



# Article Opinion Dynamics and Unifying Principles: A Global Unifying Frame

Serge Galam 回

CEVIPOF—Centre for Political Research, Sciences Po and CNRS, 1, Place Saint Thomas d'Aquin, 75007 Paris, France; serge.galam@sciencespo.fr

Abstract: I review and extend the set of unifying principles that allow comparing all models of opinion dynamics within one single frame. Within the Global Unifying Frame (GUF), any specific update rule chosen to study opinion dynamics for discrete individual choices is recast into a probabilistic update formula. The associated dynamics is deployed using a general probabilistic sequential process, which is iterated via the repeated reshuffling of agents between successive rounds of local updates. The related driving attractors and tipping points are obtained with non-conservative regimes featuring both threshold and threshold-less dynamics. Most stationary states are symmetry broken, but fifty–fifty coexistence may also occur. A practical procedure is exhibited for several versions of Galam and Sznajd models when restricted to the use of three agents for the local updates. Comparing these various models, some are found to be identical within the GUF. Possible discrepancies with numerical simulations are discussed together with the difference between the GUF procedure and a mean field approach.

Keywords: sociophysics; opinion dynamics; local updates; unifying rules

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**Copyright:** © 2022 by the authors. Licensee MDPI, Basel, Switzerland. This article is an open access article distributed under the terms and conditions of the Creative Commons Attribution (CC BY) license (https:// creativecommons.org/licenses/by/ 4.0/). 1. Opinion Dynamics, Models and Reality

Opinion dynamics is a major topic of sociophysics with a series of different models being used [1–6]. Each one of these models accounts for a peculiar social feature, which in turn drives social exchanges among a group of people. The chosen mechanism gives rise to a specific local update rule to monitor the related changes between the competing opinions. Accordingly, the relevance of a given model is rooted in the social principle underlying the dynamics. The related social principle legitimates the model and, therefore, the associated results [7].

Often, numerical simulations are required to study the dynamics produced by iterating the update rule. Analytical calculations are scarce. In addition, validation with real data is mostly not directly applicable. The goal is to identify trends to make some predictions on real events to come like elections.

On this basis, the focus of each model lies on the social mechanism enlightened to set the update local rule. The associated results obtained are then argued quite naturally as being the consequence of the chosen social mechanism. In turn, those mechanisms are put forward to explain the social outcome.

Although the above approach is completely legitimate, it carries an underlying limitation due to the lack of certainty about the outcomes obtained using simulations with possible long relaxation times and trapping into local minima. Therefore, associated conclusions about the targeted social reality may turn misleading. In particular, wrong social or political strategies could be selected to intervene on reality.

Accordingly, to avoid such possible social mistakes, I was able to identify unifying principles, which allow building a Global Unifying Frame (GUF) to review and compare all models of opinion dynamics using discrete individual choices [8]. Within the GUF, any specific update rule can be recast into a probabilistic update formula.

A general probabilistic sequential process is then implemented through a series of successive local updates separated in between by repeated reshuffling of agents. The probabilistic update formula yields the attractors and tipping points that drive the related dynamics. Non conservative regimes featuring both threshold and threshold-less dynamics are obtained with mostly symmetry broken stationary states but fifty-fifty coexistence may also occur.

A practical procedure to implement the GUF is exhibited for several versions of Galam and Sznajd models when the local updates are restricted to using three agents. Some of the respective probabilistic update formulas are found to be identical, pointing out that the social meaning of different principles of opinion updates are indeed irrelevant to the outcomes. This founding puts at stake the claim that a given result would be directly linked to the social feature put forward to legitimate the update rule.

Possible discrepancies between the GUF outcomes with numerical simulations from the models are discussed together with the difference between the GUF procedure and a mean field approach.

This work subscribes to a series of papers dealing with the same goal of building tools to allow comparing the various models of opinion dynamics [9–11].

The rest of the paper is organized as follows: The first section introduces the issue of updating rules validated by a claimed social mechanism. The general probabilistic frame is developed in Section 2, while Section 3 accounts for iterating the dynamics to obtain the Global Unifying Frame (GUF). Section 4 applies the GUF to a series of Galam and Sznajd opinion models. A discrepancy between the GUF and other works is solved in Section 5. Section 6 discusses mean-field versus the GUF, and the last section contains concluding comments.

# 2. The General Probabilistic Frame

I consider a population of N agents  $\{S_{l,t}\}$  at time t with l = 1, 2, ..., N. I assume that each agent holds an individual discrete choice  $\pm$  with  $S_{l,t} = +$  or  $S_{l,t} = -$ . The respective proportions of agents sharing opinion + and - at time t are denoted  $p_t$  and  $(1 - p_t)$ .

The opinion dynamics are driven by first randomly distributing all agents  $\{S_{l,t}\}$  at time t in groups of size r. Then, an update rule is applied simultaneously to each group yielding at time t + 1 a new set  $\{S_{l,t+1}\}$ . Without loss of generality, N is chosen to be a multiple of *r*. Focusing on the case r = 3 yields  $2^3 = 8$  possible configurations for each group of three agents with:

- $a_1 = + + +$  with probability  $p_{t,1}$  ,
- $a_2 = + + -$  with probability  $p_{t,2}$  ,
- $a_3 = + +$  with probability  $p_{t,3}$ ,
- $a_4 = -++$  with probability  $p_{t,4}$ ,
- $a_5 = - +$  with probability  $p_{t,5}$ ,
- $a_6 = -+-$  with probability  $p_{t.6}$ ,
- $a_7 = + -$  with probability  $p_{t,7}$ ,
- $a_8 = -$  with probability  $p_{t,8}$ .

