

# Spin deformation of $q$ -Whittaker polynomials and $\mathfrak{gl}_N$ Whittaker functions

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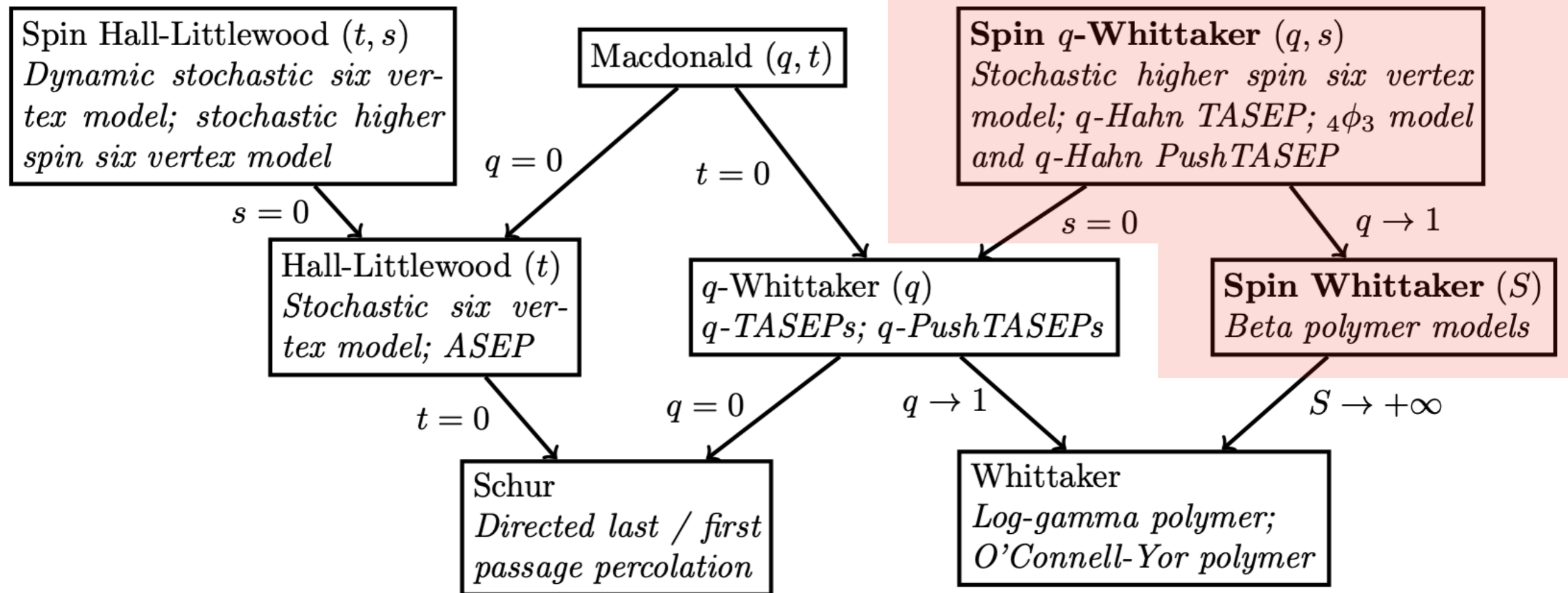
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joint works with Alexey Bufetov, Matteo Mucciconi

<https://arxiv.org/abs/2003.14260>

<https://arxiv.org/abs/1905.06815>

<https://arxiv.org/abs/1712.04584>



## “Abstract”

1. Spin  $q$ -Whittaker polynomials were originally introduced by Borodin and Wheeler (2017). We discovered a “better” version which satisfies the **usual properties**: *Cauchy identity, symmetry, combinatorial formula, plus certain eigenoperators*. They follow from **Yang-Baxter equation** and orthogonality relations for spin Hall-Littlewood polynomials.
2. In the limit  $q \rightarrow 1$ , we get new *spin Whittaker functions* indexed by interlacing real arrays. They also satisfy the limits of the **usual properties**. Plus, they are eigenfunctions of a deformed quantum Toda Hamiltonian.

# Summary of results: Spin $q$ -Whittaker polynomials

$$\mathbb{F}_\nu(x_1, \dots, x_n)$$

**Notation ( $q$ -Pochhammer)**

$$(a; q)_k := (1 - a)(1 - aq) \dots (1 - aq^{k-1}), \quad (a; q)_0 := 1.$$

## Spin $q$ -Whittaker polynomials - "combinatorial formula"

$$\mathbb{F}_{\lambda/\mu}(x) := x^{|\lambda|-|\mu|} \prod_{i=1}^k \frac{(-s/x; q)_{\lambda_i - \mu_i} (-sx; q)_{\mu_i - \lambda_{i+1}} (q; q)_{\lambda_i - \lambda_{i+1}}}{(q; q)_{\lambda_i - \mu_i} (q; q)_{\mu_i - \lambda_{i+1}} (s^2; q)_{\lambda_i - \lambda_{i+1}}} \quad (*)$$

(this is a polynomial in  $x$ )

$$0 \leq \lambda_{k+1} \leq \mu_k \leq \lambda_k \leq \dots \mu_1 \leq \lambda_1, \quad \lambda_i, \mu_i \in \mathbb{Z}$$

$$\mathbb{F}_{\nu}(x_1, \dots, x_n) = \sum_{\kappa} \mathbb{F}_{\kappa}(x_1, \dots, x_{n-1}) \mathbb{F}_{\nu/\kappa}(x_n)$$

This is a symmetric polynomial in  $x_1, \dots, x_n$ , which is a nontrivial fact

$$(1) < (2,1) < (4,2,0) < (4,3,2) < (5,3,2,1,0)$$

1	2	3	3	5
2	3	4		
4	4			
5				

## Borodin-Wheeler's version (2017)

$$\mathbb{F}_{\lambda/\mu}^{BW}(x) = \frac{(-s/x; q)_{\lambda_{k+1}}}{(s^2; q)_{\lambda_{k+1}}} \mathbb{F}_{\lambda/\mu}(x).$$

$$\mathbb{F}_{\lambda}(0, x_2, \dots, x_n) = \mathbb{F}_{\lambda}^{BW}(x_2, \dots, x_n).$$

We made a typo implementing  $\mathbb{F}_{\lambda/\mu}^{BW}$  and wrote (\*) instead of the correct expression. But surprisingly (\*) leads to symmetric polynomials satisfying nice properties - that is how our sqW polynomials were discovered. 😊

# Brief history of spin deformations (omitting probabilistic applications & combinatorics)

The starting point is *the spin Hall-Littlewood symmetric rational functions*

$$F_{\lambda}(u_1, \dots, u_n) = \frac{(1-t)^n}{(t; t)_{n-\ell(\lambda)}} \sum_{\sigma \in \mathfrak{S}_n} \sigma \left\{ \prod_{1 \leq i < j \leq n} \frac{u_i - tu_j}{u_i - u_j} \prod_{i=1}^n \left( \frac{u_i - s}{1 - su_i} \right)^{\lambda_i} \prod_{i=1}^{\ell(\lambda)} \frac{u_i}{u_i - s} \right\}.$$

- (1)  $s = 0$ : the classical Hall-Littlewood polynomials. Yang-Baxter / vertex model picture appeared in **Tsilevich** (2005), further explored by **Wheeler** and **Zinn Justin** (2014+).
- (2)  $s = 1/\sqrt{t}$ : Bethe Ansatz eigenfunctions of ASEP (**Schutz** 1990s, **Tracy-Widom** 2007+).
- (3) In a scaling  $u = s\tilde{u}$ ,  $s^2 = 0$ , they are Bethe Ansatz eigenfunctions of the stochastic  $q$ -Boson dual to  $q$ -TASEP (**Sasamoto-Wadati** 1998; **Borodin-Corwin-Sasamoto** 2012, **Borodin-Corwin-P.-Sasamoto** 2013).
- (4)  $q$ -TASEP /  $q$ -Boson generalize to  $q$ -Hahn TASEP /  $q$ -Hahn Boson (**Povolotsky** 2013; **Corwin** 2014).
- (5) The fully general spin Hall-Littlewood polynomials are eigenfunctions of this system and of a yet more general *stochastic higher spin six vertex model* (**Borodin-Corwin-P.-Sasamoto** 2014, **Corwin-P.** 2015).
- (6) Vertex model construction and connections to Yang-Baxter due to **Borodin** (2014).
- (7) Spin  $q$ -Whittaker polynomials arise as *fusion* + change of variables from the spin Hall-Littlewood polynomials (**Borodin-Wheeler** 2017).

# Properties

$$(1) \quad \mathbb{F}_\lambda(x_1, \dots, x_N) = x_1 \cdots x_N \mathbb{F}_{\lambda-1^N}(x_1, \dots, x_N)$$

## (2) Cauchy identity

$$\mathbb{F}_{\lambda/\mu}^*(y) := y^{|\lambda|-|\mu|} \frac{(-s/y; q)_{\lambda_N-\mu_N}}{(q; q)_{\lambda_N-\mu_N}} \prod_{i=1}^{N-1} \frac{(-s/y; q)_{\lambda_i-\mu_i} (-sy; q)_{\mu_i-\lambda_{i+1}} (q; q)_{\mu_i-\mu_{i+1}}}{(q; q)_{\lambda_i-\mu_i} (q; q)_{\mu_i-\lambda_{i+1}} (s^2; q)_{\mu_i-\mu_{i+1}}}$$

$$\sum_{\lambda \in \text{Sign}_N} \mathbb{F}_\lambda(x_1, \dots, x_N) \mathbb{F}_\lambda^*(y_1, \dots, y_k) = \prod_{j=1}^k \left( \frac{(-sy_j; q)_\infty}{(s^2; q)_\infty} \right)^{N-1} \prod_{i=1}^N \prod_{j=1}^k \frac{(-sx_i; q)_\infty}{(x_i y_j; q)_\infty}.$$

**Example**  $N = 1, k = 1$  -  $q$ -binomial theorem

$$\sum_{n=0}^{\infty} \underbrace{x^n}_{\mathbb{F}} \cdot \underbrace{y^n \frac{(-s/y; q)_n}{(q; q)_n}}_{\mathbb{F}^*} = \frac{(-sx; q)_\infty}{(xy; q)_\infty}$$

**Compare with Macdonald case, also  $q$ -binomial theorem**

$$\sum_{r \geq 0} \underbrace{x^r}_P \cdot \underbrace{y^r \frac{(t; q)_r}{(q; q)_r}}_Q = \frac{(txy; q)_\infty}{(xy; q)_\infty}$$

Any relation to Cauchy identity for interpolation Macdonald polynomials (**Olshanski** 2017)?

**Compare with Borodin-Wheeler (2017):  $q$ -Gauss summation formula. In our setting we get a similar identity for  $N = 2, k = 1$**

$$\sum_{i=0}^{\infty} \frac{(-s/x; q)_i (-s/y; q)_i}{(s^2; q)_i (q; q)_i} (xy)^i = \frac{(-sx; q)_\infty (-sy; q)_\infty}{(s^2; q)_\infty (xy; q)_\infty}.$$

**$q$ -difference operators** ( $T_{q,x}$  maps  $f(x)$  to  $f(qx)$ )

$$\mathfrak{D}_1 := \sum_{i=1}^N \prod_{\substack{j=1 \\ j \neq i}}^N \frac{(1 + sx_i)}{1 - x_i/x_j} T_{q,x_i}, \quad \mathfrak{D}_1 \mathbb{F}_\lambda(x_1, \dots, x_N) = q^{\lambda_N} \mathbb{F}_\lambda(x_1, \dots, x_N).$$

$$\bar{\mathfrak{D}}_1 := \sum_{i=1}^N \prod_{\substack{j=1 \\ j \neq i}}^N \frac{(1 + s/x_i)}{1 - x_j/x_i} T_{q^{-1},x_i}. \quad \bar{\mathfrak{D}}_1 \mathbb{F}_\lambda(x_1, \dots, x_N) = q^{-\lambda_1} \mathbb{F}_\lambda(x_1, \dots, x_N).$$

## Compare to $q$ -Whittaker situation

At  $s = 0$ , the sqW polynomials become the  $q$ -Whittaker polynomials which possess higher order eigenoperators:

$$W_N^r := \sum_{|I|=r} \prod_{i \in I, j \notin I} \frac{1}{1 - x_i/x_j} \prod_{i \in I} T_{q,x_i}, \quad \tilde{W}_N^r := \sum_{|I|=r} \prod_{i \in I, j \notin I} \frac{1}{1 - x_j/x_i} \prod_{i \in I} T_{q^{-1},x_i},$$

In the spin deformation the situation is more mysterious. First of all,  $[\mathfrak{D}_1, \bar{\mathfrak{D}}_1] = 0$ .

Next, both of them are conjugations of the first order  $q$ -Whittaker operators:

$$\mathfrak{U}_N := \prod_{i=1}^N \frac{1}{(-sx_i; q)_\infty}, \quad \mathfrak{V}_N := \prod_{i=1}^N \frac{1}{(-s/x_i; q)_\infty}.$$

$$\mathfrak{D}_1 = \mathfrak{U}_N^{-1} W_N^1 \mathfrak{U}_N, \quad \bar{\mathfrak{D}}_1 = \mathfrak{V}_N^{-1} \tilde{W}_N^1 \mathfrak{V}_N,$$

Same conjugations of the  $q$ -Whittaker operators are not diagonal in the spin  $q$ -Whittaker poly's.

## Conjectural torus orthogonality

$$m_{q,s}^N(z_1, \dots, z_N) := \frac{1}{N!} \prod_{1 \leq i \neq j \leq N} \frac{(s^2, z_i/z_j; q)_\infty}{(-sz_i, -s/z_i; q)_\infty} \prod_{i=1}^N \frac{1}{2\pi i z_i}, \quad (z_1, \dots, z_N) \in \mathbb{T}^N.$$

$$\int_{\mathbb{T}^N} \mathbb{F}_\lambda(z_1, \dots, z_N) \mathbb{F}_\mu(1/z_1, \dots, 1/z_N) m_{q,s}^N(z_1, \dots, z_N) dz_1 \cdots dz_N = c_\lambda \mathbf{1}_{\lambda=\mu}$$

At  $s = 0$ , the  $q$ -Whittaker orthogonality is known, and it follows from the presence of many self-adjoint eigenoperators for Macdonald polynomials (whose eigenvalues separate the polynomials).

