

Finding More Null Pointer Bugs, But Not Too Many

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Abstract

In the summer of 2006, the FindBugs project was challenged to improve the null pointer analysis in FindBugs so that we could find more null pointer bugs. In particular, we were challenged to try to do as well as a publicly available analysis by Reasoning, Inc on version 4.1.24 of Apache Tomcat. Reasoning's report is a result of running their own static analysis tool and using manual auditing to remove false positives. Reasoning reported a total of 9 null pointer warnings in Tomcat 4.1.24, of which only 2 were reported by FindBugs 1.0. While we wanted to improve the analysis in FindBugs, we wanted to retain our current low level of false positives.

As a result of the work presented in this paper, FindBugs now reports 4 of the 9 warnings in Tomcat, shows that one of the warnings reported by Reasoning is a false positive, and classifies the remaining 4 as being dependent on the feasibility of a particular path, which cannot be easier ascertained by a local examination of the source code. Moreover, we found 24 additional null pointer bugs in Tomcat that had been missed by Reasoning, and overall doubled the number of null pointer bugs found by FindBugs while improving the quality and significance of reported defects.

Categories and Subject Descriptors F.3.2 [*Semantics of Programming Languages*]: Program analysis; D.2.4 [*Software/Program Verification*]: Reliability

General Terms Algorithms, Reliability, Security

Keywords FindBugs, null pointers, static analysis, bugs, bug patterns, Java, software quality

1. Introduction

In the summer of 2006, David Morgenthaler challenged the FindBugs project to improve the null pointer analysis in FindBugs. Dr. Morgenthaler had been previously employed at Reasoning, a company that provided static analysis for software defect detection as a service. Dr. Morgenthaler was now employed by Google, where among other duties he was evaluating static defect detection tools for internal use. Reasoning had previously made public a sample [8] of the output of their services on version 4.1.24 on

Apache Tomcat [1]. Reasoning had applied their own static analysis tool, and, after filtering out the warnings they believed to be false positives, reported 9 null pointer bugs. FindBugs 1.0 reported only two of the warnings reported by Reasoning. For some time we had wanted to improve our analysis to find more defects, and Dr. Morgenthaler's challenge and Reasoning's report provided us additional motivation and test cases.

The static analysis tool used by Reasoning could have a much higher false positive rate than was acceptable for FindBugs, since they performed internal manual suppression of false positives before results were shown to customers. In particular, the issue of infeasible paths is a challenging problem for static analysis tools. Consider, for example:

```
PrintWriter log = null;  
if (anyLogging) log = new PrintWriter(...);  
if (detailedLogging) log.println("Log started");
```

This code will throw a null pointer exception if `anyLogging` is false and `detailedLogging` is true. The names of these boolean variables suggest that this situation cannot arise at runtime, but verifying that through static analysis might be difficult. As we can see in this example, the infeasible path problem involves a path through the program that depends on at least two branches. Even if both branches can be decided in both directions (true and false), they both have to be decided in a correlated manner in order for the potential null-pointer bug to manifest. To address this problem, static analysis tools can compute *path conditions*—the conditions that must be true in order for the path to be executed. If the analysis can show that the path conditions are infeasible, then the potential defect is also shown to be infeasible. In the following modification of the above example, the path condition for the null pointer exception is $\text{logLevel} \leq 0 \wedge \text{logLevel} > 3$, which can be shown to be infeasible by appropriate techniques.

```
PrintWriter log = null;  
if (logLevel > 0) log = new PrintWriter(...);  
if (logLevel > 3) log.println("Log started");
```

Unfortunately, many infeasible path conditions are not easy to disprove. Rather than worrying about disproving path conditions, FindBugs 1.0 used a null pointer analysis that reported a warning if there was a statement or branch in a program that, if executed/taken, would guarantee the occurrence of a null pointer exception (NPE). Since programmers strive to avoid writing unreachable code and redundant conditional tests, the existence of such a statement or branch is a good indicator of programming defect. If the statement or branch is unreachable, then that is generally taken as evidence of a programming fault that warrants correction, to improve the performance and understandability of the code.

