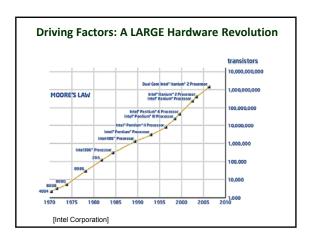
## **Privacy in Data Publishing**

Johannes Gehrke, Cornell University
Ashwin Machanavajjhala, Yahoo! Research

## **An Abundance of Data**

- Supermarket scanners
- Credit card transactions
- Call center records
- ATM machines
- Web server logs
- Customer web site trails
- Podcasts
- Blogs
- Closed caption
- Scientific experiments
- Sensors
- Cameras
- Interactions in social networks
- Facebook, Myspace
- Twitter
- Speech-to-text translation
- Email

•Print, film, optical, and magnetic storage: 5 Exabytes (EB) of new information in 2002, doubled in the last three years [How much Information 2003, UC Berkeley]

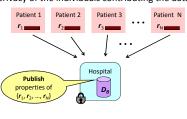


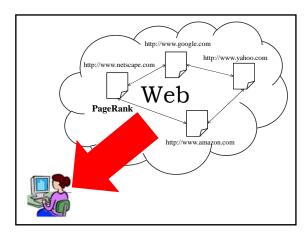
<b>Driving Factors: A small Hardware Revolution</b>	
<ul> <li>Experts on ants estimate that there are 10<sup>16</sup> to 10<sup>17</sup> ants on earth. In the year 1997, we produced one transistor per ant.</li> <li>[Gordon Moore]</li> </ul>	
Duining Footows Applysis Compbilities	1
Driving Factors: Analysis Capabilities	
Data mining is the exploration and analysis of large quantities of data in order to discover valid, novel, potentially useful, and ultimately understandable patterns in data.	
Example pattern (Census Bureau Data):  If (relationship = husband), then (gender = male). 99.6%	
Driving Factors: Connectivity and Bandwidth	
<ul> <li>Metcalf's law (network usefulness increases squared with the number of users)</li> </ul>	
Gilder's law (bandwidth doubles every 6 months)	
	<del>_</del>

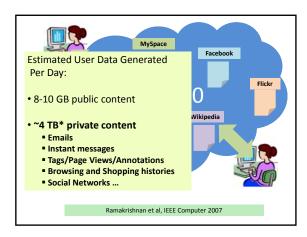
# Data Collection Agencies Publish Sensitive Information to Facilitate Research.

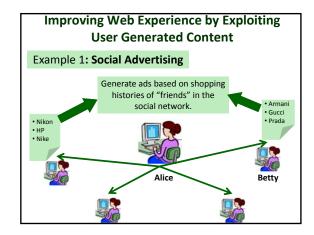
Publish information that:

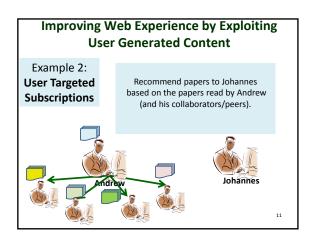
- Discloses as much statistical information as possible.
- Preserves the privacy of the individuals contributing the data.

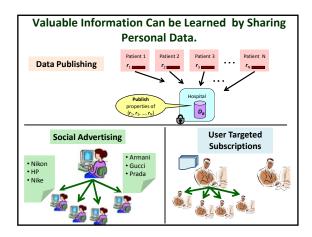












## What about Privacy?

"... Last week AOL did another stupid thing ... ... but, at least it was in the name of science..."

Alternet, August 2006

## AOL Data Release ...

AOL "anonymously" released a list of 21 million web search queries.

UserIDs were replaced by random numbers ...

8657/12222 8657/12222 865W12222 865W18289 D36F212969 D367212969 D127652340 D127652340

Uefa cup Uefa champions league Champions league final Champions league final 2008 exchangeability Proof of deFinitti's theorem Zombie games Warcraft

D1a2/7d615243140 Beatles anthology D1076521340 865W12222

Ubuntu breeze Grammy 2008 nominees Amy Winehouse rehab

## A Face Is Exposed for AOL Searcher No. 4417749 [New York Times, August 9, 2006]

No. 4417749 conducted hundreds of searches over a three-month period on topics ranging from "numb fingers" to "60 single men" to "dog that urinates on everything." And search by search, click by click, the identity of AOL user No.

10 search by search, click by click, the loentity of ADL user I 4417749 became easier to discern. There are queries for "landscapers in Lilburn, Ga," several people with the last name Arnold and "homes sold in shadow lake subdivision gwinnett county georgia."

It did not take much investigating to follow that data trail to Thelma Arnold, a 62-year-old widow who lives in Lilburn, Ga., frequently researches her friends' medical ailments and loves her three dogs. "Those are my searches," she said, after a reporter read part of the list to her.

## A Face Is Exposed for AOL Searcher No. 4417749 [New York Times, August 9, 2006]

Ms. Arnold says she loves online research, but the disclosure of her searches has left her disillusioned. In response, she plans to drop her AOL subscription. "We all have a right to privacy," she said. "Nobody should have found this all out."



http://data.aolsearchlogs.com

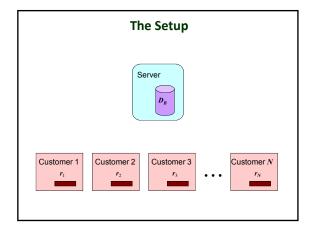
## What is Privacy?

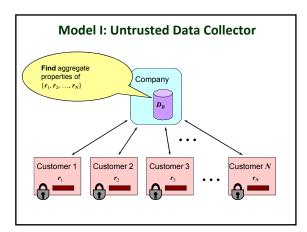
- "The claim of individuals, groups, or institutions to determine for themselves when, how and to what extent information about them is communicated to others"
  - Westin, Privacy and Freedom, 1967
- But we need *quantifiable* notions of privacy ...

## What is Privacy?

... nothing about an individual should be learnable from the database that cannot be learned without access to the database ...

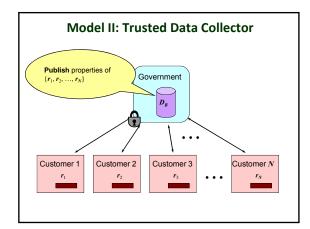
T. Dalenius, 1977





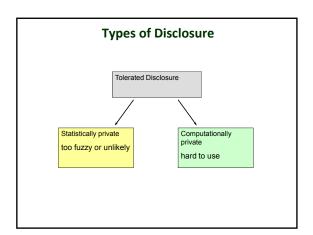
## **Minimal Information Sharing**

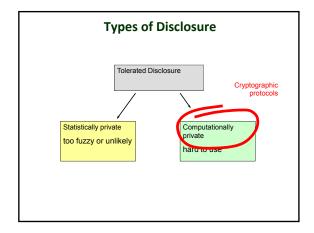
- Ideally, we want an algorithm that discloses only the query result, and only to the requesting party. (In practice, we need some extra disclosure.)
- How do we design algorithms that compute queries while preserving data privacy?
- How do we measure privacy (this extra disclosure)?

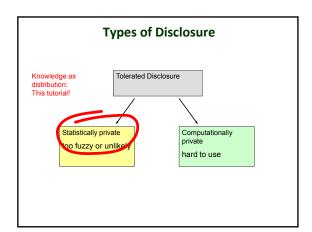


## **Disclosure Limitations**

- Ideally, we want a solution that discloses as much statistical information as possible while preserving privacy of the individuals who contributed data.
- How do we design algorithms that allow the "largest" set of queries that can be disclosed while preserving data privacy?
- How do we measure disclosure?







## **This Tutorial**

Privacy-preserving data publishing

- Untrusted data collector
- Trusted data collector

Caveat:

• Not a comprehensive survey

## What is Left Out?

- Work on secure multi-party computation (secure join, secure intersection, homomorphic encryption, certificate revocation, etc.)
- Architectural and language issues (Hippocratic databases, P3P, etc.)
- Privacy through distributed data mining

## And of course:

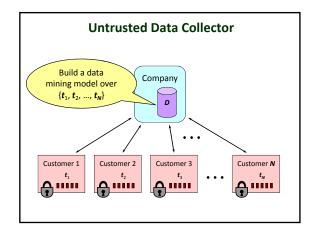
- Much more work on other privacy definition, attacks, motivating scenarios, etc.
- Check out <u>www.cs.cornell.edu/bigreddata/privacy</u> for updates.

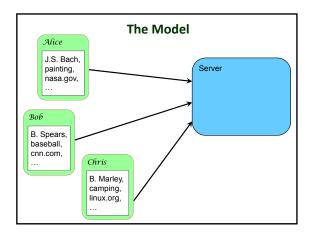
## **Tutorial Outline**

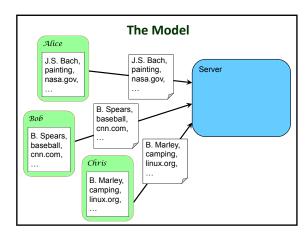
- Untrusted Data Collector
- Trusted Data Collector
- A Success Story: OnTheMap

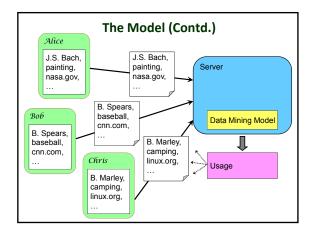
## **Tutorial Outline**

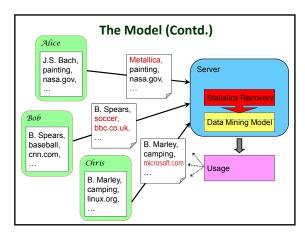
- Untrusted Data Collector
  - Randomized response
  - Interval privacy
  - Entropy-based privacy
  - Alpha-beta privacy breaches
- Trusted Data Collector
- A Success Story: OnTheMap











## **Problem**

How to randomize the data such that

- We can build a good data mining model (utility)
  - Very simple model: Frequent itemsets (commonly occurring preferences)
- While preserving privacy at the record level (privacy)
  - What does privacy mean?

## **Motivation: A Social Survey**

- Measures opinions, attitudes, behavior
- Problem: Questions of a sensitive nature
  - Examples: sexuality, incriminating questions, embarrassing questions, threatening questions, controversial issues, etc.
  - The "non-cooperative" group leads to errors in surveys and inaccurate data
  - Even though privacy is guaranteed, skepticism prevails

# Randomization operator y = R(x) V Original (private) data Assumptions: Described by a random variable x. Each individual client is independent.

## The Randomized Response Model

[Stanley Warner; JASA 1965]

- Respondents are given:
  - 1. A source of randomness (a biased coin)
  - 2. A statement: I am a member of the XYZ party.
- The procedure:
  - Flip the coin, associate Head with Yes, Tail with No
  - Answer YES if coin gives correct answer, answer NO otherwise

## Randomized Response (Contd.)

- The procedure:
  - Flip the coin, associate Head with Yes, Tail with No

- Answer YES if coin gives correct answer, Answer NO otherwise

Head (Yes) Tail (No)

Yes	No
Yes	No
No	Yes

## **Another View: Two Questions**

- Respondents are given:
  - 1. A coin
  - 2. Two logically opposite statements:
    - S1: I am a member of the XYZ party.
    - S2: I am **not** a member of the XYZ party.
- The procedure:
  - Flip the coin
  - Answer either statement S1 or S2.

