

1 **Series of experiments for empirical validation of solar gain modeling in building**
2 **energy simulation codes – Experimental setup, test cell characterization,**
3 **specifications and uncertainty analysis**

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14
15 **Abstract:** Empirical validation of building energy simulation codes is an important
16 component in understanding the capacity and limitations of the software. Within the
17 framework of Task 34/Annex 43 of the International Energy Agency (IEA), a series of
18 experiments was performed in an outdoor test cell. The objective of these experiments was
19 to provide a high-quality data set for code developers and modelers to validate their solar
20 gain models for windows with and without shading devices. A description of the necessary
21 specifications for modeling these experiments is provided in this paper, which includes
22 information about the test site location, experimental setup, geometrical and thermophysical
23 cell properties including estimated uncertainties. Computed overall thermal cell properties
24 were confirmed by conducting a steady-state experiment without solar gains. A transient
25 experiment, also without solar gains, and corresponding simulations from four different
26 building energy simulation codes showed that the provided specifications result in accurate
27 thermal cell modeling. A good foundation for the following experiments with solar gains was
28 therefore accomplished.

29
30 *Keywords:* Building energy simulation; Empirical validation; Test cell specification

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34 **1. Introduction**

35 The use of building energy simulation codes has been continuously evolving since the 1970s
36 and 1980s. The integral approach, by which all relevant energy transport paths are
37 simultaneously processed, makes building energy simulation codes powerful tools for the
38 design of energy-efficient buildings, which may explain their growing popularity. Numerous
39 commercial and freeware codes are now available with varying levels of modeling versatility,
40 complexity and user interfaces. An overview of the theory and application of this type of tool
41 is given by Clarke [1].

42
43 Validation of models implemented in the codes is a prerequisite for a successful application.
44 Studies performed by Judkoff [2] and Judkoff and Neymark [3] have shown large
45 disagreements between different codes. Code validation is therefore seen as an essential
46 part of the development of building energy simulation software. Clarke [1] stressed this point
47 by noting that in new code development a code that has successfully passed a validation test
48 may fail the same test at a later time. Hence, validation checks must be made on a regular
49 basis to guarantee the accuracy of the code. An excellent way in which to do this was
50 proposed and performed within IEA Annex 21 [3]: a set of diagnostic tests was implemented
51 into a software package. A similar approach was pursued by Ben-Nakhi and Aasem [4], who
52 developed a module for integrating into simulation codes to validate transient heat flow
53 computation through opaque multi-layered constructions.

54
55 A number of authors have been working on validation methodology [2, 5, 6, 7, 8]. Code
56 checking - i.e. testing if the code behaves as expected and is basically free of programming

1 errors - and documentation of the functions of each routine can be thought of as the first
2 steps towards quality assurance and validation. Judkoff [2] provides an overview of additional
3 validation techniques and discusses advantages and disadvantages of three different
4 approaches, which are (i) analytical (comparison of simulation results with analytical
5 solutions), (ii) comparative (code-to-code comparisons), and (iii) empirical (comparisons of
6 simulation results with experimental data). The advantages of analytical and comparative
7 tests are that there is no uncertainty associated with the input parameters and tests are
8 relatively inexpensive to perform. The disadvantage of the analytical test is that a limited
9 number of analytical solutions are available and that in comparative tests there is no truth
10 standard. On the other hand, empirical validation has a truth standard within the limits of the
11 experimental uncertainty and, in addition, complex cases can be performed. But empirical
12 validation is the most time-consuming and expensive of the three techniques and has
13 therefore only been performed on a very limited basis.

14
15 Highly glazed buildings are becoming increasingly popular around the world. It is particularly
16 important to model the thermal performance of the transparent façade when predicting the
17 thermal behavior of the building in summer. Energy flows through the glazing and shading
18 devices are determined by optical, thermodynamic and fluid-dynamic processes [9]. Because
19 of the complexities of the systems, no analytical solutions are available for such validations.
20 Code-to-code comparisons are not sufficient because it is not obvious which model, if any, is
21 correct. The only suitable approach is therefore to perform high-quality experiments for
22 validation purposes.

23
24 The series of experiments discussed here was performed in a test cell on the Empa campus
25 in Duebendorf, Switzerland. According to Strachan [10], test cells represent an economic and
26 practical alternative between laboratory experiments and full-scale monitoring of buildings
27 and provide the best available environment providing high-quality data sets needed for the
28 empirical whole-model validation. The facility used in this study, the cell concept was first
29 described by Simmler et al. [11], has guarded zones for thermal shielding of the cell.
30 Compared with previous empirical validation projects using test cells without guarded zones
31 [10, 12, 13], the guarded zones offered much better control of boundary conditions in this
32 study. The data acquired at the Empa facility meet all nine criteria described by Lomas et al.
33 [13] for high-quality data sets.

34
35 The goal of this project is to provide a set of empirical data from a series of experiments.
36 The experiments will increase in complexity and can be used for validation of window models
37 with and without external or internal shading devices. Previous test cell empirical validation
38 work by Moinard and Guyon [14] has shown that determining the overall thermal cell
39 characteristics is of the greatest importance. Two experiments without solar gains were
40 therefore performed in our work during the first phase of the project. These experiments
41 included (i) a steady-state experiment to characterize the overall thermal performance of the
42 cell, and (ii) a transient experiment with pseudo-random heat inputs.

43
44 Empirical validation exercises are always tests of (i) the experiment itself, (ii) the simulation
45 tool, and (iii) the modeler. Four building energy simulation codes were used to model the
46 transient experiment in this study. The specific codes were DOE-2.1E [15], EnergyPlus [16],
47 ESP-r [17] and HELIOS [18]; inputs were made by different modelers. Results from those
48 experiments, which included solar gains through a window with or without a shading device
49 and corresponding building energy simulation code predictions, will be presented in future
50 papers.

