1 TomoTwin: Generalized 3D Localization of Macromolecules in Cryo-electron

2 Tomograms with Structural Data Mining

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13 **Abstract:**

Cryoelectron tomography enables the visualization of cellular environments in 14 extreme detail through the lens of a benign observer; what remains lacking 15 16 however are tools to analyze the full amount of information contained within these densely packed volumes. Detailed analysis of macromolecules through 17 18 subtomogram averaging requires particles to first be localized within the tomogram volume, a task complicated by several factors including a low signal to 19 noise ratio and crowding of the cellular space. Available methods for this task 20 21 suffer either from being error prone or requiring manual annotation of training data. 22 To assist in this crucial particle picking step, we present TomoTwin: a robust, first in class general picking model for cryo-electron tomograms based on deep metric 23 learning. By embedding tomograms in an information-rich, high-dimensional 24 space which separates macromolecules according to their 3-dimensional 25 26 structure, TomoTwin allows users to identify proteins in tomograms de novo 27 without manually creating training data or retraining the network each time a new protein is to be located. TomoTwin is open source and available at 28 29 https://github.com/MPI-Dortmund/tomotwin-cryoet.

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31 Main Text:

32 Introduction

33 In recent years, cryo-electron tomography (cryo-ET) has emerged as a landmark 34 technique for the visualization of macromolecules within their native cellular 35 environment^{1–7}. Advances in high-pressure freezing and the advent of focused ion beam 36 (FIB) milling at cryogenic temperatures now allow for the routine preparation of thin (< 200 nm) lamellae from cells or even small organisms⁸⁻¹⁰. Performing cryo-ET on these 37 38 thin lamellae offers a unique opportunity to capture cellular processes in 3D and in unprecedented detail. Subsequent analysis of specific macromolecules from tomographic 39 40 volumes through subtomogram averaging (STA) allows in depth structural determination of macromolecular complexes in their native environment^{11–14}. Particularly when 41 42 complemented by recent advances in structure prediction such as alphafold2. STA forms a powerful crossbridge between protein biochemistry and cellular proteomics^{15–17}. In 43 44 order to perform STA however, particles of a macromolecule of interest must first be 45 located within the tomographic volume, a task complicated by the 3D nature of these data. The accurate localization of macromolecules inside cryo-electron tomograms is a 46 well-recognized barrier for studying cellular life at the mesoscopic level, sparking 47

competitions such as the annual Classification in Cryo-Electron Tomograms (SHREC)
competition where contestants submit algorithms to localize proteins in tomograms with
a benchmark set by template matching¹⁸. This has led to the development of several deep
learning-based tools with high picking accuracies often achieved by leveraging popular
3D-Unet convolutional neural network (CNN) architectures^{19–21}. Each of these

53 approaches is unified however in the fact that they share a non-generalizing workflow, 54 meaning that for each protein of interest, users must first manually pick the protein in at 55 least one tomogram and retrain the neural network to identify that protein. Not only is this 56 incompatible with the future directions of automated tomogram reconstruction and STA, 57 but for many proteins picking sufficient training data by eye is not possible. With a minimal requirement for user-input, template matching^{22,23} is still often utilized in cryo-ET 58 processing workflows that place an emphasis on throughput²⁴ although at the cost of 59 60 picking accuracy.

One method to retain the accuracy of deep learning-based picking while circumventing the requirement of manually annotating training data for each protein of interest is to train a model to learn a generalized representation of 3D molecular shape that then can differentiate between macromolecules based on their structure. Such approaches have demonstrated profound impact for particle picking in 2D for single particle cryo-electron microscopy analysis^{25–28}.

Particularly well suited for this type of generalization is deep metric learning in 67 which data are encoded as a high-dimensional representation, called an embedding, 68 69 where one or more learned characteristics of the data are related to distance in the embedding space^{29,30}. During training, the model is penalized for placing data from 70 71 different classes near to one another and rewarded for placing data from the same class 72 close together in the embedding space³¹. Therefore, over the training process the model learns to place data from each class within a distinct region of the embedding space 73 74 where more similar classes are placed closer together and dissimilar ones further apart. 75 In some cases, the embeddings of a dataset are sufficiently ordered to allow for *de novo*

identification of classes based on their clustering in the embedding space³¹. By understanding similarity relationships, deep metric learning models have demonstrated acute adaptability when presented with new classes of data, being able to place them in the embedding space according to their similarity to known classes without requiring retraining^{31–33}.

81 Here we present TomoTwin, a generalized particle picking model and deep metric learning toolkit for structural data mining of cryo-electron tomograms. We supply two 82 workflows for macromolecular localization with TomoTwin, a reference-based workflow in 83 84 which a single molecule is picked for each protein of interest and used as a target, and a de novo clustering workflow where macromolecular structures of interest are identified on 85 86 a 2D manifold. Trained on a diverse set of simulated tomograms, the picking model of 87 TomoTwin is able to locate new proteins with high accuracy in not only simulated data, but experimental and cellular tomograms as well. TomoTwin combines the high accuracy 88 89 of deep learning-based particle picking with high throughput processing by removing the step of manual annotation of training data and model training, and allows simultaneous 90 picking of several proteins of interest in each tomogram. 91

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93 **Results:**

94 Overview of functions, build, and philosophy behind TomoTwin

The machine learning backbone of TomoTwin is built on the principle of learning generalized representations of 3-dimensional shapes in tomograms (Supplementary Fig. 1a,b). Trained with deep metric learning, the 3D-CNN is able to locate not only macromolecules contained in the training set, but novel macromolecules in tomograms

