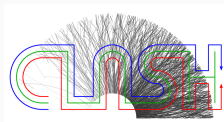


Event generators for (high energy) Heavy Ion Collisions

Christian Bierlich, bierlich@hep.lu.se
Department of Physics, Lund University
Jul 13 2023, MCnet Summer School



Who am I? & motivation of a heavy perspective

- Researcher at Lund University, PhD 2017, MCnet student.
 - ♠ Pythia (soft physics: strings, multiparton interactions, heavy ion collisions, space–time structure of collisions).
 - ♣ Rivet (heavy ion functionality, flow measurements).
- Research interest: **Where heavy ions meet proton–proton** .

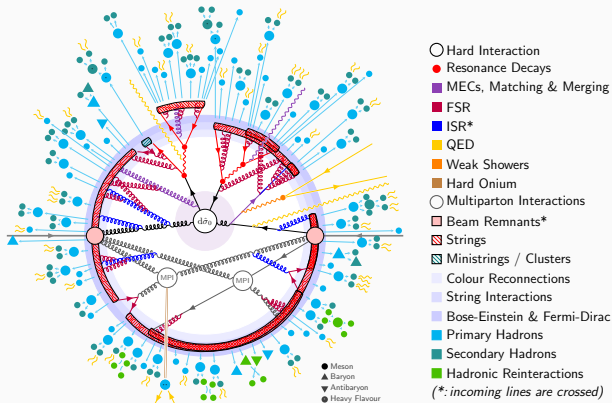
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- Why? **Heavy ions are The Wild West** compared to pp.
- Order-of-magnitude effects vs. percent or per-mille corrections.



Proton collisions are the reference

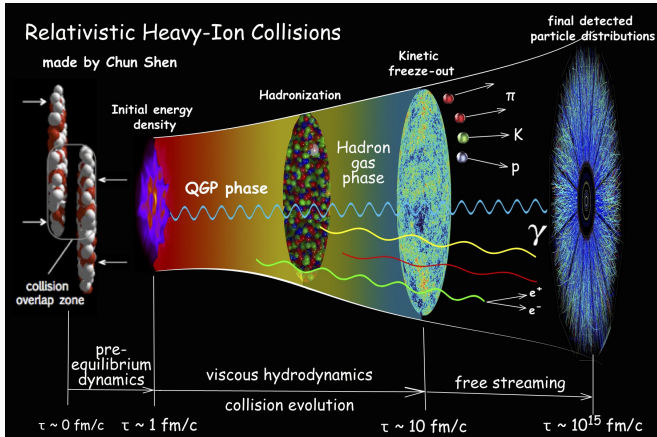
- They are complex beasts by themselves!



- But we think we have a **general purpose** prescription.
- **Jet universality** a cornerstone.

Standard model of heavy ion physics

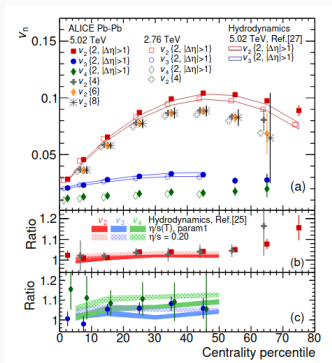
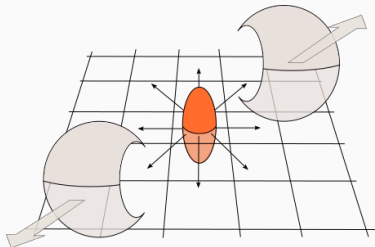
- Heavy ions traditionally viewed very differently.



- Experimentally focused on properties of the QGP, viscosity, temperature, mean-free-path.

Flow: the collective behaviour of heavy ions

- Staple measurement: often modeled with hydrodynamics.
- Several MCEG treatments exist.



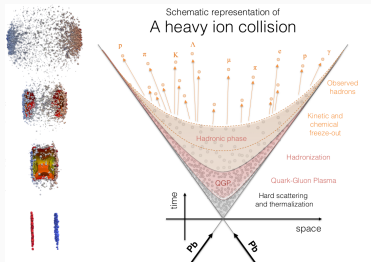
(ALICE: 1602.01119)

Fourier series decomposition of ϕ distribution:

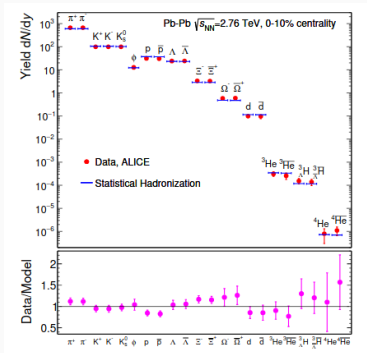
$$\frac{dN}{d\phi} \propto 1 + 2 \sum_{n=1}^{\infty} \mathbf{v}_n \cos [n(\phi - \Psi_n)]$$

Hadron abundances: a QGP thermometer

- The temperature when QGP ends: statistical hadronization.
- Describes total yields well with few parameters.



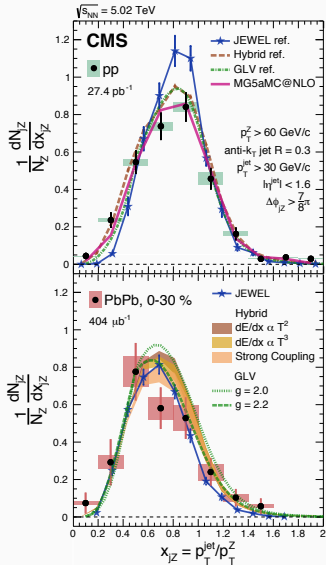
(Figure: D. Chinellato)



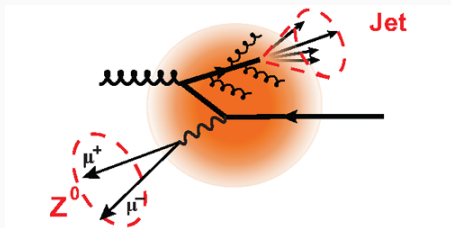
(Andronic et al: 1710.09425)

- No first principles dynamics. Must be included “by hand” in an MCEG.

- Jet evolution affected by presence of QGP.

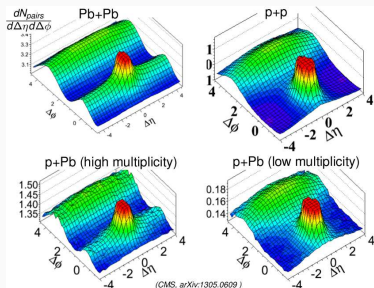


- Boson as calibrated reference.
- Fixed anti- k_T R, jet broadens/softens.
- “Underlying event” difficult.
- **Not found** in small systems, intensive search.
- Will not be covered in this lecture.

