# Small Ramsey Numbers

# Exposition by William Gasarch

June 13, 2024

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# Lets Party Like Its January of 2019

Recall the first theorem one usually hears in Ramsey Theory and can tell your non-math friends about.

If there are 6 people at a party, either 3 know each other or 3 do not know each other.

**KORKA SERVER ORA** 

## Lets Party Like Its January of 2019

Recall the first theorem one usually hears in Ramsey Theory and can tell your non-math friends about.

If there are 6 people at a party, either 3 know each other or 3 do not know each other.

We define graphs and complete graphs and state this theorem in those terms.

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**Def A Graph**  $G = (V, E)$  is a set V and a set of unordered pairs from V, called edges. These can easily be drawn.

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**KORK ERRY ABY CHANNING** 



This graph is  $K_4$ .

**Def** The **Complete Graph on** n **Vertices**, denoted  $K_n$ , is  $V = \{1, \ldots, n\}$  and E is all possible edges. Example

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This graph is  $K_4$ . **Note** Every vertex of  $K_n$  has degree  $n-1$ .

Below is standard notation which you may or may not have seen.

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#### **Notation**

- $\blacktriangleright$   $\exists$  means there exists
- $\blacktriangleright \forall$  means for all

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1.  $U$  is a **Clique** if all of the verts in  $U$  have an edge between them.

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- 3. If the edges of G are 2-colored with RED and BLUE, and all of the edges between verts of U are **RED** then we call U a Red Clique. Similar for Blue.

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4. If I formed a rock band it would be called Bill Gasarch and the Red Cliques!

For every 2-coloring of the edges of  $K_6$  there is a monochromatic  $K_3$  (triangle).

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We could state that as

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We prove this in the next few slides.

Given a 2-coloring of the edges of  $K_6$  we look at vertex 1.

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∃ 3 edges from vertex 1 that are the same color.

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We can assume  $(1, 2)$ ,  $(1, 3)$ ,  $(1, 4)$  are all **RED**.

(1,2), (1,3), (1,4) are RED



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We Look Just at Vertices 1,2,3,4



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If  $(2, 3)$  is **RED** then get **RED** Triangle. So assume  $(2, 3)$  is **BLUE**.

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# (2,3) is BLUE

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If (3,4) is RED then get RED triangle. So assume (3,4) is BLUE.

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# (2,3) and (3,4) are BLUE

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# (2,4) is BLUE

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Note that there is a **BLUE** triangle with verts 2, 3, 4. Done!

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## What if we color edges of  $K_5$ ?

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What if we color edges of  $K_5$ ?



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This graph is not arbitrary.  $SQ_5 = \{x^2 \pmod{5} : 0 \le x \le 4\} = \{0, 1, 4\}.$ If  $i - j \in SQ_5$  then **RED**. If  $i - j \notin SQ_5$  then **BLUE**.

### Asymmetric Ramsey Numbers

**Definition**  $R(a, b)$  is least *n* such that for all 2-colorings of  $K_n$ there is **either** a red  $K_a$  or a blue  $K_b$ .

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- 1.  $R(a, b) = R(b, a)$ .
- 2.  $R(2, b) = b$
- 3.  $R(a, 2) = a$

## Asymmetric Ramsey Numbers

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- 1.  $R(a, b) = R(b, a)$ .
- 2.  $R(2, b) = b$
- 3.  $R(a, 2) = a$

Proof left to the reader, but its easy.

**Theorem**  $R(a, b) \le R(a - 1, b) + R(a, b - 1)$ 



**Theorem** 
$$
R(a, b) \le R(a - 1, b) + R(a, b - 1)
$$
  
Let  $n = R(a - 1, b) + R(a, b - 1)$ .

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**Theorem**  $R(a, b) \le R(a - 1, b) + R(a, b - 1)$ Let  $n = R(a-1, b) + R(a, b-1)$ . Assume you have a coloring of the edges of  $K_n$ .