When all configurations of the agents are contributing to the update, the probabilities for the eight configurations  $a_i$  are, respectively:

- $p_{t,1} = p_t^3$
- $p_{t,2} = p_{t,3} = p_{t,4} = p_t^2 (1 p_t)$
- $p_{t,5} = p_{t,6} = p_{t,7} = p_t (1 p_t)^2$  $p_{t,8} = (1 p_t)^3.$

It is of importance to stress that the procedure can also account for peculiar distributions of agents. For instance, correlations in the initial distribution of agents can be included, such as with an antiferromagnetic-like arrangement  $+ - + - + - + - + \dots$ In this case, some configurations are excluded from the update, which imposes to set  $p_{t,1} = p_{t,2} = p_{t,4} = p_{t,5} = p_{t,7} = p_{t,8} = 0$  and  $p_{t,3} = p_{t,6} = 1/2$ . Other specific configurations can also be discarded, implying a renormalization of the probabilities of the contributing ones to get their sum equal to one. Another illustration is given in Section 5.

Depending on the update rule and the local configurations, each agent at time t + 1either preserves its opinion from time t or shifts to the opposite opinion. Each model of opinion dynamics sets a specific update rule, which associates a configuration  $b_i$  to each configuration  $a_i$  with the series  $a_i \rightarrow b_i$  for  $i = 1, 2, ..., 2^r$  where  $a_i = S_{1,t}, S_{2,t}, ..., S_{r,t}$  and  $b_i = S_{1,t+1}, S_{2,t+1}, ..., S_{r,t+1}$ .

The content of the update rule must specify which agents among the r are being updated and how when applying the local rule. For instance, one can consider that, for each configuration,  $b_i$  either the r agents that have been updated or only the one on the left side, or on the right side, or a pair or any other choice. This indication matters for both calculating  $p_{t+1}$  analytically and performing Monte Carlo steps in simulations.

What counts is the number of agents being updated in the model, not the number of agents shifting opinions. For instance, in the seminal Galam model (Section 4.1.1), the three agents are updated, which does not prevent some agents from keeping their opinion as for the two + in the configuration + - + that transforms to + + +. In contrast, in the original Sznajd model (Section 4.2.1), only one single agent is updated, as seen with both configurations + + (+) and + + (-), which yield, respectively, + + (+) and + + (+). There, only the last agent on the right side is updated.

For groups of size r with a given update rule, the number of agents  $r_u$  being updated in the various configurations may vary from 1 to r depending on the model specification. Then, for each updated configuration  $b_i$ , I denote  $k_i$  the number of + among the  $r_u$  agents with  $k_i = 0, 1, ..., r_u$ . Therefore, each configuration  $b_i$  has a proportion  $k_i/r_u$  of + and  $(r_u - k_i)/r_u$  of -.

In addition, the update rule  $a_i \rightarrow b_i$  may also be probabilistic with *V* possible outcomes  $b_{i,v}$  associated with respective probabilities  $\alpha_v$  satisfying  $\sum_{v=1}^{V} \alpha_v = 1$ . In that case, the number  $k_i$  of + has to be replaced by the average number of + over the V outcomes with

$$\bar{k}_i = \sum_{v=1}^V \alpha_v k_{i,v},\tag{1}$$

giving an averaged proportion  $\bar{k}_i/r$  of + for configuration *i*.

Then, I calculate the probability  $p_{t+1}$  that an agent selected randomly holds opinion + at time (t + 1) from an initial  $p_t$  at time t by adding all contributions to the + opinion from each configuration  $b_i$ , which yields the general expression,

$$p_{t+1} = \frac{1}{r_u} \sum_{i=1}^{2^r} \bar{k}_i p_{t,i},$$
(2)

where  $p_{t,i}$  is the probability to have configuration *i* from the 2<sup>*r*</sup> possible configurations of + and – for a group of *r* agents with  $r_u$  being updated.

For r = 3 with no exclusion of configurations, Equation (2) writes

$$p_{t+1} = \frac{1}{r_u} \{ k_1 p_t^3 + (k_2 + k_3 + k_4) p_t^2 (1 - p_t) + (k_5 + k_6 + k_7) p_t (1 - p_t)^2 + k_8 (1 - p_t)^3 \},$$
(3)

with  $k_i \rightarrow \bar{k}_i$  for a probabilistic case.

#### 3. Iterating the Dynamics: The Global Unifying Frame

Equation (2) yields the new proportion of + at time t + 1 knowing the proportion  $p_t$  at time t. This change of the proportion of + results from one update of opinions obtained applying a local update rule to all groups of r agents selected randomly. To launch the dynamics, I iterate the process by first breaking down the groups, second, reshuffling all agents, third, redistributing randomly agents in groups of size r, and fourth, applying the

local update rule again. That generates a new proportion  $p_{t+2}$  from  $p_{t+1}$ . After *n* successive updates, I obtain the series  $p_t \rightarrow p_{t+1} \rightarrow p_{t+2} \rightarrow \ldots \rightarrow p_{t+n}$ .

The instrumental question is then to find out if the dynamics converge towards some attractor after a given number  $n_a$  of updates with  $p_{t+n_a-1} \rightarrow p_{t+n_a} \approx p_{t+n_a+1}$ . The answer is obtained solving the fixed point Equation,

$$p_t = \frac{1}{r_u} \sum_{j=1}^{2^r} k_i p_{t,j},$$
(4)

which yields all attractors and thresholds driving the dynamics monitored except the used update local rule. For a probabilistic case  $k_i \rightarrow \bar{k}_i$ . Equations (2) and (4) define the Global Unifying Frame (GUF).