For  $q = s = 0$ , this is the statement of orthogonality of characters of the unitary groups  $U(N)$ .

Both sqW eigenoperators  $\mathfrak{D}_1, \overline{\mathfrak{D}}_1$  are self-adjoint with respect to  $m_{q,s}$ , but their eigenvalues do not separate labels  $\lambda$ .



# Summary of results: Spin Whittaker functions

$$f_{X_1, \dots, X_N}(\underline{L}_N)$$

Notation (Gauss hypergeometric function, Pochhammer symbol)

$${}_2F_1 \left( \begin{matrix} a, b \\ c \end{matrix} \middle| z \right) = \sum_{k \geq 0} \frac{(a)_k (b)_k}{(c)_k} \frac{z^k}{k!},$$

$$(r)_k = r(r+1) \cdots (r+k-1)$$

## Limit transitions sqW → sW → W

$$F_\lambda(x_1, \dots, x_N \mid q, s) \quad \begin{matrix} 0 < q < 1 \\ -1 < s < 0 \end{matrix}$$

$$q \rightarrow 1, \quad x_i = q^{x_i}, \quad L_i = q^{-\lambda_i}$$

$$s = -q^S$$

$$S > 0, \quad |x_i| < S, \quad 1 \leq L_N \leq L_{N-1} \leq \dots \leq L_1$$

## Theorem (Mucciconi-P. 2020)

$$\lim_{q \rightarrow 1} \frac{\mathbb{F}_\lambda(x_1, \dots, x_N)}{(-\log q)^{N(N-1)/2}} = \mathfrak{f}_{X_1, \dots, X_N}(\underline{L}_N)$$

$$1 \leq L_N \leq \dots \leq L_1$$

Here  $\mathfrak{f}_{X_1, \dots, X_N}$  is the *spin Whittaker* function, which is symmetric in  $X_i$ , depends on  $\underline{L}_N$  and on a parameter  $S$ .

## Reduction to the usual $gl_N$ Whittaker functions, $S \rightarrow +\infty$

$$L_i = S^{N+1-2i} e^{u_i}, \quad X_k = -i\lambda_k,$$

### Whittaker symmetric functions

(Kostant, Givental, Bump, Stade, Gerasimov-Lebedev-Oblezin, Corwin-O'Connell-Seppalainen-Zygouras,...)

$$\psi_{\lambda_1, \dots, \lambda_N}(\underline{u}_N) = \int_{\mathbb{R}^{N-1}} \psi_{\lambda_1, \dots, \lambda_{N-1}}(\underline{u}_{N-1}) Q_{\lambda_N}^{N \rightarrow N-1}(\underline{u}_N, \underline{u}_{N-1}) \prod_{k=1}^{N-1} du_{N-1, k},$$

where

$$Q_{\lambda}^{N \rightarrow N-1}(\underline{u}_N, \underline{u}_{N-1}) = e^{i\lambda(\sum_{i=1}^N u_{N,i} - \sum_{i=1}^{N-1} u_{N-1,i})} \prod_{i=1}^{N-1} \exp \left\{ -e^{u_{N-1,i} - u_{N,i}} - e^{u_{N,i+1} - u_{N-1,i}} \right\}$$

**Conjecture** (Mucciconi-P. 2020, holds modulo decay estimates)

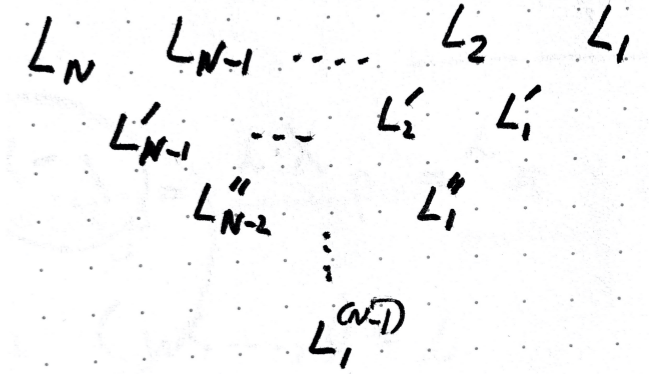
$$\left( \frac{4\pi}{S16^S} \right)^{\frac{N(N-1)}{4}} \mathfrak{f}_{X_1, \dots, X_N}(\underline{L}_N) \xrightarrow{S \rightarrow \infty} \psi_{\lambda_1, \dots, \lambda_N}(u_1, \dots, u_N)$$

# Definition of spin Whittaker functions via “combinatorial formula” (or “Givental integral”)

$$x^{|\lambda|-|\mu|} \prod_{i=1}^k \frac{(-s/x; q)_{\lambda_i - \mu_i} (-sx; q)_{\mu_i - \lambda_{i+1}} (q; q)_{\lambda_i - \lambda_{i+1}}}{(q; q)_{\lambda_i - \mu_i} (q; q)_{\mu_i - \lambda_{i+1}} (s^2; q)_{\lambda_i - \lambda_{i+1}}}$$

$$\mathcal{A}_{S,X}(u, v, z) := \frac{1}{B(S+X, S-X)} \left(1 - \frac{v}{z}\right)^{S-X-1} \left(1 - \frac{u}{v}\right)^{S+X-1} \left(1 - \frac{u}{z}\right)^{1-2S}$$

$$f_X(\underline{L}_k; \underline{L}_{k+1}) := \mathbf{1}_{\underline{L}_k \prec \underline{L}_{k+1}} \left( \frac{L_{k+1,k+1} \cdots L_{k+1,1}}{L_{k,k} \cdots L_{k,1}} \right)^{-X} \prod_{i=1}^k \mathcal{A}_{S,X}(L_{k+1,i+1}, L_{k,i}, L_{k+1,i})$$



Interlacing  $1 \leq L_{k,k} \leq L_{k-1,k-1} \leq L_{k,k-1} \leq \dots \leq L_{k-1,1} \leq L_{k,1}$

**Definition.**  $f_{X_1, \dots, X_N}(\underline{L}_N) := \int_{\underline{L}_{N-1} \prec \underline{L}_N} f_{X_1, \dots, X_{N-1}}(\underline{L}_{N-1}) f_{X_N}(\underline{L}_{N-1}; \underline{L}_N) \frac{d\underline{L}_{N-1}}{\underline{L}_{N-1}}$

**Examples.**  $f_{X_1}(L_{1,1}) = L_{1,1}^{-X_1}$

$$f_{X,Y}(u, z) = (z/u)^S u^{-X-Y} {}_2F_1 \left( \begin{matrix} S+X, S+Y \\ 2S \end{matrix} \middle| 1 - \frac{z}{u} \right).$$

## “Dual” functions.

$$g_Y(\tilde{L}_k; \underline{L}_k) = \frac{L_{k,1}^{-Y}}{\Gamma(S-Y)} \left(1 - \frac{\tilde{L}_{k,1}}{L_{k,1}}\right)^{S-Y-1} f_{-Y}(\underline{L}_{k-1}; \tilde{L}_k),$$

$$g_{Y_1, \dots, Y_M}(\underline{L}_N) = \begin{cases} \int g_{Y_1, \dots, Y_{M-1}}(\tilde{L}_N) g_{Y_M}(\tilde{L}_N; \underline{L}_N) \frac{d\tilde{L}_N}{\tilde{L}_N} & \text{if } N < M, \\ \int g_{Y_1, \dots, Y_{N-1}}(\tilde{L}_{N-1}) g_{Y_N}(\tilde{L}_{N-1}; \underline{L}_N) \frac{d\tilde{L}_{N-1}}{\tilde{L}_{N-1}} & \text{if } N = M. \end{cases}$$

$$g_Y(L) = g_Y(1; L) = \frac{L^{-Y} (1 - L^{-1})^{S-Y-1}}{\Gamma(S-Y)}.$$

# Properties

**(1)**  $f_{X_1, \dots, X_N}(a\underline{L}_N) = a^{-X_1 - \dots - X_N} f_{X_1, \dots, X_N}(\underline{L}_N), \quad a > 1.$

**(2) Cauchy identity,  $M \geq N$**

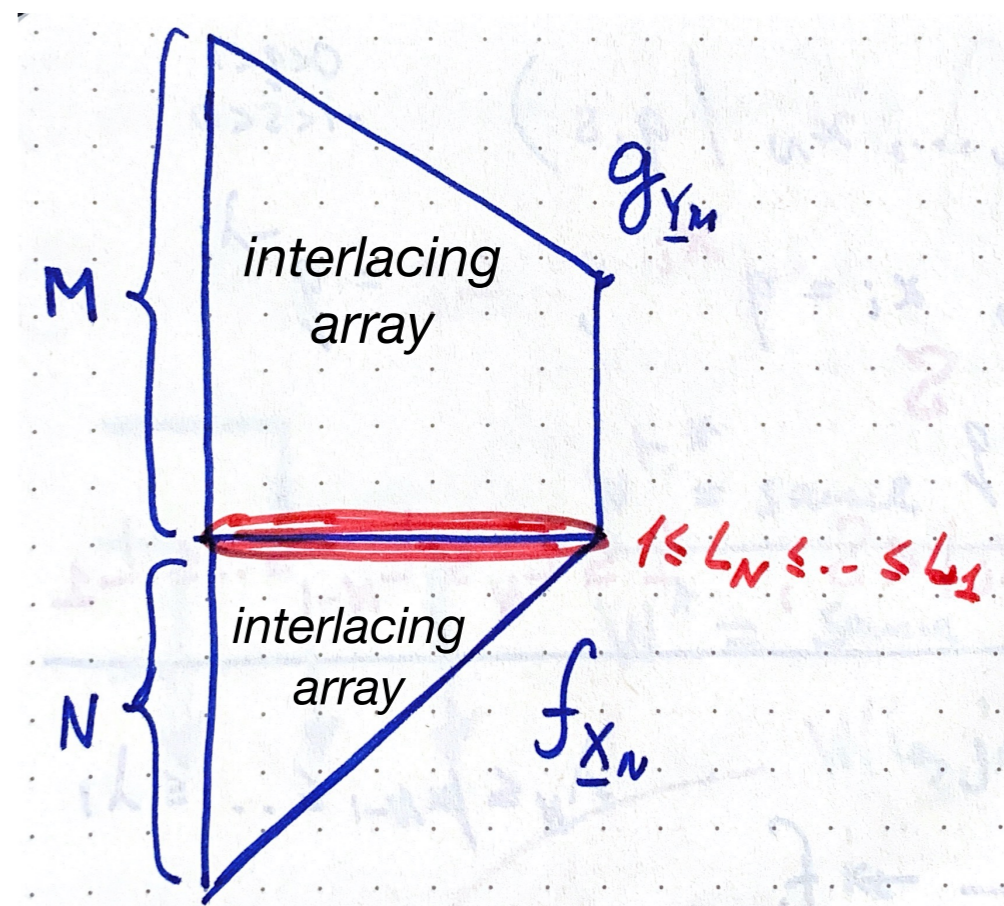
$$\int f_{X_1, \dots, X_N}(\underline{L}_N) g_{Y_1, \dots, Y_M}(\underline{L}_N) \frac{d\underline{L}_N}{\underline{L}_N} = \prod_{j=1}^M \frac{\Gamma(X_1 + Y_j)}{\Gamma(S + X_1)} \left( \prod_{i=2}^N \frac{\Gamma(X_i + Y_j) \Gamma(2S)}{\Gamma(S + X_i) \Gamma(S + Y_j)} \right).$$

**(3) Difference eigenoperators (  $\mathcal{T}_X$  - shift by 1 )**

$$\mathcal{D}_1 := \sum_{i=1}^N \prod_{\substack{j=1 \\ j \neq i}}^N \frac{X_i + S}{X_i - X_j} \mathcal{T}_{X_i}, \quad \bar{\mathcal{D}}_1 := \sum_{i=1}^N \prod_{\substack{j=1 \\ j \neq i}}^N \frac{X_i - S}{X_i - X_j} \mathcal{T}_{X_i}^{-1}.$$

$$\mathcal{D}_1 f_{X_1, \dots, X_N}(\underline{L}_N) = L_{N,N}^{-1} f_{X_1, \dots, X_N}(\underline{L}_N),$$

$$\bar{\mathcal{D}}_1 f_{X_1, \dots, X_N}(\underline{L}_N) = L_{N,1} f_{X_1, \dots, X_N}(\underline{L}_N).$$



**(4) Deformed quantum Toda** (scaling limit of Pieri rules, similar to  
[Gerasimov-Lebedev-Oblezin 2011-12])

$$\mathcal{H}_2 := -\frac{1}{2} \sum_{i=1}^N \partial_{u_i}^2 + \sum_{1 \leq i < j \leq N} S^{-2(j-i)} e^{u_j - u_i} (S - \partial_{u_i})(S + \partial_{u_j}).$$

Additive variables  $u_i$

$$L_i = S^{N+1-2i} e^{u_i}.$$

**Theorem.**

$$\mathcal{H}_2 f_{\underline{X}}^{add}(u_1, \dots, u_N) = -\frac{1}{2} (X_1^2 + \dots + X_N^2) f_{\underline{X}}^{add}(u_1, \dots, u_N).$$