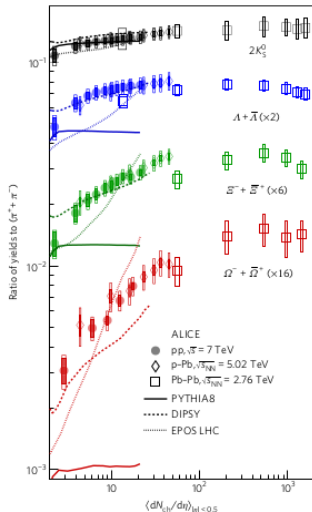


Not so clear division!

- Heavy-ion like effects in pp collisions: **Most surprising discovery of LHC** .



- Transition is smooth!
- Fully general purpose MCEG ($e^+e^- \rightarrow AA$) more active than ever.



This lecture

□ *The initial state*

- ♠ The Glauber model.
- ♣ Effective theory: The color glass condensate (CGC).

□ *Total multiplicities*

- ♠ HIJING/AMPT.
- ♣ The Pythia/Angantyr treatment.
- ♥ Color glass + HERWIG & PYTHIA.

□ *Collective effects*

- ♠ Parton shower modifications.
- ♣ Some soft collective effects.
- ♥ Hadronic rescattering.

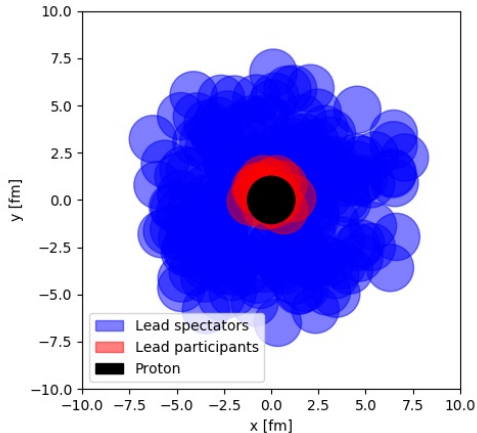
□ Not a **complete** overview, but my curated selection.

□ Focus on concepts, details in bonus material + references.

The Glauber model

$$\text{Nucleon size: } r_p = \sqrt{\sigma^{NN} / 4\pi}$$

inel



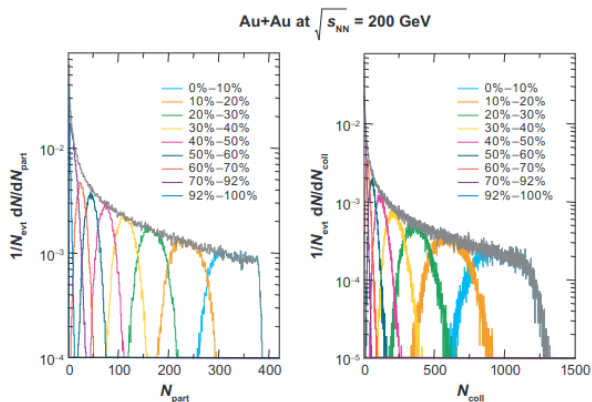
Participants and subcollisions



Basic geometric quantities readily available.



Not directly measurable, don't believe what they tell you!



(arXiv:0701025)

Source of “centrality” binning. Works fine in AA, ambiguous in pA .

Scaling behaviours

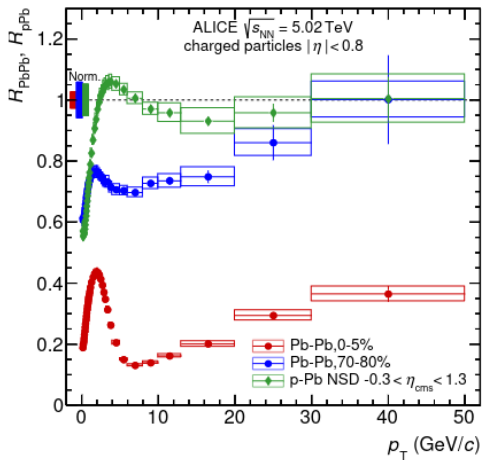
- Multiplicity scaling, observation (1970s, since formalized):
 - ♠ low p_{\perp} : scaling with N_{part} .
 - ♣ high p_{\perp} : scaling with N_{coll} .
- Formation time argument: In $p_L = 0$ frame $\tau_0 \geq 1/m_{\perp}$.

$$\tau_{\text{lab}} = \gamma\tau_0 = \frac{E}{m_{\perp}^2} = \frac{\cosh y}{m_{\perp}}$$

- Minimal resolution scale $\lambda \geq v\tau_{\text{lab}} = \frac{\sinh y}{m_{\perp}}$.
- Only fast particles can resolve individual partons in sub-collisions.
- Total multiplicity scales with number of wounded sources (N_{part}).

Nuclear modification factor

- Simple, scaled observables – no effect in pPb, what about pPb?



(ALICE: JHEP11(2018)013)

- Percentages are centrality intervals

$$R_{AA} = \frac{dN^{AA}/dp_{\perp}}{\langle N_{\text{coll}} \rangle dN^{PP}/dp_{\perp}},$$

$R_{AA} > 1$: enhancement

$R_{AA} = 1$: no effect

$R_{AA} < 1$: suppression

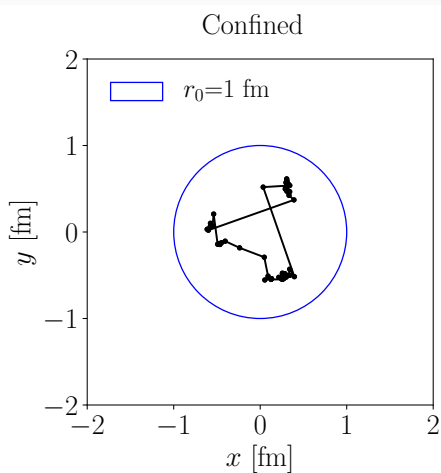
Cross section fluctuations (arXiv:1907.12871, arXiv:1607.04434)



Because protons are not just static balls.



Substructure event by event \rightarrow modified Glauber calculation
(details in bonus material).