99 as well. This allows TomoTwin to retain the high fidelity of deep learning-based particle picking while avoiding the burden of requiring retraining for each protein of interest. The 100 101 trained model plots tomogram subvolumes as points in a high-dimensional embedding 102 space organized according to the similarity of their macromolecular contents 103 (Supplementary Fig. 1c). Once this high-dimensional space is mapped for a tomogram, 104 particles of each macromolecule can be picked by identifying their associated region in 105 of the embedding space. This can be done either by identifying a single example of each 106 protein of interest in a tomogram and using them to mark the region of the space where 107 they are embedded to create a target embedding (reference-based workflow), or by 108 plotting the tomogram embeddings onto a 2D manifold where clusters of subvolumes for 109 each macromolecule can be identified by eye (clustering workflow) (Fig. 1a,b). Once the 110 subvolumes containing a protein of interest are identified in the embedding space, they 111 must be mapped back to real space in the tomogram where overlapping picks of the same 112 molecule can be consolidated into one centralized pick per molecule (Fig. 1c). Finally, 113 TomoTwin allows users to interactively filter the picked particles for each macromolecule 114 of interest based on the particle size and the network's confidence level, which is encoded 115 as the distance between each subvolume and the target embedding for that macromolecule in the embedding space (Fig. 1d, Supplementary Fig. 2). 116

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118 **Two workflows to identify and locate macromolecules in tomograms**

119 TomoTwin represents tomograms in a high-dimensional space where subvolumes of 120 each macromolecule are embedded in a distinct region of the space. In order to identify 121 which region of the embedding space a macromolecule is located in, we provide the user

122 with two workflows - a reference-based workflow and a clustering workflow. Each 123 workflow picks particles with high accuracy, but the reference-based approach begins 124 with identifying an example of the protein of interest in the tomogram and mapping this to 125 the embedding space whereas the clustering workflow begins with identifying a region of 126 the embedding space and mapping this to the tomogram. Which workflow is most suitable 127 for any given application depends on how easily the protein(s) of interest can be identified 128 in the tomogram versus the embeddings. Both workflows share the common first step of 129 using the embedding function of TomoTwin to generate a high-dimensional embedding 130 of the entire volume of the tomogram called a tomogram's representation map (Fig. 1a).

In the reference-based workflow, users identify a single molecule of each protein 131 132 of interest in a tomogram and embed it to generate a target in the embedding space for 133 that protein. In the clustering workflow, TomoTwin approximates the representation map 134 of the tomogram onto a 2-dimensional manifold. This 2D manifold can then be directly 135 visualized by the user who can then outline one or more clusters of interest using the 136 Lasso function of TomoTwin. The Lasso function then computes the center coordinate of 137 the drawn cluster in the high-dimensional embedding space to be used as a target 138 embedding in lieu of a reference (Fig. 1b). The map function of TomoTwin takes as input 139 the tomogram embeddings and target embeddings and calculates the distance matrix 140 between the target(s) and each point in the tomogram embeddings. The distances are 141 mapped to the coordinates of each subvolume, constructing a similarity map of proposed 142 particle locations within the tomogram for each protein of interest (Fig. 1c). The Locate 143 function uses this similarity map to localize peaks of high similarity and generate 144 candidate particle positions. Finally, the Pick function of TomoTwin uses these candidate

positions as well as adjustable size and confidence thresholds to pick particles in the tomogram producing a coordinate file for each protein of interest to be then used for subtomogram averaging or other analysis (Fig. 1d).

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149 Training of the general picking model

150 To produce a picking model capable of localizing novel macromolecules within 151 tomograms without requiring retraining, TomoTwin is trained using deep metric learning 152 on triplets of subvolumes from simulated tomograms. A set of 120 structurally dissimilar 153 proteins procured from the Protein Data Bank (PDB) ranging in size from 30 kDa to 2.7 154 mDa were used to simulate 84 tomograms containing a total of 120,000 subtomogram 155 particles (Supplementary Fig. 3). During training, batches of subvolumes are embedded 156 by a custom-built 3D CNN which transforms each 37x37x37 realspace 3D subvolume to 157 a 1D, 32-length coordinate vector located on a high-dimensional embedding manifold 158 molded to the surface of a 32D hypersphere (Supplementary Fig. 1a).

159 These coordinate vectors are used in the metric learning process which rewards 160 the model for placing the anchor and positive close together in the embedding space and 161 penalizes it for placing the anchor and negative near one another. Therefore, through 162 training TomoTwin learns to place subvolumes of each macromolecule within a distinct 163 region of the embedding space, where more structurally similar macromolecules are 164 placed closer together and dissimilar ones further apart (Supplementary Fig. 1c). By 165 training on a large, diverse set of 3D macromolecular shapes and sizes, TomoTwin learns 166 a generalized representation of 3D macromolecular shapes which it leverages to place

novel macromolecules in their own region of this embedding space relative to theirstructural similarity to known proteins without requiring retraining.

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170 The general picking model accurately locates particles across a wide range of 171 shapes and sizes

Because *a priori* information on the ground truth locations of all molecules in a tomogram is not possible to obtain for experimental data, the picking performance of the trained model was first assessed on the simulated tomograms containing proteins from the training set where the F1 picking score was calculated from the true positive, false positive, true negative, and false negative picks as described in Methods.

177 The median F1 picking score across all validation tomograms was 0.88 with a 178 range from 0.76 to 0.98 (Supplementary Fig. 4a). Across all proteins in the training set, 179 the median validation F1 picking score is 0.92 (Supplementary Fig. 4b). In rare cases, 180 outlier scores were observed where specific proteins were unable to be picked across a 181 range of sizes (Supplementary Fig. 4c). Closer inspection of these outliers revealed that 182 in the simulated tomograms, each of these proteins display a particularly weak signal 183 when compared to proteins of similar size (Supplementary Fig. 4d). In these cases, it 184 appears that these proteins display a shape that is not recovered well during tomogram 185 reconstruction by weighted back-projection. Despite this, picking on the validation 186 tomograms demonstrated high accuracy for proteins across a wide array of shapes and 187 sizes ranging from 30 kDa to 2.7 mDa.