For  $r \ge 5$  a numerical solving is required while the cases r = 2,3,4 allow some analytical solving. In the case r = 3 with all configurations contributing, Equation (4) writes

$$p_t = \frac{1}{r_u} \Big\{ a p_t^3 + b p_t^2 + c p_t + d \Big\},$$
(5)

with

$$a = k_1 - k_2 - k_3 - k_4 + k_5 + k_6 + k_7 - k_8$$
  

$$b = k_2 + k_3 + k_4 - 2k_5 - 2k_6 - 2k_7 + 3k_8$$
  

$$c = k_5 + k_6 + k_7 - 3k_8$$
  

$$d = k_8.$$
(6)

It is a cubic equation with

$$ap_t^3 + bp_t^2 + (c - r_u)p_t + d = 0, (7)$$

and the associated discriminant,

$$D = 18ab(c - r_u)d - 4b^3d + b^2(c - r_u)^2 - 4a(c - r_u)^3 - 27a^2d^2,$$
(8)

determines the number of real solutions. Three cases are possible.

1. D > 0: Equation (7) has are three real roots  $p_{c,1} < p_{c,2} < p_{c,3}$ , which are distinct. Dealing with proportions, these roots are acceptable only when satisfying the condition  $0 \le p_{c,i} \le 1$  for i = 1, 2, 3. By symmetry that happens either for three of them with one separator and two attractors or for only one of them, which is then an attractor. For the first scenario, when  $p_t < p_{c,2}$ , the update iteration drives the opinion + towards  $p_{c,1}$  leading to the victory of opinion –. At opposite, for  $p_t > p_{c,2}$ , the update iteration drives the opinion + towards  $p_{c,3}$  leading to its victory. One case is shown in the upper part of Figure 1) with  $p_{c,2} < 1/2$ . The opposite  $p_{c,2} > 1/2$  may also occur. Each attractor can be a pure phase or a mixed phase with, respectively,  $p_{c,1} = 0$  or  $p_{c,1} > 0$  and  $p_{c,3} = 1$  or  $p_{c,1} < 1$ .

In case  $p_{c,1} = 0$  and  $p_{c,3} = 1$ , the fixed point  $p_{c,2}$  can also become an attractor with both pure ones being unstable in its direction as shown in the lower part of Figure 1. In that case, due to topological constraint, the transition from  $p_{c,2}$  being a separator to  $p_{c,2}$  being an attractor occur via a conservative regime  $p_{t+1} = p_t$  where each point is a fixed point, thus recovering the voter model as shown in Figure 2. It is worth noting that in case  $p_{c,1} > 0$  and  $p_{c,3} < 1$  such a transformation of a separator into an attractor is still possible, but now there is no transition via the voter model. An illustration of both cases is given in Section 4.



perfect equality

**Figure 1.** Case D > 0 with three fixed points. The upper part of the Figure shows a threshold dynamics with two attractors  $p_{c,1}$  and  $p_{c,3}$  separated by an unstable fixed point  $p_{c,2}$ . A case with  $p_{c,2} \le 1/2$ ,  $0 \le p_{c,1} < p_{c,2}$  and  $p_{c,2} < p_{c,3} \le 1$  is exhibited. The lower part of the Figure shows the threshold dynamics with two separators  $p_{c,1}$  and  $p_{c,3}$  with an attractor  $p_{c,2}$  in between. In such a case,  $p_{c,1} = 0$ ,  $0 < p_{c,2} < 1$  and  $p_{c,3} = 1$ .

- 2. D = 0: Equation (7) exhibits three real roots with at least a double one, which corresponds to a transition regime from threshold dynamics to threshold-less dynamics. Two subclasses can occur:
  - (i) One single attractor and a double fixed point, which is an attractor on one side and separator on the other side, the side where the attractor is located. The upper part of Figure 3 exhibits the case  $p_{c,1} = p_{c,2}$  with  $p_{c,3}$  being the attractor. The symmetric case is also possible with  $p_{c,1}$  being the attractor and  $p_{c,2} = p_{c,3}$ .
  - (ii) Or a triple attractor  $p_{c,1} = p_{c,2} = p_{c,3}$  making the dynamics threshold-less. Whatever the initial conditions are, the repeated updates drive the collective opinion towards the single attractor. Two cases are possible. The first one has  $p_{c,1} = p_{c,2} = p_{c,3} = 1/2$ , which means the dynamics leads to a coexistence phase with a perfect fifty/fifty equality. The second one is not balanced with  $p_{c,1} = p_{c,2} = p_{c,3} \neq 1/2$ , which means the dynamics leads to a stable majority/minority coexistence phase with a deterministic victory for one specific opinion. If  $p_{c,1} = p_{c,2} = p_{c,3} < 1/2$ , opinion + is certain to lose, provided some number of updates are completed. Otherwise, when  $p_{c,1} = p_{c,2} = p_{c,3} > 1/2$  opinion + wins the competition. One case with  $p_{c,1} = p_{c,2} = p_{c,3} > 1/2$  is shown in the lower part of Figure 3. The symmetric situation with  $p_{c,1} = p_{c,2} = p_{c,3} < 1/2$  is also possible, making opinion + lose the competition.
- 3. D < 0: Equation (7) has one real root and two imaginary roots. It is thus a single attractor dynamic. The attractor can be located at any value between 0 and 1 depending on the details of the update rule.