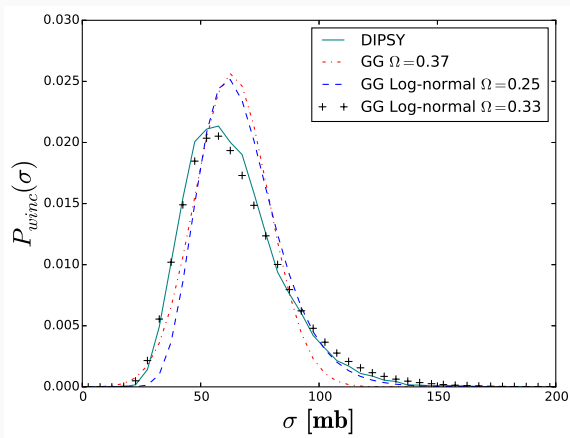
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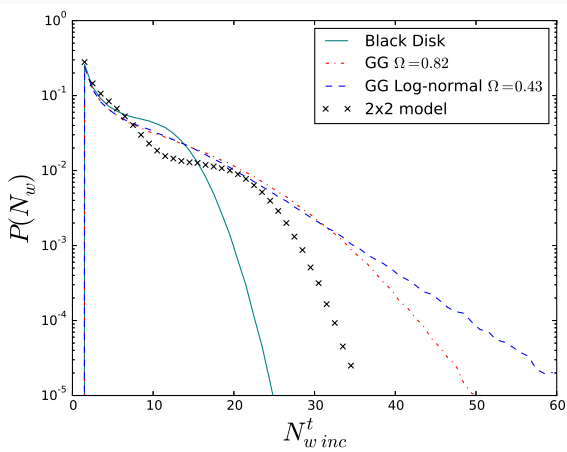
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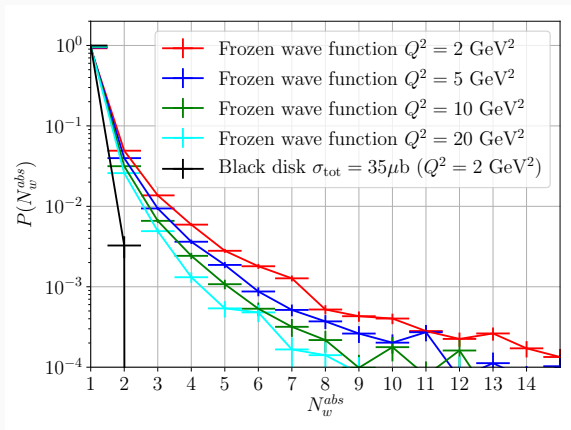
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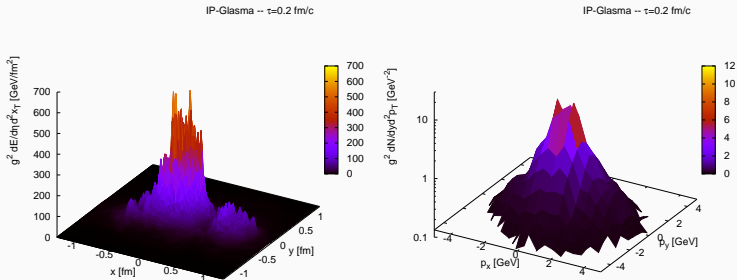


Substructure event by event \rightarrow modified Glauber calculation (details in bonus material).



The color glass condensate (CGC)

- Treat incoming nuclei as classical colour fields.
- Evolved using “B-JIMWLK” (ask...), includes gluon saturation ($gg \rightarrow g$).
- DGLAP: gluon density increases with decreasing x , no limit.



(arXiv:2012.08493)

- But what to do with the fields or wounded nuclei? Stay tuned! 15

Particle production: HIJING and AMPT



Both relies heavily on Pythia for nucleon-nucleon interactions.

- HIJING: No explicit (soft, hot) QGP effects:
 - ♠ Glauber initial state, no cross section fluctuations, nuclear PDFs.
 - ♣ NN cross section suppressed with **geometrical shadowing factor**.
 - ♥ Stack Pythia events, optional models for jet quenching.

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- AMPT = HIJING + extras = Pythia + extra extras.
 - ♠ Let strings melt, recover “partons” (fuzzy concept here).
 - ♣ Parton rescattering in final state.

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Stack Pythia events, optional models for jet quenching.



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Parton rescattering in final state.



Pythia + corrections: representative of many HI MC generators.



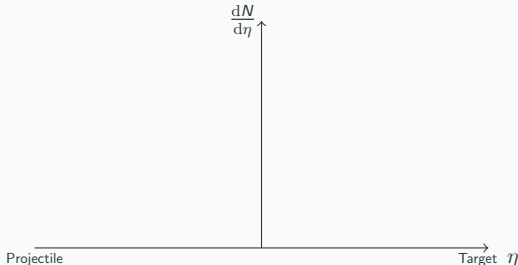
Corrections may be very large!

Particle production: HIJING and AMPT



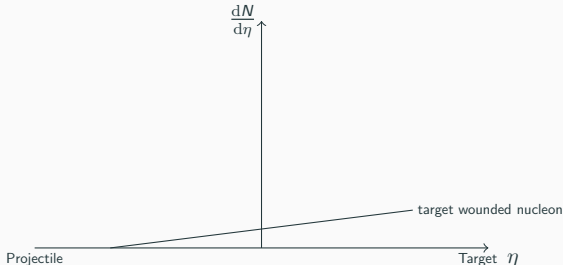
Particle production: The Angantyr model (arXiv:1806.10820)

- Emission $F(\eta)$ per wounded nucleon
$$\rightarrow \frac{dN}{d\eta} = n_t F(\eta) + n_p F(-\eta).$$
- $F(\eta)$ modelled with even gaps in rapidity, as diffraction.
- Tuned to reproduce pp in the $n_t = n_p = 1$ case.
- No tunable parameters for AA – though some freedom in choices along the way.



