	no	no	Hazard ratios of dementia due to Alzheimer disease were estimated using Cox regression model	w
	Holland et al. <sup>10</sup>	Cross- sectional observation al study (ADNI)	aMCI	244/141	subjective memory complaint, objective memory loss measured by education- adjusted scores on WMS-LM II, a CDR of 0.5, preserved activities of daily living	no	no	Rate of decline in ADAS- Cog and CDR-Sb	w
	Gamberger et al. <sup>11</sup>	Cross- sectional observation al study (ADNI 1 and 2)	late aMCI	344/218	subjective memory complaint, objective evidence of impaired memory calculated by WMS LM- II adjusted for education, absence of current major depressive episode, an inclusive MMSE score from 24–30, and a score of 0.5 on the global CDR.	no	n.a.	Rate of cognitive, as measured with ADAS- Cog13, in clusters of 'fast progressors' identified via multilayer clustering algorithm	W

	Karttunen et al. <sup>12</sup>	cross-	AD	117/123	NINCDS-ADRDA;	no	no	Delusions	W
toms		sectional study (patient- caregiver dyads living in three municipaliti es in Finland, participatin g in a prospective, controlled rehabilitatio n study ALSOVA)	dementia		very mild AD (CDR 0.5) or mild AD (CDR 1)			Aberrant motor behaviour	m
Psychiatric symptoms	Spalletta et al. <sup>13</sup>	cross- sectional study (five Italian outpatient memory clinics)	AD dementia	292/723	NINCDS-ARDA	no	no	Mean scores for depression, anxiety and general neuropsychiatric score	w
Ŗ	Hollingworth et al. <sup>14</sup>	cross- sectional study; community- dwelling individuals and those residing in nursing homes, United Kingdom and Republic of Ireland	AD dementia	334/786	NINCDS-ARDA	no	no	Behavioral dysfunction and mood component scores	W

lependence	Benke et al. <sup>2</sup>	cohort study (PRODEM)	AD dementia	91/130	NINCDS-ADRDA	no	no	DAD % (total score and instrumental function)	m
pu	Sinforiani et al. 15	prospective	AD	214/386	NINCDS-ARDA	no	no	ADL at baseline	m
all		study (three	dementia					IADL a baseline	m
tiona		Italian outpatient						CIRS	m
<b>U</b>		memory						Autonomy loss at follow-	w
Fun		clinics)						up (5 years)	

The table summarizes the main findings of the studies reported in the body of the manuscript, specifying the diagnostic criteria used, the use of biomarkers for diagnosis and whether the results where statistically corrected for CVD (cerebrovascular disease or cardiovascular risk factors). m (men), w (women). PRODEM (Prospective Dementia Registry-Austria); BNA (French National Alzheimer databank); CMRR (Memory Center (CMRR) Paris North Ile-de-France); NINCDS-ADRDA (National Institute of Neurological and Communicative Disorders and Stroke and the Alzheimer's Disease and Related Disorders Association, criteria for probable AD dementia<sup>16</sup>; NIA-AA (National Institute on Aging and the Alzheimer's Association criteria for probable AD dementia<sup>17</sup>); DSM-III (Diagnostic and Statistical Manual of Mental Disorders, third edition, American Psychiatric Association); IWG-2 (revised international working group criteria<sup>18</sup>); ICD 10ICD-10 (tenth Revision of the International Classification of Diseases, World Health Organization); CDR (clinical dementia rate); CDR-sb (CDR sum-of-boxes); MMSE (minimental state examination); RAVLT (Rey auditory verbal learning test); ADAS-Cog = Alzheimer's Disease Assessment Scale Cognitive Subscale Total Score; WMS-LM II (Wechsler Memory Scale Logical Memory II); Disability Assessment for Dementia Scale (DAD); Activities of Daily Living (ADL); Instrumental Activities of Daily Living (IADL); Cumulative Illness Rating Scale (CIRS).

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#### **Biomarker-**Average Study design Worst in Corrected n. Diagnosis age Ref. **Diagnostic criteria** based Read-out m/w (database) for CVD? m/w? m/w (y) diagnosis? Barnes et al.<sup>1</sup> Cohort study 64/77 83.5/86.2 Amyloid plaques NINCDS-ADRDA plus post-AD dementia no yes = mortem neuropathological (ROS) (modified **Bielschowsky silver** confirmation stain) Cohort study 182/243 79.5/82 CAA (Thioflavin-S) Shinohara et al. AD dementia Neuropathologically no no m 2 (Mayo Clinic confirmed AD biobank) AD, prodromal Aβ42 CSF Mattsson et al. review n.a. n.a. IWG-2 criteria no = yes AD,NCI Holland et al. Cohort study CDR of 0.5 or 1.0 AD dementia 55/50 75.5/76.8 no no AB42 CSF = (ADNI) NINCDS-ADRDA criteria 244/141 77.1/76 Aβ42 CSF MCI Subjective memory no no = complaint, objective memory loss measured by WMS-LM-II, a CDR of 0.5, 96/93 76.2/76.2 Aβ42 CSF NCL no = no β-Amyloid Gottesman et Community based NCI and MCI 137/185 52.2 NIA-AA Florbetapir PET no ves w al.<sup>5</sup> study (Atherosclerosis Risk in Communities) Jansen et al.<sup>6</sup> SCI 349/348 64.2 PET amyloid tracers Cognitive complaint with meta-analysis n.a. no = presentation at a health care facility but normal cognition on tests. 2133/1839 70.2 PET amyloid tracers MCI Petersen's criteria no no = 1259/1537 66.8 NCI PET amyloid tracers = Jack et al. 637/572 72 Cross-sectional NCI no PiB-PET = \_ observational study (MCSA) Scheinin et al. 24/40 71.1/72.4 PiB-PET Cross-sectional NCI -\_ no m study Jack et al.<sup>9</sup> 236/199 74.9 Cross-sectional NCI prevalence of no = -amyloid abnormal study (MCSA) (A+) individuals, SUVR>1.42 PiB-PET

