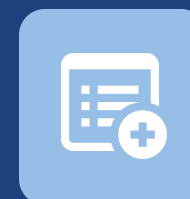
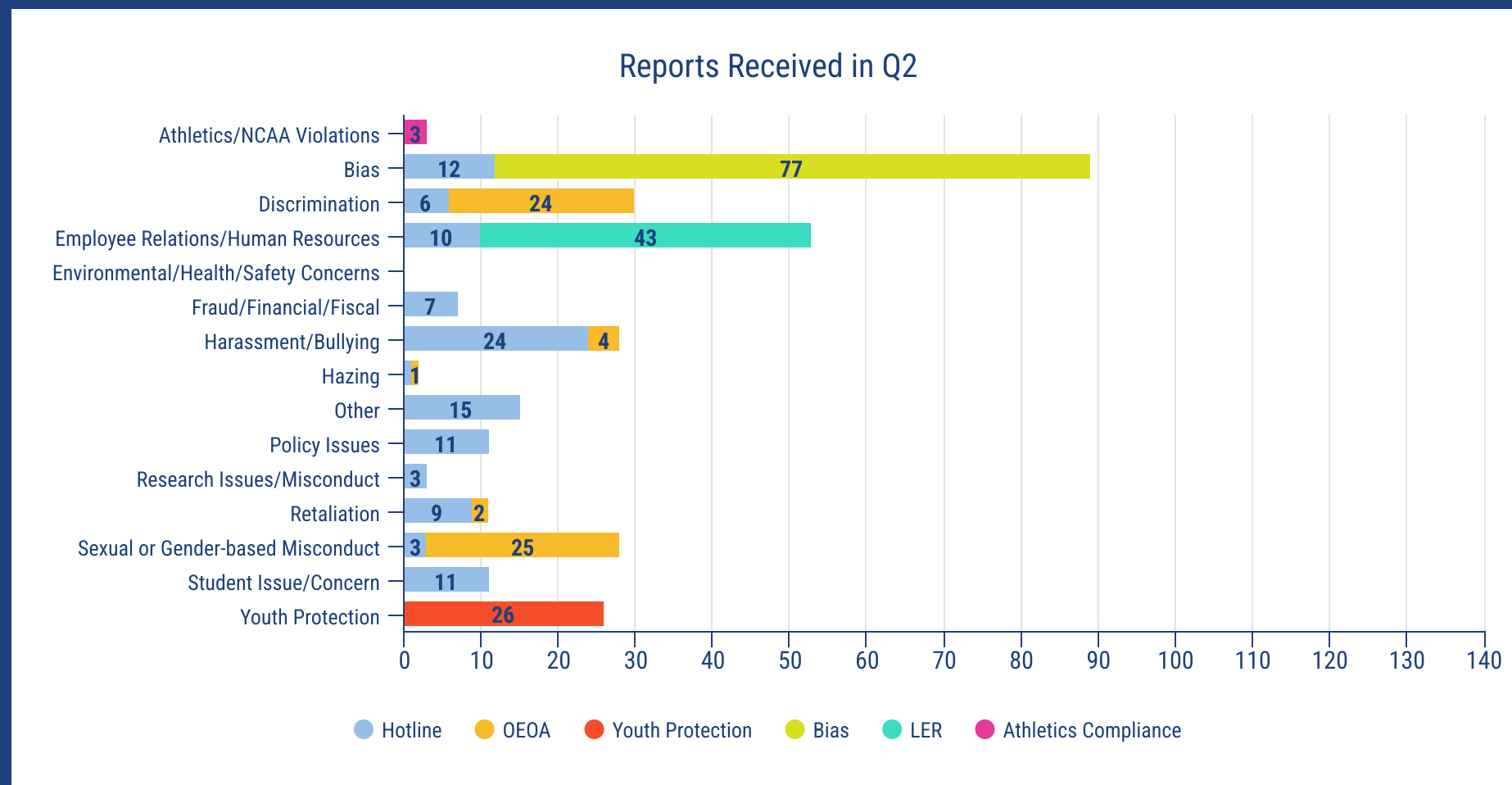




