



**Flying beneath the clouds at the edge of the world: using a hexacopter to supplement abundance surveys of Steller sea lions (*Eumetopias jubatus*) in Alaska**

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 Manuscripts

1 **Flying beneath the clouds at the edge of the world: using a hexacopter to supplement**  
2 **abundance surveys of Steller sea lions (*Eumetopias jubatus*) in Alaska**

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6  
7 **Keywords:** UAS, multi-rotor, Steller sea lion, abundance, wildlife

8  
9 **Abstract:** Aerial imagery is the most effective method National Marine Fisheries Service (NMFS) uses to  
10 assess abundance of Steller sea lions (*Eumetopias jubatus*). These images are traditionally captured from  
11 occupied aircraft, but the long distances between airfields along the 1,900 km Aleutian Island chain, inclement  
12 weather during the survey season, and dangerous winds at sites adjacent to cliffs severely limit flying  
13 opportunities. Due to the pressing need for current trend information for a population in persistent decline we  
14 turned to a small unoccupied aircraft system (UAS), an APH-22 hexacopter. Our primary objective was to  
15 supplement traditional aerial surveys during the annual abundance survey. The second objective was to test  
16 whether the resolution of images captured with the hexacopter were adequate for sighting permanently marked  
17 individuals. From June-July 2014, NMFS biologists based on a research vessel assessed sites from Attu Island  
18 to the Delarof Islands ( $n = 23$ ), surveying sites from land ( $n = 12$ ) and with the hexacopter ( $n = 11$ ).  
19 Simultaneously, Traditional aerial surveys were conducted east of the Delarof Islands ( $n = 172$ ). This combined  
20 approach enabled us to conduct the most complete survey of adult, juvenile, and newborn Steller sea lions in the  
21 Aleutian Islands since the 1970s. Images collected also allowed for us to identify alpha-numeric permanent  
22 marks on individuals as small as juveniles. With this successful implementation of UAS, NMFS plans to use the  
23 hexacopter to supplement future surveys.

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## 24 Introduction

25 The National Marine Fisheries Service (NMFS, National Oceanic and Atmospheric Administration) is  
26 mandated by the Marine Mammal Protection Act and the U.S. Endangered Species Act to monitor the status of  
27 the endangered western population of Steller sea lions (*Eumetopias jubatus*) in Alaska. Since the 1970s NMFS  
28 has used occupied (“manned”) fixed-wing aircraft to conduct abundance surveys. This has proven to be the most  
29 effective method for collecting counts to estimate abundance and monitor population trends (NMFS 2008).  
30 However, these traditional aircraft surveys in Alaska have proven to be quite difficult, especially along the 1,900  
31 km of the Aleutian Island chain which is only serviced by three airfields (Fritz et al. 2008, 2013). In addition to  
32 scarce and remote airfields, high winds at sea lion sites adjacent to cliffs pose a danger to occupied aircraft, and  
33 inclement weather (e.g., fog and low cloud ceilings) routinely ground the survey team or prohibit the surveying  
34 of large areas. In fact, during the 2012 aerial survey, the survey team spent 18 days on Shemya Island (the  
35 farthest west airfield in the Aleutian Islands) but could only survey one day due to fog and low ceilings.  
36 Similarly, many sea lions sites within the Rat Island group just east of Shemya had not been surveyed since  
37 2008. Obtaining regular estimates of abundance for Steller sea lions in the western Aleutian Islands is  
38 particularly important because NMFS has observed continued population declines in this region resulting in  
39 regulations restricting activities of economically important commercial fisheries from 2010 to 2014 (NMFS  
40 2010; Fritz et al. 2013; Johnson and Fritz 2014).

41 Unoccupied aircraft systems (UAS) are novel innovations and their recent applications for ecological  
42 studies have simplified, improved safety of biologists conducting, increased efficiency of, reduced cost of, and  
43 have even fueled in the innovation of new studies from many traditional survey methods. Multi-rotor aircraft  
44 capable of vertical take-offs and landings have been used for smaller spatial scale survey efforts and lend to  
45 portability and reduced cost (Perryman et al. 2012; Durban et al. 2015; Goebel et al. 2015; Pomeroy et al. 2015).  
46 Fixed-wing UAS has been applied for larger spatial ranges, or for carrying larger sensors or imagery payloads  
47 (Hodgson et al. 2013; Vermeulen et al. 2013; Moreland et al. 2015). Jones et al. (2006) explained that the use of  
48 UAS for wildlife studies requires a field tool that is easy-to-use, electric-powered, hand-launched, easy to  
49 transport, and operable by one or two people.