**Remark.** For  $S \rightarrow +\infty$  we get the usual  $\mathfrak{gl}_N$  quantum Toda Hamiltonian

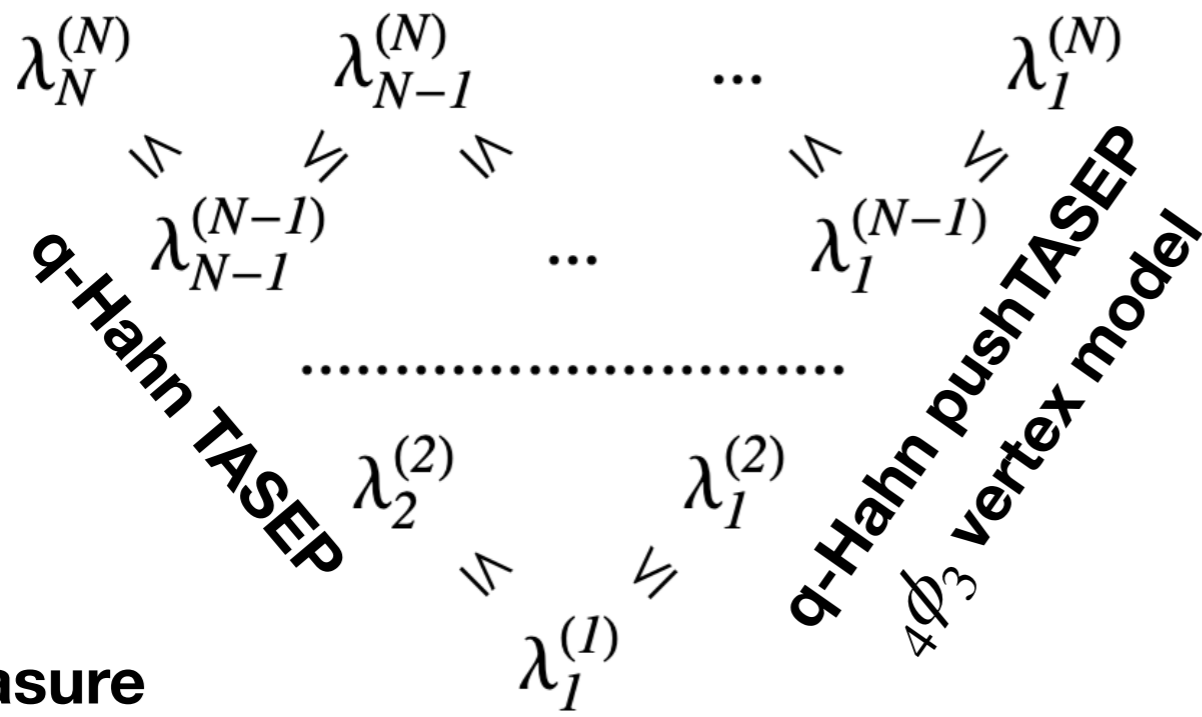
$$\mathcal{H}_2^{\text{Toda}} := -\frac{1}{2} \sum_{i=1}^N \partial_{u_i}^2 + \sum_{i=1}^{N-1} e^{u_{i+1} - u_i}.$$

**(5) Conjectural “weak” orthogonality with deformed Sklyanin measure**

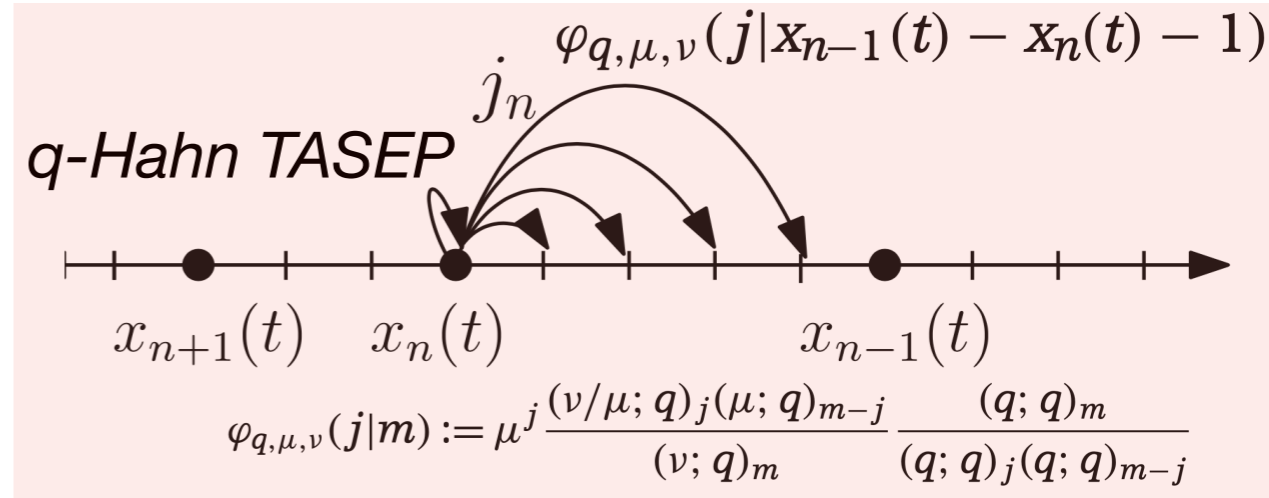
$$\int_{(i\mathbb{R})^N} f_{\underline{Z}}(\underline{L}_N) f_{-\underline{Z}}(\underline{L}'_N) \mathfrak{M}_S^N(\underline{Z}) dZ_1 \dots dZ_N = \prod_{i=1}^{N-1} \left(1 - \frac{L_{N,i+1}}{L_{N,i}}\right)^{1-2S} \delta_{\underline{L}_N - \underline{L}'_N},$$

$$\mathfrak{M}_S^N(\underline{Z}) = \frac{1}{N!(2\pi i)^N} \prod_{1 \leq i \neq j \leq N} \frac{\Gamma(S + Z_i) \Gamma(S - Z_i)}{\Gamma(2S) \Gamma(Z_i - Z_j)},$$

# Probabilistic applications



## Discrete case (spin $q$ -Whittaker processes)



### Measure

$$\frac{1}{Z} \mathbb{F}_{\lambda^{(1)}}(x_1) \mathbb{F}_{\lambda^{(2)}/\lambda^{(1)}}(x_2) \cdots \mathbb{F}_{\lambda^{(N-1)}/\lambda^{(N-2)}}(x_{N-1}) \mathbb{F}_{\lambda^{(N)}/\lambda^{(N-1)}}(x_N) \mathbb{F}_{\lambda^{(N)}}^*(y_1, y_2, \dots, y_t).$$

(Bijectivised) **Yang-Baxter equation** provides (two different) discrete time **Markov dynamics** on interlacing arrays increasing the parameter  $t$ .

- Under one of the dynamics, the leftmost coordinates  $\lambda_k^{(k)} - k$  evolve as the **q-Hahn TASEP** [Povolotsky 2013, Corwin 2014, ...]

- Under the other dynamics, the rightmost coordinates  $\lambda_1^{(k)} + k$  evolve as the **q-Hahn PushTASEP** (or, very similarly, as the **stochastic  $4\phi_3$  vertex model**) [Corwin-Matveev-P. 2018, Bufetov-Mucciconi-P. 2019]

For both, difference eigenoperators on sqW polynomials give probabilistic information similarly to [Borodin-Corwin 2011].

## Continuous case (spin Whittaker processes)

Define similarly a *process* based on the spin Whittaker functions

$$\mathfrak{P}_{\mathbf{X}; \mathbf{Y}}(\underline{L}_N) = \frac{f_{X_1}(\underline{L}_1) f_{X_2}(\underline{L}_1; \underline{L}_2) \cdots f_{X_N}(\underline{L}_{N-1}; \underline{L}_N) g_{\mathbf{Y}}(\underline{L}_N)}{\Pi(\mathbf{X}; \mathbf{Y})}.$$

$$\Pi(\mathbf{X}; \mathbf{Y}) = \prod_{j=1}^T \frac{\Gamma(X_1 + Y_j)}{\Gamma(S + X_1)} \left( \prod_{i=2}^N \frac{\Gamma(X_i + Y_j) \Gamma(2S)}{\Gamma(S + X_i) \Gamma(S + Y_j)} \right).$$

$$\mathbf{X} = (X_1, \dots, X_N)$$

$$\mathbf{Y} = (Y_1, \dots, Y_T)$$

We also have Markov dynamics on spin Whittaker processes which increase the parameter  $T$ .

**Theorem** (Mucciconi-P. 2020).

The marginals  $L_{k,k}(T)^{-1}$  have the same distribution as the strict-weak beta polymer model  $Z(k, T)$  of [Barraquand-Corwin 2015].

The marginals  $L_{k,1}(T)^{-1}$  have the same distribution as the “weird” beta polymer model  $\tilde{Z}(k, T)$  of [Corwin-Matveev-P. 2018].

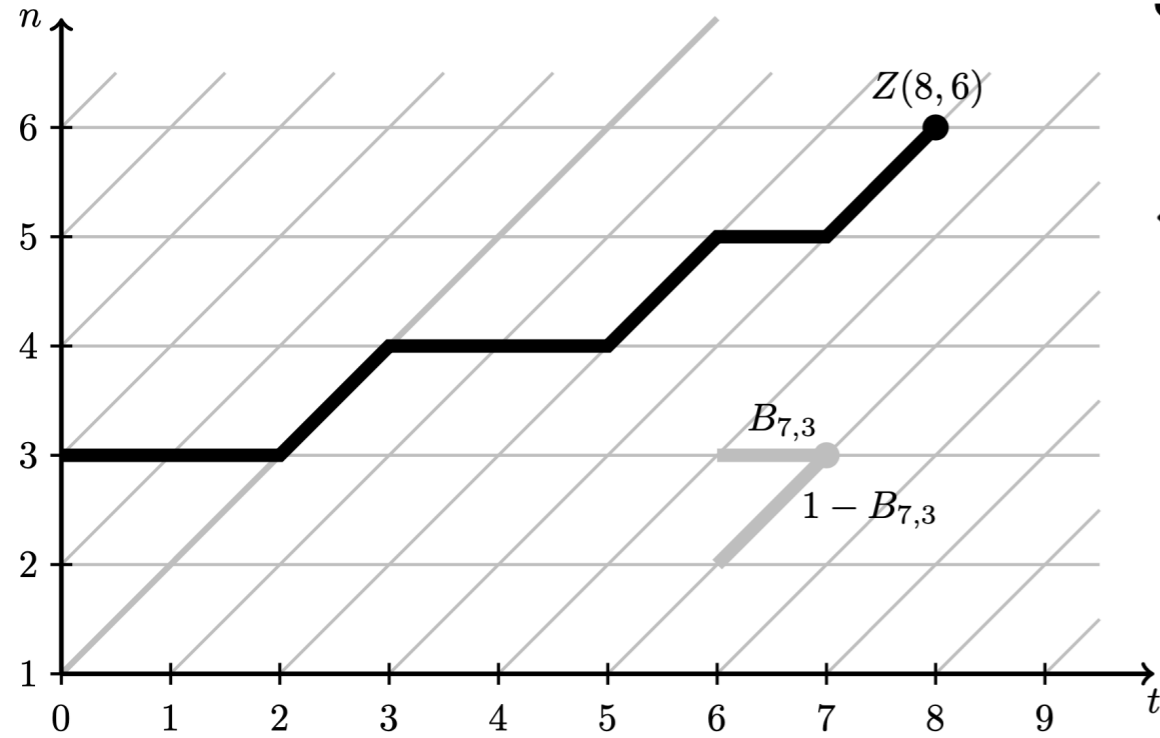
Both polymer models arise as  $q \rightarrow 1$  limits of the  $q$ -Hahn particle systems.

The “weird” model as  $S \rightarrow \infty$  also reduces to the more usual **log-gamma polymer model**.

The strict-weak beta polymer reduces to the strict-weak log-gamma polymer.



## Beta polymers



## Strict-weak beta polymer

$$\begin{cases} Z(i, j) = Z(i, j-1)B_{i,j} + Z(i-1, j-1)(1 - B_{i,j}) & \text{for } 1 < i \leq j; \\ Z(1, j) = Z(1, j-1)B_{1,j} & \text{for } j > 0; \\ Z(i, 0) = 1 & \text{for } i > 0. \end{cases}$$

$$B_{i,j} \sim \text{Beta}(X_i + Y_j, S - Y_j)$$

$$\mathcal{B}(m, n)[x] = \frac{x^{m-1}(1-x)^{n-1}}{\text{B}(n, m)} \quad \text{for } x \in (0, 1).$$

## Another beta polymer-type model - a random recursion

$$\tilde{Z}(i, j) = \begin{cases} 1 & \text{for } j = 0, \\ \tilde{Z}(1, j-1)\tilde{B}_{1,j} & \text{for } i = 1, \\ W_{i,j}^> \tilde{Z}(i, j-1) + (1 - W_{i,j}^>) \tilde{Z}(i-1, j) & \text{if } \tilde{Z}(i, j-1) > \tilde{Z}(i-1, j), \\ (1 - W_{i,j}^<) \tilde{Z}(i, j-1) + W_{i,j}^< \tilde{Z}(i-1, j) & \text{if } \tilde{Z}(i, j-1) < \tilde{Z}(i-1, j), \end{cases} \quad \tilde{B}_{1,j} \sim \text{Beta}^{-1}(X_1 + Y_j, S - Y_j)$$

$$W_{i,j}^> \sim \mathcal{NBB}^{-1} \left( 2S - 1, \frac{\tilde{Z}(i-1, j) - \tilde{Z}(i-1, j-1)}{\tilde{Z}(i, j-1) - \tilde{Z}(i-1, j-1)}, X_i + Y_j, S - Y_j \right),$$

$$W_{i,j}^< \sim \mathcal{NBB}^{-1} \left( 2S - 1, \frac{\tilde{Z}(i, j-1) - \tilde{Z}(i-1, j-1)}{\tilde{Z}(i-1, j) - \tilde{Z}(i-1, j-1)}, X_i + Y_j, S - X_i \right).$$

Where NBB is a random variable on  $[0, 1]$  with density

$$\mathcal{NBB}(r, p, m, n)[x] = \frac{(1-p)^r x^{m-1} (1-x)^{n-1}}{\text{B}(n, m)} {}_2F_1 \left( \begin{matrix} r, n+m \\ n \end{matrix} \middle| p(1-x) \right),$$