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190 **TomoTwin generalizes to unseen proteins**

In order the assess the generalization of the general picking model to particles that were 191 192 not in the training data set, we measured the picking performance with other, previously 193 unseen proteins in a simulated tomogram with the reference-based workflow. We 194 measured the F1 score of proposed particle locations against ground-truth boxes for 195 seven proteins not included in the training data for which TomoTwin was therefore naïve 196 (Fig. 2a,b). This assessment revealed that when trained on a set of 120 dissimilar proteins (Supplementary Fig. 3), the resulting model was able to locate all seven proteins 197 198 accurately with a median F1 score of 0.82 despite a lack of previous training on these 199 proteins (Fig. 2d). To measure the effect of training set size on generalization accuracy 200 we performed this analysis on picking models trained on 20, 50, 100, and 120 proteins 201 where we observed a logarithmic increase in generalization accuracy with the number of 202 proteins in the training set (Fig. 2c). This high accuracy in locating novel proteins indicates 203 a high generalization capability of TomoTwin.

204 As TomoTwin is trained entirely on simulated data, it is paramount to investigate 205 its ability to pick proteins of interest in experimental tomograms. To evaluate this, cryo-206 ET was performed on a sample containing a mixture of three proteins, namely 207 apoferritin³⁴, the Type VI secretion effector RhsA from Pseudomonas protegens³⁴, and the Tc toxin A component TcdA1 from Photorhabdus luminescens³⁵ as well as liposomes 208 209 (DOPC/POPC) (Fig. 3a). This mixture was chosen to create an environment with several 210 proteins of different sizes as well as liposomes to mimic non-protein structures that may 211 confound picking accuracy. Ten reconstructed tomograms were picked for apoferritin, 212 RhsA, and TcdA1 using the pretrained general model of TomoTwin. In each case, the

reference-based workflow was employed in which a target embedding was created by picking a single example of each protein as they are readily observable in tomograms with sufficient contrast. The target embedding from one tomogram was then applied across all tomograms in each dataset. Direct visualization of the picking similarity maps and final picking reveals high fidelity localization of each protein within the tomograms despite none of these proteins being included in the training set (Fig. 3b).

219 As ground-truth particle coordinates are not available for experimental data, the 220 accuracy of the picking was assessed by extracting subvolumes at the picked coordinates 221 of each protein, projection of the 3D subvolumes to 2D using SPHIRE³⁶, and performing 222 2D classification³⁷ to evaluate the picked particles in a reference-free manner 223 (Supplementary Fig. 5). For each protein of interest, the number of particles in the 2D 224 classes displaying a high similarity to 2D classes of the protein previously determined by 225 single particle analysis were recorded and represented as a percentage of the total 226 number of particles picked (Fig. 3c). The high proportion of particles in all positive classes 227 indicates that the picking is of high accuracy, confirming the visual impression of the 228 picking result.

One of the principal advantages of cryo-ET is the ability to directly visualize proteins in their native cellular environments. Due to crowding of the cellular space and the poor contrast caused by thick specimens however, particle localization within a cellular environment presents a significant challenge. To assess its ability to locate particles in cellular tomograms, we applied TomoTwin to a dataset of tomograms containing Mycoplasma pneumoniae³⁸ (EMPIAR 10499) (Fig. 4a). Using the TomoTwin general model, we picked 70S ribosomes in 65 tomograms with the reference-based

workflow in which a reference was identified on one tomogram and used to generate a
target embedding that was then applied to the entire dataset (Fig. 4b,c). To visualize the
results, we extracted pseudo-subtomograms³⁹ and performed 3D classification using a
70S ribosome cryo-EM structure (EMD 11650) lowpass filtered to 30 Å as a reference.
As all 3D classes resemble ribosomes refined to ~15 Å, it clearly indicates that TomoTwin
also picks highly accurately in cellular tomograms (Fig. 4d).

242

243 Structural Data Mining on the Embedding Manifold

244 The embedding feature of TomoTwin constructs a representation of a tomogram as a 245 series of high-dimensional embeddings. These high-dimensional embeddings can be 246 directly visualized by approximation on a 2D manifold (Fig. 5a,c). As a result of our deep 247 metric learning-based approach, these representations contain a wealth of information 248 about the contents of a tomogram where the distance between two subvolume 249 embeddings directly correlates to the similarity of the 3-dimensional macromolecular 250 shapes contained within. Typically, these representations contain a large mass 251 corresponding to background noise, or a particularly prominent feature of the tomogram 252 volume as well as additional well-defined clusters corresponding to different shapes such 253 as proteins, membranes, or fiducials. By directly visualizing these representations on a 254 2D manifold, the clustering workflow of TomoTwin allows interactive, structural data 255 mining of tomograms, where clusters of subvolumes on the embedding manifold are used 256 to locate different macromolecular populations within the tomogram.

To evaluate the accuracy of clustering-based picking quantitatively, we again utilized our simulated generalization tomogram where we evaluated the results of the

259 clustering-based picking of each protein against the ground-truth coordinates with the F1 260 picking score as well as directly comparing it against the reference-based workflow (Fig. 261 5b.e). The clustering-based picking identified each protein with high accuracy across a 262 range of sizes. Notably, it outperforms the reference-based workflow for glutamine 263 synthetase⁴⁰ (PDB ID: 1FPY) indicating that this workflow provides complementary 264 advantages to the reference-based workflow. Additionally notable in the manifold 265 projection of the representation map is the fact that individual protein clusters are globally 266 organized by size, with the three largest protein clusters located in one area of the map, 267 clusters for medium sized proteins in another, and the clusters for the two smallest 268 proteins located furthest away from those of the large proteins, demonstrating that the 269 model accurately represents complex similarity relationships in terms of protein structures 270 as distance in the embedding space (Fig. 5a).

