

# Unified MIMO-Multicarrier Designs: A Space–Time Shift Keying Approach

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**Abstract**—A survey and tutorial is provided on the subject of multiple-input–multiple-output (MIMO) multicarrier (MC) systems relying on the space–time shift keying (STSK) concept. We commence with a brief review of the family of MIMO systems, which is followed by the design of STSK systems in the context of MC modulation-based transmissions over dispersive wireless channels. Specifically, the STSK scheme is amalgamated with orthogonal frequency-division multiplexing, MC code-division multiple access, orthogonal frequency-division multiple access (OFDMA), and single-carrier frequency-division multiple access. We also provide a rudimentary introduction to MC differential STSK employing both conventional differential detection and multiple-symbol differential sphere decoding for the sake of dispending with channel estimation. We conclude with the design recipes of coherent versus noncoherent MC STSK schemes, complemented by a range of future research ideas.

**Index Terms**—Multiple-input multiple-output (MIMO), linear dispersion code (LDC), spatial modulation (SM), space–time shift keying (STSK), orthogonal frequency-division multiplexing (OFDM), multicarrier code-division multiple access (MC-CDMA), orthogonal frequency-division multiple access (OFDMA), single-carrier frequency-division multiple access (SC-FDMA).

## I. INTRODUCTION

**M**ULTIPLE-input–multiple-output (MIMO) wireless communication systems have attracted substantial research interests over the last two decades, mainly as a benefit of their capability of providing reliable high-throughput communications without extending the bandwidth of a single-antenna system. Taking the explosive expansion of the Internet and the dramatically increased demand for high-speed multimedia wireless systems into consideration, designing flexible and spectrally efficient transceivers is essential. The

throughput of a MIMO system may be increased linearly with the number of antennas [1], [2]. Apart from this multiplexing gain, MIMOs are also capable of benefiting from a spatial diversity gain that is attained by the spatially separated antennas in a dense multipath scattering environment, provided that the antenna-elements are sufficiently far apart for the sake of experiencing independent fading. Thus MIMO systems have the potential of improving both the spectral efficiency and the link reliability of future wireless communications systems.

The employment of multiple antenna elements (AEs) may be expected to exhibit flexibility in terms of striking a compelling compromise between the attainable multiplexing versus diversity gains. However, there exists a fundamental limitation, which is quantified in terms of the diversity-multiplexing trade-off (DMT) [3]. Motivated by this constraint, linear dispersion codes (LDCs) were proposed in [4], [5] for striking a flexible DMT. As their benefit, LDCs constitute a generic family, which subsumes both the family of diversity and multiplexing schemes, whilst potentially outperforming both—albeit, at the cost of a substantially increased decoding complexity. On the other hand, the concepts of spatial modulation (SM) [6] as well as space shift keying (SSK) [7] emerged as a low-complexity design alternative. The SM/SSK schemes adopted the principle of shrewd transmit antenna (TA) activation for attaining an increased bandwidth efficiency. These low-complexity schemes are not, however, capable of attaining beneficial transmit diversity. Motivated by these conflicting design ideas, space–time shift keying (STSK) [8]–[10] was proposed, which extends the concept of pure spatial-domain TA activation of the SM/SSK schemes to both the spatial and temporal dimensions. The STSK system can thus provide substantial diversity- as well as multiplexing gains. However, the majority of STSK studies were focused on non-dispersive, i.e., narrowband propagation scenarios, rather than on realistic wideband scenarios. Against this background, multicarrier (MC) STSK was conceived in [11]–[13] for facilitating STSK based transmissions over dispersive wireless channels. A particularly promising candidate for the next generation fixed and mobile wireless systems is the combination of STSK techniques with the family of MC communications systems.

Realistic wireless channels are dispersive by nature. Dispersive MIMO channels potentially exhibit frequency diversity, provided that the channel’s delay-spread is sufficiently high for ensuring that the subcarriers of a MC system fade near-independently. Alternatively, this phenomenon may also be viewed as the independent fading of the channel impulse response (CIR) taps. A MC system effectively converts the

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dispersive wideband channels into a number of low-rate parallel narrowband frequency-flat subchannels. The unmodulated subcarriers are required to maintain a minimum frequency separation in order to remain orthogonal, but the modulated signal spectra corresponding to the different subcarriers may overlap in the frequency-domain (FD). Hence, the available spectrum can be efficiently utilized. Furthermore, MC systems are capable of significantly reducing the receiver's complexity in broadband channels with the aid of using single-tap FD equalization. Thus MIMO systems combined with MC communications appear to be a promising solution for broadband wireless communications systems. Motivated by the flexible DMT provided by the STSK scheme at a low decoding complexity and by the benefits of MIMO-MC configurations, a number of MC STSK schemes were proposed in [11]–[14]. Specifically, STSK schemes employing orthogonal frequency division multiplexing (OFDM) [11], MC code division multiple access (MC-CDMA) [12], orthogonal frequency division multiple access (OFDMA) or single-carrier frequency division multiple access (SC-FDMA) [13] were developed.

MIMO systems relying on MC STSK [11], [13] are capable of ensuring link reliability for transmission over realistic dispersive channels, while simultaneously providing a multiplexing gain at a low decoding complexity. However, the AEs of a co-located STSK system typically suffer from spatially correlated large-scale fading imposed by the ubiquitous shadowing effects [15]. For the sake of mitigating this correlation-induced diversity-gain erosion, the concept of cooperative space–time processing [16], [17] has become popular in recent years, because they benefit from the geographically distributed nature of relay nodes (RNs), where the relays may be viewed as the distributed elements of a MIMO system. As a result, each virtual antenna array (VAA) element experiences uncorrelated fading. Additionally, this cooperative MIMO architecture enables us to avoid the physical limitations of installing multiple antennas on a shirt-pocket sized mobile handset. The concept of cooperative STSK [18] was conceived for frequency-flat Rayleigh fading channels in order to benefit from mobile station (MS)-cooperation, although naturally, this scheme suffers from the usual throughput loss imposed by the half-duplex relaying strategy employed. The introduction of successive relaying (SR) [19], on the other hand, is potentially capable of recovering the half-duplex multiplexing loss and hence it was successfully used in [20] as a cooperative space–time coding architecture.

Motivated by these insights, a novel cooperative MC STSK arrangement was conceived in [14] for the sake of benefiting from cooperative diversity [21]. In [14], SR was invoked for mitigating the typical 50% throughput loss of conventional half-duplex relaying schemes, while MC-CDMA is used for circumventing the dispersive effects of wireless channels as well as for reducing the SR-induced interferences. In short, the STSK systems may be classified as shown in Fig. 1. In this tutorial, we will provide design guidelines for OFDM-aided STSK, MC-CDMA aided STSK, OFDMA/SC-FDMA aided STSK, SR aided distributed MC coherent STSK (CSTSK) and differential STSK (DSTSK) using multiple-symbol differential sphere decoding (MSDSD) for communications over dispersive channels.

## STSK Systems

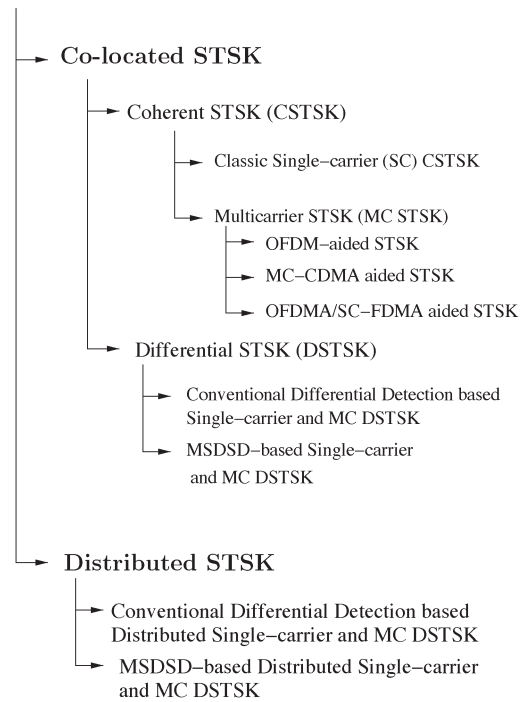


Fig. 1. STSK systems so far studied.

Following a brief review of MIMO systems, of MC systems and of MIMO-MC systems, we will embark on a tutorial on the subject of the family of both co-located and distributed MC STSK systems.

### A. Outline

The remainder of this paper is organized as follows. In Section II, we provide a brief review of the major contributions to the different types of MIMO systems and of the recent design philosophies, which motivated the conception of STSK systems. In Section III, MIMO-OFDM designs are briefly reviewed and the concept of OFDM-aided STSK is described. An overview of multiuser STSK schemes employing MC-CDMA and OFDMA/SC-FDMA is provided in Section IV, while distributed MC STSK employing SR is highlighted in Section V. In Section VI, both the hard-decision based maximum likelihood (ML)-MSDSD and the soft-decision based maximum *a posteriori* (MAP)-MSDSD are invoked for differentially encoded and noncoherently detected OFDM-aided STSK schemes. Section VII provides a range of design guidelines and future research ideas. Finally, Section VIII concludes this treatise.

### B. Notations

The following notations are employed throughout this contribution. Upper-case boldface letter, for example,  $\mathbf{A}$  denotes a matrix, whereas  $\mathbf{A}^T$ ,  $\mathbf{A}^H$ ,  $\text{tr}(\mathbf{A})$ ,  $\text{vec}(\mathbf{A})$ , and  $\|\mathbf{A}\|$  denote the matrix transpose, the Hermitian transpose, the trace, the vectorial stacking operator and the Euclidean norm of  $\mathbf{A}$  respectively. The operator  $|a|$  denotes the complex norm of the complex number  $a$ ,  $\otimes$  the Kronecker product,  $\mathbf{I}_T$  the  $(T \times T)$ -element

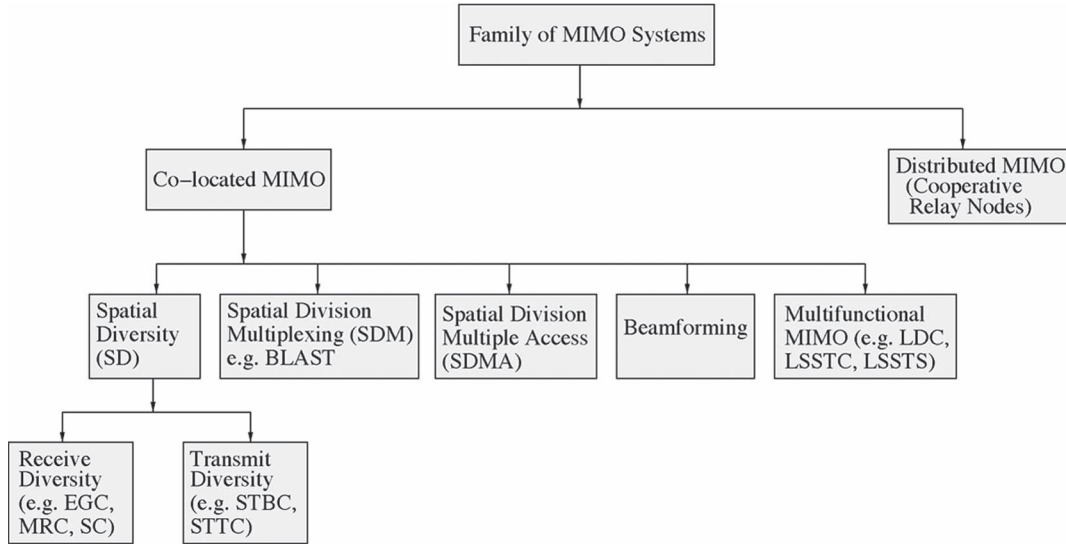


Fig. 2. The simplified family tree of MIMO systems.

identity matrix,  $\mathbf{0}_{M \times T}$  the  $(M \times T)$ —element zero matrix and  $\Re(\cdot)$  and  $\Im(\cdot)$  the real and the imaginary parts of a complex number, respectively. The notation  $\text{diag}\{x_1, \dots, x_N\}$  denotes a diagonal matrix with  $x_1, \dots, x_N$  on its main diagonal, while  $\delta(\cdot)$ ,  $\delta[\cdot]$  and  $P(\cdot)$  represent the continuous-time Dirac delta function, the discrete-time Dirac delta function and the probability of “ $\cdot$ ,” respectively. The  $N_c$ —point discrete Fourier transform (DFT) of the symbol stream “ $\bullet$ ” and the  $N_c$ —point inverse discrete Fourier transform (IDFT) of “ $\bullet$ ” are denoted by  $\text{DFT}_{N_c}\{\bullet\}$  and  $\text{IDFT}_{N_c}\{\bullet\}$ , respectively. Furthermore, the notation  $u$  denotes the generalized user, whereas  $v$  refers to the desired user.

## II. MIMO SYSTEMS: STATE-OF-THE-ART CONTRIBUTIONS AND STSK

In this section, we would like to commence with a brief review of the major contributions to the MIMO family, followed by an outline of the recent MIMO techniques, which motivated the invention of the STSK concept.

### A. Contributions to Different MIMO Types

MIMO systems may be classified into different categories [38], [39], as shown in the family tree of Fig. 2, according to the applications they are employed in. The state-of-the-art contributions on different MIMO schemes are summarized in Table I.

Spatial diversity is used in wireless communications systems for combating the deleterious effects of small scale fading and thereby for improving the link’s reliability. Diversity combining schemes employed in the receiver, such as equal gain combining (EGC), selection combining (SC) and maximum ratio combining (MRC) can be traced back to the 1950s with reference to Brennan’s excellent paper [22]. In order to facilitate diversity-aided transmissions, a simple but witty transmit diversity technique is constituted by Alamouti’s scheme [24], which inspired a spate of transmit diversity ideas, as proposed in Tarokh’s space–time block code (STBC) and space–time trellis code (STTC) [25], [26].

MIMO systems may be designed for attaining an improved bandwidth efficiency by transmitting different signal streams independently over each of the transmit antennas and are known as spatial division multiplexing (SDM). SDM was first conceived by Paulraj and Kailath [29], who patented the “Distributed Transmit—Directional Receive (DTDR)” concept. Later, Foschini [30] introduced the SDM concept in 1996 under the terminology of Bell Laboratories space–time (BLAST) codes, which later evolved to a modified architecture termed as the vertical BLAST or V-BLAST arrangement proposed by Wolniansky in 1998 [31]. The discovery that for a fixed radiated power and bandwidth the capacity increases linearly with the number of transmit–receive antenna pairs was further supported by Telatar in 1999 [2].

Space-division multiple access (SDMA) employs multiple antennas for the sake of supporting multiple users [34], [40]. Like SDM, SDMA allows us to increase the capacity of a mobile cellular system by exploiting the spatial separation of the users. The spatial gain advantages of SDMA are attained assuming the existence of numerous users having uncorrelated CIRs.

Beamforming architectures are specifically designed for reducing the interference levels with the aid of creating angularly selective beams [33], [41], [42]. Typically, half-wavelength-spaced antenna elements are used for creating a spatially selective transmitter/receiver beam.

There are other types of MIMO systems which are capable of combining the benefits of several MIMO types and may be applied for simultaneously attaining the benefits of having diversity, multiplexing, multiple access and/or beamforming gains. These schemes are referred to as multifunctional MIMO systems [38]. Examples of multifunctional MIMOs include the layered steered space–time codes (LSSTCs) of [37] and the layered steered space–time spreading (LSSTS) of [43].

### B. Recent Developments in MIMO Techniques

In contrast to the classic MIMOs described above, recently a number of MIMO techniques were developed which motivated the STSK concept. Explicitly, the chronological development of

TABLE I  
MAJOR CONTRIBUTIONS CONCEIVED FOR DIFFERENT MIMO APPLICATIONS

Category	Year	Author(s)	Contribution
Diversity	1959	Brennan [22]	Introduced and analyzed EGC, SC and MRC.
	1994	Seshadri and Winters [23]	A transmit diversity scheme was inspired by Wittneben's delay diversity design.
	1998	Alamouti [24]	Proposed a transmit diversity scheme relying on two antennas.
		Tarokh <i>et al.</i> [25]	Conceived space-time block codes based on orthogonal designs.
		Tarokh <i>et al.</i> [26]	Provided design criteria for achieving the maximum attainable diversity and coding gain.
	2000	Hughes [27]	Introduced a new DSTBC design based on group codes.
Jafarkhani <i>et al.</i> [28]		Proposed a differential detection scheme for transmit diversity arrangements.	
Multiplexing	1994	Paulraj and Kailath [29]	Patented the first spatial multiplexing scheme conceived for broadcast applications.
	1996	Foschini [30]	Conceived the encoding and decoding of the diagonal BLAST structure.
	1998	Wolniansky <i>et al.</i> [31]	The V-BLAST architecture was introduced for reducing complexity in implementing Foschini's approach [30].
	1999	Telatar [2]	Quantified the MIMO channel capacity.
Beamforming	1994	Winters and Salz [32]	The impact of diversity of directional transmission on the capacity was studied.
	1997	Godara [33]	Antenna arrays were proposed for mobile communications and their performance was studied.
SDMA	1996	Cooper and Goldberg [34]	Introduced spatial division multiple access.
	2000	Vandenameele <i>et al.</i> [35]	Amalgamated OFDM with SDMA.
Multifunctional	2002	Hassibi and Hochwald [4]	LDCs were introduced for achieving a flexible diversity-multiplexing tradeoff.
		Heath & Paulraj [5]	Improved LDC designs based on frame theoretic matrix linearization were advocated.
	2004	Kim and Chun [36]	Proposed a MIMO structure, which combines spatial multiplexing and beamforming.
	2009	El-Hajjar <i>et al.</i> [37]	Proposed layered steered space-time codes.

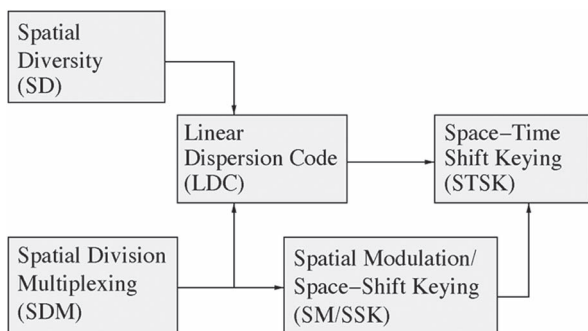


Fig. 3. Development of the STSK concept for striking a flexible DMT.

the MIMO family, which paved the way for the STSK concept is illustrated in Fig. 3 and the major contributions related to SM, SSK and STSK are summarized in Table II.