## **Randomized Response (Contd.)**

• Version 1

Hea

- Flip the coin, associate Head with Yes, Tail with No
- Answer YES if coin gives correct answer, answer NO otherwise

	Yes	No
Head (Yes)	Yes	No
Tail (No)	No	Yes

	Yes	No
Head (S1)	Yes	No
Tail (S2)	No	Yes

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- Two logically opposite statements
- Answers either statement S1 or S2.

## **Analysis**

 $\pi$  = the true probability of S in the population. p = the probability that the coin says YES.

 $Y_i = 1$  if the i<sup>th</sup> respondent says 'yes'. 0 if the  $i^{th}$  respondent reports 'no'.

•  $P(Y_i=1) = \pi p + (1-\pi)(1-p) = p_{YES}$ 

• 
$$P(Y_i=0) = (1-\pi)p + \pi(1-p) = p_{NO}$$

Hea

	103	140
ad	Yes	No
ail	No	Yes

## Analysis (Contd.)

- Assume a sample with n records
  - n1 say YES, (n-n1) say NO
- Likelihood of this sample:

  - L =  $p_{YES}^{n1} p_{NO}^{(n-n1)}$ (Note: L is a function of  $\pi$ , p, n, n1)
  - This gives a maximum likelihood estimate for  $\boldsymbol{\pi}$  of  $\pi^{hat} = (p-1)/(2p-1) + n1/n(2p-1)$
- Easy to show:
  - $E(\pi^{hat}) = \pi$
  - $Var(\pi^{hat}) = \pi(1-\pi)]/n + [1/[16(p-0.5)^2]-0.25]/n$

Variance = Sampling + Coin Flips

## **Randomized Response: Extensions**

- What we have seen so far is also called the "Related Question Procedure"
  - Q1: Do you have property P?
  - Q2: Do you have property P<sup>bar</sup>?
- Unrelated Question Procedure
  - Q1: Do you use illegal drugs?
  - Q2: Were you born in January?
  - Two types of analyses, depending on whether "fraction of respondents who answer YES to Q2" is known.
- Sensitive attribute with several categories
- Quantitative sensitive attributes

Randomized Response Revisited	
<ul> <li>However: Nothing about privacy.</li> <li>What is the privacy guaranteed by randomized</li> </ul>	
response?	
Interval Privacy	]
·	
[Agrawal and Srikant; SIGMOD 2000]	
Idea: Clients share randomized version of their data.	
Randomization:	
For a numerical attribute value x, share value z=x+y, where y is drawn from some known distribution	
Interval Privacy (Contd.)	
Example:	
<ul> <li>Add value drawn from a uniform distribution between - 30 and +30 to age.</li> </ul>	
If randomized age is 60	
<ul> <li>We know with 90% confidence that age is between 33 and 87.</li> <li>We know with 100% confidence that age is between 30 and 90.</li> </ul>	
Width of interval to which adversary can localize x is the	
amount of privacy.  – Example:	
Interval width 54 with 90% confidence Interval width 60 with 100% confidence	

An Attack on Interval Privacy
[Agrawal and Aggarway; PODS 2001] Example: Attribute X with the following density function $f_X(x)$ :  • $f_X(x) = 0.5, 0 \le x \le 1$
• $f_X(x) = 0.5,4 \le x \le 5$ • $f_X(x) = 0$ , otherwise
Noise Y is distributed uniformly between [-1,1] Claim: Privacy 2 at 100% confidence level
Reconstruction: $Z\!\in\![\text{-}1,2]\text{ gives }X\!\in\![0,1]\text{, and }Z\!\in\![3,6]\text{ gives }X\!\in\![4,5]$
<ul> <li>→ Privacy at 100% confidence level is at most 1.</li> <li>- (X can be localized to even shorter intervals, e.g. Z=-0.5 gives X∈[0,0.5], Z=-1 gives X=0!)</li> </ul>
An Attack on Interval Privacy (Contd.)
What went wrong with interval privacy? Original
distribution of X was ignored!  – Some values of X are highly unlikely
<ul> <li>If we see "outlier" values of Z, they constrain the corresponding value of X</li> </ul>
Approach:
<ul> <li>Quantify information content of distribution of randomized records compared to distribution of original records</li> </ul>
1
Privacy Measure: Intuition
<ul> <li>A random variable distributed uniformly between [0,1] has half as much privacy as if it were distributed in [0,2]</li> </ul>
• In general: If $f_B(x)=2f_A(2x)$ then B offers half as much
privacy as A  – Think of A as B stretched out at twice the length
Need a privacy measure that captures this intuition

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• Differential entropy h(X):

$$h(X) = -\int_{\Omega X} f_X(x) \log f_X(x) dx$$

- Examples:

  - X is uniformly distributed between 0 and 1: h(X)=0.
    X is uniformly distributed between 0 and a: h(X)=log<sub>2</sub>(a).
- Random variables with less uncertainty than U[0,1] have negative differential entropy
- Random variables with more uncertainty than U[0,1] have positive differential entropy

## **Proposed Measure**

- Propose  $\Pi(X)=2^{h(X)}$  as measure of privacy for attribute X
- Examples:
- Uniform U between 0 and 1:  $\Pi(U)=2^{\log_2(1)}=2^0=1$
- Uniform U between 0 and a: Π(U)=2<sup>log2(a)</sup>=a
- In general,  $\Pi(A)$  denotes the length of an interval over which a uniformly distributed random variable has as much uncertainty as A.
- Example:
  - $\Pi(X)$ =2: X has as much privacy as a random variable distributed uniformly in an interval of length 2

## **Conditional Privacy**

• Conditional privacy takes the additional information in perturbed values into account:

$$h(X \mid Z) = -\int_{\Omega X, Z} f_{X, Z}(x, z) \log f_{X \mid Z = z}(x) dx dz$$

• Average conditional privacy of X given Z:  $\Pi(X|Z)=2^{h(X|Z)}$ 

1	8

## **Privacy Loss Metric**

• Conditional privacy loss of X given Z:

Loss(X|Z)=1- $\Pi$ (X|Z)/ $\Pi$ (X)=1-2-I(X;Z), where

- $-\ I(X;Z) = h(X) h(X\,|\,Z),$  the mutual information between random variables X and Z
- Loss(X | Z) is the fraction of privacy of X which is lost by revealing Z

## **Recall the Attack**

Example: Attribute X with the following density function  $f_{\mathbf{X}}(\mathbf{x})$ :

- $f_X(x) = 0.5, 0 \le x \le 1$
- f<sub>x</sub>(x) = 0.5, 4≤x≤5
- f<sub>X</sub>(x) = 0, otherwise

Noise Y is distributed uniformly between [-1,1]

Claim: Privacy 2 at 100% confidence level

## Reconstruction:

- Y \in [-1,2] gives X  $\in$  [0,1], and Y  $\in$  [3,6] gives X  $\in$  [4,5]
- → Privacy at 100% confidence level is at most 1.
- (X can be localized to even shorter intervals, e.g. Z=-0.5 gives X∈[0,0.5])

## Loss Explains What Is Going On

- In the example: Privacy of X, P(X)=21=2
   → X has as much privacy as U[0, 2]
- We can calculate: I(X;Z) = h(Z) h(Z|X) = ... = 5/4
- Privacy loss of X after learning Z: Loss(X|Z)=1-2-5/4=0.5796
- Privacy of X after revealing Z:
   P(X|Z)=P(X)\*(1-Loss(X|Z))=2\*(1.0-0.5796)=0.8408
   → X has only as much privacy as U[0, 0.8408]

An Attack on Entropy-Based Privacy	
Example: $-f_{X}(x) = 0.5, 0 \le x \le 1$ $-f_{X}(x) = 0.5, 4 \le x \le 5$ $-f_{X}(x) = 0, \text{ otherwise}$ - Uniform noise Y in [0,1]	
<ul> <li>Assume sensitive property: "X&lt;= 0.01." (prior probability: 0.5%)</li> <li>If Z ∈ [-1,-0.99], the posterior probability</li> </ul>	
P[X <= 0.01   Z = z] = 1.  • However, Z ∈ [-1, -0.99] is unlikely (only one in 100,000 records) → not much privacy loss according to conditional differential entropy	
	<u> </u>
An Attack (Contd.)	
Recall Dalenius:	
nothing about an individual should be learnable from the database that cannot be learned without access to the database	
<ul> <li>If Z ∈ [-1,-0.99], the posterior probability P[X &lt;= 0.01   Z = z] = 1.</li> <li>Caveat:</li> </ul>	
<ul> <li>Every time this occurs the property "X &lt;= 0.01" is fully disclosed.</li> <li>The mutual information, being an average measure, is not worried about this rare disclosure.</li> </ul>	
	1
Randomized Response Revisited	
Recall our question: What is the privacy guaranteed by randomized response?  – Interval privacy: No formal privacy definition	
Entropy privacy: Only protects privacy on average	

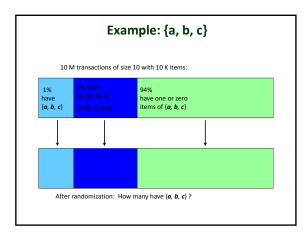
## **Randomized Response Revisited**

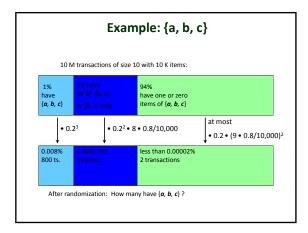
Return to our recommendation service. A "randomized response"-style algorithm:

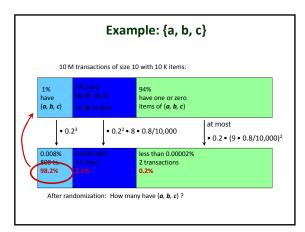
Given a set of preferences:

- Keep (preference) item with 20% probability,
- Replace with a new random item with 80% probability.

# 







# Example: {a, b, c} A-priori, we only know with 1% probability that {a, b, c} occurs in the original transaction Given {a, b, c} in the randomized transaction, we have

- Given {a, b, c} in the randomized transaction, we have about 98% certainty that {a, b, c} occurred in the original transaction.
- This is called a privacy breach.
- The example randomization preserves privacy "on average," but not "in the worst case."

## 

# 

 $\alpha = 1\%$  and  $\beta = 50\%$ 

$\alpha$ -to- $\beta$ Privacy Breach		
Let $P(x)$ be any property of client's private data; Let $0 < \alpha < \beta < 1$ be two probability thresholds.		
Client $X = x$ $y = R(x)$	SERVER  Prob $[P(X)] \le \alpha$ 0% 100%  Prob $[P(X) \mid Y = y] \ge \beta$	

## α-to-β Privacy Breach

Let P(x) be any property of client's private data; Let  $0 < \alpha < \beta < 1$  be two probability thresholds.