50 The APH-22 was built for the high resolution imagery payload, ideal for the capture of high-resolution  
51 images. This platform has the qualities that are required for our wildlife studies (i.e., easy-to-use, electric  
52 powered, hand-launched, easy to transport, and operable by one or two people; Jones et al. 2006). Previous  
53 testing with a multi-rotor and fixed wing UAS led to our selection of the APH-22 multi-rotor UAS (Aerial  
54 Imaging Solutions, Old Lyme, CT), based on the repeated successes of the NMFS Southwest Fisheries Science  
55 Center employing the hexacopter in Antarctica (Perryman et al. 2012; Goebel et al. 2015). The ability to fly  
56 multi-rotor aircrafts in any direction and hover in one place allows for precise positioning over areas of interest  
57 for an extended period of time and enables the pilot to control the UAS comfortably even while in close  
58 proximity to cliffs adjacent to sea lion sites. A hexacopter has the advantage of more stability in flight and an  
59 increase in power by about 50% for a 15% increase in weight when compared to similar quadcopters.  
60 Hexacopters also produce less noise than quadcopter platforms (Perryman et al. 2012) and can be landed  
61 safely after the loss of one, or even two, engines.

62 The manufacturer integrated a fixed, high resolution camera that met our imaging specifications in  
63 order to capture images at higher altitudes ( $\geq 45$  m) mandated by the marine mammal permit for Steller sea lion-

64 UAS operations. The images collected with the hexacopter are comparable to those collected during traditional  
65 aircraft surveys and are of higher resolution than those collected from other platforms tested. The vertically-  
66 mounted camera would also allow NMFS scientists to use the images for future photogrammetric studies  
67 (Goebel et al. 2015; Sweeney et al. 2015). Maintaining positional control, a feature of multi-rotor aircraft, means  
68 reduced horizontal motion or vibration thereby reducing image blur. These hexacopters have proven to be  
69 exceptionally reliable, easy to fly, and at roughly \$25,000 a system they are available at a price point we can  
70 support. By training scientists to fly this aircraft system we could save additional costs by not having to take  
71 additional team members into the field to function only as pilots, which is a clear benefit when the number of  
72 research vessel berths are limited.

73 The primary objective of this study was to mitigate the challenges faced during traditional aircraft  
74 surveys in the Aleutian Islands (remote and sparse airfields, and inclement weather greatly reducing survey  
75 time) by using an UAS to supplement these surveys in order to fill in the gaps of missing abundance information  
76 in this critical area of study. Additionally, we wanted to test how adequately the imagery payload could be used  
77 to capture aerial images of permanently marked individuals for long-term life history studies.

78

## 79 **Material and methods**

80

### 81 **Previous testing of UAS platforms**

82 In March 2012, NMFS biologists and designated UAS pilots tested the efficacy of a multi-rotor and a  
83 fixed wing unoccupied aerial platforms for counting sea lions in the western Aleutian Islands. This survey was  
84 not conducted during the breeding season to contribute to abundance surveys but to test the efficacy of UAS in  
85 remote areas and for photographing Steller sea lions. The Aeryon Scout (Aeryon Labs, Inc.; “Scout”) is a small  
86 battery-powered, four-motor multi-rotor (quadcopter) aircraft equipped with a GoPro camera affixed to a  
87 gimbal mount to capture either high resolution video or still images. At the time of this testing, the Scout was  
88 estimated to cost well over \$100,000. The Puma (AeroVironment, LLC.) is a fixed-wing (approximately 3 m  
89 wingspan), battery-powered aircraft with imagery equipment integrated to capture real-time video as well as still  
90 and infrared images. The Puma is significantly less portable than the APH-22 hexacopter and takes a team of  
91 operators that are likely not biologist. Personnel operated the Scout from land or from the research vessel while  
92 the Puma flight team conducted operations from the vessel (though it could operate from land) but was  
93 recovered by landing in the water.

94 Scout pilots conducted 30 flights at 16 sea lion sites however; complete counts were only collected  
95 from images captured at four sites due to incomplete site coverage and low resolution of images and/or video.  
96 Puma pilots flew nine missions at nine sites though images and/or video were too low resolution to use for  
97 complete counts and only a partial count was collected for one site. Due to the haul-out behavior of sea lions  
98 (lying within close proximity to each other) complete counts could not be collected from the infrared images.  
99 Because the flight missions were not conducted within the breeding season (i.e., when newborn sea lions, or  
100 pups, were present) and were performed to investigate the reactions of Steller sea lions to UAS, permitted  
101 altitudes allowed for Scout flights ranged from approximately 15 to 25 m. This altitude range is much lower  
102 than the finalized minimum altitude ( $\geq 45$  m) allowed for Steller sea lions UAS operations (as specified under  
103 the NMFS ESA/MMPA Permit #18528), especially during the summer breeding season. The altitude for the

104 Puma surveys ranged from approximately 60 to 152 m. While animals with permanent marks were visible from  
105 images, analysts could not decipher alpha-numeric marks due to low image resolution. The images collected  
106 with the hexacopter are comparable to those collected during traditional aircraft surveys and are of higher  
107 resolution than those collected from the Scout or Puma in 2012 (Fig. 1).