We additionally compared the clustering-based picking workflow directly against the reference-based approach for the cellular tomograms containing M. pneumoniae (Fig. 5c). Examining the representation maps of these tomograms, several clusters are visible. One of which, when picked, produces accurate particle locations for 70S ribosomes nearly identical to those produced by the reference-based approach once again underlining the robustness of both workflows (Fig. 5d).

277

278 Conclusion:

279 Despite offering the potential to study proteins in their native, cellular environment in 280 unprecedented detail, it remains that, presently, only a select few proteins have been 281 successfully studied in detail by cryo-ET with STA. In part, this is because with increased

cellular context, the formation of macromolecular complexes, and poorer contrast caused
by thicker specimens, comes the challenge of picking individual proteins for subsequent
subtomogram averaging. To assist in this crucial particle picking step, we developed
TomoTwin, a robust, first in class general picking model for cryo-electron tomograms
based on deep metric learning. TomoTwin allows users to identify proteins in tomograms *de novo* without manually creating training data or retraining the network each time a new
protein is to be located.

The innovation landscape for algorithm development in both cryo-EM and cryo-ET bears a heavy emphasis on automated processing for increased data throughput^{26,37,41–} ⁴³. With its highly generalizable picking model, TomoTwin is the first tool based on deep learning that can be readily integrated with high throughput tomogram reconstruction and STA workflows. Additionally, when combined with unsupervised cluster detection algorithms⁴⁴, the clustering workflow of TomoTwin paves the way for unsupervised STA analysis on a whole-tomogram level (Supplementary Fig. 6).

TomoTwin is a robust, open-source tool for particle localization in cryo-electron tomograms. The code used to develop and train TomoTwin as well as the general picking model and tools to use it for generalized particle picking are available at <u>https://github.com/MPI-Dortmund/tomotwin-cryoet</u> with future updates including extensive user documentation available soon.

302 Methods

303 Training Data Generation

304 TomoTwin was trained on 123 data classes comprised of subvolumes of 120 different 305 proteins, membranes, noise, and fiducials from simulated tomograms. To ensure that 306 TomoTwin is trained on the most diverse set of proteins possible, 108 proteins were 307 selected from the PDB with sizes ranging from 30 kDa to 2.7 mDa and the cross correlation between pairs of 10 Å low-pass filtered maps of each protein was calculated 308 (Supplementary Figure 3). Any protein with a high similarity (greater than 0.6) to another 309 310 protein in the training set was marked for replacement. Additionally included were the data from the 2021 SHREC competition including 12 proteins¹⁸ to yield a total of 120 311 proteins for training. A training/validation split was achieved with 800 subvolumes for each 312 313 data class in the training set and 200 in the validation set, yielding a total training set size 314 of 98,400 subvolumes and a validation set size of 24,600 subvolumes.

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316 **Tomogram simulation**

Tomogram simulation was done using TEM Simulator⁴⁵ which calculates the scattering 317 318 potential of individual proteins and places them in definable positions within the volume. The output of the simulation is a tilt series which is then reconstructed using IMOD⁴⁶. A 319 320 configuration file was generated with properties for the electron beam, optics of the 321 microscope, the detector, the tilt geometry and the sample volume. The default detector 322 was adjusted to reflect the MTF curve of a modern Gatan K3 Camera with a quantum 323 efficiency of 0.9. The detector size was set to 1024x1024 with a pixel size of 5 micrometer. 324 The magnification was set to 9800, the spherical aberration and chromatic aberration

325	were adjusted to 2.7 mm and 2 mm respectively to mimic popular modern TEMs. A				
326	condenser aperture size of 80 micrometer was chosen. For each tomogram the defocus				
327	value was randomly chosen between -2.5 μm and -5 $\mu m.$ A tilting scheme of -60° to +60°				
328	with a step size of 2° was used. To simplify and streamline the simulation we wrote a set				
329	of open-source programs called "tem-simulator-scripts" (https://github.com/MPI-				
330	Dortmund/tem-simulator-scripts). They contain scripts that require as input the PDB files				
331	to be simulated and the number of particles to simulate per PDB. The program then				
332	generates reconstructed tomograms as they were used for this study using the following				
333	pipeline:				
334	1. Generation of densely packed random particle positions within the volume				
335	where individual particles do not overlap.				
336	2. Generation of an occupancy map - a volume where each voxel is labeled				
337	according the protein identity.				
338	3. Generation of fiducial maps.				

- 339 4. Generation of vesicle maps.
- 340 5. Generation of the configuration file for TEM-simulator
- 341 6. Simulation of the tiltseries using TEM-simulator.
- 342 7. Alignment and reconstruction using IMOD.

However, all steps can also be carried out individually to have full control over allparameters.

345 Using this procedure, we simulated 11 sets of proteins. The sets contain in total 346 108 different proteins with each set covering proteins of various sizes. For each set we 347 simulated 8 tomograms of size 512x512x200 voxels with a pixel size of 1.02 nm and 348 varying protein density. For tomogram 1, 2 and 8, 150 particles per protein were 349 generated, for tomogram 3 and 4, 125 particles per protein, for tomogram 5 and 6, 100 350 particles per protein and for tomogram 7, 75 particles per protein. Tomograms 1-7 were used for training and tomogram 8 for validation. The generated tomograms used in this 351 352 study with all meta-data are publicly available⁴⁷. These simulated data were used to construct the training and validation sets⁴⁸ to evaluate network training, particle 353 354 localization, and model generalizability.