**Figure 2.** The transformation of a threshold dynamics with the two attractors  $p_{c,1} = 0$  and  $p_{c,3} = 1$  and the separator  $p_{c,2} = 1/2$  (**higher part**) into a threshold-less like dynamics with the two separators  $p_{c,1} = 0$  and  $p_{c,3} = 1$  and the attractor  $p_{c,2} = 1/2$  (**lower part**). It must pass via a voter model (**middle part**) where each point is conserved by the dynamics.



**Figure 3.** Upper part of the Figure shows a case D = 0 with a double fixed points  $p_{c,1} = p_{c,2}$ , which is an attractor below it and separator above it. The attractor of the dynamics is  $p_{c,3}$ . The Case D = 0 with a single triple fixed point  $p_{c,1} = p_{c,2} = p_{c,3}$ , which is an attractor, is shown in the lower part of the figure. Whatever the initial  $p_t$  is, the dynamics lead towards  $p_{c,1} = p_{c,2} = p_{c,3}$  to reach it, provided the required number of updates has been performed. Otherwise, it stops before. The attractor can be located any place with  $0 \le p_{c,1} = p_{c,2} = p_{c,3} \le 1$ .

## 4. Applying the GUF to Existing Opinion Dynamics Models

The Global Unifying Frame can in principle be applied to any update rule used for a two state opinion dynamics model. To demonstrate my claim, in the following, I apply the GUF to a series of Galam and Sznajd models restricted to r = 3. I first compare what the scheme yields with respect to the results obtained directly from the respective models. Then, the scheme allows a comparison between the two series of models. For each model, I need to evaluate  $b_i$  and  $\bar{k}_i$  in order to calculate the update equation and the related discriminant D from Equation (8) to determine the associated dynamics.

#### 4.1. Application to Galam Models

#### 4.1.1. The Local Majority Model (LMM) [12–14]

The basis set of Galam model considers a population of agents, who are randomly randomly distributed in groups of size *r*. A local majority rule is then applied simultaneously to each group. In case of a tie at an even size group a tie breaking rule is applied. Afterwards all agents are reshuffled and the previous scheme is iterated again, and so on and so forth.

When all groups are of size r = 3,  $r_u = 3$ . All 8 configurations are thus contributing to the update with  $p_{t,1} = p_t^3$ ,  $p_{t,2} = p_{t,3} = p_{t,4} = p_t^2(1 - p_t)$ ,  $p_{t,5} = p_{t,6} = p_{t,7} = p_t(1 - p_t)^2$ ,  $p_{t,8} = (1 - p_t)^3$ . It yields respectively,

• 
$$b_5 = b_6 = b_7 = b_8 = ---$$

with,

•  $k_1 = k_2 = k_3 = k_4 = 3$ ,

• 
$$k_5 = k_6 = k_7 = k_8 = 0$$
,

and a = -6, b = 9, c = 0, d = 0, making Equations (5) and (8) write

$$p_t = -2p_t^3 + 3p_t^2, (9)$$

and

$$D = 81 > 0,$$
 (10)

which implies three real roots  $p_{c,1} < p_{c,2} < p_{c,3}$ , which are  $p_{c,1} = 0$ ,  $p_{c,2} = 1/2$ ,  $p_{c,3} = 1$ . Their respective stabilities are determined by the sign of  $\lambda_{c,i} - 1$  with

$$\lambda_{c,i} = \frac{\partial p_{t+1}}{\partial p_t} \Big|_{p_{c,i}},\tag{11}$$

which gives using Equation (9),

$$\lambda_{c,i} = 6p_{c,i}(1 - p_{c,i}), \tag{12}$$

with i = 1, 2, 3.

Instead of the sign of  $\lambda_{c,i} - 1$ , it is more convenient to check if  $\lambda_{c,i} > 1$  or  $\lambda_{c,i} < 1$ . In the first case, the fixed point is a separator, while in the second case it is an attractor. Having  $\lambda_{c,1} = \lambda_{c,3} = 0$  and  $\lambda_{c,2} = 3/2$  makes the three fixed points  $p_{c,1}$ ,  $p_{c,2}$ ,  $p_{c,3}$ , respectively, an attractor, separator, and attractor.

At this point, I underline the fact that solving the LMM directly is quasi identical to applying the GUF, since the GUF is a generic extension of what I have been implementing in my models of opinion dynamics, making no surprise with the findings.

# 4.1.2. The Contrarian Majority Model (CMM) [15]

The Contrarian Majority Model proceeds first precisely as the LMM. However, after applying the local majority rules to each group of size rr, a fraction  $\alpha$  of agents shifts their individual opinion to the opposite opinion one. Accordingly, only the proportion  $(1 - \alpha)$  of

agents keeps the opinion they got from the local majority choice and a proportion  $\alpha$  holds the opposite ones. Then, all agents are reshuffled, and the two-step previous scheme is repeated, and so on and so forth.

In the case with r = 3,  $r_{\mu} = 3$  as for the LMM with all 8 configurations contributing to the update. However, here I have to consider the two cases:

Majority rule yielding,

 $\begin{array}{ll} - & b_1^1 = b_2^1 = b_3^1 = b_4^1 = + + \, , \\ - & b_5^1 = b_6^1 = b_7^1 = b_8^1 = - - - \, , \end{array}$ 

and

- $\begin{array}{ll} & k_1^1 = k_2^1 = k_3^1 = k_4^1 = 3 \; , \\ & k_5^1 = k_6^1 = k_7^1 = k_8^1 = 0 \; , \end{array}$

with probability  $(1 - \alpha)$ .