To be specific, MIMO systems are not expected to be employed for attaining either entirely diversity or solely for multiplexing gain. Rather, in order to strike a flexible DMT

[3], Hassibi and Hochwald [4] proposed LDCs, which were extended by Heath and Paulraj [5] based on frame theory. The flexible benefits of LDC schemes are, however, attained at the cost of imposing high decoding complexity. SM and SSK, on the other hand, are low-complexity solutions which are not capable of providing transmit diversity.

As a predecessor of the SM/SSK/STSK concept, the “space modulation” philosophy which allocates source bits to AEs by simultaneously activating multiple AEs was conceived by Chau and Yu [44] in 2001. Later, Mesleh *et al.* [6] introduced the concept of SM for activating only one of the transmit antennas at a time, where the antenna index itself carries additional information, thus attaining an increased throughput. This development was followed by Jeganathan *et al.* [7], who simply used the presence or absence of energy to transmit information without using any specific signal constellation, which led to the concept of SSK. The SM/SSK [6], [7] scheme employs the antenna index for achieving a multiplexing gain, but owing to activating a single AE, it is incapable of providing a transmit diversity gain. Hence, by intrinsically amalgamating the



TABLE II  
MAJOR CONTRIBUTIONS ON SM/SSK/STSK

Year	Author(s)	Contribution
2001	Chau and Yu [44]	Conceived the ‘space modulation’ philosophy, which allocates source bits to AEs and simultaneously activates multiple AEs.
2006	Mesleh <i>et al.</i> [45]	Proposed spatial modulation (SM) for low-complexity spectral efficiency enhancement.
2008	Mesleh <i>et al.</i> [6]	Presented an analytical investigation of SM.
	Mesleh <i>et al.</i> [46]	Analyzed the symbol-error-ratio (SER) of SM.
2009	Jeganathan <i>et al.</i> [7]	Conceived space shift keying (SSK).
	Jeganathan <i>et al.</i> [47]	Studied SM as well as SSK and proposed a single-stream optimal ML detection algorithm.
2010	Sugiura <i>et al.</i> [8]	Introduced both coherent and differential STSK based on LDCs.
	Sugiura <i>et al.</i> [48]	Conceived reduced-complexity detectors for QAM-aided STSK schemes.
	Mesleh <i>et al.</i> [49]	Trellis coded SM was introduced.
2011	Renzo and Haas [50]	Studied the performance of SSK-MIMO and proposes a novel SSK-MIMO for increasing diversity order.
	Rajashekhar <i>et al.</i> [51]	Proposed a novel DM design for CSTSK based on field extension codes.
	Basar <i>et al.</i> [52]	Designed a trellis code for SM.
	Yang <i>et al.</i> [53]	Adaptive SM was proposed by adaptively selecting the modulation order for improving system performance and for reducing its complexity.
	Sugiura <i>et al.</i> [9]	Introduced a generalized space-time shift keying arrangement.
	Ngo <i>et al.</i> [54]	Introduced the concept of space-time-frequency shift keying (STFSK) for wideband channels.
	Sugiura <i>et al.</i> [18]	Proposed both coherent and noncoherent cooperative STSK.
	Renzo <i>et al.</i> [55]	Presented a comprehensive survey of the SM-MIMO scheme.
2012	Sugiura <i>et al.</i> [10]	Provided a survey of STSK as a universal MIMO space-time architecture.
	Som and Chockalingam [56]	Studied SM and SSK in cyclic prefixed single-carrier systems communicating over dispersive MIMO channels.
	Basar <i>et al.</i> [57]	A new class of space-time trellis codes, namely ‘super-orthogonal’ trellis coded SM was proposed to achieve maximal diversity and coding gains.
	Yang <i>et al.</i> [58]	An improved matched-filter detection algorithm was proposed for STSK.
	Babich <i>et al.</i> [59]	Genetic Algorithm based DM optimization was proposed for concatenated coding assisted STSK.
	Driusso <i>et al.</i> [11]	Proposed OFDM-aided STSK for dispersive downlink channels.
	Rajashekhar <i>et al.</i> [60]	Structured DMs were designed for STSK.
Ngo <i>et al.</i> [61]	The area spectral efficiency (ASE) of STFSK aided slow-frequency-hopping multiple access (SFHMA) was investigated.	
2013	Zhang <i>et al.</i> [62]	A cooperative decode- and forward (DF) DSTSK scheme was proposed employing MSDSD and multiuser DS-CDMA.
	Renzo and Haas [63]	Designed SM making provision for achieving transmit diversity gain.
	Rajashekhar <i>et al.</i> [64]	Novel TA selection techniques were conceived for SM systems.
	Serafimovski <i>et al.</i> [65]	First practical testbed implementation of SM in the laboratory.
	Sugiura and Hanzo [66]	Joint DM and constellation optimization was proposed for STSK.
	Xu <i>et al.</i> [67]	Proposed reduced-complexity detection techniques for both SM as well as for STSK without sacrificing their performance.
Kadir <i>et al.</i> [12]–[14]	Proposed a suite of MC-CDMA- and OFDMA/SC-FDMA-aided co-located and distributed STSK schemes for dispersive channels.	
2014	Yang <i>et al.</i> [68]	Provided design guidelines for SM-MIMO.
	Kadir <i>et al.</i> [69]	Proposed a MC DSTSK scheme employing iterative soft MSDSD.
	Renzo <i>et al.</i> [70]	Presented a review as well as experimental results on SM and discussed its beneficial application areas.

concept of LDCs and of SM [6] as well as SSK [7], Sugiura *et al.* proposed the design philosophy of STSK [8].

1) *SM*: SM maps the symbols to the activated transmit antenna index, as an implicit means of conveying extra information. Hence it maps a block of information bits to two information carrying units: to a classic symbol chosen from the

constellation and to the unique index of the activated transmit antenna.

The exploitation of the transmit antenna index as an information-bearing unit improves the overall spectral efficiency by  $\log_2 M$  bits, where  $M$  denotes the number of transmit antennas.

The number of bits transmitted using an  $\mathcal{L}$ -ary constellation equals to  $\log_2 \mathcal{L}$ , whereas that using the activated antenna index will be  $\log_2 M$ . The SM scheme is capable of transmitting  $R$  bits, where  $R$  is given by

$$R = \log_2(M \cdot \mathcal{L}), \quad (1)$$

The most attractive feature of the SM scheme is that it imposes a substantially reduced decoding complexity in comparison to its SDM counterpart.

Since the SM scheme activates a single AE at any signaling interval, only a single radio-frequency (RF) chain is necessitated. Furthermore, the SM scheme is capable of operating using only loose synchronization among the AEs, i.e., it is robust to inter-antenna synchronization (IAS) errors.

2) *SSK*: In case of SSK aided transmission, the classic PSK/QAM constellation signaling of the SM scheme is deactivated and only the presence or absence of energy is detected at the receiver antenna [7], which facilitates an extremely low-complexity decoding.

### C. STSK

Motivated by the SM/SSK idea of activating a single AE during each symbol durations while mapping source bits to the index of the AE activated, the STSK transmitter activates one out of  $Q$  LDC-like dispersion matrices (DMs)  $\mathbf{A}_q \in \mathbb{C}^{M \times T}$  ( $q = 1, 2, \dots, Q$ ), which are pre-assigned before transmission. To expound a little further, the DMs or the spreading matrices, as used both in LDC and in STSK, are  $(M \times T)$ —element matrices of complex numbers, which are generated by optimizing a specific objective function (OF) under a power constraint criterion and are utilized to disperse the source information in both the spatial and time domain [4], [5], [8]. LDCs use a matrix modulation framework [5], where the transmitted codeword is formed by a linear combination of the DMs weighted by the  $\mathcal{L}$ -PSK/QAM symbols. To be more specific, the LDC transmit codeword  $\mathbf{X}^{\text{LDC}}[i] \in \mathbb{C}^{M \times T}$  during any signaling interval  $i$  corresponding to the symbols  $s_1[i], s_2[i], \dots, s_Q[i]$  and to the DMs

$$\mathbf{A}_1 = \begin{bmatrix} a_{1,1}^1 & \cdots & a_{1,T}^1 \\ a_{2,1}^1 & \cdots & a_{2,T}^1 \\ \vdots & \ddots & \vdots \\ a_{M,1}^1 & \cdots & a_{M,T}^1 \end{bmatrix}, \mathbf{A}_2 = \begin{bmatrix} a_{1,1}^2 & \cdots & a_{1,T}^2 \\ a_{2,1}^2 & \cdots & a_{2,T}^2 \\ \vdots & \ddots & \vdots \\ a_{M,1}^2 & \cdots & a_{M,T}^2 \end{bmatrix},$$

$$\dots, \mathbf{A}_Q = \begin{bmatrix} a_{1,1}^Q & \cdots & a_{1,T}^Q \\ a_{2,1}^Q & \cdots & a_{2,T}^Q \\ \vdots & \ddots & \vdots \\ a_{M,1}^Q & \cdots & a_{M,T}^Q \end{bmatrix}$$

is given by

$$\mathbf{X}^{\text{LDC}}[i] = s_1[i] \begin{bmatrix} a_{1,1}^1 & \cdots & a_{1,T}^1 \\ a_{2,1}^1 & \cdots & a_{2,T}^1 \\ \vdots & \ddots & \vdots \\ a_{M,1}^1 & \cdots & a_{M,T}^1 \end{bmatrix} + s_2[i] \begin{bmatrix} a_{1,1}^2 & \cdots & a_{1,T}^2 \\ a_{2,1}^2 & \cdots & a_{2,T}^2 \\ \vdots & \ddots & \vdots \\ a_{M,1}^2 & \cdots & a_{M,T}^2 \end{bmatrix}$$

$$+ \dots + s_Q[i] \begin{bmatrix} a_{1,1}^Q & \cdots & a_{1,T}^Q \\ a_{2,1}^Q & \cdots & a_{2,T}^Q \\ \vdots & \ddots & \vdots \\ a_{M,1}^Q & \cdots & a_{M,T}^Q \end{bmatrix}$$

$$= s_1[i] \mathbf{A}_1 + s_2[i] \mathbf{A}_2 + \dots + s_Q[i] \mathbf{A}_Q, \quad (2)$$

where the DMs are optimized using the power constraint of [5]:

$$\text{tr}(\mathbf{A}_q \mathbf{A}_q^H) = \frac{T}{Q}. \quad (3)$$

By contrast, the STSK codeword  $\mathbf{X}^{\text{STSK}}[i]$  transmitted during signaling interval  $i$  is generated by activating a single DM  $\mathbf{A}_q[i]$  in conjunction with the  $\mathcal{L}$ -PSK/QAM symbol  $s_q[i]$ . Hence, a total of  $\log_2(Q \cdot \mathcal{L})$  source bits are mapped to each space-time block  $\mathbf{X}[i] \in \mathbb{C}^{M \times T}$  by the STSK scheme according to [8]

$$\mathbf{X}^{\text{STSK}}[i] = s_1[i] \times \underbrace{\mathbf{0}_{M \times T}}_{\mathbf{A}_1 \text{ (deactivated)}} + \dots + s_q[i] \times \underbrace{\begin{bmatrix} a_{1,1}^q & \cdots & a_{1,T}^q \\ a_{2,1}^q & \cdots & a_{2,T}^q \\ \vdots & \ddots & \vdots \\ a_{M,1}^q & \cdots & a_{M,T}^q \end{bmatrix}}_{\mathbf{A}_q \text{ (activated)}}$$

$$+ \dots + s_Q[i] \times \underbrace{\mathbf{0}_{M \times T}}_{\mathbf{A}_Q \text{ (deactivated)}}$$

$$= s_q[i] \mathbf{A}_q[i], \quad (4)$$

where  $\mathbf{0}_{M \times T}$  is an  $(M \times T)$ —element zero matrix representing the deactivated DM and the  $\mathcal{L}$ -PSK/QAM symbol  $s_q[i]$  is represented by  $B_1 = \log_2 \mathcal{L}$  number of input bits, whereas the specific dispersion matrix  $\mathbf{A}_q[i]$  is selected from the set of  $Q$  DMs,  $\mathbf{A}_q$ , ( $q = 1, 2, \dots, Q$ ) by the  $B_2 = \log_2 Q$  number of input bits. Additionally, in order to maintain a unity average transmission power within each STSK symbol duration, each of the DMs has to obey the power constraint of [8]:

$$\text{tr}(\mathbf{A}_q \mathbf{A}_q^H) = T, \quad (5)$$

where  $\text{tr}(\bullet)$  indicates the trace of the matrix “ $\bullet$ .”

Since the LDC transmit codeword of (2) incorporates all the  $Q$  DMs as well as all the  $Q$  constellation symbols, LDCs impose a high decoding complexity [4], [5]. This is due to the presence of different  $s_q \mathbf{A}_q$ , ( $q = 1, 2, \dots, Q$ ) terms in (2) which introduce interference among themselves during the decoding stage and is referred to as the inter-stream interference [8], [10] in this treatise. The SM and the STSK systems activate a single antenna and a single DM, respectively, at each signaling interval. We refer to this as the single-stream transmission protocol, which does not impose any inter-stream interference [8], [10].

The benefits of the STSK scheme may be summarized as follows [8], [10]:

- The STSK scheme is capable of striking the same DMT as the LDC, but at a substantially reduced complexity. On the other hand, STSK is capable of achieving both transmit

as well as receive diversity gains, while the SM and SSK schemes attain only receive diversity gain.

- Since only a single one of the  $Q$  DMs is activated at any symbol instant, the STSK scheme imposes no inter-stream interference and—as a further benefit—a single-stream based ML detection can be employed [8].
- STSK is capable of adaptively supporting an arbitrary number of transmit as well as receive AEs.

The normalized throughput per symbol duration of the STSK scheme may be expressed as [8]

$$R = \frac{\log_2(\mathcal{L} \cdot Q)}{T} \left[ \frac{\text{bit}}{\text{symbol duration}} \right]. \quad (6)$$

Furthermore, the STSK system is uniquely and unambiguously specified by the parameters  $(M, N, T, Q)$  in conjunction with the classic  $\mathcal{L}$ -PSK or  $\mathcal{L}$ -QAM modulation.

1) *DM Optimization for STSK*: The performance of the STSK system substantially depends on the choice of the DMs. More specifically, it depends firstly on the specific OF utilized and secondly on the DM optimization procedure. The optimization of the set of DMs is thus of utmost importance for the performance of the STSK scheme.

a) *Random search based DM optimization*: In the STSK encoder described by (4),  $Q$  DMs,  $\mathbf{A}_q (q = 1, \dots, Q)$  are pre-assigned to the transmitter in advance of transmission, which may be generated by searching exhaustively through a large set of randomly generated matrices and selecting the best ones using some optimization criterion as mentioned in [5] and detailed further in [38]. More specifically, the search process consists of generating a set of for example  $10^6$  DMs, which have entries distributed according to the complex Gaussian distribution  $\mathcal{CN}(0, 1)$  and which are normalized in order to satisfy the power-constraint criterion of (5) and then picking the best  $Q$  matrices,  $\mathbf{A}_q (q = 1, \dots, Q)$ . More explicitly, the “quality” of the set generated is evaluated in terms of an OF, such as for example, the corresponding continuous input continuous output memoryless channel (CCMC) capacity [38], the discrete input continuous output memoryless channel (DCMC) capacity [71] and the pairwise error probability (PEP) [5] in terms of the rank and/or determinant criterion of [5], [26] etc.

b) *Genetic algorithm aided DM optimization*: In addition to the random search-based DM optimization mentioned above, genetic algorithm (GA) may also be employed for reducing the computational burden by searching through only a fraction of the DMs, yet finding the best ones with a high probability [59], [72]. The flowchart of the GA process for the optimization of the STSK spreading matrices is portrayed in Fig. 4. GAs [73] constitute adaptive heuristic search algorithms, where a population of *individuals* follows the evolutionary concepts of natural *selection* and genetic operators of *crossover* and *mutation* to optimize the OF or *fitness function*. In the DM optimization problem, an individual is defined as a set of DMs, which will be used for constructing the STSK scheme under the *power constraint* of (5). The initial population of DMs is randomly selected from a complex-valued Gaussian distribution of zero mean and unity variance. Thereafter the algorithm proceeds recursively. Each recursive stage is termed as a generation and the process is continued up to a pre-specified number of generations.

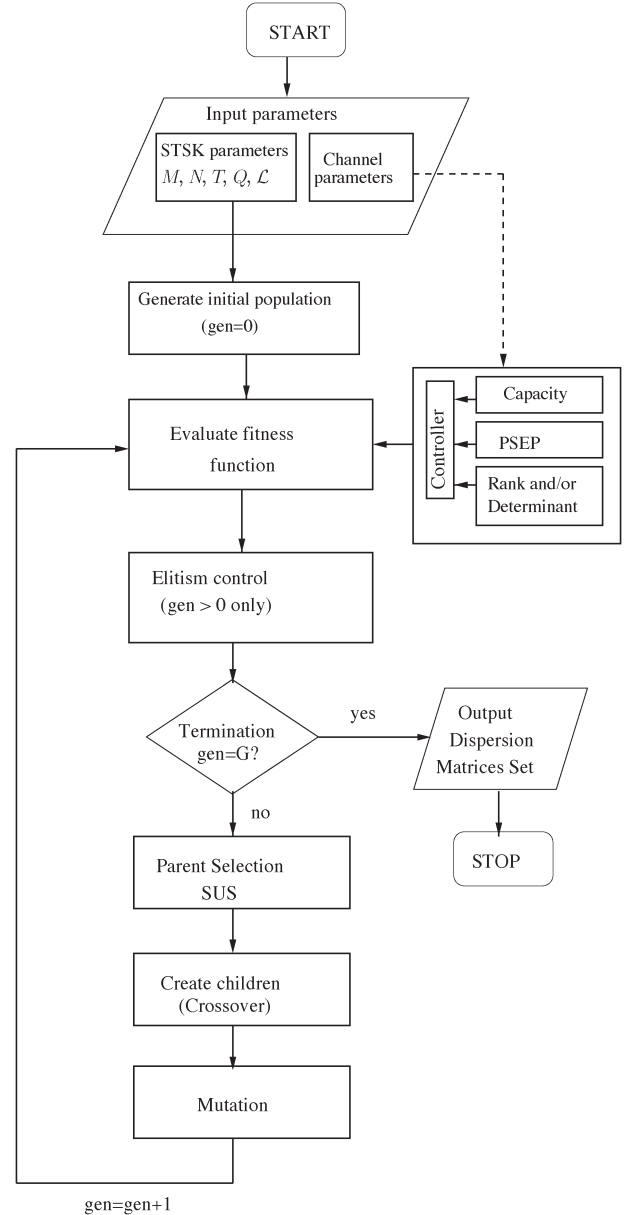


Fig. 4. Generation of the GA-optimized dispersion matrix set to be used in STSK.