### Compliance Reporting at Penn State

April 1, 2024 - May 31, 2024

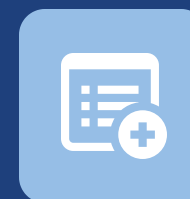
This report provides a comprehensive summary of investigations and reports received by the Penn State Ethics Hotline. Additional information has been collected from various departments including Labor and Employment Relations, Office of Equal Opportunity and Access, Office of the Vice President for Educational Equity (including Report Bias), and the Office of Ethics and Compliance. It's important to note that this data does not encompass all units, particularly those within Student Affairs, and may not include every investigation or report due to the diverse range of issues addressed and ongoing enhancements to reporting systems.



Total Reports in April & May (Q2)

# 317

(112 received on Hotline)



Reports/Issues Resolved in April & May (Q2)

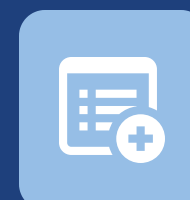
# 275



Total Reports YTD

# 796

(273 received on Hotline)



Reports/Issues Resolved YTD

# 650

\*Other reports were filed without issue type information or do not fall into categories listed above.

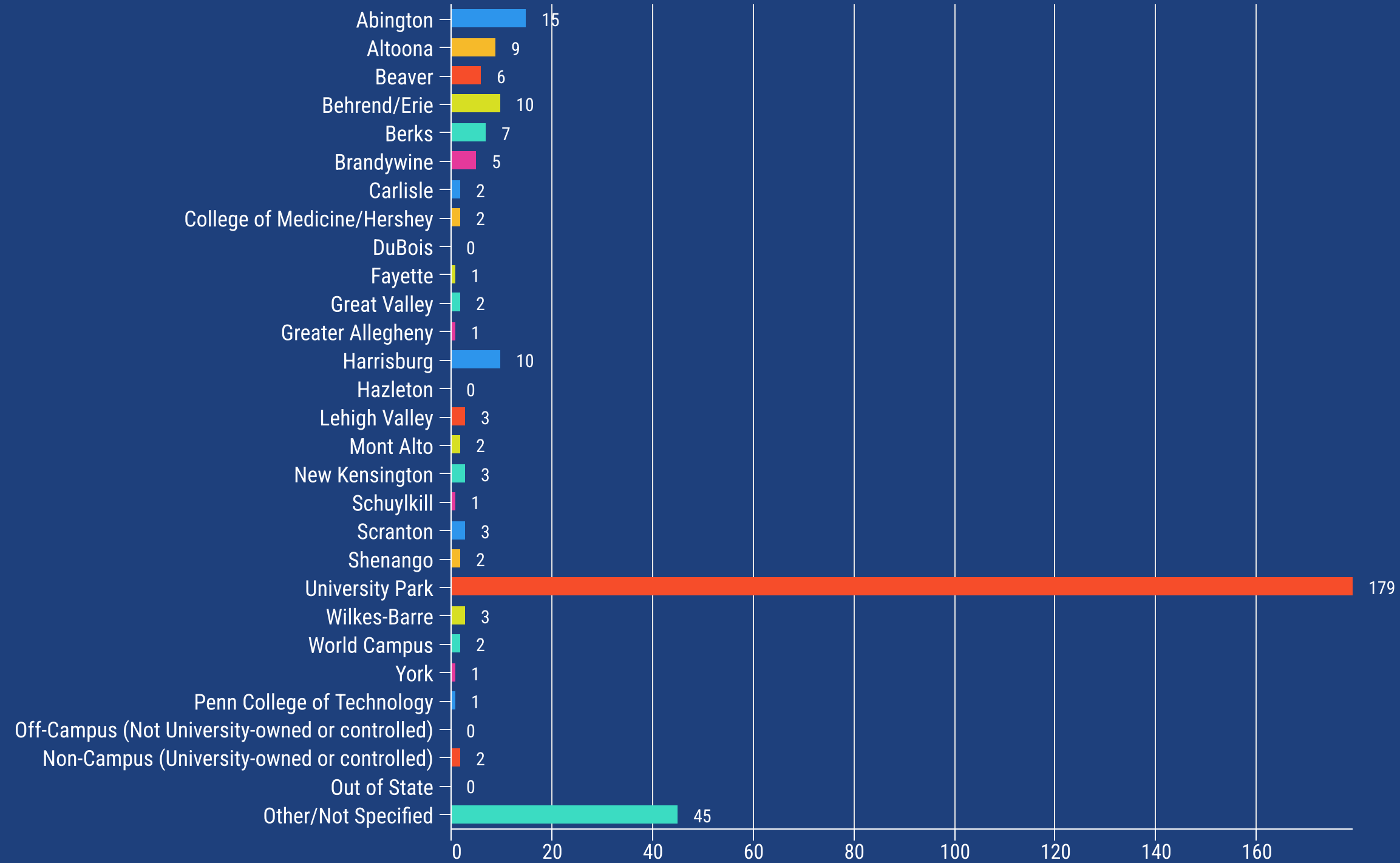
Outcomes/ Findings (Q2)	Substantiated	Unsubstantiated	Partially Substantiated	Report Withdrawn	Insufficient Information Provided by Reporter	Non- Investigative Issue	Referred to Another Unit or HR	Referred to Proper Authorities	Resources Provided
<b>Totals</b>	<b>52</b>	<b>42</b>	<b>9</b>	<b>15</b>	<b>14</b>	<b>29</b>	<b>72</b>	<b>26</b>	<b>16</b>