108

### 109 **Study area and field studies**

110 Aerial survey effort was focused in the Aleutian Island chain due to incomplete survey coverage in  
111 previous years and the continued decline in Steller sea lion abundance in the western portion of the chain (Fritz  
112 et al. 2013; Johnson and Fritz 2014). Abundance surveys are conducted during the peak of the Steller sea lion  
113 breeding season when the greatest proportions of adult and juvenile (non-pup) sea lions haul-out on known  
114 terrestrial sites to breed and birth pups. Surveys occur from late June to mid-July when newborn sea lions (pups)  
115 are approximately 1-month old and remain on land (Pitcher and Calkins 1981; Pitcher et al. 2001).

116 The Island chain was divided geographically between two survey platforms. Biologists, including the  
117 hexacopter crew, based on the U.S. Fish and Wildlife Service research vessel *Tiglâx* from 18 June to 3 July  
118 2014 focused on the western portion of the chain, from Attu Island (172°27' E) to Amchitka Pass (180°; Fig. 2).  
119 Simultaneously, NMFS biologists working from a NOAA Twin Otter (DeHavilland DHC-6) operated by the  
120 Aircraft Operations Center in Tampa, FL, surveyed east of Amchitka Pass to the Shumagin Islands (157° W)  
121 from 23 June to 9 July 2014.

122 We coordinated surveys between the aerial platforms for sites in the Delarof Island group to compare  
123 counts during the same time period. Unfortunately, high winds aloft (11-12 m/s on the ground, approximately  
124 15 m/s aloft) prevented complete hexacopter surveys of Gramp Rock, Ilak and Column Rocks (Amchitka  
125 Island) whereas patchy fog impeded the occupied aircraft from conducting a complete survey of Hasgox Point  
126 (Ulak Island) during the time period when both platforms could overlap (29-30 June). However, the occupied  
127 aircraft surveyed Hasgox Point on 9 July, 10 days after the hexacopter survey (29 June). Both platforms did  
128 survey Gramp Rock however, the hexacopter survey (30 June) was incomplete due to high winds, and the  
129 occupied aircraft conducted their survey 9 days later (9 July).

130 Counts were conducted between 1000 and 1900 Alaska Daylight Time when sea lions are present on  
131 land in greatest numbers (Chumbley et al. 1997; Sease and Gudmundson 2002) and lighting conditions are  
132 optimal for photography (Fritz et al. 2013). Observers based on the research vessel conducted land-based counts  
133 (“land counts”) from the vessel, an inflatable skiff offshore, or from land for those sites with less than 40 sea  
134 lions hauled out. We used the hexacopter to capture aerial images of those sites with greater than 40 sea lions  
135 hauled out, or those sites where visual obstructions or terrain prevented the land-based observers from  
136 conducting a complete count. When terrain allows it, complete visual counts of sea lions sites are manageable  
137 when there are less than 40 individuals present.

138

### 139 **Unoccupied aircraft, ground station, and camera systems**

140 The APH-22 is an electric 6-motor multi-rotor aircraft, commonly referred to as a hexacopter. It  
141 measures 82.3 cm from rotor tip to rotor tip and weighs approximately 1.72 kg without the lithium polymer  
142 battery or camera payload (Perryman et al. 2012; Fig. 3). The APH-22 can reach speeds up to 15 m/s or hover in  
143 place. The payload allowance is 0.998 kg, which is sufficient to accommodate a high resolution digital camera.

144 We selected the Canon EOS M (18 megapixel, mirrorless camera) equipped with a EF-M f/2 STM 22 mm  
145 pancake lens. This camera and lens offers a minimum resolution of about 1-1.2 cm/pixel at approximately 45m  
146 altitude. The manufacturer created a fixed, vertically oriented camera mount underneath the body of the UAS.  
147 We tested various camera settings in a variety of light levels and wind speeds using a tri-bar resolution target  
148 and achieved the highest image resolution.

149 The hexacopter transmits data and video to a ground station. A small LCD screen displays telemetry  
150 information from the hexacopter including: distance and altitude from the take-off location, heading, GPS fix  
151 quality, battery voltage of the hexacopter, and the length of time the motors have been running. A second larger  
152 screen displays real time video from the digital camera mounted on the hexacopter. This enables the hexacopter  
153 crew to see what the hexacopter is positioned over and when the camera is firing. A series of LEDs on the  
154 ground station indicate whether the altitude, position hold, or the “come home” features are engaged.

155 The hexacopter crew consisted of two trained pilots who took turns with the roles required for flights.  
156 The pilot in command flew the hexacopter while a visual observer watched the surrounding airspace for other  
157 aircraft. The hexacopter crew maintained visual contact with the hexacopter but were not always able to  
158 maintain visual contact with animals onshore. During all flights, a team of at least two biologists were  
159 positioned to observe the animals and record any reaction from the hexacopter. The pilots maintained a survey  
160 altitude from 45 to 60 m for all flights over animals. Altitude varied due to terrain and sea lion behavior.