Disclosure of  $\emph{y}$  causes an  $\alpha$ -to- $\beta$  privacy breach w.r.t. property  $\emph{P}(\emph{x})$  .

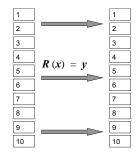
## α-to-β Privacy Breach

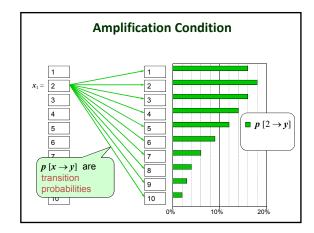
Checking for  $\alpha\text{-to-}\beta$  privacy breaches:

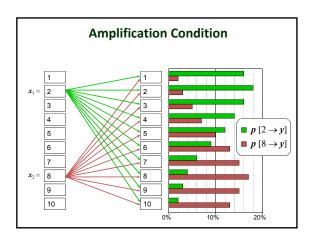
- There are exponentially many properties **P**(**x**);
- We have to know the data distribution in advance in order to check whether
   Prob [P(X)] ≤ α and Prob [P(X) | Y = y] ≥ β

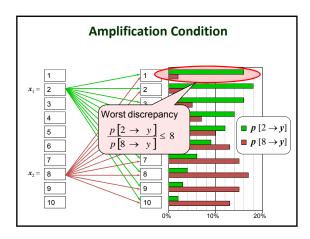
Is there a simple property of randomization operator **R** that limits privacy breaches?

## **Amplification Condition**









## **Amplification Condition**

## Definition:

• Randomization operator **R** is called "at most γ-amplifying" if:

$$\max_{x_1, x_2} \max_{y} \frac{p[x_1 \to y]}{p[x_2 \to y]} \le \gamma$$

- Transition probabilities  $p[x \rightarrow y] = \text{Prob}[R(x) = y]$  depend only on the operator R and not on data.
- We assume that all  $\boldsymbol{y}$  have a nonzero probability.
- The bigger  $\gamma$ , the more may be revealed about  $\textbf{\textit{x}}$ .

## The Bound on $\alpha$ -to- $\beta$ Breaches

## Theorem:

• If randomization operator  ${\it R}$  is at most  $\gamma$ -amplifying, and if:

$$\gamma < \frac{\beta}{\alpha} \cdot \frac{1 - \alpha}{1 - \beta}$$

• Then, revealing  ${\it R}$  (X) to the server will never cause an  $\alpha$ -to- $\beta$  privacy breach.

## **Amplification: Summary**

- An  $\alpha$ -to- $\beta$  privacy breach w.r.t. property P (x) occurs when
  - Prob [P is true]  $\leq \alpha$
  - $\text{ Prob [P is true } | \text{ Y = y] } \geq \beta.$
- Amplification methodology limits privacy breaches by just looking at transitional probabilities of randomization.
  - Does not use data distribution; only check:

$$\max_{x_1, x_2} \max_{y} \frac{p[x_1 \to y]}{p[x_2 \to y]} \le \gamma$$

	-
One Algorithm: Select-a-Size	
• Given transaction $t$ of size $m$ , construct $t' = R(t)$ :	
$t = \begin{bmatrix} a, b, c, d, e, f, u, v, w \\ t' = \end{bmatrix}$	
	1
Definition of Select-a-Size	
<ul> <li>Given transaction t of size m, construct t' = R (t):</li> <li>Choose a number j ∈ {0, 1,, m} with distribution {p [j]}<sub>0.m</sub>;</li> </ul>	
$t = \begin{bmatrix} a, b, c, d, e, f, u, v, w \\ t' = \end{bmatrix}$	
$\downarrow j=4$	
Definition of Select-a-Size	
<ul> <li>Given transaction t of size m, construct t' = R(t):</li> <li>Choose a number j ∈ {0, 1,, m} with distribution {p[j]}<sub>0.m</sub>;</li> <li>Include exactly j items of t into t';</li> </ul>	
- moduce exactly filterns of tilliot,	
$t = \begin{bmatrix} a, b, c, d, e, f, u, v, w \end{bmatrix}$	
t' = b.e.u.w $j = 4$	
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## **Definition of Select-a-Size**

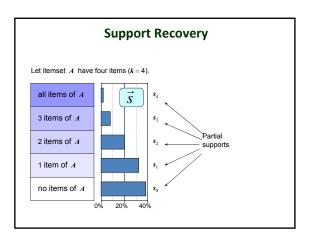
- Given transaction t of size m, construct t' = R(t):
  - Choose a number  $j \in \{0, 1, ..., m\}$  with distribution  $\{p [j]\}_{0..m}$ ;
  - Include exactly j items of t into t';
  - Each other item (not from t ) goes into  $\,t'\,$  with probability  $\,\rho.\,$

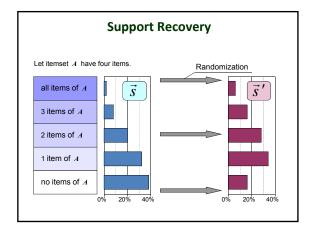
The choice of  $\{ {\it p}[{\it j}] \}_{0..m}$  and  $\, \rho \,$  is based on the desired privacy level.

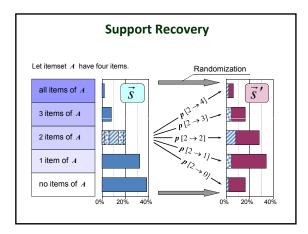
 $t = \begin{bmatrix} a, b, c, d, e, f, u, v, w \end{bmatrix}$ 

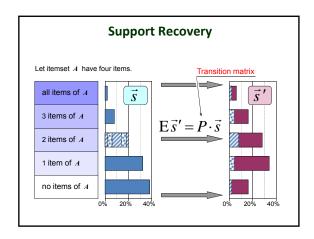
t' = b, e, u, w  $\alpha, \hat{a}, \beta, \hat{s}, \psi, \hat{e}, \kappa, b, h, ...$  j = 4items inserted with prob.  $\rho$ 

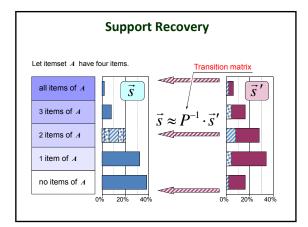
# Support Recovery Let itemset A have four items (k = 4). Trans. with A Transactions that do not contain A O% 20% 40% 60% 80% 100%











## **The Unbiased Estimators**

• Given randomized partial supports, we can estimate the original partial supports:

$$\vec{s}_{\rm est} = Q \cdot \vec{s}'$$
, where  $Q = P^{-1}$ 

• Covariance matrix for this estimator:

$$\begin{aligned} &\text{Cov } \vec{s}_{\text{est}} = \frac{1}{|T|} \sum_{l=0}^{k} s_l \cdot Q \, D[l] \, Q^T, \\ &\text{where} \quad D[I]_{i,j} = P_{i,l} \cdot \mathcal{S}_{i=j} - P_{i,l} \cdot P_{j,l} \end{aligned}$$

where  $\mathcal{D}[\mathcal{D}_{i,j} = \mathcal{D}_{i,l} \mid \mathcal{D}_{i=j} = \mathcal{D}_{i,l} \mid \mathcal{D}_{j}]$ 

- To estimate it, substitute s<sub>1</sub> with (s<sub>est</sub>)<sub>1</sub>.
   Special case: estimators for support and its variance
- [RH02] reconstruct statistics similarly

## **Apriori**

[Agrawal and Srikant, VLDB 1994]

Let k = 1, candidate sets = all 1-itemsets.

## Repeat:

- 1. Count support for all candidate sets
- 2. Output the candidate sets with support  $\geq s_{min}$
- 3. New candidate sets = all (k+1)-itemsets s.t. all their k-subsets are candidate sets with support  $\geq s_{\min}$
- 4. Let **k = k + 1**

Stop when there are no more candidate sets.

## **The Modified Apriori**

Let k = 1, candidate sets = all 1-itemsets.

### Repeat:

- 1. Estimate support and variance ( $\sigma^2$ ) for all candidate
- 2. Output the candidate sets with support  $\geq s_{\min}$
- 3. New candidate sets = all (k+1)-itemsets s.t. all their k-subsets are candidate sets with support  $\geq s_{\min} \sigma$
- 4. Let k = k + 1

Stop when there are no more candidate sets, or the estimator's precision becomes unsatisfactory.

## **Problems**

- This did not take off
- No apps
- Does not extend to non-binary data: Show example

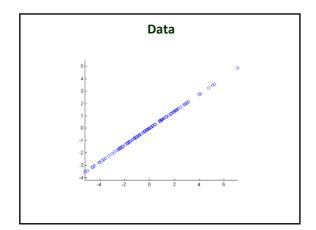
## **An Observation About Attribute Correlation**

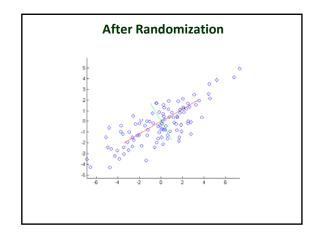
[Huang, Du, Chen; SIGMOD 2005]

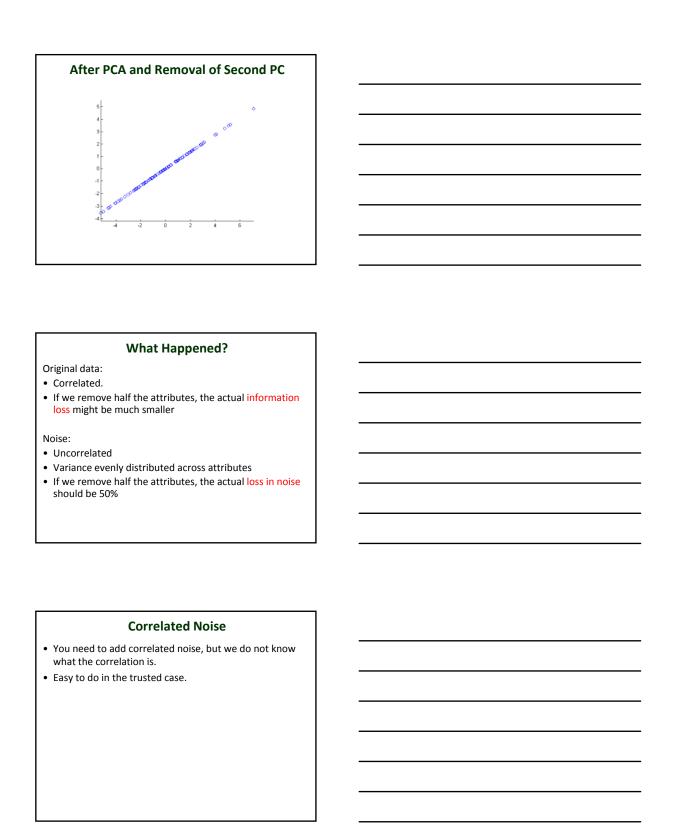
- Correlation between attributes can thwart independent random noise
- Example:
  - Assume a dataset with with m attributes that have all the same value
  - We would now perturb the same
- If we do that, we can estimate the original data:
  - Let (t,t, ..., t) be the original data,
  - Published data:  $t + R_1$ ,  $t + R_2$ , ...,  $t + R_m$
  - Let  $Z = [(t+R_1)+ ... + (t+R_m)] / m$
  - Mean: E(Z) = t