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- 1. There is a vertex with large **Red** Deg.
- 2. There is a vertex with large  $Blue$  Deg.

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- 1. There is a vertex with large **Red** Deg.
- 2. There is a vertex with large **Blue** Deg.
- 3. All verts have small Red degree and small **Blue** degree.

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**Case 1** (∃v)[deg<sub>R</sub>(v)  $\geq R(a-1, b)$ ].



Case 1 
$$
(\exists v)[\deg_R(v) \geq R(a-1, b)].
$$
  
Let  $m = R(a-1, b).$ 

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**Case 1.1** There is a Red  $K_{a-1}$  in  $\{1, \ldots, m\}$ . This set together with vertex v is a **Red**  $K_a$ .

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**Case 1.1** There is a Red  $K_{a-1}$  in  $\{1,\ldots,m\}$ . This set together with vertex v is a **Red**  $K_a$ .

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**Case 1.2** There is a **Blue**  $K_b$  in  $\{1, \ldots, m\}$ . DONE.

Case 1 
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(\exists v)[\deg_R(v) \ge R(a-1, b)].
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Let  $m = R(a-1, b).$ 

**Case 1.1** There is a Red  $K_{a-1}$  in  $\{1,\ldots,m\}$ . This set together with vertex v is a **Red**  $K_a$ .

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**Case 1.2** There is a **Blue**  $K_b$  in  $\{1, \ldots, m\}$ . DONE. **Case 1.3** Neither. **Impossile** since  $m = R(a-1, b)$ .

**Case 2** (∃v)[deg<sub>B</sub>(v) ≥ R(a, b – 1)].



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Case 2 
$$
(\exists v)[\deg_B(v) \ge R(a, b-1)].
$$
  
Let  $m = R(a, b-1)$ .



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**Case 2.1** There is a **Red**  $K_a$  in  $\{1, \ldots, m\}$ . DONE **Case 2.2** There is a **Blue**  $K_{b-1}$  in  $\{1, \ldots, m\}$ . This set together with vertex v is a **Blue**  $K_b$ .

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Case 2 (∃v)[deg<sup>B</sup> (v) ≥ R(a, b − 1)]. Let m = R(a, b − 1). 1 2 3 4 m v . . . Case 2.1 There is a Red K<sup>a</sup> in {1, . . . , m}. DONE Case 2.2 There is a Blue Kb−<sup>1</sup> in {1, . . . , m}. This set together with vertex v is a Blue Kb. Case 2.3 Neither. Impossible since m = R(a, b − 1).

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### All Verts: Small Red Deg and Small Blue Deg

Case 3 Negate Case 1 and Case 2:


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# Case 3 Negate Case 1 and Case 2: 1.  $(\forall v)[\deg_R(v) \leq R(a-1,b)-1]$  and

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#### Case 3 Negate Case 1 and Case 2: 1.  $(\forall v)[\deg_R(v) \leq R(a-1,b)-1]$  and 2.  $(\forall v)[\deg_B(v) \leq R(a, b-1) - 1]$

**Case 3** Negate Case 1 and Case 2:  
\n1. 
$$
(\forall v)[\deg_R(v) \le R(a-1, b)-1]
$$
 and  
\n2.  $(\forall v)[\deg_B(v) \le R(a, b-1)-1]$   
\nHence

$$
(\forall v)[\deg(v) \leq R(a-1,b) + R(a,b-1) - 2 = n-2]
$$

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**Case 3** Negate Case 1 and Case 2:  
\n1. 
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(\forall v)[\deg_R(v) \leq R(a-1, b)-1]
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(\forall v)[\deg(v) \leq R(a-1,b) + R(a,b-1) - 2 = n-2]
$$

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Not possible since every vertex of  $K_n$  has degree  $n-1$ .