### Supplementary table 2 | Summary of sex-effects on diagnostic biomarkers in the elderly and AD

	Vemuri et al. <sup>10</sup>	Cohort study, prospective analysis (MCSA)	737 NCI, 174 MCI, 18 AD dementia, 1 Parkinson disease, 1 AD with vascular dementia, 1 progressive supranuclear palsy, 3 dementia hard to classify, and 7 missing clinical diagnosis	519/423	80/79.4	DSM-IV criteria for dementia	no	yes 	PiB-PET	w
	Barnes et al. <sup>1</sup>	Cohort study (ROS)	AD dementia	64/77	83.5/86.2	NINCDS-ADRDA plus post- mortem neuropathological confirmation	no	yes	NFT (modified Bielschowsky silver stain)	w
	Holland et al. <sup>4</sup>	Cohort study (ADNI)	AD dementia	55/50	75.5/76.8	NINCDS-ADRDA CDR of 0.5 or 1.0	no	no	tau CSF	=
Tau			MCI	244/141	77.1/76	Subjective memory complaint, objective memory loss measured by WMS-LM-II, a CDR of 0.5				= (p=0.06 for higher tau concentratio n in women)
-			NCI	96/93	76.2/76.2	n.a.	no	no		=
	Mattsson et al. <sup>3</sup>	Review	AD, prodromal AD,NCI	n.a.	n.a.	IWG-2 criteria	yes	n.a.	tau CSF	=
	Johnson et al. <sup>11</sup>	Cohort study (Harvard Aging Brain Study)	AD dementia, MCI and NCI	32/43	73	NIA-AA	no	no	18F T807 PET	=
	Jack et al. <sup>9</sup>	Cross-sectional study (MCSA)	NCI	(236/199)	74.9	-	-	no	prevalence of tau abnormal (T+) individuals, SUVR>1.23, AV1451 - PET	=

The table summarizes the main findings of the studies reported in the body of the manuscript, specifying the diagnostic criteria, the use of biomarkers for diagnosis and whether the results where statistically corrected for CVD (cerebrovascular disease or cardiovascular risk factors). m(men), w (women). ROS (Religious Order Study); MCSA (Mayo Clinic Study of Aging), ADNI (Alzheimer's Disease Neuroimaging Initiative); NINCDS-ADRDA (National Institute of Neurological and Communicative Disorders and Stroke and the Alzheimer's Disease and Related Disorders Association, criteria for probable AD dementia <sup>12</sup>); NIA-AA (National Institute on Aging and the Alzheimer's Association criteria for probable AD dementia <sup>13</sup>); DSM-IV (Diagnostic and Statistical Manual of Mental Disorders, forth edition, American Psychiatric Association); IWG-2 (revised international working group criteria<sup>14</sup>); Petersen's criteria<sup>15</sup>; CDR (clinical dementia rate); MMSE (mini-mental state examination); CAA (cerebral amyloid angiopathy); NFT (neurofibrillary tangles); WMS-LM-II (Wechsler Memory Scale Logical Memory II) n.a. not available

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## Supplementary table 3 | Summary of evidence for sex-effects on brain atrophy in the elderly and AD

	Ref.	Study design (database)	Diagnosis	n. m/w	Age m/w (y)	Diagnostic criteria	Biomarker- based diagnosis?	Corrected for CVD?	MRI measurement	Worst in m/w?
	Sundermann et al. <sup>1</sup>	Cross-sectional (ADNI)	AD dementia	128/107	74.7/74.3	MMSE score between 20 and 26, a CDR of 0.5 or 1, NINCDS-ADRDA	no	no	Hippocampal volume calculated using the formula	m
			aMCI	409/285	73.3/71.2	MMSE score between 24 and 30, CDR of 0.5, a subjective memory complaint, and objective memory loss by WMS-LM II	no	no	hippocampal/intracran ial volume x 10 <sup>3</sup>	m
			NCI	192/187	74.6/73.7	-	-	no	-	m
	Apostolova et al. <sup>2</sup>	Prospective longitudinal cohort study (UCLA)	AD dementia	15/19	76.2	NINCDS/ADRDA	no	no	hippocampal thickness measured via surface- based analytic technique	w
			aMCI	15/16	73.7	DSM-IV criteria	no	no		
Brain atrophy	Jack et al. <sup>3</sup>	Cross-sectional (MCSA)	NCI	655/591	72	n.a.	no	no	adjusted hippocampal volume (the difference, in cubic centimeters, compared to the expected hippocampal volume given a person's head size)	m
	Vemuri et al. 4	Cohort study, prospective analysis (MCSA)	737 NCI, 174 MCI, 18 AD dementia, 1 Parkinson disease, 1 AD with vascular dementia, 1 progressive supranuclear palsy, 3 dementia hard to classify, and 7 had a missing clinical diagnosis	519/423	80/79.4	DSM-IV criteria for dementia	no	yes	cortical thickness	m