51
52 In empirical validation work measured and predicted uncertainty bands need to be evaluated
53 and parameters identified to which the results are particularly sensitive. Lomas and Eppel
54 [19] described three different sensitivity analysis techniques and its applicability to building
55 simulation codes. Macdonald and Strachan [20] implemented algorithms for uncertainty
56 analysis based on differential sensitivity and the Monte Carlo method into a building energy

1 simulation code called ESP-r. In this paper, uncertainties are given for all measured and
2 code input parameters as well as uncertainty bands of simulated results obtained using ESP-
3 r.
4

5 **2. Concept of test cells with guarded zones**

6 Details of the test cell location and orientation are shown in Table 1. The facility comprising
7 two identical test cells was designed for calorimetric measurements on façade elements and
8 is shown in Figure 1. Table 2 depicts the main geometrical parameters of the cell, including
9 estimated uncertainties. The wooden structure building surrounding the cells is insulated with
10 a layer of 0.12 m glass wool. Both cuboid shape cells adjoin a guarded zone at five faces
11 (Figure 2). Each test cell and each guarded zone employs its own air conditioning unit. The
12 temperature in the test cells is controlled by means of an air-water heat exchanger. The
13 cooling power (max. 5000 W) can be determined by measuring the mass flow rate and the
14 temperature difference in the water circuit. The heating power (max. 3500 W) is directly
15 determined by measuring the electrical power. If the temperature differences between the
16 guarded zone and cell are small, energy flows through the external wall become far greater
17 than the flows through the remaining faces and energy flows through the external wall can
18 therefore be measured more precisely. A PC with data acquisition equipment was located in
19 the guarded zone and was shielded from the test cell by an airtight curtain.
20

21 The goal of the test cell ventilation (Figure 2) was to minimize the temperature stratification
22 and to obtain a well-defined cell air temperature. Temperature stratification of cell air was
23 smaller than 0.5 K in the experiments presented in this paper. Air was extracted near the
24 ceiling, while conditioned air was supplied close to the floor at low speed by means of two
25 large cylindrical fabric outlets. Except for locations near the extract grills, air speeds in the
26 whole cell were below 0.1 m/s. Using one fan only, the flow rate of recirculated air was ~ 40
27 air changes per hour; this value could be increased by switching on a second fan.
28

29 Equipment for air recirculation in the guarded zone maintained a more uniform air
30 temperature distribution. Recirculated air was supplied near the south wall of the cell by
31 means of four large cylindrical fabric outlets that were mounted horizontally and vertically
32 around the test cell. The air was extracted near the north cell wall to obtain a flow pattern
33 close to a piston flow. Outer surface temperatures of the cell adjacent to the guarded zone
34 were within a range of 2 K during experiments described in this paper.
35

36 To control the outside environment of all six faces of the test cell, an external chamber
37 shown in Figures 2 and 3 was mounted at the cell's south wall. The air temperature in this
38 chamber was controlled by a water/air heat exchanger that was connected to a thermostat
39 apparatus. As can be seen in Figure 3, the external chamber was covered with aluminum foil
40 that reflects solar radiation, in order to minimize the impact of solar energy in the chamber.
41 Air temperature stratification in the exterior chamber was reduced by a fan. All outer surface
42 temperatures of the south cell wall adjacent to the external chamber were within a band of
43 0.3 K during the experiments.
44

45 **3. Thermal properties of test cell envelope**

46 **3.1 Layer and surface properties**

47 Tables 3 to 5 show layer sequences, thicknesses and thermophysical properties of all layers
48 of the cell envelope. Modelers may wish to investigate the impact of uncertainties of input
49 parameters on their results. Estimated uncertainties of all values are therefore given. Layer
50 number 1 denotes the first layer from the outside. Numerical values of thermophysical
51 properties were either based on product specifications, literature data or in-house
52 measurements. If thermophysical properties had to be based on literature data, several
53 literature sources were employed and the mean of these was taken.
54
55

The reflectances of samples of all relevant surfaces were measured in the wavelength interval of solar radiation (250 to 2500 nm) at approximately perpendicular incident solar radiation using a spectrophotometer. Integral values for solar and visual reflectances were determined according to EN 410 [21] using GLAD software [22]. Emissivities were measured at room temperature using an integral method. Table 6 depicts optical properties of cell surfaces.

3.2 Thermal bridges: door, edges, etc.

Total thermal losses - including those at edges, door, sealing at external wall and intersections of pipes or flexes with the cell envelope - were computed using TRISCO software [23]. This code enables 3D steady-state analysis of heat conduction processes. Equivalent thermal conductivities of cavities were calculated according to prEN ISO 10077-2 [24]. The final model of the test cell employed $5.6 \cdot 10^6$ nodes. Figures 4 and 5 show results of these simulations. High heat fluxes were computed at the sealing of the door and at the sealing between cell and removable external wall. Figure 6 shows a picture of the thermal bridges at the door taken with an infrared camera. Dark areas represent regions with higher radiation fluxes corresponding to increased surface temperatures.

Numerical values of additional thermophysical properties needed for these simulations were also based on product specifications and literature data. The total thermal conductance of the whole cell envelope from cell air to the outer surface of the cell envelope, including all flows at thermal bridges, were calculated at temperatures of 0°C and 20°C as being 13.539 W/K and 14.721 W/K, respectively.

3.3 Internal thermal mass

The heat capacity of the technical equipment in the cell, which consisted of metallic ducts, grills, fans, a heat exchanger apparatus inside a metallic casing, an electrical cabinet etc. was estimated to be 200 ± 30 kJ/K (Fig. 1, right). Because the steel sheets were a major component of the thermal mass, the thermal response of the internal mass was assumed to be fast compared with the cell envelope. However, simulations showed that the impact of this thermal mass on the overall transient thermal behavior of the cell was rather small.

3.4 Total steady-state thermal properties

Tables 7 and 8 show the heat transfer coefficients λ_i and the thermal conductances H_i . These parameters refer to the heat flow between the cell air and the outer surface of the cell envelope. In all TRISCO simulations, the heat transfer resistance between cell inside air and the inner surface of the cell envelope was assumed to be $0.13 \text{ m}^2\text{K/W}$ at all locations. It can be seen in Table 7 that 35 % of the heat flow between cell and guarded zone occurs at thermal bridges. Thermal conductance as a function of temperature, θ in °C, are shown in Equations 1 and 2.

$$\begin{aligned} \text{Guarded zone:} \quad & H_{\text{GZ}}(\theta) = 11.877 + 0.0534 \cdot \theta && (\text{W/K}) && (1) \\ \text{Outside:} \quad & H_{\text{EW}}(\theta) = 1.662 + 0.0057 \cdot \theta && (\text{W/K}) && (2) \end{aligned}$$

This temperature dependence is caused by the temperature-dependent thermal conductivities shown in Tables 3 to 5. Losses at thermal bridges are almost independent of temperature as they are mainly due to heat conduction in metals which is only affected to a very minor extent by temperature changes within ranges considered here.

3.5 Sensitivity and uncertainty of steady-state thermal properties

The numerical accuracy of TRISCO simulations was investigated using a grid sensitivity study and was found to be below 2 %. The total uncertainties of the thermal conductance in Equations 1 and 2 were therefore mainly determined by the uncertainty of the input parameters. Assuming that each individual input parameter is independent of other inputs, the total or combined uncertainty u_c can be estimated from the square root of the quadrature sum of the uncertainties due to each of the inputs shown in Equation 3.

$$u_c = \sqrt{\sum_{i=1}^N u_i^2} \quad (3)$$

Table 9 shows the impact of the uncertainties of a few parameters on the uncertainties of thermal conductance. These values were found using TRISCO simulations. Additional uncertainties occurred due to deviations of the model geometry or due to uncertainties in calculating heat transfer in cavities. Total uncertainties of thermal conductance, H_{GZ} and H_{EW} , were assumed to be less than ± 8 %.