# The role of the Yang-Baxter equation

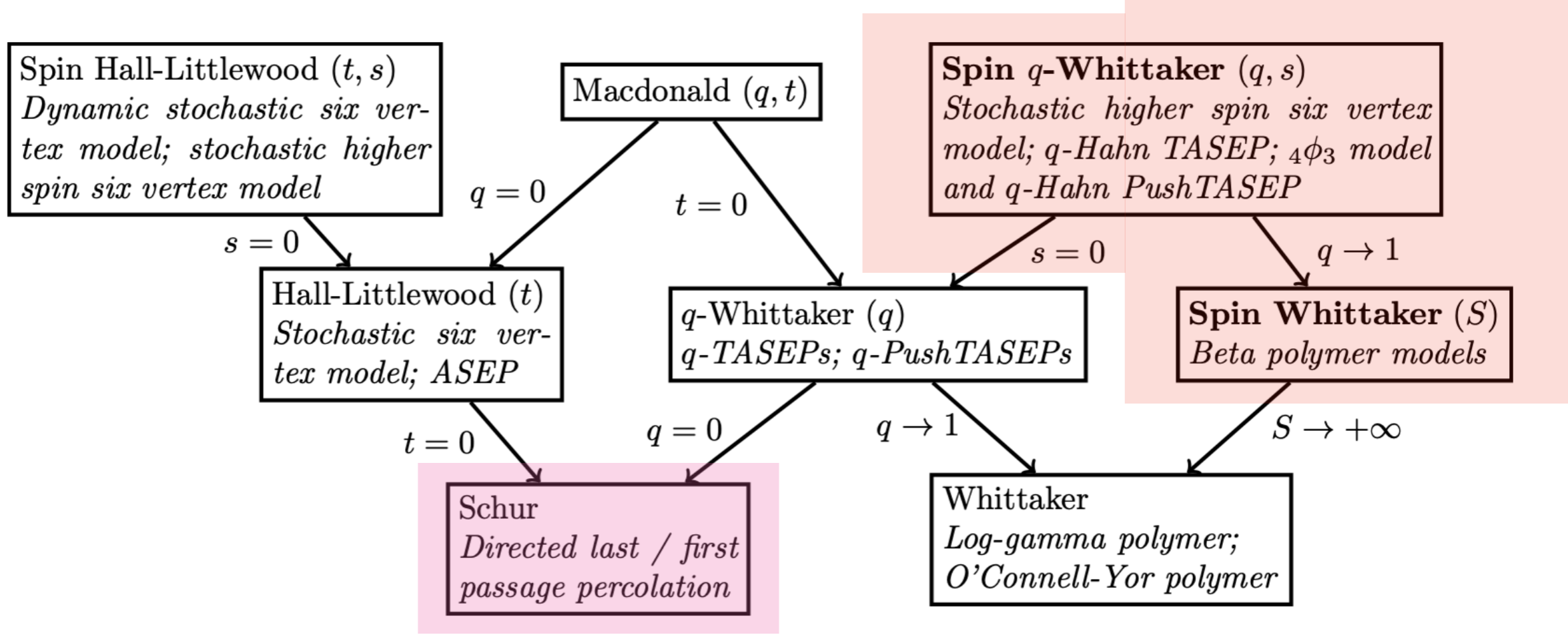
(mostly in the Schur  $q = s = 0$  case)

# Schur polynomials ( $q = s = 0$ degenerations of spin $q$ -Whittaker polynomials)

For simplicity, restrict to **Schur polynomials** which are most well-known symmetric functions in the scheme

$$s_\lambda(u_1, \dots, u_N) = \frac{\det[u_i^{\lambda_j + N - j}]_{i,j=1}^N}{\det[u_i^{N-j}]_{i,j=1}^N}, \tag{1}$$

$$\lambda = (\lambda_1 \geq \dots \geq \lambda_N), \quad \lambda_i \in \mathbb{Z}_{\geq 0}.$$

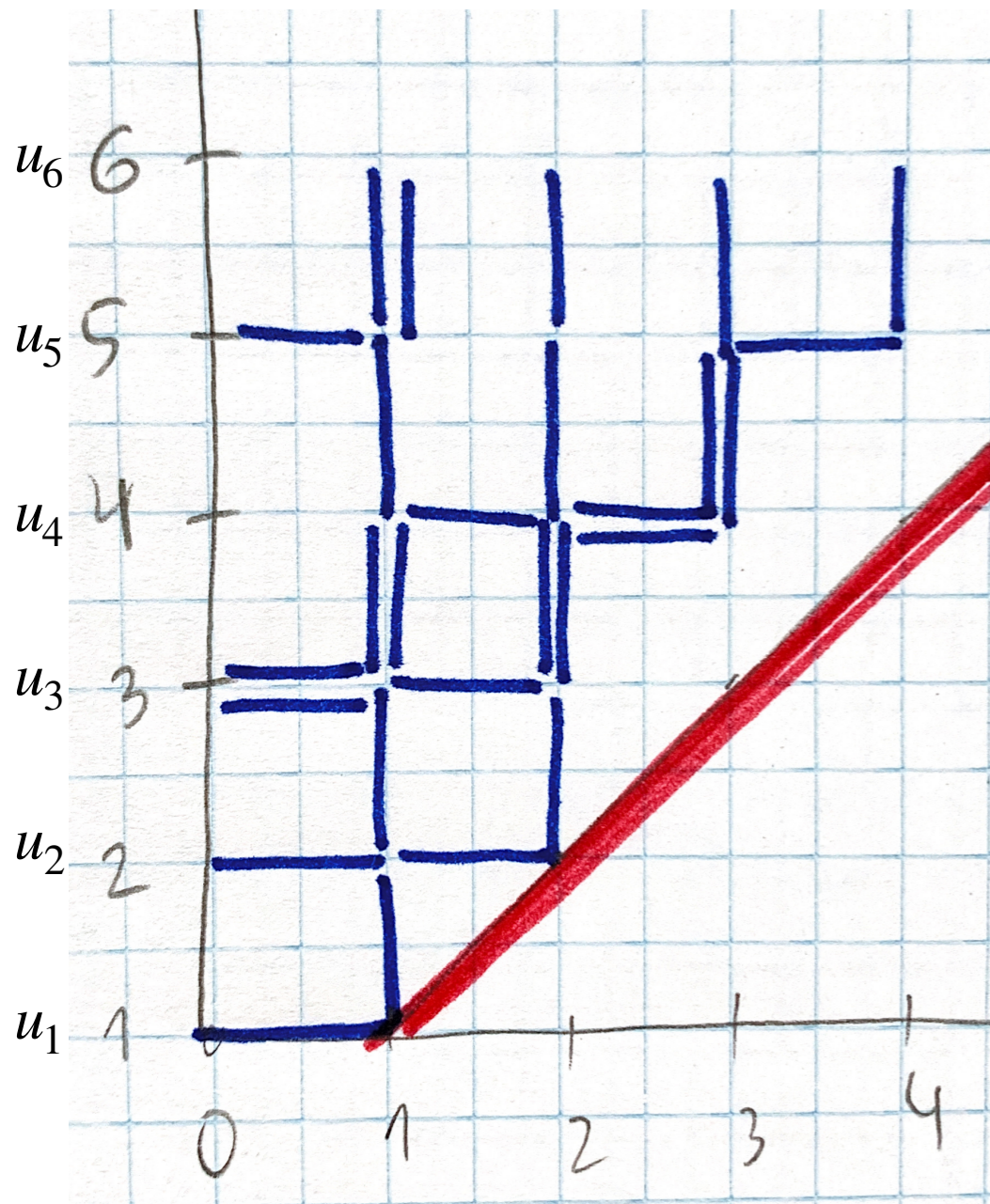


## Goal.

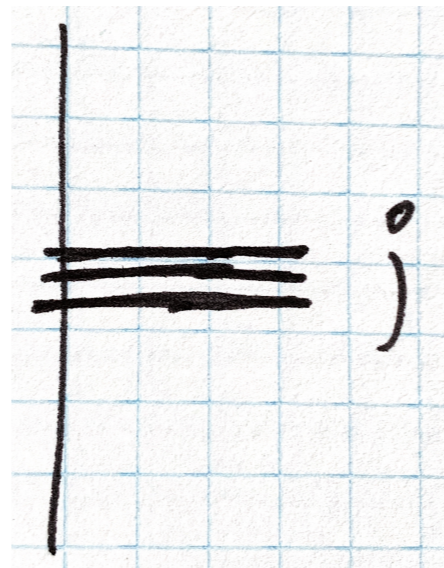
Get many properties (**symmetry, Cauchy identities**) of Schur polynomials via Yang-Baxter equation, starting from essentially the combinatorial formula **(2)**

$$s_\lambda(u_1, \dots, u_N) = \sum_{T \in \text{SSYT}(\lambda)} x^T \tag{2}$$

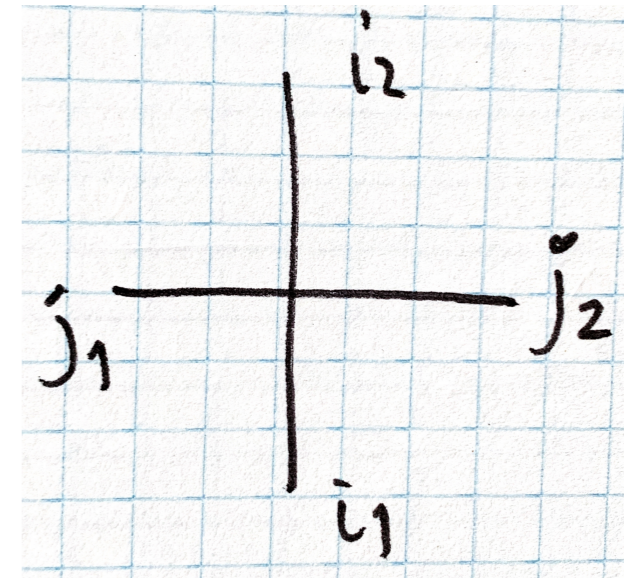
# Combinatorial formula for Schur polynomials via a vertex model



Lattice paths in the half-quadrant with weights



$u^j$ , where  $j$  paths start on the left



$$\mathbf{1}_{i_1+j_1=i_2+j_2} \mathbf{1}_{i_1 \geq j_2} u^{j_2}$$

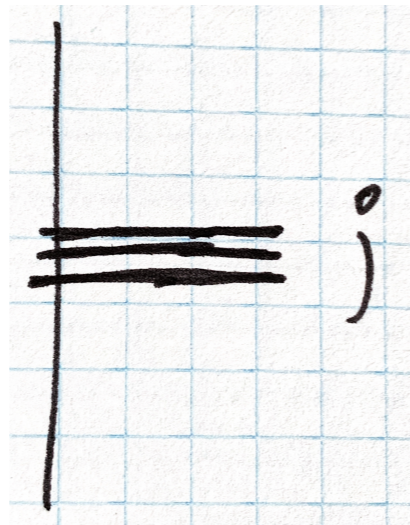
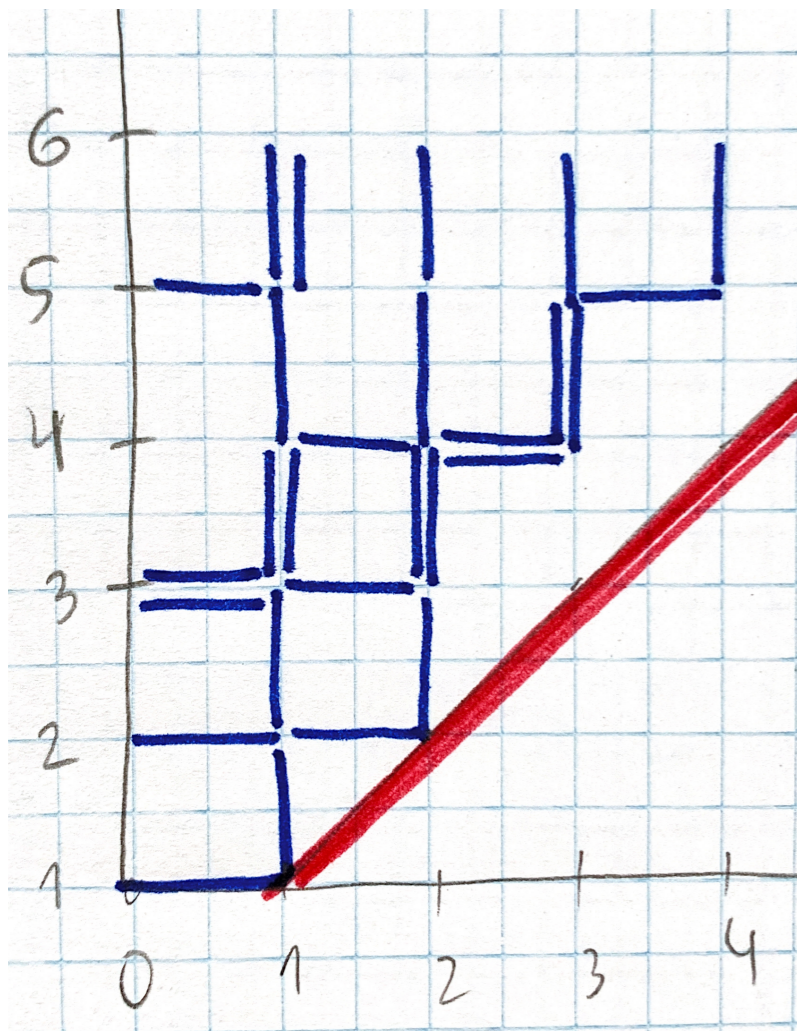
At the red diagonal, all paths must turn with weight **1**.

At each level we read off a partition by  $\lambda_i - \lambda_{i+1} = \#\{\text{paths through } i\text{-th vertical edge}\}$ .

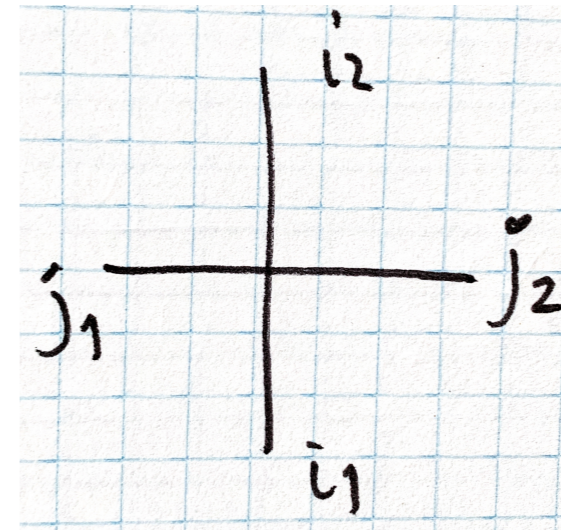
Top partition is  $(5, 3, 2, 1, 0)$ .

Path ensembles are in bijection with semistandard tableaux.