#### Lets Compute Bounds on  $R(a, b)$

$$
\blacktriangleright R(3,3) \leq R(2,3) + R(3,2) \leq 3 + 3 = 6
$$

- $R(3, 4) < R(2, 4) + R(3, 3) < 4 + 6 = 10$
- $R(3, 5) < R(2, 5) + R(3, 4) < 5 + 10 = 15$
- $\blacktriangleright$  R(3, 6)  $\lt R(2, 6) + R(3, 5) \lt 6 + 15 = 21$
- $\blacktriangleright$  R(3, 7)  $\lt R(2, 7) + R(3, 6) \lt 7 + 21 = 28$
- $\blacktriangleright$  R(4, 4)  $\lt R(3, 4) + R(4, 3) \lt 10 + 10 = 20$
- $R(4, 5) < R(3, 5) + R(4, 4) < 15 + 20 = 35$
- $R(5, 5) < R(4, 5) + R(5, 4) < 35 + 35 = 70.$

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Can we make some improvements to this?



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Can we make some improvements to this? YES!



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Can we make some improvements to this? YES! We need a theorem.



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Can we make some improvements to this? YES! We need a theorem. We first do an example.

Thm There is NO graph on 9 verts, with every vertex of deg 3.

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Thm There is NO graph on 9 verts, with every vertex of deg 3. We count the number of edges.

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**Thm** There is NO graph on 9 verts, with every vertex of deg 3. We count the number of edges.

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Every vertex contributes 3 to the number of edges.

**Thm** There is NO graph on 9 verts, with every vertex of deg 3. We count the number of edges. Every vertex contributes 3 to the number of edges. So there are  $9 \times 3 = 27$  edges.

**Thm** There is NO graph on 9 verts, with every vertex of deg 3. We count the number of edges. Every vertex contributes 3 to the number of edges. So there are  $9 \times 3 = 27$  edges. Oh. We overcounted.

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Every vertex contributes 3 to the number of edges.

So there are  $9 \times 3 = 27$  edges.

**Oh.** We overcounted. We counted every edge exactly twice.

**Thm** There is NO graph on 9 verts, with every vertex of deg 3. We count the number of edges. Every vertex contributes 3 to the number of edges.

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**Oh My!** That means there are  $\frac{27}{2}$  edges. Contradiction.

<span id="page-90-0"></span>**Thm** There is NO graph on 9 verts, with every vertex of deg 3. We count the number of edges.

Every vertex contributes 3 to the number of edges.

So there are  $9 \times 3 = 27$  edges.

**Oh.** We overcounted. We counted every edge exactly twice. **Oh My!** That means there are  $\frac{27}{2}$  edges. Contradiction. We generalize this on the next slide.

<span id="page-91-0"></span>**Lemma** Let  $G = (V, E)$  be a graph.

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$$
V_{\text{even}} = \{v : \deg(v) \equiv 0 \pmod{2}\}
$$
  

$$
V_{\text{odd}} = \{v : \deg(v) \equiv 1 \pmod{2}\}
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Then  $|V_{\text{odd}}| \equiv 0 \pmod{2}$ .

$$
\sum_{v \in V_{\text{even}}} \deg(v) + \sum_{v \in V_{\text{odd}}} \deg(v) = \sum_{v \in V} \deg(v) = 2|E| \equiv 0 \pmod{2}.
$$

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$$
\sum_{v \in V_{\text{odd}}} \deg(v) \equiv 0 \pmod{2}.
$$

Sum of odds  $\equiv 0 \pmod{2}$ . Must have even numb of them. So  $|V_{\text{odd}}| \equiv 0 \pmod{2}$ .

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<span id="page-97-0"></span>**Lemma** Let  $G = (V, E)$  be a graph.