161 The hexacopter was controlled by a pilot using a 10-channel hand-held radio controller (RC), where the  
162 sticks are used to manipulate throttle, pitch, roll, and yaw and switches are used to engage auxiliary functions.  
163 Pilots are able to command the hexacopter to hold altitude, hold position, or “come home” which commands the  
164 hexacopter to return to the take-off location and hover. Another switch triggers the camera to take pictures at  
165 either of the two pre-programmed time intervals (5 or 10 s for our study). Under our Certificate of Authorization  
166 from the Federal Aviation Administration, we are unable to fly when wind speeds on the ground exceed 12.86  
167 m/s and in rainy conditions. Finally, an additional control allows the pilots to simulate a failure of RC  
168 connection with the aircraft (“lost link”). In the event of a lost link, the aircraft will return to the take-off  
169 location and land.

170

### 171 **Occupied aircraft surveys**

172 Biologists installed a camera mount equipped with three Canon EOS-5D Mark III cameras (21  
173 megapixel, full-frame sensor) in the aircraft belly port and conducted the survey under the same methods  
174 described in Fritz et al. (2013). The cameras are installed in a mount developed by Aerial Imaging Solutions that  
175 receives input on aircraft altitude and ground speed and then rocks the cameras at a rate that eliminates the  
176 image blur associated with the forward movement of the aircraft while the camera shutter is open. Image  
177 collection was initiated manually by an observer on the mount controller where capture rates are set to provide a  
178 60% overlap between images. Survey altitude was targeted around 230 m but could range between 150 to 305 m  
179 depending on terrain, cloud ceiling, and wind conditions.

180

### 181 **Image analysis**

182 Aerial images of sea lion sites obtained by UAS and traditional aerial surveys were analyzed by two  
183 independent counters with the same methods described in Fritz et al. (2013). Counters used high resolution

184 monitors to count sea lions from digital images collected from the UAS and occupied aircrafts using Adobe  
185 Photoshop software (mention of specific products does not serve as an endorsement). Each sea lion counted  
186 was assigned to one of the five age-sex classes (pup, juvenile, adult female, sub-adult male, and adult male or  
187 bull) based on color, size, shape, and behavior of the individual. The script in the software enables the count to  
188 be tallied for each age-sex class. Images collected with the hexacopter were also examined to sight permanently  
189 marked animals. We used agTrend to model updated trends and abundance of the population with the finalized  
190 counts from the 2014 survey (Johnson and Fritz 2014, Fritz et al. 2015).

191

## 192 **Results**

193 The 2014 Steller sea lion survey of the Aleutian Islands was the most complete survey of pups and  
194 non-pups since the 1970s (Fritz et al. 2008, 2013; Table 1; Fig. 4). Of the 172 known terrestrial sea lion sites  
195 along the Aleutian Island chain, 153 were successfully surveyed. Twenty of the 21 sites missed in the western  
196 portion of the Aleutian Islands by the research vessel were intentionally skipped as they have no recent (since  
197 the early 2000s) presence of sea lions (Fritz et al. 2013). Excluding these missed sites, 96% of non-pup and 94%  
198 of the pup sites used for abundance trend analyses were surveyed (Table 2). In total, the research vessel based  
199 team surveyed 23 sites, 12 of which were visually counted by observers on land and 11 were surveyed using the  
200 hexacopter.

201 The hexacopter captured fewer than 1,500 aerial images of 11 sites during 17 flights. The farthest we  
202 flew the hexacopter from the take-off location was 634 m. Mean flight time was 11 minutes and the longest  
203 flight was 16 minutes. When photographing sea lion aggregations, we generally kept the aircraft in a hover or  
204 moved at very low speeds (e.g., average speed < 2 m/s) to ensure complete photographic coverage of the site  
205 and reduce the impacts of forward image motion (i.e., blur) as the images were collected.

206 Over the course of the 17 flights totaling in almost 4 hours of flight time, there was only one instance  
207 when the observers noted a disturbance causing the sea lions to move from their position. A majority of the sea  
208 lions did not react to the presence of the hexacopter. If they did, their typical reaction was to adjust to an upright  
209 posture to look up at the sky with little or no movement from their position (Fig. 5). At Ayugadak Island we  
210 flew the hexacopter above the 87 non-pups and 42 pups. Observers at the cliff edge noted 24 animals moving  
211 from their position towards the water edge. Only five of those entered the water, but stayed in the shallows. We  
212 believe this disturbance was caused when the pilot adjusted the hexacopter altitude while over the animals. A  
213 hexacopter generates greater sound levels when changing altitude than it does in level flight and the loudness  
214 could have been further amplified by echoing off the adjacent 12 m cliff. Overall, the disturbance caused by the  
215 hexacopter was minimal with only 5 of total 1,589 non-pups (0.3%) that we flew over slowly entering the water.  
216 No 'stampede' reactions by non-pups were observed during hexacopter operations. Anecdotally, we flew within  
217 close proximity to numerous seabird species in flight (e.g., gulls) and nearby nesting bald eagles at one site with  
218 no reaction observed from birds to the hexacopter in flight.