355

356 Convolutional Network Architecture

357 To encode volumetric cryo-ET data as embedding vectors in a high-dimensional space, 358 TomoTwin employs a 3D CNN consisting of five convolutional blocks followed by a head 359 network (Supplementary Fig. 1a). Each convolution block consists of two 3D 360 convolutional layers with a kernel size of 3x3x3. Each convolutional layer is followed by 361 a normalization layer and a leaky rectified linear (ReLU) activation function. In the first convolutional layer of each convolutional block, the number of output channels is twice 362 363 the input channels and in the second convolutional layer the number of output channels 364 matches the output from the previous layer. Max pooling is performed with a kernel size 365 of 2x2x2 after the first convolutional block and adaptive max pooling to a size of 2x2x2 is performed after the final convolutional block. As a result, when provided with a 37x37x37 366 367 subvolume with 1 channel as a normalized, 37x37x37x1 array, the convolutional blocks transform the input to a 2x2x2x1024 feature vector which is then fed to the head network.
In the head network, the feature vector is first flattened channel-wise before being subject
to a dropout layer and then passed through a series of fully-connected layers that
transform the flattened vector to a 1-dimensional, 32-length feature vector. Finally, this
feature vector is L2-normalized to yield an output embedding vector for the subvolume.

373

374 Triplet Generation

TomoTwin is trained on triplets of subvolumes consisting of an anchor volume A, a positive volume P, and a negative volume N (Supplementary Fig. 1b). Each subvolume is assigned to a data class corresponding to the macromolecule contained within and has a size of 37x37x37 voxels. Triplets are constructed where A and P are sampled from the same data class and N from a different data class. Given a distance function D and an embedding function f, the triplet loss is defined as:

381
$$L(A,P,N) = max (D(f(A), f(P)) - D(f(A), f(N)) + \alpha, 0)$$

where the hyperparameter α is the margin value. As distance function D we use cosinesimilarity which is defined as

$$D(Q,P) = \frac{Q \cdot P}{\|Q\| \times \|P\|}$$

where Q and P are arbitrary embedding vectors, • is the dot product and II·II the length of the vector. During training, triplets are generated by online semihard triplet mining wherein a batch of subvolumes are embedded and triplets generated automatically with the negative subvolume embedding being selected from those only with a distance to the

anchor greater than the positive subvolume embedding but not greater than a margin α_{miner} :

391
$$D(a,p) < D(a,n) < d(a,p) + \alpha_{miner}$$

Where a, p and n are the embedding vectors of the anchor, positive and negative respectively and α_{miner} is the margin of the miner.

394

395 Training of the General Picking Model

Training of the 3D CNN was performed for 600 epochs using an adaptive moment estimation (ADAM) optimizer⁴⁹. The model from the epoch with the best F1 score on the subvolumes in the validation set was further evaluated in the localization and generalization tasks and used as the general picking model.

400 Data augmentation

To prevent overfitting during training and to improve generalization of the model, online 401 402 data augmentations were applied to each normalized volume before its embedding was 403 calculated including rotation, dropout, translation, and the addition of noise. For the 404 rotation augmentation, subvolumes were rotated by a random angle in the X-Y plane but 405 not X-Z or Y-Z to prevent reorientation of the missing wedge. In the dropout augmentation, 406 a random portion between 5 and 20% of the voxels were set to the subvolume mean value. In the translation augmentation, the subvolume was shifted by 1-2 pixels in each 407 408 direction. The addition of noise augmentation added Gaussian noise with a randomly 409 chosen standard deviation between 0 and 0.3 to the subvolume.

410

411 Hyperparameter optimization

The training of modern convolutional neural networks involves the selection of many 412 413 hyperparameters, some of these choices affect the architecture while others affect the 414 learning process itself. While some heuristics exist to guide hyperparameter selection, 415 finding a combination of settings that maximize the utility of a machine learning tool by hand guickly becomes intractable. Optuna⁵⁰ was applied to explore the hyperparameter 416 search space and identify an optimized set of parameters for training. Models were 417 418 trained on a subset of the training data for 200 epochs and the F1 score calculated on 419 the validation set after each epoch. Pruning was performed after 50 epochs for training 420 runs with an F1 score lower than the global median. In total, searches were applied for 421 the hyperparameters of learning rate, dropout rate, optimizer, batch size, weight decay, 422 size of the first convolution kernel, number of output layer nodes, online triplet mining 423 strategy (semihard⁵¹, easyhard⁵², none), normalization type (group norm⁵³, batch norm⁵⁴), loss function (TripletLoss³¹, SphereFace⁵⁵, ArcFace⁵⁶), and loss margin 424 425 (Supplementary Fig. 7).

426 Most notably and unexpectedly, the type of normalization applied during training was the largest overall affecter of performance with group normalization⁵³ outperforming 427 the more common batch normalization⁵⁴ strategy (Supplementary Fig. 7b). Additionally 428 noted was the increased performance of a standard triplet loss function over the 429 theoretically superior SphereFace⁵⁵ and ArcFace⁵⁶ loss functions (Supplementary Fig. 430 431 7c). These findings underpin the necessity to explore a wide range of hyperparameters 432 during training as heuristics alone are not enough to guide optimal hyperparameter 433 selection for the training of modern convolutional neural networks.