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Sum of odds  $\equiv 0 \pmod{2}$ . Must have even numb of them. So  $|V_{\text{odd}}| \equiv 0 \pmod{2}$ . **Handshake Lemma** If all pairs of people in a room shake hands, even number of shakes. **KORKAR KERKER SAGA** 

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$$
V_{\text{even}} = \{v : \deg(v) \equiv 0 \pmod{2}\}
$$
  

$$
V_{\text{odd}} = \{v : \deg(v) \equiv 1 \pmod{2}\}
$$

Then  $|V_{\text{odd}}| \equiv 0 \pmod{2}$ .

$$
\sum_{v \in V_{\text{even}}} \deg(v) + \sum_{v \in V_{\text{odd}}} \deg(v) = \sum_{v \in V} \deg(v) = 2|E| \equiv 0 \pmod{2}.
$$
  

$$
\sum_{v \in V_{\text{odd}}} \deg(v) \equiv 0 \pmod{2}.
$$

Sum of odds  $\equiv 0 \pmod{2}$ . Must have even numb of them. So  $|V_{\text{odd}}| \equiv 0 \pmod{2}$ . **Handshake Lemma** If all pairs of people in a room shake hands, even number of shakes. (Pre-COVID when [peo](#page-97-0)[ple](#page-99-0)[s](#page-91-0)[h](#page-98-0)[o](#page-99-0)[ok](#page-0-0) [h](#page-148-0)[an](#page-0-0)[ds.](#page-148-0)[\)](#page-0-0)<br>PRESERVED AT A REAL EXAMPLE AND REAL EXAMP

### <span id="page-99-0"></span>Corollary of Handshake Lemma

Impossible to have a graph on an odd number of verts where every vertex is of odd degree.

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### Corollary of Handshake Lemma

Impossible to have a graph on an odd number of verts where every vertex is of odd degree.

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And NOW to our improvements on small Ramsey numbers.

Assume we have a 2-coloring of the edges of  $K<sub>9</sub>$ .

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# $R(3, 4) < 9$  Case 1



1) If any of  $\{1, 2\}, \{1, 3\}, \{1, 4\}, \{2, 3\}, \{2, 4\}, \{3, 4\}$  are **RED**, have  $RED$   $K_3$ .

**KORK ERRY ABY CHANNING** 

# $R(3, 4) < 9$  Case 1



1) If any of  $\{1, 2\}, \{1, 3\}, \{1, 4\}, \{2, 3\}, \{2, 4\}, \{3, 4\}$  are **RED**, have  $RED$   $K_3$ .

2) If all of  $\{1, 2\}, \{1, 3\}, \{1, 4\}, \{2, 3\}, \{2, 4\}, \{3, 4\}$  are **BLUE**, have **BLUE**  $K_4$ .

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(1) There is a **RED**  $K_3$  in  $\{1, 2, 3, 4, 5, 6\}$ . Have **RED**  $K_3$ .


**KORK ERRY ABY CHANNING** 

(2) There is a **BLUE**  $K_3$ . With v get a **BLUE**  $K_4$ .

Recall

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Recall **Case 1** (∃v)[deg<sub>R</sub>(v)  $\geq$  4].

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Recall **Case 1** (∃v)[deg<sub>R</sub>(v)  $\geq$  4]. **Case 2** (∃v)[deg<sub>R</sub>(v)  $\leq$  2].

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Recall **Case 1** (∃v)[deg<sub>R</sub>(v)  $\geq$  4]. **Case 2** ( $\exists v$ )[deg<sub>R</sub>( $v$ )  $\leq$  2]. Negation of Case 1 and Case 2 yields

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Recall **Case 1** (∃v)[deg<sub>R</sub>(v)  $\geq$  4]. **Case 2** ( $\exists v$ )[deg<sub>R</sub>( $v$ )  $\leq$  2]. Negation of Case 1 and Case 2 yields **Case 3** ( $\forall v$ )[deg<sub>R</sub>( $v$ ) = 3].