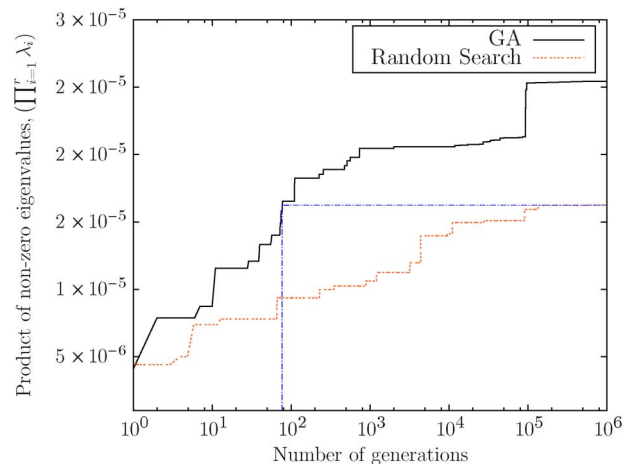


Fig. 5. Fitness function versus the number of generations in both GA optimization and in random search.

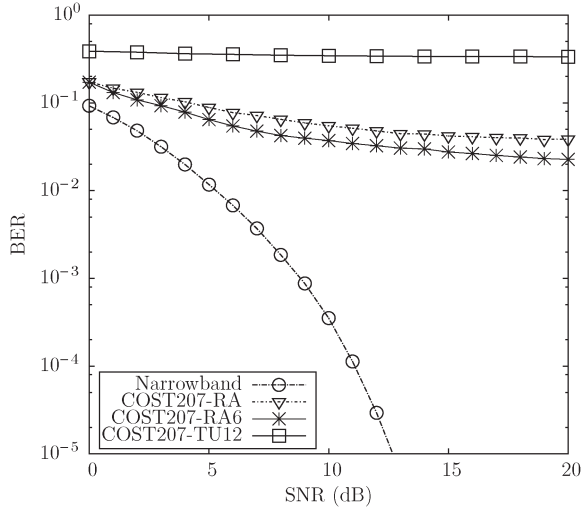


Fig. 6. BER performance of STSK (2,2,2,2) scheme in conjunction with BPSK modulation using the optimal ML detector of [8] under different channel conditions. Although STSK performs well in non-dispersive channels, its performance in multipath channels becomes poor [12]. The system parameters are listed in Table III.

The performance of the GA-based DM optimization can be visualized in Fig. 5. Observe that for the fitness function as defined by the determinant criterion of [26], we approach the same fitness value at about 80 generations which were only approached by the random search after  $10^6$  iterations. This clearly demonstrates the efficacy of the GA based optimization of DMs. Further insights on the optimization of the DMs for the STSK system may be found in [51], [60], [74].

2) *Wideband Channels and the Performance of STSK in Different Channels:* A time-invariant broadband channel has an impulse response, which can be modelled as [75], [76]:

$$h(t, \tau) = \sum_{l=0}^{L-1} a_l g_l(t) \delta(\tau - \tau_l), \quad (7)$$

where  $L$  is the number of multipath components in the channel,  $a_l$ ,  $\tau_l$ , and  $g_l(t)$  are the channel's envelope, delay and Rayleigh-distributed magnitude fading process exhibiting a particular normalized Doppler frequency  $f_d$  respectively, associated with the  $l$ -th path, while  $\delta(\cdot)$  is the Dirac Delta function. In fact, the wideband propagation channel is constituted by the superposition of a number of dispersive fading paths, suffering from various attenuations and delays, aggravated by the Doppler shift as a result of the mobile station (MS)'s movement.

The single-carrier STSK is characterized both in narrowband and wideband environments in Fig. 6. The basic simulation parameters are listed in Table III. It is demonstrated in Fig. 6 that the single-carrier STSK (2,2,2,2) scheme operating in conjunction with BPSK modulation works well in narrowband scenarios, but it exhibits a severe error floor in dispersive channels, when the COST207-RA [77] channel model is considered. In the more dispersive typical urban (TU) scenario [75], [77], the STSK scheme suffers from a substantial further performance degradation. The simulation results characterizing STSK for different channel situations are shown in Fig. 6. Observe that the STSK scheme's bit-error ratio (BER) performance is better

TABLE III  
MAIN SYSTEM PARAMETERS FOR STSK IN DIFFERENT CHANNELS

Simulation parameter	Values
Fast fading model	Correlated Rayleigh fading
Doppler frequency	0.01
Channel specification	Narrowband channel
No. of OFDM subcarriers	64
Length of cyclic prefix	32
No. of Tx AE, $M$	2
No. of Rx AE, $N$	2
No. of Tx time slots, $T$	2
No. of dispersion matrices	$Q = 2, 4$
STSK specification	$(2, 2, 2, Q)$ , $Q = 2, 4$
Modulation order	$\mathcal{L} = 2, 4$

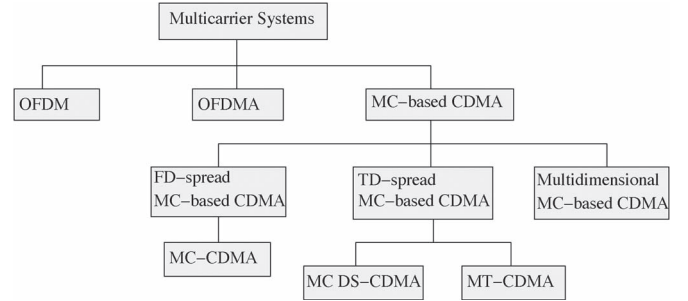


Fig. 7. Simplified family-tree of multicarrier systems.

for transmission over the COST207-RA6 channel model than that over the COST207-RA model, although the number of channel taps is higher in the COST207-RA6 model. This is because although the COST207-RA model has less taps, it has a higher total delay spread than the COST207-RA6 channel model.

The eroded performance of the single-carrier STSK scheme constituted the motivation for conceiving MC based STSK systems. A suite of MC systems, such as OFDM, MC-CDMA, OFDMA and/or SC-FDMA combined both with coherently and differentially detected STSK, was conceived. A range of coherent and noncoherent cooperative MC-CDMA STSK schemes employing SR for recovering the half-duplex throughput loss of conventional relaying schemes was also conceived. Let us now have a discourse on MC-aided STSK systems.

### III. MIMO-MC DESIGNS: EXAMPLE OF THE OFDM-AIDED STSK

The simplified family-tree of MC systems is shown in Fig. 7. The most widespread family is constituted by OFDM [78]. The combination of OFDM with MIMO systems has attracted substantial interests due to OFDM's capability of converting realistic wideband channels into a number of low-rate, non-dispersive subchannels [79]–[81]. Furthermore, OFDM facilitates the employment of single-tap FD equalizers at the receiver, which is highly beneficial in the context of MIMO systems.

#### A. OFDM and MIMO-OFDM

OFDM was ratified by numerous standardization bodies, such as the European Digital Audio Broadcasting (DAB) [82]



TABLE IV  
MAJOR CONTRIBUTIONS ON OFDM

Year	Author(s)	Contribution
1966	Chang [86]	The concept of OFDM was proposed for dispersive channels.
1970	Chang [91]	U.S. Patent 3,488,445 on OFDM was issued.
1971	Weinstein and Ebert [87]	DFT was first applied for OFDM modulation.
1985	Cimini [88]	The feasibility of OFDM in mobile communications was studied.
1987	Alard and Lasalle [89]	Proposed OFDM for digital broadcasting.
1991	Cioffi [92]	Introduced the ANSI ADSL standard.
1999		IEEE 802.11a standard
2000	V. Nee and Prasad [93]	First book on OFDM.
2003	Hanzo <i>et al.</i> [94]	Design-recipes and analytical insights were detailed in the context of broadband multiuser communications, WLANs and broadcasting.
2005	Williams <i>et al.</i> [95]	A pre-FFT synchronisation method was designed for OFDM.
2009	Chen <i>et al.</i> [96]	Spectrum sensing of pilot tone based OFDM systems was studied.
2014	Sahin <i>et al.</i> [97]	Multicarrier schemes are evaluated from the perspective of practical implementation aspects.

TABLE V  
MAJOR CONTRIBUTIONS ON MIMO-OFDM

Year	Author(s)	Contribution
2001	Blum <i>et al.</i> [90]	Improved space-time coding was proposed for MIMO-OFDM .
	Piechocki <i>et al.</i> [98]	Studied the performance of ML decoding-aided V-BLAST OFDM.
2002	Bolcskei <i>et al.</i> [99]	Both the ergodic capacity and the outage capacity of spatial multiplexing aided MIMO OFDM were determined.
	Li <i>et al.</i> [100]	Space-time coding based MIMO OFDM was proposed for mitigating the ISI and for enhancing the system's capacity.
	Stamoulis <i>et al.</i> [101]	Studied STBC based MIMO OFDM for transmission over time-varying channels.
2003	El-Gamal <i>et al.</i> [102]	Employed OFDM for frequency-selective MIMO channels to construct space-frequency codes (SFC) for exploiting the FD diversity.
	Moon <i>et al.</i> [103]	Proposed a peak-to-average power ratio (PAPR) control scheme for MIMO-OFDM.
2004	Stuber <i>et al.</i> [79]	Summarized the physical layer research challenges of MIMO-OFDM wireless systems.
	Huang and Letaief [104]	A coded OFDM-aided MIMO symbol-based space diversity scheme was conceived.
2005	Su <i>et al.</i> [105]	Proposed a full-rate, full-diversity OFDM-aided space-frequency code for MIMO assisted wireless systems.
	Borgmann and Bolcskei [106]	Non-coherent space-frequency coded OFDM was proposed for dispersive MIMO channels.
2006	Liew and Hanzo [107]	Investigated space-time block and space-time trellis codes versus adaptive modulation and coding (AMC) aided OFDM.
2007	Jiang and Hanzo [40]	Reduced-complexity genetic algorithm assisted multiuser MIMO-OFDM was investigated.
2013	Miridakis and Vergados [108]	Reviewed successive interference cancellation schemes in the context of single-antenna and multiple-antenna OFDM systems.
2014	Al-Dweik <i>et al.</i> [109]	A novel technique was proposed to enhance the robustness of conventional MIMO-OFDM systems.

and the Digital Video Broadcasting (DVB) [83] standards and Local Area Mobile Wireless Networks, such as the IEEE 802.11a [84] and HIPERLAN/2 [85].

The major contributions on OFDM and MIMO-OFDM are summarized in Table IV and Table V, respectively. The first

OFDM scheme was proposed by Chang [86] in 1966 for dispersive channels. Weinstein *et al.* [87] demonstrated that OFDM modulation/demodulation can be efficiently performed using the DFT, which was a driving force behind the development of the OFDM scheme. In 1985, Cimini [88] proposed OFDM

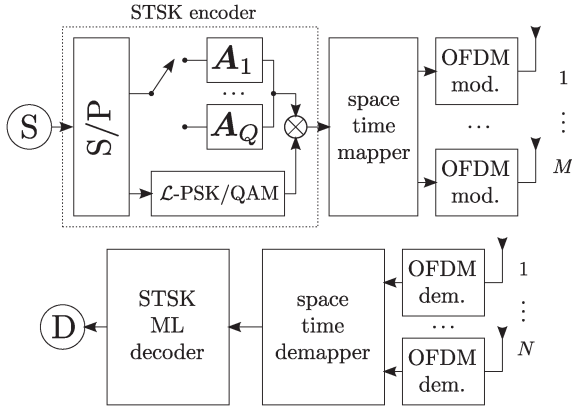


Fig. 8. The transceiver architecture of the OFDM-aided STSK system [11].

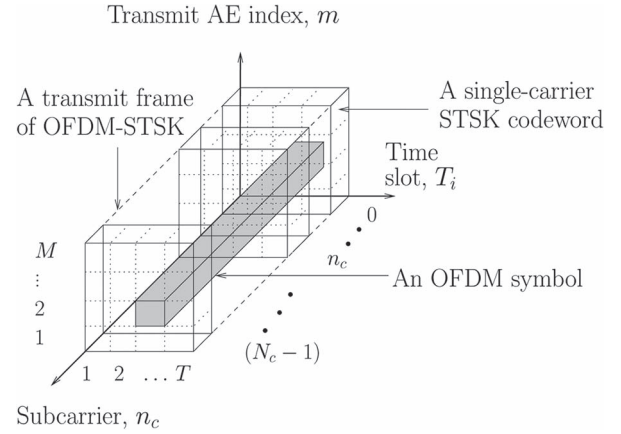
for mobile communications, whereas its application for digital broadcasting was proposed by Alard and Lassale [89] in 1985 and 1987, respectively.

In 2001, Blum *et al.* [90] proposed an improved space–time coding arrangement for MIMO-OFDM wireless systems. As a further advance, Stuber *et al.* [79] summarized the physical layer research challenges of MIMO-OFDM.

In the spirit of the above-mentioned MIMO-OFDM schemes, a range of MC STSK systems were developed for either single-user or multiuser communications over dispersive channels [11]–[14]. Before proceeding to the multiuser MC STSK schemes, let us first focus on OFDM-aided STSK scheme which was conceived for single-user systems. In [54], the authors proposed space–time–frequency shift keying (STFSK) as a technique capable of exploiting space-, time- and frequency diversity. Nevertheless, this realization of the STFSK scheme suffers from the typical drawbacks of non-coherent FSK demodulation. These impediments of STFSK may be eliminated by reduced-rate OFDM-style parallel transmissions over numerous non-dispersive sub-carriers.

### B. OFDM-Aided STSK

OFDM-aided STSK [11] was conceived for mitigating the performance erosion of STSK schemes operating in wideband channels as demonstrated in Fig. 6 of Section II-C2. The basic idea of the OFDM-aided STSK system is to use the OFDM technique in order to create a number of parallel FD sub-channels, where the sub-channel bitrate is sufficiently low for the sub-channel symbols to avoid dispersion. The model of [79] has been adopted for realizing an STSK-based MIMO-OFDM system obeying the system’s architecture of Fig. 8. Having generated the STSK blocks, the blocks of symbols are then mapped to  $N_c$  subcarriers. As shown in Fig. 9,  $N_c$  STSK codewords are arranged in parallel, which constitute an OFDM-STSK frame. Furthermore, OFDM modulation is carried out over the shaded  $N_c$ —length symbol-pipe at AE  $m$  ( $m = 1, \dots, M$ ) during time slot  $T_i$  ( $T_i = 1, \dots, T$ ), which represents an OFDM symbol. The time-domain (TD) STSK-OFDM signal transmitted from the  $m$ th transmit AE at time slot  $T_i$  may be formulated as [94], [110], [111]:

Fig. 9. Formation of an STSK-OFDM frame consisting of  $N_c$  parallel STSK blocks to be transmitted over  $N_c$  parallel subcarriers. The shaded symbol-pipe shown represents one of the  $(M \cdot T)$  OFDM symbols [69].

$$x_{m,T_i}(t) = \frac{1}{\sqrt{N_c}} \sum_{n_c=0}^{(N_c-1)} X_{m,T_i}[n_c] e^{j2\pi f_{n_c} t}, \quad 0 \leq t \leq N_c \mathcal{T} \quad (8)$$

where  $X_{m,T_i}[n_c]$  is the  $n_c$ -th data symbol, while  $N_c \mathcal{T}$  is the length of the OFDM symbol. In order to maintain the orthogonality of the subcarriers, the subcarrier frequencies have to be equally spaced by  $f_{n_c} = \frac{n_c}{N_c \mathcal{T}}$  and the TD sampling of the OFDM symbol can be formulated as:

$$x_{m,T_i}(n_s \mathcal{T}) = \frac{1}{\sqrt{N_c}} \sum_{n_c=0}^{(N_c-1)} X_{m,T_i}[n_c] e^{j2\pi \frac{n_s n_c}{N_c}}, \quad 0 \leq n_s \leq (N_c - 1) \quad (9)$$

which is expressed in terms of the  $N_c$ —point IDFT of the symbol stream  $\mathbf{X}_{m,T_i} = \{X_{m,T_i}[n_c]\}_{n_c=0}^{(N_c-1)}$  as:

$$\mathbf{x}_{m,T_i} = \text{IDFT}_{N_c} \{\mathbf{X}_{m,T_i}\}, \quad (10)$$

where  $m = 1, \dots, M$  and  $T_i = 1, \dots, T$ . The usual CP, which has to be longer than the channel’s delay spread, is appended to each of the TD OFDM symbols in order to eliminate the effects of ISI.