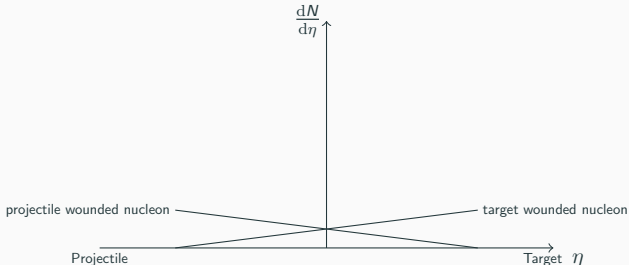
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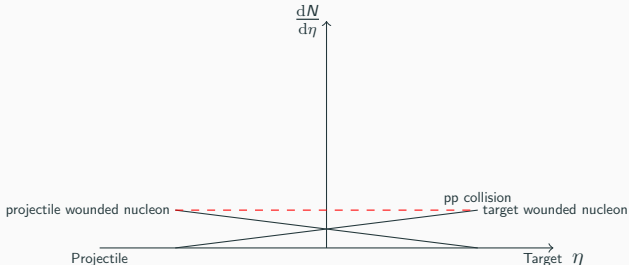
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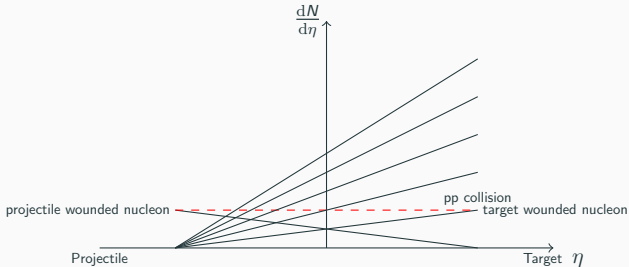
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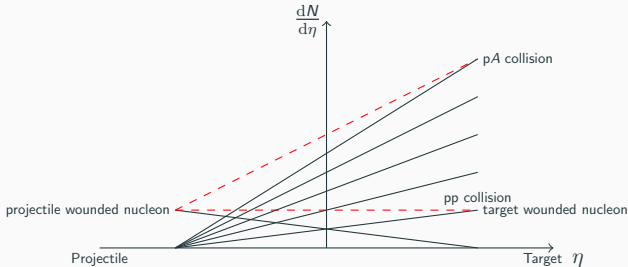
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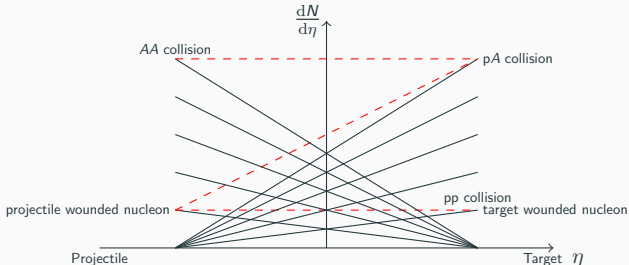
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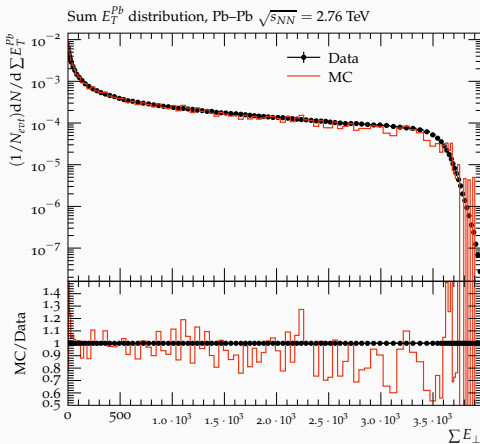
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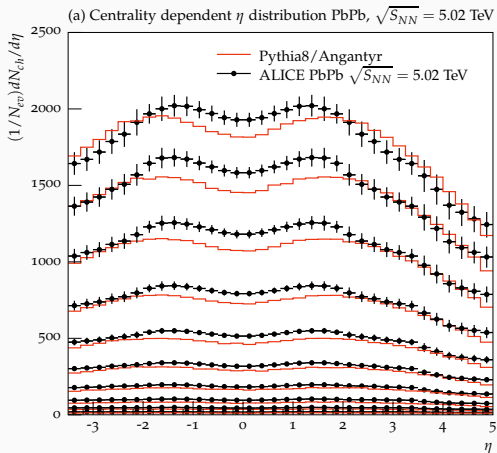
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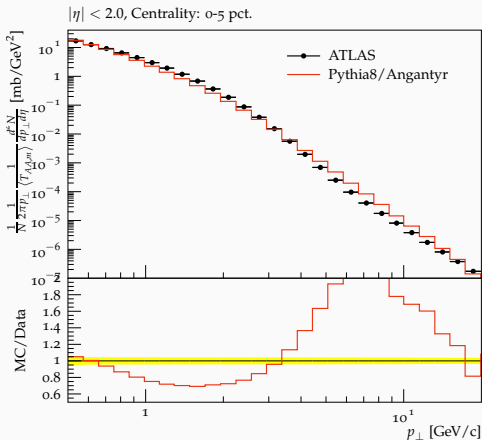
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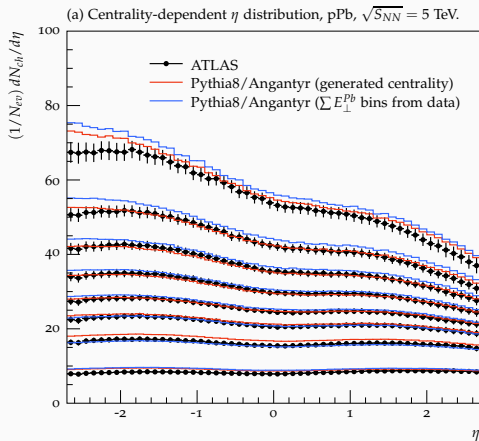
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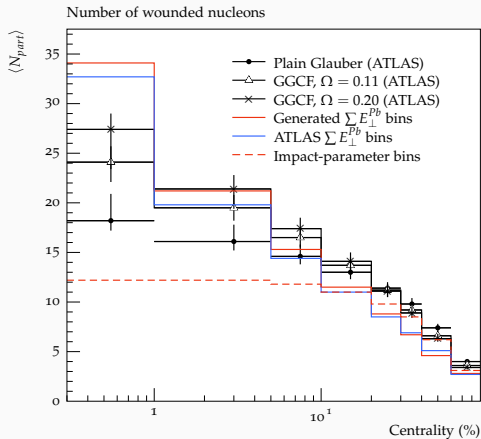
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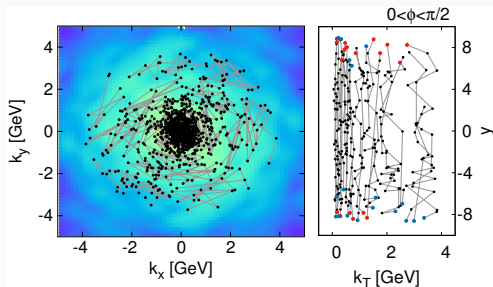