4. Sensors

All sensors were periodically calibrated according to an Empa internal quality assurance system. About 150 parameters were acquired every 4 minutes during the experiments. After each full hour of data acquisition mean values were computed for the last hour and saved.

Table 10 shows all meteorological parameters measured at the facility, the type of sensor and uncertainties according to manufacturers' specifications. Table 11 depicts specifications of the most important parameters which were measured in the test cell, the external chamber and in the guarded zone.

The locations of sensors in the test cell and in the guarded zone can be seen in Figure 7. The vertical distances of air temperature sensors inside the cell from the floor to ceiling were 0.3 m, 1.1 m and 2.1 m.

5. Airtightness of the cell

All inner and outer cell surfaces were made of steel sheets, and the gaps between the sheets were sealed with silicone. Two-stage rubber sealings at the door and at the external wall made leak protection possible. The airtightness of the cell was measured using the blower door method. At an overpressure of 50 Pa in the test cell, air exchange was found to be 0.2 h^{-1} . The thermal effects of infiltration were therefore assumed to be negligible.

6. Experiment for steady-state cell characterization

In addition to the computational approach described in Section 3, a steady-state experiment was performed in order to measure thermal conductances H_{GZ} and H_{EW} directly in the test facility. The external chamber was mounted over the external surface during these for conditioning of the sixth face of the cell. The air inside the test cell, the guarded zone and the external chamber were stirred in order to reduce temperature stratifications. Boundary condition parameters were kept as close as possible to constant values. From a steady-state analysis of the cell results:

$$P_{el,A} + H_{GZ}(T_{TC,A} - T_{GZ,A}) + H_{EW}(T_{TC,A} - T_{EC,A}) = 0 \quad (4)$$

$$P_{el,B} + H_{GZ}(T_{TC,B} - T_{GZ,B}) + H_{EW}(T_{TC,B} - T_{EC,B}) = 0 \quad (5)$$

Parameters determined in the experiment were the heating power P_{el} , space-averaged air temperature in the test cell T_{TC} (8 sensors), space-averaged outer surface temperature of cell in guarded zone T_{GZ} (25 sensors) and space-averaged outer surface temperature of cell in

1 external chamber T_{EC} (5 sensors). Because there were two unknowns, H_{GZ} and H_{EW} , two
 2 equations, representing two different temperature boundary conditions, were needed. Indices
 3 A and B denote these two phases of the experiment. The solutions for H_{GZ} and H_{EW} were
 4 found analytically by solving this set of equations (Equations 4 and 5).

5
 6 No ideal steady-state situation could be reached in this experiment. Higher fluctuations in
 7 boundary conditions occurred particularly on days with high solar irradiances and wide
 8 differences between daily minimum and maximum outside air temperature. Hence, time
 9 intervals with an overcast sky and, therefore, less fluctuating boundary conditions were
 10 chosen for analysis. Figure 8 shows temperatures and heating power in the cell as a function
 11 of time during phase B. To eliminate small transient effects in the cell envelope, time-
 12 averaged values were used (Table 12). Taking into account that the uncertainties were
 13 dominated by systematic effects, the uncertainties given here were higher than uncertainties
 14 of individual sensors from information in Table 11. It was assumed that mean temperatures
 15 and heating power were independent of each other and the total uncertainty was therefore
 16 again estimated from the square root of the quadrature sum of the uncertainties due to each
 17 of the inputs (see Equation 3).

18
 19 Based on this steady-state experiment and the procedure described above, numerical values
 20 and total uncertainties for the thermal conductances were calculated to be $H_{GZ} = 12.23 \text{ W/K} \pm$
 21 0.53 W/K and $H_{EW} = 2.12 \text{ W/K} \pm 0.59 \text{ W/K}$. These values refer to the mean temperatures in
 22 the cell envelope of 36.6°C in the external wall, and, 31.6°C in the cell envelope adjacent to
 23 the guarded zone, occurring during this experiment. Comparison of the values found in this
 24 steady-state experiment and those determined by the numerical method described in Section
 25 3 are depicted in Fig. 9. Uncertainty bands of the results of the two methods overlap in both
 26 cases. The uncertainty of H_{EW} determined in the steady-state experiment was relatively large.
 27 The real value of H_{GZ} seems to be close to the lower end of the uncertainty band computed
 28 numerically by the method described in Section 3.

31 7. Transient experiment for cell characterization

32 The goal of this transient experiment was to verify whether specifications given in Tables 2,
 33 3, 4, 5, 7 and 8 provide an accurate characterization for modeling transient thermal behavior
 34 of the cell. This transient experiment was configured in the same way as the steady-state
 35 experiment. Constant temperatures of approximately 23°C were maintained in the guarded
 36 zone and the external chamber. Fluctuations of less than $\pm 1 \text{ K}$ occurred during this
 37 experiment.
 38

39
 40 Due to one constantly running recirculation fan inside the cell, there was an internal heat
 41 source of $\sim 77 \text{ W}$ during the entire experiment. After a preconditioning phase, the last 50 h of
 42 this phase shown in Figure 10, an additional pseudo-random heat source of $\sim 196 \text{ W}$ was
 43 switched on and off in the cell. This source was located inside the recirculation / conditioning
 44 apparatus (Figures 1 right and 2) and can, therefore, be considered as a purely convective
 45 heat load. Figure 10 also depicts eight air temperatures measured in different locations and
 46 heights in the cell and mean surface temperatures of all six faces as a function of time.
 47 During the experiment the measured air temperature stratification was less than 0.5 K .

48
 49 The time constant of the cell was determined by analyzing the temperature response of the
 50 cell to the first step increase of heating power and was found to be 17 h.

53 8. Simulation of transient experiment

54 Four building energy simulation codes were used to model the transient experiment. These
 55 codes included DOE-2.1E, EnergyPlus, ESP-r and HELIOS. When the experiment was
 56

1 modeled, hourly averaged values of six outside cell envelope surface temperatures as
2 boundary conditions and thermal power, including the pseudo-random heat source, were
3 scheduled into the models. For all simulations, the thermophysical cell properties were taken
4 from Tables 2, 3, 4, 5, 7 and 8. As in most building energy simulation codes thermophysical
5 properties cannot be defined as a function of temperature, constant values were therefore
6 employed. The time-and-space averaged cell envelope temperature during the transient
7 experiment of 28.38°C was used to calculate the thermal conductivities of the PU and EPS
8 foam.