219 The occupied aircraft team surveyed a greater portion of the Aleutian Islands, as well as part of the  
220 western Gulf of Alaska region. They surveyed a total of 172 sites and captured over 13,500 images at 97 sites.  
221 The aircraft flew over 19,700 non-pups and disturbed approximately 1,000 (5%) sea lions into the water.  
222 Despite its higher survey altitude, the Twin Otter has a larger silhouette in the sky and is significantly louder

223 than the hexacopter, demonstrated by the greater disturbance rate (van Polanen Petel et al. 2006; Goebel et al.  
224 2015).

225 Surveys of Point (Ulak Island) that spanned 10 days between the hexacopter and occupied aircraft  
226 indicated pups counts were similar but the non-pup count was different because areas missed by the aircraft had  
227 only non-pups present. Pup counts were similar (1-3% difference) between all surveys which correlates with  
228 newborn pup behavior of staying on land during their first month of life (Pitcher and Calkins 1981). In contrast,  
229 the non-pup counts showed greater variation (5%).

230 Optimal camera setting established from training flights were tested in the field and new settings  
231 evaluated in changing environmental conditions (e.g., wind, light levels, whitewashing of waves on the fringes  
232 of sites). We found that the highest resolution images were captured with aperture priority set between 5.0 to 5.6  
233 and the ISO set from 800 to 1200.

234 Observers searched for permanently marked individuals from the ground or skiff and the same branded  
235 animals were observed in the hexacopter images as well (Fig. 6). Two marked individuals were sighted in the  
236 aerial images that were not observed from ground observers because of

237

## 238 Discussion

239 The incorporation of this innovative technology coupled with traditional aerial survey methods has  
240 resulted in the most complete survey of pup and non-pup Steller sea lions Aleutian Islands in over 35 years  
241 (Fritz et al. 2008, 2013). By training scientists to operate these systems in the field we eliminate the need to  
242 take individuals into the field to act solely as pilots. This UAS can be operated from virtually any location by a  
243 flight crew of two trained biologists. The ability vertically launch and recover by hand allows for operations in  
244 areas with limited space or uneven terrain. Our decision to devote the research vessel and hexacopter crew to the  
245 most remote regions of the Aleutian Islands minimized occupied aircraft downtime, allowing the Twin Otter to  
246 cover areas serviced by more airfields and with more conducive weather conditions than the western Aleutian  
247 Islands. This allowed the occupied aircraft team to survey the western Gulf of Alaska, extending the survey  
248 farther than anticipated. The hexacopter was critical to our success in surveying the western Aleutian Island  
249 sites. However, the occupied aircraft was critical to the survey of the remainder of the Aleutian Island chain.

250 Similar to other researchers, we know that UAS could not feasibly replace occupied aircraft for the  
251 entire survey because of technical, logistical, regulatory, and economic limitations (Vermeulen et al. 2013). An  
252 abundance survey conducted by research vessel could not be accomplished within the narrow biological window  
253 of the Steller sea lion summer breeding season or would require multiple vessels (and UASs) to cover the entire  
254 range. Chartering a research vessel, or multiple vessels, to span the entire survey-range would be cost-  
255 prohibitive. An abundance survey solely conducted by research vessel would be significantly more expensive  
256 than traditional aerial survey methods and would offer little benefit in areas that are serviced by multiple  
257 airfields and are prone to more conducive weather. Currently, UAS flight regulations do not support long-range,  
258 beyond line-of-sight missions at low altitudes in national airspace within close proximity to land that would be  
259 necessary for collecting images of similar resolution. Also, there isn't an UAS currently available that could  
260 operate under such conditions and meet our image resolution needs for counting Steller sea lions and reading  
261 alpha-numeric marks.



262 As with many other reports of using an UAS for wildlife studies, we also found very little disturbance  
263 associated with our electrical rotor platform (Vermeulen et al. 2013). This allowed us to fly at low altitudes ( $\geq 45$   
264 m) to capture high resolution images, especially useful for identifying small, marked juveniles. Our experience  
265 with the one instance of animal disturbance taught us that aircraft altitude adjustment and horizontal movements  
266 should be made away from the animals or conducted very slowly when above the animals. This is especially  
267 important when flying at sites adjacent to cliffs which can echo the sounds of the hexacopter, especially if there  
268 is wind to direct the sound towards the animals.