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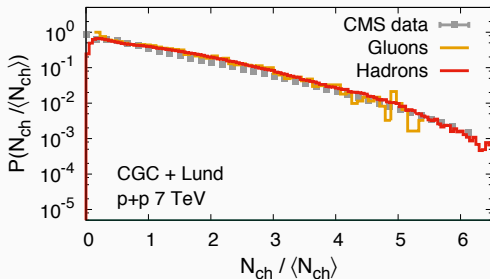
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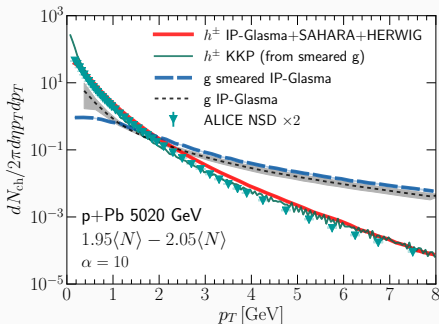
- A long way from classical fields to hadrons.
 - ♠ Standard path: decay to plasma \rightarrow hydrodynamic expansion \rightarrow hadronic freezeout.
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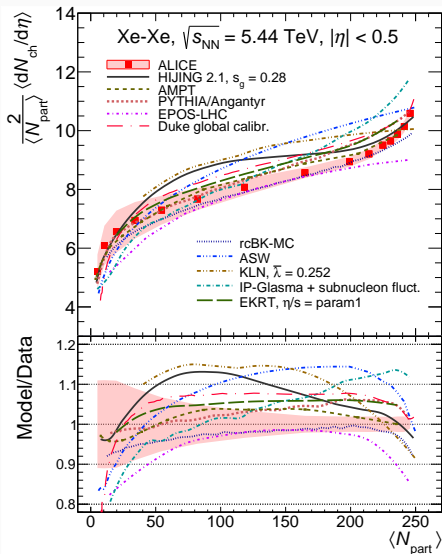
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- True prediction by Angantyr.



- Here: Umbrella term covering **all effects arising from final state interactions, influenced by event geometry** .
- Other people may have other definitions. Beware.
- Today:
 - ♠ Hydrodynamic expansion.
 - ♣ String interactions.
 - ♥ Hadronic rescattering.

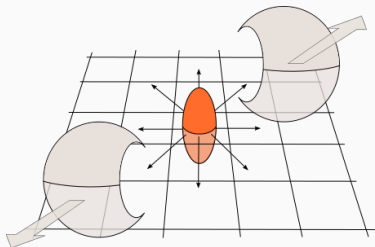
Hydrodynamic expansion

- Thermalization \rightarrow perfect fluid. Energy-momentum tensor:

$$T^{\mu\nu} = (\varepsilon + P)u^\mu u^\nu - Pg^{\mu\nu}$$

P is pressure, ε energy density, u^μ 4-velocity of fluid element.

- EOMs from cons. laws: $\partial_\mu T^{\mu\nu} = 0$ + Equation of state.
- Equation of state good for intuition:



- State-of-the art: 3+1D incl viscous terms. EOS with lattice input.
- MCEG: IP-Glasma + **MUSIC** + URQMD.

- **Freeze-out** when energy density is low enough.

Pythia: No QGP, just interacting strings

- Contrast to PYTHIA: Let us see how far just strings can take us.
- **Microscopic dynamics** , no thermalization, no QGP.

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$\tau \approx 0$ **fm**: Strings no transverse extension. No interactions, partons may propagate.

$\tau \approx 0.6$ **fm**: Parton shower ends. Depending on "diluteness", strings may shove each other around.

$\tau \approx 1$ **fm**: Strings at full transverse extension. Shoving effect maximal.

$\tau \approx 2$ **fm**: Strings will hadronize. Possibly as a colour rope.

$\tau > 2$ **fm**: Possibility of hadronic rescatterings.

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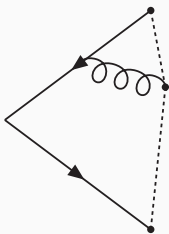
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Fragmentation of a single string (Lund strings: Phys.Rept. 97 (1983) 31-145)

- Non-perturbative fragmentation, Lund strings, $\kappa \approx 1 \text{ GeV/fm}$.

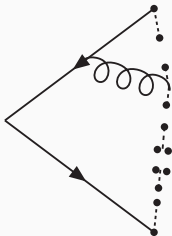


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Flavour by tunnelling

$\mathcal{P} \propto \exp\left(-\frac{\pi m_{\perp}^2}{\kappa}\right)$, where m is the quark mass \rightarrow parameter.

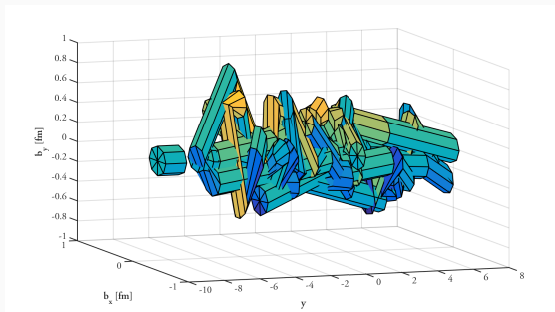
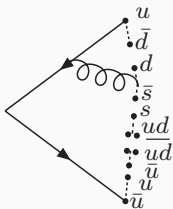


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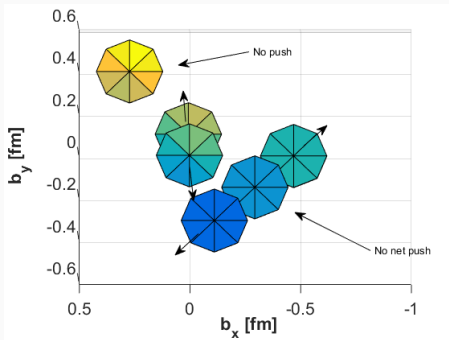
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But many strings overlap in pp collisions!

Shoving: The cartoon picture (arXiv:1710.09725, arXiv:2010.07595)

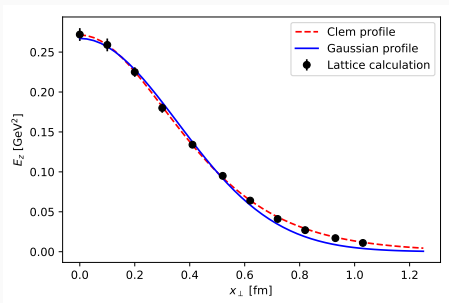
- Strings push each other in transverse space.
- Colour-electric fields \rightarrow classical force.



- 👍 Transverse-space geometry.
- 👍 Particle production mechanism.
- ?? String radius and shoving force

MIT bag model, dual superconductor or lattice?