## Intuition

- Observation:
  - Original data could be correlated.
  - Noise is not correlated.
- Similar observation by Kargupta and Datta [ICDM 2003]







Untrusted Data Collector: Summary	
<ul><li>Each person randomizes her data individually</li><li>Server reconstructs distribution</li></ul>	
Untrusted Data Collector: Summary (Contd.)	
Importance:	
<ul> <li>First setting that introduced a <i>formal</i> notion of privacy</li> <li>Alpha-beta privacy</li> <li>Strong semantic notion of privacy, satisfies Dalenius' desiderata</li> </ul>	
Unimportance:  • Untrusted data collector model has not found a good application (yet?)  - Data currently mainly collected at servers (amazon, google, etc.)	
<ul> <li>Only statistically significant events can be discovered</li> <li>Application thoughts: P2P file sharing, music recommendation services</li> <li>Secure multi-party computations as alternative?</li> </ul>	
Untrusted Data Collector: Summary (Contd.)	
Open questions:  • Much work on privacy, but what about utility?	
<ul><li>What about repeated sharing of data?</li><li>How can we in general analyze such personally</li></ul>	
randomized data?	

## **Tutorial Outline**

- Untrusted Data Collector
- Trusted Data Collector
- A Success Story: OnTheMap

# Model II: Trusted Data Collector Publish properties of {r<sub>1</sub>, r<sub>2</sub>, ..., r<sub>N</sub>} Government D<sub>B</sub> Customer 1 r<sub>1</sub> Customer 2 r<sub>2</sub> Customer 3 r<sub>3</sub> Customer N r<sub>N</sub>

## **Recall: Semantic Disclosure Risk**

... nothing about an individual should be learnable from the database that cannot be learned without access to the database ...

T. Dalenius, 1977

## Untrusted data collector:

- Let  $x_i$  be individual i's record.
- For every function/property  $f(x_i)$ : dom $(x_i) \rightarrow \{0,1\}$ 
  - Prior belief:  $\alpha = Pr[f(x_i) = 1 \mid prior distribution]$
  - Posterior belief:  $\beta = Pr[f(x_i) = 1 \mid prior distribution and y_i]$

 $\alpha$  should be close to  $\beta$ 

## **Semantic Disclosure Risk**

... nothing about an individual should be learnable from the database that cannot be learned without access to the database ...

T. Dalenius, 1977

- Let  $x_i$  be individual i's record.
- For every function  $f(x_i)$ : dom $(x_i) \rightarrow \{0,1\}$ 
  - Prior belief:  $\alpha = Pr[f(x_i) = 1 \mid prior distribution]$
  - Posterior belief:  $\beta = Pr[f(x_i) = 1 \mid prior distribution + database]$

 $\alpha$  should be close to  $\beta$ 

## **Can we Achieve Semantic Privacy?**



## Impossibility of Semantic Privacy in Trusted Data Collector Model

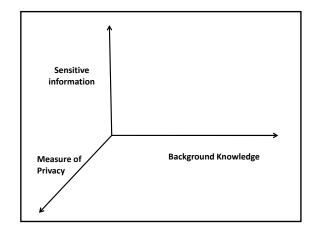
[Dwork, ICALP 2006]

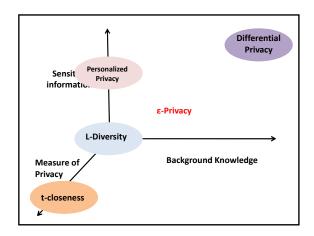
Given any algorithm San() that produces useful answers about the database, there exists some auxiliary information X such that for every prior distribution

 $\alpha - \beta \ge \delta$ 

for a suitable choice of  $\delta$ .

	Impossibility of Semantic Disclosure Risk	
• :	Suppose:  - salary is a sensitive attribute  - Database D publishes average salaries of employees in different universities  - Adversary knows:  "Andrew earns \$10 more than the average Cornell professor".	
•	Given background knowledge, adversary learns little.  Given <i>D</i> , adversary knows exactly how much Andrew  earns!!	
	Relaxing Dalenius' Vision	
•	Three aspects to Dalenius' vision  - Sensitive Information:	
	Dalenius: Every property is secret Relaxation: Only some properties	
	<ul> <li>Background Knowledge:</li> <li>Dalenius: Arbitrary prior and published database</li> <li>Relaxation: Only some classes of information</li> </ul>	
	Measure of Privacy:     Dalenius: Prior vs posterior	
	Relaxation:  Bound posterior  Releasing information from a database D should not increase the privacy	
	risk of an individual $x$ , if $x$ does not appear in D.	
		1
	Implications	
1.	Any privacy definition must bound the amount of knowledge an adversary has.	
	<ul> <li>Weak adversaries: k-anonymity, l-diversity, t- closeness.</li> </ul>	
2.	Releasing information from a database D should not increase the privacy risk of an individual $x_i$ , if $x_i$ does not appear in D.	
	Strong adversaries: Differential privacy,     aprilan privacy.	
	epsilon privacy	





#### **Tutorial Outline**

- Untrusted Data Collector
- Trusted Data Collector
- A Success Story: OnTheMap

#### **Tutorial Outline**

- Untrusted Data Collector
- Trusted Data Collector
  - Weak adversaries
  - Strong adversaries
  - Bridging the gap
- A Success Story: OnTheMap

#### **Trusted Data Collector: Weak Adversaries**

- Privacy and utility metrics
- Algorithms
- Increasing utility through release of additional data
- Releasing temporally changing data
- The minimality attack and simulatable auditing
- Privacy for social networks
- Active attacks on social networks

# Offline Data Publishing Published Data Algorithms: Utility Metrics: Distance between published data and original data (e.g., KL-Divergence). Distance over a query workload.

# **Sample Microdata**

SSN	Zip	Age	Nationality	Disease
631-35-1210	13053	28	Russian	Heart
051-34-1430	13068	29	American	Heart
120-30-1243	13068	21	Japanese	Viral
070-97-2432	13053	23	American	Viral
238-50-0890	14853	50	Indian	Cancer
265-04-1275	14853	55	Russian	Heart
574-22-0242	14850	47	American	Viral
388-32-1539	14850	59	American	Viral
005-24-3424	13053	31	American	Cancer
248-223-2956	13053	37	Indian	Cancer
221-22-9713	13068	36	Japanese	Cancer
615-84-1924	13068	32	American	Cancer

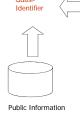
# Removing SSN ...

Zip	Age	Nationality	Disease
13053	28	Russian	Heart
13068	29	American	Heart
13068	21	Japanese	Viral
13053	23	American	Viral
14853	50	Indian	Cancer
14853	55	Russian	Heart
14850	47	American	Viral
14850	59	American	Viral
13053	31	American	Cancer
13053	37	Indian	Cancer
13068	36	Japanese	Cancer
13068	32	American	Cancer

Medical Records of a hospital near Ithaca serving patients from

- Freeville (13068)
- Dryden (13053)Ithaca (14850, 14853)

# **Linkage Attacks**



Zip	Age	Nationality	Disease
13053	28	Russian	Heart
13068	29	American	Heart
13068	21	Japanese	Viral
13053	23	American	Viral
14853	50	Indian	Cancer
14853	55	Russian	Heart
14850	47	American	Viral
14850	59	American	Viral
13053	31	American	Cancer
13053	37	Indian	Cancer
13068	36	Japanese	Cancer
13068	32	American	Cancer

#### Linkage Attacks (Contd.)

- Medical Data was considered anonymous, since identifying attributes
- were removed.
   Governor of Massachusetts, was uniquely identified by the attributes
  Zip, Birth Date, Sex
  • Hence, his private medical records
- were out in the open
- (Zip, Birth Date, Sex)
   Quasi-Identifier
   87 percent of US population uniquely identified using the above Quasi Identifier [S02]
- •Ethnicity
  •Visit Date
  •Diagnosis
  •Procedure
  •Medication •Name •Address •Date Birth Registered Party affiliation Total Charge •Date last voted

Medical Data

Voter List

#### **Different Types of Disclosure**

- Identity Disclosure
  - Should not disclose whether individual's record in the data.
- Attribute Disclosure
  - Should not disclose the value of sensitive attributes.

#### **Quasi-Identifiers and Sensitive Attributes**

Zip	Age	Nationality	Disease
13053	28	Russian	Heart
13068	29	American	Heart
13068	21	Japanese	Viral
13053	23	American	Viral
14853	50	Indian	Cancer
14853	55	Russian	Heart
14850	47	American	Viral
14850	59	American	Viral
13053	31	American	Cancer
13053	37	Indian	Cancer
13068	36	Japanese	Cancer
13068	32	American	Cancer

- Base Table: Medical Records of a hospital near Ithaca serving patients from Freeville (13068), Dryden (13053), and Ithaca (14850, 14853)
- The combination {Zip, Age, Nationality} is the quasi-identifier
- Disease is the sensitive attribute

#### **K-Anonymity**

[Samarati et al, PODS 1998]

- Generalize, modify, or distort quasi-identifier values so that no individual is uniquely identifiable from a group of k
- In SQL, table T is k-anonymous if each

```
SELECT COUNT(*)
FROM T
GROUP BY Quasi-Identifier
```

is > k

• Parameter k indicates the "degree" of anonymity

#### **Generalization: Coarsen Attributes** 13068 29 American Heart <30 Heart 13068 21 Japanese Flu Flu 130\*\* <30 13053 23 American Flu 1485\* >40 14853 50 Indian Cancer >40 55 14853 Heart 47 14850 American Flu 59 Flu 1485\* >40 Flu 14850 130\*\* 30-40 31 37 130\*\* 30-40 13053 Indian Cancer 30-40 30-40

#### **Example Microdata** Zip Age Nationality Disease 13053 28 Russian Heart 13068 29 American Heart 13068 21 Viral Japanese 13053 23 American Viral 14853 Indian Cancer 14853 55 Russian Heart 14850 47 Viral American 14850 59 American Viral 13053 31 American Cancer 13053 37 Indian Cancer 13068 36 Cancer Japanese Cancer 13068 32 American

#### **4-Anonymous Microdata**

Zip	Age	Nationality	Disease
130**	<30	*	Heart
130**	<30	*	Heart
130**	<30	*	Viral
130**	<30	*	Viral
1485*	>40	*	Cancer
1485*	>40	*	Heart
1485*	>40	*	Viral
1485*	>40	*	Viral
130**	30-40	*	Cancer
130**	30-40	*	Cancer
130**	30-40	*	Cancer
130**	30-40	*	Cancer

#### **Attacks on K-Anonymity**

- [Machanavajjhala, Gehrke, Kifer, Venkitasubramaniam; ICDE 2006]
- K-Anonymity does not protect against some simple attacks

# **Homogeneity Attack**

Zip	Age	Nationality	Disease
130**	<30	*	Heart
130**	<30	*	Heart
130**	<30	*	Viral
130**	<30	*	Viral
1485*	>40	*	Cancer
1485*	>40	*	Heart
1485*	>40	*	Viral
1485*	>40	*	Viral
130**	30-40	*	Cancer
130**	30-40	*	Cancer
130**	30-40	*	Cancer
130**	30-40	*	Cancer

- Alice's neighbor Bob is in the hospital.
- Alice knows Bob is 35 years old and is from Dryden (13053).
- Alice learns that Bob has cancer.