1	2	3	3	5
2	3	4		
4	4			
5				



$u^j$ , where  $j$  paths start on the left



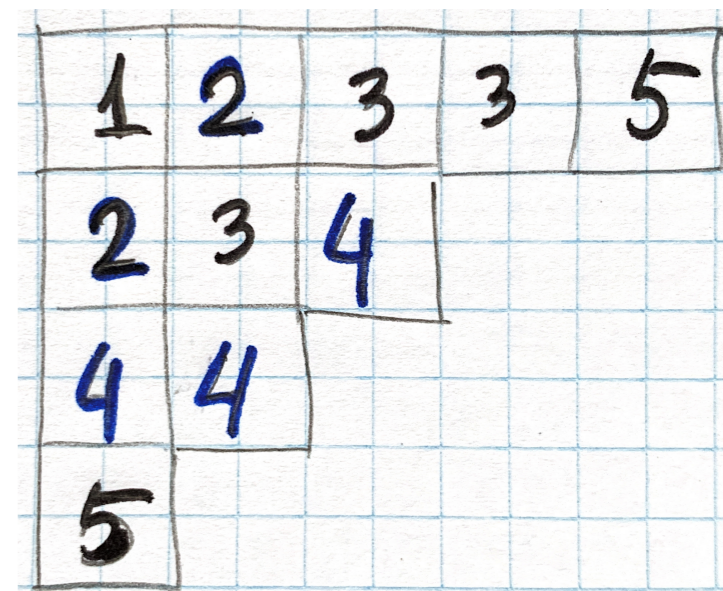
$$\mathbf{1}_{i_1+j_1=i_2+j_2} \mathbf{1}_{i_1 \geq j_2} u^{j_2}$$

**Exercise.**

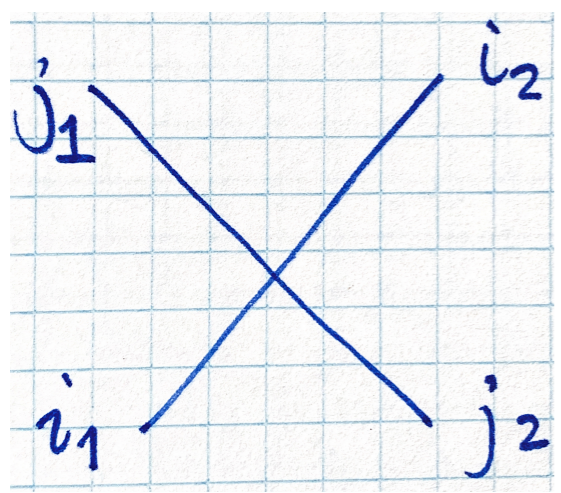
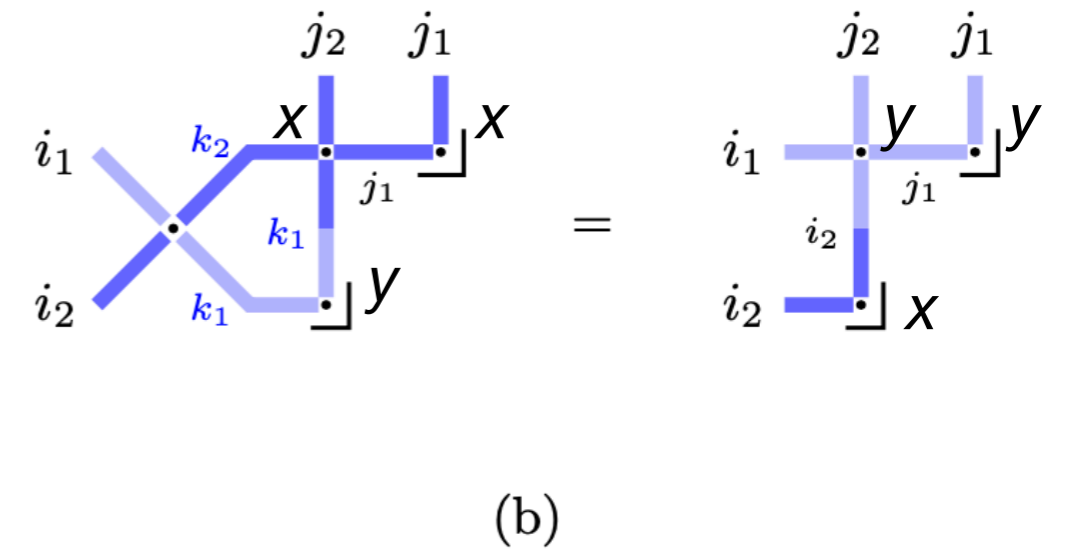
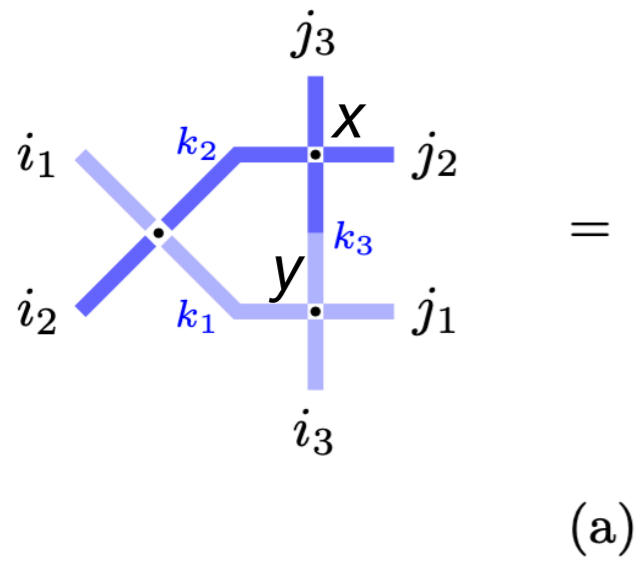
$$s_\lambda(u_1, \dots, u_N) = \sum_{\text{path ensembles with top row } \lambda} \prod \text{vertex weights}$$

**Example in the picture:**  $s_{(5,3,2,1,0)}(u_1, u_2, u_3, u_4, u_5)$

**Weight of the picture:**  $u_1 u_2^2 u_3^3 u_4^3 u_5^2$

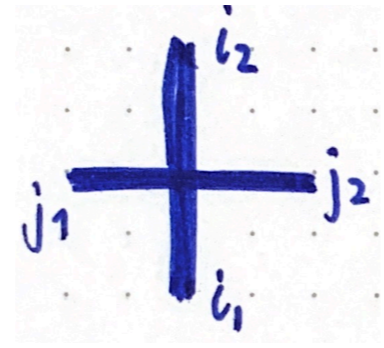
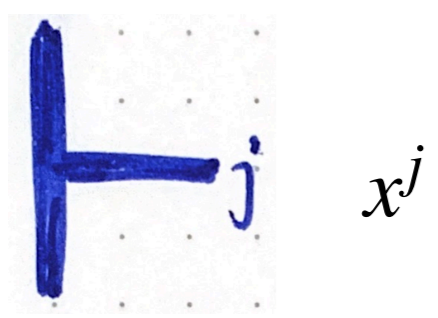


# Yang-Baxter equations (all paths up-right)

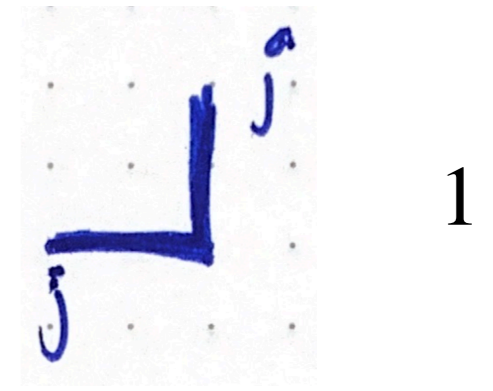


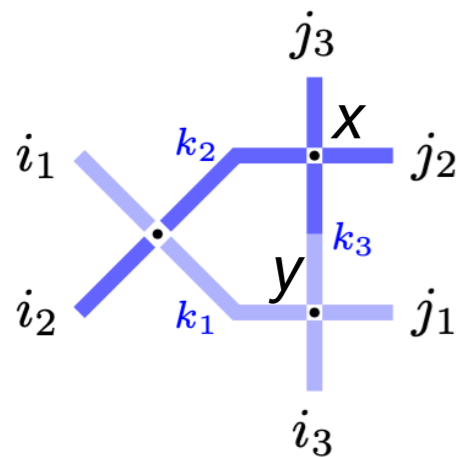
Cross vertex weights

$$R_{x,y}(i_1, j_1; i_2, j_2) = \mathbf{1}_{i_1+j_1=i_2+j_2} \mathbf{1}_{i_1 \geq j_2} (y/x)^{j_2} (1 - y/x \mathbf{1}_{i_1 > j_2})$$

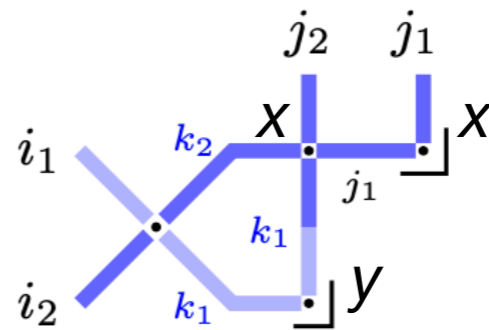
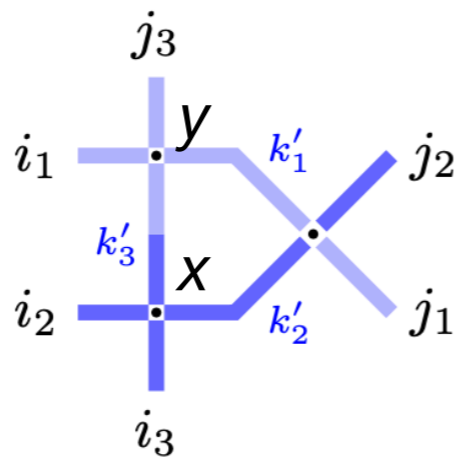


$$\mathbf{1}_{i_1+j_1=i_2+j_2} \mathbf{1}_{i_1 \geq j_2} x^{j_2}$$

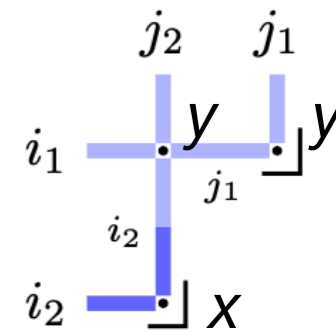




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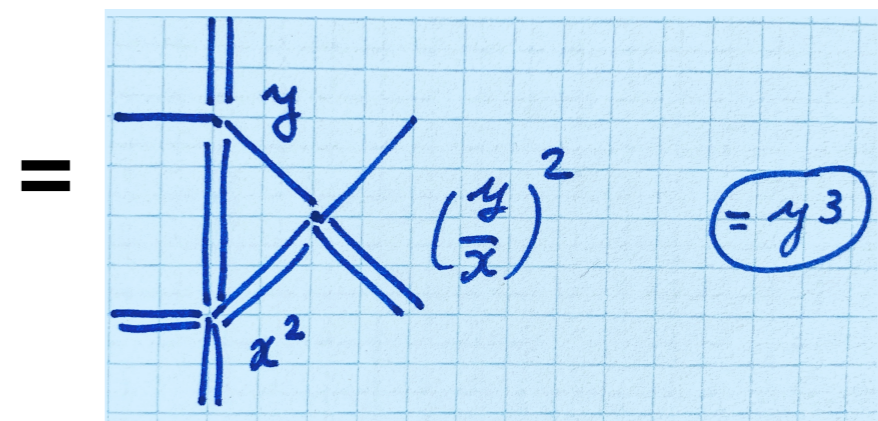
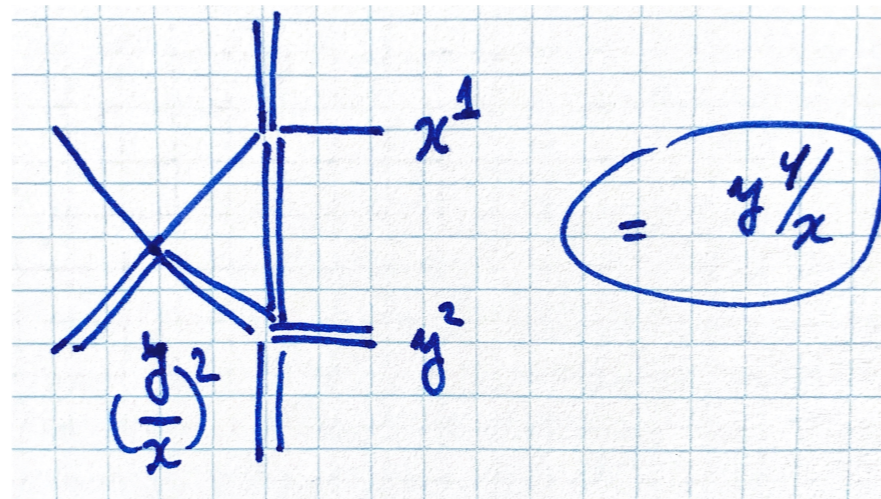
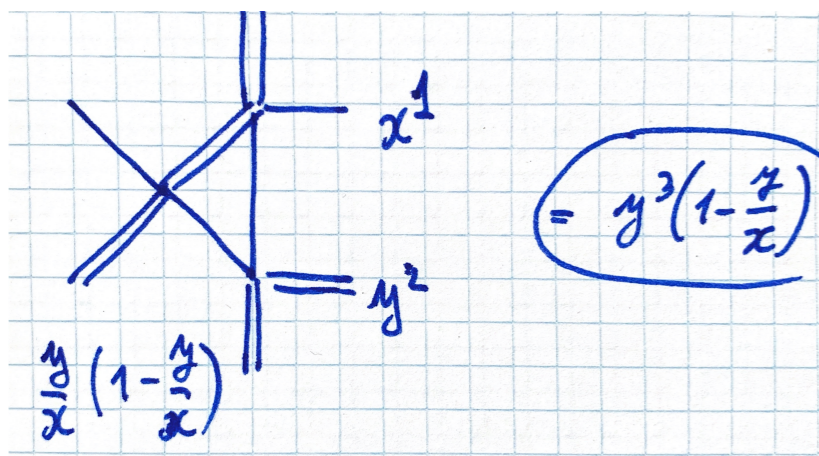
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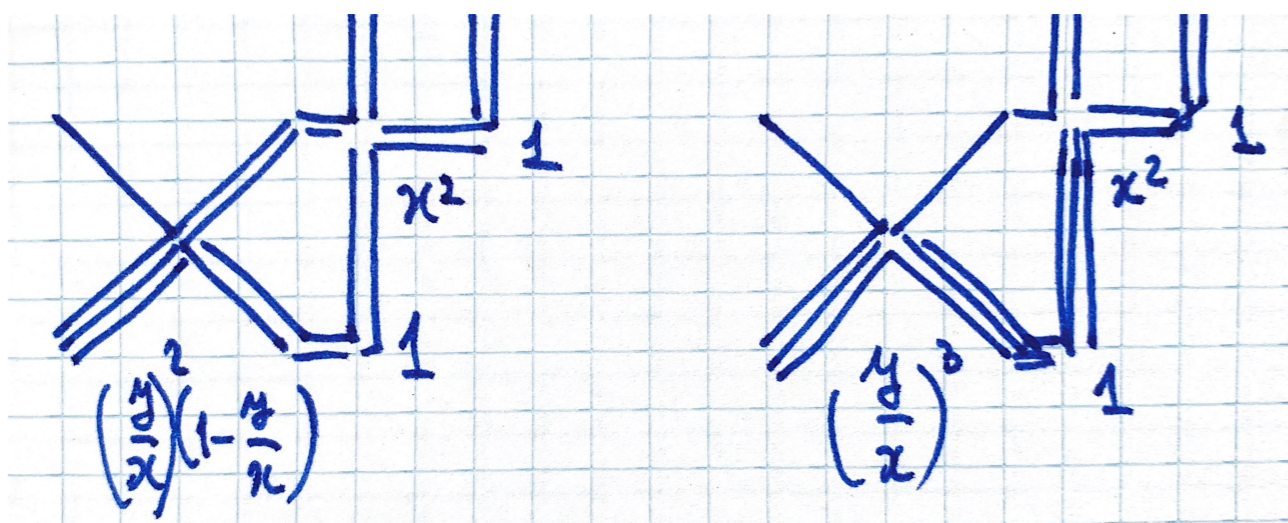
(a)