434

435 Particle picking workflow with the general model

436 For each dataset picked with the general model, first all tomograms were embedded. To 437 achieve this, the tomograms were subdivided into a series of overlapping 37x37x37 438 subvolumes with a stride of 2 voxels. For the reference-based workflow, a random particle 439 for each protein of interest was selected as reference and embedded to generate a target embedding. The tomogram and target embeddings were provided to TomoTwin Map 440 which calculated the distance matrix between each target embedding and each 441 442 subvolume embedding from the tomogram and returned this along with a similarity map for each target embedding. This matrix was then provided to TomoTwin Locate which 443 444 identified areas of high confidence as target locations using a region-growing based 445 maximum detection procedure followed by non-maxima suppression. The returned 446 candidate positions were then subject to confidence and size thresholding with TomoTwin pick to produce final coordinates for each protein of interest. 447

448

449 Evaluation of simulated data

The performance of particle localization was calculated from three metrics: recall, precision, and, the harmonic mean of the two, the F1 score which are defines as:

$$precision = \frac{true \ positive}{true \ positive + false \ positive}$$

$$recall = \frac{true \ positive}{true \ positive + false \ negative}$$

453

$$F1 = 2 \frac{precision \cdot recall}{precision + recall}$$

454

Selected particle locations counted as true positives if the intersection over union (IOU)
of the box of the selected particle location and the ground truth box was greater than 0.6.
The IOU is defined as the ratio of the intersecting volume of two bounding boxes and the
volume of their union.

The particle localization accuracy of the trained model was assessed for each tomogram in the validation set (Supplementary Fig. 4a). To test model generalization, the localization task was performed on a tomogram containing 7 proteins not included in the training set for which TomoTwin was therefore naïve (Fig. 2).

463

464 Clustering

For clustering analysis, a random sample of 400,000 embeddings from the high-465 466 dimensional tomogram embeddings were fit to a uniform 2D manifold with Uniform Manifold Approximation (UMAP) with GPU-acceleration provided by the RAPIDS 467 468 package⁵⁷. The UMAP model was used as the basis to transform the entire tomogram 469 embeddings and the results plotted (Figure 5a,c). Clusters were identified by eye and 470 selected by drawing a closed shape containing the desired points. The enclosed points 471 were then traced back to their original high-dimensional embeddings and the average 472 embedding of them was calculated. This average embedding was then used as a target 473 embedding for classification, localization, and picking in the same manner as for the reference-based workflow. 474

475

476 **Preparation of Experimental Samples**

477 The components of the mixture were either thawed from long-term storage at -80 °C or 478 freshly prepared. Photorhabdus luminescens holotoxin was expressed, purified and the holotoxin formed as described previously⁵⁸ and used at a stock concentration of 0.49 479 480 mg/mL. RhsA from Pseudomonas protegens was expressed and purified as described previously³⁴ and used at 4 mg/mL concentration. Liposomes were prepared by extrusion. 481 482 4 mg/mL of each POPC (1-palmitoyl-2-oleoyl-glycero-3-phosphocholine, Avanti Polar Lipids) and DOPS (1,2-dioleoyl-sn-glycero-3-phospho-L-serine, Avanti Polar Lipids) were 483 484 mixed in buffer (50 mM Tris, pH 8, 150 NaCl, 0.05% Tween20) and after brief sonication 485 (1 min in water bath) and three cycles of freeze-thawing (-196 °C and 50 °C), the liposome 486 solution was passed 11 times through a polycarbonate membrane with a 400 nm pore 487 size in a mini extruder (Avanti Polar Lipids). Total lipid concentration was diluted with 488 buffer to 0.16 mg/mL. The freeze-dried content of one vial Tobacco mosaic virus (TMV) (DSMZ GmbH Braunschweig, Germany, PC-0107) was solved in 1 mL buffer and diluted 489 490 500 times as working solution. The Apoferritin (ApoF) plasmid was a kind gift by Dr. 491 Christos Savva (Midlands Regional Cryo-Electron Microscopy Facility). Expression and purification of ApoF was optimized based on the protocol described earlier⁵⁹ and final 492 concertation of frozen stock was 3 mg/mL. 493

Different ratios of the mixture were prepared and then examined after vitrification using
cryo-EM. For cryo-ET, a ratio of 1:2:2:20:10 (TMV:Apoferritin:Liposomes:TcToxin:RhsA)
was chosen.

497

498 Grid Preparation

Grids were prepared using a Vitrobot Mark IV (Thermo Fisher Scientific) at 4 °C and 100%
humidity. 4 µL of the freshly prepared mixture were applied to glow-discharged (Quorum
GloQube) R1.2/1.4 Cu 200 (Quantifoil) grids. After blotting (3.5 s at blot force -1, no drain
time) the specimen was vitrified in liquid ethane.

503

504 **Cryo-ET**

505 Grids of different mixing ratios were screened using a Talos Arctica electron microscope 506 (Thermo Fisher Scientific) equipped with a X-FEG and Falcon 3 camera. Small datasets 507 of 100-200 images were collected using the software EPU (Thermo Fisher Scientific). The 508 best specimen was transferred to a Titan Krios G3 electron microscope equipped with X-509 FEG. Images were recorded on a K3 camera (Gatan) operated in counting mode at a 510 nominal magnification of 63,000, resulting in a pixel size of 1.484 Å/pix. A Bioquantum 511 post-column energy (Gatan) was used for zero loss imaging with a slit width of 20 eV.