### Compliance Reporting at Penn State Q2

April 1- May 31, 2024

Issues Reported by Campus/Location

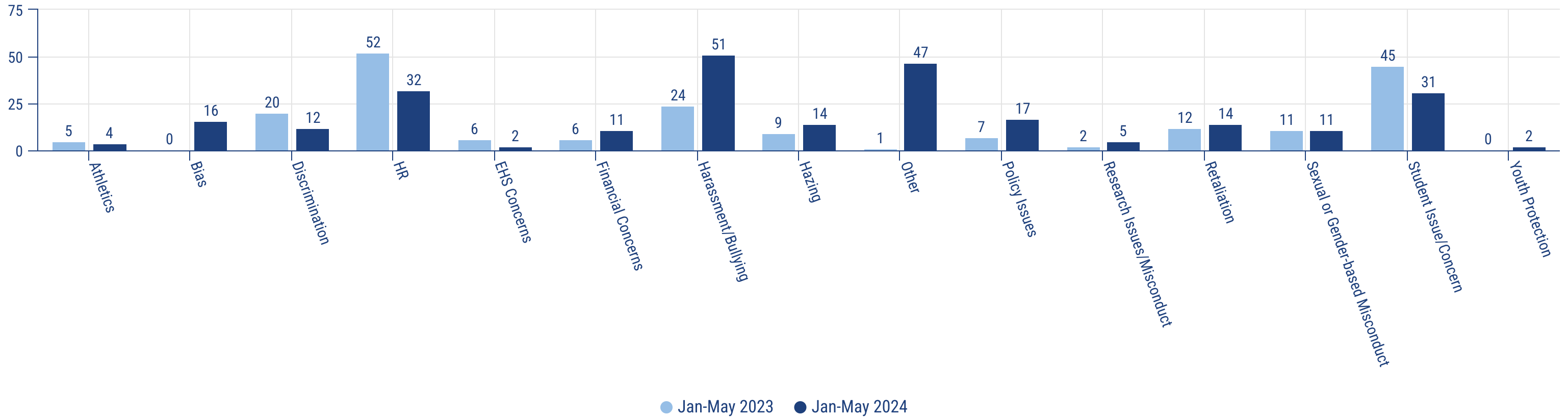




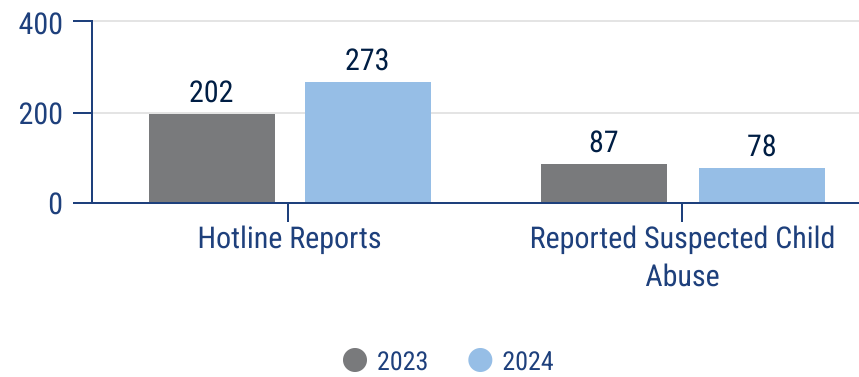
### Compliance Reporting at Penn State

January-May 2023/2024 Comparison

#### Hotline Reporting (January-May 2023/2024)



#### Reporting Data January-May 2023/2024

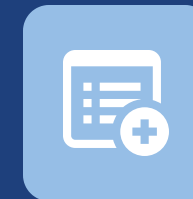




### Compliance Reporting at Penn State

January 1 - March 31, 2024

This report provides a comprehensive summary of investigations and reports received by the Penn State Ethics Hotline. Additional information