269 While we were unable to survey any sites on the same day with both aerial platforms, we were able to  
270 compare counts for one site conducted on different days. We are confident in our ability to collect comparable  
271 counts between both aerial platforms as these systems have downward-facing cameras which capture the same  
272 vertical perspective to collect the most accurate counts. Aerial imagery from Hasgox Point shows the greatest  
273 variation in non-pup counts between platforms and survey dates while pup counts were similar. Based on haul-  
274 out behavior of sea lions during the breeding season, we would expect the pup counts to be relatively constant as  
275 all flights were conducted at the end of the breeding season, well after the mean pupping date (9-11 June;  
276 Pitcher et al. 2001). It is likely that only a few pups were born in the 10 days between the hexacopter and  
277 occupied aircraft surveys. Newborn pups remain on land during their first month before taking to the water  
278 (Pitcher and Calkins 1981). However, the lower 9 July pup count could be explained by those pups born early in  
279 the season entering the water. Non-pup counts can vary more throughout the season as females and juveniles  
280 leave the site to forage. Human error by the independent counters could also contribute to variations in counts.  
281 Regardless, count variation fell within the 5% difference or an absolute difference of less than 20 non-pups and  
282 10 pups expected between the two independent counters (Fritz et al. 2013).

283 Occupied aircraft surveys benefit from an aerial perspective that allows biologists to ensure the entire  
284 site is surveyed whereas the perspective from a vessel or land can make this difficult. The vessel based crew was  
285 careful to investigate surrounding areas from the site to ensure all animals were counted. In comparing imagery  
286 obtained by the hexacopter with imagery captured by the occupied aircraft in previous years, we can confirm  
287 complete coverage of the 11 sites with the hexacopter.

288 The counts collected from this study show there is a continued decline in the abundance of non-pup  
289 Steller sea lions in the area of concern in the western Aleutian Islands, from Delarof Islands to Attu Island.  
290 Since year 2000, non-pups continue to decline 3.6 to 6.4% per year and pups are declining 3.2 to 9.7% per year.  
291 Regions east of the Delarof Islands (i.e., Tanaga Pass) are relatively stable or increasing (Fritz et al. 2015).

292 Our experiences and challenges yielded useful insights for future operations. First, a small portable  
293 UAS is exposed to numerous opportunities for minor damage associated with transport during beach landings or  
294 hikes across rugged terrain, typical in the Aleutian Islands. Spare parts and tools and the ability to perform basic  
295 repairs in the field are necessary to increase the likelihood of success. Second, winds observed at ground level  
296 are not representative of winds aloft and should be considered prior to take-off. Third, abrupt changes in  
297 hexacopter altitude or horizontal position require additional thrust and result in increased sound levels. This is  
298 especially true in higher winds. If disturbance is a concern, major adjustments in altitude or position should be  
299 made away from the animals or very slowly if it becomes necessary to adjust when the animals are nearby.

300 A small UAS operated by ship-based biologists was an essential component to our success during the  
301 2014 abundance survey. We will continue to use the APH-22 as a supplemental tool for future Steller sea lion

302 abundance surveys and hope to implement some changes to our protocols to improve our ability to collect aerial  
303 imagery. We intend to begin conducting flight operations from the research vessel at selected sites, eliminating  
304 the need to transport UAS equipment from ship to shore and overland prior to flying. We will also have a  
305 second APH-22 hexacopter equipped with upgraded motors to improve performance in higher wind speeds. In  
306 addition to continuing the use of UAS to collect images of Steller sea lions in the Aleutian Islands (especially to  
307 collect images of those sites missed in the 2014 survey), we also plan on expanding our operational area to  
308 include sites along coastal Oregon and California in the 2015 field season. We will also work towards using the  
309 hexacopter to update the historical photo-series depicting rookery space-use of northern fur seals (*Callorhinus*  
310 *ursinus*) on the Pribilof Islands which, has unsuccessful with occupied aircraft, despite multiple attempts.  
311 During this time, we will test the use of this platform to supplement abundance studies of northern fur seals.  
312 Planning to incorporate the use of the APH-22 in our research projects during the 2015 field season reflects our  
313 belief that a small UAS operated by biologists are an indispensable tool for collecting data that is otherwise  
314 difficult or impossible to obtain using conventional methods.

315

### 316 Acknowledgements

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327 NOAA. Reference to trade names does not imply endorsement by the National Marine Fisheries Service,  
328 NOAA.

329

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391 **Table**

392 Table 1. Number of sites for collecting non-pup and pup counts surveyed from 2000 to 2014, and the percentage  
 393 of total number of sites, used for modeling abundance trends (excluding those sites with little to no sea lions  
 394 present since the early 2000s).

	"Non-Pup" Sites		"Pup" Sites	
	No. Surveyed	Percentage of total	No. Surveyed	Percentage of total
2000	123	98	4	12
2001	-	0	19	56
2002	123	98	26	76
2003	-	0	3	9
2004	116	92	27	79
2005	-	0	29	85
2006	85	67	-	0
2007	85	67	4	12
2008	121	96	4	12
2009	32	25	33	97
2010	89	71	15	44
2011	66	52	23	68
2012	15	12	5	15
2013	15	12	6	18
2014	121	96	32	94
Total	126	100	34	100

395

396 Table 2. Steller sea lion non-pup and pup counts from images captured on Hasgox Point (Ulak Island) obtained  
 397 by both aerial platforms during the 2014 abundance survey. The non-pup count from the traditional aircraft  
 398 survey conducted only one day after the hexacopter survey was incomplete due to fog (\*).