Tilt series were acquired using SerialEM⁶⁰ with the Plugin PACEtomo⁶¹ and with a dose symmetric tilt scheme⁶² from 60° to 60° with a step size of 3°. Each movie was collected as an exposure of 0.2 seconds subdivided into 10 frames. Frames were then exported to Warp 1.0.9²⁶ for motion correction, CTF estimation and generation of tilt series. Tilt series were aligned with patch tracking and tomograms reconstructed by weighted backprojection in IMOD⁴⁷ with a pixel size of 5.936. Tomograms were scaled by Fourier shrinking to 10 Å/pix for embedding with TomoTwin. Raw frames of M. pneumoniae cells were downloaded from EMPIAR (EMPIAR-10499).
Motion correction and CTF estimation were performed in Warp 1.0.9 which was then used
to generate tilt series. These tilt series were aligned with patch tracking and tomograms
reconstructed by weighted back-projection in IMOD with a pixel size of 6.802 Å/pix.
Tomograms were then scaled by Fourier shrinking to 13.6 Å/pix for embedding with
TomoTwin.

525

526 Evaluation of experimental data

527 For tomograms from samples prepared in-house, coordinates of particles identified with 528 TomoTwin were scaled to a pixel size of 5.936 to match the originally reconstructed 529 tomograms. The tomograms were imported and these coordinates were used to extract 530 subtomograms in Relion 3.0³⁷. For reference-free analysis, 3D subtomograms were projected to 2D with SPHIRE³⁶ and then used for 2D classification. For tomograms 531 532 attained from EMPIAR, coordinates of particles identified with TomoTwin were scaled to 533 a pixel size of 6.802 Å/pix to match the originally reconstructed tomograms. The 534 tomograms were imported and coordinates were imported and used to reconstruct pseudo-subtomograms in Relion 4.0⁴⁰. A reference was created from a 70S ribosome 535 536 (EMD-11650) by lowpass filtering to 30 Å and then scaling the pixel size to 6.802 Å/pix. This reference was used for 3D classification with the pseudo-subtomograms in Relion 537 538 4.0.

539

540 Hardware

Two computational setups were utilized for calculations, a distributed computing system and a local workstation. The distributed computing system consisted of the Max Planck Gesellschaft Supercomputer 'Raven' using up to 30 Nvidia A100 GPUs, where each GPU has 40 GB memory. Each process had 18 cores of Intel Xeon IceLake-SP 8360Y processors and 128GB system memory available. The local workstation consisted of a local unit equipped with a Nvidia Titan V (12 GB memory) GPU and a Intel i9-7920X CPU with 64 GB system memory.

548

Hyperparameter optimization was done in parallel for 7 days one the distributed
computing setup and embeddings were calculated on this set up as well using 2 GPUs.
In all cases a box size of 37 and stride of 2 were used for embedding.

552 The inhouse workstation was used for miscellaneous tasks and for calculating timings 553 using 2 GPUs.

554

555 Timings

The calculation of the embeddings is the only function of TomoTwin requiring significant processing time. To measure this, we embedded our largest experimental tomogram (608x855x148 after Fourier shrinking) on a local workstation and a distributed computing system. Using 2 GPUs, tomogram embedding took 80 minutes for the local setup and 30 minutes for the distributed setup, corresponding to the total time to pick all proteins of interest per tomogram on each setup.

562

563

564 Data Availability

- 565 All simulated tomograms used in this study are available here:
- 566 https://doi.org/10.5281/zenodo.6637357.
- 567 The extracted subvolumes used to train and evaluate the performance of TomoTwin are
- 568 available at: https://doi.org/10.5281/zenodo.6637456.
- 569 The TEM-Simulator-Scripts package used for automated tilt-series simulation and
- 570 reconstruction is available at: <u>https://github.com/MPI-Dortmund/tem-simulator-scripts</u>.
- 571 TomoTwin is available under an open-source license at: https://github.com/MPI-
- 572 <u>Dortmund/tomotwin-cryoet</u>.
- 573

574 Author contributions

- 575 Conceptualization: T.W. and S.R.;
- 576 Software implementation: T.W., G.R., M.S.;
- 577 Software Testing: G.R., T.W., M.S.;
- 578 Formal analysis: G.R., T.W.;
- 579 Supervision: S.R.;
- 580 Writing original draft: G.R., T.W.;
- 581 Writing review and editing: G.R., T.W., and S.R.;
- 582 Funding acquisition: S.R.;
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Fig. 1: TomoTwin identifies and localizes particles by a clustering or a reference-based workflow. a, The first step in using TomoTwin is to embed the tomogram with the pre-trained model. Optionally, references can be selected and embedded as well to create target embeddings. **b**, For the clustering workflow the tomogram embeddings are projected on a 2D manifold and an interactive lasso tool is used to select clusters of interest to generate target embeddings. **c**, The distance matrix between each target embedding and the embeddings of the tomogram is calculated. **d**, All local maxima are located with TomoTwin Locate and are used to pick final coordinates for each protein of interest using TomoTwin Pick with confidence and size thresholding.



Fig. 2: TomoTwin generalizes to novel proteins and locates them accurately. a, True positive selected particles (white) and false negative (black) of the largest protein 2DF7 (896 kDa) and **b**, the smallest protein 1FZG (142 kDa) in the generalization tomogram. The F1 scores are 0.99 and 0.88 for 2DF7 and 1FZG respectively. **c**, With increasing number of proteins used during training the mean F1 score on the generalization tomogram increased as well. The mean F1 scores are 0.49, 0.73, 0.82 for a model trained on 20, 50 and 100 proteins respectively. **d**, The model trained on the full training set of 120 proteins reached a mean F1 score of 0.82 but has the highest median F1 score of 0.85. White scale bar 100 nm, black scale bar 5 nm



Fig. 3: TomoTwin accurately localizes multiple proteins simultaneously in crowded tomograms. a, Representative slice of a tomogram containing a mixture of apoferritin, RhsA, and TcdA1; scale bar: 100 nm. **b**, Protein structure, cosine similarity map between tomogram and each target, and representative picking for apoferritin (PDB ID: 1DAT), RhsA (PDB ID: 7Q97), and TcdA1 (PDB ID: 6L7E) respectively. Scale bar for protein structures: 5 nm, scale bar for tomograms: 100 nm, color bar: -0.55 - 1.00 **c**, Proportion of picked subvolumes contained within positive 2D classes. Total subvolumes picked: apoferritin: 848, RhsA: 577, TcdA1: 122.