- Easier analytic approaches, eg. bag model:
$$\kappa = \pi R^2 [(\Phi/\pi R^2)^2/2 + B]$$
- Bad R 1.7 and dual sc. 0.95 respectively, shape of field is input.
- Lattice can provide shape, but uncertain R .



- Solution: Keep shape fixed, but R ballpark-free.

The shoving force

- Energy in field, in condensate and in magnetic flux.
- Let g determine fraction in field, and normalization N is given:

$$E = N \exp(-\rho^2 / 2R^2)$$

- Interaction energy calculated for transverse separation d_{\perp} , giving a force:

$$f(d_{\perp}) = \frac{g\kappa d_{\perp}}{R^2} \exp\left(-\frac{d_{\perp}^2}{4R^2}\right)$$

- Distance calculated in “shoving frame”, resolved as two-string interactions.

- Overlapping strings combine into **multiplet** with effective string tension $\tilde{\kappa}$.

Effective string tension from the lattice

$$\kappa \propto C_2 \Rightarrow \frac{\tilde{\kappa}}{\kappa_0} = \frac{C_2(\text{multiplet})}{C_2(\text{singlet})}.$$

- Overlapping strings combine into **multiplet** with effective string tension $\tilde{\kappa}$.

Effective string tension from the lattice

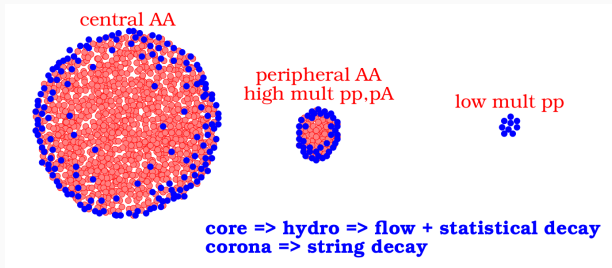
$$\kappa \propto C_2 \Rightarrow \frac{\tilde{\kappa}}{\kappa_0} = \frac{C_2(\text{multiplet})}{C_2(\text{singlet})}.$$

Strangeness enhanced by:

$$\rho_{LEP} = \exp\left(-\frac{\pi(m_s^2 - m_u^2)}{\kappa}\right) \rightarrow \tilde{\rho} = \rho_{LEP}^{\kappa_0/\kappa}$$

- QCD + geometry extrapolation from LEP.
- Can **never** do better than LEP initial conditions!

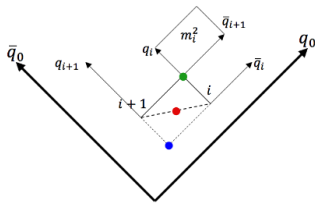
- In the same event:
 - ♠ Single-string treatment at low densities.
 - ♣ Full QGP treatment at high densities.



(Figure credit: Klaus Werner)

- **Geometric interpolation** between two extremes.
- Ambitious MCEG, closest to general purpose on market.

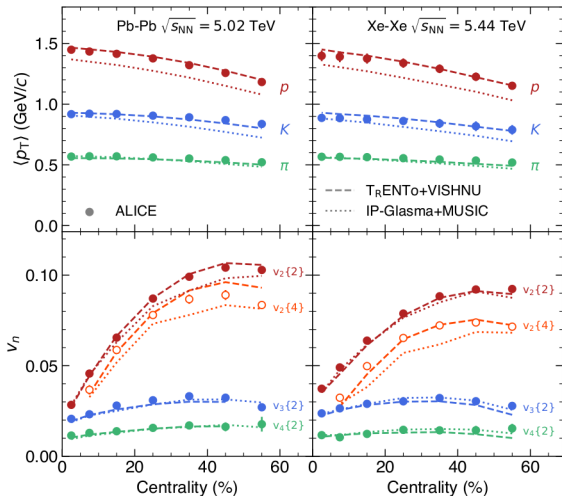
- Several implementations, (URQMD is standard reference) – here Pythia.
- Rescattering requires hadron space–time vertices.
- Key difference to existing approaches: Earlier hadronization $\tau \approx 2$ fm.
- Momentum-space to space-time breakup vertices through string EOM: $v_i = \frac{\hat{x}_i^+ p^+ + \hat{x}_i^- p^-}{\kappa}$
- Hadron located between vertices: $v_i^h = \frac{v_i + v_{i+1}}{2} \left(\pm \frac{p_h}{2\kappa} \right)$



- Formalism also handles complex topologies.
- Hadron cross sections from Regge theory or data.

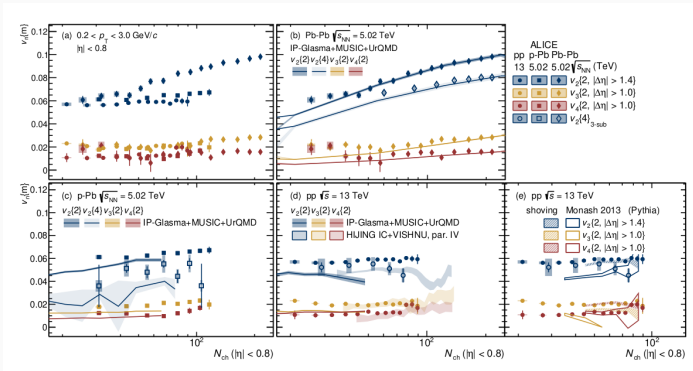
Hydrodynamics does very well for flow (arXiv:2211.04384)

- Special purpose “generators”, different hydro implementations.



String shoving competitive in small systems (arXiv:2211.04384)

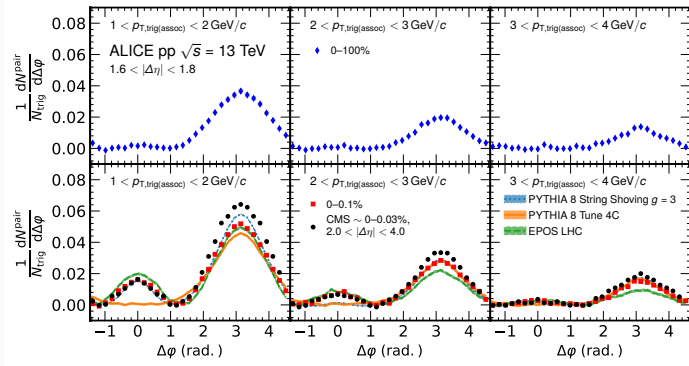
- Probably cannot distinguish models with such inclusive observables.



- In Pythia, download and play around.