Aerial Platform	Date	Count	
		Non-pup	Pup
Hexacopter	29-Jun	391	176
Traditional aircraft	30-Jun	409*	173
Traditional aircraft	9-Jul	371	182

399

400

401 **Figures Legends**

402

403 Fig. 1. Aerial images of the Steller sea lion site, East Cape on Amchitka Island captured by the Puma fixed-wing  
404 (A), Aeryon Scout quadcopter (B), and APH-22 hexacopter (C) unoccupied aircraft platforms, and the  
405 occupied aircraft (D).

406

407 Fig. 2. Known terrestrial sea lion sites in Alaska throughout the Aleutian Island chain and the western Gulf of  
408 Alaska. Available airfields are indicated that are accessible to the occupied aircraft which surveyed the Delarof  
409 Islands and to the east while the hexacopter focused effort west of the Delarof Islands to Attu Island.

410

411 Fig. 3. The APH-22 hexacopter system including the 6-rotor aircraft (left), ground station (mounted on the  
412 tripod), and the radio control transmitter (bottom, right).

413

414 Fig. 4. Steller sea lion sites surveyed using the hexacopter (▲), conducted from the land (vessel, inflatable skiff  
415 offshore, or from a lookout; △), and the Twin Otter (○), as well as those sites that were missed (✦) along the  
416 Aleutian Island chain and the western Gulf of Alaska.

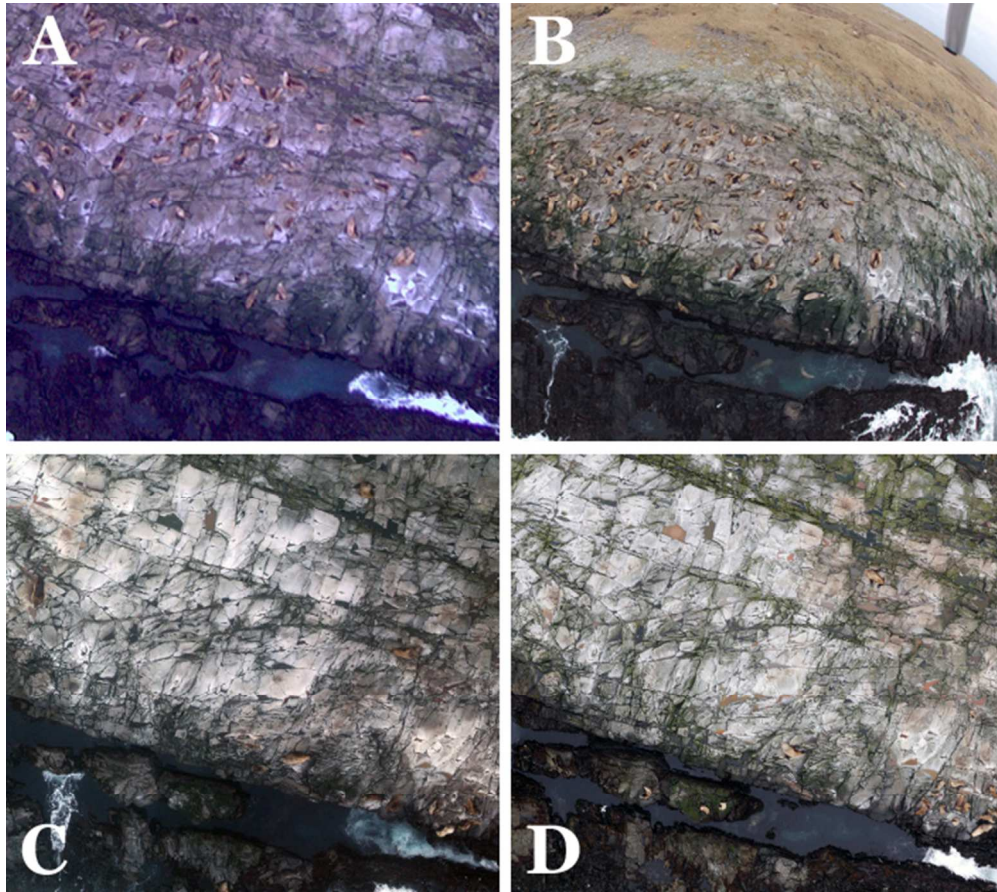
417

418 Fig. 5. Cropped portion of an image captured by the hexacopter at approximately 45 m altitude showing two  
419 animals looking up towards the hexacopter while other remain undisturbed including, a lone marked juvenile  
420 (left; ~44) and another marked juvenile suckling (uninterrupted) from its mother (upper, right; ~82).