Let the signals from the transmit AEs be transmitted over a frequency-selective channel, where the discrete-time CIR may be modeled as:

$$\mathbf{H}[n_c] = \sum_{l=0}^{L-1} \mathbf{H}[l] \delta[n_c - l] \in \mathbb{C}^{N \times M}, \quad (11)$$

where the matrix  $\mathbf{H}[l] \in \mathbb{C}^{N \times M}$  has complex-valued normally distributed entries according to  $\mathcal{CN}(\mu_l, \sigma_l^2)$  for every channel path  $l = 0, \dots, (L - 1)$  and  $\delta[\cdot]$  is the discrete-time Dirac delta function. After applying the  $N_c$ -point DFT operation at each receive AE and defining the FD channel transfer matrix  $\tilde{\mathbf{H}}_{n,m} = \text{diag}\{\tilde{h}_{n,m}[0], \tilde{h}_{n,m}[1], \dots, \tilde{h}_{n,m}[N_c - 1]\} \in \mathbb{C}^{N_c \times N_c}$  as a diagonal matrix [13], [94] whose diagonal elements are  $\tilde{h}_{n,m} = \text{DFT}_{N_c}\{\mathbf{h}_{n,m}\}$ , the FD channel-output matrix  $\mathbf{Y}$  at the receiver may be expressed by

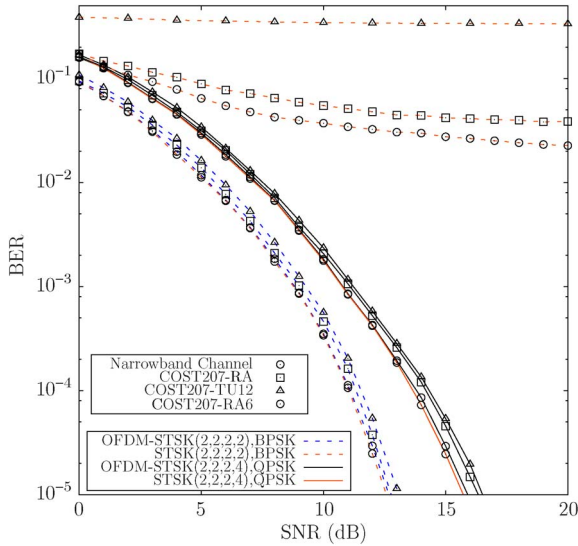


Fig. 10. Performance of the OFDM-aided STSK system of Fig. 8 in dispersive channels. No channel-coding was used and the optimal ML detector of [8] was employed. OFDM-aided STSK exhibits a performance in dispersive channels, which is similar to that in nondispersive environments.

$$\mathbf{Y}[n_c] = \tilde{\mathbf{H}}[n_c] \mathbf{X}[n_c] + \mathbf{V}[n_c], \quad n_c = 0, \dots, (N_c - 1) \quad (12)$$

where  $\mathbf{Y}[n_c] \in \mathbb{C}^{N \times T}$ ,  $\tilde{\mathbf{H}}[n_c] \in \mathbb{C}^{N \times M}$ ,  $\mathbf{X}[n_c] \in \mathbb{C}^{M \times T}$ , and  $\mathbf{V}[n_c] \in \mathbb{C}^{N \times T}$ . Following the OFDM demodulation at the space-time demapper, the received symbols  $\mathbf{Y}[n_c] \in \mathbb{C}^{N \times T}$  are then passed through the single-stream ML decoder [8]. The single-stream based ML estimates  $(\hat{l}_c, \hat{q})$  of the  $n_c$ -th STSK-OFDM symbol and its constituent transmitted bits are obtained from [7], [8] as:

$$(\hat{l}_c[n_c], \hat{q}[n_c]) = \arg \min_{l_c, q} \left\{ \|\tilde{\mathbf{Y}}[n_c] - \tilde{\mathbf{H}}[n_c] \boldsymbol{\chi} \mathbf{K}_{l_c, q}\|^2 \right\}, \quad (13)$$

where

$$\tilde{\mathbf{Y}}[n_c] = \text{vec}(\mathbf{Y}[n_c]) \in \mathbb{C}^{NT \times 1}, \quad (14)$$

$$\tilde{\mathbf{H}}[n_c] = \mathbf{I}_T \otimes \tilde{\mathbf{H}}[n_c] \in \mathbb{C}^{NT \times MT}, \quad (15)$$

$$\boldsymbol{\chi} = [\text{vec}(\mathbf{A}_1), \dots, \text{vec}(\mathbf{A}_Q)] \in \mathbb{C}^{MT \times Q}, \quad (16)$$

and

$$\mathbf{K}_{l_c, q} = \underbrace{[0, \dots, 0]_{q-1}}_{q-1}, s_{l_c}, \underbrace{[0, \dots, 0]_{Q-q}}_{Q-q} \in \mathbb{C}^{Q \times 1}. \quad (17)$$

The performance degradation of the single-carrier STSK system in wideband channels was quantified in Section II-C, which was substantially mitigated by the OFDM-aided STSK system of Fig. 8, as portrayed in Fig. 10. Observe from Fig. 10 that the OFDM-aided STSK performance in wideband channels essentially remains unaffected by the channel's dispersion. We see from Fig. 10 that if the channel is non-dispersive, there is virtually no difference in the performance of STSK, regardless whether OFDM has been incorporated into the system or not. In such channel conditions, both the STSK and the OFDM-

aided STSK are capable of attaining excellent diversity versus multiplexing gain tradeoffs. By contrast, under dispersive channel conditions, OFDM-aided STSK is capable of maintaining its superiority not only in the rural scenario of the COST207-RA channel model, but also in the typical urban scenario modeled by the COST207-TU12 channel model. In fact, the OFDM-aided STSK performance recorded in different channel situations remains more or less similar to that of the narrowband benchmark.

#### IV. MC STSK SCHEMES FOR DISPERSIVE MULTIUSER SCENARIOS

In this section, we provide a brief review of MC-based CDMA, OFDMA and SC-FDMA systems, which is followed by an overview of the amalgamated MC-CDMA aided STSK [12], [112] and OFDMA-assisted and SC-FDMA-aided STSK schemes for supporting multiuser transmissions over dispersive wireless channels. A reduced-complexity detector designed for the OFDMA/SC-FDMA-aided STSK scheme is also discussed.

##### A. MC-Based CDMA, OFDMA, and SC-FDMA Systems

The major contributions on MC-CDMA, SC-FDMA and MIMO SC-FDMA are summarized in Table VI. Historically speaking, in 1993, Fettweis *et al.* developed the MC-CDMA scheme of [113]. Chouly *et al.* [114] as well as DaSilva and Sousa developed the MC DS-CDMA scheme of [115], whereas the so-called multitone (MT)-CDMA scheme was developed by Vandendorpe [116], all in the same year. In 1997, Hara and Prasad [117] provided a well-cited overview of MC based CDMA systems.

MC-CDMA is a multiple access scheme, where the symbols of different users are first spread across the FD using unique, user-specific spreading sequences, which are then mapped to multiple parallel subcarriers [94], [117]. In the MC DS-CDMA scheme, the data stream is first divided into a number of parallel substreams, each substream is then spread across the TD with the aid of the spreading sequences and then transmitted over one of the subcarriers [117], [118]. The MT-CDMA scheme [116] relies on similar operations to those of MC DS-CDMA, except that the subcarriers are orthogonal to each other before spreading, but the spectrum of subcarriers no longer exhibits orthogonality after TD-spreading. There have also been substantial developments in the context of multidimensional spreading assisted MC-based CDMA schemes [119]–[121], which spread the user information across both the spatial-as well as time-domains or even over the spatial domain (SD). OFDMA [122] is a multiple access version of OFDM, where a block of subcarriers is allocated to the different users in a frequency-division multiple access fashion.

In the aftermath of widespread MC applications, single-carrier modulation (SCM) is enjoying a renaissance, especially in the context of UL scenarios [123]. Traditionally equalization has been performed in the TD for single carrier transmissions. However, if the ISI spreads over more than for example 30–50 symbols, the signal processing complexity associated with this TD approach might become excessive [123]. As an alternative, FD equalization (FDE) was first studied in [124].

TABLE VI  
MAJOR CONTRIBUTIONS ON MC-CDMA AND SC-FDMA

Year	Author(s)	Contribution
1973	Walzman and Schwartz [124]	Introduced frequency domain equalization (FDE).
1993	Yee <i>et al.</i> [113]	The concept of FD MC-CDMA combining FD-spreading and OFDM was introduced.
	Chouly <i>et al.</i> [114]	Combined multicarrier techniques to DS-CDMA.
	DaSilva & Sousa [115]	Developed MC DS-CDMA.
	Vandendorpe [116]	Introduced the concept of multitone DS-CDMA.
1995	Sari <i>et al.</i> [125]	Proposed SC-FDE for digital terrestrial TV broadcasting.
1997	Hara and Prasad [117]	Provided an overview of MC-CDMA systems.
1998	Sorger <i>et al.</i> [130]	Introduced IFDMA applicable for both the uplink and the downlink of a mobile radio communications system.
2002	Falconer <i>et al.</i> [126]	SC-FDE was reviewed and compared to OFDM.
	Benvenuto and Tomasin [131]	Proposed a new FD decision feedback equalizer (DFE) for SC-FDE.
2003	Hanzo <i>et al.</i> [94]	The state-of-the-art in OFDM and MC-CDMA aided broadband multiuser communications, WLANs and broadcasting was reviewed.
2006	Myung <i>et al.</i> [128]	Introduced the concept of SC-FDMA for the wireless uplink.
2007	Wilzeck <i>et al.</i> [132]	Conceived a MIMO-SC-FDMA system model and analyzed the effect of carrier frequency offsets.
2008	Berardinelli <i>et al.</i> [122]	A comparative performance study of OFDMA and SC-FDMA was carried out.
2010	Ciochina & Sari [133]	Another comparative study of OFDMA versus SC-FDMA was offered.
	Benvenuto <i>et al.</i> [123]	Provided a comprehensive review of nonlinear FDE in the context of single-carrier modulation.
2012	Dalakas <i>et al.</i> [134]	OFDMA and SC-FDMA were compared for satellite uplink.

However, the full benefit of the SC-FDE was gradually realized after Sari *et al.* [125] proposed it as a low-complexity solution to digital terrestrial TV broadcasting, which was reviewed by Falconer *et al.* [126], [127]. The concept of SC-FDMA as a DFT-precoded OFDMA arrangement was proposed as a multiple-access scheme for the LTE system by Myung *et al.* [128] as well as by the Pan-European Wireless INitiative New Radio (WINNER) project [129] in the context of the uplink of wide area cellular systems. A comprehensive review of nonlinear FDE in the context of single-carrier modulation was provided in [123].

### B. MC-CDMA Aided STSK

MC-CDMA-aided STSK was conceived in [12] for mitigating the performance erosion of the classic STSK scheme in dispersive channels, while supporting multiple users. The codewords generated by the STSK scheme are appropriately spread across the frequency-domain (FD) and transmitted over a number of parallel frequency-flat subchannels.

1) *Transmission Protocol*: Let us consider an MC-CDMA aided STSK system having  $M$  transmit and  $N$  receive AEs and communicating over frequency-selective Rayleigh fading channels, whose transmitter architecture is shown in Fig. 11. Furthermore,  $N_c$  subcarriers are employed by the MC-CDMA modem for transmitting  $N_p$  STSK codewords. In general,  $N_c$  is related to  $N_p$  by:  $N_c = (N_p \times S_f)$ . However, it is possible to spread each of the codewords across all the subcarriers, where  $S_f = N_c$  [94]. As seen in Fig. 11, STSK codewords  $\mathbf{X}^u$  are generated from the users' source information, which are further spread across the FD and are then mapped to a number of sub-

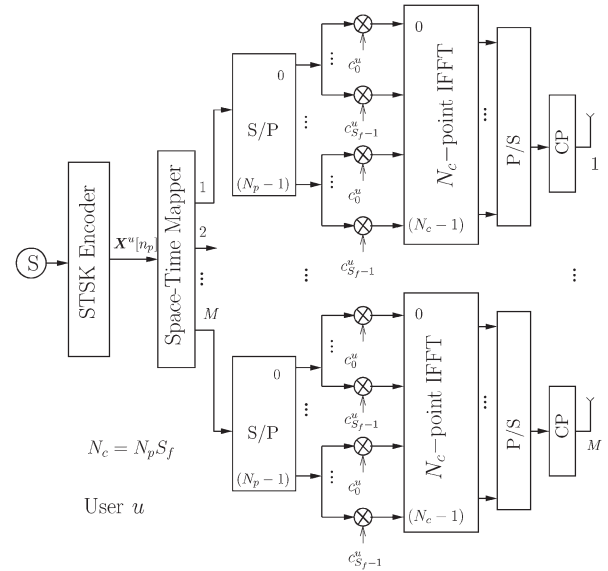


Fig. 11. Transmission model of the MC-CDMA aided multiuser STSK scheme of [12] corresponding to user  $u$ . Following space-time mapping, each of the TA symbols is spread across the FD before being mapped to the subcarriers.

carriers, before being transmitted using  $M$  transmit AEs over  $T$  time slots. A number of  $N_p$  space-time codewords generated by the STSK encoder are spread across all the  $N_c$  subcarriers by the user-specific spreading sequence,  $\mathbf{C}^u = [c_0^u, c_1^u, \dots, c_{S_f-1}^u]$ , where  $S_f$  represents the spreading factor and  $u$  is the user index. To be more specific, assuming the relationship of  $N_c = (N_p \times S_f)$ , FD spreading of the  $N_p$  codeword symbols results in  $N_c$  number of FD symbols of the spread codewords denoted



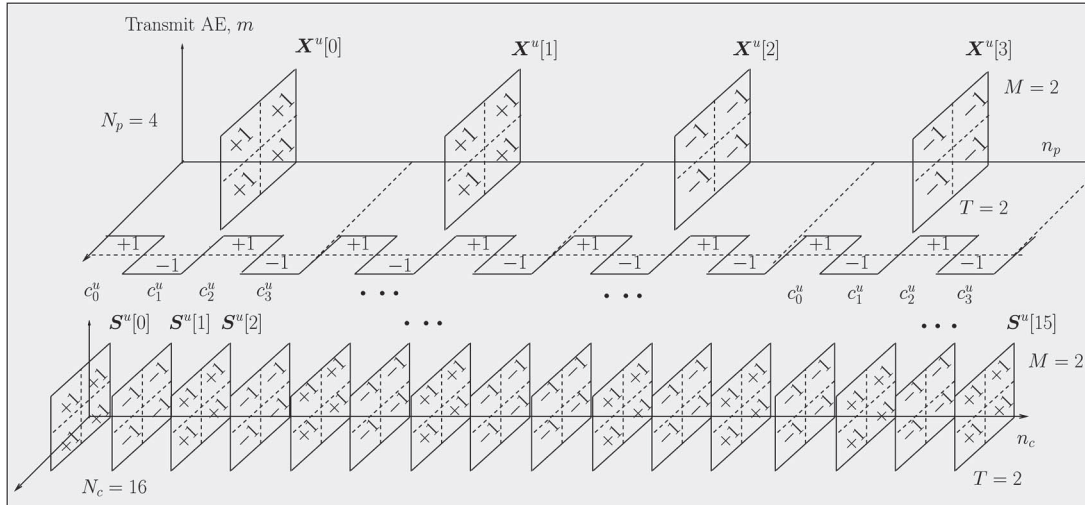


Fig. 12. FD spreading example of the STSK codewords in MC-CDMA-aided STSK system. 4 STSK codewords  $\mathbf{X}^u[0]$ ,  $\mathbf{X}^u[1]$ ,  $\mathbf{X}^u[2]$ , and  $\mathbf{X}^u[3]$  are considered, which are spread in FD by the user-specific spreading sequence  $\mathbf{C}^u = [+1, -1, +1, -1]$ , resulting in 16 spread space-time matrices, which are denoted by  $\mathbf{S}^u[0]$ ,  $\mathbf{S}^u[1], \dots, \mathbf{S}^u[15]$ , respectively.

by  $\mathbf{S}^u$ . After the IDFT operation of Fig. 11, CP of appropriate length are incorporated for the sake of eliminating the effects of ISI. The mapping of the STSK codewords to the  $N_c$  subcarriers is illustrated by the following example.

*Example 1:* FD spreading and STSK symbol mapping to MC-CDMA subcarriers:  $N_c = 16$ ,  $S_f = 4$

For the sake of exemplifying the FD spreading operation and the subsequent mapping of the spread codeword symbols to the orthogonal subcarriers, consider an MC-CDMA aided STSK scheme employing  $N_c = 16$  subcarriers and a FD-spreading factor  $S_f = 4$ . We will then take  $N_p = N_c/S_f = 16/4 = 4$  STSK codewords of a particular user  $u$  and place them in parallel, as shown in Fig. 12. Let the 4 codewords be denoted by:

$$[\mathbf{X}^u[0], \mathbf{X}^u[1], \mathbf{X}^u[2], \mathbf{X}^u[3]].$$

Let us further assume for example that the spreading sequence corresponding to user  $u$  is:

$$\mathbf{C}^u = [+1, -1, +1, -1].$$

Each of the codewords  $\mathbf{X}^u$  will now be multiplied by each single chip of the spreading sequence  $\mathbf{C}^u$ , resulting in  $4 \times 4 = 16$  spread codewords. More explicitly,  $\mathbf{X}^u[0]$  multiplied by the chips will produce 4 spread codewords denoted by:

$$\mathbf{S}^u[0] = c_0^u \mathbf{X}^u[0] = +1 \times \mathbf{X}^u[0],$$

$$\mathbf{S}^u[1] = c_1^u \mathbf{X}^u[0] = -1 \times \mathbf{X}^u[0],$$

$$\mathbf{S}^u[2] = c_2^u \mathbf{X}^u[0] = +1 \times \mathbf{X}^u[0],$$

$$\text{and } \mathbf{S}^u[3] = c_3^u \mathbf{X}^u[0] = -1 \times \mathbf{X}^u[0].$$

Thus the 4 codewords  $[\mathbf{X}^u[0], \mathbf{X}^u[1], \mathbf{X}^u[2], \mathbf{X}^u[3]]$  will produce a total of 16 spread codewords denoted by:

$$[\mathbf{S}^u[0], \mathbf{S}^u[1], \dots, \mathbf{S}^u[15]].$$

In Fig. 12, we assume all the STSK codewords to be  $(2 \times 2)$ —element matrices, i.e., we assume  $M = 2$ ,  $T = 2$ . We also consider, for the sake of simplicity, all the elements of the STSK codewords  $\mathbf{X}^u[0]$  and  $\mathbf{X}^u[1]$  to be  $+1$ , while those of  $\mathbf{X}^u[2]$ , and  $\mathbf{X}^u[3]$  to be  $-1$ . As a result, all the elements of the spread codewords  $\mathbf{S}^u[0]$ ,  $\mathbf{S}^u[1]$ ,  $\mathbf{S}^u[2]$ , and  $\mathbf{S}^u[3]$  are  $+1, -1, +1$  and  $-1$ , respectively. By contrast, all the elements of for example  $\mathbf{S}^u[8]$ ,  $\mathbf{S}^u[9]$ ,  $\mathbf{S}^u[10]$ , and  $\mathbf{S}^u[11]$  are  $-1, +1, -1$  and  $+1$ , respectively.

The resultant 16 spread codeword symbols will then be mapped to the  $N_c = 16$  subcarriers using the IDFT operation.