In this paper, we describe several techniques we developed and implemented in more recent versions of FindBugs in order to find more null pointer bugs, while retaining the property that

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```

322 while(it.hasNext()) {
323   Object elt = it.next();
324   if((null == obj && null == elt)
        || obj.equals(elt)) {
325     count++;
326   }

```

Figure 1. Defect 01

```

519 HttpServletRequest hreq = null;
520 if (req instanceof HttpServletRequest)
521   hreq = (HttpServletRequest) req;
522
523 if (isResolveHosts())
524   result.append(req.getRemoteHost());
525 else
526   result.append(req.getRemoteAddr());
...
551 result.append(hreq.getMethod());

```

Figure 2. Defect 08

null pointer warnings are only emitted if a branch or statement exists that if executed would guarantee a null pointer exception. Combined with strengthened analysis to track null values in fields, these improvements roughly double the number of null pointer defects found by FindBugs, without increasing the false positive rate. Our improved analysis is substantially better than analysis based on proving the feasibility of path conditions at producing easy-to-understand warnings.

2. Reasoning report on Tomcat

Table 1 gives the null pointer warnings reported [8] by Reasoning. Figures 1, 2, and 3 show part of the code for the defects numbers 01, 08, and 09, respectively, by Reasoning. The defect numbers are those assigned by Reasoning, which also include 3 array bound errors, 12 resource leaks and 2 bad string comparisons.

Figure 1 is a relatively simply bug that was found by the null pointer analysis in FindBugs 1.0 [6]: if the test `null == elt` evaluates to false, we are guaranteed to get a null pointer exception when we dereference `obj` by invoking the `equals` method on it.

Figure 2 is a bug we want to report, but the analysis in [6] doesn't. If the test on line 520 fails, we are guaranteed to get a null pointer exception at line 551 (assuming no other exceptions prevent us from reaching line 551). However, there is no simple path¹ from the else branch of line 520 to line 551. The conditions at lines 523, 530 and 532 mean that there are 8 different paths from line 520 to line 551, and each of these paths have path conditions that traditional analysis would try to show are feasible or infeasible.

Figure 3 is a warning we do not wish to report in FindBugs. This null pointer exception can occur only if it is simultaneously possible for `req` to not be a `HttpServletRequest` and for `type = 'c'`. This is exactly the kind of infeasible path problem that we were concerned about. Clearly, this defect should be prioritized below defects 01 and 08, and at this point, we don't want FindBugs to report this potential defect.

3. Improving the null pointer analysis

While the most significant changes to our analysis were partially motivated by the challenge of improving our results on Tomcat, we

¹We define a *simple path* to be one in which there are no conditional branches.