#### **Background Knowledge Attack**

Zip	Age	Occupation	Disease
130**	<30	*	Heart
130**	<30	*	Heart
130**	<30	*	Viral
130**	<30	*	Viral
1485*	>40	*	Cancer
1485*	>40	*	Heart
1485*	>40	*	Viral
1485*	>40	*	Viral
130**	30-40	*	Cancer
130**	30-40	*	Cancer
130**	30-40	*	Cancer
130**	30-40	*	Cancer



- Alice's friend Umeko is in the table.
- Alice knows Umeko is 24, a Japanese, living in Freeville (13068)

Japanese have extremely low incidence of heart disease

Alice learns Umeko has a viral infection

#### **Ensuring Diversity**

- <u>L-Diversity</u>: Ensure that every group has at least L well represented groups of sensitive values"
  - "well represented" = roughly equal, non-negligible proportions

#### Two instantiations:

- Entropy I-diversity: Entropy(group) > log( I )
- Recursive (c,l)-diversity:  $r_1 < c(r_\ell + r_{\ell+1} + \cdots + r_m)$ , where  $r_i$  is the number of times the i<sup>th</sup> most frequent sensitive value appears in the group.

#### **3-Diverse Microdata**

Zip	Age	Nationality	Disease
1306*	<=40	*	Heart
1306*	<=40	*	Viral
1306*	<=40	*	Cancer
1306*	<=40	*	Cancer
1485*	>40	*	Cancer
1485*	>40	*	Heart
1485*	>40	*	Viral
1485*	>40	*	Viral
1305*	<=40	*	Heart
1305*	<=40	*	Viral
1305*	<=40	*	Cancer
1305*	<=40	*	Cancer

- Bob is 35 years old and is from Dryden (13053).
- Umeko is 24, a Japanese from Freeville (13068)
- Japanese have extremely low incidence of heart disease

L -Diversity: Every group has at least	S		
L well represented groups G	·   \$1		
ote:		<u> </u>	
L-diversity does not protect against adversaries having			 
arbitrary background knowledge			
But: L-diversity increases the			
bar.			
T-Closeness			
i et al, ICDE 2007]			
Rationale: L-Diversity just looks at the p	osterior belief of	-	 

# Background knowledge:

table

- 1. External knowledge
- 2. Distribution of disease in the table

Age	Zipcode	 Gender	Disease
*	*	 *	HIV
*	*	 *	Flu
*	*	 *	Viral
	-		
*	*	 *	Flu

# T-Closeness (Contd.)

an adversary who has linked an individual to a group.

• However, the adversary also learns the overall

distribution of the sensitive attribute from the published

**L-Diversity Revisited** 

- So the adversary ends up with:
  - External knowledge (P0)
  - Distribution of sensitive attribute in database (P1)
  - Distribution of sensitive attribute for target individual (P2)
- T-closeness bounds the difference between P1 and P2 instead of P0 and P1
- Distance metric: Earth mover's distance

#### **Personalized Privacy**

[Xiao et al, SIGMOD 2006]

- Goal:
  - a mechanism to capture personalized privacy requirements
  - criteria for measuring the degree of security provided by a generalized table
  - an algorithm for generating publishable tables

#### **Motivation 1: Personalization**

- Andy does not want anyone to know that he had a stomach problem
- Sarah does not mind at all if others find out that she had flu

#### A 2-diverse table

_	Age	Sex	Zipcode	Disease
ſ	[1, 5]	M	[10001, 15000]	gastric ulcer
l	[1, 5]	M	[10001, 15000]	dyspepsia
_	[6, 10]	M	[15001, 20000]	pneumonia
	[6, 10]	M	[15001, 20000]	bronchitis
	[11, 20]	F	[20001, 25000]	flu
	[11, 20]	F	[20001, 25000]	pneumonia
	[21, 60]	F	[30001, 60000]	gastritis
	[21, 60]	F	[30001, 60000]	gastritis
	[21, 60]	F	[30001, 60000]	flu
	[21, 60]	F	[30001, 60000]	flu

#### An external database

Name	Age	Sex	Zipcode
Andy	4	M	12000
Bill	5	M	14000
Ken	6	M	18000
Nash	9	M	19000
Mike	7	M	17000
Alice	12	F	22000
Betty	19	F	24000
Linda	21	F	33000
Jane	25	F	34000
Sarah	28	F	37000
Mary	56	F	58000

#### **Motivation 2: SA Generalization**

- How many female patients are there with age above 30?
- 4 · (60 30 + 1) / (60 21 + 1) = 3
- Real answer: 1

#### A generalized table

		•	,	
	Age	Sex	Zipcode	Disease
	[1, 5]	M	[10001, 15000]	gastric ulcer
	[1, 5]	M	[10001, 15000]	dyspepsia
	[6, 10]	M	[15001, 20000]	pneumonia
	[6, 10]	M	[15001, 20000]	bronchitis
	[11, 20]	F	[20001, 25000]	flu
	[11, 20]	F	[20001, 25000]	pneumonia
ſ	[21, 60]	F	[30001, 60000]	gastritis
ı	[21, 60]	F	[30001, 60000]	gastritis
ı	[21, 60]	F	[30001, 60000]	flu
l	[21, 60]	F	[30001, 60000]	flu

#### An external database

Name	Age	Sex	Zipcode
Andy	4	M	12000
Bill	5	M	14000
Ken	6	M	18000
Nash	9	M	19000
Mike	7	M	17000
Alice	12	F	22000
Betty	19	F	24000
Linda	21	F	33000
Jane	25	F	34000
Sarah	28	F	37000
Mary	56	F	58000

#### **Motivation 2: SA Generalization (Contd.)**

• Generalization of the sensitive attribute is beneficial in this case

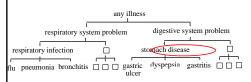
#### A better generalized table

Age	Sex	Zipcode	Disease
[1, 5]	M	[10001, 15000]	gastric ulcer
[1, 5]	M	[10001, 15000]	dyspepsia
[6, 10]	M	[15001, 20000]	pneumonia
[6, 10]	M	[15001, 20000]	bronchitis
[11, 20]	F	[20001, 25000]	flu
[11, 20]	F	[20001, 25000]	pneumonia
[21, 30]	F	[30001, 40000]	gastritis
[21, 30]	F	[30001, 40000]	gastritis
[21, 30]	F	[30001, 40000]	flu
56	F	58000	respiratory

#### An external database

Name	Age	Sex	Zipcode	
Andy	4	M	12000	
Bill	5	M	14000	
Ken	6	M	18000	
Nash	9	M	19000	
Mike	7	M	17000	
Alice	12	F	22000	
Betty	19	F	24000	
Linda	21	F	33000	
Jane	25	F	34000	
Sarah	28	F	37000	
Mary	56	F	58000	

# **Guarding Node**

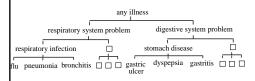


- Andy does not want anyone to know that he had a stomach problem
- He can specify "stomach disease" as the guarding node for his tuple

Name	Age	Sex	Zipcode	Disease	guarding node
Andy	4	M	12000	gastric ulcer	stomach disease

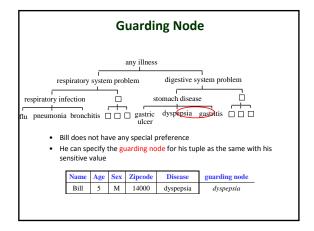
 The data publisher should prevent an adversary from associating Andy with "stomach disease"

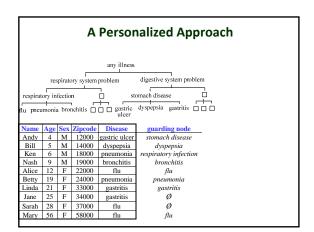
#### **Guarding Node**



- Sarah is willing to disclose her exact symptom
- She can specify  ${\mathscr Q}$  as the guarding node for her tuple

Name	Age	Sex	Zipcode	Disease	guarding node
Sarah	28	F	37000	flu	Ø





**Personalized Anonymity** 

Name Age Sex Zipcode	Disease	guarding node
Andy 4 M 12000	gastric ulcer	stomach disease
Bill 5 M 14000	dyspepsia	dyspepsia
Ken 6 M 18000	pneumonia	respiratory infection
Nash 9 M 19000	bronchitis	bronchitis
Alice 12 F 22000	flu	flu
Betty 19 F 24000	pneumonia	pneumonia
Linda 21 F 33000	gastritis	gastritis
Jane 25 F 34000	gastritis	Ø
Sarah 28 F 37000	flu	Ø
Mary 56 F 58000	flu	flu
		ty with a parameter p <sub>breach</sub> y requirement of any tuple with a
p <sub>breach</sub> = 0.3, then any ado find out that:  - Andy had a stomach dise  - Bill had dyspensia, etc.		ald have no more than 30% probability

#### **Personalized Anonymity**

- Personalized anonymity with respect to a predefined parameter
- $\rho_{breach}$  an adversary can breach the privacy requirement of any tuple with a probability at most  $\rho_{breach}$
- We need a method for calculating the breach probabilities

Age	Sex	Zipcode	Disease
[1, 10]	M	[10001, 20000]	gastric ulcer
[1, 10]	M	[10001, 20000]	dyspepsia
[1, 10]	M	[10001, 20000]	pneumonia
[1, 10]	M	[10001, 20000]	bronchitis
[11, 20]	F	[20001, 25000]	flu
[11, 20]	F	[20001, 25000]	pneumonia
21	F	33000	stomach disease
25	F	34000	gastritis
28	F	37000	flu
56	F	58000	respiratory infection

What is the probability that Andy had some stomach problem?

#### **Combinatorial Reconstruction**

- Assumptions
  - the adversary has no prior knowledge about each individual
  - every individual involved in the microdata also appears in the external database

#### **Combinatorial Reconstruction**

- Andy does not want anyone to know that he had some stomach problem
- What is the probability that the adversary can find out that "Andy had a stomach disease"?