Contrarian shifts yielding,

- 
$$b_1^2 = b_2^2 = b_3^2 = b_4^2 = ---,$$
  
-  $b_5^2 = b_6^2 = b_7^2 = b_8^2 = +++,$ 

and

- $\begin{array}{rl} & k_1^2 = k_2^2 = k_3^2 = k_4^2 = 0 \; , \\ & k_5^2 = k_6^2 = k_7^2 = k_8^2 = 3 \; . \end{array}$
- with probability  $\alpha$ .

Then, the two cases must be averages giving,

- Average
  - $ar{k}_1 = ar{k}_2 = ar{k}_3 = ar{k}_4 = 3(1-lpha)$  ,  $ar{k}_5 = ar{k}_6 = ar{k}_7 = ar{k}_8 = 3lpha$  ,

which yields to  $a = -6(1 - 2\alpha)$ ,  $b = 9(1 - 2\alpha)$ , c = 0,  $d = 3\alpha$  for Equation (5) with,

$$p_t = -2(1-2\alpha)p_t^3 + 3(1-2\alpha)p_t^2 + \alpha,$$
(13)

and,

$$D = 81(-1+2\alpha)(-1+6\alpha)^3,$$
(14)

for Equation (8), which can be either negative, null or positive depending on  $\alpha$ . The three cases are:

- $\alpha < 1/6 \rightarrow D > 0 \rightarrow$  three real roots  $p_{c,1} < p_{c,2} < p_{c,3}$ .
- $1/6 < \alpha < 1/2 \rightarrow D < 0 \rightarrow$  a threshold-less dynamics with one single attractor.
- $\alpha > 1/2 \rightarrow D > 0 \rightarrow$  again three real roots with  $\alpha > 1/2$ , implying an oscillat-• ing regime.

Equation (13) yields the three solutions,

$$p_{c,1} = \frac{-1 + 2\alpha + \sqrt{1 - 8\alpha + 12\alpha^2}}{2(-1 + 2\alpha)},$$
(15)

$$p_{c,2} = \frac{1}{2},$$
 (16)

$$p_{c,3} = \frac{-1 + 2\alpha - \sqrt{1 - 8\alpha + 12\alpha^2}}{2(-1 + 2\alpha)}, \qquad (17)$$

which requires  $\alpha \le 1/6$  or  $\alpha \ge 1/2$  to exist. Otherwise,  $p_{c,2} = \frac{1}{2}$  is the unique solution.

Determining the stability of  $p_{c,2}$  is sufficient to determine the respective dynamics of the above three cases. From Equation (11) using Equation (13), I obtain

$$\lambda_{c,i} = 6(1 - 2\alpha)(1 - p_{c,i})p_{c,i},$$
(18)

which yields  $3/2 - 3\alpha$  at  $p_{c,2}$ . Therefore,  $p_{c,2}$  is a separator when  $3/2 - 3\alpha > 1$ , which is satisfied when  $\alpha < 1/6$ . Otherwise, when  $\alpha > 1/6$ ,  $p_{c,2}$  is an attractor since  $3/2 - 3\alpha > 1$ .

When  $\alpha < 1/6$ ,  $p_{c,2}$  being a separator, both  $p_{c,1}$  and  $p_{c,3}$  are attractors. In contrast, for  $\alpha > 1/2$ ,  $p_{c,2}$  is an attractor, and thus both  $p_{c,1}$  and  $p_{c,3}$  are separators and as such must be located out of the "physical range", i.e., outside the range 0, 1. Indeed,  $\alpha > 1/2$  creates an oscillatory regime towards  $p_{c,2}$ .

### 4.1.3. The Extended Majority Model (EMM) [16]

Mobillia and Redner extended the Local Majority Model in the case of groups of size three by allowing the possibility of one agent to influence two others [16]. While the configurations + + + and - - - still yield + + + and - - - as in the LMM, the configuration + + - becomes + + + with a probability  $(1 - \beta)$  and - - - with a probability  $\beta$ . Similarly, the configuration - - + becomes - - - with probability  $(1 - \beta)$  and + + +with a probability  $\beta$ .

Therefore, as for the LMM,  $r_u = 3$  and all 8 configurations contribute to the update rule. Similarly to the CMM, two cases must be included in the calculation:

- Probability  $(1 \beta)$ 
  - $\begin{array}{ll} & b_2^1 = b_3^1 = b_4^1 = + + \, , \\ & b_5^1 = b_6^1 = b_7^1 = - \, , \end{array}$

vielding

- $\begin{array}{ll} & k_2^1 = k_3^1 = k_4^1 = 3 \;, \\ & k_5^1 = k_6^1 = k_7^1 = 0. \end{array}$
- and with
- Probability  $\beta$ 
  - $b_2^2 = b_3^2 = b_4^2 = ---$ ,  $b_5^2 = b_6^2 = b_7^2 = +++$ ,

yielding

- 
$$k_2^2 = k_3^2 = k_4^2 = 0$$
,  
-  $k_5^2 = k_6^2 = k_7^2 = 3$ ,

- Both cases lead to the
- Averages
  - $\begin{array}{ll} & \bar{k}_2^1 = \bar{k}_3^1 = \bar{k}_4^1 = 3(1-\beta) \ , \\ & \bar{k}_5^1 = \bar{k}_6^1 = \bar{k}_7^1 = 3\beta \ . \end{array}$

Adding  $b_1 = + + +, b_8 = - -$  with  $k_1 = 3, k_8 = 0$  completes the update of the eight configurations  $a_i \rightarrow b_i$  of three agents, which allows obtaining  $a = -6(1-3\beta)$ ,  $b = 9(1 - 3\beta), c = 9\beta, d = 0$  for Equation (5) with

$$p_t = -2(1-3\beta)p_t^3 + 3(1-3\beta)p_t^2 + 3\beta p_t,$$
(19)

and,

$$D = 81(1 - 3\beta)^4, \tag{20}$$

for Equation (8), which is always positive and null for  $\beta = 1/3$ .