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Recall

**Case 1** ( $\exists v$ )[deg<sub>R</sub>( $v$ ) ≥ 4]. **Case 2** ( $\exists v$ )[deg<sub>R</sub>( $v$ )  $\leq$  2]. Negation of Case 1 and Case 2 yields **Case 3** ( $\forall v$ )[deg<sub>R</sub>( $v$ ) = 3].

SO the **RED** graph is a graph on 9 verts with all verts of degree 3.

**KORK EXTERNE DRAM** 

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This is impossible!

What was it about  $R(3, 4)$  that made that trick work?

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What was it about  $R(3, 4)$  that made that trick work? We originally had

$$
R(3,4) \leq R(2,4) + R(3,3) \leq 4 + 6 \leq 10
$$

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**Key:**  $R(2, 4)$  and  $R(3, 3)$  were both **even!** 

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**Key:**  $R(2, 4)$  and  $R(3, 3)$  were both **even! Theorem**  $R(a, b) \leq$ 

1. 
$$
R(a, b-1) + R(a-1, b)
$$
 always.

2. 
$$
R(a, b-1) + R(a-1, b) - 1
$$
 if  
\n $R(a, b-1) \equiv R(a-1, b) \equiv 0 \pmod{2}$ 

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 if  
\n $R(a, b-1) \equiv R(a-1, b) \equiv 0 \pmod{2}$ 

Proof left to the Reader.

#### Some Better Upper Bounds

$$
\blacktriangleright R(3,3) \leq R(2,3) + R(3,2) \leq 3 + 3 = 6.
$$

$$
\blacktriangleright R(3,4) \leq R(2,4) + R(3,3) \leq 4 + 6 - 1 = 9.
$$

 $R(3, 5) < R(2, 5) + R(3, 4) < 5 + 9 = 14.$ 

$$
\blacktriangleright R(3,6) \leq R(2,6) + R(3,5) \leq 6 + 14 - 1 = 19.
$$

- $\blacktriangleright$  R(3, 7)  $\lt R(2, 7) + R(3, 6) \lt 7 + 19 = 26$
- $R(4, 4) < R(3, 4) + R(4, 3) < 9 + 9 = 18.$
- $R(4, 5) < R(3, 5) + R(4, 4) < 14 + 18 1 = 31.$

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 $R(5, 5) < R(4, 5) + R(5, 4) = 62.$ 

Are these tight?



#### $R(3, 3) \ge 6$ : Need coloring of  $K_5$  w/o mono  $K_3$ .

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 $R(3, 3) \ge 6$ : Need coloring of  $K_5$  w/o mono  $K_3$ . Vertices are  $\{0, 1, 2, 3, 4\}$ .

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# $R(3, 3) \ge 6$

 $R(3, 3) \ge 6$ : Need coloring of  $K_5$  w/o mono  $K_3$ .

Vertices are  $\{0, 1, 2, 3, 4\}$ .

 $COL(a, b) = RED$  if  $a - b \equiv SQ$  (mod 5), **BLUE** OW.

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# $R(3, 3) > 6$

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Vertices are  $\{0, 1, 2, 3, 4\}$ .

 $COL(a, b) = RED$  if  $a - b \equiv SQ$  (mod 5), **BLUE** OW.

**Note**  $-1 = 2^2$  (mod 5). Hence  $a - b \in SQ$  iff  $b - a \in SQ$ . So the coloring is well defined.

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# $R(3, 3) > 6$

 $COL(a, b) = RED$  if  $a - b \equiv SQ$  (mod 5), **BLUE** OW.

- $\triangleright$  Squares mod 5: 1,4.
- If there is a RED triangle then  $a b$ ,  $b c$ ,  $c a$  all SQ's. SUM is 0. So

 $x^2+y^2+z^2\equiv 0\pmod{5}$  Can show impossible

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If there is a **BLUE** triangle then  $a - b$ ,  $b - c$ ,  $c - a$  all non-SQ's. Product of nonsq's is a sq. So  $2(a - b)$ ,  $2(b - c)$ ,  $2(c - a)$  all squares. SUM to zero- same proof.