	Name	Age	Sex	Zipcode
ſ	Andy	4	M	12000
	Bill	5	M	14000
$^{\prime}$	Ken	6	M	18000
	Nash	9	M	19000
J	Mike	7	M	17000
	Alice	12	F	22000
	Betty	19	F	24000
	Linda	21	F	33000
	Jane	25	F	34000
	Sarah	28	F	37000
	Mary	56	E	58000

Age	Sex	Zipcode	Disease
[1, 10]	M	[10001, 20000]	gastric ulcer
[1, 10]	M	[10001, 20000]	dyspepsia
[1, 10]	M	[10001, 20000]	pneumonia
[1, 10]	M	[10001, 20000]	bronchitis
[11, 20]	F	[20001, 25000]	flu
[11, 20]	F	[20001, 25000]	pneumonia
21	F	33000	stomach disease
25	F	34000	gastritis
28	F	37000	flu
56	F	58000	respiratory infection

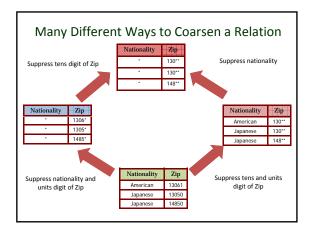
#### Combinatorial reconstruction (cont.) • Can each individual appear more than once? - No = the primary case - Yes = the non-primary case • Some possible reconstructions: the primary case the non-primary case Andy Bill Ken Nash Mike Andy gastric ulcer gastric ulcer Bill Ken Nash dyspepsia dyspepsia pneumonia bronchitis pneumonia bronchitis Mike

#### **Combinatorial Reconstruction (cont.)** • Can each individual appear more than once? - No = the primary case - Yes = the non-primary case • Some possible reconstructions: the primary case the non-primary case Andy Bill Andy Bill gastric ulcer gastric ulcer dyspepsia dyspepsia Ken Nash Mike Ken Nash Mike pneumonia bronchitis pneumonia bronchitis

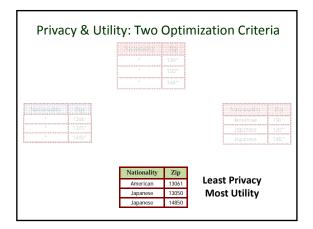
Breach probability
Andy Bill Ken Nash Mike  Totally 120 possible reconstructions  If Andy is associated with a stomach disease in n <sub>b</sub> reconstructions  The probability that the adversary should associate Andy with some stomach problem is n <sub>b</sub> / 120
<ul> <li>Andy is associated with         <ul> <li>gastric ulcer in 24 reconstructions</li> <li>dyspepsia in 24 reconstructions</li> <li>gastritis in 0 reconstructions</li> </ul> </li> <li>n<sub>b</sub> = 48</li> <li>The breach probability for Andy's tuple is 48 / 120 = 2 / 5</li> </ul>

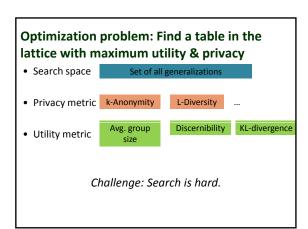
#### **Trusted Data Collector: Weak Adversaries**

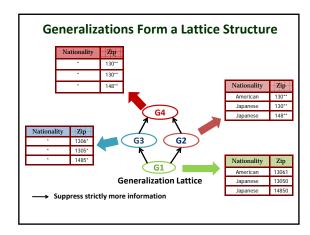
- Privacy and utility metrics
- Algorithms
- Increasing utility through release of additional data
- Releasing temporally changing data
- The minimality attack and simulatable auditing
- Privacy for social networks
- Active attacks on social networks

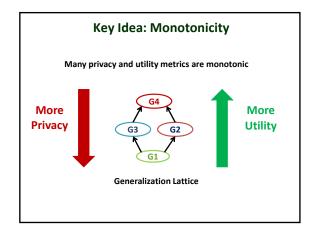


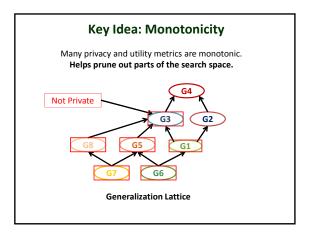
Privacy & Ut	ility: Two Opt	timization Criteria
	Nationality Zip  130** 130** 148**	Most Privacy Least Utility
Noticealist 731 1306 1305 - 1485		Materially Pop American 150** Japanese 150** Japanese 148**
	Nationality         Zp           American         1336           Implement         1306           Implement         1435	ON THE STATE OF TH

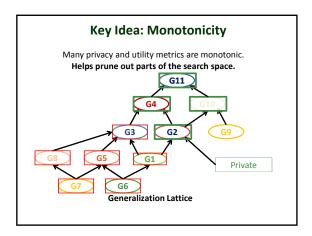


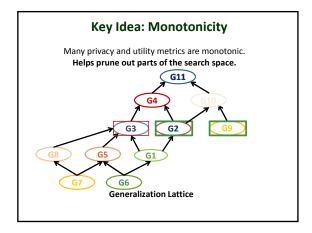


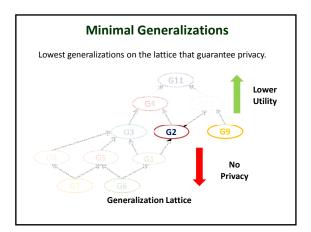










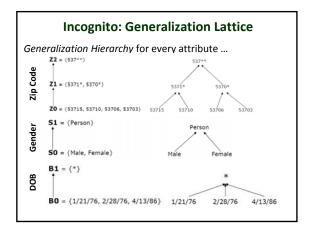


# **Monotonicity Based Generalization** Algorithms • Incognito [Levefre et al, SIGMOD 2006]

- Single Dimensional Recoding
- Mondrian

#### Algorithms differ in

- 1. Construction of the generalization lattice.
- 2. Traversal of the search space.



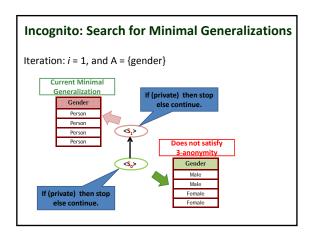
# Incognito: Generalization Lattice • Full Domain Generalization: In each generalization step, all the tuples are coarsened one level higher in the hierarchy of one of the attributes. Gender Zip Person 1300\* Person 1305\* Person 1485\* Person 1485\* Person 1306\* Person 1306\* Person 1485\* Person 1306\* Person 14830 Female 14850 Female 14850

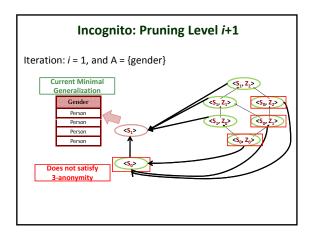
#### **Incognito: Efficient Full Domain Generalization**

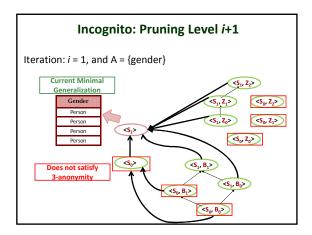
For i = 1 to # attributes.

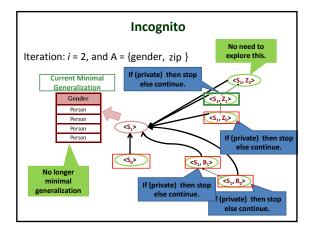
- In each iteration consider a set A of *i* attributes.
- Search lattice of A for minimal generalizations.
- Prune the lattices from Level *i*+1.

Return all  $\ensuremath{\textit{minimal}}$  tables in the lattice that are private.









#### **Other Generalization Algorithms**

- Single Dimension Recoding
  - No generalization hierarchies. Impose a total order on each attribute and look at all possible partitions.
  - Also uses a pruning algorithm like Incognito.
- Mondrian
  - Multidimensional splits like a kd-tree.
  - Uses a greedy traversal of the space.
- Hilbert
  - Converts multidimensional tuple into totally ordered 1-D space.
  - Generalizes by considering ranges on the total order.

#### **Generalization: Summary**

- Generalization is a simple technique by coarsening attributes.
- Leads to a lattice search problem that is intractable in general
- Monotonicity helps us to build efficient algorithms.
- Works only for monotonic privacy and utility metrics

#### **Trusted Data Collector: Weak Adversaries**

- Privacy and utility metrics
- Algorithms
- Increasing utility through release of additional data
- Releasing temporally changing data
- The minimality attack and simulatable auditing
- Privacy for social networks
- Active attacks on social networks

# **How Can We Increase Utility?**

ID	Age	Height	Gender	Zip	Disease
1	28	5' 5"	F	13053	Heart
2	29	5' 8"	F	13068	Heart
3	21	6' 7"	M	13068	Flu
4	23	5' 9"	F	13053	Flu
5	50	3' 1"	M	14853	Cancer
6	55	6' 0"	M	14853	Heart
7	47	5' 7"	M	14850	Flu
8	49	5' 3"	F	14850	Flu
9	31	5' 6"	F	13053	Cancer
10	37	5' 5"	M	13053	Cancer
11	36	5' 11"	M	13068	Cancer
12	35	6' 1"	M	13068	Cancer
13	41	6' 0"	М	14850	Fracture

# **Loss of Utility Through Generalization**

ID	Age	Height	Gender	Zip	Disease
1	≤ 40	*	*	13053	Heart
4	≤ 40	*	*	13053	Flu
9	≤ 40	*	*	13053	Cancer
10	≤ 40	*	*	13053	Cancer
5	> 40	*	*	1485*	Cancer
6	> 40	*	*	1485*	Heart
7	> 40	*	*	1485*	Flu
8	> 40	*	*	1485*	Flu
13	> 40	*	*	1485*	Fracture
2	≤ 40	*	*	13068	Heart
3	≤ 40	*	*	13068	Flu
11	≤ 40	*	*	13068	Cancer
12	≤ 40	*	*	13068	Cancer

#### **Idea: Publish Additional Tables**

[Kifer et al, SIGMOD 2006]

Marginal = GROUP BY View Anonymized Marginal = GROUP BY View + Generalizations

	Height	Count
	≤ 5' 5"	4
+	5' 6" – 5' 11"	5
	≥ 6' 0"	4

ID	Age	Height	Gender	Zip	Disease
1	28	5' 5"	F	13053	Heart
2	29	5' 8"	F	13068	Heart
3	21	6' 7"	M	13068	Flu
4	23	5' 9"	F	13053	Flu
5	50	3' 1"	M	14853	Cancer
6	55	6' 0"	M	14853	Heart
7	47	5' 7"	M	14850	Flu
8	49	5' 3"	F	14850	Flu
9	31	5' 6"	F	13053	Cancer
10	37	5' 5"	M	13053	Cancer
11	36	5' 11"	M	13068	Cancer
12	35	6' 1"	M	13068	Cancer
13	41	6' 0"	M	14850	Eractura

Gender	Disease	Count
F	Cancer	1
F	Heart	2
F	Flu	2
M	Cancer	4
М	Heart	1
М	Flu	2
М	Fracture	1