has been collected from various departments including Labor and Employment Relations, Office of Equal Opportunity and Access, Office of the Vice President for Educational Equity (including Report Bias), and the Office of Ethics and Compliance. It's important to note that this data does not encompass all units, particularly those within Student Affairs, and may not include every investigation or report due to the diverse range of issues addressed and ongoing enhancements to reporting systems.



Total Reports in Q1

# 479

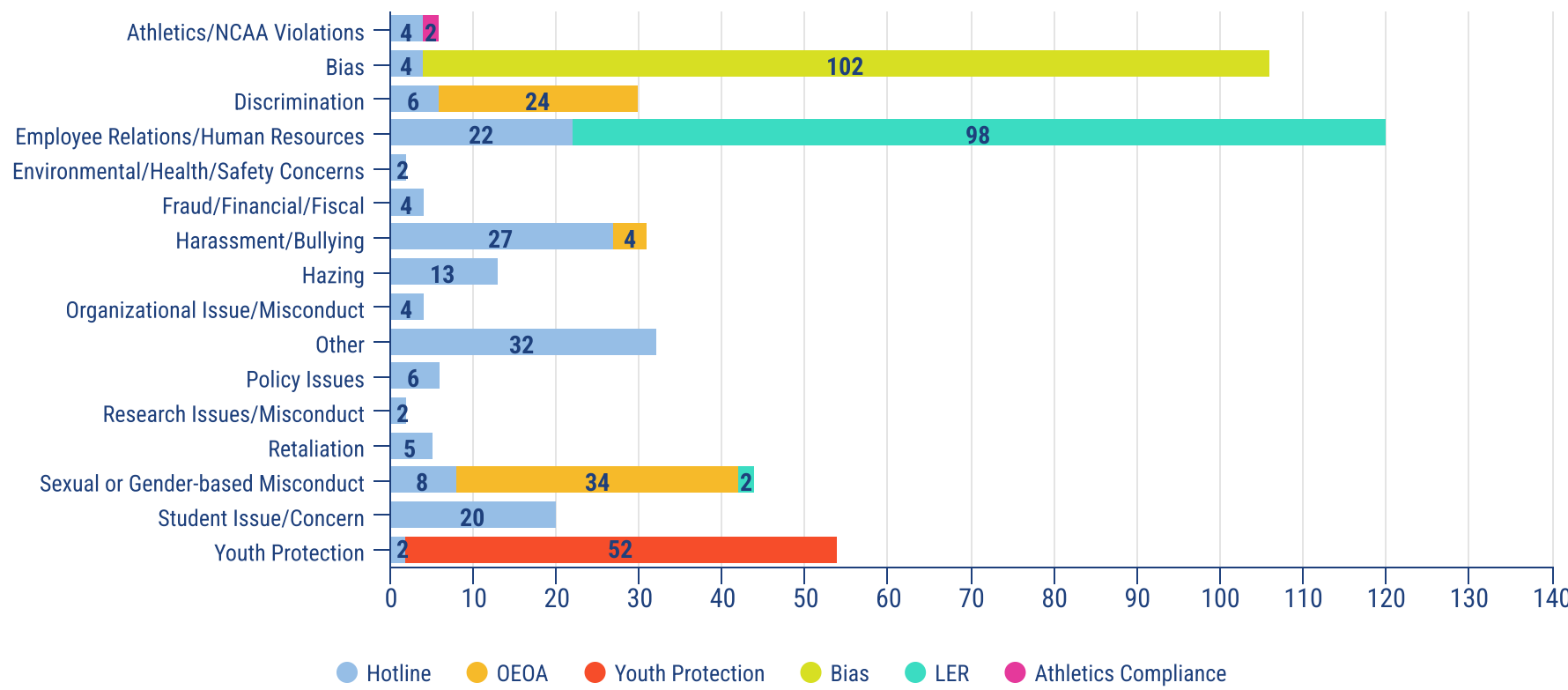
(161 received on Hotline)