**UPSHOT**  $R(3, 3) = 6$  and the coloring used math of interest!



#### $R(4, 4) \ge 18$ : Need coloring of  $K_{17}$  w/o mono  $K_4$ .



## $R(4, 4) = 18$

 $R(4, 4) \ge 18$ : Need coloring of  $K_{17}$  w/o mono  $K_4$ .

Vertices are  $\{0, \ldots, 16\}$ .

Use  $COL(a, b) = RED$  if  $a - b \equiv SQ$  (mod 17), **BLUE** OW.

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## $R(4, 4) = 18$

 $R(4, 4) \ge 18$ : Need coloring of  $K_{17}$  w/o mono  $K_4$ .

Vertices are  $\{0, \ldots, 16\}$ .

Use  $COL(a, b) = RED$  if  $a - b \equiv SQ$  (mod 17), **BLUE** OW.

Same idea as above for  $K_5$ , but more cases. **UPSHOT**  $R(4, 4) = 18$  and the coloring used math of interest!

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#### $R(3, 5) \ge 14$ : Need coloring of  $K_{13}$  w/o **RED**  $K_3$  or **BLUE**  $K_5$ .

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 $R(3, 5) \ge 14$ : Need coloring of  $K_{13}$  w/o **RED**  $K_3$  or **BLUE**  $K_5$ .

Vertices are  $\{0, \ldots, 13\}$ .

Use  $COL(a, b) = RED$  if  $a - b \equiv CUBE$  (mod 14), **BLUE** OW.

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Same idea as above for  $K_5$ , but more cases.

**UPSHOT**  $R(3, 5) = 14$  and the coloring used math of interest!

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#### This is a subgraph of the  $R(3,5)$  graph



 $R(3, 4) = 9$ 

#### This is a subgraph of the  $R(3,5)$  graph

**UPSHOT**  $R(3, 4) = 9$  and the coloring used math of interest!

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**Good news**  $R(4, 5) = 25$ .

**Good news**  $R(4, 5) = 25$ .

#### Bad news

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**Good news** 
$$
R(4, 5) = 25
$$
.

#### Bad news THATS IT.

**Good news** 
$$
R(4, 5) = 25
$$
.

Bad news THATS IT. No other  $R(a, b)$  are known using NICE methods.

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### Summary of Bounds



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#### Summary of Bounds



 $R(5, 5)$ : 43  $\leq R(5, 5) \leq 49$ . So far not mathematically interesting.

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#### Moral of the Story

1. At first there seemed to be interesting mathematics with mods and primes leading to nice graphs.

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#### Moral of the Story

1. At first there seemed to be **interesting mathematics** with mods and primes leading to nice graphs. (Joel Spencer) The Law of Small Numbers: Patterns that persist for small numbers will vanish when the calculations get to hard.

**KORKA SERVER ORA**
#### Moral of the Story

- 1. At first there seemed to be **interesting mathematics** with mods and primes leading to nice graphs. (Joel Spencer) The Law of Small Numbers: Patterns that persist for small numbers will vanish when the calculations get to hard.
- 2. Seemed like a nice **Math** problem that would involve interesting and perhaps deep mathematics. No. The work on it is interesting and clever, but (1) the math is not deep, and (2) progress is slow.

**KORKAR KERKER SAGA** 

1. (Quote from Joel Spencer): Erdos asks us to imagine an alien force, vastly more powerful than us, landing on Earth and demanding the value of  $R(5,5)$  or they will destroy our planet. In that case, he claims, we should marshal all our computers and all our mathematicians and attempt to find the value. But suppose, instead, that they ask for  $R(6, 6)$ . In that case, he believes, we should attempt to destroy the aliens.

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- 2. I asked Stanislaw Radziszowski, the worlds leading authority on Small Ramsey Numbers, what  $R(5, 5)$  is and when we would know it. He said

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**KORKAR KERKER DRA**