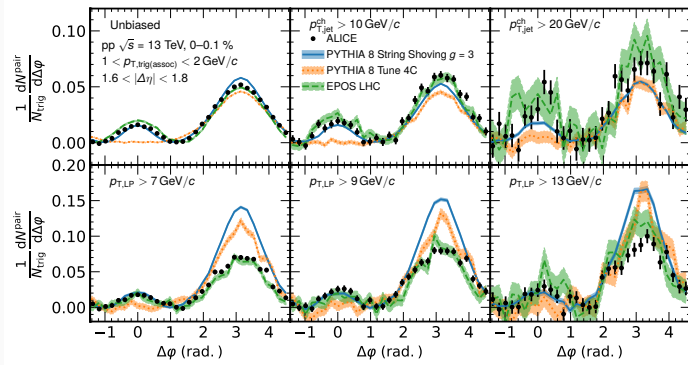
Add a hard probe? (arXiv:2101.03110)

- Changes to the UE, must be modelled correctly.
- Cannot be done by special purpose EGs.



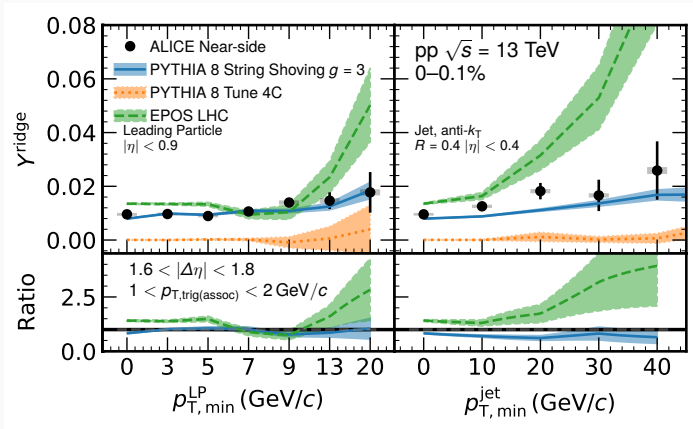
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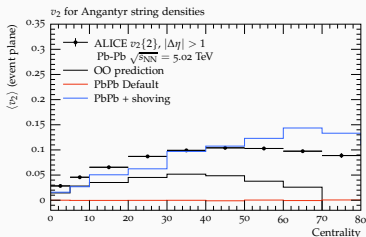
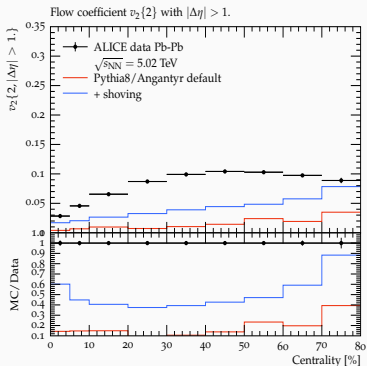


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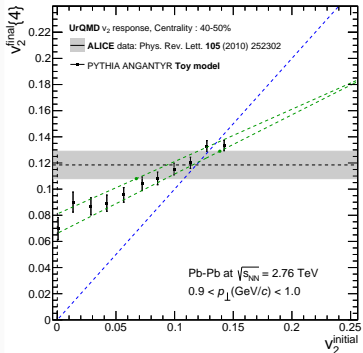
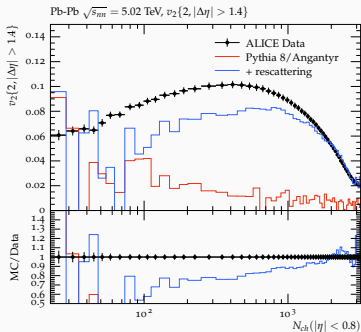
- We are getting there, but slowly.



Toy configuration, not real events.

- Goal: A full microscopic description, across all systems.
- These results without hadronic rescattering.

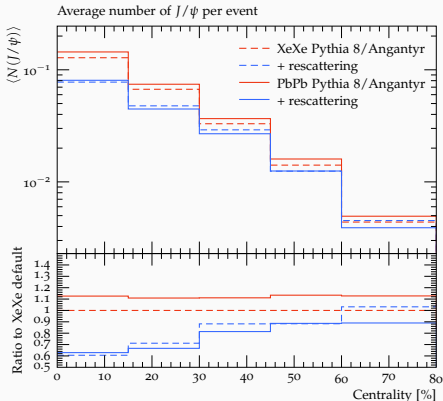
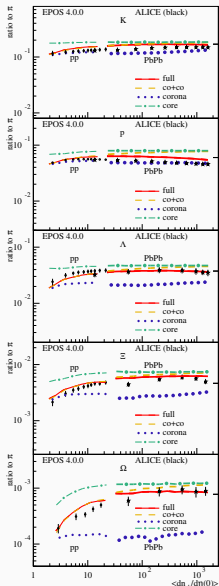
- Crucial for large systems, very sensitive to system lifetime.



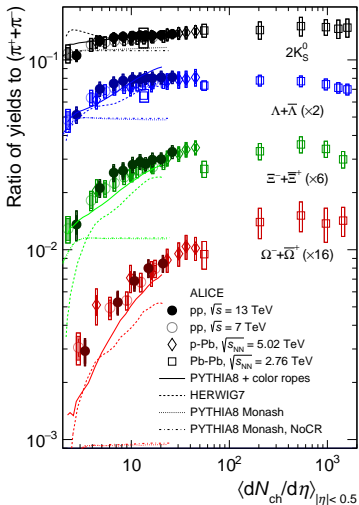
- Not trivial to combine effects!

Hadronic rescattering and flavour (arXiv:2306.10277, arXiv:2103.09665)

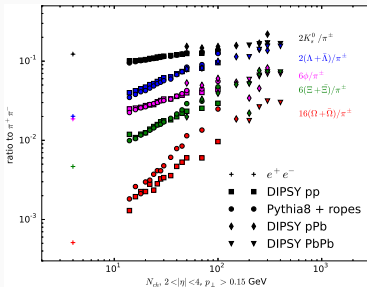
- Crucial for large systems, very sensitive to system lifetime.
- EPOS left, uses URQMD.
- Pythia below, heavy flavour.



Rope hadronization from small to large (arXiv:2003.02394, arXiv:1807.05271)



- Rope production works in pp, download Pythia and play.
- Extension to pA and AA is still work in progress.