Reports/Issues Resolved in Q1

# 376

### Reports Received



\*Other reports were filed without issue type information or do not fall into categories listed above.

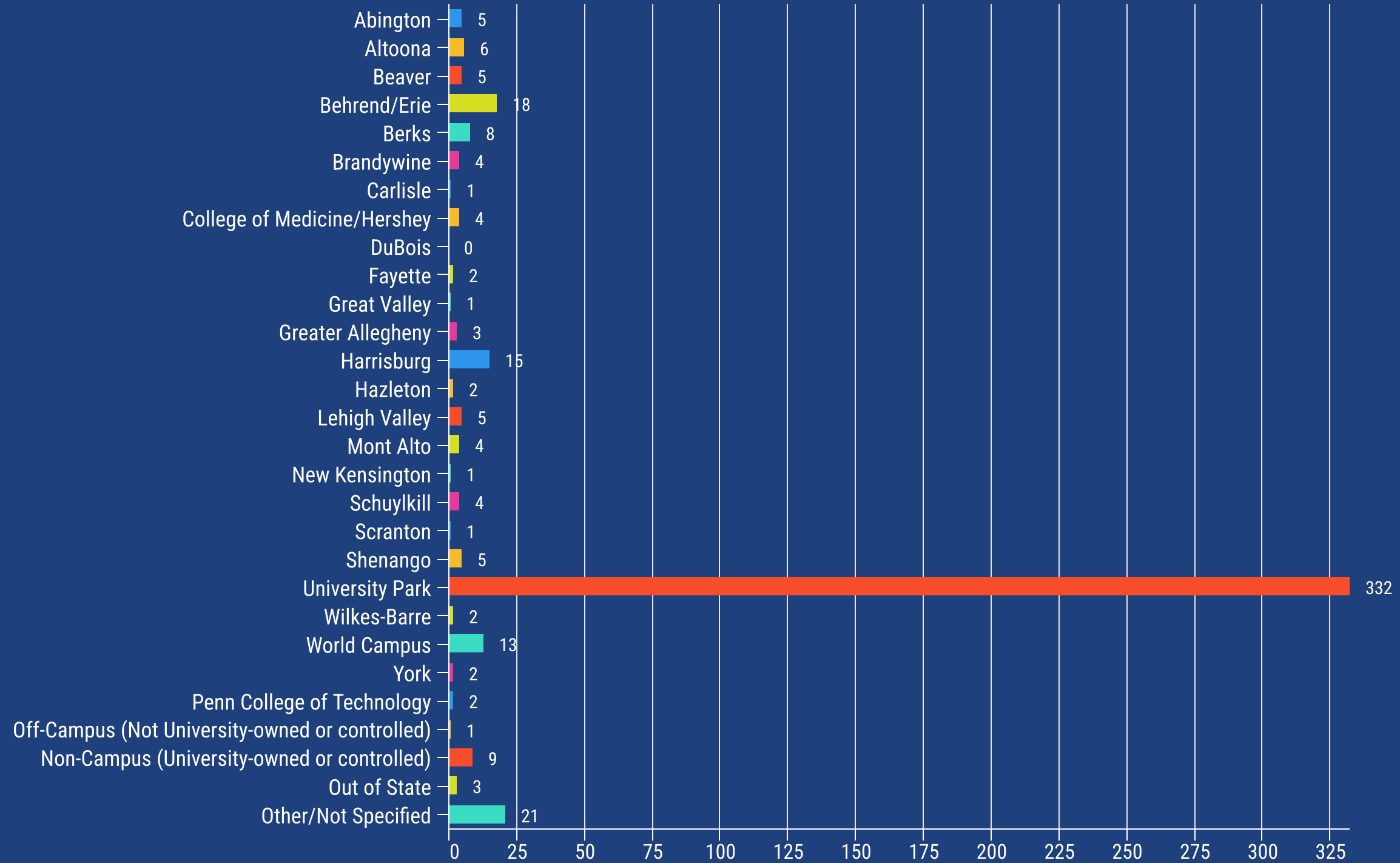
Outcomes/ Findings (Q1)	Substantiated	Unsubstantiated	Partially Substantiated	Report Withdrawn	Insufficient Information Provided by Reporter	Non- Investigative Issue	Referred to Another Unit or HR	Referred to Proper Authorities	Resources Provided
<b>Totals</b>	<b>99</b>	<b>44</b>	<b>23</b>	<b>8</b>	<b>18</b>	<b>34</b>	<b>88</b>	<b>53</b>	<b>9</b>