For  $\beta \neq 1/3$ , Equation (19) yields the three fixed points  $p_{c,1} = 0$ ,  $p_{c,2} = 1/2$ ,  $p_{c,3} = 1$ as for the LMM case. However, the main difference relates to the stability of  $p_{c,2} = 1/2$ with,

$$\lambda_{c,i} = 6(1 - 3\beta)p(1 - p) + 3\beta,$$
(21)

giving  $\lambda_{c,2} = \frac{3}{2}(1 - 3\beta) + 3\beta$ .

With  $\lambda_{c,2} > 1 \iff \frac{1}{2}(1-3\beta) > 0 \iff \beta < \frac{1}{3} \implies p_{c,2}$  is a separator, I conclude that  $p_{c,1} = 0$  and  $p_{c,3} = 1$  are attractors, which means that in the range  $\beta < \frac{1}{3}$ , the dynamics stay qualitatively unchanged, being similar to the dynamics of the LMM with a slowing down of the dynamics towards the attractors. However, as soon as  $\beta > \frac{1}{3}$ , qualitative change occurs with  $p_{c,2}$  becoming an attractor and  $p_{c,1}$ ,  $p_{c,3}$  separators.

The flow of opinion has been reversed as with the CMM. However, here,  $p_{c,1}$  and  $p_{c,3}$  stay located at 0 and 1 contrary to the CMM. The difference lies in the changing direction of the flow of the dynamics when  $\beta > \frac{1}{3}$ . It is thus interesting to note that giving a probability for one agent to convince two others produces has no effect in the range  $\beta < \frac{1}{3}$ . The change of  $p_{c,2}$  from being a separator to an attractor occurs via  $\beta = \frac{1}{3}$ , which turns the EMM to a voter model as seen from Equation (19).

# 4.2. Application to Sznajd Models

I apply now the GUF to several versions of Sznajd model, each version having an update rule built on a different social mechanism.

# 4.2.1. The Original Outflow Model (OOM) [17]

The original Sznajd model considers a one-dimensional chain of agents  $S_{l,t} = \pm 1$ , whose opinions are updated according to an update rule inspired from the wisdom principle "United we stand divided we fall" [17]. The dynamics are implemented by selecting groups of four neighbors. In a given group, the state of the middle pair determines the choices of the two external neighbors. The dynamics are thus outflow, and that direction is argued to be socially more realistic than the usual inflow dynamics used, for instance, with Glauber dynamics, where the surrounding spins influence the central one.

Choosing randomly four nearest neighbor agents  $S_{1,t}$ ,  $S_{2,t}$ ,  $S_{3,t}$ ,  $S_{4,t}$ , the update rule selects the middle pair  $S_{2,t}$ ,  $S_{3,t}$  to update the states of the two external agents  $S_{1,t}$ ,  $S_{4,t}$ . The rule operates as follows:

1. 
$$S_{2,t} = S_{3,t} \rightarrow S_{1,t+1} = S_{4,t+1} = S_{2,t+1} = S_{3,t+1} = S_{2,t} = S_{3,t}$$
.  
2.  $S_{2,t} = -S_{3,t} \rightarrow S_{1,t+1} = -S_{2,t+1} = -S_{2,t}$  and  $S_{4,t+1} = -S_{3,t+1} = -S_{3,t}$ .

making r = 4 and  $r_u = 2$ .

A modified version reduces the above rules to three agents [18]. A pair is chosen to influence one of its two neighbor, either the left or the right one, with equal probabilities making r = 3 and  $r_u = 1$ . Indeed, choosing always either the left or the right agent does modify the results besides doubling the relaxation time to reach equilibrium. Applying the GUF scheme selecting always the right-sided agent gives:

- $a_1 = + + (+) \rightarrow b_1 = + + (+)$ , with  $k_1 = 1$ ,
- $a_2 = + + (-) \rightarrow b_2 = + + (+)$ , with  $k_2 = 1$ ,
- $a_3 = + (+) \rightarrow b_3 = + (+)$ , with  $k_3 = 1$ ,
- $a_4 = -+ (+) \rightarrow b_4 = -+ (-)$ , with  $k_4 = 0$ ,
- $a_5 = --(+) \rightarrow b_5 = --(-)$ , with  $k_5 = 0$ ,
- $a_6 = -+(-) \rightarrow b_6 = -+(-)$ , with  $k_6 = 0$ ,
- $a_7 = + (-) \rightarrow b_7 = + (+)$ , with  $k_7 = 1$ ,
- $a_8 = --(-) \rightarrow b_8 = --(-)$ , with  $k_8 = 0$ ,

which gives a = 0, b = 0, c = 1, d = 0. Plugging those values in Equation (3) gives,

$$p_{t+1} = p_t, \tag{22}$$

which shows that within the GUF, the original Sznajd model is indeed identical to the Voter Model [19]. The same finding was already found analytically and numerically by Behera and Schweitzer [20].