Fig. 4: TomoTwin locates proteins in a cellular environment. a, Representative slice view of a tomogram containing Mycoplasma pneumoniae. b. Slice view highlighting positions of picked 70S ribosomes localized in 3D with TomoTwin. Scale bar 100 nm **c**, 3D representation of ribosome positioning within the tomogram, a represented slice is superimposed with 3D classes of ribosomes arranged according to their corresponding coordinates and orientation. **d**, 3D classes from 18,246 particles. Scale bar 10 nm.



Fig. 5: TomoTwin enables structural data mining on the embedding manifold. a, Highlighted clusters of all 7 proteins on the generalization tomogram 2D manifold approximation. **b,** Respective particle locations from cluster 3 and 5 which corresponds to the proteins with PDB ID 2DF7 (left) and 1FZG (right). White are true-positive picks and black false-negative. In both cases there were no false-positive selections. c, 2D manifold approximation of the embedding space of a tomogram containing Mycoplasma pneumoniae (EMPIAR 10499). Highlighted is the manual selected cluster which corresponds to the 70S ribosome. **d,** Using the cluster center for picking identified all ribosomes previously selected by the reference-based picking (white) with a few reference-only selections (blue). **e,** F1 scores for the individual clusters in comparison with the F1 scores for reference-based picking. On average the clustering performed slightly better (0.84 vs 0.82 mean F1 score). However, for some individual proteins the difference was larger (e.g. cluster 7). Scale bar 100 nm



Supplementary Fig. 1: TomoTwin convolutional architecture and metric learning strategy. a, Architecture of 3D convolutional network utilized by TomoTwin to translate 3D real space tomogram subvolumes into embedding vectors for deep metric learning. **b**, Overview of the deep metric learning training scheme employed by TomoTwin wherein data triplets are constructed of anchor, positive, and negative subvolumes. The triplets of subvolumes are each convolved by the 3D CNN of TomoTwin and the resulting embedding vectors are used to calculate the distance metrics implicit in the triplet loss function. **c**, Uniform manifold approximation of protein subvolume embeddings colored according to protein PDB code from TomoTwin 3D CNN in first training epoch and best model after 600 training epochs.



Supplementary Fig. 2: Graphical user interface of TomoTwin implemented as a Napari plugin. Visualization of protein picks in simulated generalization tomogram identified by the clustering workflow. Picks for 3 out of 12 clusters are shown as spheres. The lefthand panel allows users to adjust various visualization settings for the tomogram including 3D viewing as an isosurface. The righthand panel allows users to filter picks for each cluster according to similarity threshold, minimum and maximum size, and adjust the box size for viewing.



Supplementary Fig. 3: TomoTwin identifies proteins with high accuracy by using single particle subvolumes as reference. a, F1 scores of TomoTwin on the validation tomograms. The median F1 score of the individual sets is most often above 0.8 and not lower then 0.76. **b**, The overall distribution of F1 scores with a median of 0.92. However, a tail of proteins with low F1 scores can be seen. **c**, Size distribution of particles that show good F1 scores (F1>=0.7) and those with rather low F1 scores (F1 < 0.7). **d**, Examples of proteins of similar size with low (yellow) and high (cyan) F1 score. On the left side the individual particles are depicted in a noisy and noise free reconstruction, respectively. On the right side, the respective structures, F1 scores and sizes are shown. It can be seen that the proteins which were not identified properly by TomoTwin have a lower contrast than the other proteins. Scale bars 100 nm.



Supplementary Fig. 4: Characterization of the training data set. a, Pairwise cross-correlation matrix for all 120 proteins sorted by size. Cross-correlations were calculated by converting the individual PDBs to density maps with a pixel size of 1 nm, aligning them pairwise with EMAN2 and calculating the cross-correlation of the aligned pairs. To maximize the value for training, we selected proteins so that all pairs except 3 have a cross correlation value below 0.6. The three pairs with higher correlation are from the SHREC dataset and were not simulated by us. Higher correlation values are more likely for smaller proteins. **b**, Histogram of the pairwise cross correlation values. The mean cross correlation value is 0.22 with a standard deviation of 0.13.



Supplementary Fig. 5: 2D classes of proteins identified in a mixed tomogram. Example 2D classes from previous studies by single particle analysis of apoferritin⁵⁹, RhsA³⁴, and TcdA1⁵⁸ respectively; 2D class averages of TomoTwin picked subvolumes after projection to 2D. Classes outlined in blue were judged to be positive classes by expert inspection, indicating that they contain particles of the appropriate protein. Scale bar: 5 nm



Supplementary Fig. 6: Automated identification of clusters of interest using HDBSCAN. A subset of the approximated manifold of Figure 5a was used to run density-based clustering which located 5 out of 7 clusters of interest in an unsupervised. R implementation of HDBSCAN was run with a min_samples of 50 and a minimum cluster size of 50.



Supplementary Fig. 7: Hyperparameter optimization of TomoTwin. a, Hyperparameter importance estimated by Optuna⁵⁰ after 180 trials with different configurations. **b,** F1 scores for trials using either the batch normalization or group normalization layers in convolutional neural network. Points represent the individual trials. Group normalization performed in general better than batch normalization in all cases. **c,** F1 score for trials using either Triplet-, SphereFace-, or ArcFace-Loss.