#### **Idea: Publish Additional Tables**

Gender	Disease	Count
F	Cancer	1
F	Heart	2
F	Flu	2
М	Cancer	4
М	Heart	1
М	Flu	2
М	Fracture	1

Height	Count
≤ 5' 5"	4
5' 6" – 5' 11"	5
> 6' 0"	4

Zip	Disease	Count
13068	Cancer	2
13068	Flu	1
13068	Heart	1
13053	Flu	1
13053	Heart	1
13053	Cancer	2
1485*	Heart	1
1485*	Cancer	1
1485*	Flu	2
1485*	Fracture	1

Age	Count
20-30	4
31-40	4
> 41	5

# **Recall: Utility of a Single Table**

- Generalization height
- Measures based on group sizes:
  - Discernibility
  - Average group size
- Goal-oriented measures:
  - Classification metric
  - Information Gain/Privacy Loss
  - Workload aware metrics
- Needed:
  - General purpose utility metric
  - Aware of tuple distribution (measures information)

#### **Table as a Probability Distribution**

 $P_{T}(\ \ {\small \begin{array}{c} {\small Age=33,\, Height=5'\,5'',\, Gender=F,}\\ {\small Zip=14850,\, Disease=Measles} \end{array}})=1/13$ 

	ID	Age	Heiaht	Gender	Zip	Disease
	1	33	5' 5"	F	14850	Measles
1	2	26	5' 8"	М	14853	Allergy
	3	22	6' 7"	M	14853	Gout
	4	32	5' 9"	F	14853	Cancer
	5	48	3' 1"	M	14850	Flu
	6	47	6' 0"	M	14850	Heart
	7	46	5' 7"	M	14850	Flu
	8	53	5' 3"	F	14853	Cancer
	9	51	5' 6"	F	14853	Heart
	10	24	5' 5"	M	13063	Flu
	11	38	5' 11"	M	13063	Cancer
	12	38	6' 1"	M	13068	Cancer
	13	30	6' 0"	F	13068	Heart

#### **Marginals as Constraints**

- PM(Zip=14850, Disease=Flu) = 2/13
- PM(Gender=M, Disease=Flu)=3/13
- PM: Maximum Entropy Distribution

Zip	Disease	Count
1306*	Cancer	2
1306*	Flu	1
1306*	Heart	1
14850	Flu	2
14850	Heart	1
14850	Measles	1
14853	Allergy	1
14853	Cancer	2
14853	Gout	1

Gender	Disease	Count
F	Cancer	2
F	Heart	2
F	Measles	1
M	Allergy	1
M	Cancer	2
М	Flu	3
М	Gout	1
М	Heart	1

#### **Background: Utility Measure**

- PM maximum entropy distribution consistent with marginals.
- PT probability distribution represented by original
- Utility: distance between PT and PM
  - $\sum P_{T}(x)\log(P_{T}(x)/P_{M}(x))$ - KL-divergence:
  - Additional interpretation in terms of likelihood and conditional independence in loglinear models.

#### **Background: Loglinear Models**

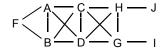
- Loglinear models
- Attributes: A, B, C, D, E, F, G
  - xABC is projection of x onto attributes A,B,C
- Expected count for cell x is modeled as:

$$\log m_x = u_{ABC} \left( x_{ABC} \right) + u_{ACE} \left( x_{ACE} \right) + u_{DEFG} \left( x_{DEFG} \right)$$

• Interaction terms can be computed from corresponding marginals (ACE, ABC, DEFG).

# **Conditional Independence**

$$\log\left(m_{x}\right) = u_{ABF} + u_{ABCD} + u_{CDHG} + u_{HJ} + u_{GI}$$



#### **Conditional Independence**

$$\log\left(m_{x}\right) = u_{ABF} + u_{ABCD} + u_{CDHG} + u_{HJ} + u_{GI}$$



 $P(A,F,J \mid C,D) = P(A,F \mid C,D) * P(J \mid C,D)$ 

#### **Summary: Utility Measure**

- $P_{\rm M}$  maximum entropy distribution consistent with marginals.
- P  $_{\rm T}$  – probability distribution represented by original table.
- Utility: distance between  $P_T$  and  $P_M$ 
  - KL-divergence:  $\sum_{x} P_{T}(x) \log(P_{T}(x)/P_{M}(x))$
  - Equivalent to selecting marginals where loglinear model has highest likelihood.

# **Privacy: Example - Maxent**

• Given these marginals, the maximum entropy distribution is ...

Α	Disease	Count
a <sub>1</sub>	Flu	2
a <sub>1</sub>	Cancer	3
a <sub>2</sub>	Flu	3
a <sub>2</sub>	Cancer	4

В	Disease	Count
b <sub>1</sub>	Flu	3
b <sub>1</sub>	Cancer	5
b <sub>2</sub>	Flu	2
b.	Cancer	2

#### **Example - Maxent**

Given these marginals, the maximum entropy distribution is:

a <sub>1</sub>	Flu	2
a <sub>1</sub>	Cancer	3
a <sub>2</sub>	Flu	3
$a_2$	Cancer	4
В	Disease	Count
<b>B</b> b <sub>1</sub>	<b>Disease</b> Flu	Count 3
b <sub>1</sub>	Flu	3

Disease Count

Α	В	Disease	Probability
a <sub>1</sub>	b <sub>1</sub>	Flu	0.1000
$a_{\scriptscriptstyle 1}$	$b_1$	Cancer	0.1786
$a_1$	$b_2$	Flu	0.0667
$a_{\scriptscriptstyle 1}$	$b_2$	Cancer	0.0714
a <sub>2</sub>	b <sub>1</sub>	Flu	0.1500
$a_2$	$b_1$	Cancer	0.2381
a <sub>2</sub>	$b_2$	Flu	0.1000
$a_2$	$b_2$	Cancer	0.0952

#### **Example (Contd.)**

a <sub>1</sub> b <sub>1</sub> Flu 0.1000	
$D(E)_{ij}$	250
$a_1$ $b_1$ Cancer 0.1786 $P(Flu / a_1, b_1) = 0.$	339
$a_1 \ b_2 \ Flu \ 0.0667 \ P(Cancer / a_1, b_1) = 0.66$	541
a <sub>1</sub> b <sub>2</sub> Cancer 0.0714	
a <sub>2</sub> b <sub>1</sub> Flu 0.1500	
a <sub>2</sub> b <sub>1</sub> Cancer 0.2381	
a <sub>2</sub> b <sub>2</sub> Flu 0.1000	
a <sub>2</sub> b <sub>2</sub> Cancer 0.0952	

#### **Extending L-Diversity**

- Option 1: For each point t in the domain of nonsensitive attributes
  - Maxent distribution is L-diverse.
  - Reflects the bias in selecting maxent distribution that best approximates the original data.
- Option 2: Random worlds:
  - Random world [Bacchus et al '93] is a possibility consistent with our knowledge.
  - Assume each consistent assignment of attributes (random world) is equally likely.
  - This gives a probability distribution over tuples.
  - Is resulting distribution L-diverse?

#### **Example - Random Worlds**

Α	Disease	Count
a <sub>1</sub>	Flu	2
a <sub>1</sub>	Cancer	3
a <sub>2</sub>	Flu	3
a <sub>2</sub>	Cancer	4

В	Disease	Count
b <sub>1</sub>	Flu	3
b <sub>1</sub>	Cancer	5
b <sub>2</sub>	Flu	2
b <sub>2</sub>	Cancer	2

- Bob is in the table
- 58,212,000 random worlds
- 5,821,200 random worlds where Bob has (a<sub>1</sub>, b<sub>1</sub>, Flu).
- 10,395,500 random worlds where Bob has (a<sub>1</sub>, b<sub>1</sub>, Cancer)
- Given that Bob has  $A=a_1$ ,  $B=b_1$

$$P(Flu / a_1,b_1) = 0.359$$

$$P(Cancer \mid a_1, b_1) = 0.641$$

Maxent & Random Worlds
Generally give different probability distributions.
<ul> <li>Asymptotically (as N →∞) the probabilities are the same. [Jaynes '82]</li> </ul>
Under certain conditions, answers are the same for finite
N (depends on the structure of the marginals).
Algorithm
For arbitrary collections of anonymized marginals
Utility: finding maxent distribution requires variants of iterative scaling (can be slow).
- Privacy: checking for privacy is NP-hard.
Follows from [De Loera et al '04]
But: Restrict allowable sets of anonymized marginals.
Use decomposable marginals.
Benefits:
<ul> <li>Utility: closed form maxent probabilities.</li> <li>Privacy: tractable.</li> </ul>
Privacy: tractable.     Maxent and random worlds options are equivalent.
Commence Delegation & Little 194
Summary: Releasing Additional Marginals
• Utility:
- Maximum entropy
- KL-divergence
<ul><li>Privacy:</li><li>Extensions of L-diversity</li></ul>
Maximum entropy view
Random worlds view

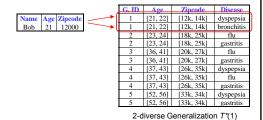
• Works for decomposable marginals

#### **Trusted Data Collector: Weak Adversaries**

- Privacy and utility metrics
- Algorithms
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#### **Multiple Releases: Motivating Example**

• Bob was hospitalized in Mar. 2009



#### **Motivating Example**

• One month later, in May 2009

Name	Age	Zipcode	Disease
Bob	21	12000	dyspepsia
Alice	22	14000	bronchitis
Andy	24	18000	flu
David	23	25000	gastritis
Gary	41	20000	flu
Helen	36	27000	gastritis
Jane	37	33000	dyspepsia
Ken	40	35000	flu
Linda	43	26000	gastritis
Paul	52	33000	dyspepsia
Steve	56	34000	gastritis

Microdata T(1)

$\overline{}$	_
	_

M	otiv	ating	Exam	ple

- One month later, in May 2009
- Some obsolete tuples are deleted from the microdata.

Name	Age	Zipcode	Disease
Bob	21	12000	dyspepsia
Alice	22	14000	bronchitis
Andy	24	18000	flu
David	23	25000	gastritis
Gary	41	20000	flu
Helen	36	27000	gastritis
Jane	37	33000	dyspepsia
Ken	40	35000	flu
Linda	43	26000	gastritis
Paul	52	33000	dyspepsia
Steve	56	34000	gastritis

Microdata T(1)

# **Motivating Example**

Bob's tuple stays.

Name	Age	Zipcode	Disease
Bob	21	12000	dyspepsia
David	23	25000	gastritis
Gary	41	20000	flu
Jane	37	33000	dyspepsia
Linda	43	26000	gastritis
Ctorro	56	24000	

Microdata T(1)

# **Motivating Example**

• Some new records are inserted.

Name	Age	Zipcode	Disease
Bob	21	12000	dyspepsia
David	23	25000	gastritis
Emily	25	21000	flu
Jane	37	33000	dyspepsia
Linda	43	26000	gastritis
Gary	41	20000	flu
Mary	46	30000	gastritis
Ray	54	31000	dyspepsia
Steve	56	34000	gastritis
Tom	60	44000	gastritis
Vince	65	36000	flu

Microdata T(2)

#### **Motivating Example**

• May 2009: The hospital publishes  $T^*(2)$ .