9
10 HELIOS [18, 25] was developed in the early 1980s and has been recently upgraded. In this
11 code, the thermal bridges were simulated by adding an additional heat transfer surface with a
12 fictitious area to the zone that had the same layer sequence as the walls and the ceiling. This
13 element employed the same thermal conductance as computed for the thermal bridges
14 (Tab. 7). Because the thermal bridges were not located at one face, a mean outer surface
15 temperature of all five faces was used. The thermal mass in the room was modeled as a
16 2 mm metal sheet using thermophysical properties of steel. HELIOS requires a constant
17 value as input for the combined radiative and convective inside heat transfer coefficient. With
18 regard to radiative heat transfer, view factors were calculated using the test cell geometry
19 and assuming grey and diffuse inside cell surfaces. Because the surface temperatures in the
20 cell were nearly the same at any given hour in this experiment, it could be shown that
21 radiation is of very minor importance, and radiative heat transfer coefficient was therefore
22 assumed to be zero. The convective heat transfer coefficients for the walls, ceiling and floor
23 were taken according to ISO/WD 6946 [26].

24
25 The development of EnergyPlus began in 1996 by the US Department of Energy (DOE), and
26 is described in detail by Crawley et al. [27]. Thermal bridges were simulated in this code by
27 adding non-radiating surfaces to the back of the space with a constant outer cell surface
28 temperature of 23.22°C, which was the time-averaged outer cell surface temperature during
29 the transient experiment. Because EnergyPlus calculates the radiation heat transfer using
30 view factors and assuming gray and diffuse surfaces, six additional surfaces that faced each
31 other were added to the model. For the other surfaces, a detailed approach was used to
32 compute the convective heat transfer coefficient as a function of temperature difference
33 between surface and cell air [28]. The thermal mass in the test cell was modeled in a similar
34 way as in HELIOS.

35
36 The original version of DOE-2.1E was released in November 1993 by Lawrence Berkley
37 Laboratories (LBL). To use the outer surface temperatures as boundary conditions, adjacent
38 zones were created with a single zone air conditioner for each test cell surface. The zone
39 temperature was scheduled as the outer cell surface temperature. The inside film resistance
40 for these zones was specified as zero, thus making the adjacent zone temperature and the
41 outer cell surface temperatures equal. For the inside of the test cell, numerical values of heat
42 transfer coefficients were the same as in HELIOS. The thermal mass inside the cell was
43 simulated by adding an equivalent amount of 0.139 m slab of EPS foam in the zone because
44 using thermophysical properties of steel resulted in an error message.

45
46 ESP-r [17] is an open source program, developed by the Energy Systems Research Unit at
47 the University of Strathclyde with input from many other organizations. It has been developed
48 over a 28 year period. Full details of the underlying theory can be found in [1]. Because ESP-
49 r requires a fully bounded zone, it was not possible to simulate the thermal bridges by simply
50 adding additional surfaces connecting the internal air temperature with the external
51 environment to represent the thermal bridges. Different approaches for modeling edge
52 effects were tried, but the one giving the best agreement with measured data was the use of
53 a “fin” added to the back of the test cell with a total surface area of 25.39 m². This allowed
54 the edge losses to be modeled without affecting the convective and radiative heat transfer
55 from the 1-D heat transfer surfaces. Boundary temperatures were modeled by creating
56 additional zones and imposing the measured temperatures. Several different convective

1 regimes can be modeled by ESP-r, but the results presented here were based on the same
 2 convective coefficients as used in HELIOS. The thermal mass in the test cell was modeled
 3 as steel sheets in the room of appropriate dimensions.

4
 5 A comparison plot between values of mean cell air temperature computed by all four codes is
 6 shown in Figure 11.

7
 8 For HELIOS, discrepancies at the higher and lower temperatures were found that may
 9 mainly result from using a constant thermal conductivity (e.g. deviations tended to be smaller
 10 at the beginning and the end of the experiment, when a correct average envelope
 11 temperature of 26°C was used to calculate the thermophysical properties). Comparisons
 12 were made between the measured and predicted surface temperature for HELIOS. HELIOS
 13 under-predicted all cell surface temperatures. The wall surface temperatures were about 1 K
 14 lower at higher temperatures and 0.5 K lower at lower temperatures. Better agreement was
 15 seen at the ceiling where the temperature difference was about 0.3 K lower during the test.
 16 The largest discrepancies were seen when predicting the floor temperature; the error at high
 17 temperatures was nearly 3 K lower and at low temperatures was about 1 K lower.

18
 19 For EnergyPlus, there were small discrepancies at the lower and higher temperatures. The
 20 deviations at lower temperatures may also be caused by using constant thermal
 21 conductivities for the PU and EPS foam. As in HELIOS, EnergyPlus under-predicted all the
 22 surface temperatures. The temperature differences for the walls were about 1 K at higher
 23 temperatures and 0.5 K at lower temperatures. The temperature differences for the floor
 24 during the experiment remained relatively constant at about 0.6 K. At the ceiling, the
 25 temperature differences for the high temperatures and low temperatures were about 0.7 K
 26 and 0.3 K, respectively. Large differences between surface temperatures for EnergyPlus and
 27 HELIOS were thought to be due to the selection (constant values were used in HELIOS and
 28 a temperature dependent algorithm was used in EnergyPlus) of convective heat transfer
 29 coefficients.

30
 31 Similar discrepancies seen in the other simulations were also apparent in DOE-2.1E and
 32 ESP-r and are thought to come from assuming constant thermophysical and convective heat
 33 transfer coefficient properties. The surface temperature was not an available output in this
 34 version of DOE-2 and this model of ESP-r; comparisons between measured and predicted
 35 surface temperatures therefore could not be made.

36 37 38 39 **9. Statistical analysis of transient experiment results**

40 To quantitatively evaluate the measured and simulated air temperatures, a set of statistical
 41 and comparative quantities was chosen and will also be used in future work within this IEA
 42 project. The arithmetic mean, \bar{x} , maximum, x_{max} , and minimum, x_{min} , values and sample
 43 standard deviation, s , were computed for both the experimental and simulated results for all
 44 the 600 hours of the test.

45
 46 To compare each simulation to the experiment, the differences between the experiment and
 47 the respective simulations, D_i (where i represents any given hour), were computed. The
 48 arithmetic mean, \bar{D} , maximum, D_{max} , and minimum, D_{min} , differences were determined for
 49 each simulation. The average absolute difference, $|\bar{D}|$, was computed using Equation 6. This
 50 quantity was used to show the overall magnitude of the difference between the simulations
 51 and the experiment.