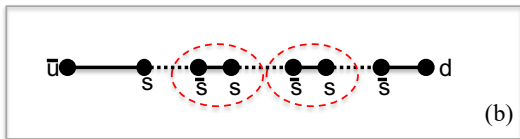
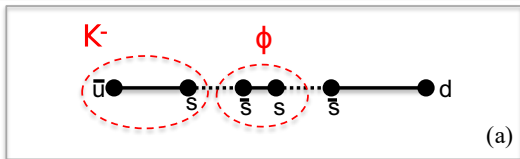


How to continue from here? (arXiv:2003.10997)

- Many different models on the market, each with their niche.
- Messy models, difficult to place limits and get on with your life.
- Rivet + global $\chi^2 = \text{profit?}$
 - ♠ model uncertainties not under control.
 - ♣ most are special purpose calculations.
 - ♥ attempts (Bayesian) exist, and might eventually be succesful.
- Another route: Qualitative differences.

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Summary

- There is **no single general purpose MC** for heavy ions. (Yet. EPOS comes quite close).
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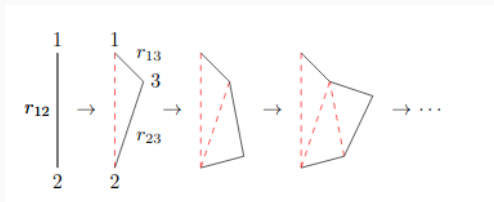
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 - ♣ jet quenching, HBT, thermal charm, flow correlations, critical point searches, thermal photons, statistical hadronization, kinetic theory, nuclear PDFs, etc...
- Best student resources on conference “student days” or dedicated summer schools. Ask if interested.
- **Thank you for your attention!**
- **Thank you for nice nightcap discussions!**

1. B-JIMWLK from dipoles.
2. Glauber model with fluctuating cross sections and frozen projectiles.
3. Strings with very soft gluon kinks.

BFKL, B-JIMWLK and all that...

- Start with Mueller dipole branching probability:

$$\frac{d\mathcal{P}}{dy} = d^2\vec{r}_3 \frac{N_c\alpha_s}{2\pi^2} \frac{r_{12}^2}{r_{13}^2 r_{23}^2} \equiv d^2\vec{r}_3 \kappa_3.$$



- Evolve any observable $O(y) \rightarrow O(y + dy)$ in rapidity:

$$\begin{aligned}\bar{O}(y+dy) &= dy \int d^2\vec{r}_3 \kappa_3 [O(r_{13}) \otimes O(r_{23})] + O(r_{12}) \left[1 - dy \int d^2\vec{r}_3 \kappa_3 \right] \\ &\rightarrow \frac{\partial \bar{O}}{\partial y} = \int d^2\vec{r}_3 \kappa_3 [O(r_{13}) \otimes O(r_{23}) - O(r_{12})].\end{aligned}$$

A powerful formalism!

- Example: S -matrix (eikonal approximation, b -space):

$$O(r_{13}) \otimes O(r_{23}) \rightarrow S(r_{13})S(r_{23})$$

- Change to $T \equiv 1 - S$:

$$\frac{\partial \langle \overline{T} \rangle}{\partial y} = \int d^2 \vec{r}_3 \kappa_3 [\langle T_{13} \rangle + \langle T_{23} \rangle - \langle T_{12} \rangle - \langle T_{13} T_{23} \rangle].$$

- B-JIMWLK equation, but could be written with other observables.
- Example: Average dipole coordinate ($\langle z \rangle$):

$$\frac{\partial \langle \overline{z} \rangle}{\partial y} = \int d^2 \vec{r}_3 \kappa_3 \left(\frac{1}{3} z_3 - \frac{1}{6} (z_1 + z_2) \right).$$

Good-Walker & cross sections

- Cross sections from $T(\vec{b})$ with normalizable particle wave functions:

$$\sigma_{\text{tot}} = 2 \int d^2\vec{b} \Gamma(\vec{b}) = 2 \int d^2\vec{b} \langle T(\vec{b}) \rangle_{p,t}$$

$$\sigma_{\text{el}} = \int d^2\vec{b} |\Gamma(\vec{b})|^2 = \int d^2\vec{b} \langle T(\vec{b}) \rangle_{p,t}^2$$

$$B_{\text{el}} = \frac{\partial}{\partial t} \log \left(\frac{d\sigma_{\text{el}}}{dt} \right) \Big|_{t=0} = \frac{\int d^2\vec{b} b^2 / 2 \langle T(\vec{b}) \rangle_{p,t}}{\int d^2\vec{b} \langle T(\vec{b}) \rangle_{p,t}}$$

- Or with photon wave function:

$$\sigma^{\gamma^* P}(s) = \int_0^1 dz \int_0^{r_{\text{max}}} r dr \int_0^{2\pi} d\phi \left(|\psi_L(z, r)|^2 + |\psi_T(z, r)|^2 \right) \sigma_{\text{tot}}(z, \vec{r})$$

Cross section colour fluctuations

- Cross section fluctuates event by event: important for pA , $\gamma^* A$ and less AA .
- Projectile remains frozen through the passage of the nucleus.
- Consider fixed state (k) projectile scattered on single target nucleon:

$$\begin{aligned}\Gamma_k(\vec{b}) &= \langle \psi_S | \psi_I \rangle = \langle \psi_k, \psi_t | \hat{T}(\vec{b}) | \psi_k, \psi_t \rangle = \\ &= (c_k)^2 \sum_t |c_t|^2 T_{tk}(\vec{b}) \langle \psi_k, \psi_t | \psi_k, \psi_t \rangle = \\ &= (c_k)^2 \sum_t |c_t|^2 T_{tk}(\vec{b}) \equiv \langle T_{tk}(\vec{b}) \rangle_t\end{aligned}$$

- And the relevant amplitude becomes $\langle T_{t_i, k}^{(nN_i)}(\vec{b}_{ni}) \rangle_t$

Fluctuating nucleon-nucleon cross sections

- Let nucleons collide with total cross section $2\langle T \rangle_{p,t}$
- Inserting frozen projectile recovers total cross section.
- Consider instead inelastic collisions only (color exchange, particle production):

$$\frac{d\sigma_{\text{inel}}}{d^2\vec{b}} = 2\langle T(\vec{b}) \rangle_{p,t} - \langle T(\vec{b}) \rangle_{p,t}^2.$$

- Frozen projectile will not recover original expression, but require target average first.

$$\frac{d\sigma_w}{d^2\vec{b}} = 2\langle T_k(\vec{b}) \rangle_p - \langle T_k^2(\vec{b}) \rangle_p = 2\langle T(\vec{b}) \rangle_{t,p} - \langle \langle T(\vec{b}) \rangle_t^2 \rangle_p$$

- Increases fluctuations! But pp can be parametrized.

Strings with very soft gluon kinks

- String geometries can get quite complicated!