(b)

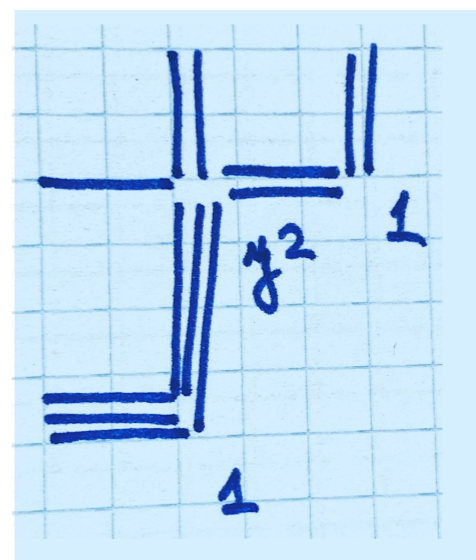
**Example (a)**



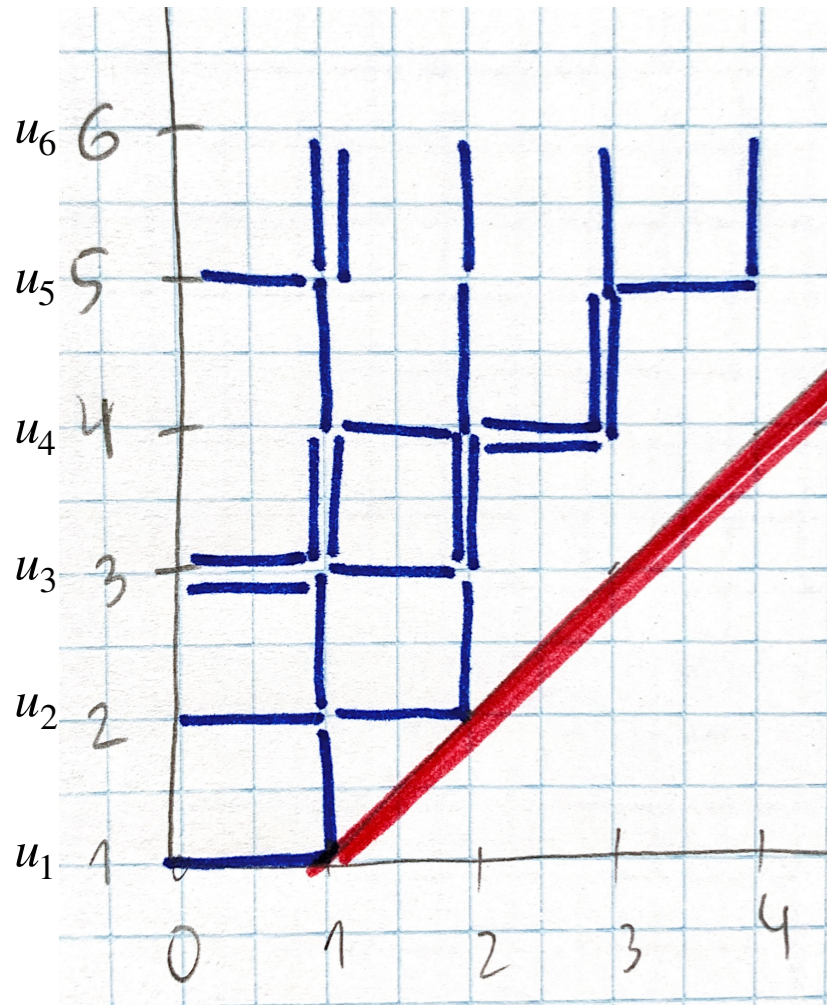
**Example (b)**



=

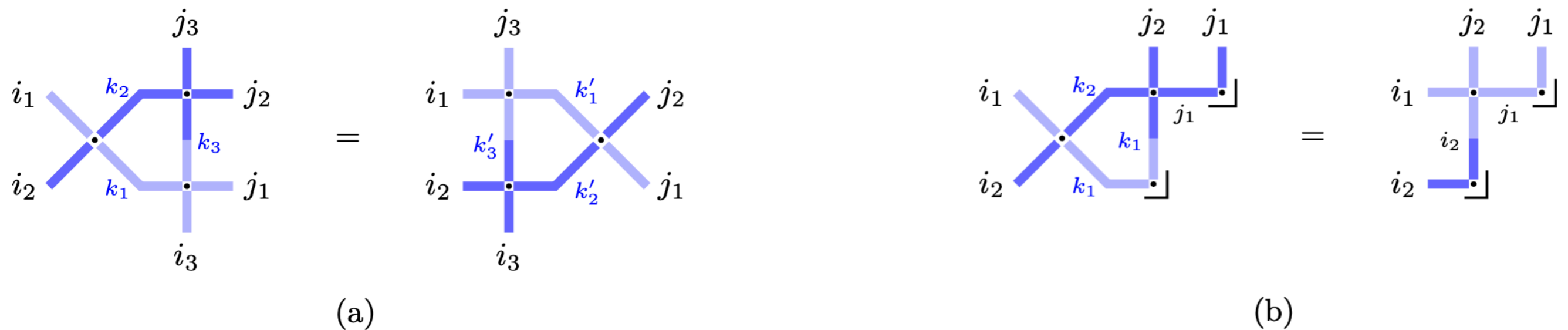
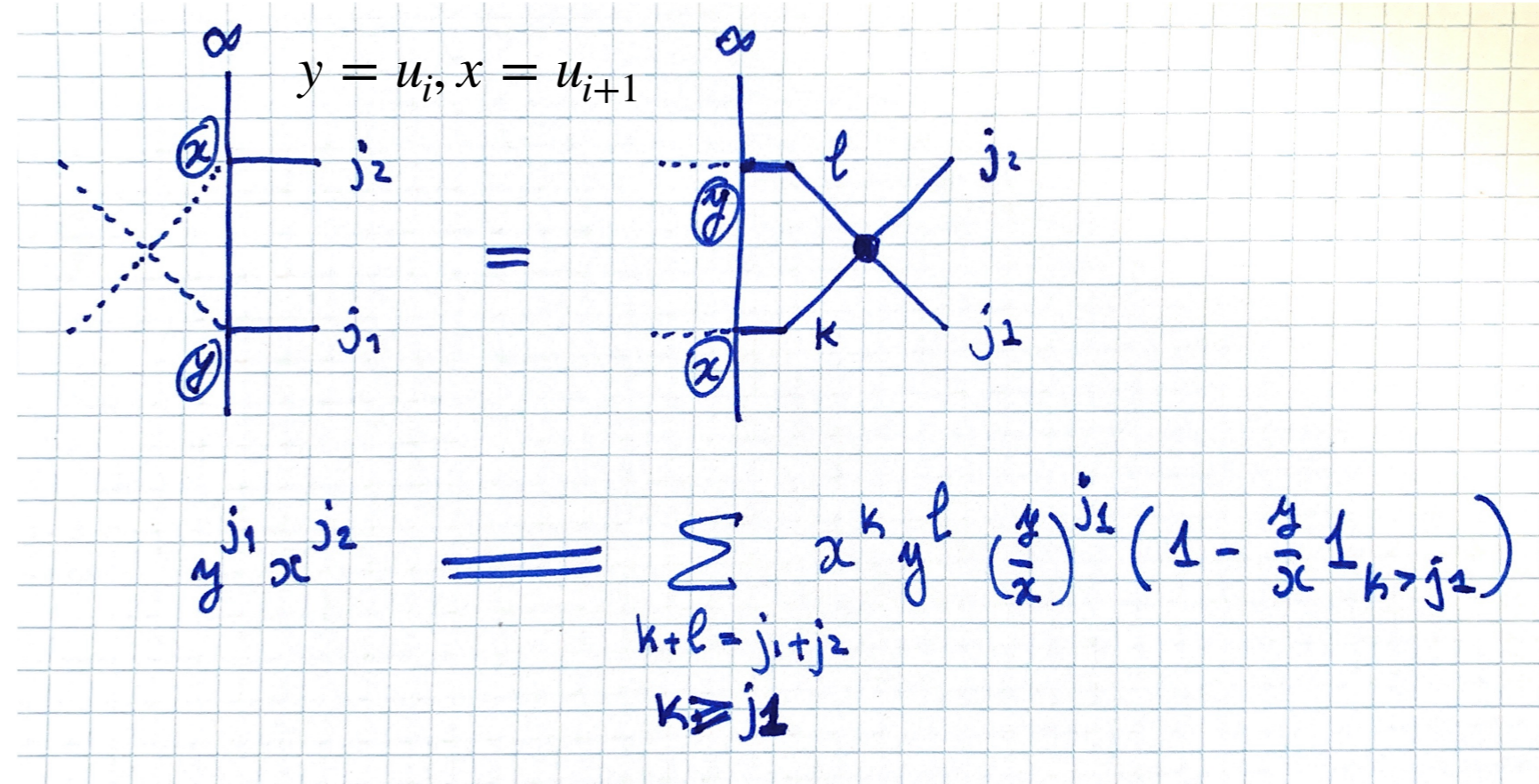


# Symmetry of polynomials



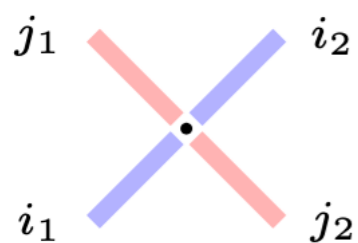
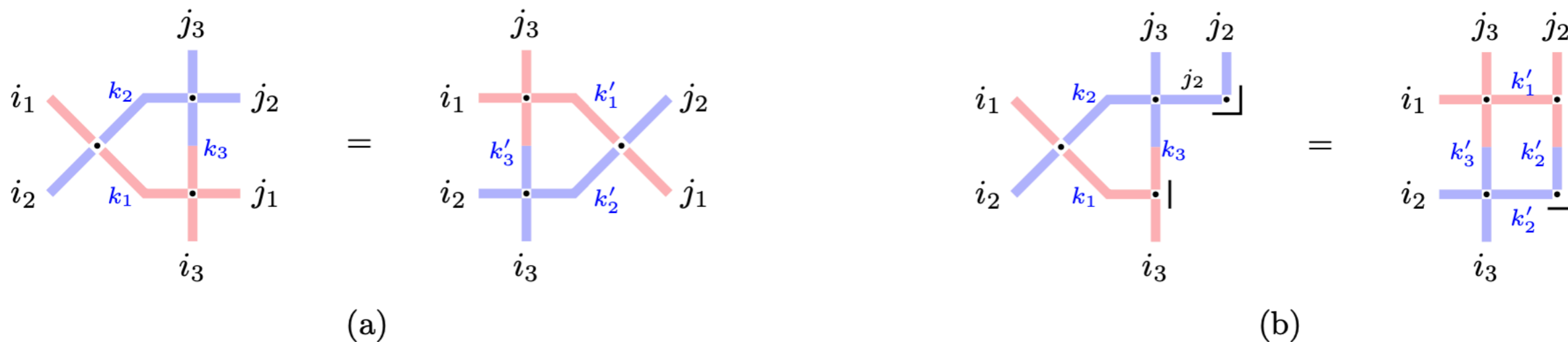
To swap any pair  $u_i \leftrightarrow u_{i+1}$ , first apply YBE (b) on the right. This produces a cross which we then move to the left using YBE (a) many times.