52
 53
$$|\bar{D}| = \frac{1}{n} \sum_{i=1}^n |D_i| \quad (6)$$

1
2 A root mean squared difference, D_{rms} , was used to compare the experiment and the
3 simulations shown in Equation 7. In this analysis larger deviations in the simulations for the
4 experiment are weighted more heavily; this quantity is essentially a standard deviation
5 where the expected value would be zero.
6

$$7 \quad D_{rms} = \sqrt{\frac{1}{n} \sum_{i=1}^n D_i^2} \quad (7)$$

8
9 For additional comparisons, 95 % quantiles, $D_{95\%}$, using the absolute values of the
10 temperature differences were computed for all simulations. Uncertainties associated with the
11 average temperature calculation, MU_i , were calculated using propagation of error analysis
12 (sometimes referred to as an uncertainty analysis) shown in Equation 8 to estimate the
13 impact of measurement error in the individual air temperature measurements on the average
14 air temperature calculation. The uncertainty in the individual air temperature measurements,
15 u_{ij} , (where j represents an individual thermocouple) was taken from Tab. 11. For this
16 analysis, all the partial derivatives reduced to $1/m$ (where m is the number of sensors).
17

$$18 \quad MU_i = \left(\sum_{j=1}^m \left(\frac{1}{m} u_{ij} \right)^2 \right)^{1/2} = \frac{u_i}{\sqrt{m}} \quad (8)$$

19
20 The uncertainties associated with the position of the sensors, PU_i , were estimated by taking
21 the sample variance for the eight air temperature sensors at each hour. Because the
22 measurement errors were Bayesian in nature, overall 95 % error bounds, $OU_{i,Experiment}$, for
23 any given hour were estimated using Equation 9; the standard deviation for the
24 measurement error was evaluated assuming a uniform distribution [29]. This analysis was
25 done neglecting time-series interactions, which would also impact the overall uncertainty.
26

The mean value, \overline{OU} , is reported in Table 13.

$$27 \quad OU_{i,Experiment} = 1.96 \left(PU_i + \frac{MU_i^2}{3} \right)^{1/2} \quad (9)$$

28
29 An uncertainty analysis was performed in ESP-r using the Monte Carlo Analysis (MCA) to
30 quantify overall output uncertainty for the building energy simulation codes due to
31 uncertainties in input parameters. This analysis involves running a large number (100 in this
32 study) of simulations. In each simulation, all input parameters are perturbed by a random
33 selection of their input values assuming a normal distribution with the standard deviation set
34 as in the above table. The advantage of MCA over a Differential Sensitivity Analysis (DSA),
35 which is often used to quantify uncertainty due to input parameters, is that it does not
36 assume linearity and parameter independence and, therefore, gives a more accurate
37 measure of overall output uncertainty bands.
38

39 Ninety-five percent error bounds, $OU_{i,ESP-r}$, for each hour were also calculated and the mean
40 quantity, \overline{OU} , is reported in Table 13 under the ESP-r column.
41

42 To compare the performance of the individual building energy simulation codes, an
43 uncertainty ratio, UR_i , was devised to compare hourly differences with experimental and
44 input errors and is shown in Equation 10. Mean, maximum and minimum uncertainty ratios
45 are reported in Table 13.
46

$$UR_i = \frac{|D_i|}{OU_{i,Experiment} + OU_{i,ESP-r}} \quad (10)$$

If $UR \leq 1$ then the agreement between the code and the experiment is within the 95 % uncertainty bands given by the experimental uncertainty and the uncertainties of the input parameters. A summary of these statistics is shown in Table 13. A plot of the input uncertainties, experimental uncertainties, and the summation of these two quantities is shown in Figure 12.

A DSA using uncertainties provided in Table 2, 3, 4, 5, 7 and 8 revealed that computed cell air temperatures are most sensitive to (i) thermal bridge conductance, (ii) PU foam thermal conductivity, (iii) cell surface temperatures, (iv) overall cell dimensions, (v) EPS foam thermal conductivity, and (vi) PU foam thickness (ranking with decreasing importance).

10. Conclusions and outlook

If test cells are used for empirical validation of building energy simulation codes, determining the overall thermal cell characteristics is very important. Hence, the thermal properties of the Empa test cell were thoroughly analyzed both experimentally and numerically. Specifications were used as input parameters for modeling the cell in four building energy simulation codes. Taking into account the uncertainties of experimental data and those of computed cell air temperatures, it seems certain that specifications given in this paper adequately describe the transient thermal behavior of the Empa test cell. These results are a good foundation to begin investigating solar gains with and without internal and/or external window shadings. The data of the transient experiment is of high quality and can therefore be used by code developers and modelers for validation purposes.

To our knowledge, this study is the most detailed and comprehensive work - in terms of reliability of input data and boundary conditions - in the field of empirical validation of solar gain models in building energy simulation codes using test cells. The additional work in the Empa test cell in conjunction with the IEA Task 34/Annex 43 project includes a series of six experiments that are initially simple and increase in complexity. These six experiments include: (i) Glazing only, (ii) Glazing with external shading screens, (iii) Glazing with internal shading screens, (iv) Glazing with external venetian blinds, (v) Glazing with internal venetian blinds, and, (vi) Window (i.e. glazing with frames). The results from these experiments will be reported in future publications.

In view of the complexity and diversity of real building models and correspondingly huge parameter spaces, it is obvious that absolute validation of building energy simulation codes can never be achieved. However, high-quality empirical data remain absolutely essential for specific model and algorithm validations. Numerous modelers simulated the transient experiment presented in this paper using a number of different codes. These exercises have confirmed that modeling has to be done very carefully and that the modeler can also be a major source of deviations even for very simple models such as that of a cuboid shape test cell, where detailed information about all the input parameters are available, because thermal bridges or convective heat transfer at surfaces can be modeled differently. In addition to validation purposes, the provided data may also be valuable for educational purposes at universities and in engineering training courses.

Note: Data of the transient experiment (Exp. 2) and all subsequent experiments can be downloaded from our website at www.empa.ch/ieatask34.

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Table 1 Location of EMPA test cells.

Degree of longitude	-8.6°
Degree of latitude	47.4°
Altitude above sea-level	430 m
Time zone	Greenwich Mean Time (GMT) + 1h
Orientation of external wall	29° (south = 0°, west = 90°)

Table 2 Geometrical parameters of test cell. Areas shown in this table are in contact with internal air.