2) *Receiver:* The signal received after transmission over a dispersive channel is demodulated by Fourier-transforming it after removal of CP. After CP removal and  $N_c$ —point DFT, the FD signal received is given by:

$$\mathbf{Y}[n_c] = \underbrace{\tilde{\mathbf{H}}^v[n_c] \mathbf{S}^v[n_c]}_{\text{desired signal}} + \underbrace{\sum_{\substack{u=1 \\ u \neq v}}^U \tilde{\mathbf{H}}^u[n_c] \mathbf{S}^u[n_c]}_{\text{MUI}} + \underbrace{\mathbf{V}[n_c]}_{\text{noise}}, \quad (18)$$

where each element of the FD channel transfer matrix  $\tilde{\mathbf{H}}^u[n_c] \in \mathbb{C}^{N \times M}$  is a diagonal matrix given by  $\tilde{\mathbf{H}}_{n,m}^u = \text{diag}\{\tilde{h}_{n,m}^u[1], \tilde{h}_{n,m}^u[2], \dots, \tilde{h}_{n,m}^u[N_c]\} \in \mathbb{C}^{N_c \times N_c}$ , whose diagonal elements represent the FD channel transfer function between the  $m$ —th transmit and the  $n$ —th receive AE. Both single-user detectors (SUDs) and multiuser detectors (MUDs) may be employed for the detection of the different users' signals [117]. Since the orthogonality amongst the different users' signals is destroyed by dispersive channels, the MUI cannot be eliminated if a low-complexity SUD is employed. The MUI degrades the resultant BER performance both in the downlink (DL) and the uplink (UL) multiuser scenarios. By contrast, the MUD jointly detects the information of all the users and hence an improved BER performance may be attained, albeit at the cost of imposing an increased decoding complexity. The source information of users in a particular space-time block indexed by  $n_p$  is spread

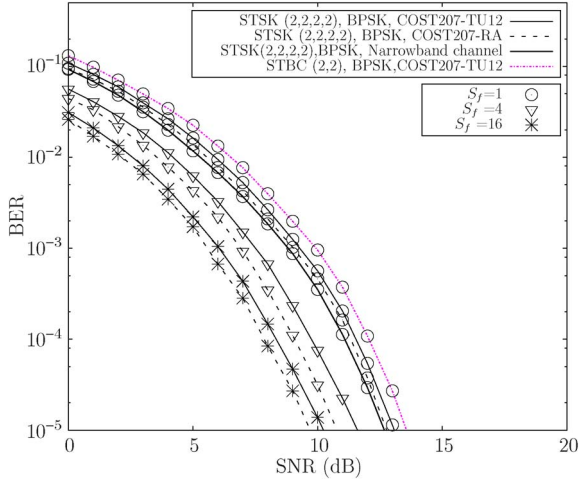


Fig. 13. Performance of single-user uncoded MC-CDMA STSK system of Fig. 11 both in narrowband and in dispersive channels. The performance of the MC-CDMA aided  $\mathcal{G}_2$ -STBC  $(M, N) = (2, 2)$ , BPSK and  $\mathcal{G}_2$ -STBC  $(2, 2)$ , QPSK is also shown as benchmarker.

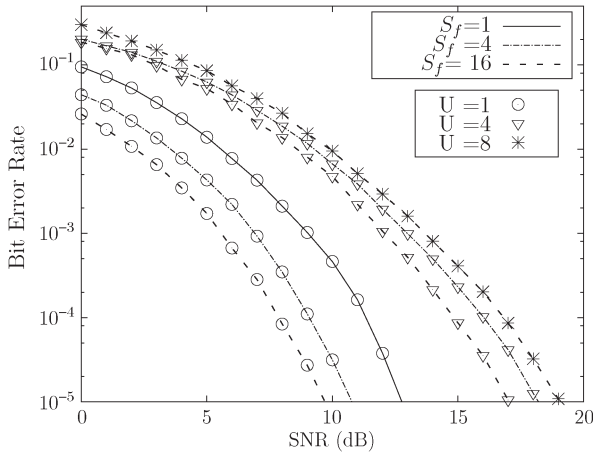


Fig. 14. BER performance of MC-CDMA aided STSK  $(2, 2, 2, 2)$ , BPSK for the DL COST207-RA channel using different  $S_f$  supporting  $U$  users [12].

over  $S_f$  number of outputs from  $\bar{\mathbf{Y}}[n_p S_f]$  to  $\bar{\mathbf{Y}}[(n_p + 1)S_f - 1]$ . The single-user detector combines the signal energy spread over the different subcarriers employing a combining method, such as orthogonality-restoring combining (ORC) [117], EGC [22], [117] or MRC [22], [117]. Using MRC, the decision variables of the desired user  $\nu$  may be obtained as [117]:

$$\mathbf{z}^\nu[n_p] = \sum_{s_f=0}^{(S_f-1)} \mathbf{g}^\nu[n_p S_f + s_f] \mathbf{Y}[n_p S_f + s_f], \quad (19)$$

where  $\mathbf{g}^\nu[n_p S_f + s_f]$  is given by  $\mathbf{g}^\nu[n_p S_f + s_f] = c_{s_f}^\nu [\bar{\mathbf{H}}^\nu[n_p S_f + s_f]]^H$ . Hence, the source bits of user  $\nu$  may be estimated as [8], [13]

$$(q^\nu[n_p], l_c^\nu[n_p]) = \arg \min_{q^\nu, l_c^\nu} \left\{ \|\bar{\mathbf{z}}^\nu[n_p] - \chi \mathbf{K}_{q^\nu, l_c^\nu}^\nu\|^2 \right\}. \quad (20)$$

A new single-stream maximum-likelihood (ML) MUD (ML-MUD) may also be employed [12] for the joint detection of the user signals, which amalgamates both the single-stream ML detector of [8], [10] and the MUD of [117].

The performances achievable by the MC-CDMA-aided STSK under both a single-user and a multiuser scenario are illustrated

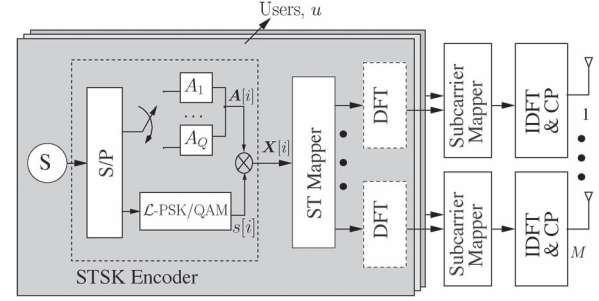


Fig. 15. Transmission model of the SC-FDMA aided STSK scheme. In OFDMA the dotted “DFT  $N_d$ ” block of the transmitter does not exist. The STSK mapper selects one out of the  $Q$  dispersion matrices along with one constellation symbol and the resultant space-time codewords are passed in different time slots through the OFDMA or SC-FDMA based multi-user transmission system, before being transmitted by the transmit AEs.

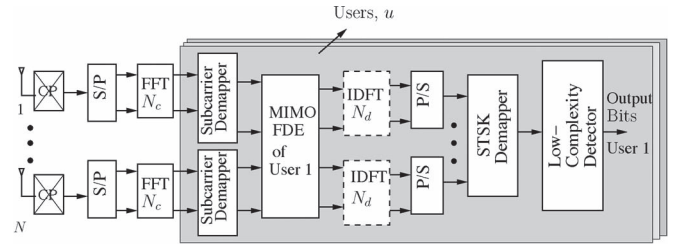


Fig. 16. Receiver model of the SC-FDMA aided STSK scheme. In OFDMA the dotted “IDFT  $N_d$ ” block of the receiver does not exist. Since a single dispersion matrix is selected in each transmission block, a low-complexity single-stream ML detector can be employed.

in Figs. 13 and 14, respectively. As expected, the MC-CDMA STSK scheme is capable of maintaining its superior multiple antenna gain in both the rural area (RA) scenario modeled by the COST207-RA channel as well as in the TU scenario characterized by the COST207-TU12 model. Furthermore, the single-user MC-CDMA aided STSK scheme shows improved performance upon increasing  $S_f$  both for the COST207-RA and COST207-TU12 channels owing to the fact that a FD spreading sequence may still remain recoverable, even when some of its chips are corrupted, while the BER is degraded under multiuser scenarios as a result of the increased MUI imposed by multiple users.

### C. OFDMA/SC-FDMA-Aided Multiuser STSK

An OFDMA/SC-FDMA-aided STSK scheme was also conceived in [13] which is capable of attaining a superb diversity-multiplexing trade-off even in a multipath environment, whilst additionally supporting multiuser transmissions and maintaining a low peak-to-average power ratio (PAPR) in uplink SC-FDMA-aided STSK scenarios. Since OFDMA and SC-FDMA have been adopted for the DL and the UL of the Long Term Evolution (LTE)-Advanced standard, respectively [135], [136], OFDMA/SC-FDMA assisted STSK systems are advocated in [13].

1) *Transmitter and Receiver Model of the OFDMA/SC-FDMA-Aided STSK*: The transmitter and the receiver architectures of an OFDMA/SC-FDMA STSK system are depicted in Figs. 15 and 16, respectively. As shown in Fig. 15, we employ frame based transmission after generating the space-time blocks  $\mathbf{x}^u[n_d]$  for a particular user  $u$ . In particular,  $N_c$

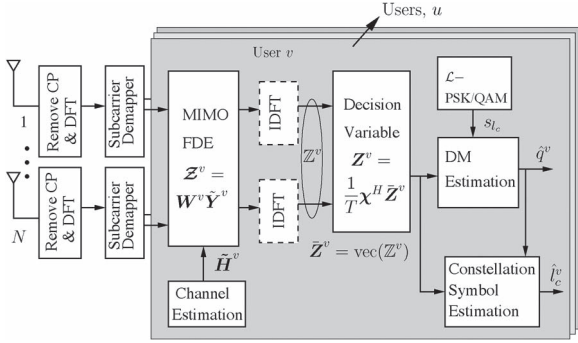


Fig. 17. The receiver architecture of the OFDMA/SC-FDMA-aided STSK scheme employing the reduced-complexity detector of [137]. The dotted “IDFT” block does not exist in the OFDMA-aided STSK receiver.

sub-carriers are used for transmitting a frame, each frame consisting of  $N_d$  STSK blocks. To be more specific, the data stream  $\tilde{\mathbf{x}}_{m,T_i}^u$  to be transmitted from the transmit AE  $m$  at a specific time interval  $T_i$  is first DFT-precoded by the  $N_d$ -point DFT block; in case of OFDMA, however, this step is not required. Then the FD symbols output from the  $N_d$ -point DFT block of the SC-FDMA STSK scheme (or the direct FD STSK codeword symbols of the OFDMA STSK scheme) are mapped to  $N_c$  sub-carriers with  $N_c = (N_d \times U)$ , where the sub-carrier allocation may be in contiguous (localized FDMA or LFDMA) [128] or in an interleaved (IFDMA) [128] fashion. Assuming perfect synchronization at the receiver of Fig. 16 and after removing the CP, the received signal is first Fourier transformed and the resultant FD subcarrier signals are then demapped and equalized in FD by the “MIMO FDE block” of Fig. 16. Following MIMO FDE, the received signal is passed through the “ $N_d$ -point IDFT” block of the desired user  $v$  and detected in TD using the single-stream ML detector of [13]. In case of OFDMA, however, “ $N_d$ -point IDFT” does not exist and the signal is detected in FD by the single-stream ML detector of [13] and as such, the OFDMA scheme cannot benefit from the potential diversity provided by the DFT based precoding stage. It is worth mentioning at this point that under the idealized assumption of perfect synchronization, perfect orthogonality of the users using different sub-carriers, the OFDMA/SC-FDMA-aided STSK scheme is decontaminated from MUI. However, the symbols transmitted by a given user in the context of both the LFDMA and IFDMA scheme with MMSE equalization experience some form of self-interference (SI) [110]. The single-stream based ML detector for estimating the source bits of user  $v$  from the decision variable  $\mathbf{z}^v$  of the OFDMA/SC-FDMA aided STSK scheme may be formulated as [13]:

$$(\hat{q}^v, \hat{l}_c^v) = \arg \min_{q, l_c} \left\| \tilde{\mathbf{z}}^v[n_d] - \boldsymbol{\chi} \mathbf{k}_{q, l_c}^v \right\|^2, \quad (21)$$

where  $\mathbf{k}_{q, l_c}^v$  is the equivalent transmit signal vector corresponding to user  $v$  at indices  $q$  and  $l_c$ . Note that the single-stream ML detector of (21) does not explicitly contain either the FD channel transfer function or the TD channel impulse response. Hence, data estimation using (21) involves a reduced number of multiplications and additions.

2) *Reduced-Complexity Detector for the OFDMA/SC-FDMA-Aided STSK*: In order to further reduce the compu-

tational complexity of the OFDMA/SC-FDMA-aided STSK scheme of [13], a reduced-complexity detector was conceived in [137]. The reduced-complexity detector of [137] was designed in the spirit of [48], while exploiting the reduced-complexity behavior of the single-stream ML detector of [8], [13]. The schematic diagram of the OFDMA/SC-FDMA-aided STSK receiver employing the reduced-complexity detector is shown in Fig. 17. This detector first employs MIMO MMSE FDE, followed by the “IDFT” processing, although the “IDFT” block does not exist in case of the OFDMA-aided STSK. Then the indices of the activated DM,  $q^v$  and of the constellation symbol,  $l_c^v$  corresponding to a particular user  $v$  are estimated.

Following the above-mentioned elaborations on the detector’s operation obeying the architecture portrayed in Fig. 17, each of the steps followed by the detector may be summarized as in Algorithm 1.

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**Algorithm 1:** A reduced-complexity detector conceived for OFDMA/SC-FDMA-aided STSK

---

- 1) Given the STSK parameters  $(M, N, T, Q, \mathcal{L})$  and the DMs in terms of the dispersion character matrix (DCM)  $\boldsymbol{\chi}$ , the signals received by the AEs are input to MIMO FDE after OFDM demodulation and subcarrier demapping;
- 2) After multiplication by the MMSE weight matrix  $\mathbf{W}^v$ , the signal is passed through the “IDFT” block of Fig. 17. For OFDMA, there is no “IDFT” block, as seen in Fig. 17;
- 3) The vectorial stacking based linearization of [5] is employed:  $\tilde{\mathbf{Z}}^v = \text{vec}(\mathbf{Z}^v)$ ;
- 4) The decision variable is then obtained as:  $\mathbf{Z}^v = \frac{1}{T} \boldsymbol{\chi}^H \tilde{\mathbf{Z}}^v$ ;
- 5) The index  $\hat{q}^v$  of the DM activated and the index  $\hat{l}_c^v$  of the constellation symbol are computed separately;
- 6) The index  $\hat{q}^v$  of the DM activated is evaluated as:

$$\hat{q}^v = \arg \max_{q \forall l_c} \left[ 2 \left\{ \Re(z_q^v) \parallel \Re(s_{l_c}^v) \right\} + \left\{ \Im(z_q^v) \parallel \Im(s_{l_c}^v) \right\} - |s_{l_c}^v|^2 \right]; \quad (22)$$

- 7) The index  $\hat{l}_c^v$  of the constellation symbol is found by evaluating:

$$\hat{l}_c^v = \arg \min_l |z_{\hat{q}^v}^v - s_l|; \quad (23)$$

- 8) Thereby the source bits of user  $v$  have now been estimated.
- 

The reduced-complexity detector is a promising candidate for employment in OFDMA/SC-FDMA-aided STSK transmissions over frequency-selective channels even under multi-user scenarios in terms of its performance versus complexity trade-off.

## V. SUCCESSIVE RELAYING-AIDED DISTRIBUTED MC STSK

In Sections III-B, IV-B, and IV-C, we have investigated the schemes incorporating OFDM, MC-CDMA, and OFDMA/SC-FDMA based transmissions of the multiple-antenna signals



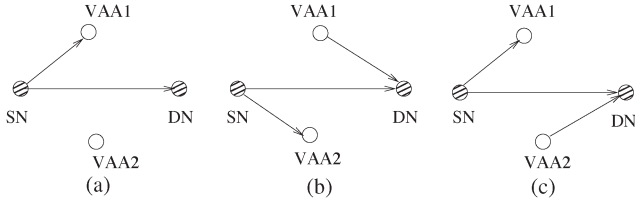


Fig. 18. Transmission protocol of SR aided cooperation during different time slots [14], [19].

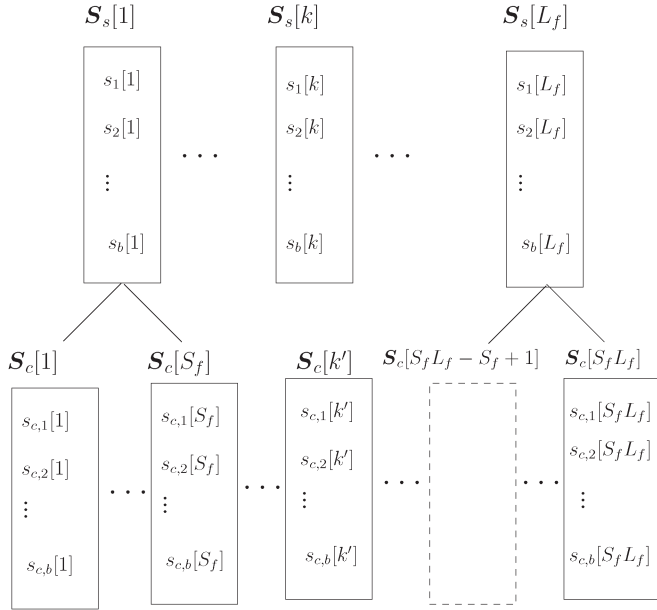


Fig. 19. Illustration of the transmission protocol of the SN of Fig. 18. The  $L_f$  transmit blocks  $\mathbf{S}_s[k]$  of a transmission frame are spread in FD by the MC-CDMA modem using the user-specific spreading sequence  $\mathbf{C}_A^u$  or  $\mathbf{C}_B^u$  depending on whether frame-A or frame-B is being transmitted, which results in  $S_f L_f$  spread blocks  $\mathbf{S}_c[k']$ .

relying on the STSK system philosophy. However, in those sections, we have focussed our attention on MIMO scenarios, where multiple co-located antennas are employed both at the transmitter as well as at the receiver. Hence for simplicity we refer to these as the family of co-located MIMO schemes, which are potentially susceptible to the detrimental effects of inter-antenna correlation due to the insufficient separation among the antennas. For the sake of mitigating the deleterious effects imposed by the inter-antenna correlation, in this section, we consider SR aided coherent-versus-noncoherent MC STSK schemes.

#### A. Coherent SR Aided Cooperative MC STSK

The typical transmission protocol of the SR scheme [19] is shown in Fig. 18, where the distributed RNs form a pair of virtual antenna arrays (VAAs) and the source node (SN) successively transmits its FD spread signals to one of the VAAs in addition to directly transmitting it to the destination node (DN).