At the leftmost boundary we also use YBE (a):

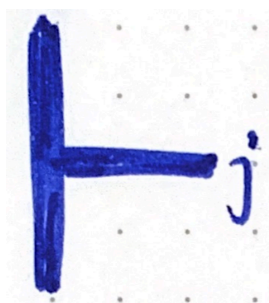




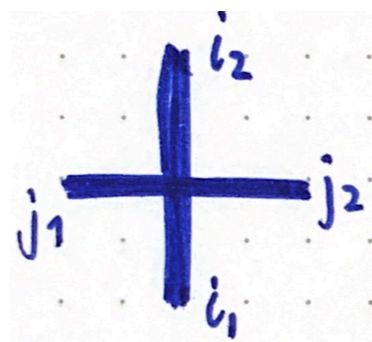
# More Yang-Baxter equations for Cauchy identity (blue - up-right, red - down-right)



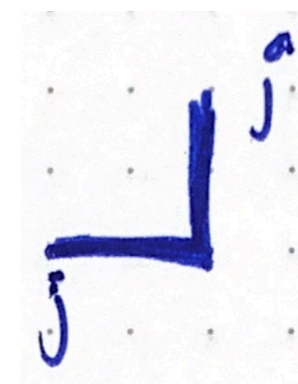
$$\mathbb{R}_{x,y} = \mathbf{1}_{i_1+j_2=j_1+i_2} (xy)^{\min(i_2, j_2)}$$



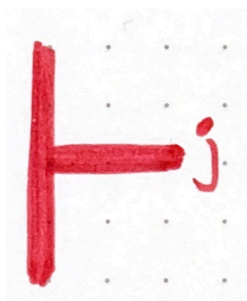
$x^{j_1}$



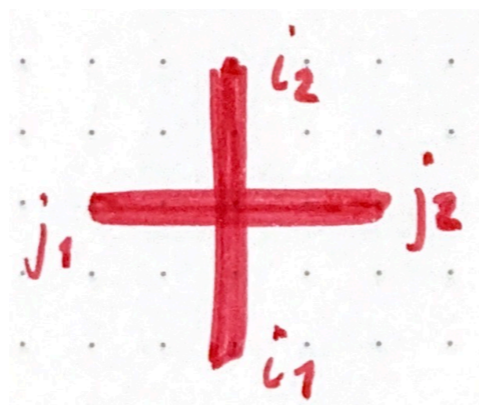
$\mathbf{1}_{i_1+j_1=i_2+j_2} \mathbf{1}_{i_1 \geq j_2} x^{j_2}$



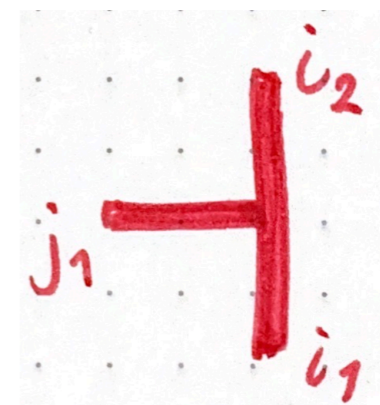
$\mathbf{1}$



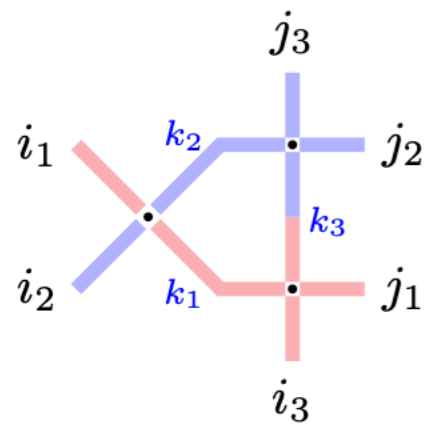
$x^{j_1}$



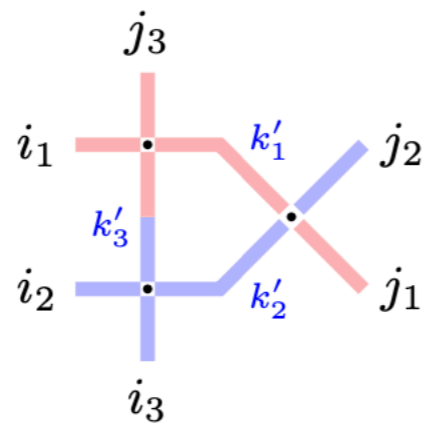
$\mathbf{1}_{i_2+j_1=i_1+j_2} \mathbf{1}_{i_2 \geq j_2} x^{j_2}$



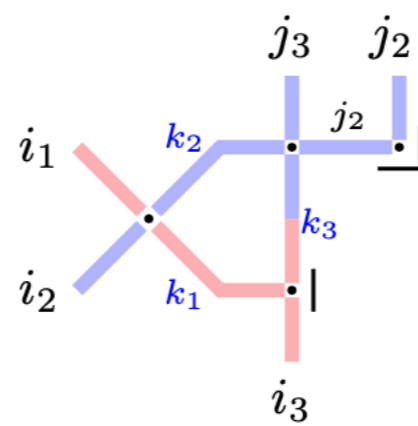
$\mathbf{1}_{i_1=j_1+i_2}$



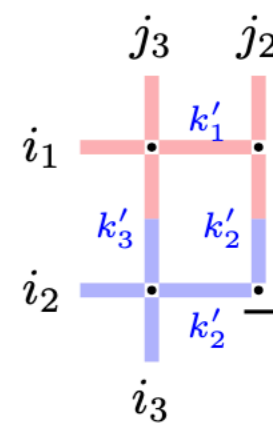
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(a)

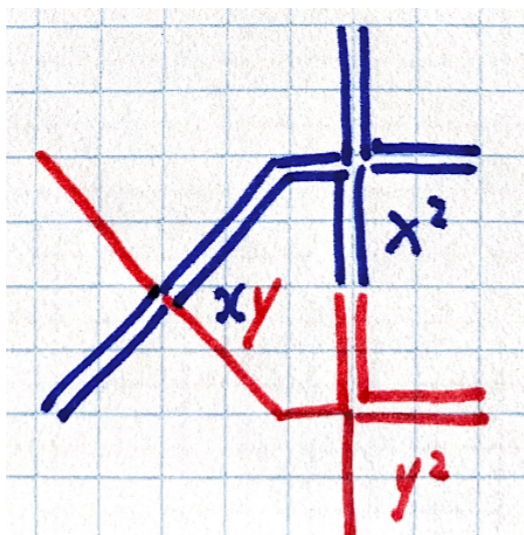
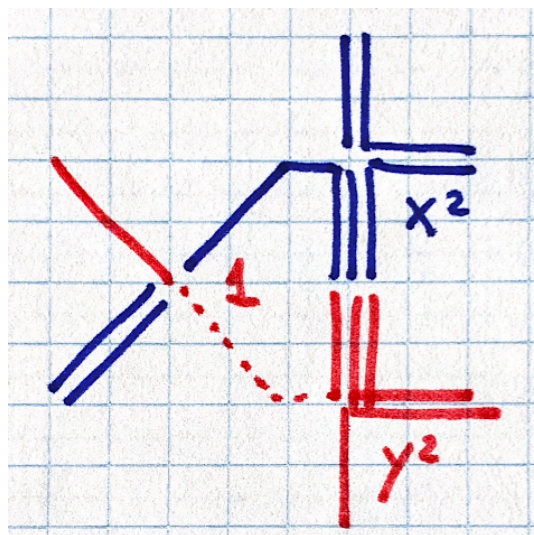


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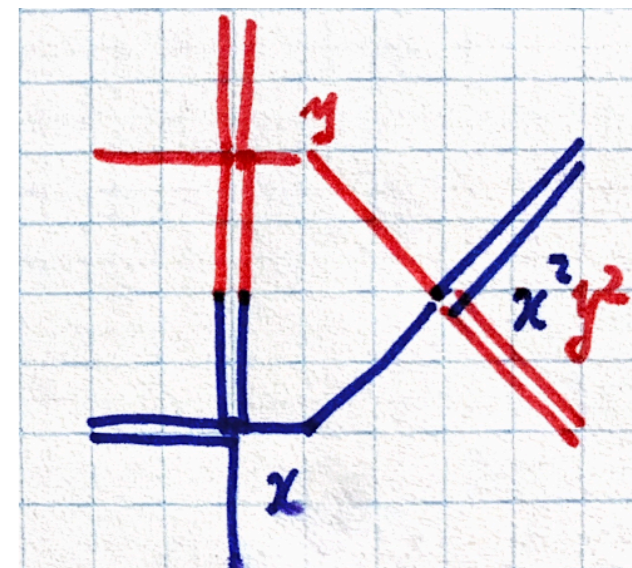
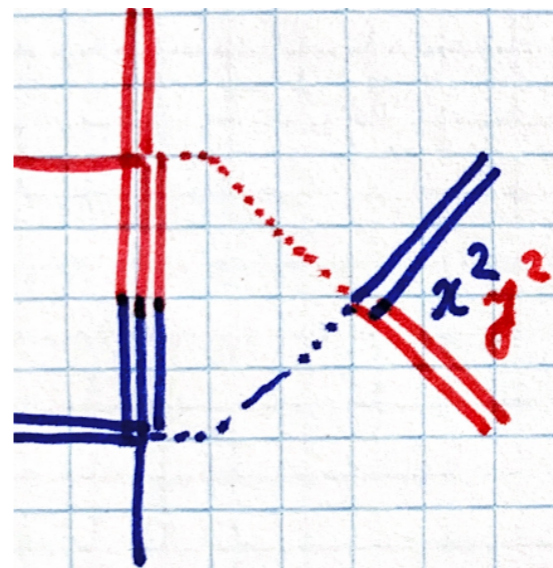


(b)

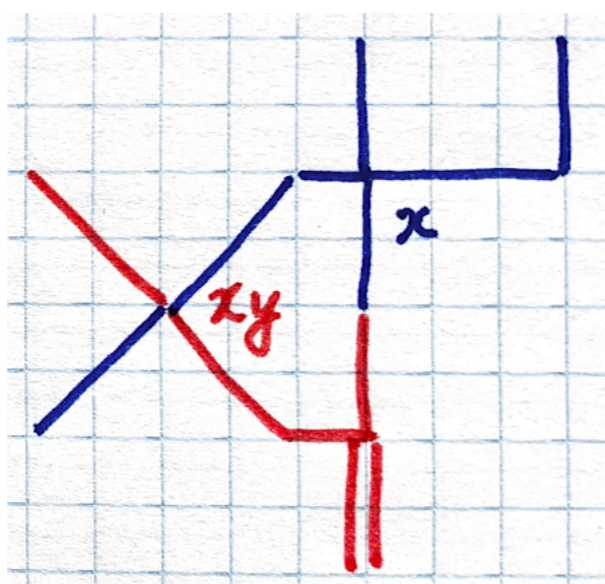
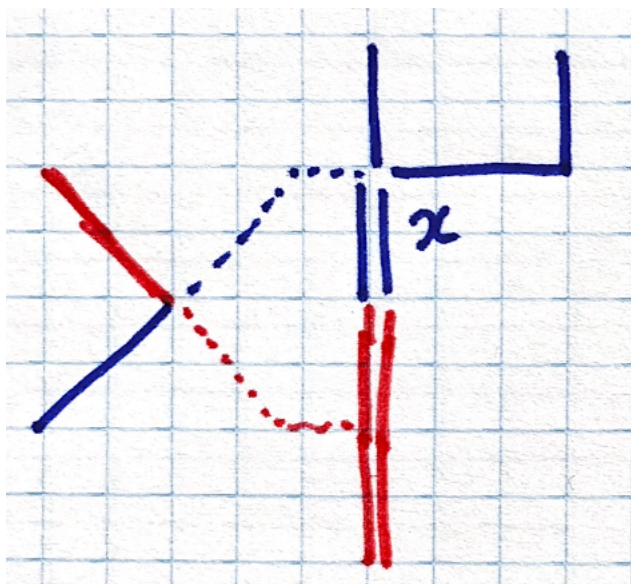
### Example (a)



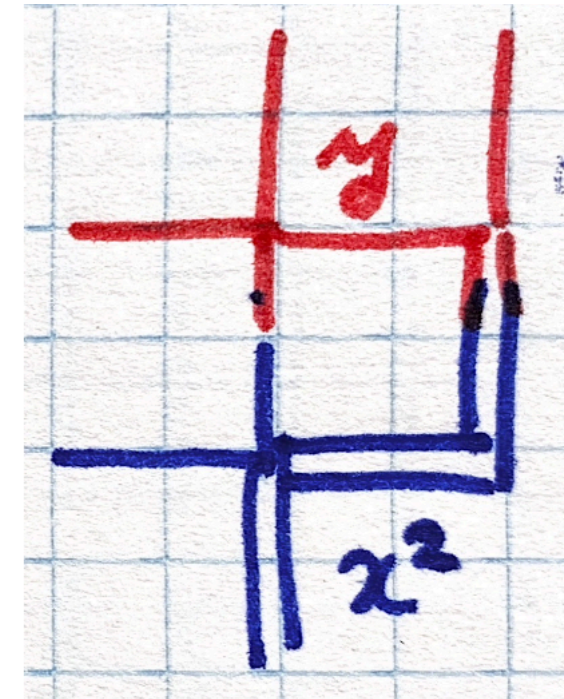
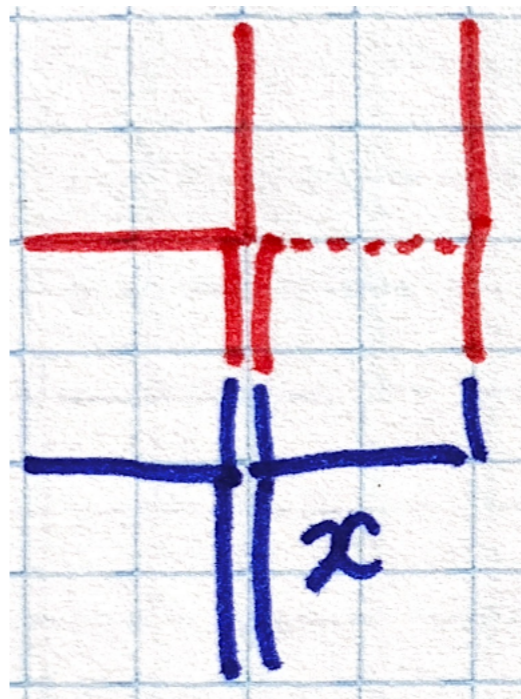
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### Example (b)



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# Proof of the Cauchy identity

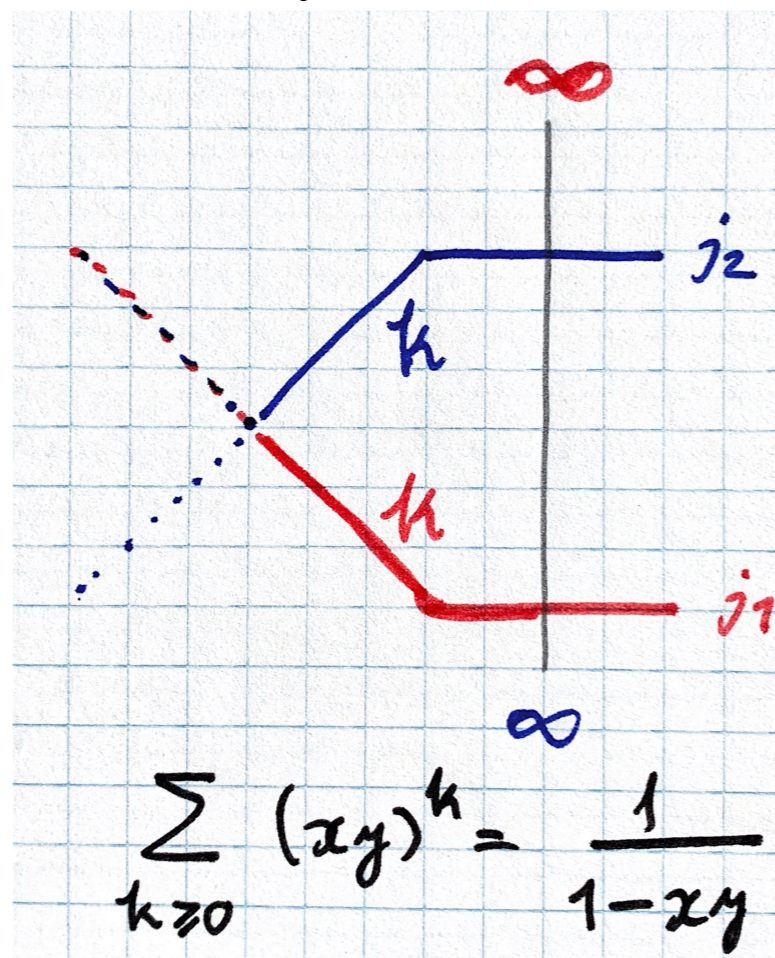
$$\sum_{\lambda_1 \geq \dots \geq \lambda_N} s_\lambda(x_1, \dots, x_N) s_\lambda(y_1, \dots, y_k) = \prod_{i=1}^N \prod_{j=1}^k \frac{1}{1 - x_i y_j}$$

The left-hand side is a partition function of configurations like these.