	Jack et al. $^{5}$	Cross-sectional	NCI	236/199	74.9	-	-	no	prevalence of abnormal	=
		(MCSA)							abnormal neurodegeneration (N+) individuals, cortical thickness < 2.67mm	
									prevalence of abnormal neurodegeneration (N+) individuals, hippocampal volume adjusted for total intracranial volume of less than – 1.15 mL	m
	Hua et al. <sup>6</sup>	Longitudinal (ADNI)	AD dementia	114	76.5	NINCDS-ADRDA	no	no	tensor-based morphometry (TBM),	=
			aMCI	238	76	Petersen Criteria	no	no	temporal lobes	w
			NCI	202	77	-	no	no		=
	Ardekani et al. <sup>7</sup>	Longitudinal (MIRIAD)	AD dementia	18/25	69/69.5	NINCDS-ADRDA	no	no	hippocampal atrophy progression measured via Hippocampal volumetric integrity (HI). HI was defined	W
•			NCI	11/11	72.9/65.8	-	no	no	as the fraction of tissue (non-CSF) found in a region that is expected to encompass a normal hippocampus.	=
	Holland et al. <sup>8</sup>	Longitudinal (ADNI)	AD dementia	55/50	75.5/76.8	NINCDS-ADRDA CDR of 0.5 or 1.0	no	no	rate of atrophy of all regions except the hippocampus and amygdala (quantification anatomical regional change, Quarc)	W
			aMCI	244/141	77.1/76	subjective memory complaint, objective memory loss measured by WMS-LM II, a CDR of 0.5	no	no	rate of atrophy all regions except the hippocampus (quantification anatomical regional change, Quarc)	w

		NCI	96/93	76.2/76.2	n.a.	no	no	rate of atrophy in hippocampus, enthorinal cortex and amygdala (quantification anatomical regional change, Quarc)	w
Skup et al. <sup>9</sup>	Longitudinal (ADNI)	AD dementia	101/96	76.5	AD dementia: MMSE scores between 20 and 26 inclusive, a CDR-sob between 1 and 9, NINCDS/ADRDA	no	no	rate of atrophy in Left insula-bilateral thalamus-Right middle temporal gyrus (RAVENS maps)	m
		aMCI	176/90 74.9	74.9	MMSE scores between 24 and 30 inclusive, a memory complaint, objective memory loss measured by WMS-LM II			rate of atrophy in bilateral thalamus- bilateral Caudate Nuclesu-Right middle temporal gyrus (RAVENS maps)	m
								rate of atrophy in Bilateral Precuneus- Left Middle Temporal gyrus (RAVENS maps)	w
		NCI	114/110	76	-			rate of atrophy in Right Amygdala (RAVENS maps)	W
								rate of atrophy in Left Thalamus-Right Caudate Nucleus - Right Precuneus (RAVENS maps)	m

The table summarizes the main findings of the studies reported in the body of the manuscript, specifying the diagnostic criteria, the use of biomarkers for diagnosis and whether the results were statistically corrected for CVD (cerebrovascular disease or cardiovascular risk factors). m(men); w (women).

MCSA (Mayo Clinic Study of Aging); ADNI (Alzheimer's disease neuroimaging initiative); NINCDS-ADRDA (National Institute of Neurological and Communicative Disorders and Stroke and the Alzheimer's Disease and Related Disorders Association, criteria for probable AD dementia<sup>10</sup>); NIA-AA (National Institute on Aging and the Alzheimer's Association criteria for probable AD dementia<sup>11</sup>); DSM-IV (Diagnostic and Statistical Manual of Mental Disorders, forth edition, American Psychiatric Association); CDR (clinical dementia rate); CDR-sob (CDR sum-of-boxes); MMSE (mini-mental state examination); UCLA (University of California–Los Angeles Alzheimer's Disease Research Center); WMS-LM II (Wechsler Memory Scale Logical Memory II).

n.a. (not available)

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- Jack, C. R., Jr. *et al.* Age, Sex, and APOE epsilon4 Effects on Memory, Brain Structure, and beta-Amyloid Across the Adult Life Span. *JAMA Neurol* **72**, 511-519 (2015).
- 4 Vemuri, P. *et al.* Evaluation of Amyloid Protective Factors and Alzheimer Disease Neurodegeneration Protective Factors in Elderly Individuals. *JAMA Neurol* (2017).
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