```

889 HttpServletRequest hreq = null;
890 if (req instanceof HttpServletRequest)
891   hreq = (HttpServletRequest) req;
892
893 switch (type) {
...
905   case 'c':
906     Cookie c = hreq.getCookies();

```

Figure 3. Defect 09

made a number of other changes to our null pointer analysis since FindBugs 1.0. This section summarizes them, notes the impact on the number of warnings FindBugs reports on Eclipse and Sun's 1.6 JVM implementation, and notes the connection to improving its results on Tomcat.

3.1 Warnings removed

FindBugs no longer reports a number of warnings reported by FindBugs 1.0. There are four basic reasons for this:

- **Suppression of warnings inside try/catch blocks** We found a number of cases where code could perform a null pointer dereference, but the code was inside a small try/catch block that was designed to anticipate and handle that situation.
- **Better handling of assertions.** In particular, FindBugs now analyzes code as if assertion checking is always enabled. This means that we will treat `assert x != null;` as an assertion that `x` is non-null, since the analysis understands the successor of that statement can be reached only if `x` is non-null.
- **Better handling of panic methods.** We've seen a fair number of false positives caused by code such as `if (x == null) panic();` followed by a dereference of `x`. Unless FindBugs understands that the `panic()` method won't return normally, it will report a null pointer defect here. We can't simply match on the name `panic`, since different projects use different names for such methods. We also can't depend on checking to see if the method returns normally, because some systems have methods that log a fatal error message and then return normally. We have done some custom modeling of specific panic methods we supported by various frameworks, and allow users of FindBugs to improve their analysis results by specifying any additional panic methods used in their own codebases.
- **Better modeling of the JDK.** FindBugs incorporates a model of which method parameters and return values in the core API methods must be non-null. An improved modeling of which JDK methods always return non-null values eliminated some false positives. For example, in one package of the JDK, `java.util.concurrent`, we had modeled all the method parameters as taking non-null parameters unless explicitly specified otherwise. Additional methods were introduced into that package after FindBugs 1.0 were released, and thus FindBugs 1.0 wrongly marked some of these methods as requiring non-null parameters. (This is something of an artificial case, due to the explicit modeling of the JDK in FindBugs.)

3.2 Warnings reclassified

FindBugs 1.0 reported a null pointer correctness bug if an `equals()` method would throw a null pointer exception if given a null argument. (It should instead return a false value if its parameter is null.) In FindBugs 1.1, this was reclassified as a bad practice bug, rather than a correctness bug. While one could make the case that this is incorrect code, we found that developers didn't want to wade through these warnings when performing correctness bug triage.

#	FB 1.0	FB 1.1+	library	File	line
01	yes	yes	commons-collections-2.1	CollectionUtils.java	324
05	no	no, path-dependent	tomcat-4.1.24	StandardWrapperValve.java	185
08	no	yes	tomcat-4.1.24	AccessLogValve.java	551
09	no	no, path-dependent	tomcat-4.1.24	AccessLogValve.java	906
10	no	no, path-dependent	tomcat-4.1.24	CertificatesValve.java	385
18	yes	yes	jakarta-tomcat-connectors	IntrospectionUtils	847
19	no	no, disproven	jakarta-tomcat-connectors	IntrospectionUtils	847
20	no	no, path-dependent	jakarta-tomcat-connectors	IntrospectionUtils	912
21	no	yes	jakarta-tomcat-connectors	IntrospectionUtils	915

Table 1. Null pointer warnings reported by Reasoning

In general, each project or organization should adopt a rule as to whether this bad practice is acceptable. Similarly, we reclassified `clone()` and `toString()` methods returning a null value as bad practices rather than correctness bugs.

3.3 More accurate modeling of core API methods

Since FindBugs version 1.0, we have improved the modeling of which methods in the core Java API classes require non-null values. Some of the improvements come from explicit marking (using annotations) of methods requiring non-null parameters. Others depend upon an iterative application of our guaranteed dereference computation to determine method parameters that are always dereferenced (or passed to methods that, in turn, dereference them). Our guaranteed dereference computation improved in FindBugs 1.2.0, and we don’t separately break out which improvements came from explicit marking and which came from computation of guaranteed dereferences. In both cases, the new bugs that are reported due to these improvements involve passing a possibly-null value as an argument to a method that will unconditionally dereference it.

3.4 Reporting of errors on exception paths

FindBugs 1.0 contained an error in how it tracked potential null pointer dereferences on exception paths. Since the quality of these warnings wasn’t very good, they were reported at a low priority level warnings (which are ignored by default). One we fixed this problem, we were able to report such warnings as medium priority.

3.5 Field tracking

We added limited tracking of static and instance fields in order to detect more cases where a null value could be loaded from a field. We ignore aliasing, don’t track volatile fields, and assume that any method call could modify any field of any object passed to the method call. We also assume that any synchronization could cause all non-final fields to change.

3.6 Guaranteed dereferences

While all of the techniques we’ve described are useful, none of them address the defects in Tomcat that we wanted to catch. In addition to the forwards data flow analysis used in FindBugs 1.0 [6], we added a backwards dataflow analysis of which values are guaranteed to be dereferenced on all non-exception paths to exit. We had already done a version of this analysis to determine which method parameters are unconditionally dereferenced, but we made some substantial improvements to it and also now incorporate it into our intra-procedural null pointer analysis. In Defect 08 (Figure 2), the backwards propagation tells us that at else branch of the test on line 521, the current value of `href` is guaranteed to be dereferenced. When the backwards propagation of values guaranteed to be dereferenced encounters a statement or branch where that value is guaranteed to be null, we report an error. (Note that the analysis tracks *values* and not *variables*: see Section 4.)