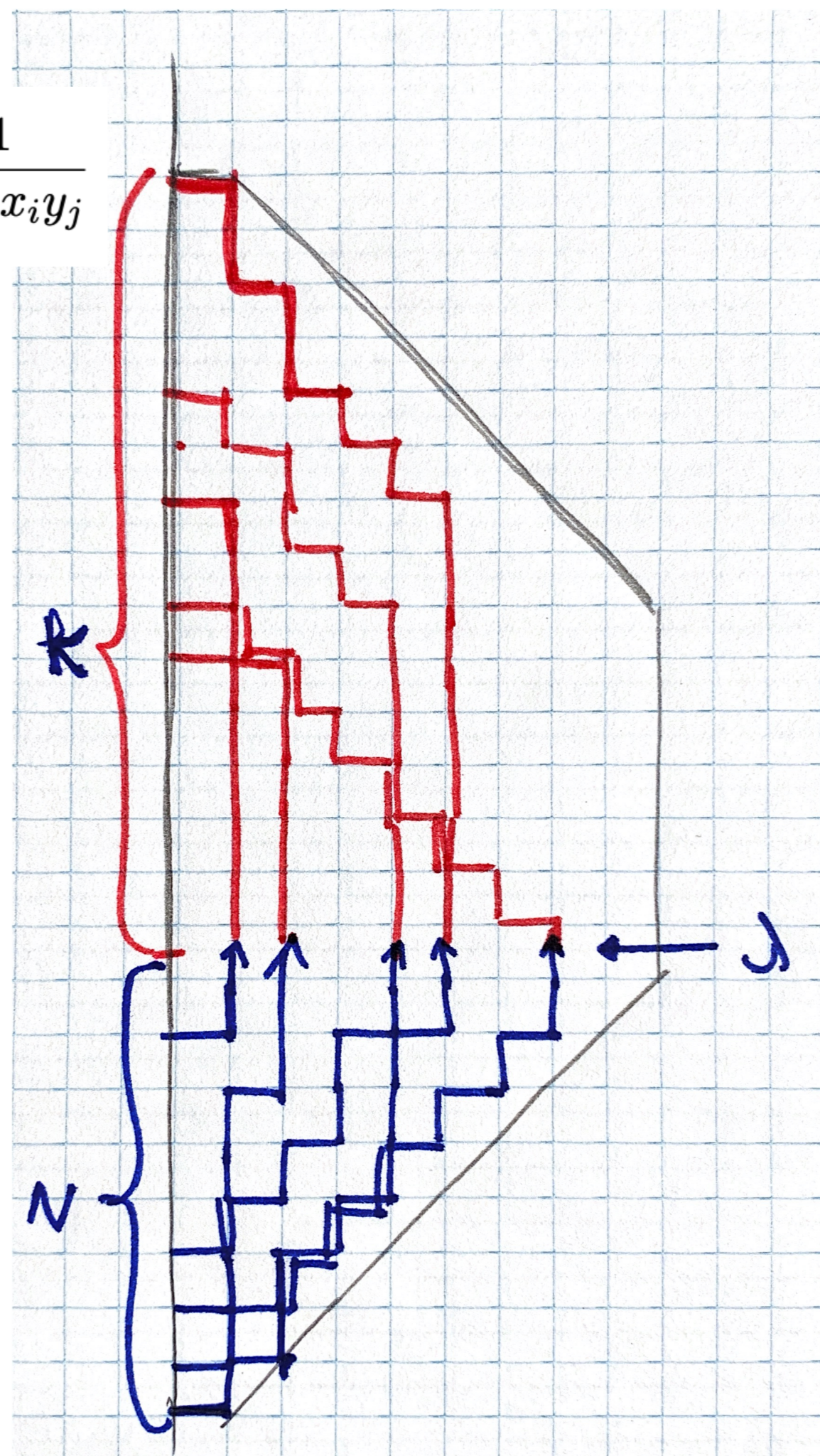
Do the following  $Nk$  times:

Start from the right, apply YBE (b) to produce a new cross vertex, then YBE (a) to drag it the left.

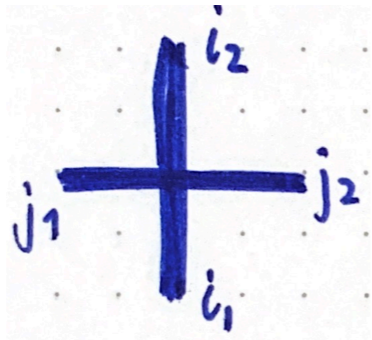
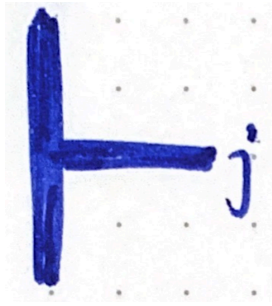
This exchanges one  $x_i$  with one  $y_j$ . On the left boundary we have



After  $Nk$  exchanges, the resulting partition function becomes 1, and we get the Cauchy identity. ■

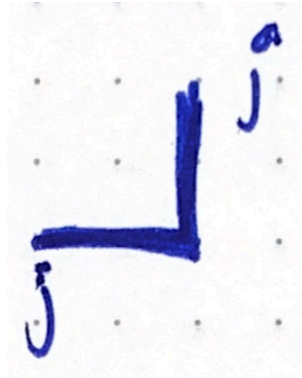


# Spin $q$ -Whittaker weights (for the second YBE)

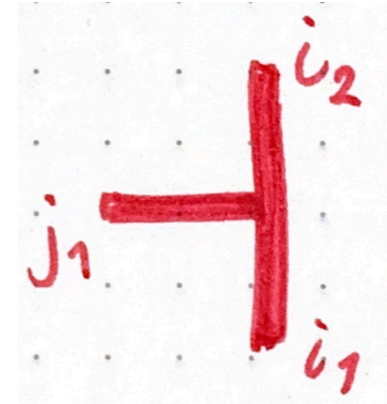


$$\mathbf{1}_{i_1+j_1=i_2+j_2} \mathbf{1}_{i_1 \geq j_2} x^{j_2} \frac{(-s/x; q)_{j_2} (-sx; q)_{i_1-j_2} (q; q)_{i_2}}{(q; q)_{j_2} (q; q)_{i_1-j_2} (s^2; q)_{i_2}}$$

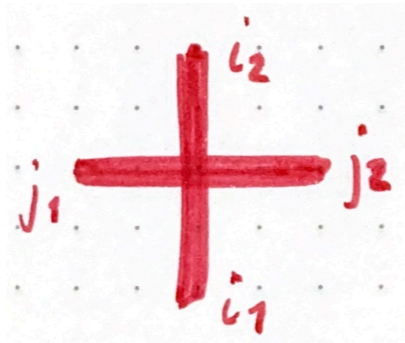
$$x^j \frac{(-s/x; q)_j}{(q; q)_j}$$



$$\frac{(q; q)_j}{(-s/x; q)_j}$$



$$\mathbf{1}_{i_1=j_1+i_2}$$



$$\mathbf{1}_{i_2+j_1=i_1+j_2} \mathbf{1}_{i_2 \geq j_2} \frac{y^{j_2} (q; q)_{i_2} (-s/y; q)_{j_2} (-sy; q)_{i_2-j_2}}{(q; q)_{i_2-j_2} (q; q)_{j_2} (s^2; q)_{i_2}}$$

$$x^j \frac{(-s/x; q)_j}{(q; q)_j}$$

$$\mathbb{R}_{x,y,s}(i_1, j_1; i_2, j_2) := \mathbf{1}_{i_2+j_1=i_1+j_2} \frac{q^{i_2 i_1 + \frac{1}{2} j_2 (j_2 - 1)} (sx)^{j_2} (q; q)_{j_1}}{(s^2; q)_{j_1+i_2} (q; q)_{j_2} (q; q)_{i_2} (-q/(sx); q)_{i_1-j_1}}$$



$${}_{4\bar{\phi}_3} \left( \begin{matrix} q^{-n} & a_1 & a_2 & a_3 \\ & b_1 & b_2 & b_3 \end{matrix} ; q, z \right)$$

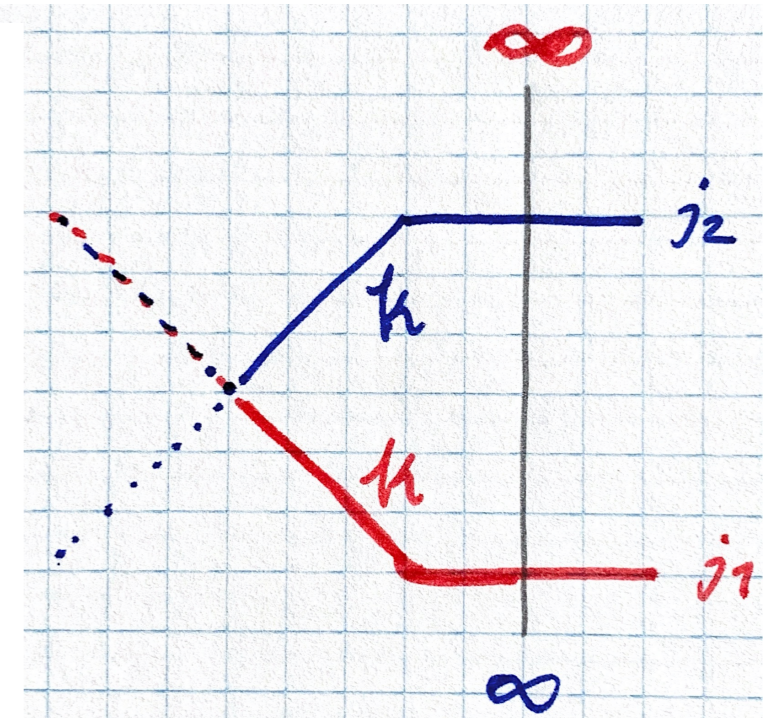
$$\times {}_{4\bar{\phi}_3} \left( \begin{matrix} q^{-i_2}; q^{-i_1}, -sy, -q/(sx) \\ -s/x, q^{1+j_2-i_2}, -yq^{1-i_1-j_2}/s \end{matrix} \middle| q, q \right).$$

$$= \sum_{j=0}^n z^j \frac{(q^{-n}; q)_j}{(q; q)_j} (a_1, a_2, a_3; q)_j (q^j b_1, q^j b_2, q^j b_3; q)_{n-j}.$$

## Cauchy identity for the spin $q$ -Whittaker polynomials

$$\sum_{\lambda \in \text{Sign}_N} \mathbb{F}_\lambda(x_1, \dots, x_N) \mathbb{F}_\lambda^*(y_1, \dots, y_k) = \prod_{j=1}^k \left( \frac{(-sy_j; q)_\infty}{(s^2; q)_\infty} \right)^{N-1} \prod_{i=1}^N \prod_{j=1}^k \frac{(-sx_i; q)_\infty}{(x_i y_j; q)_\infty}.$$

$$\begin{aligned} \sum_{i, j \geq 0} \mathbb{R}_{x, y, s}(0, 0; i, j) &= \sum_{k \geq 0} \mathbb{R}_{x, y, s}(0, 0; k, k) \\ &= \sum_{k=0}^{\infty} (xy)^k \frac{(-s/x; q)_k (-s/y; q)_k}{(s^2; q)_k (q; q)_k} \\ &= \frac{(-sx; q)_\infty (-sy; q)_\infty}{(s^2; q)_\infty (xy; q)_\infty}. \end{aligned}$$



At the last step (which is basically the  $q$ -binomial theorem), we would get a factor of  $\frac{(-sx; q)_\infty}{(xy; q)_\infty}$  instead of the full product, so this explains the powers in the right-hand side of the Cauchy identity. ■

**Note.** For probabilistic applications, we repeat the Yang-Baxter moves in the Cauchy identity, and at each step make a stochastic choice (“*bijectionisation*”). We can start the YBE from left or right, and this produces both couplings, for “TASEP” and “PushTASEP” sides.

# Conclusions and further problems

- Two new families of symmetric functions - “better” *spin  $q$ -Whittaker polynomials*, and (possibly more fundamental) *spin Whittaker functions*.
- **Is there an integrable vertex model explanation of the “corner vertices”?**
- Satisfy the “usual” properties of symmetric functions, including Cauchy identity, some eigenoperators, etc.
- **How to prove the conjectural orthogonality relations for these symmetric functions?**
- **Are there higher order eigenoperators for  $sqW$  or  $sW$ ?**
- There are probabilistic applications (our initial motivation), and we have added an extra parameter to  $q$ -Whittaker / Whittaker setup ([COSZ], [BC] 2010+). We also get connections to random polymers, etc.
- **Is there a good polymer interpretation of multilayer distributions coming from spin Whittaker processes? (multilayer beta polymers)**
- **RSK like constructions associated with beta polymers and spin Whittaker processes?**
- **Is there any representation theory behind spin Whittaker functions?**