**Compliance Reporting at Penn State**

January 1 - March 31, 2024

Issues Reported by Campus/Location (Q1)

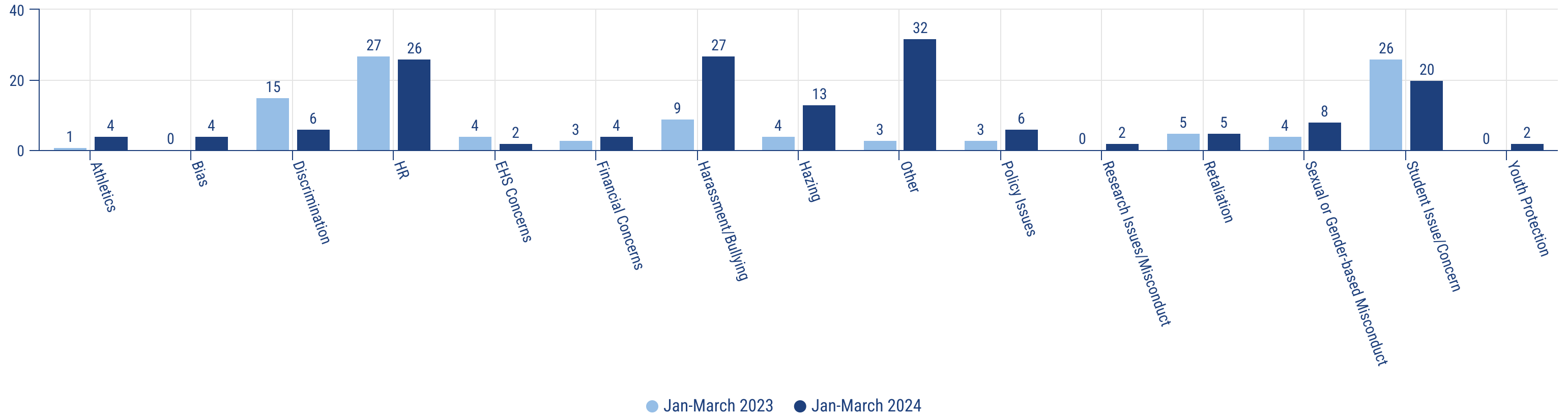




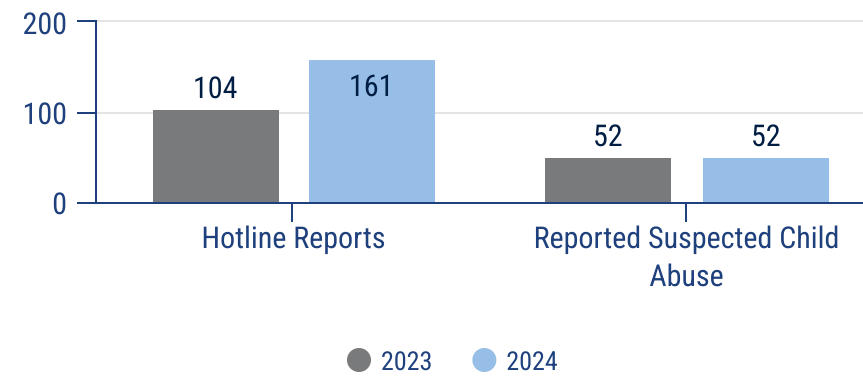
## Compliance Reporting at Penn State

January-March 2023/2024 (Q1) Comparison

### Hotline Reporting (January-March 2023/2024)



### Reporting Data January-March 2023/2024





## Compliance Reporting Data

*April 1-May 31, 2024*

Penn State’s Office of Ethics and Compliance has compiled the data below by collaborating with compliance units across the University. It is the goal of the Office of Ethics and Compliance to continue to work in partnership with other University compliance units to provide accurate and comprehensive data that encompasses all avenues for reporting at Penn State.

This report provides a comprehensive summary of investigations and reports received by the Penn State Ethics Hotline. Additional information has been collected from various departments including Labor and Employment Relations, Office of Equal Opportunity and Access, Office of the Vice President for Educational Equity (including Report Bias), and the Office of Ethics and Compliance. It's important to note that this data does not encompass all units, particularly those within Student Affairs, and may not include every investigation or report due to the diverse range of issues addressed and ongoing enhancements to reporting systems.