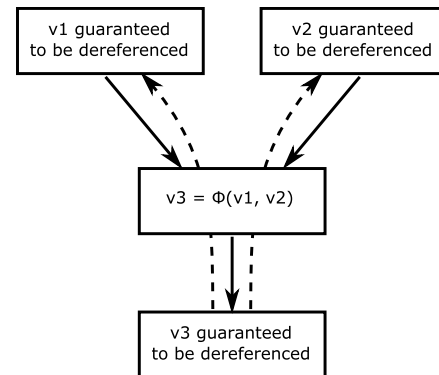


Figure 4. Propagating a guaranteed dereference backwards across a ϕ -node. (Dashed arrows show direction of propagation.)

We only consider non-exception paths due to the ever-present possibility of unchecked exceptions. Because a substantial number of Java bytecode instructions can throw unchecked exceptions, including all method invocations, there are generally very few places where a value is guaranteed to be dereferenced on *all* forward paths, including paths which include exception control flow. Since exception control flow usually indicates an error, we feel it is reasonable to exclude these paths from consideration.

4. Implementation notes

Our null-pointer analysis is based on a forward dataflow analysis that approximates static single assignment form (SSA) for values in local variables and on the operand stack. Specifically, the analysis tries to detect all cases where two values in local variables or the operand stack are the same, and assign such values the same “value number”. When two distinct values in the same local variable or operand stack location are merged as the result of a control join, the analysis creates a fresh value number distinct from any existing value number. Such join points are analogous to ϕ -nodes in SSA.

We compute the guaranteed dereferences as a backwards dataflow problem over our SSA approximation. At each program location where a value is dereferenced, the analysis considers adding that value number to the set of values guaranteed to be dereferenced. As an optimization, we omit any dereference that occurs at a location where the dereferenced value is definitely non-null; such dereferences can be safely ignored. If a value is dereferenced at a location where the value is guaranteed to be null, we report the error directly rather than propagating the dereference.

When the guaranteed dereference analysis encounters a ϕ -node going backwards, it must rewrite the dereferenced value sets on each control edge leading into the ϕ -node to translate from the

value number assigned by the ϕ -node to the corresponding input value to the ϕ -node. This is illustrated in Figure 4.

The category of program locations we denote as “dereferences” are more inclusive than actual dereferences (i.e., instructions with a built-in null check); they are any location where the use of a null value has a high likelihood of causing a null pointer exception. For example, we also count as dereferences passing a value to a parameter that must be non-null, returning a value from a method that must return a non-null value, and storing a value into a field that has been annotated as non-null.

We treat conditional branches on nullness specially. Specifically, in computing the guaranteed dereferences that propagate backwards from an `if (x == null)`, for the value in `x` we check to see if `x` is guaranteed to be dereferenced just on the true branch and ignore the else branch. Other values are marked as having a guaranteed dereference only if they have a guaranteed dereference on both branches.

4.1 Reporting defects

As part of our backwards guaranteed-dereference dataflow analysis, we compute, for each distinct known-null value, the set of locations such that one location in the set is guaranteed to dereference the value on a non-exception path to the method exit. When a guaranteed dereference and a known null value collide, we report a warning that gives both the location where the value is known to be null and the set of locations, one of which is guaranteed to dereference it.

We prune the set of dereference locations as follows: if the set contains both locations x and y , and y postdominates x , then remove x from the set of locations that are reported. This is rather effective at capturing the “interesting” dereference locations, and in the vast majority of cases we report a single dereference location.

Although our analysis does not find as many bugs as purely path-based approaches, it has the considerable advantage that the bugs reported are easy to understand. A path-based analyzer might find many paths between a location where a value is known to be null and a dereference of that value. Reporting all paths might overwhelm the user, while picking one path arbitrarily might result in reporting an infeasible path. Our analysis reports warnings the user can verify with relative ease, since the null value mentioned by the warning is guaranteed to be dereferenced at one of the reported locations (unless an exception occurs).

4.2 Defect prioritization

FindBugs uses a number of heuristics in trying to prioritize defect warnings. As mentioned, we only report a null pointer warning if there is a statement or branch that, if executed/taken, guarantees a null pointer exception, assuming our program model is correct.² If the execution of a statement (as opposed to a taken branch) guarantees a null pointer exception, we raise the priority of the warning. In our auditing of defect warnings we have found a fair number of cases where developers write conditional tests that they never expect to be fully covered. However, we have it found it much rarer for developers to intentionally write statements that they never expect to be executed.

5. Results

We were quite happy with the results of our new analysis. Table 1 notes the changes in our results on the warnings reported by Reasoning. In addition to reporting two more of the defects found by Reasoning, we report 24 additional null pointer defects missed

²Our program model could be wrong due to field aliasing, not understanding which methods modify which functions, or not understanding when exceptions will be thrown and execution will not continue normally