1) *Transmission Protocol of the SN*: The transmission regime of the SN is illustrated in Fig. 19. The SN first attaches the cyclic redundancy checking (CRC) bits to its information bits and transmits them both to the  $M$  RNs

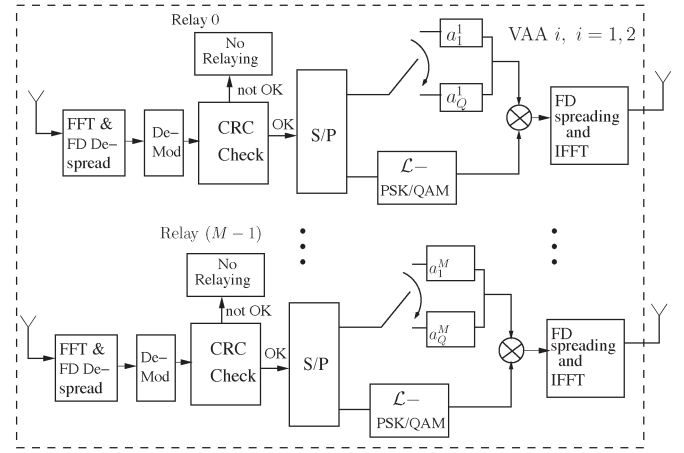


Fig. 20. Architecture and relaying principle of each of the VAAs.

of a VAA as well as to the DN in each of its broadcast phases, as seen from Fig. 19. The CRC-protected bits are then mapped to  $\mathcal{L}'$ -PSK/QAM symbols, which then form the symbol blocks  $\mathbf{S}_s[k] \triangleq [s_1[k], \dots, s_b[k]]^T \in \mathbb{C}^{b \times 1}$  of  $b$  symbols, where  $k (1, 2, \dots)$  denotes the block index. A frame is then defined by  $L_f$  number of the above-mentioned symbol blocks, where  $L_f$  represents the frame length. The frames are then divided into two groups—those that are broadcast when VAA1 of Fig. 18 is receiving are termed as frame-A, while those being broadcast when VAA2 is receiving are referred to as frame-B. Frame-A is then spread in FD by the  $S_f$ —length user-specific spreading sequence  $\mathbf{C}_A^u$ , while frame-B by  $\mathbf{C}_B^u$ . This spreading operation results in the spread blocks  $\mathbf{S}_c[k'] = \mathbf{C}_A^u[s_f] \mathbf{S}_s[k] \in \mathbb{C}^{b \times 1}$  and  $\mathbf{S}_c[k'] = \mathbf{C}_B^u[s_f] \mathbf{S}_s[k] \in \mathbb{C}^{b \times 1}$ , respectively, depending on which frame is being transmitted. Assuming the spread frame length ( $L_f \times S_f$ ) to be a multiple of the number  $N_c$  of subcarriers, each frame is mapped to the subcarriers using the  $N_c$ -point IDFT and then it is transmitted after appending the CPs.

2) *The VAA*: The constituent RNs of each VAA activate CRC based selective decode-and-forward (DF) relaying. The relaying principle of each of the VAAs is portrayed in Fig. 20. Each of the two VAAs is composed of  $M$  RNs and the signal received at each RN of a VAA is decoded employing FD MC-CDMA despreading. If the signal at the RN is deemed to be correctly decoded by the CRC, then it is allowed to relay. The RN then re-encodes the decoded bits to STSK codewords in accordance with the relationship of  $b \cdot \log_2 \mathcal{L}' = \log_2(\mathcal{L} \cdot Q)$ , where  $\mathcal{L}$  is the modulation order of the constellation employed by the STSK [14]. In Fig. 20, the  $m$ —th row of the DM  $\mathbf{A}_q$  ( $q = 1, \dots, Q$ ) is represented by  $a_q^m$ . After appropriate re-encoding, the  $m$ —th RN transmits the  $m$ —th row of the resultant STSK codeword after MC-CDMA based FD-spreading and IDFT operation, provided that no decoding error is identified by the CRC. Otherwise, the RN refrains from relaying.

3) *Joint Detection at the DN*: The DN then jointly detects the signals received via the SN-to-DN and the VAA-to-DN links using the single-stream based joint maximum-likelihood (ML) detector of [14]. To elaborate a little further, the DN employs the double-frame matched filter of [138] for detection of the two replicas of the same signal. Specifically, a filter matched to



$C_A^u$  is employed during frame-A, whereas a filter matched to  $C_B^u$  is employed during the next consecutive frame-B transmission.

The SR regime discussed above assists in recovering the half-duplex throughput loss at the cost of imposing inter-VAA interference. Furthermore, the signal also interferes with other stream arriving at the DN [19], [20]. The problem of Inter-VAA interference is eliminated by invoking the proposed CRC based selective DF cooperation along with the specific FD despreading regime used, while the interference at the DN is mitigated by using the double-frame-based chip-waveform matched filter of [138].

A close observation of the SR strategy reveals that the two replicas of the same frame arrive at the DN in the consecutive phases through the direct SN-DN link and via the VAA links. Hence, the joint detection is carried out over two consecutive frames of the received signal. The joint ML detector conceived for estimating the bits of frame-A may be formulated as [14], [18]:

$$(\hat{q}[k], \hat{l}_c[k]) = \arg \min_{q, l_c} \left\{ \left\| \mathbf{Z}^A[k] - \mathbf{H}_J^A[k] \bar{\mathbf{S}}_s^{q, l_c} \right\|^2 \right\}, \quad (24)$$

where  $\|\bullet\|$  represents the Euclidean norm of the matrix “ $\bullet$ ,”  $\mathbf{S}_s^{q, l_c}$  and  $\bar{\mathbf{S}}_s^{q, l_c}$  are the legitimate values of the symbol blocks  $\mathbf{S}_s[k]$  and  $\bar{\mathbf{S}}_s[k]$  specified by the indices  $(q, l_c)$  during symbol duration  $k$ , while the combined FD channel transfer matrix is defined as [14], [18]:

$$\mathbf{H}_J^A[k] \triangleq \begin{bmatrix} \bar{h}_{sd}^A[k] \mathbf{I}_b & \mathbf{0}_{b \times Q} \\ \mathbf{0}_{T \times b} & \bar{\mathbf{H}}_{v1d}^A[k + L_f] \boldsymbol{\chi} \end{bmatrix} \in \mathbb{C}^{(b+T) \times (b+Q)}, \quad (25)$$

with two submatrices expressed by  $\bar{h}_{sd}^A[k] \mathbf{I}_b \in \mathbb{C}^{b \times b}$  and  $\bar{\mathbf{H}}_{v1d}^A[k + L_f] \boldsymbol{\chi} \in \mathbb{C}^{T \times Q}$ , respectively, and two zero matrices.

We note that as a benefit of employing SR, the information is received by the DN both from the direct SN-DN link as well as from the VAA-DN link during both the broadcast and the cooperation phase. This leads to the total normalized throughput of [139]

$$\begin{aligned} R &= \frac{b \cdot \log_2 \mathcal{L}' + T \log_2 (\mathcal{L} \cdot Q)}{b + T} \\ &= \frac{2 \log_2 (\mathcal{L} \cdot Q)}{b + T} \text{ [bits/symbol duration]}, \end{aligned} \quad (26)$$

which is twice that of the corresponding half-duplex scheme [14], [18], [19]. This benefit, however, is achieved at the cost of supporting less users, because the available number of spreading sequences becomes less as a consequence of employing SR.

### B. Noncoherent SR Aided Cooperative MC STSK

In order to overcome the performance degradation imposed by channel estimation (CE) errors, a cooperative MC DSTSK scheme was studied in [14], which retained all the fundamental benefits of the coherent scheme. It was shown in [14] that the CRC-activated coherent scheme potentially benefits from a higher diversity gain than either the conventional DF or the non-cooperative schemes, whilst attaining an increased throughput, which is an explicit advantage of using SR. However, the differ-

ential scheme suffers from a performance penalty of about 3 dB compared to the perfect-channel-state information (CSI) aided coherent scheme owing to the inherent noise doubling process of differential encoding. On the other hand, the cooperative coherent scheme's performance was severely degraded by the CE errors. Moreover, the coherent scheme requires the transmission of pilot symbols in addition to the CRC overhead. Hence, the differential multi-carrier cooperative STSK system may be considered an attractive candidate for cooperative MIMO-aided multi-carrier communications.

The transmission regime of the noncoherent MC DSTSK scheme is portrayed in Fig. 21, where the identical architectures of the pair of VAA arrangements are explicitly shown. In particular, the following points are worth mentioning in the context of the distributed MC DSTSK.

- 1) The DMs used for the cooperative MC DSTSK scheme are directly generated unitary matrices as in [140], so that the employment of nonlinear Cayley transform of [8] may be avoided.
- 2) The differential encoding requires satisfying the simplifying condition [27] of  $M = T$ , so that the STSK codewords are  $(M \times M)$ -element square matrices.
- 3) Differential encoding of the multicarrier based system can be performed either in the TD (differential encoding across the consecutive symbols of the same sub-carrier) or in the FD (differential encoding across the symbols of the adjacent sub-carriers of the same MC-CDMA block). The TD approach is suitable for continuous transmissions, as opposed to the FD approach, which is useful for burst-based transmissions [141], [142].

## VI. ML-MSDSD AND SOFT MAP-MSDSD-AIDED MC DSTSK

In this section, both hard-decision as well as soft-decision based MSDSD is invoked for MC DSTSK-aided transmission over frequency-selective channels. Specifically, the DSTSK signaling blocks are generated by the original source bits of the hard-decision scheme or by the channel-encoded bits of the soft-decision system. The resultant space-time blocks are then appropriately mapped to a number of OFDM subcarriers. After OFDM-demodulation, the DSTSK signal may be noncoherently detected by a soft-decision-aided MAP-MSDSD detector [69].

Again, as investigated in [8], [14], DSTSK employing conventional differential detection (CDD) suffers from a typical 3 dB performance penalty in low-Doppler scenarios. Additionally, a high-mobility scenario characterized by a high Doppler frequency may result in an irreducible error floor [143]. The performance erosion of CDD may be mitigated by employing multiple-symbol differential detection (MSDD) [144]. MSDD is capable of efficiently exploiting the fading-plus-noise statistics of the channel for the joint detection of  $(N_w - 1)$  information symbols from  $N_w$  number of consecutively received symbols, where  $N_w$  is usually termed as the *observation window size*. However, the complexity associated with MSDD is typically high. Hence sphere decoding may be combined with MSDD for reducing the complexity and the resultant scheme is

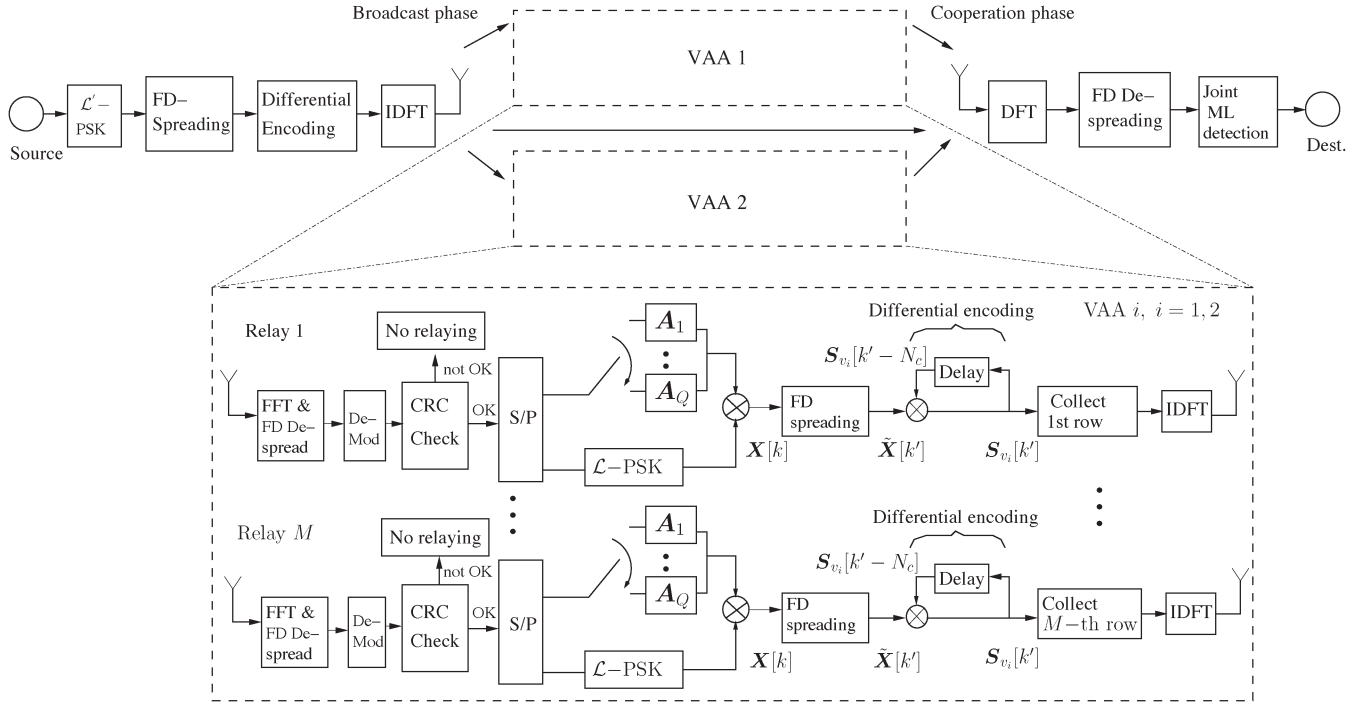


Fig. 21. Schematic of the distributed MC DSTSK employing CRC-based selective DF relaying [139].

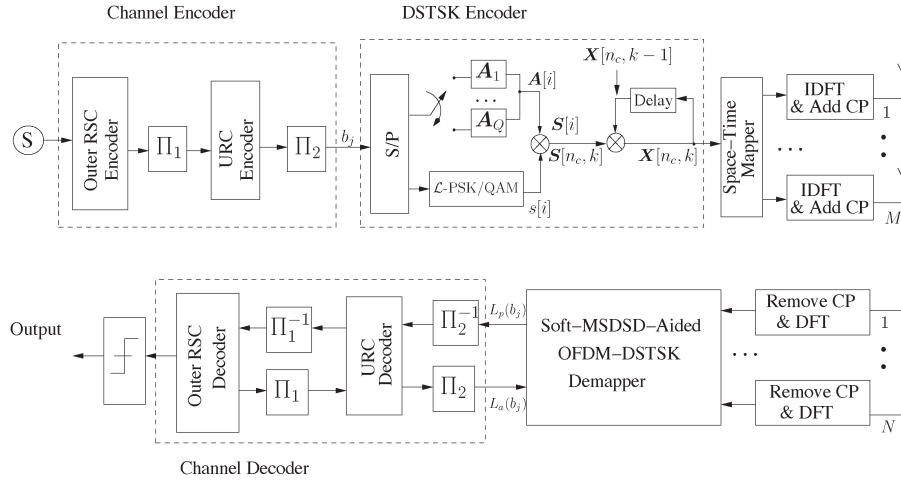


Fig. 22. Transceiver architecture of the concatenated channel-coding aided DSTSK scheme relying on the soft-MSDSD of [69] as the inner decoder. For hard-decision scheme, the channel en/decoder block is absent and ML-MSDSD is invoked in place of MAP-MSDSD.

referred to as the MSDSD [145], [146]. On the other hand, the concept of differential space–frequency modulation (DSFM) employing MSDSD was conceived in [141] for exploiting both the achievable spatial- and frequency-domain diversity. Hence, the ML-MSDSD and soft-decision MSDSD designed for OFDM based MC DSTSK operating in frequency-selective channels is investigated in this section [69].

As shown in Fig. 22, the STSK codewords  $\mathcal{S}[i]$  of the MC DSTSK scheme are mapped to  $N_c$  parallel subcarriers, before being differentially encoded. The codewords  $\mathcal{S}[n_c, k]$  are thus differentially encoded to form the transmit blocks  $\mathbf{X}[n_c, k]$  ( $k = 0, 1, 2, \dots$ ) according to [141]

$$\mathbf{X}[n_c, k] = \begin{cases} \mathbf{X}[n_c, k-1]\mathcal{S}[n_c, k], & k = 1, 2, \dots \\ \mathbf{I}_T & k = 0. \end{cases} \quad (27)$$

The DSTSK codewords are then transmitted after the  $N_c$ –point inverse discrete Fourier transform (IDFT) operation and appropriate CP incorporation.

#### A. ML-MSDSD for MC DSTSK

The ML-MSDD scheme of [69] processes  $N_w$  consecutively received space–time blocks of the  $n_c$ -th subcarrier given by  $\mathbf{Y}^w[n_c, k] \triangleq [\mathbf{Y}^T[n_c, k - N_w + 1], \dots, \mathbf{Y}^T[n_c, k]]^T$  and finds the ML estimates  $\hat{\mathbf{X}}^w[n_c, k]$  of the corresponding  $N_w$  transmitted blocks [146], [147], as defined by  $\mathbf{X}^w[n_c, k] = [\mathbf{X}^T[n_c, k - N_w + 1], \dots, \mathbf{X}^T[n_c, k]]^T$ . Since the differentially encoded blocks  $\mathbf{X}[n_c, k]$  are related to the STSK codewords by a one-to-one mapping relationship, the ML-MSDD in turn estimates the  $(N_w - 1)$  STSK codewords  $\mathcal{S}[n_c, k - N_w + 2]$ ,

...,  $\mathcal{S}[n_c, k]$ , which further estimates the source information mapped to the STSK codewords.

For the sake of employing MSDDD and in order to reduce the complexity associated with an exhaustive search, we employ ML-MSDSD similar to [145], [146] in order to search through the candidate set lying within a sphere of radius  $\rho_s$  [69]:

$$\sum_{\mu=1}^{N_w} \left\| \mathbf{Y}_{\mu,\mu}^H \mathbf{A}_{\mu} + \sum_{v=\mu+1}^{N_w} (\mathbf{Y}_{\mu,v}^H \mathbf{A}_v) \right\|^2 \leq \rho_s^2, \quad (28)$$

where the accumulated differential matrices may be expressed as [148]

$$\mathbf{A}_v = \begin{cases} \prod_{\lambda=v}^{N_w-1} \mathbf{S}_{\lambda}^H, & 1 \leq v \leq (N_w - 1) \\ \mathbf{I}_T, & v = N_w. \end{cases} \quad (29)$$

### B. Soft-Decision MAP-MSDSD Receiver

The soft-MSDSD aided MC DSTSK scheme is portrayed in Fig. 22. Assuming the STSK codewords to be mutually independent, the sphere decoding technique of [149], the partial Euclidean distance (PED) criterion detailed in [145], [148], [150] may be invoked. Again, the MAP-MSDSD is initialized with  $\mu = (N_w - 1)$  and then proceeds by applying the above-mentioned PED search criterion until we reach  $\mu = 1$ , where the search radius is updated to  $\rho^2 = d_1^2$  and the search is repeated by commencing from  $\mu = 2$  until  $\mu = (N_w - 1)$  is reached. If the new search does not provide a better estimate, the previous estimate is retained.