### 4.2.2. The Modified Outflow Model (MOM) [18]

The original Sznajd model generates an antiferromagnetic-like ordering when the central pair is "divided". Now, when  $S_{1,t} = -S_{2,t}$ , agent  $S_{3,t}$  stays unchanged with  $S_{3,t+1} = S_{3,t}$ . With r = 3 and  $r_u = 1$ , the above eight configurations become:

- $a_1 = + + (+) \rightarrow b_1 = + + (+)$ , with  $k_1 = 1$ ,
- $a_2 = + + (-) \rightarrow b_2 = + + (+)$ , with  $k_2 = 1$ ,
- $a_3 = + (+) \rightarrow b_3 = + (+)$ , with  $k_3 = 1$ ,
- $a_4 = -+ (+) \rightarrow b_4 = -+ (+)$ , with  $k_4 = 1$ ,
- $a_5 = --(+) \rightarrow b_5 = --(-)$ , with  $k_5 = 0$ ,
- $a_6 = -+ (-) \rightarrow b_6 = -+ (-)$ , with  $k_6 = 0$ , •  $a_7 = +- (-) \rightarrow b_7 = +- (-)$ , with  $k_7 = 0$ ,
- $a_8 = --(-) \rightarrow b_8 = --(-)$ , with  $k_8 = 0$ ,

which yield a = -2, b = 3, c = 0, d = 0. Plugging those values in Equation (5) gives

$$p_{t+1} = -2p_t^3 + 3p_t^2, (23)$$

which is identical to Equation (9), showing that this modified Sznajd model (MOM) is identical to the Galam Majority Model with r = 3.

# 4.2.3. The Modified Inflow Model (MIM) [18,21]

In addition to the above modified Sznajd model (MOM), another modified version (MIM) has been suggested to account for inflow dynamics (MIM) instead of outflow dynamics [18,21]. In the MIM, the update operates on the central spin  $S_{2,t}$  as a function of the states of its two neighbors,  $S_{1,t}$  and  $S_{3,t}$ . If  $S_{1,t} = S_{3,t}$ ,  $S_{2,t+1} = S_{1,t} = S_{3,t}$ . Otherwise,  $S_{2,t+1} = S_{2,t}$ . This new rule is motivated by the social principle "If you do not know what to do, just do nothing" principle. This principle is reminiscent of the inertia principle introduced by Galam at a tie in the Local Majority Model with even sizes [12]. There, the "do nothing" is to elect the incumbent candidate in case of a tie vote.

The associated update rule is obtained again with r = 3 and  $r_u = 1$ . The above eight configurations become:

- $a_1 = +(+) + \rightarrow b_1 = +(+) +$ , with  $k_1 = 1$ ,
- $a_2 = +(+) \rightarrow b_2 = +(+) -$ , with  $k_2 = 1$ ,
- $a_3 = +(-) + \rightarrow b_3 = +(+) +$ , with  $k_3 = 1$ ,
- $a_4 = -(+) + \rightarrow b_4 = -(+) +$ , with  $k_4 = 1$ , •  $a_5 = -(-) + \rightarrow b_5 = -(-) +$ , with  $k_5 = 0$ ,
- $a_6 = -(+) \rightarrow b_6 = -(-) -$ , with  $k_6 = 0$ ,
- $a_7 = +(-) \rightarrow b_7 = +(-) -$ , with  $k_7 = 0$ ,
- $a_8 = -(-) \rightarrow b_8 = -(-) -$ , with  $k_8 = 0$ ,

yielding a = -2, b = 3, c = 0, d = 0. Those values reproduce the Modified Outflow Model with the same Equation (23).

Therefore, within the GUF, both the MOM and MIM are identical and reproduce the LMM. It should be noted that, while the contributions of the various configurations are different for each model, their addition results in the same expression. Indeed, the identity between MOM and MIM was already shown in one dimension [22].

### 5. A Discrepancy

At this stage, it should be mentioned that the two rather similar works on the MOM [18,21] derived an exit probability, i.e., the probability for a system with an initial proportion  $p_0$  to end up with all agents at +, given by,

$$v_{+} = \frac{p_0^2}{2p_0^2 - 2p_0 + 1},\tag{24}$$

and all agents at – with probability  $(1 - p_+)$ .

Given that in the next Section I am claiming that the exit probability is identical to my update rule  $p_{t+1}$  without iteration, Equation (24) exhibits a discrepancy with my Equation (23) finding. This discrepancy was first discussed in [18,23], and here I am able to solve the issue with the GUF.

Going back to the implementation of the GUF for MOM, I could modify the counting, arguing that the configurations that do not hold an update are discarded and thus should not be taken into account. The actual process is to pick up a pair of adjacent agents, and if they hold different opinions, the pair is discarded. When both agents share the same opinion +, if the right sided agent holds the opinion +, it keeps it, and if it holds opinion -, it shifts to +. The same applies for a pair of -.

Therefore, I discard configurations  $a_3, a_4, a_6, a_7$ , keeping only  $a_1, a_2, a_5, a_8$  with the respective probabilities,

- $p_{t,3} = p_{t,4} = p_{t,6} = p_{t,7} = 0,$   $p_{t,1} = \frac{p^2}{p^2 + (1-p)^2} p,$   $p_{t,2} = \frac{p^2}{p^2 + (1-p)^2} (1-p),$   $p_{t,5} = \frac{(1-p)^2}{p^2 + (1-p)^2} p,$   $p_{t,8} = \frac{(1-p)^2}{p^2 + (1-p)^2} (1-p),$

with, respectively,  $k_1 = k_2 = 1$  and  $k_5 = k_8 = 0$ , and  $r_u = 1$ . The related Equation (2) writes

$$p_{t+1} = \frac{p_t^2}{p_t^2 + (1 - p_t)^2},$$
(25)

which is identical to Equation (24).