```
// org.apache.cataline.core.StandardPipeline:
546 protected void log(String message) {
547
548     Logger logger = null;
549     if (container != null)
550         logger = container.getLogger();
551     if (logger != null)
552         logger.log(... + container.getName()
553                 + "]: " + message);
554     else
555         System.out.println(... + container.getName()
556                           + "]: " + message);
557 }
558 }
```

Figure 5. Null pointer bug missed by Reasoning

```
// sun.awt.X11.XMSelection
// lines 242-246
public synchronized void removeSelectionListener(
    XMSelectionListener listener) {
    if (listeners == null) {
        listeners.remove(listener);
    }
}
```

Figure 6. The Null Pointer Bug That Didn't Bark

by Reasoning. Figure 5 shows an interesting example of a defect found by the new analysis in Tomcat but not reported by Reasoning. FindBugs reports that if `container` is null on line 549, then `container` will be dereferenced at either line 552 or line 555. In fact, only the dereference at line 555 is feasible if `container` is null. When asked about this example, Dr. Morgenthaler said that Reasoning's static analysis tool had reported a potential null pointer exception on the path from line 549 to line 552, but had not reported a potential null pointer exception on a path from line 549 to line 555. Since the NPE at line 552 was infeasible, they filtered it out.

Table 2 shows the overall changes in our results on Sun's JDK 1.6.0-b105 and Eclipse 3.2.1. This table details the changes in the high/medium priority null pointer correctness warnings about paths where a value is known to be null and guaranteed to later be used in a way that required it to be non-null. Because Java is a memory-safe language, not all of these defects are important, and trying to identify the important null pointer defects is ongoing work. Figure 6 shows an interesting situation where the most severe impact of the defect is felt when the null pointer exception does *not* occur. If a listener is removed before any have been added, a null pointer exception will occur. But that situation seems unlikely and if it does occur, hopefully the null pointer exception will get logged and reported, leading to the software being fixed. However, in the typical case, where a listener is removed after having been added, the impact of the defect is to make removal of listeners fail silently.

5.1 Other analysis tools

This section tries to provide some understanding of where the current FindBugs analysis fits in the world of static analysis for null pointers. It is important to understand that there is no one way to design a null pointer analysis. FindBugs has been tuned to have a very low false positive rate, so that it can be reasonably applied to multi-million line programs. Other tools have made different trade offs for false negatives and false positives.

Eclipse	JDK	Explanation
101	70	Number of NP correctness warnings reported by FindBugs 1.0
-16	-20	Warnings removed due to bug fixes and better modeling of asserts, panic methods, JDK libraries
-23	-13	Warnings about equals method not handling null reclassified as bad practice
+8	+38	New warnings due to better modeling of JDK libraries
+3	+16	New warnings due to fix in tracking of null dereferences on exception paths
+37	+12	New warnings that required field tracking
+50	+17	New warnings that required guaranteed dereferences
+13	+1	New warnings that required both field tracking and guaranteed dereferences
173	121	Number of NP correctness warnings reported by FindBugs 1.2.0-dev

Table 2. Changes in null pointer warnings between FindBugs versions 1.0 and 1.2.0-dev

6 intraprocedural and 3 interprocedural microbenchmarks for null pointer defect detection. Each of these contains a defect. Some microbenchmarks also have a variant that is a false positive. Substitute the operator or expression in the /* comments */ to get a version with no defect: reporting a warning in those cases is a false positive.

```

int intra1(int level) {
    Object x = null;
    if (level > 0)
        x = new Object();
    if (level < /* > */ 4)
        return x.hashCode();
    return 0;
}

int intra2(boolean b) {
    Object x = null;
    if (b)
        x = new Object();
    if (!b /* b */)
        return x.hashCode();
    return 0;
}

int intra3(Object x) {
    Object y = null;
    if (x != null)
        y = new Object();
    if (y != null)
        return x.hashCode() +
            y.hashCode();
    else
        return x.hashCode() /* 0 */ ;
}

int intra4(boolean b) {
    Object x = null;
    Object y = null;
    if (b)
        x = "x";
    if (x != null)
        y = "y";
    if (y != null)
        return x.hashCode() +
            y.hashCode();
    else
        return x.hashCode() /* 0 */;
}

int intra5(Object x) {
    if (x == null) {
        return x.hashCode();
    }
    return 0;
}

int intra6(Object x) {
    if (x == null) {
        Object y = x;
        return y.hashCode();
    }
    return 0;
}

int inter1(boolean b) {
    Object x = null;
    if (b /* !b */)
        x = new Object();
    return helper1(x, b);
}

int inter2() {
    return helper2(null);
}

int inter3(boolean b) {
    Object x = null;
    if (b) x = "x";
    return helper2(x);
}