Assuming a high interleaver depth so that the permuted bits may be treated as being independent, the *a priori* log-likelihood ratio (LLR) corresponding to the bit  $b_j$  interleaved by the interleaver  $\Pi_2$  of Fig. 22 is defined by [69], [148]:  $L_a(b_j) \triangleq \ln \frac{P(b_j=b)}{P(b_j=\bar{b})}$ , where  $b \in \{0, 1\}$  and the  $j$ -th bit  $b_j = b$  corresponds to the MAP-MSDSD estimate  $\hat{\mathcal{S}}_w^b$ , while  $\bar{b}$  indicates its complement. The *a posteriori* LLR  $L_p(\cdot)$  of  $b_j$  may then be approximated by the maximum-logarithmic-MAP (max-log-MAP) algorithm [69], [148]. The extrinsic LLR  $L_e(\cdot)$  for  $b_j$  is then evaluated by subtracting the *a priori* LLR  $L_a(\cdot)$  from the *a posteriori* LLR  $L_p(\cdot)$ . The extrinsic information gleaned from the soft-MSDSD demapper is then iteratively exchanged with the URC decoder, which may be referred to as the inner iteration, while the exchange of extrinsic information between the URC and the RSC decoder of Fig. 22 may be referred to as the *outer* iteration. For each outer iteration between the RSC and the URC decoder, several inner iterations are invoked between the URC and the soft-MSDSD-aided DSTSK demapper [38], [69]. Finally, the bits are estimated from the *a posteriori* LLRs generated by the RSC decoder.

1) *Complexity of the MAP-MSDSD-Aided DSTSK*: In order to quantify the complexity of the MAP-MSDSD scheme, we may consider the number of real-valued multiplication operations (RMOs) required for obtaining a single soft-output value, which is used as the complexity metric. The lower bound of the complexity may be obtained [145], [148] as  $[MN(2M + 1)/\log_2(\mathcal{L} \cdot Q) + 2M^2N]N_w^2$  for large  $N_w$ .

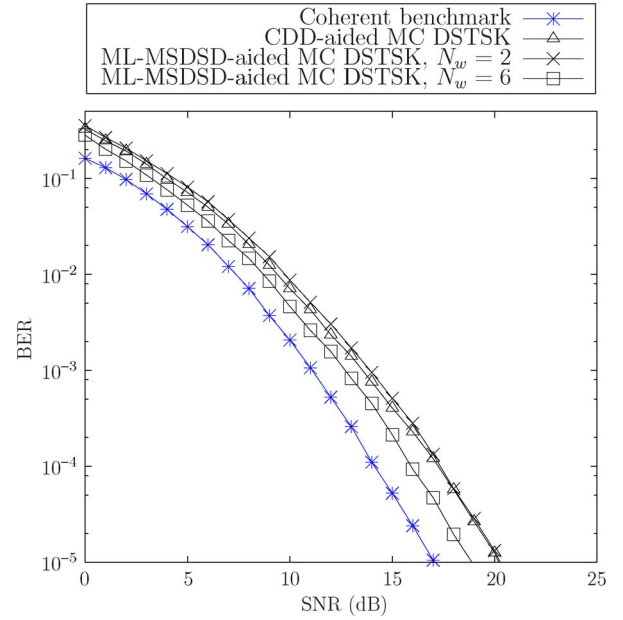


Fig. 23. Simulated BER performance of the ML-MSDSD aided QPSK-modulated MC DSTSK (2, 2, 2, 4) scheme for transmission over dispersive COST207-TU12 channel with normalized Doppler frequency  $f_d = 0.001$  and observation window sizes of  $N_w = 2$  and  $N_w = 6$ . The difference in BER performance between coherent and noncoherent scheme decreases with increasing value of  $N_w$ .

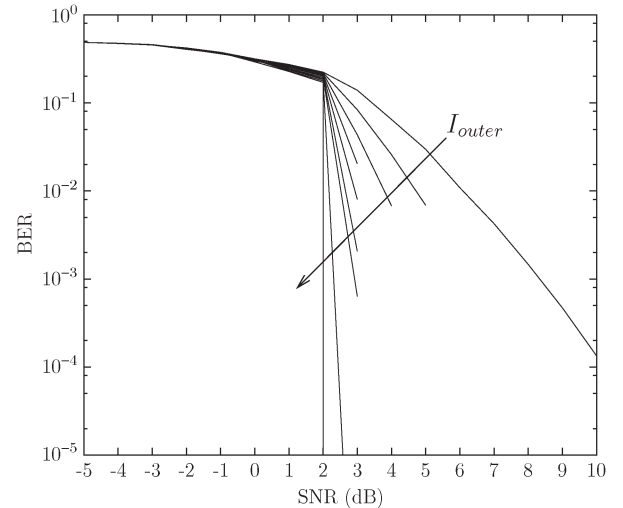


Fig. 24. Achievable BER performance of the soft-MSDSD-aided OFDM DSTSK (2, 2, 2, 4) in conjunction with QPSK modulation and  $N_w = 4$  for transmission over dispersive COST207-TU12 channel using interleaver length of 200000 bits and a total of 11 outer iterations. Note that after  $I_{outer} = 9$  iterations, BER converge to vanishingly low BER and the curves are seen almost overlapping after  $I_{outer} = 9$  iterations.

2) *Performance of the ML-MSDSD and MAP-MSDSD-Aided DSTSK*: Fig. 23 characterizes the achievable BER of the ML-MSDSD-aided QPSK-modulated MC DSTSK (2,2,2,4) scheme, while that of the soft-MSDSD-aided MC DSTSK scheme associated with different observation window sizes of  $N_w = 2, 4, 6, 10$  is investigated in Figs. 24–26. We have employed the COST207-TU12 channel model for the links between each transmit-receive antenna pair and an RSC (2, 1, 2) outer code having octally represented generator polynomials of  $(g_r, g) = (3, 2)_8$  as well as two random interleavers of length

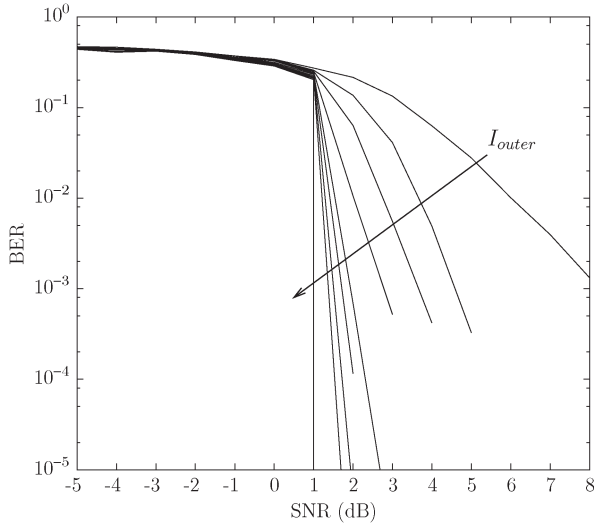


Fig. 25. Achievable BER performance of the soft-MSDSD-aided OFDM DSTSK (2, 2, 2, 4) in conjunction with QPSK modulation and  $N_w = 10$  for transmission over dispersive COST207-TU12 channel using interleaver length of 200000 bits and a total of 11 outer iterations. Note that after  $I_{\text{outer}} = 9$  iterations, BER converge to vanishingly low BER and the curves are seen almost overlapping after  $I_{\text{outer}} = 9$  iterations.

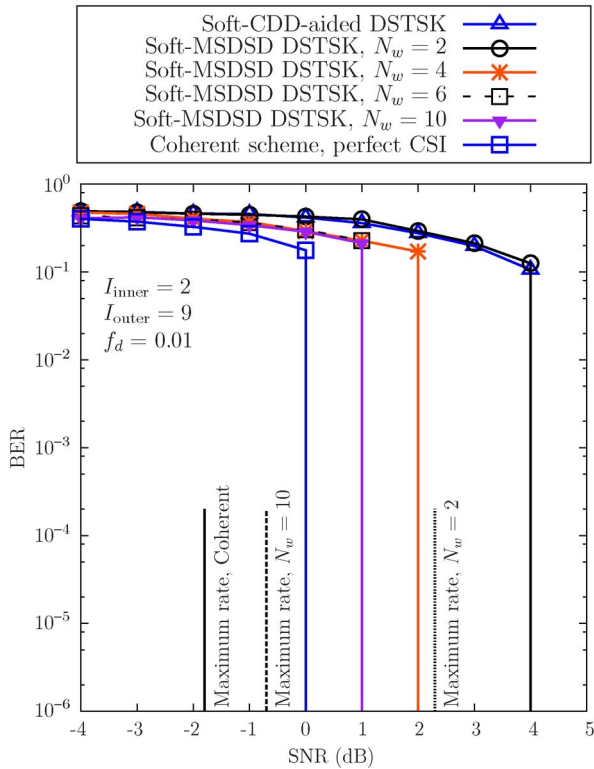


Fig. 26. Simulated BER performance of the soft-MSDSD aided QPSK-modulated MC DSTSK (2, 2, 2, 4) scheme [69] for transmission over dispersive COST207-TU12 channel with normalized Doppler frequency  $f_d = 0.001$  and different observation window size  $N_w = 2, 4, 6, 10$ . The BER falls sharply after  $I_{\text{outer}} = 9$  outer iterations as a benefit of employing the URC and the performance approaches that of the coherent scheme with perfect CSI with increasing value of  $N_w$ .

200 000 bits. The number of inner and outer iterations was set to  $I_{\text{inner}} = 2$  and  $I_{\text{outer}} = 11$  respectively. As seen in Fig. 23, upon increasing the window size  $N_w$ , the BER performance difference between the coherent and noncoherent scheme is

reduced. Figs. 24 and 25 were recorded for the soft-MSDSD-aided OFDM DSTSK (2, 2, 2, 4) scheme associated with QPSK modulation and  $N_w = 4$  and  $N_w = 10$ , respectively. Observe that the characteristics converge to a low BER for  $I_{\text{outer}} = 9$  iterations. For more than  $I_{\text{outer}} = 9$  iterations the curves become overlapped. The BER of the ML-MSDSD and soft-MSDSD-aided MC DSTSK schemes is compared furthermore to that of the corresponding coherent scheme relying on perfect CSI in Figs. 23 and 26. The MC DSTSK scheme has the benefit of dispensing with CE due to differential encoding, while mitigating the dispersion-induced performance erosion of classic STSK by employing OFDM. Furthermore, the multiple-symbol detection partially mitigates the inherent performance penalty imposed by noncoherent detection. Observe in Fig. 26 that as  $N_w$  increases, the BER performance gradually approaches that of the perfect CSI-oriented coherent scheme. Note that all the performance characteristics converge to a vanishingly low BER after  $I_{\text{outer}} = 9$  iterations, which is the explicit benefit of using the URC in the system.

## VII. SUMMARY AND POTENTIAL FUTURE RESEARCH

In this section, we summarize the philosophy of MC STSK schemes and the relevant design guidelines, followed by a range of research topics concerning potential future studies.

### A. Summary

We have provided detailed transceiver designs for the family of STSK-based MIMO-MC systems for transmissions over dispersive wireless channels. More specifically, OFDM, MC-CDMA, OFDMA and SC-FDMA aided MIMO systems employing the STSK concept were discussed. Furthermore, the successive DF relaying aided cooperative MC STSK scheme of [14] was conceived for eliminating the effects of correlated fading often experienced in non-cooperative scenarios, while mitigating the typical throughput loss of classic half-duplex relaying schemes. Furthermore, an OFDM-aided DSTSK arrangement of Fig. 22 was studied for the sake of dispensing with CE, while simultaneously reducing the decoding complexity imposed.

Let us now compare the OFDM-aided coherent STSK (CSTSK) scheme of [11], the MC-CDMA-aided CSTSK regime of [12], the OFDMA/SC-FDMA-aided CSTSK arrangement of [13], the MC-CDMA-aided cooperative CSTSK and DSTSK architecture of [14] and the soft-MSDSD-aided MC DSTSK scheme of [145] in Table VII. We note that all these schemes mitigate the performance degradation imposed by the channel-induced dispersion, but as a further benefit, the MC-CDMA-aided STSK and the SC-FDMA-aided STSK are capable of providing additional FD diversity gains. Under the assumption of perfect orthogonality amongst the subcarriers, the SC-FDMA-aided scheme remains free from MUI, whereas the MUI imposed on the MC-CDMA-aided scheme can only be mitigated by a suitable MUD. The complexity associated with the employment of a MUD is typically high.

The decoding complexity of the different schemes highlighted in this treatise is summarized in Table VIII. In addition



TABLE VII  
COMPARATIVE ANALYSIS OF THE OFDM-AIDED CSTSK SCHEME, THE MC-CDMA-AIDED CSTSK SCHEME, THE OFDMA/SC-FDMA-AIDED CSTSK SCHEME, THE MC-CDMA-AIDED COOPERATIVE CSTSK AND DSTSK SCHEMES AND SOFT-MSDSD-AIDED MC DSTSK SCHEME FOR TRANSMISSIONS OVER THE DISPERSIVE COST 207-TU12 CHANNEL. CO-LOCATED STSK (2, 2, 2, 4), QPSK, AND DISTRIBUTED STSK (2, 2, 4), QPSK SCHEMES ARE CONSIDERED

	OFDM-aided CSTSK	MC-CDMA-aided CSTSK	OFDMA/SC-FDMA-aided CSTSK	Distributed MC CSTSK	Distributed MC DSTSK	MSDSD-aided MC DSTSK
Schematic of the scheme	Fig. 8	Fig. 11	Fig. 15 and 16; Fig. 17 (Reduced-complexity detector)	Fig. 18 and Fig. 19	Fig. 21	Fig. 22
CSI required?	yes	yes	yes	yes	no	no
Detector	Single-stream ML detector of (13)	SUD of (20) & ML-MUD of [12]	Single-stream ML detector of (21) and reduced-complexity detector of Algorithm 1:	Joint ML detector of (21)	Joint ML detector of (24), but for differential scheme of [14]	ML-MSDSD of (28) and Soft-decision MAP-MSDSD of Section VI-B
SNR at BER=10 <sup>-5</sup> (uncoded scheme)	16 dB	16 dB (S <sub>f</sub> = 1) 13.5 dB (S <sub>f</sub> = 4)	16 dB (OFDMA, ML) 13.5 dB (SC-FDMA, MMSE)	16 dB (N = 1)	19 dB (N = 1)	18.0 dB (N <sub>w</sub> = 6)

TABLE VIII  
DECODING COMPLEXITY OF THE SCHEMES PROPOSED. THE COMPLEXITY IS QUANTIFIED IN TERMS OF THE RMOs REQUIRED FOR ESTIMATING A BIT OR FOR OBTAINING A SINGLE SOFT-OUTPUT VALUE OF THE SOFT-MSDSD-AIDED MC DSTSK SCHEME

Decoding Scheme	Complexity
ML detector of (13) for OFDM-aided CSTSK	$\frac{4MNT^2Q + 6NTQL}{\log_2(Q \cdot \mathcal{L})}$
The detector of (20) for MC-CDMA-aided CSTSK	$\frac{10MTQL}{\log_2(Q \cdot \mathcal{L})}$
ML-MUD of [12] for MC-CDMA-aided CSTSK	$\frac{S_f (4MNT^2QU + 5NTQLU + 2NTQL)}{\log_2(Q \cdot \mathcal{L})}$
ML detector of [13] for SC-FDMA-aided CSTSK	$\frac{10MTQL}{\log_2(Q \cdot \mathcal{L})}$
Reduced-complexity detector of Algorithm 1: for OFDMA/SC-FDMA-aided CSTSK	$\frac{4M^2N + 8MN + 4MTQ + 2QL^1 + Q + 2L}{\log_2(Q \cdot \mathcal{L})}$
Joint ML detector of [14]	$\frac{4MQT^2 + 6TQL + 4L' + 2bQL}{\log_2(Q \cdot \mathcal{L})}$
MAP-MSDSD of Section VI-B for soft-MSDSD-aided DSTSK	$\geq [MN(2M + 1) / \log_2(\mathcal{L} \cdot Q) + 2M^2N] N_w^2$

to the low decoding complexity imposed by the SC-FDMA-aided scheme, it has the additional benefit of providing a low PAPR, and hence it is particularly suitable for uplink transmissions. It is also worth noting that the distributed MC STSK scheme of [14] has been investigated in the context of a single DN, but these studies may be readily extended to multiple DNs.

### B. Design Guidelines

The design guidelines of the MC STSK schemes relying on the  $(M, N, T, Q)$  parameters in conjunction with the  $\mathcal{L}$ -ary constellation of the STSK system as a function of the number

of subcarriers  $N_c$ , the spreading factor  $S_f$ , the DFT-spreading length  $N_d$  and the CP-length  $L_{cp}$  are summarized as follows:

- 1) The values of  $(M, N)$  specify the number of transmit and receive AEs.
- 2) The values of  $(Q, \mathcal{L}, T)$ , i.e., the number of DMs, the modulation order and the number of symbol intervals over which spreading takes place are chosen in any space-time block so as to satisfy the desirable DMT characterized by the rate  $R = \log_2(\mathcal{L} \cdot Q) / T$  and by the achievable diversity order of  $N \cdot \min(M, N)$ .
- 3) For all the possible combinations of  $(\mathcal{L}, Q)$ , the  $Q$  DMs are computed based on either the DCMC capacity-optimal or the PEP-optimal design procedure [5], [10].