Internal height	2.360 m ± 0.02 m ^b
Internal width	2.850 m ± 0.02 m ^b
Internal length	4.626 m ± 0.02 m ^b
North / south wall	6.726 m ² ± 0.074 m ^{2a}
East / west wall	10.917 m ² ± 0.104 m ^{2a}
Floor / ceiling	13.184 m ² ± 0.107 m ^{2a}
Internal volume	31.114 m ³ ± 0.368 m ^{3a}

a is an estimate of error using propagation of error (uncertainty analysis) with individual Bayesian error estimates.

b is a Bayesian estimate of error.

c is a frequentist error which represents a sample standard deviation using literature values from different sources.

d is an estimate of error using propagation of error (uncertainty analysis) with estimates of error from linear regression analysis.

Table 3 Layer properties: Ceiling, north (incl. door), east and west wall.

Layer number	Material	Thickness mm	Thermal conductivity W/(m K)	Density kg/m ³	Specific heat J/(kg K)
1	Sheet steel	0.7 ± 0.1 ^b	53.62 ± 6.56 ^c	7837 ± 42 ^c	460.8 ± 25.4 ^c
2	PU foam	138.6 ± 1 ^b	0.01921 + 0.000137·θ ± 6.5 % ^{*d}	30 ± 0.3 ^b	1800 ± 72 ^b
3	Sheet steel	0.7 ± 0.1 ^b	53.62 ± 6.5 ^c	7837 ± 42 ^c	460.8 ± 25.4 ^c

* Own measurement, θ Temperature in degree Celsius

Table 4 Layer properties: Floor.

Layer number	Material	Thickness mm	Thermal conductivity W/(m K)	Density kg/m ³	Specific heat J/(kg K)
1	Sheet steel	0.7 ± 0.1 ^b	53.62 ± 6.56 ^c	7837 ± 42 ^c	460.8 ± 25.4 ^c
2	PU foam	140 ± 1 ^b	0.01921 + 0.000137·θ ± 6.5 % ^{*d}	30 ± 0.3 ^b	1800 ± 72 ^b
3	PU foam (higher density)	20 ± 0.5 ^b	0.070 ± 0.0035 ^b	45 ± 0.45 ^b	1800 ± 72 ^b
4	Sheet steel with surface structure	2.5 ± 0.1 ^b	53.62 ± 6.56 ^c	7837 ± 42 ^c	460.8 ± 25.4 ^c

Table 5 Layer properties: External Wall.

Layer number	Material	Thickness mm	Thermal conductivity W/(m K)	Density kg/m ³	Specific heat J/(kg K)
1	Plywood	10 ± 0.5 ^b	0.136359 + 0.000175·θ ± 2.5 % ^{*d}	850 ± 17 ^b	1605 ± 7.1 ^b
2	EPS foam	130 ± 1 ^b	0.03356 + 0.000127·θ ± 4.3 % ^{*d}	28 ± 0.28 ^b	1460 ± 58.4 ^b
3	Plywood	10 ± 0.5 ^b	0.136359 + 0.000175·θ ± 2.5 % ^{*d}	850 ± 17 ^b	1605 ± 7.1 ^b

Table 6 Optical properties of cell surfaces.

	Solar reflectance	Visible reflectance	Emissivity
Inner surfaces of walls and ceiling	0.757 ± 1 %	0.874 ± 1 %	0.92 ± 5 %
Inner surface of floor	0.246 ± 1 %	0.300 ± 1 %	0.96 ± 5 %
Outer / inner surfaces of south wall	0.766 ± 1 %	0.884 ± 1 %	0.93 ± 5 %

Table 7 Heat transfer coefficients and thermal conductances of cell to the guarded zone (cell air to outer surface of cell envelope).

	Area A m^2	Heat transfer coefficient $\Delta_{20^\circ C}$ $W/(m^2 K)$	Thermal conductance $H_{20^\circ C}$ W/K
Ceiling, north (incl. door), east and west wall	41.745	0.155	6.478
Floor	13.184	0.147	1.941
Thermal bridges guarded zone	-	-	$4.526 \pm 10 \% ^b$
Total			12.945

Table 8 Heat transfer coefficients and thermal conductances of cell to the outside (cell air to outer surface of cell envelope).

	Area A m^2	Heat transfer coefficient $\Delta_{20^\circ C}$ $W/(m^2 K)$	Thermal conductance $H_{20^\circ C}$ W/K
External wall	6.726	0.258	1.736
Thermal bridges outside	-	-	$0.040 \pm 10 \% ^b$
Total			1.776

Table 9 Sensitivity of thermal conductance to changes of important input parameters.

Input parameter	Change of input parameter	Impact on thermal conductances	
		H_{GZ}	H_{EW}
Thermal conductivity of PU foam	$\pm 5 \%$	$\pm 3.4 \%$	-
Thermal conductivity of EPS foam	$\pm 5 \%$	-	$\pm 4.7 \%$
Thermal conductivity of steel	$\pm 10 \%$	$\pm 0.3 \%$	-
Thermal conductivity of stainless steel	$\pm 10 \%$	$\pm 0.9 \%$	-

Table 10 Weather data parameters and equipment.

Parameter	Unit	Type of sensor / measurement	Number of sensors	Accuracy
Solar global irradiance, façade plane	W/m^2	Pyranometer (Kipp & Zonen CM 21)	1	$\pm 2 \%$
Solar global horizontal irradiance	W/m^2	Pyranometer (Kipp & Zonen CM 21)	1	$\pm 2 \%$
Solar diffuse horizontal irradiance	W/m^2	Pyranometer, mounted under the shading ball of a tracker (Kipp & Zonen CM 11)	1	$\pm 3 \%$
Direct-normal irradiance	W/m^2	Pyrheliometer, mounted in an automatic sun-following tracker (Kipp & Zonen CH 1)	1	$\pm 2 \%$
Infrared irradiance, façade plane	W/m^2	Pyrgeometers (Kipp & Zonen CG 4)	1	$\pm 2 \%$
Outside air temperature, in front of façade	$^\circ C$	Radiation shielded, mechanically ventilated thermocouples	2	$\pm 0.5 K$
Wind speed, in front of façade	m/s	Ultrasonic anemometer (WindMaster)	1	$\pm 1.5 \%$
Horizontal illuminance	Lx	Luxmeter (Kipp & Zonen LuxLite, Minolta T-10W)	2	$\pm 3 \%$
Pressure	hPa	Barometric Pressure Measuring Device (Vaisala PTA 427)	1	$\pm 0.5 hPa$
Relative humidity	%	Humidity Transmitter (Vaisala HMP 130Y Series)	1	$\pm 1\% (0-90\%)$ $\pm 2\% (90-100\%)$

Table 11 Parameters measured in the test cell, the external chamber and the guarded zone and approximate accuracies according to manufacturer specifications.