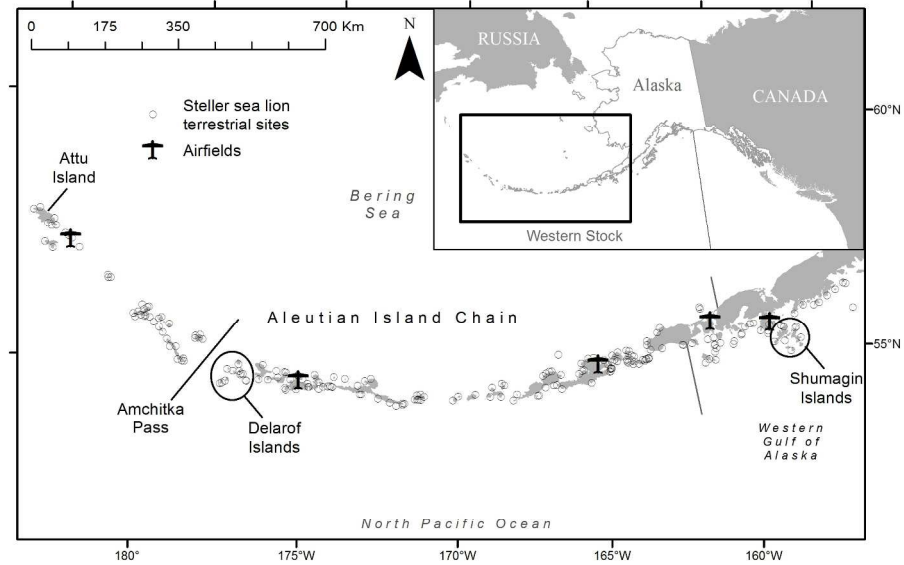
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422 Fig. 6. Image captured from the digital camera vertically mounted on the hexacopter at approximately 60 m with  
423 a marked (~100) juvenile male clearly visible to the right of a female and pup pair.

424



Aerial images of the Steller sea lion site, East Cape on Amchitka Island captured by the Puma fixed-wing (A), Aeryon Scout quadcopter (B), and APH-22 hexacopter (C) unoccupied aircraft platforms, and the occupied aircraft (D).  
249x221mm (72 x 72 DPI)



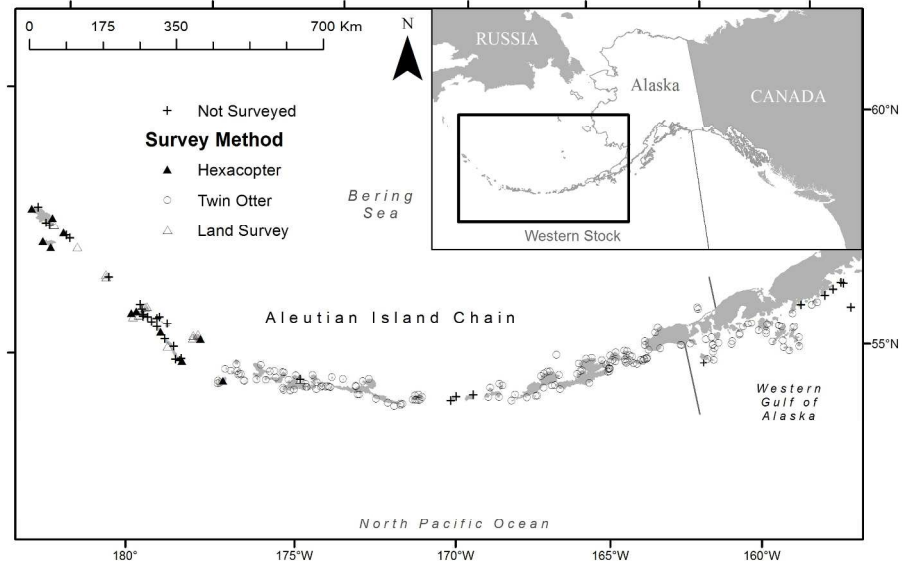
Known terrestrial sea lion sites in Alaska throughout the Aleutian Island chain and the western Gulf of Alaska. Available airfields are indicated that are accessible to the occupied aircraft which surveyed the Delarof Islands and to the east while the hexacopter focused effort west of the Delarof Islands to Attu Island.

237x162mm (300 x 300 DPI)





The APH-22 hexacopter system including the 6-rotor aircraft (left), ground station (mounted on the tripod), and the radio control transmitter (bottom, right).  
295x397mm (300 x 300 DPI)



Steller sea lion sites surveyed using the hexacopter (▲), conducted from the land (vessel, inflatable skiff offshore, or from a lookout; △), and the Twin Otter (○), as well as those sites that were missed (+) along the Aleutian Island chain and the western Gulf of Alaska.  
 237x162mm (300 x 300 DPI)



Image captured from the digital camera vertically mounted on the hexacopter at approximately 60 m with a marked ( $\sim 100$ ) juvenile male clearly visible to the right of a female and pup pair.  
508x338mm (72 x 72 DPI)