// Bug when x is null
// and b is false
private int helper1(
    Object x, boolean b) {
    if (b) return 0;
    return x.hashCode();
}

private int helper2(Object x) {
    return x.hashCode();
}

```

Figure 7. Null pointer microbenchmarks, v2

	intraprocedural cases						interprocedural		
	1	2	3	4	5	6	1	2	3
CodeSonar	v,f	v,f	v,f	v,f	v,f	v,f	v,f	v,f	v,f
Eclipse	vv	vv	vv	v					
FindBugs			v,f	v,f	v,f	v,f		v	v
Fortify	vv,ff	vv,ff	vv,ff	vv,ff	v,f	v,f			
IntelliJ	vv,ff	v,f	v,f	vv,ff	v,f	v,f			
Jtest	v,f	v,f		v,f			v,f	v,f	v,f
Klocwork	vv,ff	v,f			v,f			v	

Table 4. Microbenchmark, v2, results from different tools

#	Tools
182	FindBugs 1.2.0-dev
1,018	Eclipse 3.3M2
272	IntelliJ 6.0.4

Table 3. Null pointer warnings on JDK

One comparison is to examine the total number of warnings reported for a large code base. Table 3 reports the number of null pointer warnings generated by various static analysis tools on Sun’s jdk1.6.0-b105. The FindBugs numbers in this table differ from the number in 2 because this table includes other kinds of null pointer warnings, including warnings about unwritten fields being read and dereferenced and about values being dereferenced and later checked to see if they are null.

Now, no particular number of warnings is right or wrong. Both IntelliJ and Eclipse undoubtedly correctly report some null pointer defects that are missed by FindBugs. However, the number of warnings reported by Eclipse make the idea of systematically reviewing null pointer warnings reported by Eclipse tools on a large software project a daunting task.

To further clarify the differences, we devised a series of null pointer microbenchmarks, given in Figure 7 and in a Subversion repository [7]. It is important to note that this is a microbenchmark, designed to elucidate certain aspects of how null pointer analysis works rather than to gauge the effectiveness or value of an analysis. We analyzed both this microbenchmark and a variant in which x is an instance field rather than a local variable. The results from several different analysis tools are reported in Table 4. An entry of v shows that the tool reported a warning for the version of the benchmark where x is a local variable, and where the defect is actually possible. An entry of vv shows that a defect is reported in both the true positive and false positive case. Entries of f and ff note the results if x is a field.

Several notes about the results in this table. Klocwork makes a trial version of their analysis tool freely available on their website, but their license strictly prohibits us from disclosing any information about the capabilities of their tool. We have left a blank line for Klocwork and encourage readers to download the Klocwork tool and fill in their own results. Fortify Software notes that a revision planned for release this summer incorporates some null pointer interprocedural analysis. Coverity declined to share any information on their tool. CodeSonar from GrammaTech, a tool for C/C++, does an exceptionally precise job on a C++ version of the benchmark, as appropriate for a defect detection tool designed for a language that lacks memory safety. Jtest 8.0 from Parasoft don’t report defects in two cases in the microbenchmark because they decided not to report potential defects when the only reason to believe that the value might be null is that it was previously compared to null. They report that their users felt that doing so generated too many false positives, but they have since decided to modify their analysis to allow users to select whether to report potential defects in these cases.

6. Related work

There have been quite a number of papers on static analysis for defect detection, and many of these [5, 4, 2] touch substantially, but not exclusively, on finding null pointer defects. Our use of a backwards analysis to propagate dereferenced values bears some resemblance to the unlockset analysis used in RacerX [3].

We previously published work [6] on the null pointer bug detection used in FindBugs 1.0.

7. Conclusions

Since our work is done in the context of a memory safe language, the impact of null pointer errors is typically much less significant than in languages such as C/C++. Thus, we believe we have reached a happy medium with our null pointer analysis. We are pleased with the quality of the defects we are reporting, and given the number of null pointer warnings we find in existing code, we don’t want to expand the analysis to report warnings only feasible on some paths.

The most interesting question to us is not how to lower our false positive or false negative rate, but how to identify high-impact null pointer bugs. Once we can do that, trying to apply those techniques over a larger field of null pointer bugs, including ones FindBugs does not now report, would be of interest.

8. Acknowledgments

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