Parameter	Unit	Type of sensor / measurement	Number of sensors	Accuracy
Air temperatures, inside test cell	°C	Thermocouple, radiation shielded by two cylinders	8	± 0.3 K
Air temperatures, in external chamber	°C	Thermocouple, radiation shielded by two cylinders	5	± 0.3 K
Air temperatures, in guarded zone, 0.1 m in front of cell surface	°C	Thermocouple, radiation shielded by two cylinders	25	± 0.3 K
Surface temperatures, inner surface of cell envelope	°C	Thermocouple	30	± 0.3 K
Surface temperatures, outer surface of cell envelope	°C	Thermocouple	30	± 0.3 K
Heating power, inside test cell	W	Electric power (Infratek 106A)	1	± 0.1 %
Cooling power, inside test cell	W	Electromagnetic flowmeter (Endress+Hauser Promag 53H) and temperature difference measurement (PT100)	3	± 2 %
Illuminance, horizontal inside cell	Lx	Luxmeter (Minolta T-1H)	3	± 2 %

Table 12 Steady-state experiment: Time-averaged values and uncertainties for thermal conductance calculations.

	P_{el}	T_{TC}	T_{GZ}	T_{EC}
Phase A	282.26 W ± 4 W	43.13°C ± 0.5°C	23.50°C ± 0.5°C	23.24°C ± 0.5°C
Phase B	145.04 W ± 3 W	36.45°C ± 0.5°C	23.33°C ± 0.5°C	43.74°C ± 0.5°C

Table 13 A summary of the descriptive and comparative statistics.

Parameter	Experiment	Helios	EnergyPlus	DOE-2.1e	ESP-r
\bar{x}	33.55 °C	33.44 °C	33.41 °C	33.48 °C	33.18 °C
s	4.89 K	5.05 K	4.94 K	5.00 K	4.97 K
x_{max}	42.3 °C	42.54 °C	42.33 °C	42.6 °C	42.19 °C
x_{min}	28.65 °C	28.48 °C	28.57 °C	28.5 °C	28.37 °C
\bar{D}	-	0.11 K	0.14 K	0.06 K	0.36 K
$ \bar{D} $	-	0.31 K	0.18 K	0.25 K	0.37 K
D_{max}	-	0.8 K	0.72 K	1.22 K	0.94 K
D_{min}	-	0.01 K	0.00 K	0.00 K	0.01 K
D_{rms}	-	0.34 K	0.24 K	0.33 K	0.42 K
$D_{95\%}$	-	0.62 K	0.50 K	0.73 K	0.72 K
\overline{OU}	0.26 K	-	-	-	1.17 K
\overline{UR}	-	0.24	0.14	0.2	0.29
UR_{max}	-	0.8	0.6	1.16	0.65
UR_{min}	-	0.01	0	0	0.01



Fig. 1 Outdoor view (left) of test cells with two removable façade elements (3.4 m × 3.4 m) and indoor view (right) showing HVAC cabinet and extract and supply ducts.

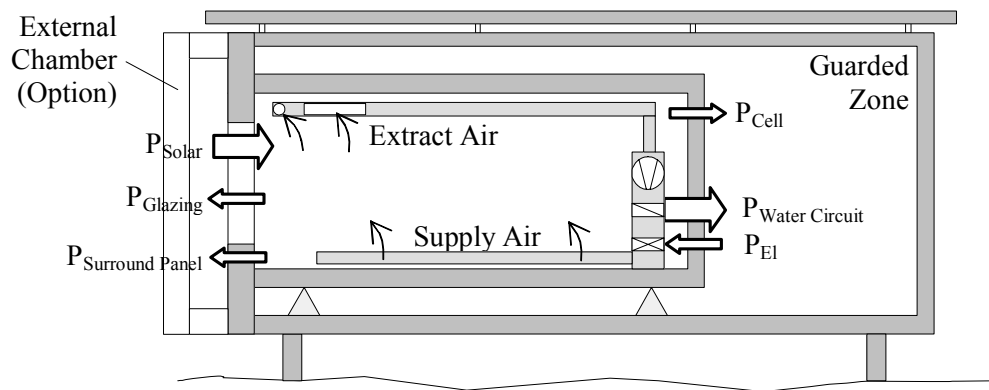


Fig. 2 Concept of test facility with air conditioning of the cell, guarded zone, energy flows into and out of the test cell and optional external chamber.



Fig. 3 Thermally controlled external chamber mounted in front of one of the cells and viewed from outside during (left) and after construction (right).

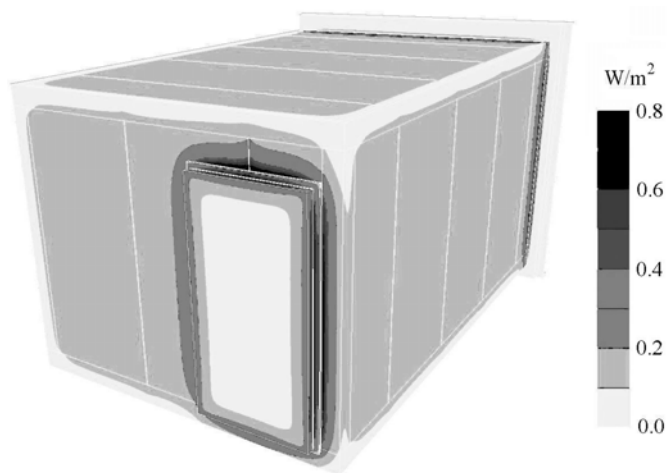


Fig. 4 Computed heat fluxes at the outer surfaces of the test cell at a temperature difference of 1 K between cell air and guarded zone.

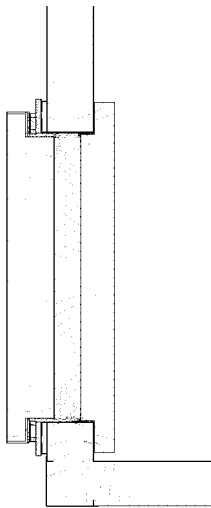


Fig. 5 Computed heat fluxes in a horizontal cross-section of the door.



Fig. 6 Infrared picture of the cell door, taken when temperature in the cell was 20 K higher than in the guarded zone, shows thermal bridges at the door frame.