It is interesting to note that the above treatment can also apply to the IM. The only difference lies in the configurations contributing to Equation (2) with discarding  $a_2$ ,  $a_4$ ,  $a_5$ ,  $a_7$ and keeping  $a_1, a_3, a_6, a_8$ . The updated agents is now in the middle of the pair instead of being on the right side. It yields the respective probabilities,

- $p_{t,2} = p_{t,4} = p_{t,5} = p_{t,7} = 0,$   $p_{t,1} = \frac{p^2}{p^2 + (1-p)^2} p,$   $p_{t,3} = \frac{p^2}{p^2 + (1-p)^2} (1-p),$   $p_{t,6} = \frac{(1-p)^2}{p^2 + (1-p)^2} p,$   $p_{t,8} = \frac{(1-p)^2}{p^2 + (1-p)^2} (1-p),$

with, respectively,  $k_1 = k_3 = 1$  and  $k_6 = k_8 = 0$ , and  $r_u = 1$ . The corresponding update is identical to Equation (25).

I thus also recover the identity of MOM and MIM within the GUF in agreement with [22]. However, MOM and MIM are now different from LMM.

# 6. Mean Field Versus the GUF

Another issue that needs to be tackled is the difference between final states obtained from, respectively, the GUF and an exit probability as discussed in [18].

The GUF iteration brings the system to an attractor, provided enough updates are completed. The result is deterministic. In case the dynamics are stopped before reaching the attractor due to a limited number *l* of iterations, the system exhibits a coexistence of a + and – choices in proportions given, respectively, by  $p_{t+l}$  and  $(1 - p_{t+l})$  if the process started at time t. A majority and a minority coexist.

In contrast, the exit probability  $p_+$  gives the probability that the system ends up at unanimity along +, otherwise unanimity is along – with probability  $(1 - p_+)$  [16,24]. No intermediate coexisting phase is obtained. It is worth noting that exit probabilities have been derived through elaborated and long calculations [18]. In addition, the probabilistic outcomes have been confirmed with Monte Carlo simulations [18]. The possibility that the system did not reach equilibrium has been evoked [23].

At this stage, I propose an explanation to reconcile the GUF with the exit probability, relying on the nature of the GUF.

It happens that most researchers perceive the GUF as a mean-field treatment due to the random distribution of agents in the local groups combined with the repeated reshuffling between updates. Indeed, the fact that every agent can interact in principle with any other one evokes the mean-field hypothesis that every agent interacts with all the others.

In other words, a mean-field treatment is a one object approach within an averaged environment. Only the choice of one single agent is investigated, including its degrees of freedom, while all the other agents are assumed to have chosen the same choice, the averaged choice of the chosen agent.

With the two choices, + and -, a mean-field treatment of the dynamics yields a function, which gives the probability for the chosen agent to be + or -, with the entire system choice being identical to its current choice.

Based on the above definition, I can assess that a one update GUF is precisely a meanfield treatment. Accordingly,  $p_{t+l}$  is the probability to have the entire system at the attractor  $p_{c,3}$  and at  $p_{c,1}$  with probability  $(1 - p_{t+l})$ . In the case where one single the system reaches it with certainty, that makes  $p_{t+l} = p_{+}$ .

Therefore, implementing reshuffling with additional updates goes beyond the single site mean-field treatment. As soon as a second update is performed,  $p_{t+2}$  is no longer the exit probability and becomes the proportion of + with  $1 - p_{t+2}$  being the proportion of -. By so doing, for each update the GUF accounts for local fluctuations and the following reshuffling erases the short range correlations that have resulted from the local update. The procedure is in the spirit of real space renormalization group technics.

It is worth stressing that applying repeated reshuffling to the regular two dimensional Ising ferromagnetic nearest neighbor interactions has exhibited a clearly different behavior from the corresponding mean-field treatment [25,26]. It is also different from the exact treatment without reshuffling.

#### 7. Conclusions

Within the GUF, I have shown that inflow dynamics using the Sznajd model does not impact the outflow dynamics, both the MOM and the MIM are indeed identical and reproduce the LMM with iterations but not within mean-field. In addition I found that the OOM is identical to a voter model as demonstrated in [20].

Those findings are in contradiction with Stauffer's quotation: "However, the Sznajd model takes into account the well-known psychological and political fact that "United we stand, divided we fall"; only groups of people having the same opinion, not divided groups, can influence their neighbours. In contrast to the other consensus models, the Sznajd model as published thus far deals only with communication between neighbours, not between everybody. It is a "word-of-mouth" model."" [27].

According, putting forward the different social principles:

- United we stand, divided we fall (MOM);
- If you do not know what to do, just do nothing (MIM);
- Follow the opinion of anybody else (VM);
- Follow the majority (LMM).

To validate a specific local update can be misleading. Indeed, "United we stand divided we fall" (MOM) and "Follow the opinion of anybody else" (VM) yield the same dynamics as "If you do not know what to do, just do nothing" (MIM) and "Follow the majority" (LMM).

To conclude, the Global Unifying Frame was shown to create a universal tool to investigate any two-state local dynamics, which in turn allows a comparison between models and their related social features. In particular, the GUF provides a key to avoid wrong claims about the validity of specific psycho-sociological principles.

Last, but not least, I have developed the GUF for two-state opinion dynamics models, but it could be generalized to 3-state opinions and more, although that will be a tedious task to complete.

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