<b>Issue Types</b>	<b>Totals</b>
Athletics/NCAA Violations	3
Bias	89
Discrimination	30
Employee Relations/Human Resources	53
Environmental/Health/Safety Concerns	0
Fraud/Financial/Fiscal	7
Harassment/Bullying	28
Hazing	2
Other*	15
Policy Issues	11
Research Issues/Misconduct	3
Retaliation	11
Sexual or Gender-based Misconduct	28
Student Issue/Concern	11
Youth Protection	26
<b>Grand Total</b>	<b>317</b>

\*Other reports were filed without issue type information

## Reports by Campus April 1-May 31, 2024

<b>Campus/Location</b>	<b>Totals</b>
Abington	15
Altoona	9
Beaver	6
Behrend/Erie	10
Berks	7
Brandywine	5
Carlisle	2
College of Medicine/Hershey	2
DuBois	0
Fayette	1
Great Valley	2
Greater Allegheny	1
Harrisburg	10
Hazleton	0
Lehigh Valley	3
Mont Alto	2
New Kensington	3
Schuylkill	1
Scranton	3
Shenango	2
University Park	179
Wilkes-Barre	3
World Campus	2
York	1
Penn College of Technology	1
Off-Campus (Not University-owned or controlled)	0
Non-Campus (University-owned or controlled)	2
Out of State	0
Other/Not Specified	45



## Outcomes/Findings Reported April 1-May 31, 2024

<b>Outcomes/Findings</b>	<b>Totals</b>
Substantiated	52
Unsubstantiated	42
Partially Substantiated	9
Report Withdrawn	15
Insufficient Information Provided	14
Non-Investigative Issue	29
Referred to Another Unit/HR	72
Referred to Proper Authorities	26
Resources Provided	16
<b>Total</b>	<b>275</b>