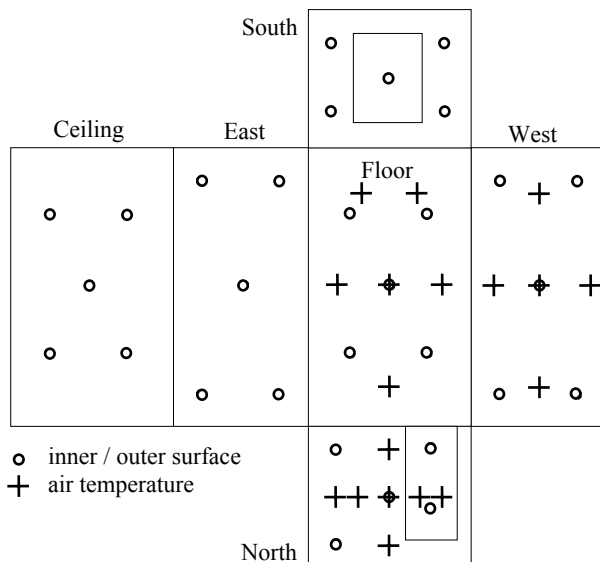


Fig. 7 Location of temperature sensors on inner (30 sensors) and outer (30 sensors) surface of cell envelope. For air temperature (8 sensors) projections on floor, north and west wall are shown.

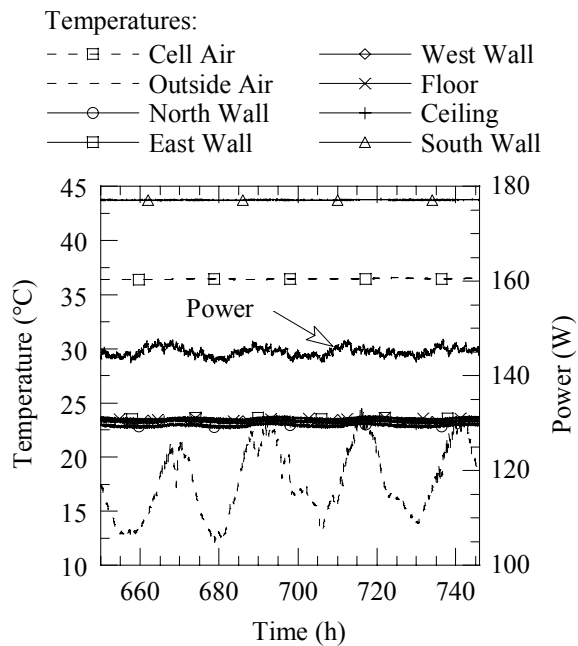


Fig. 8 Mean air temperature inside cell and outside, mean surface temperatures of all six faces and heating power inside the cell as a function of time during phase B of the steady-state experiment (duration 96 h).

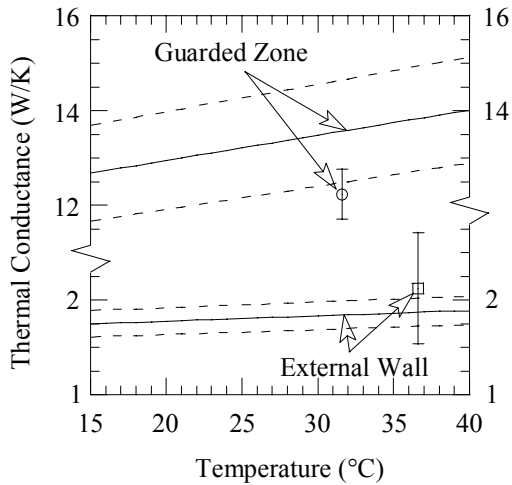


Fig. 9 Comparison of thermal conductances H_{GZ} and H_{EW} as function of temperature found by simulation and the steady-state experiment.

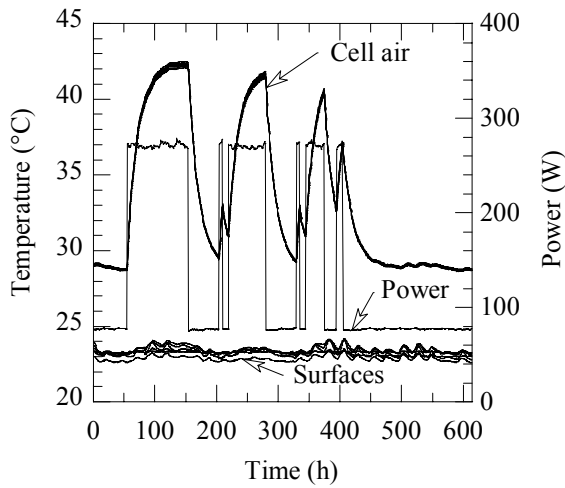


Fig. 10 Measured pseudo-random internal heating power, cell air temperatures (total eight sensors) and mean surface temperatures of all six outer cell surfaces.

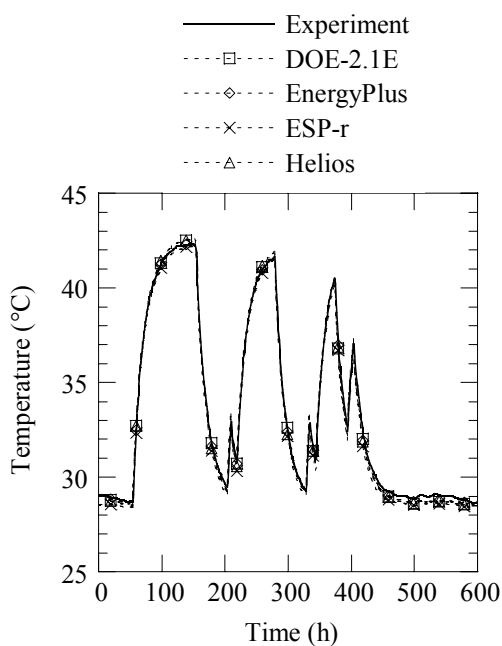


Fig. 11 Cell air temperatures simulated by three different codes and experimental uncertainty band of ± 0.3 K for the transient experiment.

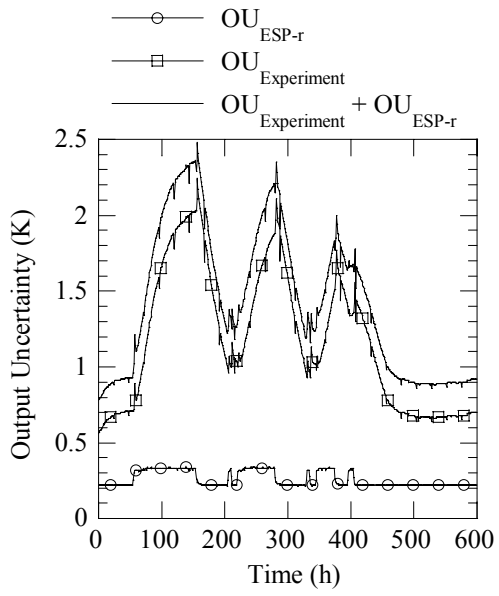


Fig. 12 Experimental uncertainty, uncertainty of simulation results due to uncertainty in input parameters and total uncertainty.