Name	Age	Zipcode	Disease
Bob	21	12000	dyspepsia
David	23	25000	gastritis
Emily	25	21000	flu
Jane	37	33000	dyspepsia
Linda	43	26000	gastritis
Gary	41	20000	flu
Mary	46	30000	gastritis
Ray	54	31000	dyspepsia
Steve	56	34000	gastritis
Tom	60	44000	gastritis
Vince	65	36000	flu

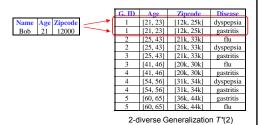
	G. ID	Age	Zipcode	Disease
	1	[21, 23]	[12k, 25k]	dyspepsia
	1	[21, 23]	[12k, 25k]	gastritis
1	2	[25, 43]	[21k, 33k]	flu
1	2	[25, 43]	[21k, 33k]	dyspepsia
1	3	[25, 43]	[21k, 33k]	gastritis
1	3	[41, 46]	[20k, 30k]	flu
1	4	[41, 46]	[20k, 30k]	gastritis
	4	[54, 56]	[31k, 34k]	dyspepsia
	4	[54, 56]	[31k, 34k]	gastritis
1	5	[60, 65]	[36k, 44k]	gastritis
	5	[60, 65]	[36k, 44k]	flu

Microdata T(2)

2-diverse Generalization T\*(2)

#### **Motivating Example**

• Consider the previous adversary.



# **Motivating Example**

• What the adversary learns from  $T^*(1)$ .

	G. ID	Age	Zipcode	Disease
Name Age Zipcode	1	[21, 22]	[12k, 14k]	dyspepsia
Bob 21 12000	1	[21, 22]	[12k, 14k]	bronchitis

• What the adversary learns from  $T^*(2)$ .

				G. ID	Age	Zipcode	Disease
Name	Age	Zipcode		1	[21, 23]	[12k, 25k]	dyspepsia
Bob	21	12000	-	1	[21, 23]	[12k, 25k]	gastritis
			•				

• So Bob must have contracted dyspepsia!

#### The Critical Absence Phenomenon Microdata T(2) What the adversary learns from T\*(1) Name Age Zipcode Bob 21 12000 Age Zipcode Disease [21, 22] [12k, 14k] dyspepsia [21, 22] [12k, 14k] bronchitis Vince 65 36000 D Age Zipcode Disease [21, 22] [12k, 14k] dyspepsia [21, 22] [12k, 14k] bronchitis [23, 25] [21k, 25k] gastriis [23, 25] [21k, 25k] flu [37, 43] [26k, 33k] dyspepsia [37, 43] [26k, 33k] gastriis [37, 43] [26k, 33k] flu [41, 46] [20k, 30k] gastriis [54, 56] [31k, 34k] dyspepsia [54, 56] [31k, 34k] gastriis [56, 65] [36k, 44k] gastriis [60, 65] [36k, 44k] gastriis Name Age Zipcode Disease Bob 21 12000 dyspepsia David 23 25000 gastritis Emily 25 21000 flu Jane 37 33000 dyspepsia Linda 43 26000 gastritis Gary 41 20000 flu Mary 46 30000 gastritis Ray 54 31000 dyspepsia Steve 56 34000 gastritis Tom 60 44000 gastritis Vince 65 36000 flu Bob c1David Emily Jane Linda Gary Mary Rav Vince [60, 65] [36k, 44k] Microdata T(2) Counterfeited generalization T\*(2) The auxiliary relation R(2) for $T^*(2)$ G.ID Age Zipcode Disease 1 [21, 22] [12k, 14k] dyspepsia 1 [21, 22] [12k, 14k] bronchitis 2 [23, 25] [21k, 25k] gastritis 2 [23, 25] [21k, 25k] flu Bob | Age | Zipcode | Disease | 1 | [21, 22] | [12k, 14k] | dyspepsia | 1 | [21, 22] | [12k, 14k] | bronchitis | 2 | [23, 24] | [18k, 25k] | flu c1David Alice Emily Andy 3 [37, 43] [26k, 33k] dyspepsia 3 [37, 43] [26k, 33k] flu 3 [37, 43] [26k, 33k] gastritis Jane 2 [23, 24] [18k, 25k] gastritis 3 [36, 41] [20k, 27k] flu c2 Linda David 3 | 36, 41 | 20k, 27k | nur 3 | 36, 41 | 20k, 27k | gastritis 4 | 37, 43 | 26k, 35k | dyspepsia 4 | 37, 43 | 26k, 35k | flu 4 | 37, 43 | 26k, 35k | gastritis 5 | 52, 56 | (33k, 34k | dyspepsia 5 | 52, 56 | (33k, 34k | gastritis Helen Gary Mary 4 [41, 46] [20k, 30k] flu 4 [41, 46] [20k, 30k] gastritis 5 [54, 56] [31k, 34k] dyspepsia 5 [54, 56] [31k, 34k] gastritis Ray Linda 6 [60, 65] [36k, 44k] gastritis 6 [60, 65] [36k, 44k] flu Tom Steve Counterfeited Generalization T\*(2) Generalization T\*(1)

Name Age Zipcode Bob 21 12000

The auxiliary relation R(2) for  $T^*(2)$ 

#### **Re-Publishing: Setting**

- A dynamic microdata table *T*.
- Denote the snapshot of T at time j as T(j).
- n-1 counterfeited generalizations  $\{T^*(1), R(1)\}, ..., \{T^*(n-1), R(n-1)\}$  have been published.
- Problem: given T(n), to compute a counterfeited generalization {T\*(n), R(n)} of T(n), such that the publication of {T\*(n), R(n)} incurs a small risk of privacy disclosure.

#### **Adversary Model**

- The adversary has the following background knowledge:
  - the identity and the QI values of each individual, as well as the time his/her tuple is inserted into (deleted from) T;

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	Name	Age	Zipcode	Disease			
<	Bob	21	12000	>lyspepsia			
	Alice	22	14000	bronchitis			
	Andy	24	18000	flu			
	David	23	25000	gastritis			
	Microdata T(1)						

	Name	Age	Zipcode	Disease		
<	Bob	21	12000	dyspepsia		
	David	23	25000	gastritis		
	Emily	25	21000	flu		
	Jane	37	33000	dyspepsia		
Microdata T(2)						

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Microdata T(1)						

Γ	Name	Age	Zipcode	Disease	
Γ	Bob	21	12000	dyspepsia	
Γ	David	23	25000	gastritis	
Γ	Emily	25	21000	flu	
Γ	Jane	37	33000	dyspepsia	
Γ					
	Microdata T(2)				

#### **Adversary Model**

- The adversary has the following background knowledge:
  - the identity and the QI values of each individual, as well as the time his/her tuple is inserted into (deleted from) T;
  - the generalization principle adopted by the data publisher.

#### **Evaluation of Disclosure Risk**

- Let *B* denote the background knowledge of the adversary.
- Let o be an individual with a sensitive value v.
- risk(o) = Pr(o has v | T\*(1), R(1)..., T\*(n), R(n), B).

Name	Age	Zipcode	Disease
Bob	21	12000	dyspepsia

- The disclosure risk for Bob:
- risk(Bob) = Pr(Bob has dyspepsia | T\*(1), R(1), T\*(2), R(2), B)
- Objective: for each individual o, risk(o) <= a threshold

#### *m*-Uniqueness

- A generalized table  $T^*(j)$  is m-unique, if and only if

  - each QI-group in T\*(j) contains at least m tuples
     all tuples in the same QI-group have different sensitive values.

ı	Disease	Zipcode	Age	G. ID
1	dyspepsia	[12k, 14k]	[21, 22]	1
]_	bronchitis	[12k, 14k]	[21, 22]	1
Г	flu	[18k, 25k]	[23, 24]	2
L	gastritis	[18k, 25k]	[23, 24]	2
ľ	flu	[20k, 27k]	[36, 41]	3
1.	gastritis	[20k, 27k]	[36, 41]	3
ľ	dyspepsia	[26k, 35k]	[37, 43]	4
1	flu	[26k, 35k]	[37, 43]	4
1.	gastritis	[26k, 35k]	[37, 43]	4
1	dyspepsia	[33k, 34k]	[52, 56]	5
1_	gastritis	[33k, 34k]	[52, 56]	5

A 2-unique generalized table

# Signature

Name	G.ID	Age	Zipcode	Disease
Bob	1	[21, 22]	[12k, 14k]	dyspepsia
Alice	1	[21, 22]	[12k, 14k]	bronchitis
	:			
Jane	4	[37, 43]	[26k, 35k]	dyspepsia
Ken	4	[37, 43]	[26k, 35k]	flu
Linda	4	[37, 43]	[26k, 35k]	gastritis
			<i>T</i> *(1)	

- The signature of Bob in  $T^*(1)$  is {dyspepsia, bronchitis}
- The signature of Jane in  $T^*(1)$  is {dyspepsia, flu,

#### The *m*-invariance Principle

- A sequence of generalized tables  $T^*(1), ..., T^*(n)$  is minvariant, if and only if
  - T\*(1), ..., T\*(n) are m-unique, and
  - each individual has the same signature in every generalized table s/he is involved.

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A sequence of generalized tables \$T^*(1),, \$T^*(n)\$ is m-invariant, if and only if	
A sequence of generalized tables \$T^*(1),, T^*(n)\$ is m-invariant, if and only if	
A sequence of generalized tables T*(1),, T*(n) is m-invariant, if and only if	

Generalization T\*(1)

Generalization T\*(2)

The	m-In	variance	nrinci	nla
HIIE	///-III	variance	princi	hie

• Lemma: if a sequence of generalized tables  $\{T^*(1), ..., T^*(n)\}$  is m-invariant, then for any individual o involved in any of these tables, we have risk(o) <= 1/m

# The *m*-invariance principle

- Lemma: if {T\*(1), ..., T\*(n-1)} is m-invariant, then {T\*(1), ..., T\*(n-1), T\*(n)} is also m-invariant, if and only if {T\*(n-1), T\*(n)} is m-invariant
- Only  $T^*(n-1)$  is needed for the generation of  $T^*(n)$ .

 $T^*(1), \ T^*(2), \ \dots, \ T^*(n\text{-}2), \ T^*(n\text{-}1), \ T^*(n)$ 

Can be discarded

#### Algorithm

- Given T(n), T\*(n-1) and a parameter m, our algorithm generates a counterfeited generalization T\*(n) of T(n), such that {T\*(1), ..., T\*(n)} is m-invariant.
- Optimization goal: to impose as little amount of generalization as possible.

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Trusted Data Collector: Weak Adversaries
Privacy and utility metrics
• Algorithms
<ul> <li>Increasing utility through release of additional data</li> </ul>
Releasing temporally changing data
The minimality attack and simulatable auditing
Privacy for social networks
Active attacks on social networks