- 4) The best set of  $(\mathcal{L}, Q)$  may be found by predicting the BER performance with the aid of the corresponding EXIT charts.
- 5) The number of subcarriers is chosen to ensure that the codeword symbols of each subcarrier experience frequency-flat fading. To be specific, the MC modulation scheme is designed by ensuring that the coherence bandwidth of the channel is higher than the subcarrier symbol rate. Additionally, the length of the CP adopted ensures the absence of ISI between the consecutive OFDM symbols.
- 6) In the MC-CDMA aided STSK scheme, the number of subcarriers  $N_c$  has to be equal to or a multiple of the spreading factor  $S_f$ , where increasing  $S_f$  provides a higher FD diversity gain.
- 7) In the OFDMA/SC-FDMA aided STSK arrangement, the number of subcarriers  $N_c$  is usually a multiple of the DFT-spreading length  $N_d$ , whereas for a fully-loaded system supporting  $U$  users, we have  $N_c = (N_d \times U)$ .
- 8) In the coherent SR aided cooperative MC-STSK arrangement, the parameters used are designed so as to support the data rate of  $R = \frac{2\log_2(\mathcal{L} \cdot Q)}{b+T}$  [bits/symbol duration], where  $b$  represents the number of symbols in the SN's transmit blocks.
- 9) The differential cooperative MC STSK and the soft-MSDSD aided MC-STSK are designed using directly generated unitary matrices, while satisfying the relationship of  $M = T$ .

### C. Future Research

In this section, we briefly discuss a range of potential future research ideas.

1) *Asynchronous Transmission*: A major challenge of MIMO systems is the IAS problem. The synchronization issue becomes acute in cooperative scenarios due to the asynchronous nature of relay nodes in the network. Perfect synchronization was considered in schemes discussed, although in practice it is difficult to acquire accurate system-level synchronization without imposing a high additional synchronization overhead and implementation complexity. The investigations of [151] revealed that the time-synchronization errors encountered in a cooperative scenario impose a significant performance degradation. Additionally, the propagation delays arising both from the different node locations in a cooperative scheme and from the multipath-induced delay-spread contribute to the sampling offset errors, which further aggravates the effect of synchronization errors. For mitigating the performance degradation imposed by synchronization errors in a cooperative regime, a number of space-time codes were proposed in [152], [153], which invoke space-time equalization under the assumption that the perfect knowledge of the CSI and/or of the relative delays is available at the receiver. In [154], [155], multi-carrier aided STCs were proposed specifically for dispersive links. However, all these schemes suffer from a high computational complexity.

In order to dispense with system-level synchronization between the RF chains associated with the transmit AEs, asynchronous STSK (ASTSK) was proposed in [8], [10]. This

scheme operates in a way similar to the SM/SSK systems, because a single AE is activated at each symbol duration, whilst maintaining the benefits of the STSK system. However, the ASTSK scheme imposes a further constraint on the design of DMs, as discussed in Section II-C1. More specifically, the ASTSK DMs must not contain more than a single non-zero element in each column. Indeed, obtaining suitable DMs which are capable of meeting the required capacity and/or BER performance targets, while satisfying the power constraint mentioned in (5), is a challenging task. The design of a beneficial asynchronous MC STSK arrangement in the context of large-scale (LS) MIMOs [156]–[159] constitutes a set of major open challenges for future research. More specifically, like the SM/SSK schemes, ASTSK has the potential of low-cost hardware design in the context of LS-MIMO systems [70], [160]. Furthermore, the impact of synchronization errors imposed on the cooperative regime of Figs. 20 and 21 and the corresponding design alternative conceived for the asynchronous transmissions has to be investigated.

2) *Multiuser Multicell Scenario*: The MC STSK scheme relying on both co-located as well as on distributed AEs may be further investigated for employment in multiuser, multicell systems. The scheme may be employed in a coordinated multipoint (CoMP) communications scenario, where the different base stations (BSs) operate in a coordinated manner in order to mitigate the intercell interference and to increase the cell edge throughput [161]–[164]. The scheme may also be considered for employment both in heterogeneous networks (HetNets) including femto/pico BSs, fixed/mobile relays and cognitive radios as well as in appropriate interference mitigation arrangements. The concept of *area spectral efficiency* (ASE) was conceived in [165] for quantifying the spectral efficiency per unit area of a cellular mobile radio system. In [61], the ASE of soft-decision space-time-frequency-shift keying (STFSK) aided slow-frequency-hopping multiple access (SFHMA) was investigated. Designing appropriate interference management for the schemes of Figs. 20 and 21 under a multiuser multicell scenario constitutes an open problem. A holistically optimized system incorporating the MC STSK scheme may be considered for providing a high ASE.

3) *Coded Modulation Aided MC STSK Scheme*: The MC STSK scheme may be amalgamated with the family of classic coded modulation schemes, such as Ungerboeck's trellis-coded modulation (TCM) [166]–[168], turbo trellis-coded modulation of Robertson and Worz (TTCM) [169], the bit-interleaved coded modulation (BICM) of Caire *et al.* [170] and the bit-interleaved coded modulation regime of Li *et al.* [171] relying on iterative decoding (BICM-ID). The bandwidth-efficient BICM scheme has been widely studied, for example in [172]–[174]. We have employed a near-capacity three-stage coding arrangement for the MC STSK schemes of Fig. 22 and of [12], [13]. These three-stage coding architectures are essentially similar to the BICM technique. However, a comprehensive study of the coded modulation aided MC STSK scheme's performance constitutes a major item of potential future study.

4) *Network Coding for Cooperative MC STSK Scheme*: Network coding is a promising technique for wireless

communication systems, which was conceived in the seminal paper by Ahlswede *et al.* [175] and it is especially beneficial for the sake of increasing the attainable network throughput, the scalability and robustness. Rather than simply replicating and relaying the SN's transmissions at the RNs, the signals are recombined at the intermediate nodes for example by their "Ex-OR" operation or by other linear combination techniques, which are then retransmitted [176], [177]. This was shown to be particularly beneficial in terms of approaching the maximum achievable information flow of the network. Network coding has the potential of improving the robustness, while simultaneously increasing the attainable throughput and reducing the delay of the system. Network coding [178] may be employed in the cooperative MC STSK scheme for further enhancing the ASE. Specifically, the cooperative coherent MC STSK scheme of [14] with its VAAs shown in Fig. 20 and the cooperative MC DSTSK scheme of Fig. 21 may provide increased throughput with the aid of incorporating network coding. However, physical layer network coding and the associated recombining operation at the VAAs would not permit employment of CRC. This is because in a network coded scenario the VAAs will recombine the SN to VAA signal and the inter-VAA interference impinging on them either in the previous or in the next signaling period.

5) *Subcarrier Allocation and Scheduling of MC STSK Schemes:* We have also the further motivation to design joint power- and sub-carrier allocation schemes for the OFDMA/SC-FDMA based STSK DL/UL scenarios. There are numerous popular subcarrier allocation algorithms, such as the Greedy algorithm of Kim and Kim [179], the Hungarian algorithm of Harold [180] and the Worst Subcarrier Avoidance (WSA) technique [181] of Ermolova and Makarevitch, etc. Hence the performance of efficient power and sub-carrier allocation techniques has to be investigated in the context of MC STSK schemes, such as the one proposed by Liu and Yang for low-complexity subcarrier-power allocation in [182]. When considering the multiuser, multicell scenarios, appropriate upper layer concepts may be conceived for providing multiuser diversity. Specifically, channel-quality dependent multi-carrier as well as multiuser scheduling has to be invoked for allocating both the spectral and the power resources to different users for the sake of maximizing a meaningful OF, such as for example the total throughput [183]. The performance of different scheduling algorithms also has to be compared. In [184], [185], the authors designed opportunistic relay selection schemes for the SC-FDMA uplink. The performance of sophisticated relay selection schemes has to be studied in the context of cooperative multi-carrier STSK.

6) *Energy-Efficient MC STSK Design:* Against the backdrop of rising energy cost and carbon footprint of cellular networks, "green" wireless systems designed for a high throughput, whilst maintaining a high Quality-of-Service (QoS) and flexible scalability represent a few of the compelling needs of future communication systems. This necessitates the development of power-efficient, low-complexity solutions, whilst satisfying the target QoS as well as the throughput requirements [70], [186]. An appropriate design metric to be invoked may be the normalized energy-efficiency (EE) of Li *et al.* [187] expressed as the throughput per unit energy. The MC STSK schemes studied in

this paper have the potential of considerably reducing the power consumption of wireless systems as a benefit of its inherent diversity-multiplexing gain trade-off. The schemes activate a single DM at any symbol instant and the DMs may be designed for minimizing the overall energy consumption/dissipation. Furthermore, cross-layer-aware upper layer schemes may be designed for supporting energy-efficient operation of the MC STSK schemes.

7) *Potential Impact of MC STSK on 4G/5G Wireless—LS-MIMO and Millimeter Wave Communications:* To cope with the high demand for data services over the Internet, wireless standards are being developed at a breathtaking speed. These standards incorporate all significant improvements in the field of wireless access techniques. For example, wideband code division multiple access (WCDMA) and adaptive modulation and coding (AMC) aided high speed packet access (HSPA) were incorporated in the 3G specifications. The 4G concept of the International Mobile Telecommunications-Advanced (IMT-Advanced) system incorporates MIMOs for achieving beneficial multiplexing, diversity and adaptive beamforming gains amalgamated with a full-fledged OFDM and OFDMA-based radio access technology [188], [189]. The 5G system is expected to be rolled out by 2020 for facilitating ubiquitous and seamless connectivity by incorporating techniques such as LS-MIMOs [160], [190], CoMP [162], [191], device-to-device (D2D) communications [192]–[194], energy-efficient systems [195], [196] and millimeter wave (mm-wave) communications [189], [197]. Compared to 4G networks, 5G networks are expected to achieve 1000 times the system capacity and 10 times the spectral efficiency and data rate [196]. This may be achievable with the aid of LS-MIMOs in conjunction with interference-aware multi-tier networks [198], [199] comprising macro cells as well as small cells, relays and D2D networks. Each user of an LS-MIMO system is capable of scaling down its transmit power proportionally to the number of BS antennas [200], thereby providing an improved EE. LS-MIMO systems relying on adaptive beamforming are also compatible with the emerging technique of exploring the underutilized mm-wave frequency spectrum [189], [190], [201], [202]. Rappaport *et al.* [189] conducted extensive propagation measurements both at 28 GHz as well as at 38 GHz and advocated the inclusion of the unlicensed mm-wave bands in the 5G cellular landscape.

LS-MIMOs are particularly suitable for the SM scheme, since a high multiplexing gain may be attained at a reduced complexity due to the employment of a limited number of RF chains. However, the practical implementation of SM faces the challenge of acquiring CSI both at the transmitter and at the receiver [70]. The problem of acquiring CSI at the receiver might become acute, when transmit beamforming will be required at the BS. As an impediment, the SM scheme does not provide transmit diversity. By contrast, as a benefit, the OFDMA aided STSK DL and the SC-FDMA aided STSK UL of Section IV-C is readily compatible with the LTE-Advanced physical layer specifications. The MC STSK schemes studied in this treatise also have the potential of supporting LS-MIMO-based 5G networks. The schemes impose low decoding complexity as a benefit of employing single-stream transmission. Hence the number of RF chains may be adaptively selected by a suitable

design of the STSK DMs. To be more specific, each of the  $Q$  DMs,  $\mathbf{A}_q$ , ( $q = 1, 2, \dots, Q$ ) may be constructed for ensuring that there is only a low number of non-zero elements in each column of the DMs. This additional constraint imposed on the DM optimization procedure may assist us in using a limited number of RF chains, thereby improving the EE, whilst still retaining the benefits of the STSK scheme. This additional constraint, however, considerably reduces the search space of the set of DMs. The design of efficient DMs for attaining the desired DMT—especially in an LS-MIMO scenario—constitutes an open challenge.

Again, mm-wave techniques constitute promising solutions as part of the HetNet 5G landscape. Due to the decrease in wavelength at these high frequencies, a huge number of half-wavelength-spaced beamforming elements can be accommodated in a limited space, especially at the BS. This renders the MC STSK-based LS-MIMOs a viable solution to attain a flexible DMT at a low complexity and at a low power consumption. In practice, however, mm-wave cellular systems have to compensate for the high free-space path loss. Hence, 5G cellular systems have to take advantage of the high-dimensional beamforming-aided directional antenna arrays [70], [197], [203], [204]. In the light of this impediment imposed by the use of mm-wave frequencies, the application of MC STSK schemes at these frequencies necessitates practical solutions, which are capable of simultaneously providing diversity, multiplexing and beamforming gains. To the best of our knowledge, the application of MC STSK schemes to the mm-wave band of frequencies has not yet been investigated.

### VIII. CONCLUSION

Having commenced with a brief review of MIMO-MC systems and with an introductory discourse on the development of the beneficial STSK system, we provide helpful guidelines for designing MC STSK schemes in this tutorial. Both single-user versus multiuser as well as coherent versus noncoherent MC STSK were reviewed in this paper. The schemes highlighted here are beneficial in terms of striking a trade-off between the achievable diversity and multiplexing gains, as well as between the performance attained and the complexity imposed, while facilitating communications over dispersive wireless channels. In a nutshell, the basic motivation of the above-mentioned designs was that of improving the overall integrity and throughput of wireless systems by striking a compelling compromise among the conflicting design factors, such as the effective throughput, the coding as well as diversity gain, the implementation complexity etc. To outline the rationale of our work and to summarize our main contributions, we conclude as follows:

- STSK has the benefit of striking a flexible DMT by using single-stream ML detection, as mentioned for example in [8]–[10]. However, all the previous studies apart from [54] investigated STSK in non-dispersive scenarios.
- In order to retain all the benefits of STSK for transmission over dispersive channels, we need either a space–time equalizer or OFDM/MC-CDMA. The latter converts a dispersive channel into reduced-rate parallel non-dispersive channels [11], [12].

- To maintain the benefits of OFDM-based transmission, while facilitating multiuser communications, OFDMA/SC-FDMA aided STSK systems [13] were proposed. In order to further mitigate the decoding complexity of the single-stream ML detector, a reduced-complexity detector was proposed in [137].
- The transmit power may be reduced with the aid of relaying, especially by DF relaying, where the plausible benefit is that in the absence of decoding errors, the relay retransmits to the DN from a reduced distance.
- Albeit relaying is highly beneficial, it requires both a broadcast and a cooperation slot, which potentially halves the achievable throughput. This disadvantage may be mitigated with the aid of SR, which is achieved at the cost of reducing the number of users supported. Hence, a SR-aided coherent versus noncoherent cooperative MC STSK arrangement was studied in [14]. To be specific, the pros and cons of coherent versus noncoherent detection were quantified. Explicitly, in practical terms, it is more realistic to use noncoherent detection dispensing with CE at the relay nodes.
- Differential STSK employing both hard-decision and soft-decision MSDSD were studied for the sake of dispensing with CE and thus mitigating the potentially high Doppler-dependent pilot overhead, while benefiting both from the low complexity imposed by sphere decoding and from the iterative exchange of soft-information during detection.

### APPENDIX

#### Acronyms

AE	Antenna Element.
AMC	Adaptive Modulation and Coding.
ASE	Area Spectral Efficiency.
ASTSK	Asynchronous Space–Time Shift Keying.
BER	Bit Error Ratio.
BICM	Bit-interleaved Coded Modulation.
BICM-ID	Bit-interleaved Coded Modulation with Iterative Decoding.
BLAST	Bell Laboratories Layered Space–Time.
CCMC	Continuous input Continuous output Memoryless Channel.
CDD	Conventional Differential Detection.
CE	Channel Estimation.
CIR	Channel Impulse Response.
CoMP	Coordinated Multipoint.
CRC	Cyclic Redundancy Check.
CSI	Channel State Information.
CSTSK	Coherent Space–Time Shift Keying.
D2D	Device-to-device.
DAB	Digital Audio Broadcasting.
DCMC	Discrete input Continuous output Memoryless Channel.
DF	Decode-and-Forward.
DFT	Discrete Fourier Transform.
DL	Downlink.
DM	Dispersion Matrix.



DMT	Diversity-Multiplexing Tradeoff.	SUD	Single-User Detection.
DN	Destination Node.	TA	Transmit Antenna.
DSFM	Differential Space-Frequency Modulation.	TCM	Trellis-Coded Modulation.
DSTSK	Differential Space-Time Shift Keying.	TD	Time Domain.
DTDR	Distributed Transmit Directional Receive.	TTCM	Turbo Trellis-Coded Modulation.
DVB	Digital Video Broadcasting.	TU	Typical Urban.
EE	Energy Efficiency.	UL	Uplink.
EGC	Equal Gain Combining.	V-BLAST	Vertical Bell Laboratories Layered Space-Time.
FD	Frequency Domain.	VAA	Virtual Antenna Array.
GA	Genetic Algorithm.	WCDMA	Wideband Code Division Multiple Access.
HetNet	Heterogeneous network.	WINNER	Wireless INitiative NEw Radio.
HSPA	High-Speed Packet Access.	WSA	Worst Subcarrier Avoidance.
IAS	Inter-Antenna Synchronization.		
IDFT	Inverse Discrete Fourier Transform.		
IFDMA	Inteleaved Frequency Division Multiple Access.		
LFDMA	Localized Frequency Division Multiple Access.		
LLR	Logarithmic Likelihood Ratio.		
LS	Large-Scale.		
LTE	Long Term Evolution.		
MAP	Maximum <i>a posteriori</i> .		
MC	Multicarrier.		
MC-CDMA	Multicarrier Code Division Multiple Access.		
MIMO	Multiple-Input Multiple-Output.		
ML	Maximum Likelihood.		
MRC	Maximal Ratio Combining.		
MS	Mobile Station.		
MSDD	Multiple-Symbol Differential Decoding.		
MSDSD	Multiple-Symbol Differential Sphere Decoding.		
MT-CDMA	Multitone Code Division Multiple Access.		
MUD	Multiuser Detector.		
MUI	Multiuser Interference.		
OF	Objective Function.		
OFDM	Orthogonal Frequency Division Multiplexing.		
OFDMA	Orthogonal Frequency Division Multiple Access.		
ORC	Orthogonality Restoring Combining.		
PAPR	Peak-to-Average Power Ratio.		
PEP	Pairwise Error Probability.		
QoS	Quality-of-Service.		
RF	Radio Frequency.		
RMO	Real-valued Multiplication Operation.		
RN	Relay Node.		
SC	Selection Combining.		
SC-FDMA	Single-Carrier Frequency Division Multiple Access.		
SCM	Single-Carrier Modulation.		
SD	Spatial Domain.		
SDM	Spatial Division Multiplexing.		
SDMA	Space-Division Multiple Access.		
SFHMA	Slow-Frequency-Hopping Multiple Access.		
SM	Spatial Modulation.		
SN	Source Node.		
SR	Successive Relaying.		
SSK	Space Shift Keying.		
STBC	Space-Time Block Code.		
STFSK	Space-Time-Frequency Shift Keying.		
STSK	Space-Time Shift Keying.		
STTC	Space-Time Trellis Code.		

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